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# CHARACTERIZING DROPLET BREAKUP RATES OF SHEAR-THINNING DISPERSED PHASE IN MICROREACTORS

Voon-Loong Wong<sup>a,b,\*,1</sup>, Katerina Loizou<sup>b,2</sup>, Phei-Li Lau<sup>a</sup>, Richard S. Graham<sup>c</sup>, and
 Buddhika N. Hewakandamby<sup>c</sup>

<sup>6</sup> <sup>a</sup>Department of Chemical and Environmental Engineering, University of Nottingham

7 Malaysia Campus, Semenyih 43500, Malaysia

- <sup>8</sup> <sup>b</sup>Department of Chemical and Environmental Engineering, University of Nottingham,
- 9 Nottingham NG7 2RD, United Kingdom
- <sup>10</sup> <sup>c</sup>School of Mathematical Sciences, University of Nottingham, Nottingham NG7 2RD,

11 United Kingdom

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# ABSTRACT

A two-phase flow predictive model with the integration of conservative level-set 13 method (LSM) and Carreau-Yasuda constitutive equation was developed herein. The 14 LSM was chosen as a potential interface capturing scheme for elucidating the 15 interfacial phenomena including insight into the mechanism of shear-thinning 16 droplets. In present paper, the dynamics of shear-dependent droplet emergence, 17 growth, detachment and translocation in a Newtonian microsystem were examined 18 19 via computational fluid dynamics (CFD) analysis. Dilute sodium 20 carboxymethylcellulose (Na-CMC) solution was treated as dispersed phase (70 mPa.s <  $\eta_o$  <10.2644 Pa.s) whereas the olive oil (68 mPa.s) was designated as 21 continuous phase. Visualisation experiments were carried out and these laboratory 22 data were used to validate the simulation results. Detailed 2D simulations were 23 presented to examine systematically the impact of fluid properties on the droplet 24 breakup rate at predefined flow rate ratio, Q of 0.05. The results yielded an inflection 25 point in the dependence of droplet breakup rate on Na-CMC concentration was 26 found in between the dilute and semi-dilute concentration regimes. This inflection 27 point displays a non-monotonic profile which is mainly caused by the considerable 28 viscosity effect of Na-CMC polymer when its concentration increases above a critical 29

<sup>&</sup>lt;sup>\*</sup>Corresponding author; <u>v.wong@hw.ac.uk</u> (Voon-Loong Wong)

<sup>&</sup>lt;sup>1</sup>Current address: School of Engineering and Physical Sciences, Heriot-Watt University Malaysia Campus, 62200 Putrajaya Wilayah Persekutuan, Malaysia.

<sup>&</sup>lt;sup>2</sup> Current address: Department of Chemical Engineering, University College London, London, United Kingdom.

value (C > C\*~0.40 wt%). This striking behaviour highlights the importance of rheological effects in flows with a shear-dependent fluid under various flow conditions. The viscous effect of Na-CMC fluids substantially affects the manipulation over the droplet pinch-off time and production rate. Thus, it necessitate the control of the shear rate by adjusting the flow conditions and aspect ratio of microchannels.

Keywords: non-Newtonian; microfluidics; level-set; droplet breakup; T-junction
 geometry.

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## 38 **1. Introduction**

Two-phase flow is a term covering the motion of two different interacting fluids that 39 are in different phases such as liquid-liquid and liquid-vapor. In two-phase flow 40 microfluidics, an emulsion contains a mixture of two immiscible liquids as one phase 41 being dispersed throughout the other phase in small droplets. Most common 42 emulsions include direct emulsions, oil droplets in an immiscible and continuous 43 water phase, or inverted emulsions, water droplets in an immiscible and continuous 44 oil phase. Emulsions are typically made by fissioning droplets with shear or impact 45 and the resulting suspensions possess a wide size distribution of drop sizes 46 (Umbanhowar, Prasad, & Weitz, 2000). At low enough Reynolds (Re) number, a 47 laminar flow regime is assumed and these droplets are translocate through 48 microfluidic structures having dimensions most easily measured in microns. The 49 manipulation of droplets in a confined microfluidic system has been highlighted as 50 one of the earliest tools used in the fields of biomedical sciences. The characteristics 51 of droplets become reliable tool for performing biological operations such as analyte 52 encapsulation, sampling, metering, dilution, reaction and detection (Huebner et al., 53 2008; Niu & deMello, 2012; Tawfik & Griffiths, 1998; Theberge Ashleigh et al., 2010). 54

Droplets can be generated via a number of methods in microfluidic devices, including breakup in co-flowing stream(Cramer, Fischer, & Windhab, 2004; Moon, Cheong, & Choi, 2014; Utada, Fernandez-Nieves, Stone, & Weitz, 2007), breakup in crossflowing stream (Garstecki, Fuerstman, Stone, & Whitesides, 2006; Qiu, Silva, Tonkovich, & Arora, 2010; Xu, Li, Tan, & Luo, 2008), hydrodynamics flow-focusing (Anna & Mayer, 2006; Peng, Yang, Guo, Liu, & Zhao, 2011), and microchannel

emulsification (Kobayashi, Nakajima, & Mukataka, 2003; van der Zwan, Schroën, & 61 Boom, 2009; Yobas, Martens, Ong, & Ranganathan, 2006). Cross-flowing in a T-62 junction is one of the easiest microfluidic methods of generating highly 63 monodispersed droplets. The formation of droplet at a T-junction, at which viscous 64 shear-stresses induced by continuous stream of the horizontal channel overcome 65 surface tension at the liquid-liquid interface and pull off droplets of the dispersed 66 phase from the vertical channel. This is mainly due to the instabilities of free surface 67 between the phases are sufficiently large. Thus, the size and frequency of the 68 droplets can be accurately manipulated by modifying the relative pressures of the 69 two immiscible liquid in order to enable the production of a wide range of vesicle 70 shapes and patterns (Thorsen, Roberts, Arnold, & Quake, 2001). Besides, opposed 71 flowing (Shui, van den Berg, & Eijkel, 2009) and perpendicular flowing (Leshansky & 72 Pismen, 2009) are another operation modes producing monodispersed droplet 73 formation in a T-junction microchannel. 74

