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2 High-Pressure Raman spectroscopy on low albite

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7 Abstract

8 The pressure-dependence of the Raman spectrum of low albite, NaAlSi₃O₈, has been investigated from 0.0001 to 10.4
9 GPa, at room temperature, on a single crystal compressed hydrostatically in a diamond-anvil cell. The Raman
10 vibrational features move to higher wavenumbers ν_i with increasing pressure, due to the decrease of the unit-cell
11 volume corresponding to a drastic shrinkage of the framework. The slopes $\Delta\nu_i/\Delta P$ of the four investigated bending
12 modes (*i.e.*, at 478, 507, 578 and 815 cm⁻¹, at 0.0001 GPa) show evident changes at ~6.5 GPa and at ~8.5 GPa. This
13 behaviour may be ascribed, in the absence of phase transitions, to the evolution of the compressional mechanisms at the
14 atomic scale found in previous high-pressure studies on albite (mainly by X-ray diffraction), through a model based on
15 tilts of rigid tetrahedra. The Raman data of this study allowed also to bracket the pressure range in which the occurrence
16 of the first change in the compressional behaviour was found by X-ray diffraction. A comparative analysis between the
17 main *P*-induced deformation mechanisms, based on previous X-ray structure investigations, and the present Raman
18 results is carried out.

19
20 **Keywords** Feldspars, low albite, high-pressure Raman spectroscopy, compressional behaviour.

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27 Introduction

28 The compressional behaviour of feldspars has been deeply studied in order to understand the mechanisms that control
29 the elastic properties of this abundant class of minerals of the Earth's crust (~ 60 wt%) (*e.g.* Angel 1992; Daniel et al.
30 1995; Daniel et al. 1997; Downs et al. 1999; Angel 2004; Benusa et al. 2005; Angel et al. 2012; Angel et al. 2013). The
31 pressure range over which feldspars are thermodynamically stable is limited to 1 GPa in geological environments
32 (Downs et al. 1994; Benusa et al. 2005; Carpenter 2006), and they become metastable at higher pressures. Nevertheless,
33 compressional studies at high pressures are needed to provide more accurate data on the thermodynamic properties of
34 these minerals, and to interpret the deformation changes in the framework, eventually leading to amorphization and to

35 diaplectic glasses with feldspathic composition, as maskelenyte, which are commonly found in natural meteorites
36 (Velde et al. 1989; Fritz et al. 2005).

37 Feldspars are significantly stiffer than other open-framework silicates, such as quartz (Angel et al. 1997) or zeolites
38 (Gatta and Lee 2014) but, among rock-forming minerals, they are softer than other rock-forming silicate, *e.g.* olivine
39 and pyroxenes (Angel 2004). Among natural feldspars, the thermoelastic behaviour of low albite (ideally $\text{NaAlSi}_3\text{O}_8$)
40 has deserved special attention: low albite shows significantly higher thermal expansion than other plagioclases, almost
41 twice than anorthite (Tribaudino et al. 2010), lower Einstein temperature and more anisotropic thermal deformation
42 (Tribaudino et al. 2011). Moreover, the unit-cell volume of albite shows a non-linear trend at almost any temperature,
43 unlike other plagioclases (Tribaudino et al. 2010; Tribaudino et al. 2011). At high pressure, recent determinations of the
44 equation of state (EoS) of plagioclases (Angel 2004) revealed that low albite shows unusual features (Downs et al.
45 1994; Benusa et al. 2005): it remains triclinic with $C\bar{1}$ symmetry at least up to 9.4 GPa and no phase transition occurs
46 (Benusa et al. 2005), although the unit-cell volume and axial parameters *vs.* P show a change at $P > 4$ GPa, ascribable to
47 a change of the compressional mechanisms at the atomic scale. The first P -derivative of the bulk modulus, obtained by
48 an isothermal Birch-Murnaghan EoS fit (Birch 1966), has values $K' = \partial K/\partial P > 4$ below 4 GPa, whereas at higher
49 pressure $K' < 4$, as observed in the anorthite-richer plagioclases (with An content higher than 30%, Angel 2004). The
50 closing-up of the crankshaft chains is the dominant compression mechanism and is responsible for the extreme
51 anisotropy of compression that is typical for all feldspars (Angel et al. 1988; Angel 2004). Albite reveals a more
52 complex behaviour than other feldspars (Benusa et al. 2005). Two secondary compressional mechanisms act at different
53 pressures: i) below 4 GPa, the closing-up of the crankshaft chains is the major mechanism, without rotation of T_1
54 tetrahedra, in addition to the shear of four-membered rings; ii) above 4-5 GPa, T_1 tetrahedra rotate around [001]
55 direction and albite becomes softer, similarly to what is observed in plagioclase at lower pressures (Angel 2004); iii)
56 above 8 GPa, a severe softening of the structure as a whole occurs, coupled with an expansion along some directions
57 (*e.g.*, [110]). At $P > 8$ GPa, the smallest T-O_c(o)-T angle decreases to a value of $\sim 113^\circ$, which represents an extreme
58 limit for Al-O-Si bonds, because it enables a Al-Si distance of ~ 2.8 Å (Benusa et al. 2005). Calculation of the strain
59 tensor (Ohashi and Burnham 1973) from the unit-cell parameters showed that the direction of maximum compression is
60 close to the (100) plane normal, *i.e.*, along the extension direction of the crankshaft chains, and that this direction
61 accommodates about the 65% of the volume compression of the framework.

