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Title: Effect of avalanche frequency on forest ecosystem services in a spruce-fir mountain forest

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**Abstract:** Mountain forests provide important ecosystem services, such as protection against natural hazards, carbon sequestration, and plant and animal biodiversity. Natural disturbances occurring in forests can alter the provision of ecosystem services to local and offsite communities, but their influence on multiple service tradeoffs has rarely been analyzed.

Our aim is to analyze the effect of avalanche frequency on the provision of ecosystem services in a mountain forest in the Italian Alps. We sampled tree and understory vegetation, soil carbon, and intensity of the browsing damage at 10 plots at each of the following observation sites: (1) an active avalanche track ("recent disturbance"), (2) an area last disturbed in 1959 by avalanches ("old disturbance"), occupied now by a dense aspen forest, and (3) the regularly managed spruce-fir stand ("control"). We computed metrics of plant diversity (Shannon and evenness indices), aboveground and belowground carbon stocks, and a browsing index on regeneration and shrubs as a proxy for ungulate habitat. Finally, we assessed the ability of forests in each site to mitigate rockfall hazard.

In our study, higher avalanche frequency was associated with lower carbon stock, higher species diversity, and lower protection against rockfall. Of all species found in the avalanche track, 54% were exclusive of that site. After 50 years, the post-disturbance stand provided a very high protection effect against rockfall, but was temporarily unsuitable for wild ungulate habitat, due to the high tree density and lack of open areas. Species richness and diversity were lower in older than in more recently disturbed sites, and not significantly different than the control stand. The control stand fulfilled the requirements for minimal protection against rockfall, but may lose its effectiveness in the near future due to senescence or disturbance-related mortality of canopy trees.

Elucidating the tradeoffs between ecosystem services and disturbance frequency will support managers in planning management actions (e.g., avalanche protection measures), and assess tradeoffs between the need to mitigate risks in the most vulnerable areas and the opportunity to improve the provision of ecosystem services where some disturbance can be allowed to occur.



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**Science of the Total Environment**

**Editorial Office**

**Grugliasco (Italy), 25<sup>th</sup> March 2014**

Dear Editor,

I enclose to the present letter the following manuscript for submission to your journal: "Effect of avalanche frequency on forest ecosystem services in a spruce-fir mountain forest".

The article is original, has not been considered for publication elsewhere in its current version, and has been read and approved by all authors.

The submission consists of this cover letter, the title page, highlights, manuscript text with references and tables, 9 figures in separate files, a KML file, and a supplementary Excel table.

I believe the paper's topic falls neatly into the scope of the journal, since it details the effect of variable time since avalanche disturbance on ecosystem services provided by a mountain forest in the Italian Alps. My co-authors and I would be delighted to have our paper appear in your journal.

I thank you and the reviewers in advance for your efforts in evaluating and critiquing this manuscript. I look forward for your review.

Sincerely,

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### General comments:

The quantification of rockfall protection with RockyforNET seems straightforward, but needs some more transparency about parameters entered and comparison between the 3 treatment types. The added expert opinion with NAIS-guidelines is however based on an old and simplified version of these guidelines and should be omitted or strongly improved in a revised version (see also specific comments below)

We removed from the paper all reference (methods, results, discussion, Table 4 and Figure 8) to the analysis based on the old NAIS and kept the quantification by RockforNET, clarifying all parameters used (e.g., rock size, density and shape consistent with bedrock – lines 158-159 and 261-264).

The ecosystem service "habitat for wild ungulates" is based on a browsing intensity index. In my eyes this is not enough and may even be misleading. For example, at least Summer habitats for both *Capreolus capreolus* and *Cervus elaphus* are improved with the availability of open areas and herbaceous vegetation (like it is found for example on frequently disturbed avalanche tracks).

Browsing intensity has been used in the past to quantify ungulate habitat (e.g., Moser et al. 2008 For Ecol Manage in windthrow gaps). It is true that browsing on shrubs and tree is indicative of winter foraging by ungulates (which however is the most limiting factor in many instances). We now indicate ungulate habitat by three different metrics: winter habitat (browsing index), summer habitat (percent herb cover), and hiding requirements, following methods by Smith and Long (1987 West J Appl For). See answers to specific comments for details.

I miss a clear synthesis of the 4 addressed ecosystem services and how they are provided in the 3 treatments.

A synthesis has been added at the beginning of the Discussion section (lines 335-342), the Discussions have been clarified with sub-headings pointing to different ecosystem services, and a new Table 5 summarizes the value for different ecosystem services in the three stand.

The research area with the 3 different disturbances treatment seems adequate for the purpose of this study, but some more information on the history (and in particular on the disturbance history) of these treatments would be valuable in order to better evaluate and discuss the results. We know about avalanche events in 1959 and 2009, but what is the expected frequency of such an event? An avalanche dynamic model, catastrophe data or the available tree ring cores may provide some more information on this.

We prefer not to use a dynamical model but rely on actual avalanche data present in the Cadaster to identify the three different sites. In relation to the disturbance history, besides the description given in the Study area Section, we added more info on the estimated return period of the avalanches for the Recent (R) and Old (O) disturbance plots in their description at line 149 and in Fig. 1, where now more historical avalanches are shown. This helps the reader to know where most of the avalanches flow and the area where the extreme avalanche of 1959 destroyed the forest. After consulting with the Avalanche Service of Aosta Valley, they realized that the outline of the avalanche in their Cadaster was wrong and updated it as now shown in the new Fig. 1.

The control stand seems based on the stand structural attributes to be a young stand with few old trees, so also this stand seems to have an important disturbance and/or management history (see also specific comments below). Generally a clearer description of the site conditions and the disturbance history would contribute to an improved overall discussion of this case study.

The reviewer is right, but we don't have specific information on past management in the control stand. Looking at field evidence (stumps), low CWD amount, and at the reverse-J shape of the diameter distribution, we can assume that this stand was (and still is) treated according to consuetudinary management practices in mixed montane Norway Spruce forests of the Alps, i.e., after recovery from extended clearcuts during World War II, maintaining an uneven-aged structure by single tree or small group selection every 10-20 years, and promoting groups of naturally established regeneration (Motta et al., 2000, 2010, 2015).

### Specific comments:

Line 18: specify (1) a frequently disturbed avalanche track or active avalanche track or recent disturbance (cf line 261 ff), (2) an area last disturbed in 1959 by avalanches or "old disturbance event from 1959 (cf line 261). Lines 18 and 261 were modified and made consistent

24: higher avalanche frequency was associated with... (in our study)

OK

26: after 50 years, the post disturbance stand provided a very high protection effect against rockfall (can not be generalized, there are certainly examples of other 50-year old post avalanche track with a different development, please specify that protection effect is against rockfall (not against avalanches).

OK

29: may lose instead of will likely lose? (difficult to predict mortality processes in such stands and the long term effect of dead wood against rockfall)

OK

38: the active avalanche track

OK

39: post disturbance stand instead of "forest"

OK

55: In particular "Alpine regions" instead of "for example alpine regions". Almost all related references are from Switzerland.

OK

69: 169 Annex II species?

EDITED: (Annex II of the Habitats Directive 92/43/EEC)

76: term "Alpine forests" : means "forests in the Alps" if written with Capital letter. Is this the meaning here? References are more general. -> maybe change to "Many temperate mountain forests are currently carbon sinks.

OK

83: very rare -> relatively rare

OK

114 ff: can you make clearer that the study area includes 1) the avalanche track, 2) the old avalanche track and 3) the adjacent forest stand. Useful would be a reference to a figure and numbers, where the 3 different "disturbance treatments" are.

Concerning the history of the plots, we added at line 149-154 : "The latter event had an extraordinary severity, destroying trees in the previously undisturbed forest, and accumulating a deposition height of 20 m in the runout area. From the analysis of historical photos (Fig. 2a) it is evident how the damages to the older forest were produced from the powder component of the avalanche flow; this area is currently occupied by a dense aspen forest (Fig. 2b). At the transition between the track and deposition zones, we identified three different study sites according to the frequency of disturbance, which are shown in Fig. 1 and 2b and described in the next Section."

At line 166-171 we changed the sentence to include the information on the estimated return period: "We established a chronosequence of increasing disturbance frequency, and decreasing time since last disturbance, by comparing the following sites: 1) recent disturbance (R) by using the track width of the majority of the avalanches recorded in the Cadastre (i.e., avalanche return period of one to few years); 2) old disturbance (O), by reconstructing the perimeter of the 1959 event from pre-disturbance historical aerial imagery (1954) and oblique photographs of the event (i.e., avalanche return period of more than 50 years), and 3) the control (C) forest (regular management no avalanches)."

Furthermore, we added symbols for the three treatments on Fig. 2b.

I would find it useful to have a bit more information on the history of the forest. Is the adjacent (control) stand managed? Are there clear indications that the stand was formerly affected by an even more extreme disturbance event before 1959?

There are no indications of a more extreme avalanche event before 1959. Regarding the history of the control stand, we added the following (line 131-137): "No specific information on past management for the control stand was available. However, looking at field evidence (stumps), low CWD amount, and at the reverse-J shape of the diameter distribution, we can assume that this stand, owned by the municipality, was (and still is) treated according to consuetudinary management practices in mixed montane Norway Spruce forests of the Alps (at least after World War II), i.e., maintaining an uneven-aged structure by single tree or small group selection

every 10-20 years, and promoting groups of naturally established regeneration (Motta et al., 2000, 2010, 2015).”

140: does "the stand" refer to the " adjacent forest stand next to the avalanche track" or to the whole study area including the avalanche track? Usually, a stand is somewhat more homogenous in terms of forest structure and species composition. Compare also with line 282, where only the active avalanche track is "a stand"

EDITED: the whole study area

155: reference for forest management unit 38?

EDITED: (source: municipal Forest Management Plan)

160: we sampled tree age? -> we estimated tree age based on... or we sampled increment cores for

EDITED: Finally, we estimated tree age based on increment cores extracted at 50 cm height from one randomly selected tree in each of the small (DBH <15 cm), medium (15 <DBH <25 cm), and large (DBH >25 cm) tree size classes per 12m plot.

221: A browsing index is a strong simplification for the habitat requirements of both relevant deer species. This would probably lead to an underestimation of the habitat quality of open avalanche tracks.

We now indicate ungulate habitat by three different metrics: winter habitat (browsing index), summer habitat (percent herb cover), and hiding requirements, following methods by Smith and Long (1987 West J Appl For) based on an empirical relationship between hiding cover and the sum of tree diameters in the stand. Methods, Results, Table 5 and Discussion were modified accordingly (lines 239-257, 317-322, 431-441).

229: I think that the objective quantification of the ecosystem service rockfall with RockforNET (which is also the base for the new rockfall profile in NAIS, see <http://www.gebirgswald.ch/de/anforderungen-steinschlag.html>) is adequate for such a quantification of ecosystem services and I would focus more on this quantification of rockfall protection instead of describing in detail an old and outdated version of the NAIS rockfall profile.