A numerical modelling approach to the multiphase flow problem provides a detailed 75 and comprehensive description of the formation of microdroplets since a number of 76 statistical information can be extracted from a predictive model. As the dimension of 77 the interest gets smaller, the surface-based interfacial tension and the viscosity 78 become more significant in controlling critical flow behavior of multiphase flow in 79 microscale, especially when handling fluids that have a complex microstructure 80 leading to non-Newtonian phenomena. Additionally, the non-Newtonian flow curve 81 presents a nonlinear relationship between shear-stress and the rate of deformation. 82 For instance, previous research efforts have been much devoted to the experimental 83 analysis of the dynamics and relevant hydrodynamics of viscoelastic droplets 84 (Arratia, Cramer, Gollub, & Durian, 2009; Husny & Cooper-White, 2006; Steinhaus, 85 Shen, & Sureshkumar, 2007) and few studies have focused on other shear-86 dependent fluids such as purely viscous fluids and time-dependent fluids (Chhabra & 87 Richardson, 2008). Hitherto, there have been far fewer attempts to develop a 88 predictive numerical model for the relevant physics of non-Newtonian droplets 89 generation in a Newtonian bulk phases. However, there is no unique constitutive 90 model that can represent the different characteristic behaviours of non-Newtonian 91 fluids. 92

In microfluidics, the geometry of droplet interface is usually complex and it can 93 undergo large deformations or even topology changes such as fission and fusion in 94 microchannel. There are two approaches, namely interface tracking (Hou, 95 Lowengrub, & Shelley, 2001; Tryggvason et al., 2001) and interface capturing 96 (Bonometti & Magnaudet, 2007), to represent the flow problem of droplet interface 97 evolution or moving boundaries either explicitly or implicitly to the incompressible 98 99 Navier-Stokes equation discretised on a fixed grid. Interface tracking of the moving boundary in multiphase system is an explicit representative that requires the 100 101 computational meshes to track the evolving interface for each time-step. In contrast, the interface capturing approaches is an implicit representative that uses a phase 102 function discretised on the fixed grid to represent the interface (Bonometti & 103 Magnaudet, 2007). In present paper, conservative level-set method (LSM) is adopted 104 as it is a simple and robust scheme of interface capturing approaches for tracking 105 moving interfaces and shapes (Osher & Sethian, 1988). It permits numerical 106 computations of such objects involving curves and surfaces to be performed on a 107 fixed Cartesian grid without having to parameterize them (Olsson & Kreiss, 2005; 108 Olsson, Kreiss, & Zahedi, 2007; Osher & Sethian, 1988). In the LSM, the surface 109 110 tension force is conventionally modelled as a distributed body force though concentrated in a band around the interfaces. The variation of surface tension force 111 across the interface can be difficulties in the application of others common interface 112 capturing methods, including the volume-of-fluid (Rider & Kothe, 1998) (VOF) and 113 lattice-Boltzmann method (LBM) (Takada, Misawa, Tomiyama, & Fujiwara, 2000). 114 However, the LSM can resolve to the challenges of mass conservation and the 115 treatment of discontinuities across the flexible interface (Olsson & Kreiss, 2005; 116 Olsson et al., 2007). 117

The present paper demonstrates systematic sets of numerical simulations for the 118 microdroplet generation of a shear-thinning Na-CMC droplets in Newtonian flow at a 119 120 microfluidic T junction using a developed predictive computational model. The present model is adopted with the integration of conservative level-set approach and 121 non-Newtonian constitutive law. Fundamental principles and application of 122 microfluidic systems were presented due to the selection and interpretation of the 123 subsequent numerical analysis. Numerical simulations of the Na-CMC microdroplets 124 formation in an olive oil-based continuous phase were carried out. The present study 125

reveals the interesting phenomena of shear-thinnings droplet formation during the systematic variation in flow rates, interfacial tension, and surface wettability. As a result, the rheological characteristics of Na-CMC solution are strongly depending on its concentration. Thus, the impact of these rheological characteristics of Na-CMC can be of great interest to provide an insightful understanding to the relevant physics of non-Newtonian droplet formation process in microfluidic flow.

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# 133 2. Experimental Methodology

#### 134 **2.1 Microfluidics Device Fabrication**

A channel dimension of 220  $\mu$ m ( $w_c$ ) × 90  $\mu$ m ( $w_d$ ) × 76  $\mu$ m (h) was used in present 135 validation studies. It was fabricated in-house by photolithography and soft 136 lithography technique. Fig. 1 illustrates the dimensions of a T-junction employed in 137 the numerical and experimental studies. Prior to the start of fabrication process, an 138 out sourced positive photolithographic mask (clear lines with a black background) 139 was used to transfer of the photo-lithographically pattern onto the negative mould. 140 The photolithographic mask with the desired layout of microchannel structure was 141 designed using standard computer assisted design (CAD) program. The 142 polydimethylsiloxane (PDMS) mould was fabricated by moulding a mixture of PDMS 143 liquid pre-polymer, a 10:1 mixture of Sylgard 184 silicone elastomer and curing agent 144 (Dow Corning, USA), onto the SU-8 master mould with SU-8 2025 (MicroChem 145 Corporation, Newton, MA) as the photoresist that ultimately becomes the pattern on 146 the silicon wafer. The glass slide with a cured PDMS thin layer and the surface of the 147 PDMS mould with the micropattern indent then brought into conformal contact before 148 149 flow experiment was conducted.



Fig. 1: Illustration of (a) microfluidics T-junction composed of rectangular channels;
 (b) schematic diagram of microfluidics T-junction composed of rectangular channel;
 (c) Scanning electron microscope (SEM) image of fabricated T-junction of PDMS
 microchannel and cross section of PDMS microchannel.

