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The fundamentals of flame treatment for the surface activation of polyolefin polymers

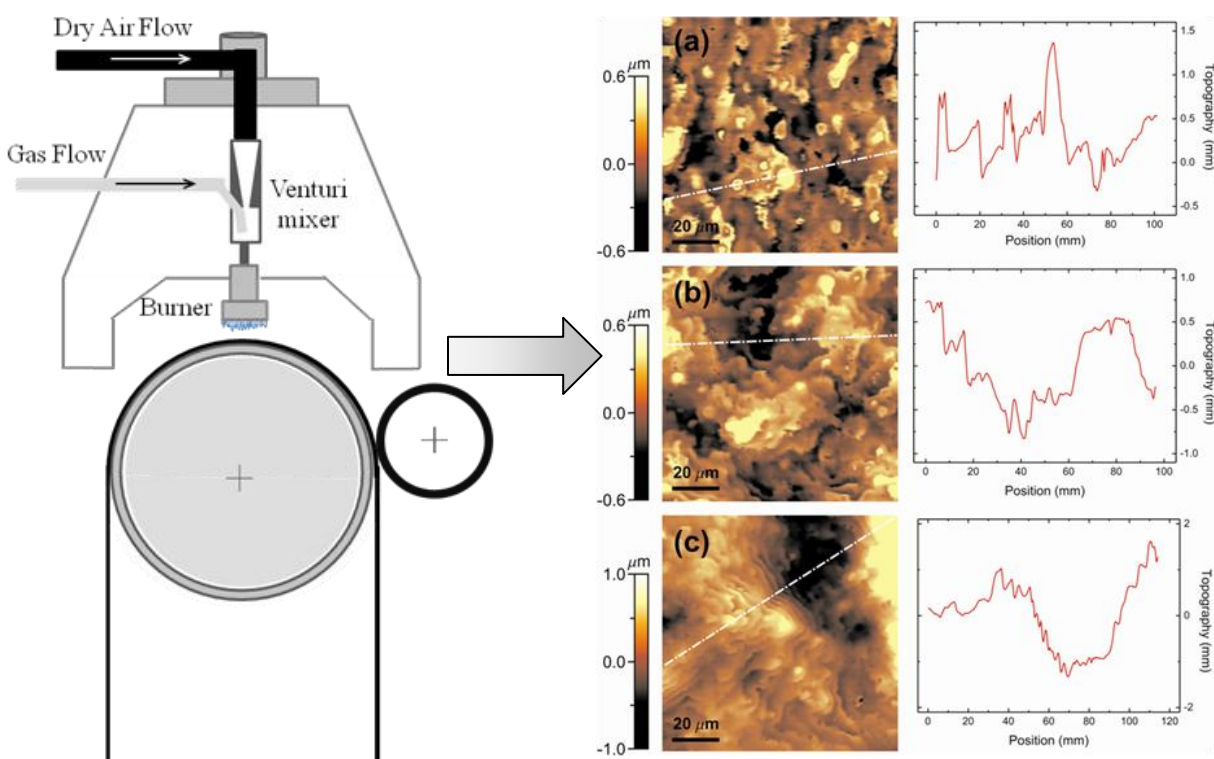
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Graphical abstract



The fundamentals of flame treatment for the surface activation of polyolefin polymers – a review

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1 **Abstract**

2 This paper aims to provide an exhaustive and comprehensive overview on flame treatment as
3 a valuable technique for improving the surface properties of polymers, especially polyolefins. It
4 starts with a brief historical *excursus* on the origin of flame treatment, and the second section deals
5 with the major fundamentals of flame chemistry, with a special focus on the combustion process
6 and mechanism of surface activation. The most important parameters influencing the extent of the
7 oxidation reaction along with relevant practical notes are discussed in the third section. The
8 concluding section outlines how the most significant features of flame treatment can be profitably
9 used to improve the wettability and adhesion properties of polyolefin surfaces, especially from the
10 perspective of developing novel composite solutions such as polyolefins/bio-based coating pairs
11 intended for many different applications.

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13 *Keywords:* coatings, polymer science and technology, surface energy

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23 **1. Introduction**

24 Surface properties play a pivotal role in defining the performance of materials. Among these
25 properties, wettability and adhesion are sought after in several industrial fields such as automotive,
26 aerospace, building, engineering, biomedical, and biomaterials [1]. For this reason, they have been
27 extensively studied by different branches of science such as polymer chemistry, physics, and
28 rheology. Adhesion and wettability are of critical importance for polymers intended for packaging
29 applications, since they can greatly affect relevant and practical attributes such as the printability of
30 a film, the strength of a laminate, and the anti-fog property of boxes, as well as the processability,
31 convertibility, recyclability, and biodegradability of the final materials. Worldwide attention has
32 long been focused on those applications requiring the deposition of a layer or coating (e.g.,
33 adhesives, paints, and varnishes) onto a polymeric substrate, especially when the adhesion at their
34 interfaces is difficult to accomplish due to the inherent chemical surface differences of the two
35 contacting polymers. As a consequence, the establishment of both interatomic and intermolecular
36 interactions governing the adhesion phenomenon at the substrate/coating interface is totally
37 frustrated [2]. To make these surfaces prone to printing and coating processes, different strategies
38 have been developed including using an adhesion promoter (e.g., chlorinated polyolefin, CPO) [3],
39 blending ethylene-propylene rubber to form thermoplastic polyolefin (TPO) [4], and exploiting
40 physical-chemical phenomena at the base of plasma [5], corona [6], laser [7], and flame treatments
41 [8]. Although all of them have been suggested as suitable approaches for enhancing polymer
42 adhesion strength, which is the most effective and feasible one is still the subject of debate [9].
43 However, it is generally agreed that flame treatment, together with corona discharge, is the most
44 widely used for the surface activation of polyolefin substrates [10].

45 The development of flame treatment has proceeded hand in hand with that of polyolefins [11].
46 After the early pioneer work of W.H. Kreidl, a considerable drive towards industrial
47 implementation arose after the discovery of isotactic polypropylene (PP) by Giulio Natta in 1954.
48 At that time, researchers belonging to the Montecatini Company located in the chemical district of

49 Terni started working on Moplen[®] in an attempt to find a solution to the high recalcitrance of such a
50 polymer to printing and coating [12]. In those same years, the electrical corona discharge process
51 was being set up by Kreidl's assistant, Kritchever, with the same goal of improving the surface
52 properties of polyolefins. Thereafter, the use of such a process grew tremendously and has become
53 the primary method of treating polymer films for two main reasons: firstly, because of concerns
54 about the safety of open flames in industrial environments and secondly, as a consequence of the
55 recognised sensitivity of flame treatments to small changes in process conditions [13]. As a result,
56 although originally developed to treat films, up to the beginning of the new century flame treatment
57 has chiefly been used for cellulosic (paper and paperboard) or relatively thick polyolefin materials
58 (e.g., automobile body parts and blow-moulded bottles) under the common misconception that
59 corona treatment is more suitable for polyolefinic films, whereas flame treatment is preferred for
60 tridimensional symmetrical shapes.

61 Over the past two decades many remarkable innovations, which will be discussed later in this
62 review, have contributed to the renewed interest in flame treatment, making it a recognised
63 technique for modifying film surfaces as well as tridimensional objects. However, to fully exploit
64 the potential of this technique, it seems of primary importance to acquire a deep knowledge of the
65 overall process. For this purpose, this review has been conceived as firstly a collection of the most
66 relevant basic principles and key concepts of flame treatment, with special emphasis on the
67 fundamental chemistry governing both the flame and surface activation phenomena. Secondly, this
68 paper aims to illustrate the main practical parameters to make the process successful. The
69 conclusion is dedicated to a brief discussion on the future trends in this field, illustrating how flame
70 treatment can help in the design of new high performance packaging materials.

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73 2. Flame chemistry

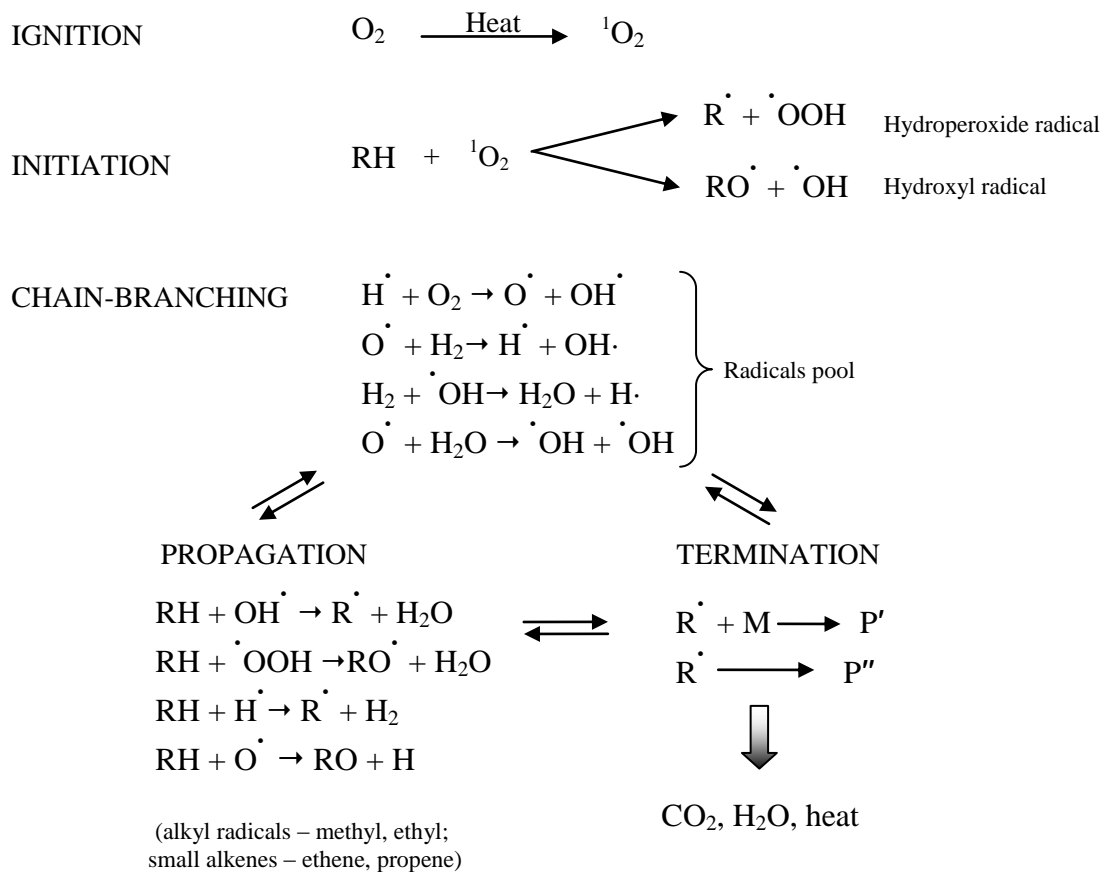
74 In 1848, Michael Faraday inaugurated the ‘Christmas Lectures’ at the English Royal Institute
75 with a talk entitled “The chemical history of a candle”, starting with the following words: “There is
76 no better, there is no more open door by which you can enter into the study of natural philosophy
77 than by considering the physical phenomena of a candle” [14]. Approximately 150 years later,
78 worldwide scientists can only agree with this leading opinion, since an apparently trivial process
79 indeed governs many modern human activities. In addition, such a process paved the way for
80 theoretical research topics that, in most cases, found remarkable applications in many fields. One
81 example is the treatment of plastic objects in a flame, which makes them suitable adherends.
82 Combustion is a complex process involving many chemical reactions between a fuel (generally a
83 hydrocarbon) and an oxidant (e.g., the oxygen in the air) with the production of heat and (although
84 not always) light in the form of a flame. Migration of chemical species within the flame results in a
85 subsonic wave (40–45 cm s⁻¹ in air/hydrocarbon systems) supported by combustion [15]. Although
86 a huge variety of chemical reactions take place during combustion, leading to many active radical
87 species, it is generally recognised that the overall process can be summarised in few main steps, as
88 schematically displayed in Figure 1.