62
63 In this paper, the results of an in-situ high-pressure Raman spectroscopic study on a single-crystal of low albite are
64 reported, in order to describe the high-pressure vibrational behaviour of this feldspar, compared with its elastic
65 properties as determined by in-situ high-pressure X-ray diffraction experiments (Downs et al. 1994; Benusa et al. 2005).
66 As far as we know, this is the first experiment in which the evolution of the single-crystal Raman spectrum of albite
67 with pressure is reported.

68

69 **Experimental method**

70 The high-pressure Raman spectroscopy experiment was performed on a single crystal of low albite from Minas Gerais
71 (Brazil) [$a = 8.1395(5)$ Å, $b = 12.7838(7)$ Å, $c = 7.1597(6)$ Å, $\alpha = 94.242(6)^\circ$, $\beta = 116.590(7)^\circ$, $\gamma = 87.674(5)^\circ$, $V =$
72 $664.35(9)$ Å³, space group $C\bar{1}$]. EPMA-EDS indicate an almost end-member composition, with K₂O and CaO

73 concentrations of 0.32 and 0.19 wt%, respectively. Further details pertaining to the crystal-chemical characterization of
74 the sample (*e.g.*, chemical analysis protocol, unit-cell parameters measurement and single-crystal structure refinement
75 procedure) can be found in Aliatis et al. (2015).

76 An ETH-type diamond anvil cell (DAC), designed by Miletich et al. (2000), was used for the high-pressure Raman
77 experiment. Stainless-steel T301 foil, 250 μm thick, pre-indented to a thickness of about 100 μm and with a 300 μm
78 hole obtained by electro-spark erosion, was used as a gasket. Type-II diamonds were used as anvils (culet diameter: 600
79 μm). A single crystal of low albite (120 x 100 x 20 μm^3) was placed in the gasket hole along with some ruby chips for
80 pressure measurements by the ruby-fluorescence method (precision of ± 0.05 GPa) (Mao et al. 1986). Methanol:ethanol
81 = 4:1 mixture was used as hydrostatic pressure-transmitting fluid (Angel et al. 2007).

82 Raman spectra were collected in the pressure range 0.0001 - 10.4(5) GPa (16 measurements in compression and 6 in
83 decompression) using an Olympus BX40 microscope attached to a Jobin Yvon Horiba LabRam confocal Raman
84 spectrometer, equipped with a charge-coupled detector (CCD). The sample was excited by a continuous-wave single
85 frequency Nd:YAG blue laser at 473.1 nm end-pumped by a laser diode. The laser beam was focused on the sample
86 with a spot diameter of nearly 1 μm (50x ultra long working distance objective, NA = 0.55), using a confocal aperture
87 of 150 μm . The spectral resolution was about 3.5 cm^{-1} . Unpolarized Raman spectra were collected in backscattered
88 geometry in the spectral range 100-2000 cm^{-1} , with 60-90 s counting times and 6-10 accumulations.

89 The (010) cleavage face of the albite crystal was set as much as possible parallel to the culet face of the anvil. The same
90 configuration was maintained for all the spectra. Before each measurement of the Raman spectrum of the sample and of
91 the fluorescence spectrum of ruby, the spectrometer was calibrated using the emission lines of a spectroscopic Zn lamp
92 in the two different spectral ranges. In addition, all spectra were collected at least 20 min after increasing the pressure.
93 The positions of the Raman bands were determined, with accuracy better than 0.5 cm^{-1} , after a polynomial baseline
94 subtraction, by pseudo-Voigt deconvolution procedure (LABSPEC 5.78.24 software package, Jobin Yvon Horiba).