We removed from the paper all reference (methods, results, discussion, Table 4 and old Figure 8) to the analysis based on the old NAIS and kept the quantification by RockforNET

245: size of stones?

We now simulate protection from two different rock size classes, moderate (50x50x20) and large (100x100x40 cm). Methods, Results, Table 5 and Discussion were modified accordingly (lines 262-263, 323-327, 466).

247 ff: The method for the ecosystem model is not clear for me. Are carbon stock, browsing index and plant diversity index the response variables in the GLM? Why is browsing index (in my eyes not an ecosystem service per se) included and rockfall protection (an ecosystem service) not included in this analysis?

We removed the GLM part from the paper, since it was unnecessary for the quantification of the main 4 ecosystem services provided by the disturbed and nondisturbed forest.

271: I would write "we estimated" when referring to the age of the trees based on your method without cross-dating.

OK

272: The canopy had a variable tree cover of 20-80%

OK

293: The information on soil carbon ratios of different stages of forest development is valuable and not often seen: can you say more about differences in the soil carbon ratios between control, active avalanche track and 1959-disturbance?

Discussion was expanded (lines 352-365).

Also the result that the sum of the species in different post disturbance stages is much higher than species number of just one of these stages is remarkable and could even be stressed more.

Discussion was expanded (line).

304 ff: browsing intensity is a relevant driver for the ecosystem development, and it is certainly interesting to compare browsing intensity in the 3 different (forest) disturbance types, but browsing intensity is not an ecosystem service, so I find it somewhat strange to include it in the same sub-chapter with 2 of the 3 considered ecosystem services. Another option would be to change "browsing intensity" to a more suitable proxy for

"ungulate habitat".

We now indicate ungulate habitat by three different metrics: winter habitat (browsing index), summer habitat (percent herb cover), and hiding requirements, following methods by Smith and Long (1987 West J Appl For) based on an empirical relationship between hiding cover and the sum of tree diameters in the stand. Methods, Results, Table 5 and Discussion were modified accordingly (lines 239-257, 317-322, 431-441).

309 ff: The quantification of the ecosystem service "rockfall protection" is very important for this manuscript. I would focus on the quantitative method with Rockyfor, if possible in combination with an analysis based on the new Rockfall calculation tool of NAIS <http://www.gebirgswald.ch/de/anforderungen-steinschlag.html> (should actually be in line with your calculation as based on the same method). NAIS is a certainly a very useful practical tool for the management of protection forests (and at least for Switzerland the best available state of the art expert system), but for the purpose of this manuscript I would not emphasize too much on the given qualitative estimations of rockfall protection and on the provided predictions of possible future developments, this for several reasons (...).

We removed from the paper all reference (methods, results, discussion, Table 4 and old Figure 8) to the analysis based on the old NAIS and kept the quantification by RockforNET. Also, considerations about future protective role were removed, to focus on current ecosystem service provision.

322 ff: I don't really understand this section and would actually expect something like a synthesis of the addressed ecosystem services under the 3 treatments. An analysis of important drivers for these ecosystem services (e.g. in the form of GLMs) can be a part of such a synthesis, but I would expect a clearer declaration of what predictor and response variables are and why. Table 5 provides something like such a table, but I don't understand the selection of the columns (response variables in the GLM, see my comments to the method section of these models)

We removed the GLM part from the paper, since it was unnecessary for the quantification of the main 4 ecosystem services provided by the disturbed and nondisturbed forest. A synthesis of ecosystem service provision now opens the Discussion section (lines 335-342).

337: very high protection against rockfall -> high protection against rockfall (for small to moderately sized stones?)

OK

337: The control stand (with more large diameter trees) would probably provide better protection against large stones, but more quantitative analysis are needed to disentangle this.

We now simulate protection from two different rock size classes, moderate (50x50x20) and large (100x100x40 cm). Methods, Results, Table 5 and Discussion were modified accordingly (lines 262-263, 323-327, 466).

431: So if bark beetles is a concern, why is a high percent of spruce on basal area a positive criteria for future rockfall protection (fig. 8)?

These criteria referred to old guidelines and are now removed from the paper.

441 ff: future rockfall protection of the old avalanche track would radically decrease after the next avalanche like the 1959-event. This should be at least discussed here. Generally in order to follow the arguments about future developments of control and old avalanche tracks it would be helpful to add a typical photograph of all 3 disturbance treatment stands. Fig 2b gives an overview, but the control stand is just dark in the shadow.

Considerations about future protective role were removed, to focus on current ecosystem service provision. However, we included 3 images of the three treatments at the beginning of the discussion (new Fig. 8).

Table 1: Was Norway spruce the only conifer? Based on the area description and title, I expect at least fir and also larch. Please provide somewhere a clear description of the dominant species in the 3 disturbance treatments. Row 1 was set to "Conifers". Rows for fir and larch composition were added in table 4, consistently with the composition described at lines 281-282, 290, 296-297.

Table 4: I would skip this table or adapt it to a newer version of the rockfall profile of RAMMS (see my comments above).

The table was eliminated.

Table 4 (formerly Table 5): Amount of coarse woody debris is often considered for biodiversity indices (because of the value for animals which are depending on dead wood). Here you focus on plants, but maybe you

could at least add a sentence in the discussion about the option of including dead wood as proxy for habitats or biodiversity.

ADDED (lines 423-428)

Table 4 (formerly Table 5): Again no other conifers than spruce? What about fir (cf. Title of the manuscript and Fig. 5)

Fir and larch were added to Table 4.

Table 4 (formerly Table 5): Herb cover is obviously much higher in the recent disturbance. This is a very relevant proxy for habitats of *Cervus* and *Capreolus* and should be included in the formula of this proxy. We now indicate ungulate habitat by three different metrics: winter habitat (browsing index), summer habitat (percent herb cover), and hiding requirements.

Table 4 (formerly Table 5): Regeneration density (what is included here? Please provide size thresholds).

Regeneration threshold is  $H > 10$  cm,  $DBH < 2.5$  cm, as was indicated in Methods (line 180) and now also in the Table footnote.

Depending on such size thresholds the regeneration density in the control seems very high (both for spruce and broadleaves), so it seems not obvious that the future of the protection against rockfall in this control stand may be endangered.

Considerations about future protective role were removed, to focus on current ecosystem service provision.

Table 6: It is difficult to understand the selection of these variables: 2 proxy for diversity, 2 proxy for Carbon, no proxy for rockfall. Instead one proxy for browsing intensity (which is in my eyes not an ideal habitat proxy, because deers show also preferences for open areas with herbaceous vegetation. So the habitat quality of the active avalanche track may be underestimated if only considering browsing intensity.

As detailed above, ungulate habitat now has three proxies. The GLM part was removed from the paper.

Fig. 1: What means "avalanche perimeter"? Is this based on an avalanche model or based on an avalanche catastro? Obviously the 1959 event was outside of the avalanche zone! A simulation with an avalanche dynamic model or catastro data may help.

As outlined in the answers to General comments told before, we changed the Figure to include more information, coming from the Avalanche Cadastre, not from an avalanche dynamic model.

New caption: "Study area location, maximum avalanche perimeter from the regional avalanche cadaster (CRV) and sampling design. Colored perimeters represent several occurrences of the avalanche as recorded by CRV. White dots: recent avalanche site; grey dots: old avalanche site; black dots: control site."

Fig. 3: Some figures are surprising: for example it seems that %spruce is much lower in the control plots than in the old avalanche. In contrast % broadleaved trees is higher in the control. This contradicts to table 4 and to what one could expect based on Fig2b and the text.

EDITED: Figures in Fig. 3 have been corrected.

Fig. 3: What is the definition for seedlings here?

EDITED: regeneration (consistent with Methods, line 180 and Table 4).

Fig. 4: The dhh and age distribution of the control plot indicate that this is also a very young stand with some older trees. So based on these information, it looks like this is also something like a post-disturbance stand with some remnant older trees. Is it possible that most trees of the control stands were also affected by the 1959 avalanche (pressure of powder cloud if it was a powder avalanche)? Or was it formerly an open grazed or formerly heavily managed forest? More information on the disturbance history of the control would be useful and depending on this history it would be necessary to change the term "undisturbed control" in line 107.

The reviewer is right, the stand was likely regularly managed. We added information on management at lines 131-137 and changed "undisturbed" to "regularly managed" throughout the paper.

Fig. 5: Is this over all 3 treatments?

Yes. ADDED: "All treatments pooled"

Fig. 8: I would skip this figure or at least adapt it to a newer version of NAIS, see my comments above. The figure was eliminated.

## \*Highlights (for review)

- ● We quantified ecosystem services in a spruce-fir forest under variable avalanche frequency.
- ● The avalanche track had higher plant diversity and lower carbon (C) stocks.
- ● 50 years after disturbance, the forest was dominated by aspen, and optimal for rockfall protection.
- ● Wild ungulates found suitable habitats in the avalanche track and in the control.
- ● Maintaining avalanche-disturbed areas in the landscape can benefit biodiversity and wildlife habitat.



1 **Effect of avalanche frequency on forest ecosystem services in a spruce-fir mountain forest**

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11

12 **Abstract**

13 Mountain forests provide important ecosystem services, such as protection against natural hazards, carbon  
14 sequestration, and plant and animal biodiversity. Natural disturbances occurring in forests can alter the  
15 provision of ecosystem services to local and offsite communities, but their influence on multiple service  
16 tradeoffs has rarely been analyzed.

17 Our aim is to analyze the effect of avalanche frequency on the provision of ecosystem services in a mountain  
18 forest in the Italian Alps. We sampled tree and understory vegetation, soil carbon, and intensity of the  
19 browsing damage at 10 plots at each of the following observation sites: (1) an active avalanche track (“recent  
20 disturbance”), (2) an area last disturbed in 1959 by avalanches (“old disturbance”), occupied now by a dense  
21 aspen forest, and (3) the regularly managed spruce-fir stand (“control”). We computed metrics of plant  
22 diversity (Shannon and evenness indices), aboveground and belowground carbon stocks, and a browsing  
23 index on regeneration and shrubs as a proxy for ungulate habitat. Finally, we assessed the ability of forests in  
24 each site to mitigate rockfall hazard.

25 In our study, higher avalanche frequency was associated with lower carbon stock, higher species diversity,  
26 and lower protection against rockfall. Of all species found in the avalanche track, 54% were exclusive of that  
27 site. After 50 years, the post-disturbance stand provided a very high protection effect against rockfall, but  
28 was temporarily unsuitable for wild ungulate habitat, due to the high tree density and lack of open areas.  
29 Species richness and diversity were lower in older than in more recently disturbed sites, and not significantly  
30 different than the control stand. The control stand fulfilled the requirements for minimal protection against  
31 rockfall, but may lose its effectiveness in the near future due to senescence or disturbance-related mortality  
32 of canopy trees.

33 Elucidating the tradeoffs between ecosystem services and disturbance frequency will support managers in  
34 planning management actions (e.g., avalanche protection measures), and assess tradeoffs between the need to

35 mitigate risks in the most vulnerable areas and the opportunity to improve the provision of ecosystem  
36 services where some disturbance can be allowed to occur.