89 2.1. Initiation

90 This first step is represented by the general following reaction, where M is the reactant
91 molecule, R[•] the radical species and K₁ the reaction rate:



93 Firstly, the lowest-energy configuration of the dioxygen molecule (O₂), which is a stable, relatively
94 unreactive diradical in a triplet spin state, is forced into a spin-paired state, or singlet oxygen (¹O₂).
95 This is normally achieved by the absorption of sufficient energy supplied as heat (ignition).



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Figure 1. Schematic overview of the combustion process.

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100 The diradical form of oxygen is in a triplet ground state because the electrons have parallel
 101 spins. If triplet oxygen absorbs sufficient energy to reverse the spin of one of its unpaired electrons,
 102 it will form the singlet state, in which the two electrons have opposite spins. This activation
 103 overcomes the spin restriction, and singlet oxygen can consequently participate in reactions
 104 involving the simultaneous transfer of two electrons (divalent reduction). Since paired electrons are
 105 common in organic molecules, singlet oxygen is much more reactive towards organic molecules
 106 than its triplet counterpart. At this point, the so-called hydrogen abstraction from the fuel to oxygen
 can take place and hydroperoxide ($\cdot OOH$) and hydroxyl ($\cdot OH$) radicals are formed:



109 2.2. Chain branching

110 This step can be schematically represented by the following mechanism, where M and M' are
111 the reactant molecules, R[•] the radical species, α a multiplier factor and K₂ the reaction rate:



113 Many different radical species (radical pool) are formed primarily by a general oxyhydrogenation
114 reaction pattern:



119 Among them, Reaction (2a), which is promoted by H radicals arising from the dissociation of
120 hydrogen at temperatures above 400°C, seems to be the most important since it generates all the
121 successive reactions [(2b)–(2d)]. It has to be pointed out that, since the rate of Reaction (2a) is
122 smaller than the rate of the reaction between a hydrocarbon and the hydrogen radical, the presence
123 of the hydrocarbon actually inhibits the formation of the radical pool [13].

124 2.3. Propagating step forming product

125 The highly reactive free radicals formed can freely interact with the hydrocarbon through the
126 previously mentioned hydrogen/abstraction mechanism and according to the following general
127 mechanism, where M is the reactant molecule, R[•] the radical species, P the new formed product,
128 and K₃ the reaction rate:



130 The final result is the formation of new products as well as additional radical species:





135 Hydrogen, methyl, and ethyl radicals and small alkenes (primarily ethene) can be produced from the
136 fuel degradation occurring during hydrogen abstraction. Subsequent thermal decomposition can
137 give rise to smaller alkyl radicals, small alkenes, and alkynes (acetylene) by thermal decomposition
138 [13].

139 *2.4. Termination step forming product*

140 The termination phase is basically made of two distinct processes:



143 In step (4), radicals (R^\bullet) react with other molecules (M) at a specific rate (K_4) to give new
144 unreactive species (P'), whereas in step (5) the radicals themselves (R^\bullet) evolve to new unreactive
145 species (P'') at a defined rate (K_5).

146 The two main reactions involved in this final step are, respectively, CO formation and its
147 oxidation to CO_2 . CO formation takes place starting from all those small molecules originating from
148 the previous step. In particular, methyl and ethyl radicals and small alkenes (e.g., ethene) are the
149 most important intermediates leading to the formation of carbon monoxide through an oxidative
150 attack. The oxidation of CO to CO_2 is the concluding step of hydrocarbon combustion, according to
151 the main reaction:



153 Together with the reaction represented by Eq. (2a), the above mechanism (Eq. 6) plays a dominant
154 role within the combustion of hydrocarbons [16]. The main route to the carbon dioxide is the
155 oxidation of carbon monoxide by OH radicals, whereas the contribution by O atoms is considered
156 negligible [16]. Analogously to the rate of the reaction between the H radical and oxygen in a

157 typical oxyhydrogenation scheme (Eq. 2a), OH radicals react more rapidly with hydrocarbons than
 158 with CO to form CO₂. As a consequence, it can be asserted that hydrocarbons actually inhibit the
 159 formation of CO₂. In other words, the rate of the oxidation of CO climbs considerably as soon as
 160 both the original fuel and all hydrocarbon intermediates have been consumed, since the hydroxyl
 161 radical concentration rises dramatically [13].

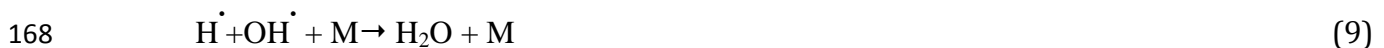
162 In these final steps, other reactions take place, among which it is worth mentioning the water
 163 formation by different pathways. Water forms through the reaction:



165 by the oxidation of formaldehyde (an intermediate of the combustion process):



167 starting from hydrogen radicals formed by previous reactions:



169 and through a typical oxyhydrogenation pattern (e.g., Eq. 2c).

170 **3. Explosive behaviour and the ‘runaway reaction’**

171 It is worth noting that, considering the sequence [(2)–(5)], when:

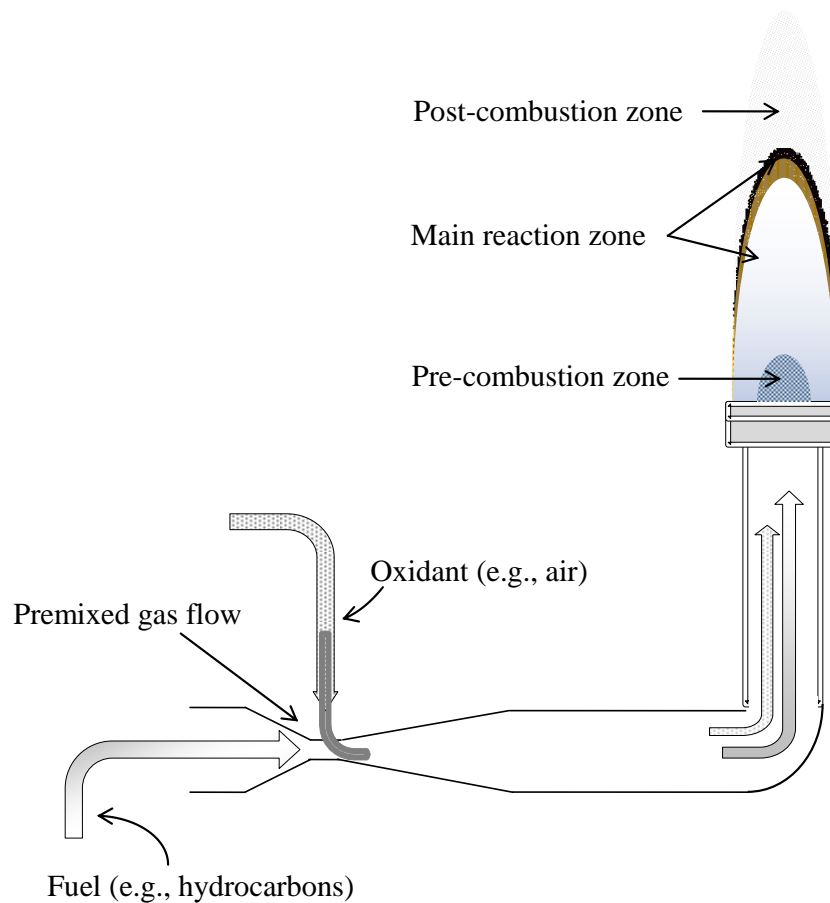
$$172 \quad \beta > \beta_{\text{crit.}} = 1 + \frac{k_4 + k_5}{k_2}, \quad (10)$$

173 the combustion system has reached the explosion condition. This means that if the air/hydrocarbon
 174 mix is within its flammability limits (i.e., it has a suitable composition) and within its explosive
 175 conditions (i.e., within adequate pressure/temperature boundaries for the same composition), the
 176 flame is generated and can spontaneously propagate. Of course, according to Equation (10), the
 177 higher the rate of the chain branching step (K₂) and the lower the rates of the termination steps (K₄
 178 and K₅), the higher the probability for the explosion of the combustion system to occur. When so,
 179 Reactions (2a)–(2d) continuously increase the number of reactive radicals, allowing the exothermic

180 condition to be approached by the combustion system. Since the rate of the above-reported
181 reactions (and thereby the rate of the heat released) increases exponentially with temperature
182 (according to the Arrhenius law), the fuel/oxidant mixture becomes explosive. Therefore, Reactions
183 (2a)–(2d) are greatly important in the oxidation reaction mechanism of any hydrocarbon because
184 they allow the propagation of the flame. This exothermic reaction is also called the ‘runaway
185 reaction’, which occurs when the reaction rate increases because of an increase in temperature,
186 causing a further increase in temperature and a further increase in the reaction rate. Since direct
187 combustion by atmospheric oxygen in a flame is a reaction mediated by radical intermediates, the
188 conditions for radical production are guaranteed by thermal runaway, where the heat generated by
189 combustion is necessary to maintain the high temperature for radical production. The ‘runaway
190 reaction’ is, therefore, the key condition for radical production.

191 **4. Laminar flame profile**

192 A laminar flame (which is ordinarily employed by flame treaters) is defined as a mixture of a
193 fuel and an oxidiser, thoroughly premixed before combustion. The term ‘premixed laminar flame’ is
194 interchangeable with the term ‘deflagration’ to indicate the propagation of the combustion process
195 accompanied by a decrease in both density and pressure together with an increase in velocity
196 (contrary to the propagation known as ‘detonation’). Within a laminar flame profile, three main
197 zones can be observed (Figure 2), which correspond to specific reactions. As a consequence,
198 different thermal gradients and reactive species can be encountered. These zones are briefly
199 described here.



200

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Figure 2. Main zones in a laminar flame profile.

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203 1) *Pre-reaction zone*

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This region, also called the ‘dark zone’, has a typical dark bluish colour. It is the coldest region of a flame because even though some of the hydrogen formed is oxidised to water the combustion process has not yet reached the explosion condition, and thereby the amount of net energy released is negligible. In this region, the only abundant free radical is the hydrogen atom, which reacts quickly with hydrocarbons and oxygen, thereby impeding the formation of the radical pool. For this reason, this zone is also known as the ‘reducing zone’. This is an ineffective and unimportant region for surface activation purposes, since it in no way contributes to the oxidation of the plastic substrate.

213 2) *Main reaction zone*

214 Also called the 'luminous zone', the mixed reaction zone is characterised by the highest
215 temperature of the combustion system (for propane-based mixtures the temperature reaches 1900–
216 2000°C). In this zone, radical content increases dramatically to the detriment of the reactant
217 concentration. The high concentration of radical species makes this region strongly oxidising, in
218 contrast to the reducing zone mentioned above. Such an oxidising region is valuable for making
219 effective the flame treatment of polyolefins. The colour of this zone depends on the fuel/air ratio: a
220 deep bluish violet radiation, with the flame becoming almost transparent if the quantity of gas is
221 increasingly reduced, is produced when the mixture is gas-lean (due to excited CH radicals);
222 conversely, a green radiation appears when the mixture is gas-rich (due to excited C₂ molecules).
223 When the gas in the mixture increases still further, the radiation turns yellowish because of the
224 carbon particles formed. The observation of the colour of the flame is an empirical tool widely used
225 by the operators of flame treatment plants to keep the right mixture composition throughout the
226 process.

227 3) *Post-combustion zone*

228 This is the largest of the three regions found in a typical laminar flame profile. The
229 temperature here remains high due to the exothermic oxidation reaction (partial or complete) of CO
230 into CO₂, with a release of heat. Although intermediate species such as CH₃, C₂H₂, and CH₂O are
231 typical of the luminous region only, radicals such as H[•], OH[•], and O[•] can also be detected in the
232 post-combustion zone [17]. Generally speaking, the concentration of radicals in a laminar flame
233 profile accounts for approximately 10⁻³ relative to the reactants, whereas ion species (among which
234 the H₃O⁺ is the most abundant) are decidedly less (10⁻⁶ relative to the reactants). Normally, they lie
235 slightly beyond the luminous portion of the flame [13].