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#### 156 **2.2 Fluid Characterisation**

A calibrated BS/U-tube viscometer was used to perform the kinematic viscosity 157 measurement for the transparent Newtonian olive oil (Sigma Aldrich). The capillary 158 diameter of BS/U-tube viscometer with 0.50 mm ± 0.01 mm was used. The 159 viscometers were mounted upright in a beaker (2000 mL) of water at controlled room 160 temperature (20 ± 2°C). Each sample solutions were allowed to attain the room 161 temperature for 10 minutes. The viscosity measurements for Newtonian solution 162 were conducted three times, and average values were taken for analysis. For shear-163 thinnings Na-CMC ([C<sub>6</sub>H<sub>7</sub>O<sub>2</sub>(OH)CH<sub>2</sub>COONa]<sub>n</sub>, Sigma Aldrich) agueous solution, the 164 rheological measurements were performed on controlled stress rheometer (MCR 165 302, Anton Paar) equipped with a cone-and-plate geometry (cone plate with 166 diameter of 50 mm; angle 0.04 radian) at controlled temperature of 20°C. The 167 samples were carefully loaded onto the measuring plate of the rheometer and left to 168 idle for 10 minutes prior to viscosity measurement. Fig. 2 illustrates the shear 169 viscosity against shear rate plot of Na-CMC solutions at various concentrations 170 ranging from 0.02 wt% to 1.20 wt% has been plotted over a log-log scale that covers 171 nearly six orders of magnitude of shear rate (Wong, Loizou, Lau, Graham, & 172 Hewakandamby, 2017). In present work, power-law model is not potentially selected 173 to describe the behaviour of shear-thinnings working fluid of Na-CMC aqueous 174 solution as it poses limitations on its range of applicability over a wide range of shear 175 rate. In order to circumvent the drawback of the power-law model, alternative 176 approaches such as Carreau-Yasuda model utilize viscosity functions that have finite 177 values both at very low and high shear rate (Chhabra & Richardson, 2008). 178 Additionally, all the measurement data were well-fitted to the well-known Carreau-179 Yasuda model (Chhabra & Richardson, 2008) for the shear-thinnings behaviour of 180 Na-CMC: 181

182 
$$\eta(\dot{\gamma}) = \eta_{\infty} + (\eta_o - \eta_{\infty}) [1 + (\lambda_{CY} \dot{\gamma})^a]^{\frac{n-1}{a}}$$
 (1)

where  $\eta_o$  is zero shear viscosity,  $\eta_{\infty}$  is infinite shear viscosity,  $\lambda_{CY}$  is the relaxation time,  $\dot{\gamma}$  is shear rate, *n* is power-law exponent and *a* is the fitting parameter for Carreau-Yasuda model.



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**Fig. 2:** Shear viscosity plotted against shear rate for a series of Na-CMC shearthinning solutions with different concentrations (Wong et al., 2017).

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#### 190 **2.3 Emulsification Setup**

The continuous (olive oil) and dispersed phase liquids (Na-CMC aqueous) were 191 dispensed separately into the reservoirs of microchannel; each fluid was driven 192 through microchannel at the desired continuous ( $Q_c$ ) and dispersed flow rates ( $Q_d$ ) 193 using syringe pumps (AL-1000, Florida and NE-1000, Netherlands), respectively. 194 The processes before and after droplet formation in microfluidics device were 195 recorded using a high speed camera (MIC Hotshot 1280 cc) connected to an 196 epifluorescence microscope (Olympus IX51, Japan). The experimental setup with 197 flow visualisation is illustrated in Fig. 3. After stabilizing the system for predetermined 198 time intervals (20 minutes), videos were recorded at 500 frames per second (fps) 199 after each flow rates of either continuous or dispersed phase were adjusted. The 200 average effective droplet diameter of 30 droplets under experimental condition was 201 measured through an image processing routine using MATLAB. All the collected data 202 will be validated prior to the parametric analysis. 203



- **Fig. 3:** Schematic diagram of experimental setup for flow visualization.
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## **3. CFD Modelling and Simulation**

#### **3.1 Theory Model**

A predictive numerical model was developed to track the fluid-fluid interfaces 209 between two immiscible fluid phases of different density and viscosity. This applied 210 the conservative LSM from CFD module using COMSOL Multiphysics. The 211 mathematical model used in the computational fluidic dynamic simulation utilized a 212 numerical time-stepping procedure to obtain the model behaviour over time. The 213 governing equations for momentum and conservation laws of mass was considered, 214 215 which was shown in the following forms with the assumption that the fluid is incompressible: 216

217 
$$\rho \frac{\partial \mathbf{u}}{\partial t} + \rho(\mathbf{u} \cdot \nabla)\mathbf{u} = -\nabla p + \nabla \cdot \eta(\nabla \mathbf{u} + (\nabla \mathbf{u})^T + F_{st}$$
(2)  
218 
$$\nabla \cdot \mathbf{u} = 0$$
(3)

219

where  $\rho$ ,  $\eta$ , and  $F_{st}$  denote the density, dynamic viscosity, and the surface tension force respectively, p denotes pressure while I is the identity matrix. Naturally, the Navier-Stokes equation (Equation (2)) is solved on the fixed grid to control the motion of multiphase system. The density and the viscosity of the two fluids at any point can be calculated using the two equations given below:

225 
$$\rho = \rho_1 + (\rho_2 - \rho_1)\widetilde{\phi}$$
(4)

226 
$$\eta = \eta_1 + (\eta_2 - \eta_1)\phi$$
 (5)  
227 where  $\rho_1$  and  $\rho_2$  are the densities of continuous phase and dispersed phase, and  $\eta_1$ 

and  $\eta_2$  are the viscosities of continuous phase and dispersed phase. A smeared out

approach is used where the discretisation of the Heaviside function  $(H_{sm}(\phi))$  can be useful as it is better suited to numerical computations (Deshpande & Zimmerman, 2006):

232 
$$\widetilde{\phi} = H_{sm}(\phi) = \begin{cases} 0, & \text{if } \phi < -\varepsilon \\ \frac{1}{2} + \frac{\phi}{2\varepsilon} + \frac{1}{2\pi} \sin(\frac{\pi\phi}{\varepsilon}), & \text{if } -\varepsilon \le \phi \le \varepsilon \\ 1, & \text{if } \phi > \varepsilon \end{cases}$$
(6)