37

### 38 **Highlights**

- 39 ● We quantified ecosystem services in a spruce-fir forest under variable avalanche frequency.
- 40 ● The active avalanche track had higher plant diversity and lower carbon (C) stocks.
- 41 ● 50 years after disturbance, the post-disturbance stand was dominated by aspen, and optimal for  
42 rockfall protection.
- 43 ● Wild ungulates found suitable habitats in the avalanche track and in the control.
- 44 ● Maintaining avalanche-disturbed areas in the landscape can benefit biodiversity and wildlife habitat.

45

### 46 **Keywords**

47 Carbon; Forest succession; Disturbance; Plant diversity; Rockfall protection; Ungulate habitat

48

### 49 **Introduction**

50 Mountain forests throughout the world provide a variety of important ecosystem services, including  
51 protection against natural hazards (e.g., floods, avalanches, and landslides), carbon sequestration, provision  
52 of natural resources (e.g., dairy products, timber as renewable raw material for energy production and for  
53 construction), tourism and recreation, fresh water regulation, and plant and animal biodiversity (Gret-  
54 Regamey et al., 2008a). Even where production or supply services are not the main interest, e.g., in those  
55 parts of the Alps where timber extraction has ceased to be profitable due to socio-economic changes  
56 (Walther, 1986; Conti and Fagarazzi, 2004), regulatory functions play an important role for both local and  
57 offsite communities.

58 In particular, Alpine regions have developed programs to identify and manage direct protection  
59 forests, i.e., forests that protect human settlements or infrastructures from gravitational hazards such as  
60 rockfall, avalanches, and debris flow (Brang, 2001; Berger and Rey, 2004; Brang et al., 2006; Wehrli et al.,  
61 2007). The effectiveness of forest stands, or of specific stand structures, in mitigating natural hazards has  
62 been assessed by field surveys, experiments, and empirical or physical models (Motta and Haudemand,  
63 2000; Dorren et al., 2004; Bigot et al., 2008; Teich et al., 2013). Such research has provided land  
64 administrators with quantitative tools to assess risk and management priorities in time and space (Frehner et  
65 al., 2005, Gret-Regamey et al., 2008b; Teich and Bebi, 2009; Olschewski et al., 2012), and has enabled  
66 science-based allocation of resources to maintain, promote, or rehabilitate the forest protective function.

67 From the biodiversity point of view, the Alps exhibit a complex geomorphology and an array of  
68 microclimates which contribute to a wide variety of habitats (i.e., 100 of 198 habitat types listed in Annex I

69 of the Habitats Directive 92/43/EEC), and high levels of biodiversity. The alpine biogeographic region of  
70 Europe hosts about 7000 plant species (Ozenda and Borel, 1994), that is more than a third of the flora  
71 recorded in Europe west of Urals, and almost 400 endemic plants (Aeschimann et al., 2012). The fauna of  
72 the Alps might reach 30,000 species (Chemini and Rizzoli, 2003). A total of 165 species and subspecies are  
73 highly protected (Annex II of the Habitats Directive 92/43/EEC), while the region includes important refugia  
74 for plants and especially for animals with large home range requirements (Condé et al., 2006). In the 20th  
75 century, the abandonment of mountain fields, meadows and grazing lands, and the expansion of shrubs and  
76 forests with an accompanying reduction of clearings, as well as the intensification of tourism and human  
77 presence, have greatly affected suitable habitats for plant and animal species, determining an increase of  
78 forest-related taxa and a demise of grassland species (Laiolo et al., 2004; Falcucci et al., 2006; Niedrist et al.,  
79 2008; Pellissier et al., 2013).

80 Finally, many temperate mountain forests are currently a carbon sink (Goodale et al., 2002; Ciais et  
81 al., 2008), due to their predominantly young age, the naturally occurring afforestation of fallow lands, and  
82 the ongoing environmental changes, i.e., climate warming and nitrogen (N) deposition (Bellassen et al.,  
83 2011). However, adapting forest management to maximize carbon stocking is subject to many uncertainties,  
84 such as the quantity and processes of carbon in the forest soil (Lal, 2005), or the effect of natural  
85 disturbances (Gimmi et al., 2008; Liu et al., 2011).

86 Disturbances are ubiquitous in forest ecosystems (Franklin et al., 2002). In forests of the European  
87 Alps, large, stand-replacing disturbances are relatively rare due to the high degree of landscape  
88 fragmentation and to the pervasive control by man, e.g., by active fire and avalanche suppression  
89 (Kulakowski et al., 2006; Brotons et al., 2013) or insect outbreak monitoring and control (Faccoli and  
90 Stergulc, 2004). However, disturbances still occur at spatio-temporal scales relevant to the provision of  
91 ecosystem services to local communities, e.g., in occasion of extreme fire seasons (Veraverbeke et al., 2010),  
92 regional drought spells inducing forest decline events (Rigling et al., 2013), or extra-tropical cyclones  
93 (Ulbrich et al., 2001). Recent research has been addressing the questions related to: a) the quantification of  
94 ecosystem services (Millennium Ecosystem Assessment, 2005; Haines-Young et al., 2012), b) the resolution  
95 of conflicts between non-compatible ecosystem services (Nelson et al., 2009; Bullock et al., 2011; Briner et  
96 al., 2013; Gret-Regamey et al., 2013), and c) the impact of climate change on the provided services (Metzger  
97 et al., 2006; Lindner et al., 2010; Elkin et al., 2013). However, the influence of natural disturbances on  
98 multiple service tradeoffs has rarely been analyzed (e.g., Spencer and Harvey, 2012), especially in forest  
99 ecosystems.

100 Avalanches are one of the dominant disturbance agents in the Alps (Bebi et al., 2009). High-  
101 frequency avalanches shape the ecosystem in which they occur, and exert a strong selective pressure on plant  
102 and animal species living in the avalanche track and runout zone (Butler, 1985; Rixen et al., 2007). On the  
103 other hand, low-frequency, high-intensity events have the potential to reset the ecological succession, by  
104 replacing mid-seral species by early-seral colonizers capable of taking advantage of the new environmental

105 conditions in the avalanche aftermath (Erschbamer, 1989). In both cases, avalanches can greatly affect the  
106 provision of ecosystem services and the functioning of forest ecosystems, not only in the area directly  
107 perturbed (Viglietti et al., 2010), but also at landscape scale, e.g., by modifying connectivity and the spatial  
108 pattern of the forest matrix (Butler, 2001). However, their role in relation to the provision of ecosystem  
109 services is still unexplored.

110 The aim of this paper is to analyze the effect of avalanche frequency on the provision of ecosystem  
111 services in a mountain forest. We quantified carbon stocking, wild ungulates habitat, plant diversity, and  
112 rockfall protection and compared all of them across three contiguous sites of (1) a yearly disturbed area, (2) a  
113 50-years old disturbance, and (3) an regularly managed forest not disturbed by avalanches (“control”).  
114 Finally, we modeled the effect of disturbance frequency and other environmental predictors (i.e., stand  
115 structure, species composition, and soil cover classes) on the current level of forest ecosystem service  
116 provision, in order to assess which agent was responsible of the largest effects on the chosen services.

117

## 118 **Area description**

119 Our study area (Fig. 1) is the Cranmont avalanche path, in the municipality of Pré Saint-Didier  
120 (Aosta, Italy: 45°45'54" N, 6°59 '12" E). The avalanche track runs in the gully of the Crammont creek from  
121 2680 to 1030 m a.s.l. on a northeast-facing slope. The mean slope angle of the release zone is 35°. Mean  
122 annual temperature and precipitation at the runout zone are 6.9 °C and 1072 mm, respectively (interpolation  
123 of observed data for the years 1950-2000) (Hijmans et al., 2005). Below the timberline (at 2000-2250 m  
124 a.s.l.), forests are dominated by European larch (*Larix decidua* Mill.) in the subalpine belt (Habitat 9420 of  
125 the Directive 91/244/CEE) and Norway spruce (*Picea abies* (L.) Karst.) in the montane belt (Habitat 9410),  
126 with sporadic Scots pine (*Pinus sylvestris* L.) on rock ridges, silver fir (*Abies alba* Mill.) at locally moister  
127 sites, and broadleaves such as aspen (*Populus tremula* L.), birch (*Betula pendula* L.), and willow (*Salix*  
128 *caprea* L.). According to a recent regional forest inventory (Camerano et al., 2007), stand density, quadratic  
129 mean diameter, and dominant height in the area are in the range of 160-680 trees ha<sup>-1</sup>, 23-35 cm, and 15-26  
130 m, respectively.

131 No specific information on past forest management in the study area was available. However,  
132 looking at field evidence (stumps), low deadwood amount, and at the reverse-J shape of the diameter  
133 distribution (see below), we can assume that this stand was (and still is) treated according to consuetudinary  
134 management practices in mixed montane Norway Spruce forests of the Alps, i.e., after recovery from  
135 extended clearcuts during Word War II, maintaining an uneven-aged structure by single tree or small group  
136 selection every 10-20 years, and promoting groups of naturally established regeneration (Motta et al., 2000,  
137 2010, 2015).

138 The Regional Avalanche Cadastre (CRV) (Lunardi et al., 2009) reports that the avalanche occurred  
139 72 times between 1913 and 2011, preferably in January or February (35 occurrences), and was characterized

140 by a variable behavior and severity. The avalanche type has been either loose snow or slab (width of starting  
141 zone: few to 300 m). The avalanche has repeatedly damaged human infrastructure in the runout zone (two  
142 records of housing damage, eight records of road damage). Information from the local people implied that  
143 the avalanche usually occurs, not necessarily in its largest potential extent, many times a year (up to ten  
144 times depending on the snow conditions). In a winter season, the first events often run straight down to the  
145 Dora Baltea river, while the latter ones, influenced by the previous deposits, tend to be deflected towards the  
146 North (Fig. 1). In case of large events in the advanced snow season, the avalanche can more easily have a  
147 larger width and overcome its yearly track to the South, influencing the older forest. Damage to the forest  
148 outside the common avalanche track has been recorded on December 24th, 2009, and December 29th, 1959  
149 (Fig. 2a). The latter event had an extraordinary severity, destroying trees in the previously undisturbed forest,  
150 and accumulating a deposition height of 20 m in the runout area. From the analysis of historical photographs  
151 (Fig. 2a) it is evident how the damages to the older forest were produced from the powder component of the  
152 avalanche flow; this area is currently occupied by a dense aspen forest (Fig. 2b). At the transition between  
153 the track and deposition zones, we identified three different study sites according to the frequency of  
154 disturbance (Fig.1, Fig. 2b), which are described in the next Section.