236 The existence of a profile of compositional differences over a laminar flame can be explained
237 in terms of the convective flows of unburned gases from the dark zone to the luminous zone and the

238 diffusion of radical species from the high temperature zone to the pre-heating region, in the opposite
239 direction to the convective flow. In particular, the diffusion of radical species is dominated by
240 hydrogen atoms, which do not participate to the chain branching step described by Equation (2a)
241 because of the lower temperature in the dark region. Instead, H atoms combine with oxygen radicals
242 in the pre-heating zone to yield a large amount of HOO^\bullet radicals. These then form hydrogen
243 peroxide (H_2O_2), which does not dissociate because of the low temperatures in the dark zone. H_2O_2
244 is then conveyed to the luminous zone by convective flows, where the temperature conditions make
245 possible the formation of OH^\bullet radicals. This explains the high concentration of OH radicals relative
246 to O^\bullet and H^\bullet in the early part of the luminous zone and the very high temperature reached there,
247 with the OH radicals-forming reaction highly exothermic ($\sim 85 \text{ kcal mol}^{-1}$). In addition, it explains
248 why the OH^\bullet attack on the fuel is the primary route for fuel degradation.

249 Finally, it is worth noting that combustion processes are never complete. In the combustion of
250 hydrocarbons, both unburned carbon and carbon compounds (such as CO and others) are always
251 present. In addition, when air is the oxidant, like in a typical flame treater plant, some nitrogen can
252 be oxidised to various nitrogen oxides (NO_x) [18]. For example, Pijpers and co-workers observed a
253 significant amount of N at the surface of PP samples at air/propane ratios between 26 and 18 [8].
254 Although different mechanisms can lead to the formation of NO_x compounds, in commonly used
255 burners the high temperature oxidation of molecular N_2 seems to be the preferred way to form NO_x ,
256 among which nitrogen monoxide (NO) is the most abundant. The term 'thermal NO' is widely
257 accepted to indicate the formation of NO from the N_2 present in the combustion air. This process
258 requires very high temperatures ($\sim 1500^\circ\text{C}$) to break the covalent triple bond in the N_2 molecule by
259 the attack of the O radical produced during the combustion process. The formation of NO is in an
260 inverse proportion to CH_x intermediates and CO emissions when varying the air/fuel ratio. In
261 particular, NO formation is promoted by increased temperatures, residence times, and O_2

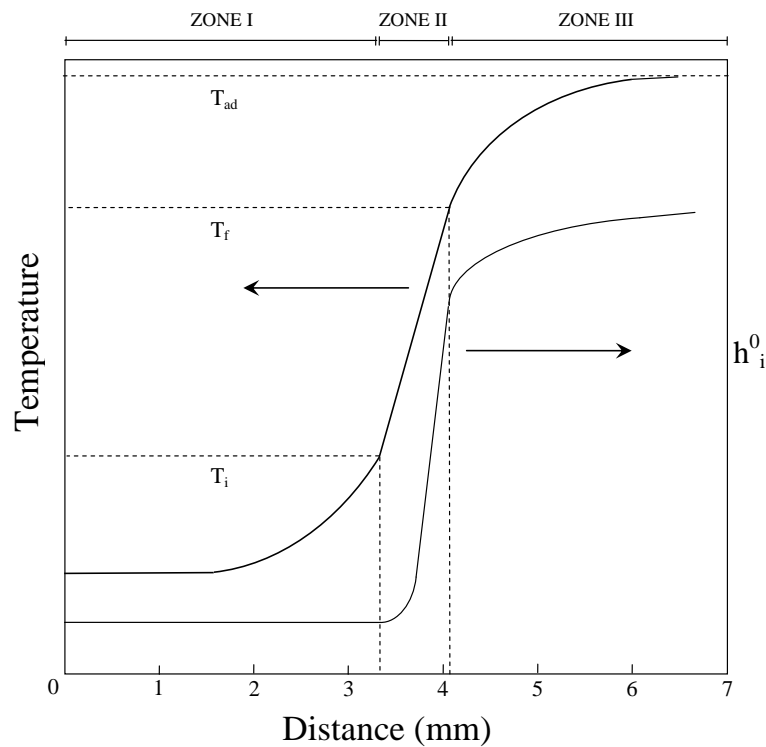
262 concentrations. Therefore, controlling NO formation during treatment operations can be easily
263 achieved by burning under lean conditions and flame quenching using a secondary air stream.
264 Besides NO, nitrogen dioxide (NO₂) is a minor product of the combustion process [19]. However,
265 since the NO oxidises to NO₂ in the atmosphere NO is a potential precursor of NO₂.

266 **5. Laminar flame speed and stability**

267 As previously stated, in a combustion system the flame is a subsonic wave characterised by a
268 velocity called laminar flame speed, which is defined as the velocity at which unburned gases move
269 throughout the combustion wave in the direction normal to the wave surface [20]. Different theories
270 have been developed over time to provide an insightful description and quantification of flame
271 speed. Some of them (e.g., the Tanford–Pease theory [21]) are based on the diffusion of the huge
272 variety of chemical species produced during combustion throughout the front of the flame.
273 Accordingly, such diffusion depends on the species' molecular weights, meaning that low mass
274 species (H, H₂, O, and OH) will diffuse more rapidly than the heavier ones. In particular, besides its
275 dominant role in Reaction (2a), hydrogen atom diffusion is especially important since its high
276 diffusion rate is responsible for the main phenomena connected with laminar flames [16]. Other
277 theories, generally called 'thermic', are instead based on the diffusion of heat rather than chemical
278 entities. Among them, the theories of Zeldovich–Frank-Kamenetskii [22], Semenov [23,24], and
279 Mallard–Le Chatelier [25] deserve to be mentioned because they similarly contribute to the
280 chemical kinetic modelling of hydrocarbon combustion.

281 A generalisation arising from the combination of these theories has been suggested as the
282 most appropriate approach to model laminar burning velocity, since it makes possible fixing the
283 most important practical parameters in laminar flame propagation, which are otherwise difficult to
284 interpret in more complex analyses [20]. Accordingly, it is assumed that there are two main
285 mechanisms governing flame propagation – the convection of heat and the diffusion of chemical
286 species – in a back-and-forward modality, namely from the combustion zone to the zone of

287 unburned gas and vice versa. Thus, the flame can be seen as an array of adjacent waves formed by
 288 unburned gas at always higher temperatures until the ignition of the gas is reached. For the
 289 assumption that the premixed combustion is one-dimensional and steady (contrary to turbulent,
 290 non-premixed flames), the temperature profile along a flame can be schematically split into three
 291 different regions, as qualitatively depicted in Figure 3, where the enthalpy of formation diagram is
 292 also reported.



293

294 **Figure 3.** Spatial evolution of temperature and enthalpy of formation in a premixed laminar flame.
 295

296 In the first zone, the initial temperature (T_0) rises exponentially, whereas the enthalpy of formation
 297 (h_i^0) remains at the same values of the starting mix. This means that in this first region the
 298 combustion conditions have not yet been reached. Heat-releasing reactions of low entities can
 299 anyhow occur, such as oxygen attacks on the hydrocarbon, hydrogen abstraction onto the
 300 hydrocarbon backbone (due to radicals diffusing from the main reaction zone), and
 301 scission/condensation reactions of the fuel. In this first zone, therefore, the temperature is controlled

302 by both diffusion and convection. The boundary between zone I and zone II is the point where the
 303 ignition takes place. At this point, the temperature registered is called the ‘mixture ignition
 304 temperature’ (T_i). In the second zone, temperature and enthalpy behave similarly, i.e., both increase
 305 linearly within a very narrow spatial range. It is assumed that in this zone the convection and
 306 generation of new species are the most important reactions, with the diffusion contribution
 307 negligible. The boundary between zone I and zone II is called the ‘flame temperature’ (T_f), i.e., the
 308 temperature of burning. Finally, in the third region both the temperature and enthalpy increase
 309 slowly because of the almost total absence of radicals. In this last step, carbon monoxide is oxidised
 310 to carbon dioxide and radical species combine into more stable molecules. Finally, the system
 311 reaches the so-called adiabatic temperature (T_{ad}), i.e., the temperature at which the heat release to
 312 the surroundings stops.

313 The theoretical treatment for the computation of the flame speed starts with the assumption
 314 that within zone I the heat coming from zone II by convection equals the heat required to raise the
 315 temperature of the unburned gases to the ignition temperature (T_i). Secondly, it is assumed that the
 316 increase in temperature between adjacent gas layers is constant. In other words, this means that the
 317 slope of the temperature curve is linear, and thereby can be approximated by the expression
 318 $[(T_f - T_i)/\delta]$, where δ is the thickness of the reaction zone. From the enthalpy balance the following
 319 equation can be obtained:

$$320 \quad mc_p = \lambda \frac{(T_f - T_i)}{\delta} A \quad (11)$$

321 where λ is the thermal conductivity, m is the mass rate of the unburned gas mixture into the
 322 combustion wave, and A is the cross-sectional area assumed as unity [20]. According to the one-
 323 dimensional feature of the problem, the mass rate m can be expressed as:

$$324 \quad m = \rho A u = \rho A S_L , \quad (12)$$

325 where ρ is the unburned gas density, u is the velocity of the unburned gases, and S_L is the symbol
 326 for laminar flame velocity. As unburned gases enter normal to the wave, by definition it can be
 327 written $S_L = u$. Therefore, Equation (11) becomes:

$$328 \quad \rho S_L c_p (T_i - T_0) = \lambda (T_f - T_i) / \delta \quad (13)$$

329 Thus, the equation for the computation of the flame speed can be easily inferred:

$$330 \quad S_L = \frac{\lambda}{\rho c_p} \left(\frac{T_f - T_i}{T_i - T_0} \right) \frac{1}{\delta} \quad (14)$$

331 where c_p is the specific heat capacity of the fuel. From Equation (14) it is possible to observe the
 332 direct relationship between flame speed (S_L) and flame temperature (T_f), i.e., the higher the flame
 333 speed, the higher the flame temperature. It allows us to talk about flame temperature and flame
 334 speed interchangeably. Unfortunately, in the above equation, the term δ (the reaction zone
 335 thickness) is unknown; nevertheless, it can be related to flame speed by the following expression:

$$336 \quad \rho u = \rho S_L = \omega \delta, \quad (15)$$

337 which assumes the total mass per unit area entering the reaction zone is equal to the mass consumed
 338 in that zone for the steady flow problem being considered. In Equation (15), ω is the reaction rate in
 339 terms of concentration (grams per cubic cm) per unit time. Equation (14) can, therefore, be
 340 rewritten as:

$$341 \quad S_L = \left[\frac{\lambda}{\rho c_p} \left(\frac{T_f - T_i}{T_i - T_0} \right) \frac{\omega}{\rho} \right]^{1/2} \sim \left(\alpha \frac{\omega}{\rho} \right)^{1/2} \quad (16)$$

342 where ρ is the unburned gas density and α is the thermal diffusivity. More specifically:

$$343 \quad \alpha \text{ (m}^2\text{s}^{-1}\text{)} = \frac{\lambda \text{ (W m}^{-1}\text{ K}^{-1}\text{)}}{\rho c_p \text{ (kg m}^{-3}\text{)(J kg}^{-1}\text{ K}^{-1}\text{)}} \quad (17)$$

344 The denominator in Equation (17) is known as the volumetric heat capacity ($\text{J m}^{-3}\text{ K}^{-1}$). Thermal
 345 diffusivity can ultimately be defined as the ratio of thermal conductivity to volumetric heat

346 capacity. In practice, thermal diffusivity is a measure of the ability of a given substance (or a
347 mixture, as in the case of a flame) to rapidly adjust its temperature to that of the surroundings. Since
348 the mass of reacting fuel mixture consumed by the laminar flame is given by:

$$\rho S_L \sim \left(\frac{\lambda}{c_p} \omega \right)^{1/2} \quad (18)$$

349
350 combining Equations (15) and (18) yields the following expression:

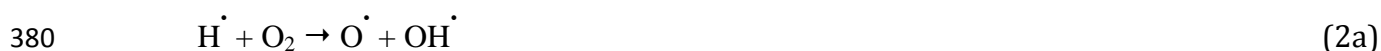
$$\delta \sim \frac{\alpha}{S_L} \quad (19)$$

351
352 From Equation (19) the average thickness of the luminous zone for a laminar flame can easily
353 be drawn. Since, for hydrocarbon flames, the value of α (at a mean temperature of 1300 K) and S_L
354 can realistically be approximated to $5 \text{ cm}^2 \text{ s}^{-1}$ and $35\text{--}40 \text{ cm s}^{-1}$, respectively, δ assumes values
355 close to 1.0–1.5 mm. As will be discussed later, this aspect has a valuable practical consequence to
356 fully exploiting the benefit of a flame treatment during the surface activation of polyolefin
357 substrates. Equation (19) also highlights the inverse proportion between the thickness of the
358 luminous zone and flame speed. Thus, flame speed (i.e., flame temperature) should always be
359 adjusted to a certain value of δ to treat the samples in a feasible fashion. This can also be achieved
360 by setting the value of thermal diffusivity α , since increasing thermal diffusivity leads to an
361 increase in flame speed, as inferred from Equation (16). Therefore, for high values of α the quality
362 of the combustion system will be enhanced due to an increase in flame temperature, which
363 corresponds to an increase in flame treatment yield. An adequate value of α can be achieved by
364 reducing the volumetric heat capacity of the mixture (i.e., the denominator of Equation 17), which
365 can be obtained by decreasing the specific heat capacity of the fuel (c_p). To do so, common practice
366 is to replace nitrogen in the fuel mixture with other lower c_p diluents such as argon or helium. It has
367 been reported that when helium is added to a stoichiometric methane/air mixture, the flame speed is
368 roughly threefold higher than using nitrogen ($\sim 125 \text{ cm s}^{-1}$ vs. $\sim 40 \text{ cm s}^{-1}$) [26–28].

369 Another aspect that should be pointed out is the effect of pressure on the flame speed of a
370 stoichiometric air/gas mixture. The pressure dependence of flame speed is described by the
371 following equation [20]:

$$372 \quad S_L \sim (p^{(n-2)})^{1/2} \quad (20)$$

373 where n is the overall order of the reaction. Therefore, for a given second order reaction, flame
374 speed seems to be independent of pressure. However, by contrast, hydrocarbon/air reactions are
375 rarely second order. Indeed, experimental data collected by several investigators suggest that the
376 order of a general combustion process mostly falls around 1.75 [29]. This is why a reduction in
377 flame speed is encountered with increasing pressure. A deeper comprehension of this phenomenon
378 can be achieved by looking at the most important oxyhydrogenation reaction governing the
379 formation of the radical pool, i.e.:



381 Any reaction that inhibits the formation of H atoms or competes with the above mechanism will
382 hinder the oxidation process, and thereby the combustion rate. For instance, the reaction:



384 clearly competes with Reaction (2a). Moreover, since it is a third order reaction, it is much more
385 pressure-dependent than Reaction (2a). The ultimate relevant consequence is that when increasing
386 pressure, Reaction (21) tends to slow down the overall combustion process and, thus, flame speed.
387 Results from analytical calculations of flame speeds under different temperature/pressure conditions
388 with detailed kinetic aspects can be found in the literature [30–33]. Moreover, it has to be
389 mentioned that the decrease in S_L with increasing pressure becomes more pronounced for pressures
390 above atmospheric conditions (1–5 atm). This is because, contrary to what happens at high
391 pressures, below 1 atm Reaction (21) does not compete with Reaction (2a), and any decrease owing

392 to Reaction (21) is balanced by a rise in temperature due to chain branching step reactions such as
393 (2a).

394 At the end of this section, a final remark deserves to be stressed as far as laminar flame
395 propagation is concerned. It is nowadays accepted that although diffusion phenomena dominate in
396 initially unmixed fuel/oxidiser systems, reaction rate mechanisms prevail in premixed homogeneous
397 mixtures. It is worth emphasising that flame propagation is mostly because of the diffusion of heat
398 and mass, i.e., it is made possible by a diffusion mechanism predominantly. The role of the reaction
399 rate is instead intimately related to the thermal profile of the laminar flame, since it governs the
400 thickness of the reaction zone and temperature gradient. In other words, although the strong effect
401 of the temperature is undisputable, flame propagation has to be primarily attributed to the diffusion
402 of heat and mass. It is definitively expressed by the following expression:

$$403 \quad S_L \sim (\alpha RR)^{1/2} \quad (22)$$

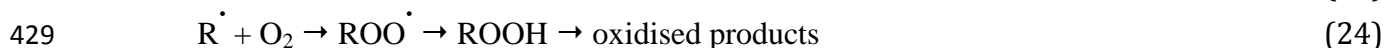
404 This states that the propagation rate is proportional to the square root of the diffusivity and the
405 reaction rate [20].

406 **6. Flame treatment of polyolefins**

407 The term polyolefin encompasses all those polymers produced by an olefin as a starting
408 monomer, whose general formula is C_nH_{2n} . Most common polyolefins in the packaging field are
409 polyethylene (PE) and PP. Although they have different specific properties, it is recognised that
410 both polymers are inherently hydrophobic, which is in turn responsible for their typical poor
411 wettability, especially to waterborne systems. For this reason, polyolefins generally need to be
412 surface-activated before the deposition of inks, paints, adhesives, metals, and coatings. Flame
413 treatment is a valuable technique to improve the surface energy of polyolefins, although it has been
414 exploited to a minor extent with respect to corona treatment so far. However, because of
415 improvements in safety conditions as well as in some technical aspects, it is receiving renewed

416 attention, especially by those sectors (e.g., packaging) that historically lagged behind in the
417 exploitation of the technique.

418 It has been reported that the surface activation of polyolefins by flame treatment is based on
419 the free radical degradation mechanism, which occurs at the tertiary carbon of the PP chain and
420 according to a random attack in the case of PE [34]. Two main steps are involved in the oxidation
421 process of PP: 1) the breakage of the C-H links along the polymer surface by the high temperature
422 generated by the combustion process (~1700–1900°C); and 2) the insertion of oxygen-based groups
423 corresponding with the broken links, leading to newly available hydrophilic sites for the interaction
424 between coating and substrate. In particular, the oxidation of methyl groups (–CH₃) into –CH₂OH
425 groups following treatment has been judged the most relevant surface chemistry change affecting
426 both the wettability and adhesion properties of polyolefin substrates [35]. The generally accepted
427 scheme is reported below:



430 It seems that the oxidation process is principally mediated by the OH[·] radicals in the flame. To
431 elucidate the chemical changes onto the polyolefins' surface following flame treatment, several
432 techniques have been used. In particular, X-ray photoelectron spectroscopy, also called ESCA
433 (electron spectroscopy for chemical analysis), and static secondary ion mass spectroscopy (SSIMS)
434 have confirmed an increased level of oxidation, as demonstrated by new functionalities formed on
435 the polyolefins' surface, such as hydroxyl, carbonyl, and carboxyl groups [36–38]. However, it has
436 been ascertained that, working conditions being equal, more oxygen is incorporated onto PE films
437 than PP films after flame treatment. In addition, it has been proven that the majority of the oxygen
438 added to PP by the flame is in the form of hydroxyl species, which account for approximately 20–
439 30% [39]. Nitrogen fixation has also been detected as a consequence of treatment, although it seems
440 to occur on PE samples rather than PP. Nevertheless, the fixation of nitrogen is quantitatively less

441 important than oxygen fixation, as revealed by ESCA measurements (N/C atomic ratios < 0.03; O/C
442 atomic ratios > 0.1–0.2) [40]. The mechanism responsible for the modification of the PP surface
443 caused by the hydrocarbon flame has been brilliantly elucidated by Strobel and co-workers [13].
444 Arising from their work, it seems that the polymer radical formation occurs primarily by hydrogen
445 abstraction because of the free radicals in the flame, such as O atoms, H atoms, and OH radicals,
446 according to the following reactions:



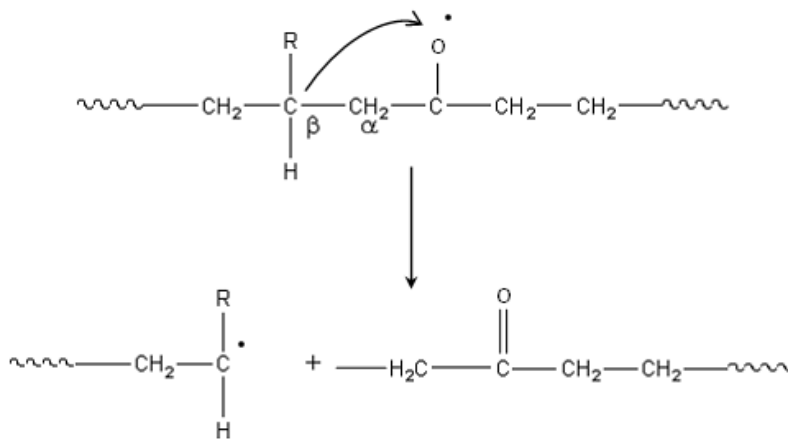
450 where R^\cdot is an alkyl radical. Not only can the radical species in the flame provoke polymer radical
451 formation, but so can the thermal effect according to the mechanism:



453 Based on the results obtained using a combustion mode [41], and considering that the reactivity of
454 the H atom for hydrogen abstraction is three to five orders of magnitude inferior than the reactivity
455 of O and OH [42], the authors concluded that, at a specific equivalence ratio of 0.93, OH radicals, O
456 atoms, and heat are the driving forces for polymer radical formation. Most alkyl radicals formed
457 during the previous steps (Eqs. 25–26) react with oxygen atoms, generating polymer alkoxy radicals
458 [43]:



460 It is well established as such polymer alkoxy radicals (RO^\cdot) are the main species involved in the
461 chain backbone scission of PP during oxidation through the well-known β -scission reaction (Figure
462 4).



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Figure 4. Schematic representation of a β -scission reaction on a polyolefin backbone.

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Surface oxidation can also take place by additional routes; however, these tend to be less important than the aforementioned direct reaction with atomic oxygen. For example, the alkyl radicals (R^\bullet) can be attacked by molecular oxygen (O_2), yielding peroxy polymer radicals (ROO^\bullet), which in turn can abstract hydrogen from other polymer chains to produce polymer hydroxyperoxides (ROOH). All of these intermediates (alkoxy, peroxy, and hydroperoxy) can originate a large variety of oxidised species reacting with atomic oxygen, OH radicals, or even through cross-reaction with intramolecular polymer radicals [42]. Arising from these different reaction mechanisms, a wide range of new chemical groups can be inserted onto the polyolefin backbone. In particular, the formation of hydroxyl, carboxyl, and carbonyl groups is the most relevant concerning the increase in the wettability and adhesion properties.

Finally, it is worth stressing the heterogeneity of oxidation on the polyolefin surface. This has been attributed to the different physical domains in a typical semi-crystalline polymer such as PP. More specifically, it seems that the regions most susceptible to treatment are those amorphous rather than crystalline. This fact would justify the scarce homogeneity in the extent of the oxidation, which is the basis of the hysteresis phenomenon that can be observed during contact angle measurements on flame-treated PP films.

482 **7. Flame treatment equipment**

483 Although conceptually similar, flame treaters used in packaging industries for polyolefin
484 surfaces show obvious differences depending on whether the sample to be treated has a two-
485 dimensional or three-dimensional geometry. In both cases, three main components can be
486 recognised. For 3D objects, the plant typically consists of (Figure 5a):

- 487 1) a conveyer belt, which allows a continuous loop of material, i.e., the polyolefin objects,
488 which are normally mounted on heat-resistant holders;
- 489 2) a cleaning device, such as a stream of compressed air or a brush-like system. This is
490 normally placed a few centimetres in front of the burners to assure the removal of all small
491 particles (e.g., dust) that might negatively affect successful flame treatment; and
- 492 3) a burner, i.e., the basic part of the equipment that produces the oxidising flame.