233

where  $\mathcal{E}$  denotes the interface thickness. The  $F_{st}$  term acting on the interface between two fluid phases is determined by following equation:

$$236 F_{st} = \sigma k n_{\Gamma} \delta_{sm} (7)$$

where  $\sigma$  denotes surface tension, *k* denotes local interfacial curvature,  $\mathbf{n}_{\Gamma}$  is the unit normal vector to the interface pointing into the droplet, and the  $\delta_{sm}$  denotes the smeared out Dirac delta function ( $\delta_{sm}$ ) concentrated at the interface between two fluids. These above parameters can be calculated by Equation 8, 9, and 10, respectively:

$$k = -\nabla \cdot \mathbf{n}_{\Gamma} \tag{8}$$

243 
$$\mathbf{n}_{\Gamma} = \frac{\nabla \phi}{|\nabla \phi|}$$
 (9)

244  $\delta_{sm}(\phi) = \begin{cases} 0, & \text{if } \phi < -\varepsilon \\ \frac{1}{2\varepsilon} + \frac{1}{2\varepsilon} \cos(\frac{\pi\phi}{\varepsilon}), & \text{if } -\varepsilon \le \phi \le \varepsilon \\ 0, & \text{if } \phi > \varepsilon \end{cases}$ (10)

To retain the level-set function ( $\phi$ ), a re-initialization procedure is required for the finite element approximation of the level-set equation. A re-initialized and conservative level-set method is used to describe and convect the fluid interface. The following equation describes the convection of re-initialized level-set function:

249 
$$\frac{\partial \phi}{\partial t} + \mathbf{u} \cdot \nabla \phi = \gamma \nabla \cdot \left[ \varepsilon \nabla \phi - \phi (1 - \phi) \frac{\nabla \phi}{|\nabla \phi|} \right]$$
(11)

where  $\gamma$  and  $\varepsilon$  are numerical stabilization parameters, where the former denotes reinitialization parameter and latter parameter determines the thickness of the interface. Equation (11) is coupled to the governing equations (Equation (2) and (3)) in present numerical model. The  $\gamma$  approximates the maximum speed occurring in the computational domain. The  $\varepsilon$  assumed as the maximum mesh size in subdomains in the neighbourhood of the interface. After the grid convergence analysis, the parameters  $\gamma$  and  $\varepsilon$  with the value of 0.065 m/s and 5.8×10<sup>-6</sup> m were calculated based on the maximum flow velocity in microchannel and optimum mesh size, respectively.

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#### 260 **3.2 Domain Discretisation and Grid Convergence Analysis**

A T-shaped geometry with prescribed dimension of 220 µm × 90 µm was created 261 and meshed with quadrilaterals elements. An entrance thickness (*h*) of 73.5 µm was 262 prescribed in numerical system define the depth of the microchannel. The typical 263 264 finite element mesh for structured mesh of two-dimension (2D) mapped mesh for a 2D model was selected. Mesh refinement analysis were performed to quantify the 265 dependency of simulation results on the grid size and achieve an optimal grid 266 resolution. Meshes of varying degrees of resolution were set up for the T-junction 267 domain with the same grid size of near-wall region. Table 1 illustrates three 268 examples of mesh geometry with prescribed dimensions in COMSOL Multiphysics. 269 Mesh can be arranged as to be clustered near the wall for optimum grid resolution in 270 order to resolve the boundary layer flow in future work. 271

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The present model is set up for transient analysis which provides the time domain response of a system subjected to time-dependent loads. The effect of mesh size was examined by increasing the number of mesh elements from initial number of elements of 976 (coarsest) to 98184 (finest). Finer grid size are generated by simultaneously increasing the number of nodes in all direction to obtain as close to a uniform refinement. The number of elements is increased by a mean factor of  $\sqrt{2}$  for each refinement settings. Thus, the total number of nodes for each refinement is

approximately doubled over the previous grid size. Preliminary test of grid 282 convergence analysis was solely carried out at flow rate ratio, Q of 0.05, which is a 283 quotient of a flow rate of the flow for dispersed phase and continuous phase. An 284 acceptable relative error and error percentage of 0.15% and  $\leq$  1% between the last 285 two finer grids (12166 and 15963) was obtained, respectively. Fig. 4(b) illustrates the 286 grid convergence analysis at Q of 0.05. An optimal simulations results was achieved 287 at 7644 number of elements. The relative error of measurements shows the error 288 deviation in relation to the effective droplet diameter between each mesh resolutions. 289 290 While the error percentage of measurements shows the error deviation in relation to the effective droplet diameter between numerical and experimental data. In the 291 present work, all the parametric studies used, as key output, the effective droplet 292 diameter. Therefore, an integration operator was added to find the area 293 corresponding to the dispersed phase, where  $\tilde{\phi} \ge 0.5$ , in order to calculate the 294 effective droplet diameter by the following equation: 295

296 
$$d_{eff} = 2 \cdot \sqrt{\frac{1}{\pi} \int_{\Omega} (\tilde{\phi} > 0.5) d\Omega}$$
(12)

297 This is the diameter of a spherical droplet that has equivalent volume of the formed droplet. The extensive studies of grid convergence analysis was also performed on 298 299 different flow rate ratio. Fig. 4 illustrates the mesh dependence profile with error percentage of droplet size measurement at different flow rate ratio Q. For a constant 300 301  $Q_{\rm c}$  at 2.00 ml/hr, the effective droplet diameter was measured with the variation in  $Q_{\rm d}$ (0.08 ml/hr to 0.125 ml/hr) for various number of elements. As seen in Fig. 4, the 302 results of convergence are found to achieve more rapidly and effectively in cases at 303 lower flow rate ratio ( $Q \le 0.0675$ ). Higher flow rate ratios are limited to a certain 304 range in numerical model due to difficulties of numerical dissipation in the advection 305 step of fluid simulation. Moreover, further refinement is required to sufficiently resolve 306 the higher velocity profiles. Thus, the subsequent parametric studies were mainly 307 based on Q of 0.05 at optimal mesh size. Particularly, the numerical simulations 308 were performed at a time-step size of 2.57×10<sup>-5</sup> seconds calculated using the 309 Courant-Friedrichs-Lewy (CFL) conditions. It shows a relation between the 310 computational cell size, the transient time-step size, and the fluid velocity within the 311 cell. A Courant number of 0.25 is selected in the present study considering as robust 312 value to maintain the stability of calculations. 313





Fig. 4: Grid convergence analysis for different flow-rate ratio profile: (a) Q=0.04; (b) Q=0.05; (c) Q=0.0675; (d) Q=0.1; (e) Q=0.125; (f) combination of mesh refinement profile.