155 Finally, the whole study area is mapped as a direct protection forest, (Meloni et al., 2006), i.e., one  
156 that protects downslope human settlements and infrastructures from gravitational hazards. Here, the hazard is  
157 represented by rockfall potentially released from within-forest cliffs at elevations of about 1500-1700 m a.s.l.  
158 The bedrock in Cranmont is made of metamorphic units belonging to the North-Pennidic domain of the Alps  
159 (Sion-Courmayeur Zone), with alternating calcite marble and micaceous-chloritic carbonates schists (Perello  
160 et al. 1999). The width of the rockfall-source area is about 300 m, but there is considerable potential for  
161 lateral rockfall spread due to the fan-shaped topography of the slope. Individual rocks witnessed in the field  
162 ranged from 10 to 100 cm in average diameter.

163

## 164 **Material and methods**

### 165 *Sampling design*

166 We established a chronosequence of increasing disturbance frequency, and decreasing time since last  
167 disturbance, by comparing the following sites: 1) recent disturbance (R) by using the track width of the  
168 majority of the avalanches recorded in the Cadastre (i.e., avalanche return period of one to few years); 2) old  
169 disturbance (O), by reconstructing the perimeter of the 1959 event from pre-disturbance historical aerial  
170 imagery (1954) and oblique photographs of the event (i.e., avalanche return period of more than 50 years),  
171 and 3) the control (C) forest (regular forest management, no avalanches). In order to control for undesired  
172 topographic and climatic variability, we constrained sampling between elevations of 1125 and 1300 m a.s.l.,  
173 corresponding to the top and bottom boundary of forest management unit 38 (source: municipal Forest  
174 Management Plan). Within each site, we randomly established 10 sampling plots, ensuring a minimum  
175 distance of 20 m from the site edge, and of 25 m between plots. The maximum distance between any two

176 plots was 280 m (Fig. 1).

177           Sampling was carried out in summer 2012. In each plot we sampled the following: (1) diameter at  
178 breast height (DBH), height (H) and species of all living trees (DBH >2.5 cm) within a 12 m radius from the  
179 plot center; (2) percent cover by the tree, shrub, herbaceous layers, and exposed mineral soil, within a 5 m  
180 radius from the plot center; (3) species and frequency of all regeneration individuals (H >10 cm, DBH <2.5  
181 cm) in the 5 m plot; (4) species and visually estimated cover of each vascular plant in the 5 m plot (floristic  
182 nomenclature according to Aeschmann et al., 2004); (5) severity of browsing damage (0:none, 6: 100%  
183 browsing or dead individual) (Motta, 1996) to all regeneration individuals and shrubs within each 5 m plot.  
184 Finally, we estimated tree age based on increment cores extracted at 50 cm height from one randomly  
185 selected tree in each of the small (DBH <15 cm), medium (15 <DBH <25 cm), and large (DBH >25 cm) tree  
186 size classes per 12m plot.

187           For the analysis of carbon stocks, we sampled the following: (6) height and average crown radius  
188 (CR) of all shrubs with CR >100 cm; (7) diameter and decay class (1: sound, 3: soft) of all coarse woody  
189 debris (CWD) elements (diameter > 10 cm) along two perpendicular linear transects (length =24 m per  
190 transect) concentric to the plot center; (8) herbs and litter in three 40x40 cm subplots, at the plot center and at  
191 a 2 m distance in a northward and southward direction; (9) mineral soil at 0-5 cm depth, sampled at each  
192 subplot by using a 5 cm x 25 cm<sup>2</sup> metal cylinder. Herbs, litter, and soil samples were subsequently pooled,  
193 oven dried (105 °C for 72 hours) and weighted in the lab to obtain their biomass; soil samples were  
194 preliminarily sieved at 0.5 mm.

195

#### 196 *Data analysis*

197           For each sampling plot, we computed total tree density, basal area, species composition by density  
198 and basal area, quadratic mean diameter (QMD), mean height, and total tree volume (V) by applying DBH-  
199 to-volume equations for spruce (Nosenzo, 2005) and broadleaves (Castellani et al., 1984). Following  
200 preparation of tree cores (Stokes and Smiley, 1996), we computed the total tree age at coring height from  
201 each core by summing the tree ring count and an estimate of missing rings near the pith obtained by means  
202 of a pith locator. Using the sample of measured ages, we fitted a linear Age-DBH model for each, and used it  
203 to compute missing ages for all tallied trees.

204           The volume and dry biomass of coarse woody debris ( $W_{CWD}$ ) were obtained by applying the  
205 equations for line intercept sampling (Pearson et al., 2007) (Equation 1):

206

$$207 \quad [1] \quad W_{CWD} = -\frac{1}{8}\rho L \hat{a}(d_{CWD}^2 k),$$

208

209 where  $L$  is transect length,  $d_{\text{CWD}}$  is the measured diameter of each coarse woody debris element, and  $k$  is the  
210 wood density associated to each decay class (class 1:  $0.43 \text{ t m}^{-3}$ , class 2:  $0.34 \text{ t m}^{-3}$ , class 3:  $0.19 \text{ t m}^{-3}$ )  
211 (Pearson et al., 2007). The dry biomass of living trees ( $W_T$ ) was obtained by applying a biomass expansion  
212 equation to the total standing volume of each plot (Penman et al., 2003) (Equation 2):

213

$$214 \quad [2] \quad W_T = (1 + R) (V \times BEF \times k_T),$$

215

216 where BEF is biomass expansion factor,  $R$  is the belowground:aboveground biomass ratio, and  $k_T$  is species-  
217 specific wood density (Table 1). Shrub biomass ( $W_S$ ) was computed allometrically (Ohmann et al., 1976)  
218 (Equation 3):

219

$$220 \quad [3] \quad W_S = ax^b,$$

221

222 where  $x$  is shrub height for hazel (*Corylus avellana* L.) and crown area ( $\text{CR}^2$ ) for all other species, and  $a$  and  
223  $b$  are species-specific allometric coefficients (Table 2). Carbon in the coarse woody debris, trees, shrubs,  
224 herbs, and litter fractions was computed on a per-hectare basis by assuming a carbon content of 50% in the  
225 dry biomass. Soil carbon content ( $C_{\%}$ ) was determined in the lab by dry combustion using a Carlo Erba  
226 elemental analyzer, and subsequently converted to carbon biomass on a per-hectare basis ( $C_{\text{soil}}$ ) (Equation 4):

227

$$228 \quad [4] \quad C_{\text{soil}} = C_{\%} \times BD \times \text{depth},$$

229

230 where  $BD$  is dry bulk density of the soil, and  $\text{depth}$  is the sampled soil depth (5 cm).

231 Plant diversity was assessed at the plot level by computing total species richness ( $SR$ ), Shannon  
232 diversity index ( $H'$ , Equation 5) and Shannon equitability index ( $E$ , Equation 6) (Magurran, 1988):

233

$$234 \quad [5] \quad H' = -\sum p_i \ln p_i$$

$$235 \quad [6] \quad E = \frac{H'}{\ln SR},$$

236

237 where  $p_i$  is the relative abundance of species  $i$  in the plot. Species marked as sporadic were assigned an

238 abundance of 0.3 (Reichelt and Williams, 1973).

239 Ungulate habitat (red deer *Cervus elaphus* and roe deer *Capreolus capreolus*) was assessed by three  
240 different indices, taking into account seasonal food availability and hiding cover requirements (Black et al.,  
241 1976). Summer food availability was quantified using the sum of herbaceous and shrub cover as a proxy  
242 (Moser et al., 2008). Winter food availability, critically important to both red and roe deer, was assessed by  
243 computing a browsing index (IB) on shrubs and regeneration for each plot (Boulangier et al., 2009) (Equation  
244 7):

245

$$246 \quad [7] \quad IB = \frac{\bar{a} p_i dam_i}{p_i pal_i},$$

247

248 where  $dam_i$  and  $pal_i$  are the average browsing damage and palatability (Table 3) of species  $i$ , respectively.  
249 Browsing intensity has been used in the past to assess habitat use by wild ungulates (Moser et al., 2008), and  
250 can be indicative of winter use if computed on resources normally grazed during such season, i.e., in the  
251 absence of herb cover. Finally, deer hiding cover (HC) was estimated as a function of the sum of tree dbh in  
252 a stand (Equation 8), using a trigonometric algorithm previously developed for lodgepole pine (*Pinus*  
253 *contorta* Douglas) (Smith and Long, 1987). The algorithm assumes uniform tree spacing and crown height  
254 higher than 1 m (i.e., tree crowns do not contribute to hiding), and assumes that hiding cover is adequate  
255 when an average of 90% of an adult elk is hidden at a distance of 60 m.

256

$$257 \quad [8] \quad HC = 100 - 115.8(0.61)^{0.0003937 \bar{a} dbh}$$

258

259 Finally, we assessed rockfall protection by using the online tool RockforNET, that computes the  
260 percentage of rocks that surpasses the forested area (Probable Residual Rockfall Hazard, PRH) given rock  
261 size, topography, and stand structural characteristics (Berger and Dorren, 2007). Parameters entered in  
262 RockforNET were: rock density = 2700 km m<sup>-3</sup>, rock shape = rectangle, rock dimensions = (a) 50x50x20 cm  
263 (moderate size) and (b) 100x100x40 cm (large), height of cliff = 50 m, mean gradient of the slope = 35°,  
264 length of unforested slope = 20 m. The length of forested slope was set at a constant value of 250 m in order  
265 to make meaningful comparisons between stands regardless of their actual position on the slope (Cordonnier  
266 et al., 2013). Stand density, basal area (dbh > 8 cm), and tree species composition were entered on a per-plot  
267 basis.

268 Carbon stock, ungulate habitat metrics, plant diversity metrics and PRH were compared across sites  
269 by means of non-parametric Kruskal-Wallis test with pairwise post-hoc Tukey comparison (p < 0.05).



270

## 271 **Results**

### 272 *Forest and vegetation structure*

273           The avalanche radically changed the forest composition and structure (Table 4) in both the avalanche  
274 recent disturbance and the old disturbance. The main impacts of increasing avalanche frequency were: lower  
275 tree age and size, higher share of deciduous species in both adult and juvenile layers, higher shrub and herb  
276 cover, and a bell-shaped response of tree density and cover (i.e., higher for intermediate time since  
277 disturbance) (Fig. 3). Between-plot variability was generally higher in the old disturbance and control sites,  
278 while the recent disturbance site exhibited pretty homogenous conditions, except for herb, shrub, and bare  
279 soil cover.

280           In the control area the forest was dominated by Norway spruce (53% basal area on average), silver  
281 fir (20%), and larch (10%), with sporadic broadleaves (0-20%). The trees were quite dense (1760 per hectare  
282 on average, DBH >2.5 cm), with a mean diameter around 21 cm and a typical uneven-aged size distribution  
283 (Fig. 4). Maximum tree age in the dendrochronological subsample trees ranged from 71 years (aspen) to 210  
284 years (spruce); after fitting DBH-age models (Fig. 5), we estimated that the oldest trees in the control area  
285 could be around 230 years old. The canopy had a variable tree cover of 20-80%, with treefall gaps allowing  
286 the accumulation of coarse woody debris on the ground, and the establishment of dense patches of  
287 regeneration of spruce and broadleaves alike. Herb and shrub cover were scarce (5% and 13% on average,  
288 respectively).