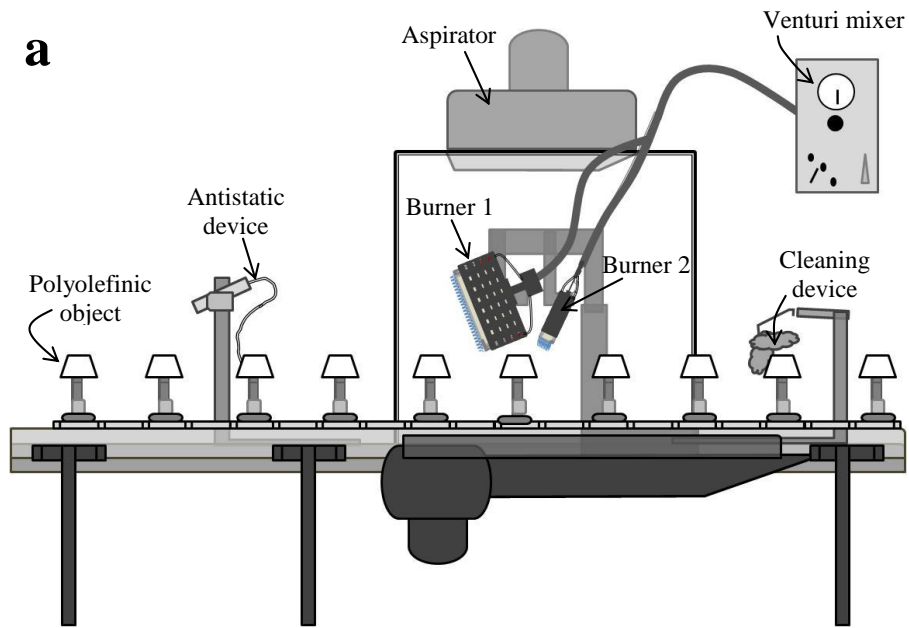
493 A typical plant for the flame treatment of polyolefin flexible films (Figure 5b) is instead conceived
494 as follows:

- 495 1) a burner, which should produce a suitable flame for treating the surface of the web;
- 496 2) a treater roll, which is normally water-cooled. This enables the rewinding of the treated film
497 and prevents any unwanted damage due to overheating; and
- 498 3) a nip roll, which is usually rubber-coated. Its function is to exert a certain pressure on the
499 film to ensure the necessary contact between the web and the cooled roll. This prevents the
500 formation of bubbles and/or blisters, which might otherwise impede the right thermal
501 exchange between the web and the treater roll.

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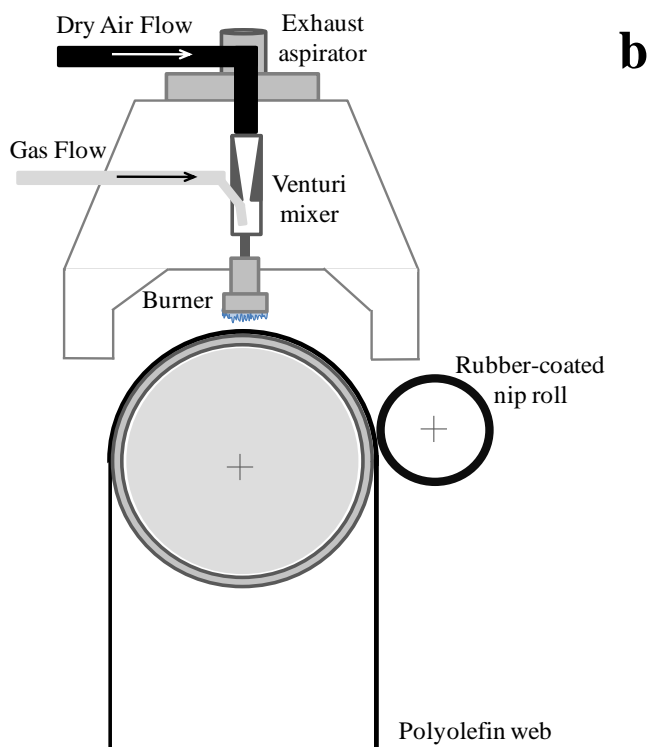
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509 **Figure 5.** Schematic representation of a flame treatment station for polyolefin a) tridimensional
510 objects and b) flexible films.

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514 Certainly, the core of a typical flaming system is the burner. Nowadays, burners are complex
515 parts affecting strongly the outcome of the whole process. Despite the wide range of burners
516 available on the market, a common feature is the system that delivers the gas/air mixture to the
517 burner nozzle (head) by exploiting the still valid principles developed by Venturi and Bunsen. Such
518 a system, generally known as Venturi mixer, is located a few metres upstream of the burner.
519 Burners fall into two main groups. Atmospheric burners are so called because part of the air used to
520 generate the premixed fuel/air laminar flame is from the surrounding atmosphere, and is thereby at
521 atmospheric pressure. This is because the gas entering the orifice at the base of the mixing tube is at
522 low pressure (only a few inches of water column), providing only approximately 50% of the
523 required air for the combustion. Consequently, the remainder is drawn from the environment around
524 the nozzle, where the free air is usually conveyed by openings near the burner. An example of
525 atmospheric air is the Bunsen burner. Contrary to atmospheric burners, power burners provide a
526 powerful source of combustion air, making it possible to achieve higher energy output compared
527 with atmospheric burners.

528 In an attempt to fulfil market requirements, different burners have been designed and
529 developed over time, and a large variety of configurations are currently available. Gun-type nozzles
530 were especially developed for the flame treatment of three-dimensional objects, where part of the
531 gas/air mixture is deviated into small holes at a speed that is gradually reduced until continuous
532 ignition is provided to the main gas/air flux coming out of the central orifice. This makes it possible
533 to increase the velocity of the laminar flame out of the head of the burner, thereby achieving the
534 targeted heat output. The burners used for flaming flexible films, e.g., polyolefins for the packaging
535 industry, are based on a similar principle. In this case, the need to spread the flame on a wider front
536 (i.e., equal to the width of the roll) led to developing pipe-like nozzles with a long array of drilled
537 holes emitting the flame. On each side of this main row of drilled holes are smaller orifices, above
538 which deflectors control the speed of the flame. So-called ribbon burners represent the last
539 generation of burners available on the market. They consist of a regular shaped slot mounted with a

540 dimpled geometry ribbon stack. Such a design can reduce the speed of part of the gas/air mixture
541 without needing devices such as deflectors or ignition rails. To date, the ribbon burner is the most
542 widely adopted solution at an industrial level because it can attain customised flame patterns by
543 adjusting the width of the slot and configuring the ribbons [44].

544 **8. Flame treatment variables**

545 **8.1. Process variables**

546 *8.1.1. Gas/air ratio*

547 The molar ratio of the fuel to the oxidiser is probably the most important parameter within the
548 flame treatment process. For this reason, particular care must be paid to setting it adequately before
549 the flame treatment is started. For each gas there exists a specific and well-defined amount of
550 oxidiser at which the fuel is completely burnt. This precise ratio is known as the stoichiometric
551 ratio, which relies on the chemical structure of the gas. For example, the stoichiometric ratio
552 methane/air by mass is equal to 1:17.2, whereas for a propane/air flame it is 1:15.5, i.e., 15.5 kg of
553 air is needed for the complete combustion of 1 kg of propane. However, in practical applications it
554 is unlikely that the stoichiometric ratio can be verified. Most probably, the flame obtained will be
555 below or above this value. Therefore, the concept of the equivalence ratio (ϕ), defined as the actual
556 mass gas/air ratio used during treatment divided the stoichiometric fuel-to-oxidiser ratio [45], is
557 widely accepted:

$$558 \quad \phi = \frac{m_{fuel}/m_{oxidizer}}{(m_{fuel}/m_{oxidizer})_{stoichiometric}} \quad (28)$$

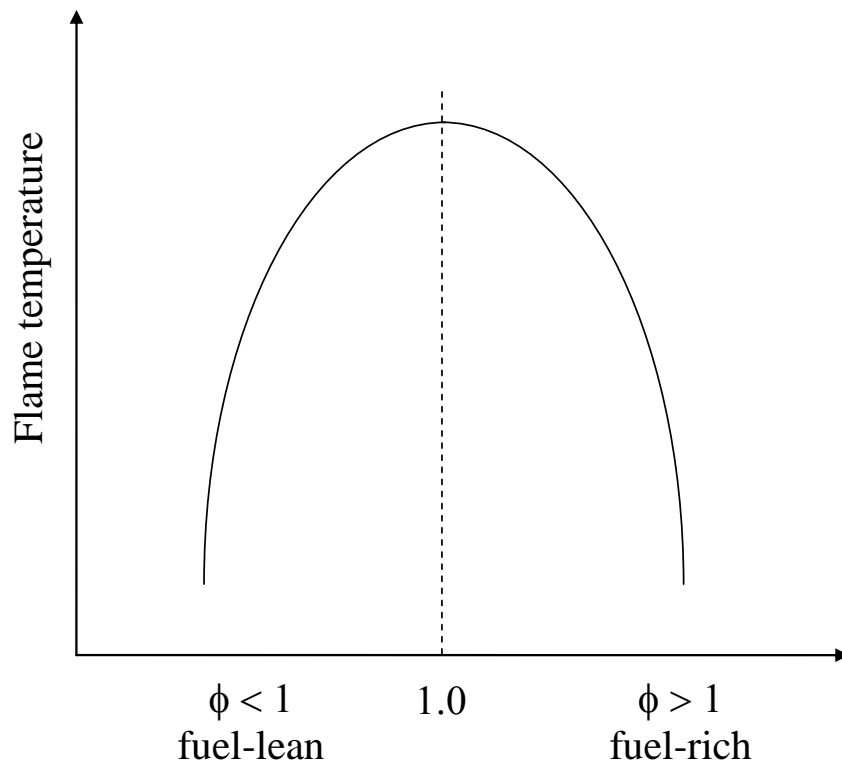
559 where m is the mass. The most common parameter is the reciprocal of the equivalence ratio, which
560 is called the lambda factor and is expressed by the formula:

$$561 \quad \lambda = \phi^{-1} \quad (29)$$

562 As a consequence, fuel-lean (oxidising) flames will have $\phi < 1$ and fuel-rich flames $\phi > 1$ (vice
563 versa as far as the λ factor is concerned). Unambiguously, both λ and ϕ will be equal to the unit at

564 the stoichiometric ratio. It is worth pointing out that, for a given combustion system, the maximum
565 yield (expressed in terms of flame temperature) is achieved at the stoichiometric ratio, where
566 neither excess fuel nor excess oxidiser can be verified. Conversely, as ϕ veers from the
567 stoichiometric value (below and above), the flame temperature drops correspondingly (Figure 6).

568



569

570 **Figure 6.** Flame temperature trend as a function of the equivalence ratio (ϕ).