95

96 **Results**

97 Some representative Raman spectra of low albite collected in compression and decompression mode are shown in Fig.
98 1. Twenty-five modes, out of the 39 A_g modes expected by factor group analysis (Aliatis et al. 2015) were observed
99 with the sample inside the DAC. The useful data were in the spectral range up to 850 cm^{-1} , due to the intense Raman
100 bands at 880 and 1000 cm^{-1} of the pressure-fluid (*i.e.*, methanol or ethanol) and to the strong diamond peak at 1332
101 cm^{-1} . At high pressure a general deterioration of the Raman signal due to band overlapping was observed. As an
102 example, the characteristic peaks at 478 and 507 cm^{-1} become a single unresolved band at $P > 8$ GPa, but they split
103 again in decompression.

104 The major features of the Raman spectra collected at room conditions are preserved up to the highest pressure, with no
105 evidence of amorphization effects. Some of the weakest Raman bands of low albite disappear with increasing pressure,
106 especially at low wavenumbers: the number of the observable Raman modes below 250 cm^{-1} decreases from 7 to 4 at
107 2.9 GPa, and the P -evolution of the Raman features in this spectral range cannot be determined unambiguously.

108 A shift towards higher wavenumbers is observed for all Raman modes during compression, an effect completely
109 reversible in decompression. The distances between the building units forming the albite framework (*i.e.*, Si or Al
110 tetrahedra) decrease with increasing pressure and the stronger electrostatic repulsion causes the observed blue-shifts.

111 In the following, the wavenumbers used to label the investigated Raman bands are those found in the Raman spectrum
112 at room T , P . The pressure-induced evolution of six selected (well observable) Raman bands at 149-290-48-507-578-
113 815 cm^{-1} , along with the linear fits to their $\nu_i(P)$ curves, are shown in Fig. 2. The wavenumber blue shifts, within the full
114 P -range investigated, are: $\Delta\nu_{149} = 26.0 \text{ cm}^{-1}$, $\Delta\nu_{290} = 15.7 \text{ cm}^{-1}$, $\Delta\nu_{478} = 29.1 \text{ cm}^{-1}$, $\Delta\nu_{507} = 16.2 \text{ cm}^{-1}$, $\Delta\nu_{578} = 4.2 \text{ cm}^{-1}$,
115 and $\Delta\nu_{815} = 17.3 \text{ cm}^{-1}$.

116 Excluding the Raman band at 149 cm^{-1} , which displays a constant $\Delta\nu/\Delta P$ slope over the entire P -range, variations of the
117 $\Delta\nu_i/\Delta P$ slopes are observed for the studied modes (see Table 1). This suggests different compressional mechanisms for
118 the building units involved in each mode. The modes involving mainly Na (*e.g.*, 149 cm^{-1} , Aliatis et al 2015) show the
119 most pronounced changes in slope with pressure, consistent with the clue that Na atoms are just ‘pushed around’ by the
120 framework and that the cavity is rapidly compressed. A common feature of the $\nu_i(P)$ curves is an apparent discontinuity
121 at ~ 6.5 GPa. Raman data suggest, therefore, that the internal structural rearrangements occur in a sharp pressure
122 interval, at about 6.5 GPa and not spread out from 4 to 8 GPa as suggested by previous X-rays studies on elasticity
123 (Benusa et al. 2005). This behaviour cannot be ascribed to a phase transition (as it can be ruled out on the basis of the
124 X-ray data), it is rather the effect of a change in the compressional mechanisms at the atomic scale, with a resulting
125 change of the elastic behaviour. In addition, whereas most modes evolve smoothly for $P > 7$ GPa, the high-frequency
126 mode at 815 cm^{-1} shows another discontinuity in $\nu_{815}(P)$ at $P \sim 8.5$ GPa.