289           In the old disturbance site the forest was dominated by a dense layer of pole-stage aspen (4000 trees  
290 per hectare on average, QMD = 13 cm) (Table 4). The canopy was closed. Spruce was less abundant both in  
291 the canopy (37% of basal area on average) and in the regeneration layer, except for some older spruce trees  
292 (>100 years) that were probably left as living legacies from before the last disturbance event. Mean tree age  
293 (from both measured and modeled ages) was 45 years; some spruce trees older than 50 years were found,  
294 probably as legacies of the pre-disturbance stand (i.e., trees that were tilted but not broken by the avalanche),  
295 but none was older than 130 years (Fig. 6).

296           Finally, in the avalanche track, the high frequency of disturbances resulted in a young stand  
297 dominated by young, sprouting broadleaves (98% of basal area on average, QMD = 6 cm). All trees were  
298 younger than 50 years (mean tree age: 24 years), and most stems were shorter than 8 m in height. Tree  
299 density, volume, basal area, and tree cover were all very low (Table 4). Regeneration was dominated by  
300 deciduous species, reaching up to 375.000 per hectare when individual sprouts on each stump were counted.

301

### 302 *Ecosystem service assessment*

303           The average amount of carbon in the aboveground, belowground, coarse woody debris, litter, and

304 soil compartments was inversely proportional to the disturbance frequency, i.e., higher in the control and  
305 lower in the avalanche track (Fig. 7). The latter had more carbon in the herb and shrub layers, but the total  
306 amount was significantly higher in the control stand (400 Mg ha<sup>-1</sup> on average) (Table 5). Soil carbon usually  
307 accounted for about 50% of the total. C/N ratio varied in the range of 18-26 in the control, 17-25 in the old  
308 disturbance, and 17-22 in the recent disturbance site.

309 Species richness of the regeneration, shrub and herbaceous layers in the control, old avalanche, and  
310 recent avalanche was 44, 43, and 77 species, respectively (Supplementary material S1). Out of 98 species  
311 found, 27 were common to all sites, while 42 were exclusive of the avalanche track (i.e., 54% of all species  
312 found in that site). Six species were exclusive of the old disturbance, and only eleven of the control.  
313 Consequently, the avalanche track showed the highest plant diversity (Shannon index), although evenness  
314 was lower than in the other two sites, due to the dominance of a few shrubs (hazel: 32% average cover, *Salix*  
315 *purpurea*: 6%, *Lonicera nigra*: 6%), and graminoid species (especially *Trisetum flavescens*, 9%). The old  
316 disturbance and control did not differ significantly in their diversity indices (Table 5).

317 Winter resource use by ungulates (i.e., intensity of browsing on regeneration and shrubs) was highest  
318 in the control plots and lowest in the old disturbance ( $p < 0.05$ ), even if with a very large variability  
319 throughout the study area (0 to 90%). Silver fir was the most damaged species, followed by hazel, *Lonicera*  
320 (among shrubs), aspen, *Salix*, and *Acer* (among tree species) (Table 3). Summer resource availability (herb  
321 and shrub cover) and hiding cover by trees were respectively higher and lower in the recent disturbance site  
322 (Table 5).

323 The most effective stand for rockfall protection was the old disturbance site. Currently, PRH against  
324 moderate-sized rocks reaches 95% in both the old disturbance and the control sites, and decreases to 83 and  
325 74% respectively on large-sized rocks. In the avalanche track the protection effect is negligible (mean PRH:  
326 11% against moderate sized rocks, and 4% against large rocks), due to insufficient tree density and large  
327 treeless areas (Table 5).

328

## 329 Discussion

330 Following stand-replacing disturbance, the dominant spruce-fir canopy (Fig. 8c) is replaced by early-  
331 seral broadleaves (Fig. 8a), eventually dominated by aspen that forms a dense pole-stage forest 50 years after  
332 the event (Fig. 8b). Spruce and fir regeneration can then establish below the aspen layer, taking advantage of  
333 its higher shade tolerance, of seeds dispersed by trees surviving the avalanche, and of disturbance legacies  
334 such as coarse woody debris and pit-mound topography (Bottero et al., 2013).

335 In the study area, higher avalanche frequency was associated with: (1) lower aboveground and  
336 belowground carbon stock, (2) higher species richness (but no change in diversity), (3) higher summer  
337 resource availability, intermediate winter resource use and lower hiding cover for wild ungulates, and (4)  
338 lower protection against multiple-sized rockfall. After 50 years, high stem density of post-disturbance stands

339 was optimal for protection against rockfall and ungulate hiding requirements, but at the same time resulted in  
340 poor resource availability for wild ungulates due to the scarce herb and regeneration cover. Species richness  
341 and diversity in the old disturbance site were not significantly different than the control, where the forest was  
342 managed according to common single tree selection silvicultural practices.

343

#### 344 *Carbon stock*

345 Carbon stocks followed a predictable gradient of post-disturbance recovery and buildup. Many  
346 studies of carbon stocks in post-disturbance chronosequences have highlighted a carbon source/sink  
347 dynamics for stand-replacing disturbance, involving a rapid pulse emission followed by net uptake that  
348 gradually declines with the ageing of the canopy (Richter et al., 1999; Thornton et al., 2002; Bond-Lamberty  
349 et al., 2004; Pregitzer and Euskirchen, 2004; Gough et al., 2007). In our study area, the regularly managed  
350 forest stocked about 400 Mg C ha<sup>-1</sup> on average. Values for aboveground carbon stocks were consistent with  
351 those found in undisturbed spruce forests of the Alps (e.g., 207 Mg C ha<sup>-1</sup> in living trees at 130 years of age,  
352 Thuille et al., 2000). Soil stocks (>200 Mg C ha<sup>-1</sup> on average) were higher than some values found in  
353 literature for comparable ecosystems (e.g., 81 to 188 Mg C ha<sup>-1</sup> in the organic and mineral layers on acidic  
354 soils in Austria: Berger et al., 2002; Pötzelsberger and Hasenauer, 2015) but consistent with uneven-aged  
355 spruce forests of similar age in boreal ecosystems (e.g., 199 Mg C ha<sup>-1</sup>: Nilsen and Strand, 2013). Unless  
356 significantly disturbed by stochastic agents (e.g., wind damage, bark beetles, exceptional avalanches), the  
357 spruce forest has the ability to function as a sink well into its maturity stage, as shown by recent research on  
358 managed and old-growth forest (Zhou et al. 2006; Luysaert et al. 2008; Gleixner et al. 2009; Krug et al.  
359 2012). The effect of different types of forest management on soil and total C sink, however, is still uncertain,  
360 but greatly depends on the intensity and frequency of tree removals (e.g., Jandl et al., 2007; Nave et al.,  
361 2010; Nilsen and Strand, 2013). The fact that soil is stocking more than 50% of overall ecosystem C is well  
362 acknowledged by the literature (Lal, 2005) but rarely measured in the field and often overlooked when  
363 computing sequestration/emission balances in forest ecosystems. The belowground : aboveground C ratio did  
364 not differ between the old disturbance site and the regularly managed forest, suggesting the absence of  
365 significant species-specific differences in root turnover and carbon mineralization rate.

366 The 50-years old aspen forest is stocking about 200 Mg C ha<sup>-1</sup> on average, corresponding to a mean  
367 uptake of about 3 Mg C ha<sup>-1</sup> per year (assuming a baseline similar to the C stocked in the recently disturbed  
368 site following the last avalanche event). The maximum net biomass production for aspen is reported to occur  
369 after 18–32 years (Rytter and Stener, 2005). This reflects the very active juvenile growth during which the  
370 volume production per unit area of early-seral species such as aspen may exceed that of Norway spruce  
371 (*Picea abies*) (Børset, 1960). Starting at about 60 years of age, however, aspen stands gradually reach a state  
372 of decline when mortality exceeds growth (Pothier et al., 2004). Therefore, when averaged over the entire  
373 life cycle, the more shade-tolerant Norway spruce allows for higher density and volume at similar site  
374 productivity indices (Børset, 1960). Light demanding aspen and shade-tolerant spruce may supplement each

375 other, if they constitute separate overstorey and understorey, respectively (Langhammer, 1982). However,  
376 the stand will gradually develop along a successional process leading to dominance of the spruce-fir mixture,  
377 similarly to the control stand.

378 Areas damaged by stand-replacing disturbances usually act as carbon sources for some years  
379 (Thuiller et al., 2000). In forest damaged by wind or avalanche, unlike wildfires, no CO<sub>2</sub> is directly released  
380 in the atmosphere during the disturbance event. However, even without consumption of organic matter (such  
381 as during wildfire) or removal by salvage logging or gravity (as may be the case of the recently disturbed  
382 site), the biomass transferred from live to dead pools is subject to microbial decomposition and quickly loses  
383 carbon while decomposing (Liu et al., 2011). Finally, the avalanche can remove soil carbon by mechanical  
384 elimination of the upper soil layers (Confortola et al., 2012; Korup and Rixen, 2014). However, in our study  
385 area the recently disturbed site preserved a significant amount of soil carbon (78 Mg ha<sup>-1</sup> on average), most  
386 of which was stocked in soil. The lower C/N ratio in soils of the avalanche track indicated a higher fertility  
387 and slower C turnover, likely due to the prolonged permanence of snow and higher soil moisture.

388 We did not measure C fluxes, therefore the release of C from the recently disturbed site is unknown.  
389 More studies are needed to ascertain how much carbon is released following avalanche disturbances at the  
390 site and regional scale, and if and how long it takes for the post-disturbance vegetation to stock as much  
391 carbon as to equate the losses. The overall carbon balance can still be positive if losses in areas disturbed by  
392 avalanches are counteracted by mature and old-age forests serving as sinks in undisturbed areas between  
393 avalanche tracks.

394

#### 395 *Plant diversity*

396 Plant diversity has long been related to disturbance frequency and severity, i.e., in the framework of  
397 the (much debated) intermediate disturbance hypothesis (IDH) (Connell, 1978; Fox, 2013). In our study, we  
398 found that the active avalanche track had the highest species richness and diversity (Shannon index, although  
399 not significantly). This is in contrast with the IDH, but in accordance with previous research on the effect of  
400 disturbances on plant diversity in mountain forests, and particularly avalanches (Ilisson et al., 2006; Rixen et  
401 al., 2007; Fischer et al., 2012).

402 The plant community of the avalanche track can be described as a true avalanche grassland  
403 (Erschbamer, 1989), with species belonging to typical avalanche grasslands (*Molinio-Arrhenateretea*) and to  
404 the adjacent mountain meadows (e.g., *Trisetum flavescens*). High richness in the avalanche track can be  
405 explained by (1) gravitational transport of propagules of plants from higher elevations (Erschbamer, 1989);  
406 (2) increased habitat diversity due to the mosaic of areas with prolonged snow cover, eroded soil patches, or  
407 running melt water (Rixen and Brugger, 2004); (3) newly created forest edges (Duelli et al., 2002); (4)  
408 disturbance legacies (Franklin et al., 2002) such as coarse woody debris, pit-and-mound topography, and the  
409 mosaic of open areas and living legacies such as resprouting broadleaves (Rixen et al., 2007). Therefore, the

410 maintenance of a periodically disturbed portion of the land is be beneficial for overall species richness and  
411 diversity, facilitating the persistence of more light-demanding, early-seral species (Lonati et al., 2013).