#### 320 **3.3 Numerical Model Validation with Experimental Justification**

Mesh convergence analysis was studied to quantify the dependency of simulation results on mesh size and achieve an optimal grid resolution of 7644. A preliminary validation of numerical simulations of the formation of deionized water droplets in olive in a T-junction microchannel was carried out and gave qualitative agreement with laboratory experimental data to predict with reasonable accuracy in the range of velocity applied. The results of convergence is found to be achieved more rapidly at lower flow rate ratio. Fig. 5 illustrates the variation in effective droplet diameter between the experimental and numerical studies.



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**Fig. 5:** Comparison of (a) effective droplet diameter between numerical and experimental result in the range of velocity ratio applied. Error bars shown indicates the standard deviation in droplet size measurement of 30 droplets under fixed experimental condition. Dashed box shown denotes the (b) droplet breakup phenomena in the range between *Q* of 0.04 and 0.1.

336 337

The data was recorded at  $5 \times 10^{-3}$  seconds intervals to capture the growth and detachment phenomenon of the fluid-fluid interfaces. A contact angle of  $180^{\circ}$  that

represents the complete repulsion of Na-CMC droplets by the PDMS with 340 hydrophobic channel wall surface was applied in the computation. As can be seen in 341 Fig. 5, the numerical simulation of detachment process was shown in similar manner 342 with the experimental data at Q < 0.0675. Nevertheless, such an agreement was 343 physically unreasonable at higher Q as jet breakup phenomena is found to be more 344 significant for numerical simulation over time. This might be due to the numerical 345 dissipation increases the viscosity of working fluid and causes it appear more 346 viscous than intended at higher Q. Thus, the Q of 0.05, as equivalent to the velocity 347 348 ratio  $(u_c/u_d)$  of 8, was adopted in present parametric studies subsequently.

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#### 350 3.4 Simulated Laplace Pressure of Droplet Interface Profile

First, the static pressure is decreasing linearly along the cross-sectional 2D plane of the microchannel. Fig. 6 illustrates the pressure drop distribution along the channel a droplet containing with 0.02 wt% Na-CMC solution.



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Fig. 6: The pressure distribution of a generated 0.20wt% Na-CMC droplet interface along the T-junction microchannel at *Q* of 0.05.

In the presence of curved interfaces, the curvature induce a pressure jump, which is known as Laplace pressure. The Laplace pressure jumps was determined in the

path across the droplet body while crossing the front and rear interfaces. As the 360 dimension of the interest gets smaller, surfaces tension becomes dominant over 361 gravitational forces and others physical forces such as viscous and inertial forces. 362 The surface tension becomes an important surface energy parameter that controls 363 the stability of interfaces between the two phases when the droplets are forming. 364 Due to the existence of surface tension effect in a case of a liquid droplet, the 365 Laplace law implies a greater pressure inside the droplet than a continuous phase. 366 As the radius of the droplet become smaller, the pressure becomes larger on the 367 concave side of liquid interface. A Young-Laplace equation is usually used to 368 determine the pressure difference across a fluid interface as a function of curvature. 369 Moreover, the magnitude of this pressure differential can be expressed in term of 370 surface tension: 371

$$372 \qquad \Delta P_L = \sigma \left( \frac{1}{R_1} + \frac{1}{R_2} \right) \tag{13}$$

where  $\sigma$  is the surface tension of the respective liquid interface and  $R_1$  and  $R_2$  are the two principal curvature radii of the interface. Additionally, the effect of viscous dissipation inside the droplets may also prominently affects the pressure drop distribution when the viscous effect is considerably increased.

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#### **4. Results and Discussion**

#### **4.1 Effect of Na-CMC Viscosity on Droplet Breakup Time and Production Rate**

The present investigation was designed to determine the Na-CMC viscosity effect on 380 the droplet breakup time and production rate. The viscosity of polymer solution is a 381 function of concentration and the molecular weight of dissolved polymer. While the 382 concentration of Na-CMC solution is increased in a series of simulations from 0.02 383 wt% to 1.20 wt% at a constant Q of 0.05, the evolution of droplet breakup time can 384 be discerned in two distinct non-monotonic behaviour. Fig. 7(a) illustrates the Na-385 CMC concentration effect on the normalized droplet breakup time whereas the 386 normalized production rate is shown in Fig. 7(b). The variation of viscosity of a shear 387 thinning drop occurs during the pinch off process. Initially, the breakup time 388 decreases as Na-CMC concentration increases from 0.02 wt% to 0.40 wt%. The 389 shear-thinning effect of Na-CMC solution increases with the concentration. The 390 greater shear-thinning effect may exhibit a decrease in polymer viscosity upon the 391

application of shear near the channel wall due to the inertial force. At concentrations 392 well above 0.40 wt%, the Na-CMC droplet breakup time increases may to the 393 viscous force becomes significantly prevailing over the inertial force induced by the 394 continuous phase on the forming interface. In general, the largest shear rate occurs 395 at the corner edge of the T-junction and thus the shear-induced destabilization of the 396 dispersed thread causes the breakup of thread leading to the formation of droplets. 397 As can be seen in Fig. 8, the distribution of non-Newtonian wall shear-rate 398 decreases as compared to the Newtonian wall shear rate profile. While in the lower 399 400 wall shear rate range, the Na-CMC solutions exhibited a significant increase in viscosity. This means the low shear rate viscosity occurs at the high concentration of 401 Na-CMC content. Consequently, the droplets pinch-off in larger viscosity fluids for 402 which the inertial effects are unamplified. 403



**Fig. 7**: Effect of Na-CMC concentration on (a) droplet breakup time (b) droplet production rate (for system:  $Q_d/Q_c=0.05$ ).