127

128 Discussion

129 Interesting correspondences can be found if the in-situ high-pressure Raman results here reported and the structural data
130 from literature are compared. Looking at the pressure-evolution of the T-O-T bond angles and of the O-O-O ring
131 angles, based on the structure refinements, as given by Benusa et al. (2005), a discontinuity at $P \sim 6$ GPa is detected. In
132 particular, the most evident structural rearrangement reflects the reduction in the T-O_B(o)-T and T-O_C(o)-T bond angles,
133 which results in the closing-up of the crankshaft chains and in the shear of the four-membered rings of tetrahedra. The
134 shear causes the narrowing of the channels which is responsible of the softness along the (100) plane normal, as
135 observed in all feldspars (Downs et al. 1994; Angel 2004; Benusa et al. 2005). Angel et al. (2012) showed that all the
136 essential features of the structures (*i.e.*, unit-cell parameters and volumes, their expansion and compression induced by
137 changes in pressure, temperature, and composition) are generated by tetrahedral tilting, and that the fundamental reason
138 for feldspars anisotropy lies in the topology of the tetrahedral framework. In particular, four tilts are allowed in a ring of
139 four corner-linked tetrahedra with point symmetry 2: #1 the rotation of T₁ tetrahedra around the O_B-O_D edge; #2
140 rotation of T₂ tetrahedra around the O_B-O_D edge; #3 mutual rotation of the T₂ by equal but opposite amounts around a^* ;
141 #4 shear of the ring within the (010) plane. In triclinic feldspars, there are four non-equivalent tetrahedra, so four
142 individual tilts of the tetrahedra with respect to the ring: tilt #1 will be split in T₁(o) and T₁(m) tilts, and tilt #2 in T₂(o)
143 and T₂(m) tilts. The O-O repulsions control the values of these tilts and, therefore, the anisotropy of the structure,
144 because tilts maximize the shortest O-O distances in the structure (Angel et al. 2012; Angel et al. 2013). Therefore,
145 considering the structural changes in terms of tilts of rigid tetrahedra, the variation in the tilting angles are expected to

146 be relate especially with the framework bending modes (*i.e.*, the Raman modes at 478, 507, 578 and 815 cm^{-1} , Aliatis et
147 al. 2015).

148 The pressure-induced evolution of tilt angles in triclinic structures is shown in Fig. 3. They have been recalculated from
149 the experimental data of Benusa et al. (2005) and Downs et al. (1994), using the definition and the calculations reported
150 in Angel et al. (2012). It is evident that the rate in the variation of all tilts changes significantly at 6 GPa, in good
151 agreement with our Raman data of this study. In Fig. 4, the correlation between the change in O-O-O angles and tilt #4
152 (*i.e.*, shear of the ring) is shown. Tilts do not include the internal deformation of the tetrahedra, because they are only a
153 measure of the response of the structure interpreted in terms of non-deformable tetrahedra, so they do not explain the
154 further change at $P > 8.5$ GPa.

155 The Raman mode at 478 cm^{-1} shows the most pronounced Raman shift between 0.0001 and 6.5 GPa ($\Delta\nu_{478} = 29.1 \text{ cm}^{-1}$,
156 Table 1). Comparing the vibrational patterns corresponding to the Raman features at 478, 507, 578 and 815 cm^{-1} , it
157 appears, especially in the *ab* projection (Fig. 5), that the mode at 478 cm^{-1} squeezes the cage inwards, whereas the
158 modes at higher wavenumbers stretch the cage outwards. Changes in T-O-T and O-O-O angles result in the narrowing
159 of the tetrahedral cages, which causes an increase in the Coulomb repulsion between atoms: the atomic pattern of the
160 mode at 478 cm^{-1} may explain its largest wavenumber increase with pressure.

161 At $P > 6.5$ GPa, the Raman band at 507 cm^{-1} exhibits the steepest $\Delta\nu/\Delta P$ and the slope of the 578 cm^{-1} mode changes its
162 sign (see Table 1), suggesting a relevant structural rearrangement.

163 In addition to the 6.5 GPa discontinuity, the mode at 815 cm^{-1} shows a further abrupt change in $\Delta\nu/\Delta P$ at about 8.5 GPa.
164 As reported in Aliatis et al. (2015), this mode has a significant contribution from Si-O stretching vibrations and may be
165 affected by variation of the internal geometry of the TO_4 tetrahedra. The discontinuity at 8.5 GPa could mirror the
166 severe softening of the structure observed at $P > 8$ GPa by Benusa et al. (2005).

167 The discontinuity at 6.5 GPa may be noticed, even if at a lesser extent, also by the Raman mode at 290 cm^{-1} , which
168 consists mainly of rotations of the tetrahedra (Aliatis et al. 2015).