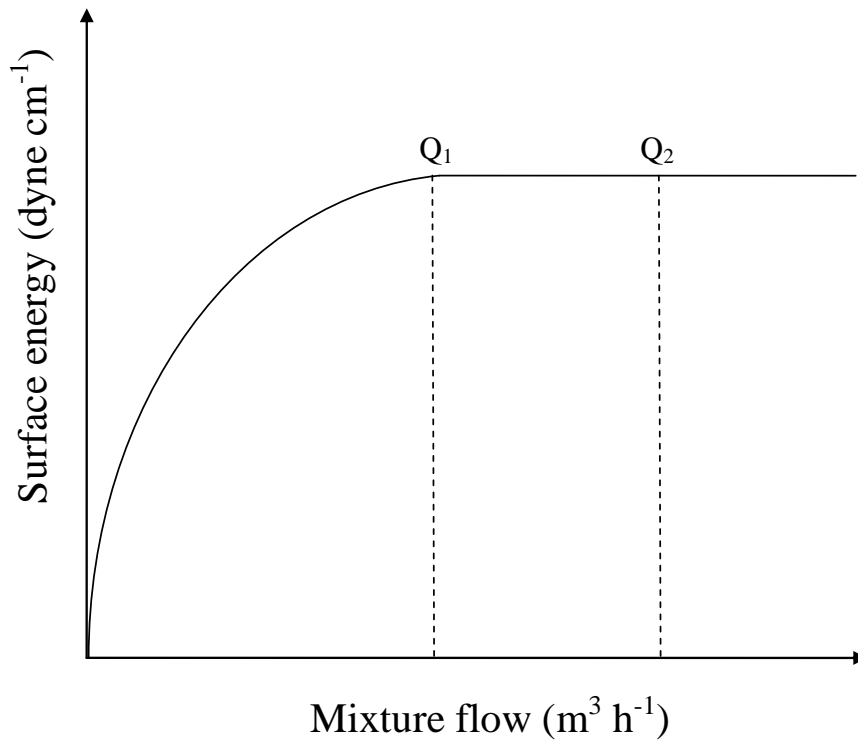
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572 This is because although excess fuel (or oxidiser flame) could never participate chemically in
573 the combustion reaction, it does affect the system from a physical point of view since, depending on
574 its specific heat value, such an excess tends to draw heat from the combustion system, thereby
575 causing the aforementioned decrease in yield. In practice, the most widely adopted configuration
576 foresees a fuel/air ratio slightly shifted to an oxidising flame composition (i.e., fuel-lean), because,
577 as mentioned previously, the web surface activation strictly depends on both flame temperature and
578 oxygen radical concentration. Thus, the best working condition can often be a compromise between

579 high flame temperature and oxygen radical content in the flame. It has been proven by many
580 authors that oxidising flames ($0.75 < \phi < 1$) lead to the best surface activation of polyolefin
581 substrates [46–49]. More recently, a detailed report by Strobel and co-workers [13] suggested the
582 best performing equivalence ratio was 0.93 for all combinations of flame-to-film distance, flame
583 power, and film speed using a methane/air mixture. At this optimum value, a maximum surface
584 energy of approximately 62 mJ m^{-2} (according to the ASTM wetting test standard method [50]) was
585 achieved. Accordingly, the highest ESCA O/C atomic ratio of flame-treated PP was recorded for
586 equivalence ratio values ranging between 0.92 and 0.94, thereby following the same trend as the
587 wettability measures. The authors concluded that such a high level of oxidation is the main reason
588 for the increased wettability of the flame-treated PP surface.

589 *8.1.2. Mixture flow*

590 Based on the previous discussion, it is necessary to expose the polyolefin surface to a certain
591 amount of thermal energy (heat) to achieve the desired activation of the web surface. Defining this
592 quantity is not an easy task because the thermal energy required during the flaming process strongly
593 relies on other parameters. Among them, it is worth mentioning flame power (i.e., the product of the
594 volume of fuel burned per unit time and the heat content of the fuel, expressed in W), the exposure
595 time of the film to the flame, the configuration of the burner, and the gap between the flame and
596 film surface. However, a practical way to control the energy supplied to the web is to adjust the
597 mixture flow ($\text{m}^3 \text{ h}^{-1}$). Increasing the mixture flow leads to a corresponding increase in the
598 treatment efficacy to a certain level (Figure 7, Q_1). Any mixture flow setting beyond this boundary
599 value (Figure 7, Q_2) is profitless and causes unnecessary energy waste and thermal stress on the
600 plastic film. Based on these principles, it has been possible to set down the relationship between
601 mixture flow and flame treatment efficacy in terms of the surface energy of the treated surface.



602

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Figure 7. Surface energy evolution as a function of the gas/air mixture flow.

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In Figure 8, the results obtained by our team for bi-oriented polypropylene (BOPP) at low and high line speeds are reported (per unit of burner width). Such types of plots are useful tools for pinpointing the best conditions for each specific application.

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8.1.3. Flame/surface gap

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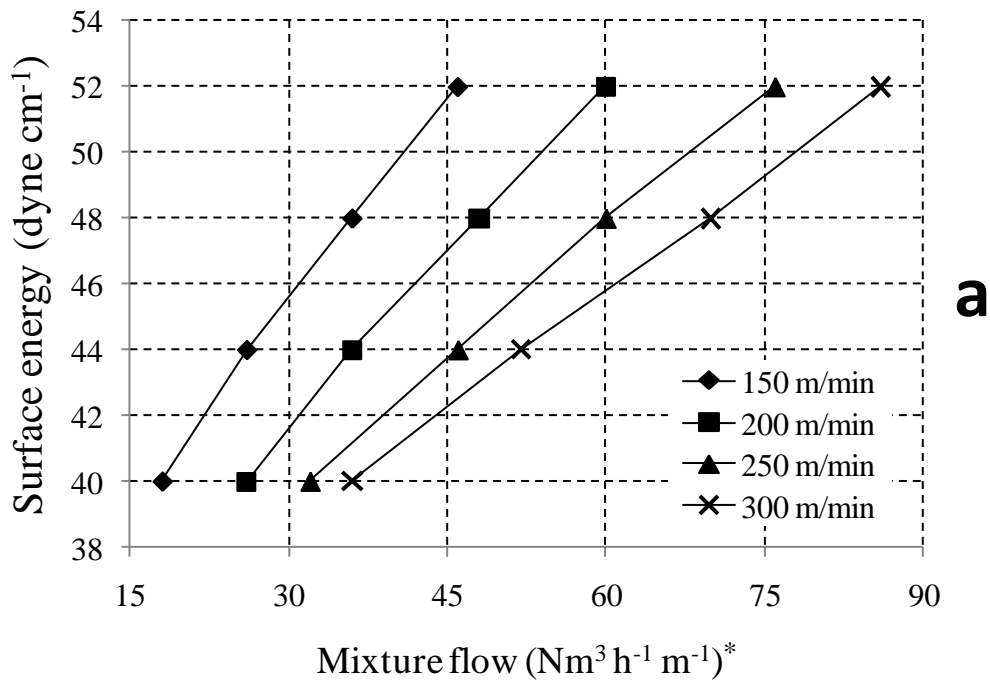
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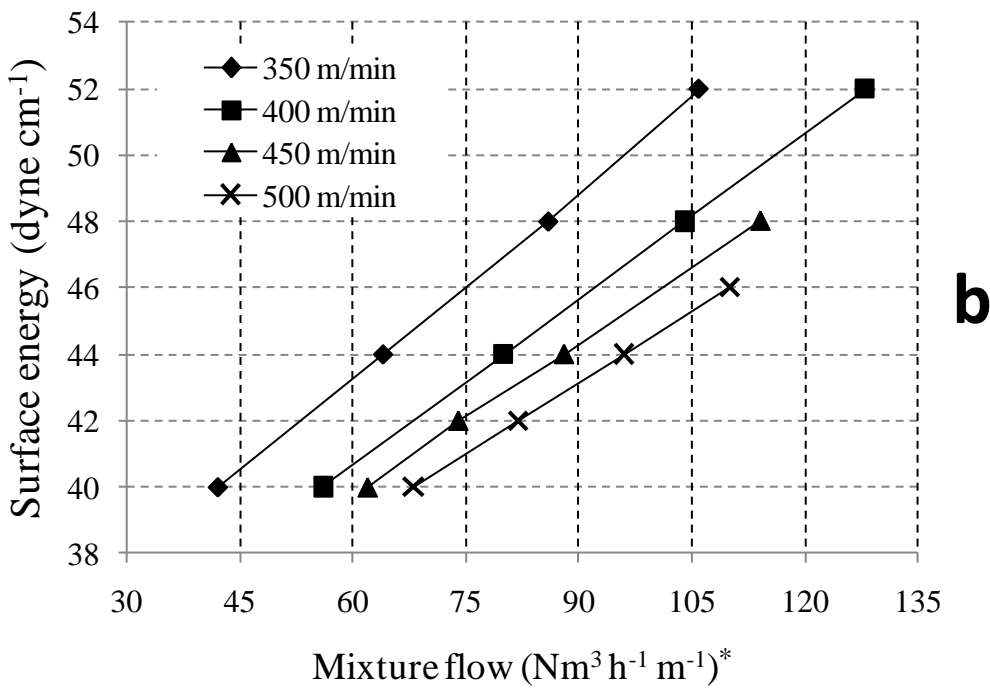
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It is widely recognised that the gap between the flame and web surface (i.e., the distance between the tips of the luminous flame cones and polyolefin surface) is a key factor in determining the extent of activation accomplished by the treatment. As a general trend, it has been observed that when the film passes through the flame, a rapid depletion in the wettability of the treated surface occurs. As the distance between the cone of the flame and film surface increases, surface activation decreases, although a beneficial effect arising from the treatment is still appreciable up to approximately 20 mm.



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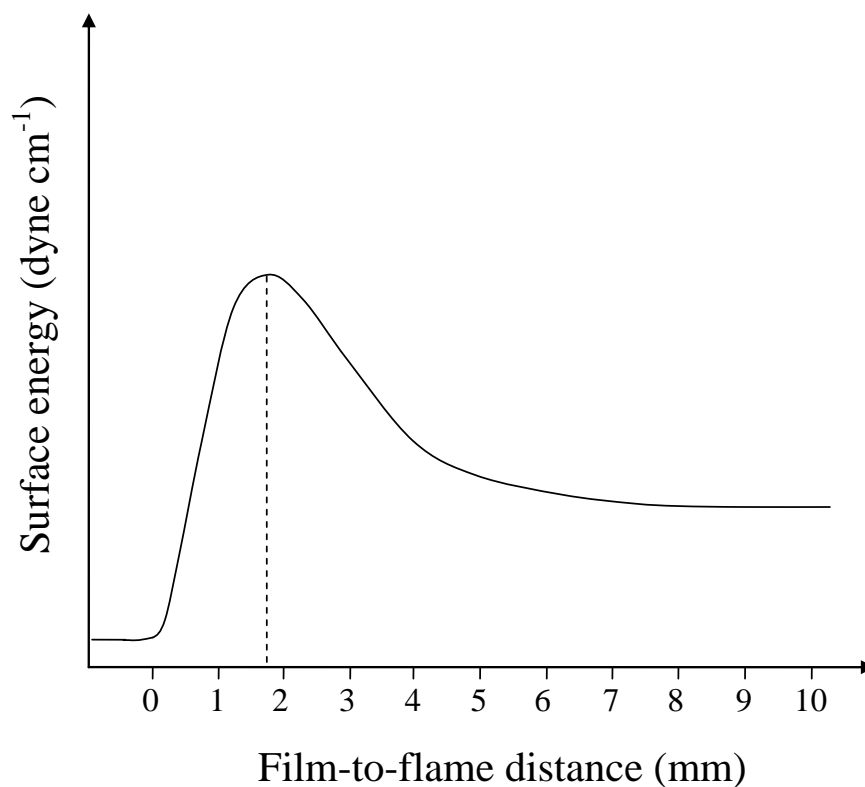


618

619 **Figure 8.** Influence of the mixture flow on the surface energy of treated BOPP at low (a) and high
 620 (b) speeds. *Normal cubic metres per hour, equal to one cubic metre under "normal" conditions,
 621 defined as 0°C and 1 atmosphere (101.3 kPa).

622

623 Many researchers have carried out empirical tests to set the optimum distance between the
624 flame and film surface. Ayers and Shofner suggested that the optimum distance was 0–6 mm above
625 the luminous flame front [51]. Sheng and co-workers pointed out that the most effective flame
626 treatment on the activation of PP webs is achieved 5–10 mm film-to-flame distance [52]. Other
627 authors concluded that to achieve the best wettability and oxidation of polyolefin surfaces, the
628 distance between the tips of the flame cones and web surface should be less than 10 mm [46]. The
629 conclusions by Strobel and co-workers confirm further the tendency to position the film slightly
630 beyond the luminous cone [13]. The authors fixed the right film-to-flame gap at 2 mm for a PP film
631 treated with a methane/air mixture at a 0.93 equivalence ratio. These findings are consistent with
632 the flame profile theory discussed above. Indeed, to maximise the benefit from the treatment, the
633 flame should work in tandem with its luminous zone, which is the richest in active oxidising species
634 (OH radicals and O atoms) and the one at the highest temperature within the whole combustion
635 system. Conversely, when the film-to-flame distance is set below 1.5–2.0 mm, the part of the flame
636 involved is the ‘dark zone’. Here, the contribution by the flame temperature is negligible and the
637 reactive oxidising species are almost absent. Rather, this zone has plenty of hydrogen radicals,
638 which tend to recombine with oxygen radicals and thereby act as a limiting factor in the oxidation
639 mechanism of the film surface. Analogously, placing the film surface further than 1.5–2.0 mm from
640 the tips of the luminous flame cones would mean the flame treatment would be less effective than in
641 the luminous zone. However, since both the flame temperature and oxygen radical concentration are
642 higher in this region (post-combustion) than in the dark zone, some positive effect because of the
643 flame is still detectable on the treated film surface. This fact explains the typical aspect of the curve
644 obtained by plotting the surface energy values as a function of the film-to-flame distance. As shown
645 in Figure 9, this curve is asymmetric with respect to the maximum surface energy value found at a
646 film-to-flame distance of approximately 2 mm, indicating that the positive effect of flame treatment
647 is still somehow evident in the post-combustion zone, whereas it quickly drops to zero in the dark
648 region.