410 At dilute Na-CMC concentrations below 0.40 wt%, the viscosity effect is not 411 considerable as the direct intermolecular interactions are negligible. As the Na-CMC 412 concentration increases, the shear-thinning effect becomes more significant and 413 causes rapid pinch-off due to the high shear stress exerted by the continuous phase 414 near to the wall. The viscosity of the shear thinning drop is reduced when the drop 415 begins to neck response to the increased shear rate in that region. As the neck 416 continues to thin, the region of lower viscosity grows to encompass almost the entire 417 drop occurring within the neck and just outside it where the outflows from the neck 418 occur (Malcolm R. Davidson & Cooper-White, 2006; M. R. Davidson, Cooper-White, 419 & Tirtaatmadja, 2004). Nevertheless, extending breakup occurs and lower production 420 421 rate was found for the Na-CMC solution concentration above 0.40 wt%. This is mainly caused by the development of entanglement coupling between the polymer 422 chains, which begins manipulating the fluid characteristics of Na-CMC solution. The 423 elongation of the necking into a thin filament connect between the primary droplet 424 and the upper dispersed phase thread, suggest that an increase in polymer 425 concentration which plays an important role in resisting drop pinch-off with the 426 formation of thinning filament. Thus, the viscous fluid thread is then stretched by the 427 mainstream flow to the downstream region and the breakup event is delayed 428 substantially. 429



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Fig. 8: Shear rate profile of fluid flow at the edge of T-junction microchannel along the arc length of continuous phase for (a) Newtonian system; (b) 0.40wt% Na-CMC non-Newtonian system; and (c) 1.0 wt% Na-CMC non-Newtonian system (for system:  $Q_d/Q_c=0.05$ ).

Fig. 9 illustrates the Laplace pressure profile of a Na-CMC droplet interface 436 curvature for different polymer concentrations at the middle plane of the 437 microchannel. At dilute Na-CMC concentration below 0.40 wt%, droplets are self-438 propelled some distances from the wall mainly subjected to the gradient of the 439 surface energy and higher velocity flow stream of the main channel after the sharp 440 breakup occurs at the corner of T-junction. For the larger concentration of Na-CMC 441 (C > 0.40 wt%) dispersed fluid, the presence of instabilities promotes the breakup of 442 jets. After the formation of the primary breakup droplets, the generated droplet 443 moves near the wall of microchannel as it is initially exposed to the flow projected 444 from the side branch at the moment of breakup. After a certain distance, it will flow 445 near the center of the bulk phase. The droplet with a radius in an emulsion will exert 446 greater pressure on the inner concave interface than on the convex side. When the 447

droplet deformation occurs, the Laplace pressure of the deformed droplet is a function of the radius along the droplet surface (see section 3.4). Thus, as the dispersed thread is elongated, a smaller droplet will be formed causing smaller radius of curvature that result in a larger inward force and expected to experience a greater pressure (see Fig. 9).

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Fig. 9: A qualitative plot of the Laplace pressure profile of a generated Na-CMC droplet interface curvature at concentration of 0.10 wt%, 0.40 wt%, 0.80 wt%, and 1.00 wt% along the middle plane of microchannel (for system:  $Q_d/Q_c$ =0.05).

#### 459 **4.2 Effect of Surface Wettability on Droplet Breakup Time and Production Rate**

The effect of shear-thinning nature on droplet breakup process has not been 460 extensively studied in the preceding analysis. In a T-shaped microchannel, the 461 continuous and dispersed phase were dispensed at the prescribed flow rates 462 through the microchannel with a hydrophobic wall surface ( $\theta > 90^{\circ}$ ). Additionally, the 463 continuous phase needs to wet the surface of the channel walls preferentially in 464 order to repel the dispersed phase droplets away from the wall. The surface 465 wettability of microchannel are of utmost importance for the stability of the droplets 466 formation process in a microfluidic device. The effect of surface wettability on the 467 total droplet formation time, which is the transition between a growing and a 468 detached droplet, was discussed. Fig. 10 illustrates surface wettability effect on the 469

normalized droplet formation rate. Results revealed that the droplet detachment occurs more rapidly at shorter times as the  $\theta$  increases. The inertial force, shear force and surface wettability are competing effects influencing the dynamics of the droplet breakup process. The wettability driving the fluid toward the surface and the contact area between the droplets and solid surface increases for the smaller  $\theta$ . The smaller  $\theta$  tends to reduce the droplet deformation and delay the breakup process. As  $\theta$  increases, the thread is no longer flowing close to the wall as its adhesion strength to the wall reduces, causing less resistance to flow. Therefore, the inertial force driving the flow of thread is prevailing. The time for the formation of droplet is also essentially dependent of the strength of shear force acting on the interface, because it dominates over the adhesion force for larger  $\theta$ . Bashir *et al.* (2011) reported that the degree of confinement promotes the breakup for the larger  $\theta$ ; whereas the degree of confinement suppresses the breakup for smaller  $\theta$ . 

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**Fig. 10**: (a) Effect of contact angle on the normalized droplet formation time of Na-CMC solutions. (b) Variation in normalized droplet formation rate of shear-thinning droplets for a. dilute (C < 0.40 wt%) and b. semi-dilute (C > 0.40 wt%) Na-CMC concentrations regime at fixed  $\theta$  (for system:  $Q_d/Q_c$ =0.05).

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For a fixed value of  $\theta$ , the result reveals that the droplet formation time decreases as 508 the concentration of the Na-CMC increases from 0.02 wt% to 0.40 wt% (see Figure 509 10). Interestingly, a similar phenomenon does not happen for the larger 510 concentration (C > 0.40 wt%). This is due to the fact that the concentration of the 511 polymer solutions beyond the critical overlap concentration exert a significant 512 influence on retarding the droplet breakup time. As previously mentioned, the direct 513 intermolecular interactions can be neglected for low concentrations. Thus, the rapid 514 pinch-off of shear-thinning droplets occurring was due to the high shear stress in 515 these low concentrations regimes. When the Na-CMC concentration is increasing. 516 the rheological behaviour of Na-CMC solution may be governed by the development 517 of entanglement coupling between the chains and contribute significantly to the 518 increasing of viscosity and the formation of thinning filament. 519