169 The wavenumber of the Raman mode at $\sim 149 \text{ cm}^{-1}$ changes linearly over the entire investigated pressure range. This
170 mode is related mostly to the Na atoms vibrations, in particular to Na translations within the tetrahedral cage (Aliatis et
171 al. 2015). As the Na atoms are just 'pushed around' (Downs et al. 1994; Benusa et al. 2005), and the cavity tends to be
172 rapidly compressed, a significant change with pressure is expected. Na atom does not change its coordination number
173 within the *P*-range investigated, despite the significant decrease in the Na-O distances; this in contrast to microcline,
174 where the K site increases its coordination number by bonding the additional $\text{O}_B(\text{m})$ atom as the framework is
175 compressed (Downs et al. 1999). In this respect, the behaviour of the extra-framework population with increasing
176 pressure in albite is similar to that observed in other open-framework silicates (*e.g.*, some zeolites or feldspathoids,
177 Gatta 2008; Gatta 2010; Gatta and Lotti 2016).

178 Changes in peak linewidth are not significant (*i.e.*, maximum increase of about 2-2.5 cm^{-1} in the investigated pressure
179 range), confirming that the experiment was conducted under hydrostatic conditions and no evidence of *P*-induced
180 amorphization occurs up to the maximum pressure achieved.

181

182 **Conclusions**

183 To the best of our knowledge, this is the first study in which the evolution of the Raman active modes of low albite has
184 been investigated by an in-situ experiment up to 10.4 GPa. Our experimental findings can be summarized as follows:
185 the six investigated Raman modes (*i.e.*, 149, 290, 478, 507, 578, 815 cm^{-1} at 0.0001 GPa) show a smooth evolution
186 (stiffening) from room pressure to about 6 GPa and, excluding the mode involving Na atoms (*i.e.*, 149 cm^{-1}), a
187 significant change in their vibrational behaviour occurs at $P \sim 6.5$ GPa: this allows localizing the first change in the
188 compressional behaviour of albite previously found by X-ray diffraction in a large P range (*i.e.*, 4-8 GPa). A further
189 change at about 8.5 GPa of the mode at 815 cm^{-1} confirms a second rearrangement of the structure, including the
190 compression and severe distortion of the tetrahedra, observed by X-ray diffraction at $P > 8$ GPa.

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- 241

242 **Tables**

243

244 **Table 1** Raman shifts as a function of pressure for six selected Raman modes

245

Modes (cm ⁻¹)	$ \Delta\nu _{0-10.4 \text{ GPa}}$ (cm ⁻¹)	$P < 6.5 \text{ GPa}$		$P > 6.5 \text{ GPa}$		$P > 8.5 \text{ GPa}$	
		$\Delta\nu/\Delta P$ (cm ⁻¹ GPa ⁻¹)	uncertainties (cm ⁻¹ GPa ⁻¹)	$\Delta\nu/\Delta P$ (cm ⁻¹ GPa ⁻¹)	uncertainties (cm ⁻¹ GPa ⁻¹)	$\Delta\nu/\Delta P$ (cm ⁻¹ GPa ⁻¹)	uncertainties (cm ⁻¹ GPa ⁻¹)
149	26.0	2.7	0.1				
290	15.7	1.9	0.1	1.3	0.1		
478	29.1	3.6	0.1	1.9	0.3		
507	16.2	1.1	0.1	2.9	0.2		
578	4.2	1.8	0.1	-1.4	0.2		
815	17.3	2.2	0.1	-0.5	0.5	3.1	0.4

246

247 **Caption of the Figures**

248

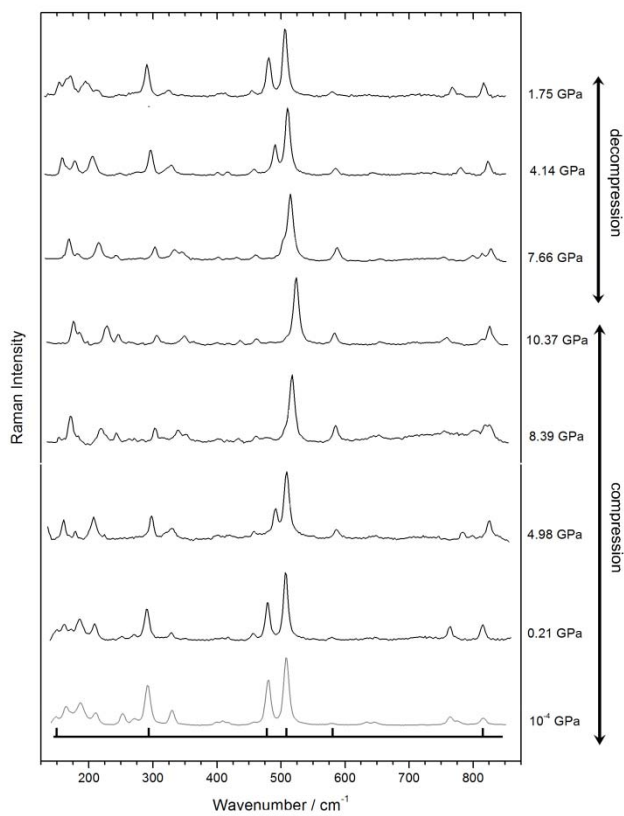
249 **Fig. 1** Pressure-evolution of the Raman spectrum of low albite during isothermal ($T = 25\text{ °C}$) compression and
250 decompression, from 0.0001 to 10.4 GPa. The spectrum at 0.0001 GPa was collected with the crystal in air