649

650 **Figure 9.** General trend of the surface energy values of flame-treated polyolefin films as a function
 651 of the film-to-flame gap.

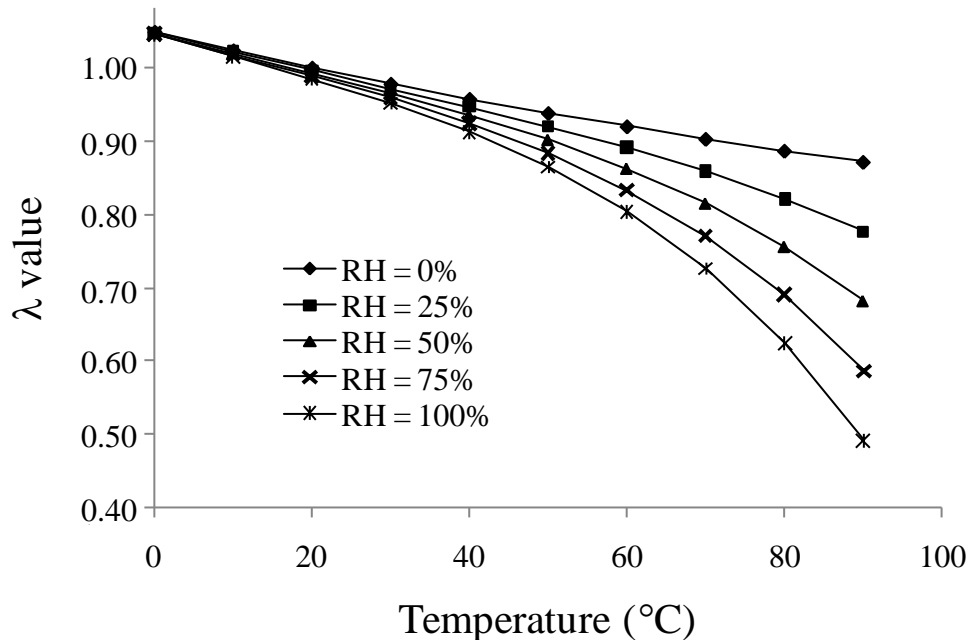
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653 8.1.4. Temperature and relative humidity external conditions

654 The temperature (T) and relative humidity (RH) of the surroundings are often underestimated
 655 parameters during flame treatment, but these can greatly affect the final outcome of the process
 656 because increases in either can cause a shift in the gas/air mixture towards a fuel-rich composition,
 657 thereby provoking a dramatic change in the properties of the treated surface of the polyolefin film.
 658 The influence of both temperature and relative humidity is schematically displayed in Figure 10.
 659 Here, it is possible to observe that the value of λ diverges from its initial setting (~ 1.04) owing to an
 660 increase in temperature and relative humidity. This diagram was obtained from natural gas (relative
 661 density = 0.59) under the hypothesis that the mixture is in the stoichiometric condition at $T = 20^\circ\text{C}$
 662 and $\text{RH} = 0\%$. Based on these considerations, a systematic check of the gas/air mixture is deemed
 663 necessary to keep it constant, regardless of the influence of external conditions. For this purpose, a

664 wide variety of portable and online devices enabling the measurement of any variation in λ due to
665 changes in room conditions, are available on the market.

666



667

668 **Figure 10.** Influence of room conditions (temperature and relative humidity) on the λ value of a
669 stoichiometric ($T = 20^\circ\text{C}$; $\text{RH} = 0\%$) natural gas ($d_f = 0.59$)/air mixture.

670

671 8.1.5. Number of sequential treatments

672 A final aspect that should be carefully taken into consideration is the number of treatments to
673 which the film surface has been submitted. Although it strongly depends on other aspects (i.e.,
674 flame temperature, flame flow, flame-to-film distance), some general considerations can help carry
675 out the appropriate treatment. Contrary to what common sense might suggest, increasing the
676 number of treatments in the same sample does not imply a proportional increase in the surface
677 properties of the polyolefin surface. Indeed, in particular when high temperatures are reached,
678 overtreatment lead to surface reorganisation in the modified polymer surface. Two different
679 phenomena have been highlighted in this respect [8]. On one hand, as a result of overtreatment, the
680 oxygen-containing functional groups inserted in the first step of treatment can disappear from the

681 surface. On the other hand, high temperatures can trigger the migration of the additives normally
682 included in polyolefin compounds, such as heat stabilisers, release agents, antistatics, and UV
683 stabilisers. In both cases, the final result is the same: the wettability and adhesion properties of the
684 plastic surface are irremediably compromised and the successful deposition of paints, inks, or
685 whatever coating will be hindered. To prevent these detrimental effects, when planning more than
686 one treatment on the same sample it is very important to avoid excessively short time intervals
687 between two sequential flames to allow the heat generated by the flame to dissipate properly.

688 **8.2. Sample variables**

689 *8.2.1. Surface contaminations*

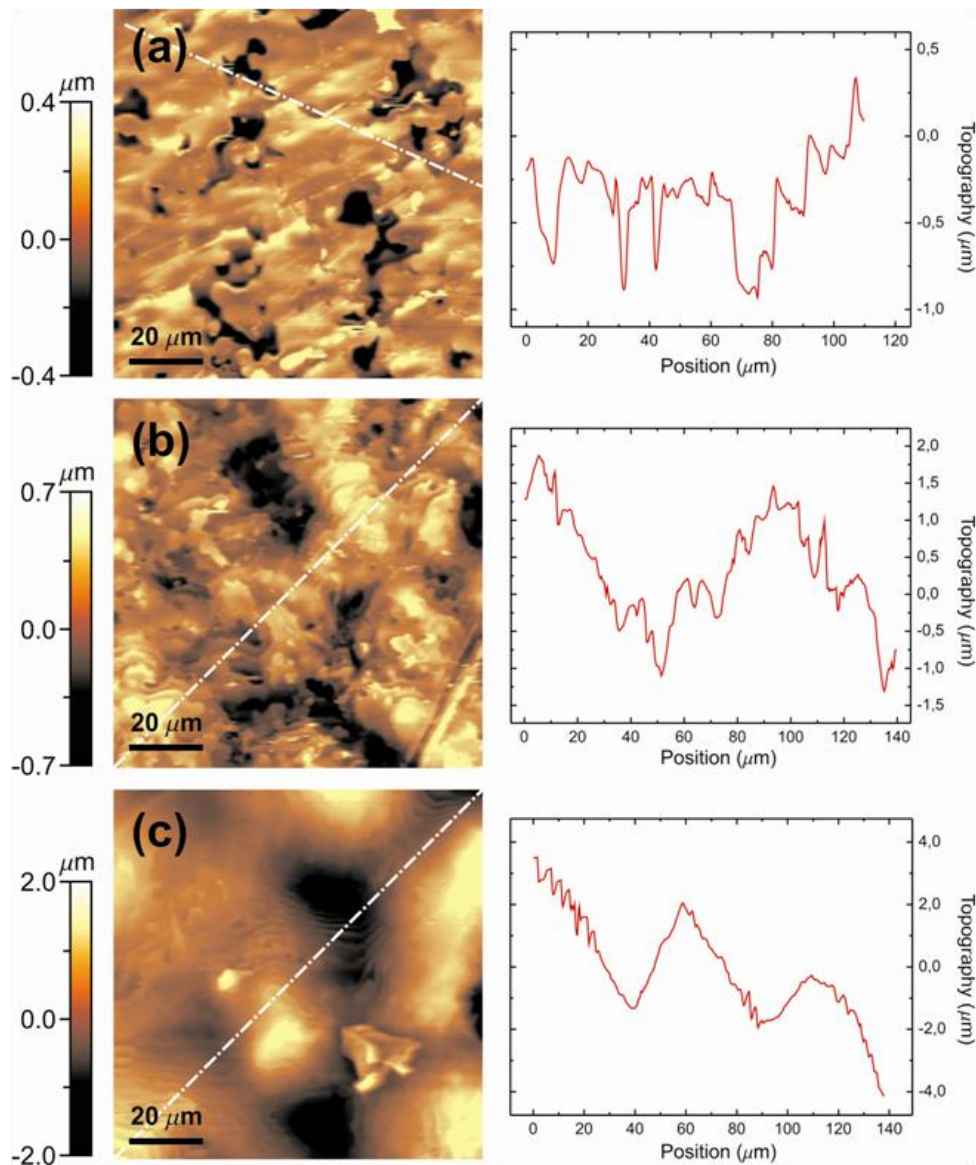
690 Although often underestimated, the potential presence of contaminants on the plastic surface
691 is an important aspect to face, since it directly influences the efficacy of flame treatment. Probably
692 because of the high potency associated with a flame, a common misconception is that to activate a
693 polyolefinic surface, flame treating it using a proper fuel/air mixture is the only prerequisite.
694 Instead, the activation step is a necessary but insufficient condition to assure durable adhesion at the
695 polyolefin substrate/coating interface. Contaminations of samples can originate from different
696 causes, for example, the manufacturing processes and storage conditions of the polyolefinic
697 substrates. Even though they are not always easy to detect, typical residuals can be found on the
698 surface of finished objects, such as spots of the releasing agents commonly used in the injection
699 moulding process (e.g., silicones), additives migrated from the bulk (plasticisers, antioxidants), or,
700 more simply, dust. Irrespective of the origin, the final effect will be the inhibition (more or less
701 deeply depending on the extent of the contamination) of the surface activation promoted by the
702 flame. This is because of the ‘shield effect’, whereby the contaminant screens regions of the
703 polymer susceptible to chemical modifications mediated by the treatment. Therefore, following the
704 flaming, a lower amount of chemical modifications will be found per unit of the treated area. As an
705 ultimate consequence, the deposition of whatever coating will be dramatically affected in those

706 zones of the plastic substrate lacking adequate wettability. To counteract these considerations, the
707 proper cleaning step of the polyolefin surface should be always planned, especially for long-term
708 adhesion durability. This can be achieved in different ways. Among them, blow-off dust devices
709 (generally in the form of brush), nitrogen gas steam, and solvent degreasing are the most widely
710 used strategies. The final choice greatly depends on the shape of the samples and specific
711 manufacturing constraints.