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Hong and Cooper-White (2009) studied the effect of non-Newtonian Carbopol 521 dispersions on the droplet detachment behaviour in the bulk phase of silicone oil. 522 The Carbopol droplet pinch-off time increases with increasing the viscosity of 523 dispersions<sup>37</sup>. Besides, Arratia *et al.*(2009) also reported that the time for polymer 524 525 polyacrylamide (PAA) droplet breakup was retarded as the polymer molecular weight is increased at fixed Q (Hong & Cooper-White, 2009). Husny and Cooper-White 526 (2006) also claimed that pinch-off occurred rapidly without any significant filament 527 formation during necking for Newtonian droplet formation; but, this rapid necking 528 event was retarded with the formation of a thinning filament for polyethylene oxide 529 (PEO) solutions (Husny & Cooper-White, 2006). As can be seen in Fig. 10, a similar 530 observation was found for the higher Na-CMC concentration as the formation of a 531 thin and stable filament between the droplet and thread is more apparent when C > 532 0.40 wt%. The dynamics of the thinning filament are governed by the shear stress 533 and viscous pressure, by which the filament is elongated drastically and resists the 534 droplet pinch-off. Thus, the delayed pinch-off may be due to the retardation effect of 535 the fluid elasticity, which it can be increased by increasing the polymer concentration. 536 However, the entire phenomena was observed from our predictive model with no 537 elastic stress applied in our present study. Hence, that shear-thinning alone is also 538 sufficient to induce a filament and that elasticity is not necessary. 539

540

In contrast, results revealed that the production rate of the Na-CMC droplet increases with enhanced surface wettability. The droplet generation frequency increases with dispersed phase concentration over the range of 0.02 wt% to 0.40 wt% when the contact angle was held constant at each wetting condition. However, the rate of droplet production begins to decrease with increasing the concentration of Na-CMC dispersed phase concentration larger than 0.40 wt%, as noted in the previous section.

A comparison of pressure profiles of Na-CMC droplet at different contributions were 548 illustrated in Fig. 11. At low and dilute concentrations of the Na-CMC polymer, the 549 pressure drop of a stabilized curved surface of Na-CMC droplet is increasing along 550 the bulk phase with increasing the wetting conditions. The weak adhesion and strong 551 cohesion of the liquid in the bulk phase was found for the larger contact angles. The 552 553 unfavourability of channel surface to the dispersed thread plays and leads a major role in the transport of droplet and lead to a larger shear force to be exerted on the 554 555 drop surface. Rapid deformation of the droplet occurs due to the adequate shearing force induced by the continuous phase. Thus, the droplet mass was shown to 556 decrease when the initial contact angle is larger and leads to a larger pressure drop. 557 The higher concentration of Na-CMC dispersed phase contributes the same 558 phenomenon. For the Na-CMC concentration above 0.40 wt%, lower contact angles 559 promote and dampen greater spreading and dampens the breakup process. The 560 spreading of the elongated thread is eventually broken up into smaller droplets when 561 the surface energy is overcome. Neglecting the impact of fluid property on surface 562 wettability, the much larger concentration of fluid samples withdrawn from the 563 dispersed thread can create much greater pressure drop at the same contact angle. 564



Fig. 11: A qualitative plot of the Laplace pressure profile of a generated Na-CMC droplet interface curvature at concentration of 0.20 wt% and 0.80 wt%, along the middle plane of microchannel at  $\theta$  =130° and 170° (for system:  $Q_d/Q_c$ =0.05).

# 4.3 Effect of Interfacial Tensions on Droplet Breakup Time and Production Rate

The effect of interfacial tension on the time taken for the droplet growth, deformation 573 and detachment were also investigated. The impact of interfacial tension on the Na-574 CMC droplet breakup time is illustrated in Fig. 12(a). As a result, the droplet breakup 575 time increases with increasing interfacial tension. As interfacial tension increases, 576 the retraction of the interface induced by surface tension forces becomes greater 577 due to the relatively high surface free energy. This will tend to hinder the droplet 578 formation process as the Na-CMC droplets take longer time approach to 579 thermodynamics equilibrium. At the low concentration regime (C < 0.40 wt%), the 580 droplet is pinched-off sharply at the corner of T-junction. In addition, this breakup 581

regime is driven primarily by the build-up of pressure upstream which is mainly due
to the high degree of confinement of the droplet in bulk phase.





**Fig. 12**: (a) Effect of interfacial tension on the normalized droplet formation time of Na-CMC solutions (for system:  $Q_d/Q_c=0.05$ ). (b) Variation in normalized droplet

formation rate of Na-CMC droplets for various concentrations at each interfacial 590 tension ( $\sigma$ ). 591 The droplet breakup time decreases when Na-CMC concentration is increased from 592 0.02 wt% to 0.40 wt% (see Figure 12(b)). In contrast to this phenomenon, the break-593 up time increases as Na-CMC concentration is larger than 0.40 wt%. For dilute Na-594 595 CMC concentrations below 0.40 wt%, the interfacial forces are more dominant than viscous forces due to the insufficient polymer chain overlap leading to earlier 596 occurrence of pinch-off. While the Na-CMC concentrations above 0.40 wt%, 597 interfacial forces are less prevalent in strength and the larger viscosities give rise to 598 longer breakup time which can be attributed to the higher magnitude of the dispersed 599 thread pressure. A similar observation has been reported by Zhang and Basaran 600 (1995) who studied the high viscous pendant drops (Zhang & Basaran, 1995). 601 Tirtaatmadja et al. (2006) also claimed that the polymer molecules can be highly 602 extended during their approach to pinch region and this contributes to the formation 603 of filament. The filament can be further extended by the stretching force at a 604 constant rate until full extension of polymer coil is achieved (Tirtaatmadja, McKinley, 605 & Cooper-White, 2006). In general, high stretching of polymer chains is associated 606 with high elasticity. 607

608

For simplicity the viscous force is disregarded, the jetting phenomena and the 609 prolonged thinning of the fluid filament at the rear is more substantial for the shear-610 thinning droplet with lower interfacial tension at dilute concentration regimes. Less 611 energy is required to disrupt an interface with low magnitude of interfacial tension. 612 Thus, low interfacial tension liquid thread tends to breakup rapidly. Nevertheless, at 613 614 low Q, jetting occurs when the inertial forces induced by continuous phase exceed interfacial tension forces. As noted in the previous observation, the length of filament 615 gets longer when the Na-CMC polymer concentration is increased. This presumably 616 prevents the neck of the dispersed thread from pinching off. Thus, the existence of a 617 thin polymeric filament will tend to decelerate the breakup process, especially for C > C618 0.40 wt%. Previous studies have reported that the formation of filament was due to 619 the elasticity effect. Nevertheless, a similar behaviour was also found for the working 620 solution which is purely viscous and shear-thinning characteristics at the larger 621 concentrations. 622