251 **Fig. 2** Pressure-evolution of some selected Raman bands. Full and empty symbols correspond to the compression and
252 decompression experiments, respectively. Lines are least square fits to data, to use as guide to the eye

253 **Fig. 3** Plots of the four possible tilts in the alkali-feldspar structure as a function of pressure. Tilts have been defined
254 and calculated according to Angel et al. (2012). Data from the refinements of Benusa et al. (2005) and the lower- P
255 refinements by Downs et al. (1994)

256 **Fig. 4** The shear of the four-membered ring of tetrahedra. O-O-O angles from Benusa et al. (2005); tilt #4 is defined in
257 Angel et al. (2012), along with the protocol for its calculation. Lines are drawn as guide to the eye

258 **Fig. 5** Vibrational patterns of the modes corresponding to the Raman bands at 478, 507, 578 and 815 cm^{-1} , projected on
259 the ab plane. Wavenumbers refer to room T,P . Calculated atomic displacements are indicated as black arrows. Atom
260 types are: Na (yellow), Si (red), Al (green) and O (blue)



262

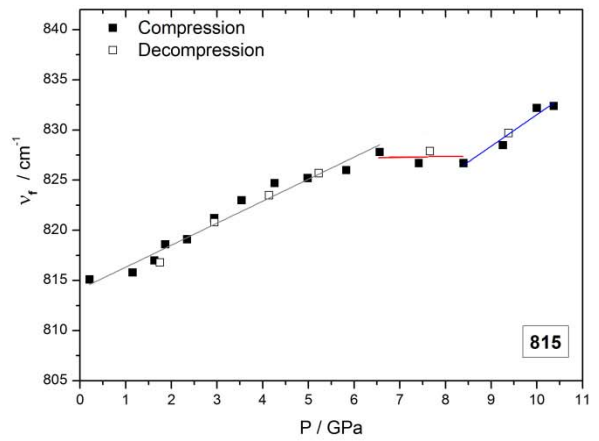
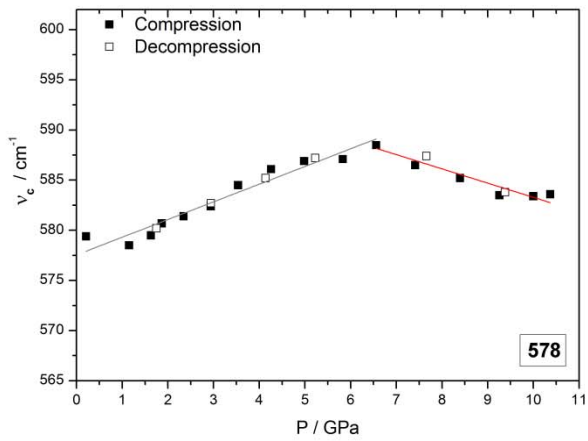
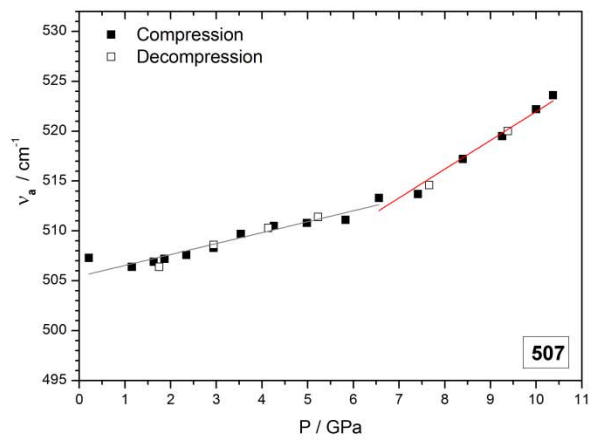
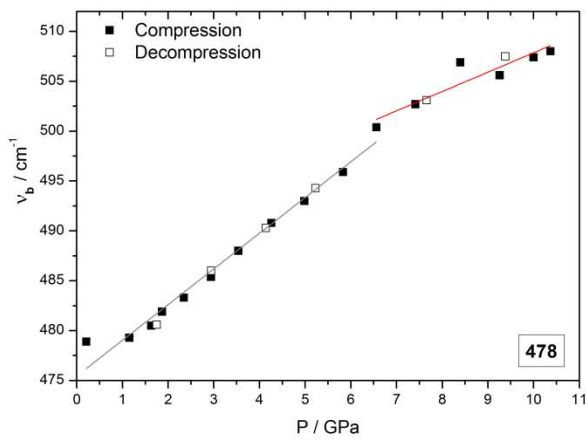
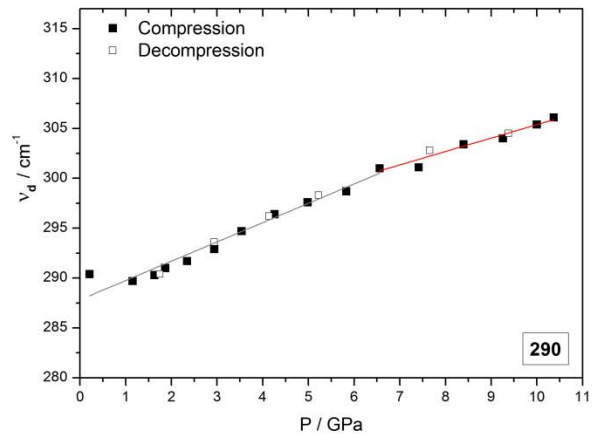
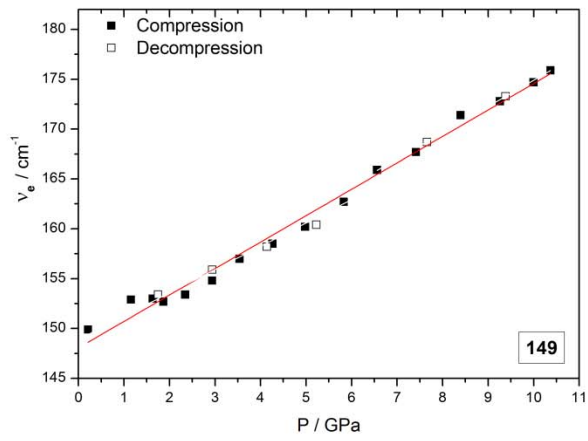
263