712 8.2.2. *Topography of the surface*

713 It is well established that the wettability of a polymer surface is strongly affected by its
714 topography. In this respect, two major theories can explain the effect of the roughness of the surface
715 on its wettability behaviour: the Wenzel theory [53,54] and the Cassie-Baxter theory [55], which
716 differ from Young's theory that applies only to perfectly smooth surfaces [56]. Although the surface
717 morphology affects the wettability properties, the extent of the flame treatment also seems to be
718 influenced by this parameter. Our preliminary results corroborate this hypothesis. Injection-
719 moulded PP (nucleated heterophasic copolymer, Basell Polyolefins srl, Ferrara, Italy) square plates
720 (40 mm width, 3 mm thick) at different topographies (highly rough – H, medium-sized roughness –
721 M, and perfectly smooth – S) were analysed by atomic force microscopy (AFM) before (Figure 11)
722 and after (Figure 12) flame treatment. The three untreated samples exhibited a noticeable difference
723 in topography. The smooth PP plates had a RMS roughness of approximately 390 nm, whereas the
724 mean roughness of the M and H samples was in the order of 550 nm and 1.34 μm , respectively.
725 However, apparently out of line with the aforementioned theories, both water contact angle and
726 surface energy values of the three untreated samples ($103.5 \pm 2.51^\circ$ and $28.74 \pm 0.64 \text{ dyne cm}^{-1}$ for
727 S samples, $103.1 \pm 2.21^\circ$ and 29.08 ± 0.72 for M samples, and $102.3 \pm 2.66^\circ$ and $29.37 \pm 0.88 \text{ dyne}$
728 cm^{-1} for H samples) were quite similar, presumably because the differences in roughness between
729 samples were too narrow to justify statistically significant distinctions. When subjected to the same
730 flame treatment (propane/air mixture with $\lambda = 1.028$; flame contact time = 0.05 s; film-to-flame

731 distance = 2.0 mm), all samples revealed a distinct reduction of RMS roughness, which amounted
732 to 270 nm, 340 nm, and 490 nm for samples S, M, and H, respectively.



733

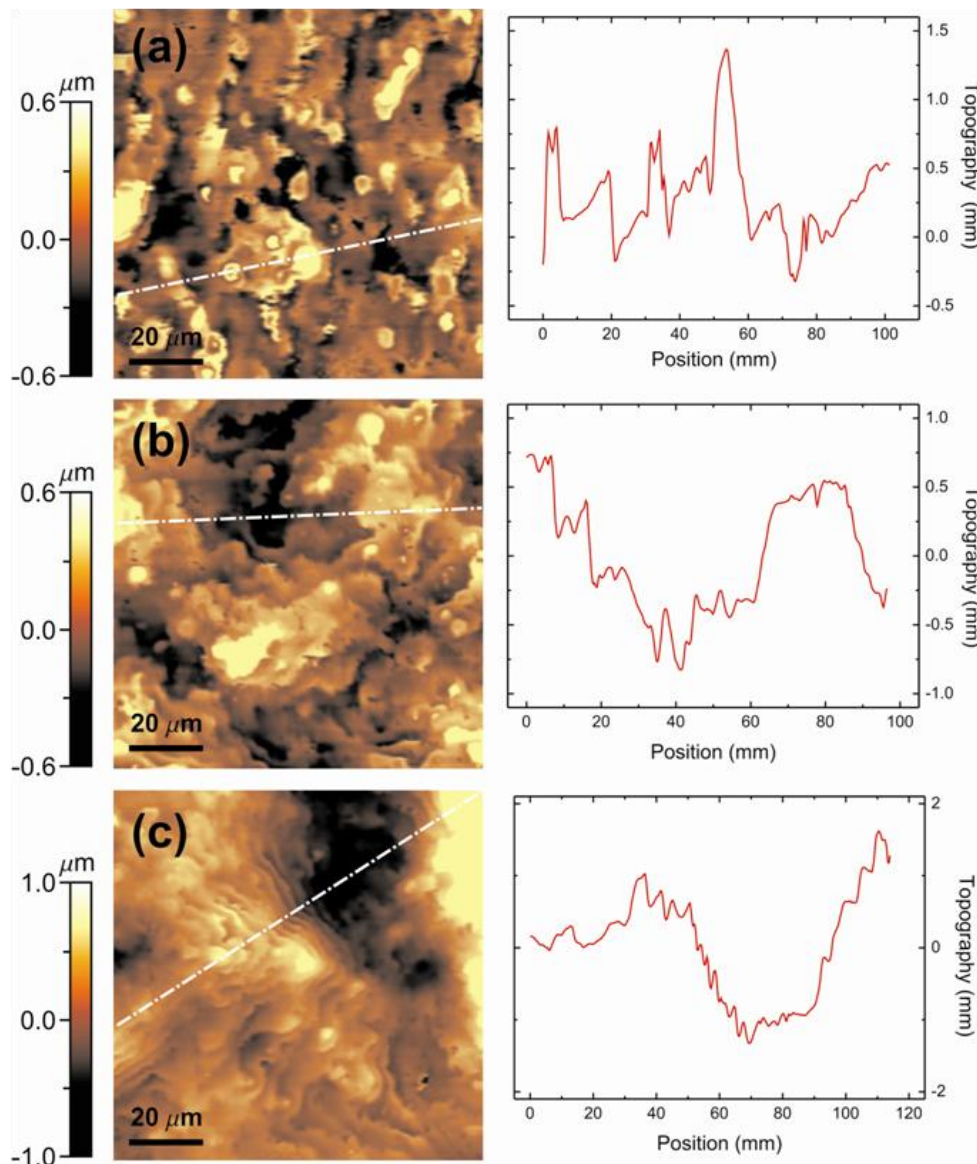
734 **Figure 11.** Left column: 100 x 100 μm² AFM height images of: a) perfectly smooth – S; b)
735 medium-sized roughness – M, and c) highly rough – H polypropylene untreated (non-flamed)
736 samples. Right column: profile along the dash-dotted line from the corresponding height image.
737

738

739 Noticeably, a clear dependence of the surface response to a given flame treatment on the
740 average roughness was found by optical contact angle and surface energy measurements, which
741 amounted to 72.98 ± 4.8° and 39.19 ± 0.67 dyne cm⁻¹ for S samples, 50.23 ± 3.6° and 44.53 ± 0.58

742 dyne cm^{-1} for M samples, and $40.43 \pm 2.24^\circ$ and 48.89 ± 0.75 dyne cm^{-1} for H samples. A clear
743 trend is therefore demonstrated, with the roughest surface being also the most sensible to flame
744 treatment (i.e. leading to the largest variations in its own wettability properties).

745



746

747 **Figure 12.** Left column: $100 \times 100 \mu\text{m}^2$ AFM height images of: a) perfectly smooth – S; b)
748 medium-sized roughness – M, and c) highly rough – H polypropylene flame-treated samples. Right
749 column: profile along the dash-dotted line from the corresponding height image.

750

751

752 Although the total effective exposed surface area for the untreated rough samples is not
753 considerably larger than that of smooth samples (less than 10% difference), a tentative explanation
754 for this trend should likely consider that the amount of polyolefinic substrate exposed to the flame
755 (per unit area) increased proportionally to the roughness of the sample. According to this
756 hypothesis, the roughest samples would be oxidised to a larger extent than the smoothest ones.

757 It is also worth noting that AFM images of treated samples clearly revealed, within our spatial
758 resolution, that other relevant structural changes occurred at the surface of S samples (Figure 12a),
759 with the appearance of small, evenly distributed agglomerates on the treated surface, with
760 dimensions in the order of 0.5 – 1.0 μm in height and few microns in width (Figure 13). On the
761 contrary, height images captured from samples M and S did not show any apparent evolution from
762 this point of view after the treatment (Figure 12b and 12c, respectively). This observation suggests a
763 further likely scenario. Owing to the flame treatment, it might be plausible that the S samples
764 underwent a reorganisation at the surface level, as already postulated in an earlier paper [8].
765 Whether such modifications rely on the migration of additives from the bulk to the surface of the
766 polymer because of the high temperature or on the disappearing of oxygen-containing groups from
767 the surface is still unknown. X-ray photoelectron spectroscopy, confocal Raman microscopy, and
768 FTIR-ATR spectroscopy analyses currently carried out within our group should provide our
769 ongoing research with further elucidations.

770

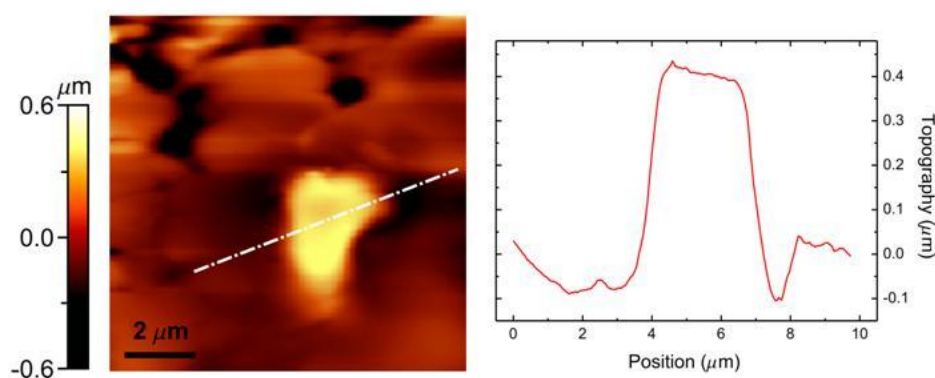
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776 **Figure 13.** Magnified topography of an aggregate from the height image in Figure 12a and
777 corresponding section along the dash-dotted line.

778 **9. Concluding remarks**

779 Flame treatment is a powerful technique for enhancing the surface attributes of plastic
780 materials, especially those with a marked inherent hydrophobicity such as polyolefins. However, its
781 potential has not been completely capitalised so far for two main reasons: 1) the lack of familiarity
782 with the principles governing the combustion phenomena; and 2) the high number of parameters
783 affecting the overall flame treatment process, which make the initial tweak of the flame equipment
784 time consuming and frustrating, especially compared with alternative techniques such as the corona
785 discharge, which is nowadays widely used in specific applications such as the treatment of
786 polyolefin films intended for packaging applications.

787 Although it has not been possible to address all topics related to the flame phenomenon, this
788 review has attempted to provide the basic tools to rationally exploit flame treatment at both an
789 industrial and academic level. Our discussion was based on some major guiding principles. Firstly,
790 without knowing the underlying fundamentals of flame chemistry it is difficult to manage the flame
791 phenomena in any application. Secondly, knowing the most important controlling factors of the
792 overall process and being aware of how these parameters can affect the final outcome is of utmost
793 importance to gain the maximum benefit from the treatment. Thirdly, it is essential to understand
794 how to control the process variables to keep the flame treatment setting as standardised as possible,
795 because even minimal changes can cause huge deviations in the expected results, i.e., the low
796 surface activation of treated surfaces. Therefore, controlling accurately all parameters throughout
797 the process represents a major task that cannot be procrastinated longer in any industrial application
798 envisaging using flame to activate polymer surfaces. It is important to stress that although generally
799 valid, the concepts outlined in this review do not apply in any circumstance; hence, some aspects
800 need to be faced separately depending on the specific application. For example, the influence of the
801 substrate has to be regarded carefully, since different polyolefin types are affected in different ways
802 by modification treatment. Therefore, tailored operative conditions have to be pinpointed
803 accordingly.

804 A systematic approach to using flame as a surface-activation technique is not only necessary
805 for obtaining reproducible results but would decisively encourage the future development of new
806 structures. This notion is supported by strong recent research attention on the potential use of
807 biomacromolecules in many applications, such as within the packaging industry, motivated by the
808 growing needs for more sustainable solutions. To address this issue, many researchers have
809 suggested a way of generating new optimised structures, in which the use of plastic resins should be
810 less of a driving force to lighter configurations without jeopardising the overall performance of the
811 package. This can be attained by replacing multi-layered architectures with high performance thin
812 coatings. In addition, recent advancements in the coatings field have provided the opportunity of
813 fabricating composite structures by laying plastic substrates with water-based bio-coatings (i.e.,
814 obtained from molecules of natural origin). Among other benefits, this would allow cleaner
815 processes, since the use of organic solvents normally used for synthetic coatings is avoided.
816 However, the deposition of totally waterborne coatings onto polyolefin surfaces is a tough target
817 because of the higher surface tension of water-based coatings compared with current formulations.
818 With this scenario in mind, a remarkable contribution could arise from flame treatment becoming a
819 leading technique for the surface activation of inherently hydrophobic polymers. This can be
820 accomplished not only by appropriately using this technique but also finding out new setting
821 conditions and technical advancements that would achieve very high surface energy values on
822 treated surfaces. This would make it possible to use totally water-based solutions, paving the way
823 for new structures that have not yet been obtained, e.g., polyolefins/bio-based coating pairs.
824 Certainly, worldwide research activity can greatly help this challenge over future years.

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