623

The breakup time for a droplet is increased leading to a decreased production rate at 624 fixed Q for larger magnitude of interfacial tension forces. This exhibits the similar 625 observations to the studies made by Peng et al. (2011) and Bashir et al. (2011) who 626 studied water-oil emulsions in flow-focusing and cross-flowing microfluidics devices, 627 respectively. The droplet production rate at each fixed interfacial tension has been 628 investigated. In lower concentration regimes (C < 0.40 wt%), the earlier occurrence 629 of droplet breakup is observed. In contrast, the production rate decreases with 630 increasing the Na-CMC concentration in the semi-dilute concentration regime (C > 631 0.40 wt%), it takes a longer time for the dispersed phase viscous force to be 632 overcome by the opposing inertial force and shear stress induced by the continuous 633 phase. 634

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The pressure-jump profiles of Na-CMC droplet at different interfacial tensions were 636 illustrated in Fig. 13. At lower concentration of Na-CMC solutions, the larger 637 interfacial tension contributes to a larger pressure drop profile. As interfacial tension 638 increases, the strength of attractive force increases and causes the liquid surface to 639 contract toward the interior phase and thus the repulsive collisional forces is reduced 640 in order to resist the contraction. Thus, the breakup process of droplet is hindered 641 and larger droplet is generated. Similarly, fluids with the higher concentration 642 produce larger size droplets at the larger surface tensions. Nevertheless, the 643 generation of smaller droplets did not reveal a larger pressure-drop profiles when 644 compare to the previous consequences. It is postulated that the effect of surface 645 tension enables higher surface energy which is caused by the gradient of 646 confinement. Forcing the detached droplet translocate through a confined region will 647 increase the pressure within the droplet at the location where it is almost fully 648 occupied. This causes the increment of the curvature effect that requires the external 649 fluid to apply the extra pressure. 650



651

**Fig. 13**: A qualitative plot of the Laplace pressure profile of a generated Na-CMC droplet interface curvature at concentration of 0.20 wt% and 0.80 wt% along the middle plane of microchannel at  $\sigma = 130^{\circ}$  and  $170^{\circ}$  (for system:  $Q_d/Q_c=0.05$ ).

## 656 **5. Conclusions**

The essential role of viscosity, surface wettability, and interfacial tension on 657 emulsification process of Na-CMC shear-thinning droplet has been highlighted in the 658 present simulation using conservative level-set numerical method. The evolution of 659 the breakup time and the droplet production rate is effectively governed by the 660 physical properties of working fluid. Olive oil was selected as the continuous phase 661 while the Na-CMC polymer was used as the non-Newtonian dispersed phase fluid. 662 The present simulation data revealed that droplet breakup time and production rate 663 have a striking non-monotonic relationship with the Na-CMC polymer concentration 664 due to the considerable rheological shear-thinning nature of Na-CMC polymer 665 solution. As the concentration increases, the polymer concentration crosses over 666 from the dilute to semi-dilute regime. While C < 0.40 wt%, the droplet breakup time 667

decreases when the Na-CMC concentration is increased at fixed  $\theta$  and  $\sigma$ . While in 668 semi-dilute regime, droplet breakup time increases when if Na-CMC concentration is 669 increased. This phenomenon is mainly due to the dispersed phase viscous forces 670 dominating over the breakup dynamics and relevant hydrodynamics. As Na-CMC 671 concentration increases, a laminar elongated dispersed thread is formed connecting 672 to the primary droplet due to the high viscous pressure, and thus the droplet breakup 673 point moves progressively downstream of the outlet channel. The presence of high 674 concentration of polymer molecules leads to a prolonged fluid thread and retardation 675 of pinch-off development. In present parametric analysis, there are many features 676 that were previously attributed to elastic effects that still remain a defining challenge 677 for the highly shear-thinning and viscous Na-CMC polymer solution. This illustrates 678 the potential of integrating the elastic stress model with present numerical method, in 679 order to investigate the fluid elasticity effect on the growth of droplet with shear-680 thinning characteristics. 681

682

#### 683 Nomenclature

- a Fitting parameter in Carreau-Yasuda model
- 685  $d_{eff}$  Effective droplet diameter ( $\mu$ m)
- $F_{st}$  Surface tension force acting on the interface (N/m<sup>3</sup>)
- $F_D$  Cross flow drag force (N/m<sup>3</sup>)
- 688 *h* Depth of the channel (μm)
- 689 I Identity matrix
- 690 *k* Curvature of fluid-fluid interface
- *n* Power-law exponent for Carreau-Yasuda Model
- 692  $\mathbf{n}_{\Gamma}$  Unit normal vector at the interface

693 p Pressure (N/m<sup>2</sup>)

- 694 *Q* Flow rate ratio
- $Q_c$  Flow rate of the continuous phase (ml/hr)

696	$Q_d$	Flow rate of the dispersed phase (ml/hr)
697	t	Time-step (s)
698	и	Velocity component in x-direction (m/s)
699	V	Velocity component in y-direction (y-direction) (m/s)
700	u	Velocity field
701	R	Curvature radii of the interface (m)
702		
703	Greek Symbols	
704	η	Dynamic viscosity of fluid (Pa.s)
705	$\eta_d$	Dynamic viscosity of the dispersed phase (Pa.s)
706	$\eta_c$	Dynamic viscosity of the continuous phase (Pa.s)
707	$\eta_o$	Zero shear viscosity (Pa.s)
708	η∞	Infinite shear viscosity (Pa.s)
709	$\lambda_\eta$	Viscosity ratio $(\eta_d/\eta_c)$
710	$\lambda_{CY}$	Relaxation Time in Carreau-Yasuda Model(s)
711	ρ	Fluid density (kg/m <sup>3</sup> )
712	T	Shear stress (Pa)
713	Ϋ́	Shear rate (1/s)
714	γ	Reinitialization parameter (m/s)
715	3	Thickness of the interface (m)
716	σ	Interfacial tension (mN/m)
717	φ	Level set function
718	$\delta_{sm}$	Dirac delta function concentrated at interface

- 719 Ω Computational domain
- 720  $\partial \Omega$  Domain boundary

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