Fig1.tiff

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Graphics program: Origin Pro8

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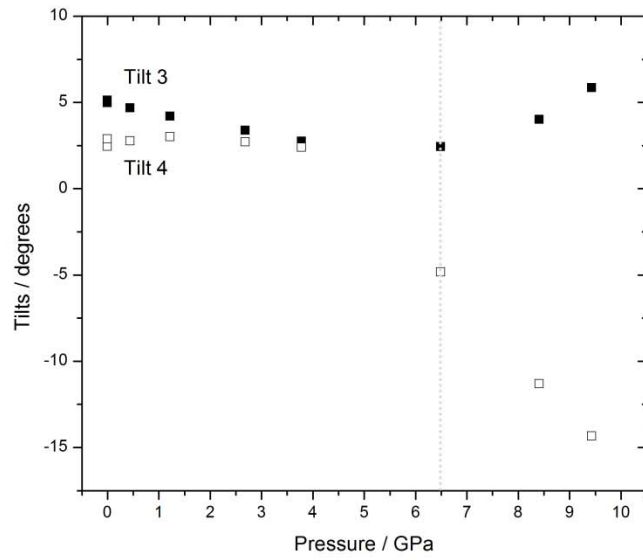
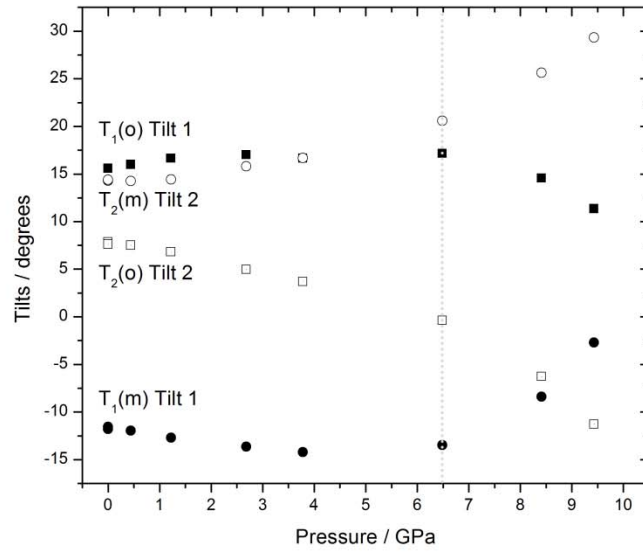
268