412 Previous research found that the shift from shrub- to tree- dominated vegetation occurred when the  
413 average interval between avalanches was 15-20 years (Johnson, 1987). Plant communities of the old  
414 disturbance and control sites were very similar, and shared many species from both the *Piceetalia* and the  
415 *Fagetalia* classes. Consistently to our study, previous research found that strong changes in species  
416 composition result from multiple avalanche occurrences, rather than single events that affect the forest  
417 structure heavily but may not result in sufficient changes in soil microclimate and mechanical disturbance  
418 (Fischer et al., 2012).

419 If we focus on the study site as a unique ecosystem, we notice that only one-third of the total number  
420 of species found was common to all disturbance treatments, i.e., the total species richness was higher than in  
421 any individual disturbance treatment. The mosaic of disturbed and undisturbed patches allows for  
422 coexistence of both early-seral, open-field species, and shade-tolerant species under or in the vicinity of the  
423 tree and shrub canopies. Further research is needed to ascertain if this diversity effects occur in other taxa,  
424 e.g., invertebrates, fungi, or lichens. Coarse wood debris, a commonly used metric of diversity for forest  
425 biota (Bouget and Duelli, 1994), was higher in the control forest than in the avalanche track; however,  
426 management in the former, and diversity of microsites in the latter, act as confounding variables, and could  
427 mitigate or even invert the simplistic relationship between disturbance frequency, CWD, and invertebrate  
428 diversity (e.g., Negro et al., 2014).

429

#### 430 *Ungulate habitat*

431 As ungulates use resources very differently during the year, it is difficult to condensate habitat  
432 suitability in a single, static metric. We chose to use three different proxies for ungulate habitat: hiding cover,  
433 expressed as a function of tree density and size (Smith and Long, 1987); summer food availability, expressed  
434 by herb and shrub cover as a proxy (Moser et al., 2008), and winter food availability, expressed by measured  
435 browsing intensity on tree regeneration and shrubs. The use of browsing index alone would in fact  
436 underestimate habitat suitability of open areas dominated by herb cover such as those in or near the  
437 avalanche track.

438 Logically, herb and shrub cover were much more abundant in open, recently disturbed sites  
439 (Krojerová-Prokešová et al., 2010). However, these lacked the necessary hiding requirements due to low or  
440 non-existent tree cover, and were also less used during winter – probably due to scarce food and high snow  
441 cover. In fact, browsing on trees and shrubs was more severe in the control site – even if treatment effect was  
442 weak, probably due to the fact that distance between sampling plots was well within the daily movement  
443 capabilities of individual ungulates (Pépin et al., 2004). Browsing can affect future species composition of  
444 the forest (Motta 1996); in the study area, this effect could be important for Silver fir (Klopcic et al., 2010),

445 which is highly palatable and, at the same time, not so abundant in the regeneration layer (Table 3). The

446 In past studies, the optimal habitat for red deer and roe deer has been described as a mixture of open  
447 meadows and closed canopy, rich in forest edges so as to provide both food and shelter to the animals  
448 (Hanley, 1984; Gill et al., 1996; Licoppe, 2006). In areas hit by natural disturbance, coarse woody debris  
449 could also alter ungulate frequentation and feeding behavior. The effect of CWD on ungulate habitat use  
450 could be either positive – by stabilizing the snowpack, facilitating animal movement when snow is on the  
451 ground, or by the fact that saplings emerging from CWD are more readily visible by the deer (Pellerin et al.,  
452 2010) – or negative, if the abundance, size and spatial arrangement of CWD is such as to impair animal  
453 movement and feeding (Kupferschmid and Bugmann, 2005; de Chantal and Granström, 2007). We did not  
454 observe any site where this latter condition could be the case.

455

#### 456 *Protection from rockfall*

457 Concerning protection against hazards, we assessed the effectiveness of the forest in stopping falling  
458 rocks of different size and preventing them from reaching the village and roads downslope (Fig. 1). The  
459 managed forest is currently effective against rockfall. On the contrary, the (almost treeless) avalanche track  
460 is certainly not effective for rockfall protection, but any falling rock would be channeled within its steep  
461 banks and end up in the river below. The minimum required basal area for this slope is  $20 \text{ m}^2 \text{ ha}^{-1}$  to reach a  
462 PRH of 95% for moderate sized rocks (RockforNET results). In the recent disturbance site, or in the  
463 eventuality of a new avalanche event as severe as the 1959 one, actions to mitigate the rockfall hazard should  
464 be carried out if the rockfall protection service is prioritized (e.g., rockfall nets or temporary log fences).

465 More interestingly, the old disturbance stand is currently very effective against rockfall protection  
466 (PRH: 99% for moderate-sized rocks, and 83% for large rocks), mainly because of the high density of stems,  
467 which may act as a fence blocking falling rocks (Gsteiger, 1993; Vacchiano et al., 2008; Jancke et al., 2009).

468

#### 469 **Conclusions**

470 In order to maintain or replenish the provision of ecosystem services in the face of natural  
471 disturbances, managers need to understand the relationship between disturbance frequency, intensity, and the  
472 duration and magnitude of the consequent changes in ecosystem service provision.

473 This study assessed changes in ecosystem services provided by a spruce–fir mountain forest  
474 disturbed by avalanches, by comparing carbon stock, plant diversity, ungulate habitat, and protection against  
475 rockfall in stands experiencing zero, one, and multiple disturbance events. We showed that: (1) high  
476 disturbance frequencies are beneficial for plant diversity, (2) after 50 years the forest was optimal for rockfall  
477 protection, and (3) the regularly managed forest had the highest carbon stocks.

478 Avalanches are a source of patchiness and habitat heterogeneity. Once safety of households and

479 roads is ensured, the maintenance of a share of the landscape disturbed by avalanches of variable size,  
480 magnitude and frequency can be beneficial to several ecosystem services, such as biodiversity and wildlife  
481 habitat. Carbon losses due to disturbances can be offset by enhanced conservation of mature and old-aged  
482 forests in undisturbed areas. Elucidating tradeoffs between ecosystem service provision and disturbance  
483 frequency will help managers in planning management actions (e.g., avalanche suppression) and distribute  
484 them across the landscape according to the ecosystem services to prioritize.

485

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489

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766 **Tables**

767

768 **Table 1** Parameters for the calculation of stand biomass (from Vitullo et al., 2007)

769

<b>Cover type</b>	<b>Biomass Expansion Factor</b>	<b>Dry:fresh weight</b>	<b>Root:shoot ratio</b>
Conifers	1.29	0.38	0.29
Broadleaves	1.47	0.53	0.24
Shrubs	1.44	0.52	0.42

770

771

772 **Table 2** Parameters of the allometric equations for shrub biomass (from Ohmann et al., 1976)

773

<b>Species</b>	<b>x</b>	<b>parameter</b>	<b>twig biomass</b>	<b>stem biomass</b>	<b>total biomass</b>
<i>Corylus avellana</i>	stem height	a	0.003268	0.00002089	0.0002791
		b	1.373	2.98	2.52
<i>Lonicera spp.</i>	crown area	a	0.0819	0.7513	-
		b	0.6072	0.625	-
Other shrubs	crown area	a	0.3504	0.8201	-
		b	0.2888	0.577	-

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777

778 **Table 3** Species palatability scores, mean browsing damage (0: none, 6: 100% browsed), and average per  
 779 hectare frequency of regeneration and shrubs in the three observation sites. Only species where 10 or more  
 780 individuals were samples are included.

781

<i>Species</i>	Palatability	Mean browsing damage	Average per hectare frequency		
			Control	Old disturbance	Recent disturbance
<i>Abies alba</i>	0.8	4.7	799	561	1249
<i>Acer spp.</i>	0.9	1.4	311	130	26141
<i>Berberis vulgaris</i>	0.05	1.1	76	229	3333
<i>Betula pendula</i>	0.3	0.5	1469	4047	66643
<i>Corylus avellana</i>	0.7	2.5	446	803	66667
<i>Fraxinus excelsior</i>	0.9	0.9	183	630	90848
<i>Lonicera spp.</i>	0.6	2.0	1516	3210	206667
<i>Picea abies</i>	0.3	0.4	708	399	4444
<i>Populus tremula</i>	0.4	1.7	0	58	6780
<i>Salix spp.</i>	0.6	1.5	247	333	154544
<i>Sorbus spp.</i>	0.6	1.1	725	185	730
<i>Rosa spp.</i>	0.1	2.2	25	13	2222
<i>Rubus spp.</i>	0.6	1.1	13	64	148889

782

783



784 **Table 4** Summary of stand structural variables in the control, old, and recent avalanche sites

Variable	Units	Control		Old disturbance		Recent disturbance	
		mean	SE	mean	SE	mean	SE
Basal area	m <sup>2</sup> ha <sup>-1</sup>	56.1	12.02	46.9	5.61	6.5	1.70
Tree density	ha <sup>-1</sup>	1763	270.9	3961	658.3	2065	406.4
QMD	cm	20.8	2.17	12.8	0.59	6.0	0.40
Mean height	m	13.7	0.72	10.4	0.31	4.6	0.37
Tree volume	m <sup>3</sup> ha <sup>-1</sup>	527.3	48.81	372.0	33.53	20.5	7.03
CWD volume	m <sup>3</sup> ha <sup>-1</sup>	35.6	17.25	21.4	5.84	6.6	2.89
Mean age	years	64	3.7	45	2.6	24	2.4
Basal area by spruce	%	53	7.4	37	5.9	1	0.9
Basal area by fir	%	27	9.5	6	2.0	1	0.1
Basal area by larch	%	5	3.5	3	2.6	1	0.6
Basal area by broadleaves	%	9	2.5	54	6.9	98	1.2
Tree cover	%	57	5.8	69	4.5	12	3.7
Shrub cover	%	13	2.5	15	4.7	53	8.2
Herb cover	%	5	1.0	4	1.1	48	7.6
Bare soil	%	3	0.8	3	1.3	3	1.1
Regeneration <sup>a</sup> density	ha <sup>-1</sup>	10309	4232.5	4039	2422.0	8342	3590.0
Regeneration <sup>a</sup> by spruce	%	18	6.5	8	4.3	1	1.1
Regeneration <sup>a</sup> by broadleaves	%	53	11.8	75	10.0	98	1.4

785 <sup>a</sup> Regeneration: H > 10 cm, DBH < 2.5 cm

786 **Table 5** Summary of ecosystem service values in the control, old, and recent avalanche sites. Sites marked  
 787 by similar letters did not differ significantly (Kruskal-Wallis test,  $p > 0.05$ )  
 788

Variable	Units	Control		Old disturbance		Recent disturbance	
		mean	SE	mean	SE	mean	SE
Herb+shrub cover (summer resource)	%	11 <b>a</b>	1.6	10 <b>a</b>	3.1	58 <b>b</b>	6.5
Browsing index (winter resource)	%	38 <b>a</b>	5.4	21 <b>b</b>	5.4	26 <b>ab</b>	4.7
Elk hiding cover	%	95 <b>a</b>	0.9	99 <b>a</b>	0.1	29 <b>b</b>	12.2
Total C	Mg ha <sup>-1</sup>	402 <b>a</b>	30.1	222 <b>b</b>	26.2	64 <b>c</b>	11.8
Shannon index	-	1.5 <b>a</b>	0.12	1.6 <b>a</b>	0.12	2.1 <b>a</b>	0.41
Evenness	-	0.7 <b>a</b>	0.04	0.8 <b>a</b>	0.05	0.7 <b>a</b>	0.12
PRH (moderate size rocks)	%	95 <b>a</b>	5.2	99 <b>a</b>	2.3	11 <b>b</b>	6.7
PRH (large size rocks)	%	74 <b>a</b>	6.4	83 <b>b</b>	3.3	5 <b>c</b>	2.1

789

790 **Figure captions**

791

792 **Fig. 1** Study area location, maximum avalanche perimeter from the regional avalanche cadaster (CRV) and  
793 sampling design. Colored perimeters represent several occurrences of the avalanche as recorded by CRV.