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Fig2.tiff

Graphics program: Origin Pro8



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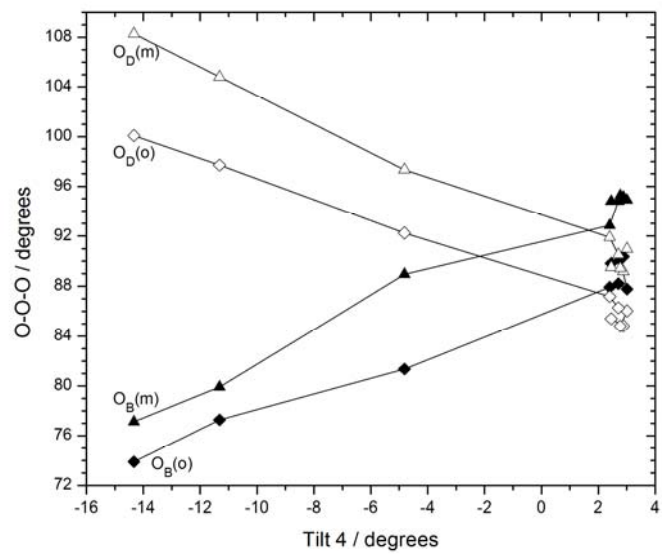
Fig3.tiff

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Graphics program: Origin Pro8

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Fig4.tiff

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Graphics program: Origin Pro8

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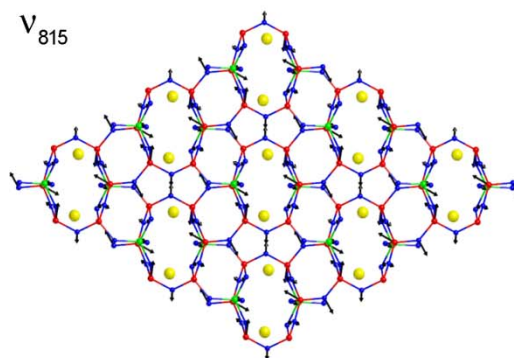
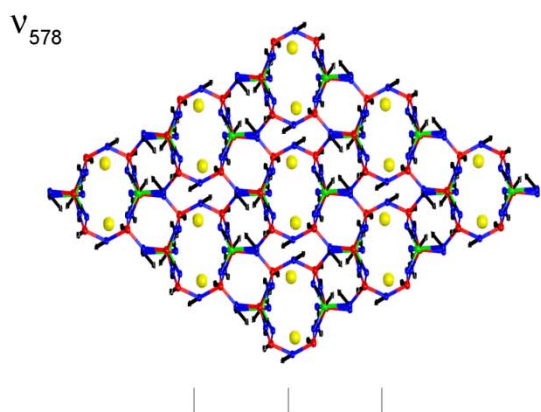
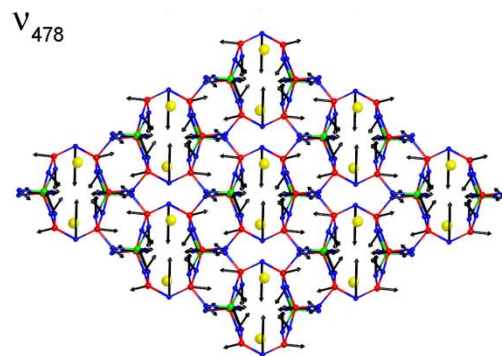
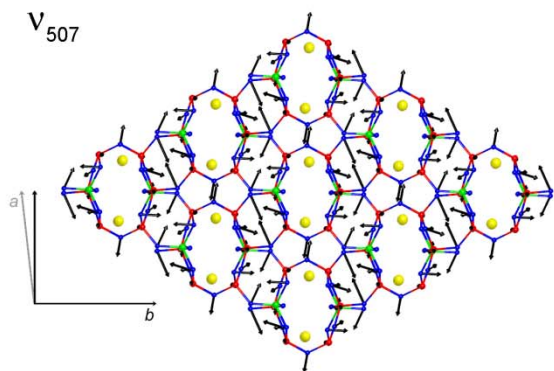
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Fig5.tiff

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Graphics program: Moldraw software (<http://www.moldraw.unito.it>)

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