794 White dots: recent avalanche site; grey dots: old avalanche site; black dots: control site.

795 **Fig. 2** The avalanche event in 1959 (a) and 2009 (b), with indication of the control (C), old (O), and recent  
796 (R) avalanche sites. Image (a) by Ufficio Neve e Valanghe - Regione Autonoma Valle d'Aosta, (b) by the  
797 authors.

798 **Fig. 3** Observed stand structure parameters in plots from the control (C), old (O), and recent (R) avalanche  
799 sites

800 **Fig. 4** DBH (frequency distribution) and age (smoothed relative frequency distribution) in the control, old,  
801 and recent avalanche sites

802 **Fig. 5** Individual tree DBH-age models for spruce (PA), silver fir (AA), birch (BP), aspen (PT) and other  
803 broadleaves (OB) in the study area (dendrochronological subsample, all treatments pooled). Model form was  
804  $\text{age} = a + b \text{DBH}$

805 **Fig. 6** Scatterplot of tree ages (all species – both measured and modeled tree age) in all sampling plots from  
806 the control (C), old (O) and recent (R) avalanche sites

807 **Fig. 7** Carbon stocks [ $\text{Mg ha}^{-1}$ ] by ecosystem component in the control (C), old (O), and recent (R) avalanche  
808 sites. Sites marked by similar letters did not differ significantly (Kruskal-Wallis test,  $p > 0.05$ )

809 **Fig. 8** Photographs taken during field sampling in the control (a), old (b), and recent (c) avalanche sites.  
810 Images by the authors.

811

812 **Supplementary material S1**

813 Species list and abundance scores (Braun-Blanquet, 1932) for the regeneration, shrub, and herbaceous layers  
814 of the control site, the old disturbance, and the more recently disturbed site.

Figure 1  
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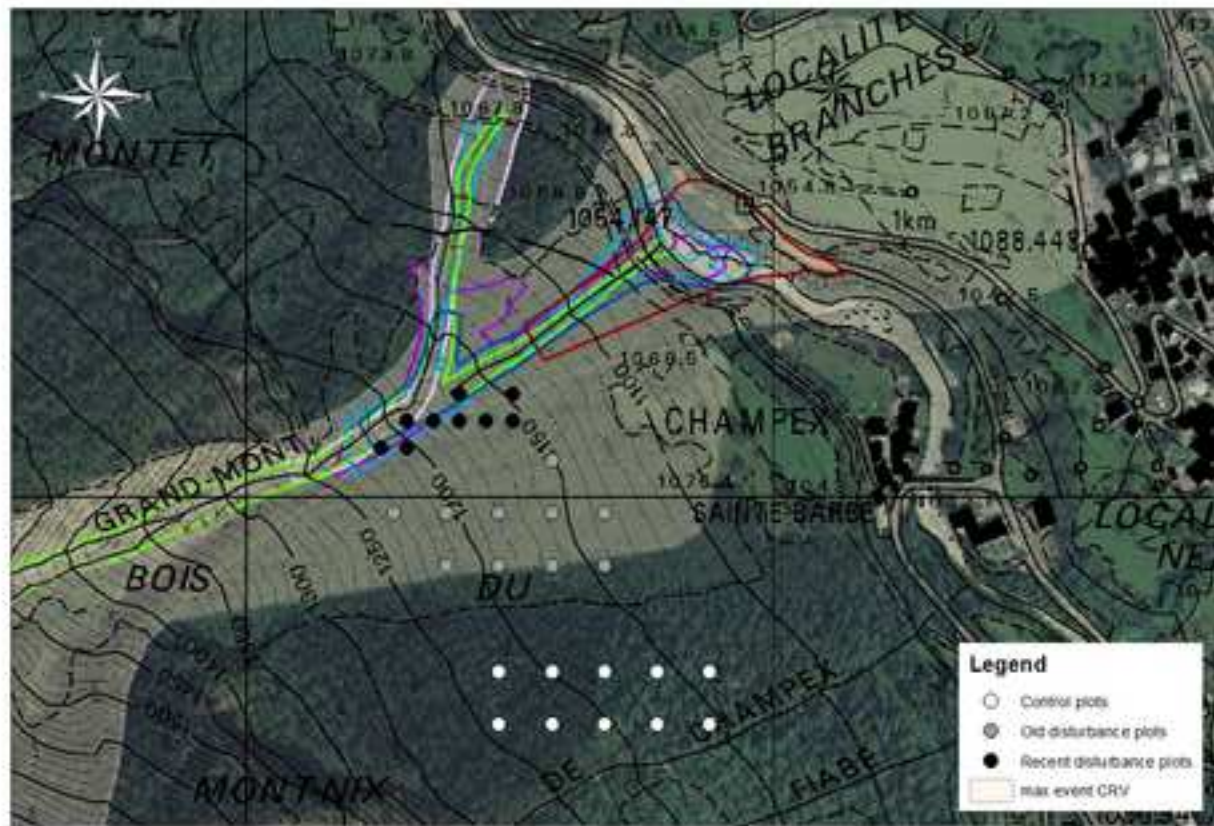


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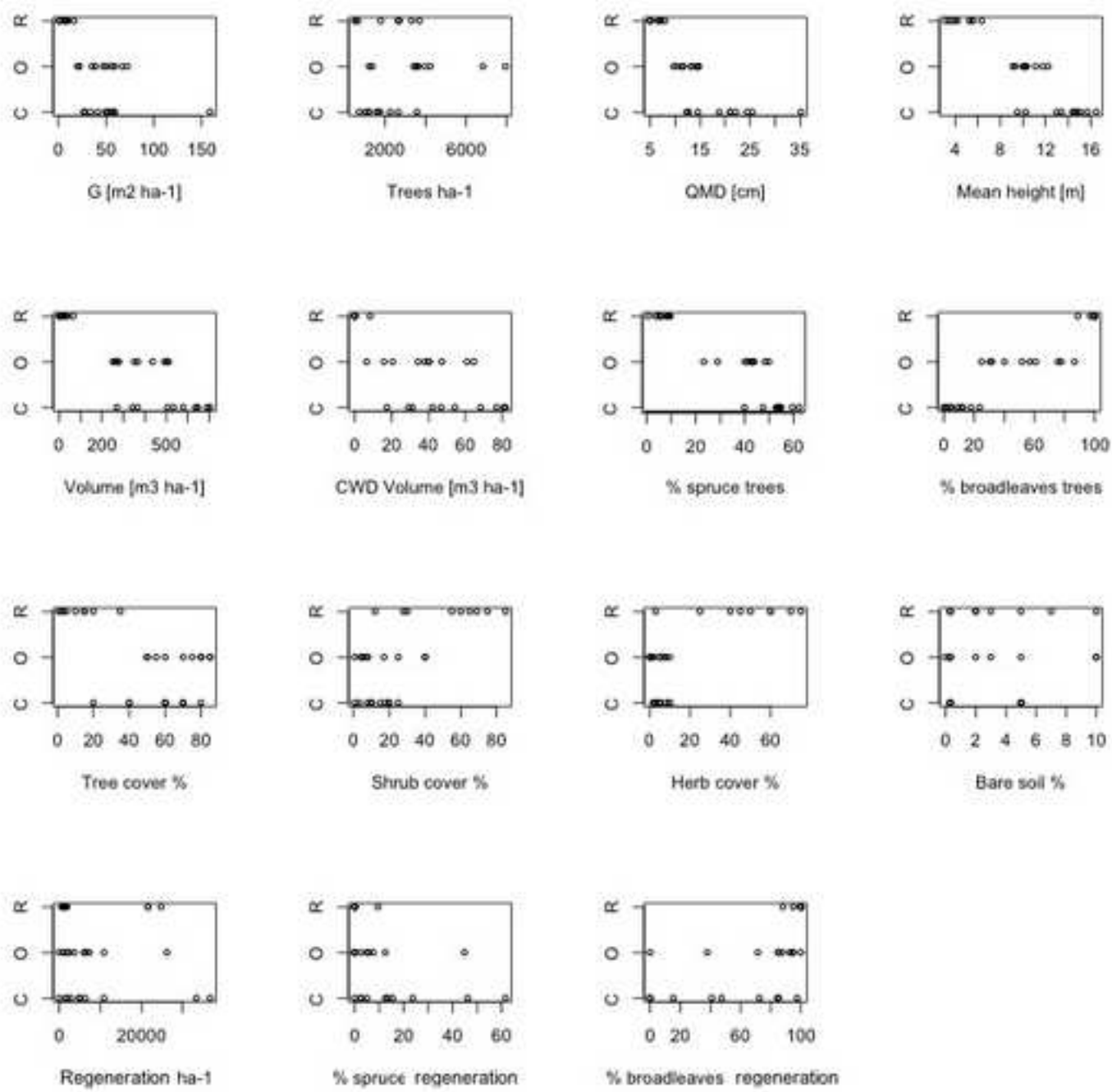


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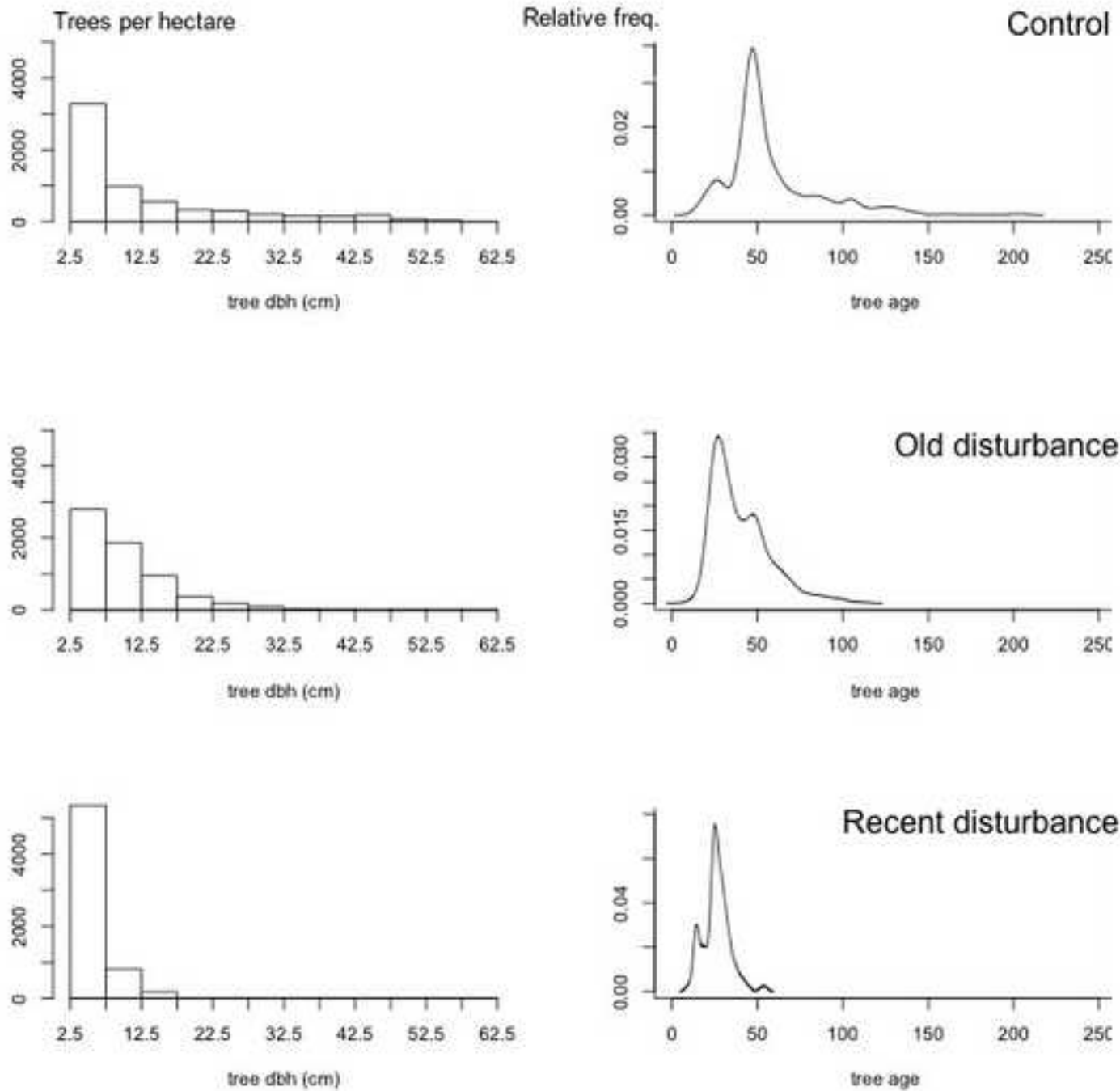




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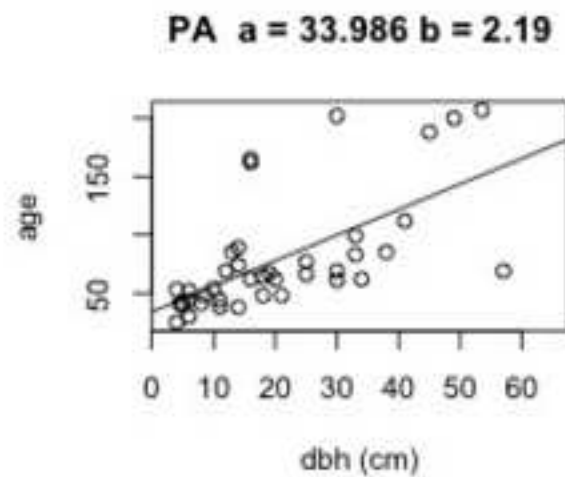
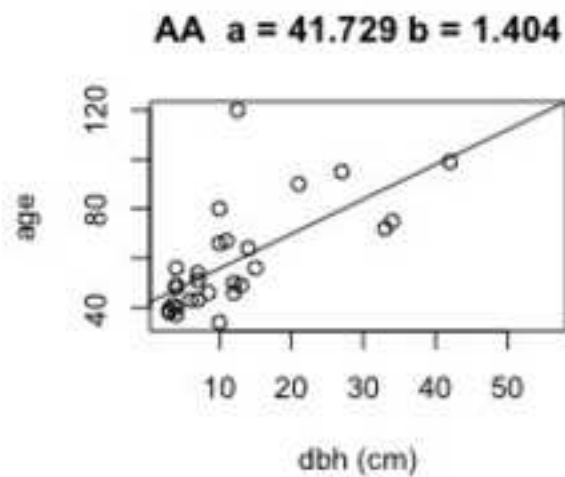
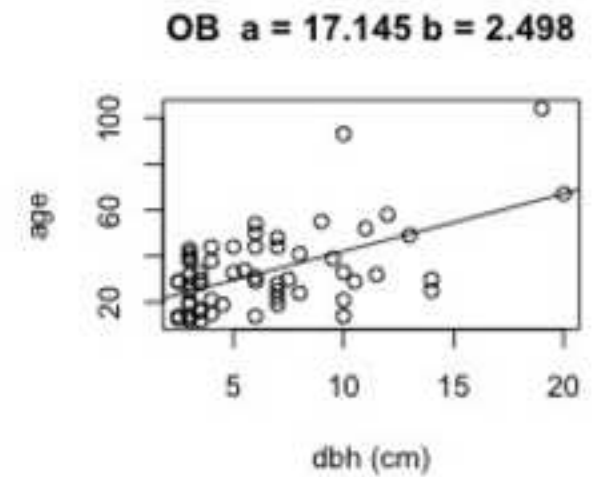
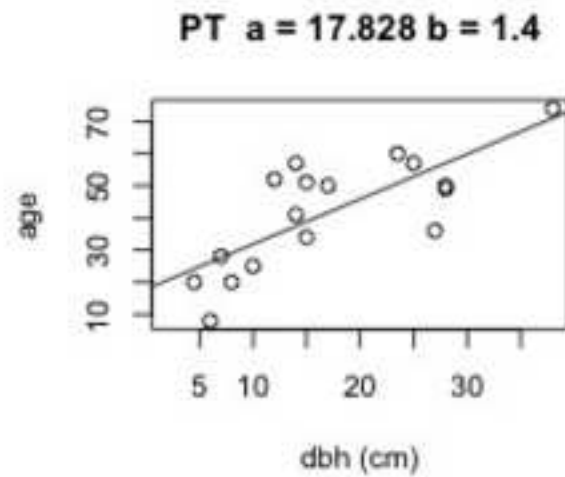
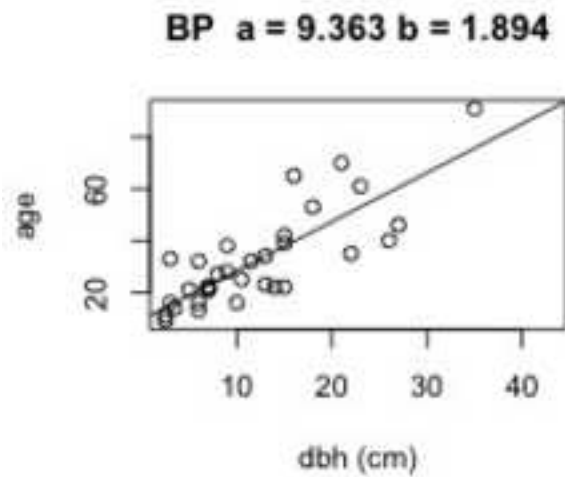
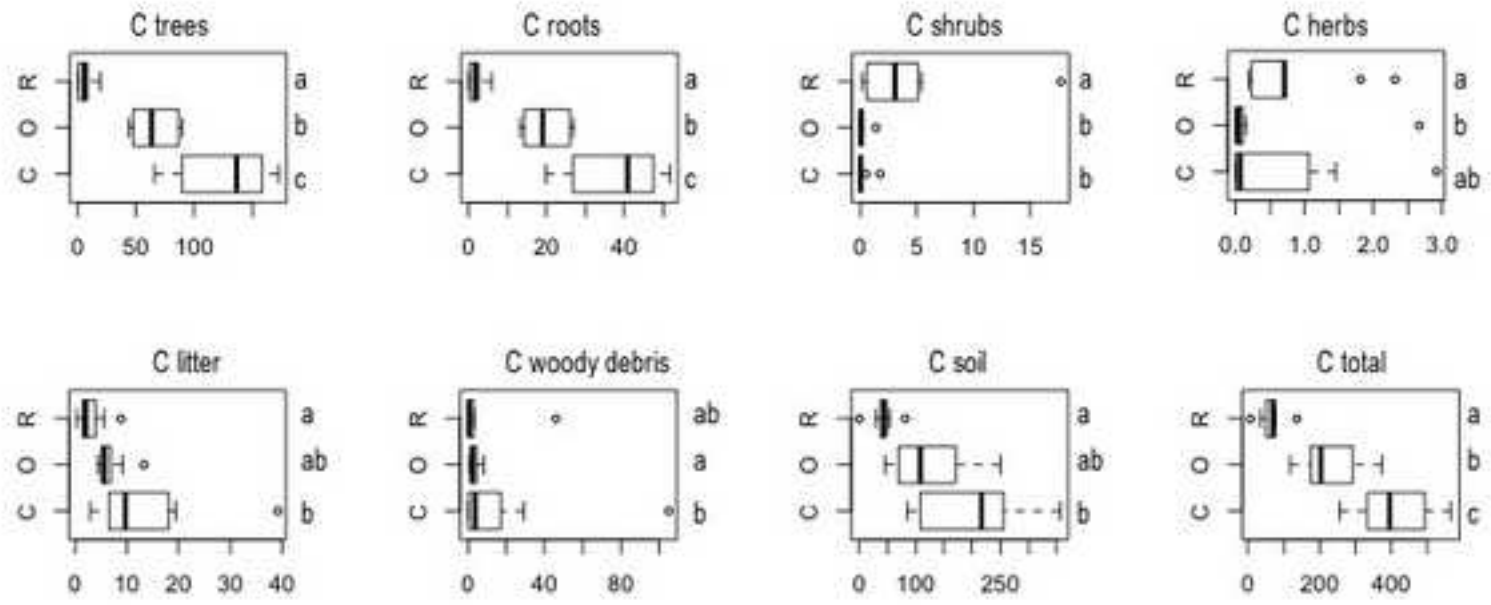




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Figure 8b  
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Figure 8c  
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