Sandstone-filled normal faults: A case study from central California

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Abstract

Despite the potential of sandstone-filled normal faults to significantly influence fluid transmissivity within reservoirs and the shallow crust, they have to date been largely overlooked. Fluidized sand, forcefully intruded along normal fault zones, markedly enhances the transmissivity of faults and, in general, the connectivity between otherwise unconnected reservoirs. Here, we provide a detailed outcrop description and interpretation of sandstone-filled normal faults from different stratigraphic units in central California. Such faults commonly show limited fault throw, cm to dm wide apertures, poorly-developed fault zones and full or partial sand infill. Based on these features and inferences regarding their origin, we propose a general classification that defines two main types of sandstone-filled normal faults. Type 1 form as a consequence of the hydraulic failure of the host strata above a poorly-consolidated sandstone following a significant, rapid increase of pore fluid over-pressure. Type 2 sandstone-filled normal faults form as a result of regional

tectonic deformation. These structures may play a significant role in the connectivity of siliciclastic reservoirs, and may therefore be crucial not just for investigation of basin evolution but also in hydrocarbon exploration.

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1. Introduction

Understanding the architecture of fault zones has become increasingly important as their characteristics have a significant influence on the migration and entrapment of fluids in the subsurface. In particular, the permeability of fault rocks plays a fundamental role in fluid transmissibility (e.g. Childs et al., 2007). Faults affecting shallow crustal strata commonly display a wide range of fault zone architecture, which varies from very thin gouge or cataclastic horizons, to welldeveloped damage and core zones (Caine et al., 1996; Kim et al., 2004). In multilayered, poorly-consolidated, siliciclastic strata (mudstone-sandstone intercalations), fault rocks are typically represented by cataclastic mixtures of sandstone and mudstone smeared along the fault walls. Fine-grained particulate flow, cataclastic material and highly smeared clay content are all factors which hinder fluid flow migration and significantly promote reservoir compartmentalization (Jones et al. 1998; Bailey et al., 2002; Bense et al., 2003; Ainsworth, 2006; Childs et al. 1997; 2007; Jolley et al. 2007; Fredman et al., 2007). Mineralization, sourced from fluids percolating through a fault zone, is an additional factor that precludes subsequent fluid migration through fault zones. On the other hand, the occurrence of porous and permeable sandstone within a fault zone may strongly enhance its fluid migration capacity.

Sand can be incorporated into fault zones due to a variety of reasons listed below (Lewis et al., 2002): i) beds of sandstone are sheared and form deformed ribbons parallel to a fault plane; ii) beds of sandstone are dragged and rotated until they become parallel to the fault plane; iii) fluidized sand is injected along the fault zone discontinuities. Here we focus on the latter case. Two essential preconditions are required for sand fluidization to occur along a fault plane: i) the velocity of flow along the fault plane must be high enough for sand grains to be fluidised; ii) the parent sandstone from which fluidised sand is derived is poorly-consolidated. The first condition is encountered when the velocity of the fluid exceeds the minimum-fluidization velocity of sand (Lowe, 1975). The second condition usually occurs in parts of sedimentary basins that never experienced significant diagenetic modification, and is commonly confined to the first few hundreds of metres of burial (Jonk et al., 2003; Vigorito and Hurst, 2010).

Although sandstone intrusions associated with normal faults are occasionally interpreted using subsurface data (Dixon et al., 1995; Shoulders et al., 2007; Koša, 2007; Bureau et al. 2013; Yang et al., 2014), they are still poorly-documented at outcrop (Taylor, 1982; Audemard and de Santis, 1991; Jolly and Lonergan, 2002; Lewis et al., 2002; Ribeiro and Terrinha, 2007; Montenat et al., 2007; Siddoway and Gehrels, 2014; Ravier et al., 2015; Palladino et al., 2016; Siddoway et al., 2017). Our case study therefore focuses on well-developed sandstone-filled normal faults (SFNF) exposed in the Panoche/Tumey hills and Santa Cruz areas in central California (Fig. 1), where mainly deep-water clastic strata present ideal conditions for sand intrusion along faults. The aim of this paper is to document these exceptional field examples of SFNF, and to discuss their geometry, origin, timing, and mechanism of emplacement. Their significance in terms of basin evolution, and the

potential role they play as either conduits or barriers to fluid flow in hydrocarbon reservoirs is discussed.

2. Geological Setting

2.1. Panoche/Tumey hills area stratigraphy

The Panoche/Tumey hills area comprises a Late Cretaceous to Early Cenozoic stratigraphic sequence deposited along the western margin of the San Joaquin Valley (Moxon, 1988) (Fig. 1a). The San Joaquin Valley infill consists of a thick accumulation of Jurassic to Quaternary marine and non-marine clastic strata that unconformably overlie the Franciscan Complex. Due to its position along an active basin margin, the Panoche-Tumey hills area experienced numerous tectonic phases in different geodynamic contexts. SFNF are found within the Moreno and Kreyenhagen Shale formations both deposited in an ancient forearc basin (Fig. 1a). The Moreno Formation consists of an Upper Cretaceous to Lower Paleocene sequence of lens-shaped turbiditic sandstone, mudstone and diatomaceous mudstone that were deposited in a base-of-slope to the upper slope-shelf environment. The Kreyenhagen Shale (Eocene) comprises a dominantly mudstone sequence containing isolated turbiditic sandstone deposited in a slope to shelf environment (Moxon, 1988; Bartow, 1991; Johnson and Graham, 2007). The upper part of this formation consists of thick packages of diatomaceous mudstones.

2.2. Santa Cruz area stratigraphy

The Santa Cruz coastal area consists of a Miocene sedimentary succession that unconformably overlies the granitic/metamorphic Salinian basement (Clark, 1981; Aydin and Page, 1984; Page et al., 1998) (Fig. 1b). This sedimentary succession consists of the coarse-grained, large-scale cross-bedded tidal/nearshore arkosic Santa Margarita Sandstone, which passes upward into deep-water to shelfal, organic-rich, thickly bedded biosiliceous Santa Cruz Mudstone (Fig. 1b) (Barron, 1986). The Miocene to Pliocene Purisima Formation is the youngest unit recognized in the Santa Cruz area, and comprises, very thick-bedded tuffaceous and diatomaceous neritic siltstones alternating with thick-bedded andesitic sandstones (Johnson and Graham, 2007).

2.3. Tectonic setting

The present structural arrangement of central California (including the two study areas) (Fig. 1), is mainly the result of contractional and strike-slip tectonics operating from the Cretaceous to the Quaternary (Page et al., 1998; Atwater and Stock, 1998). Contractional tectonics resulted from the accretion of the Franciscan complex and the concomitant onset of the Great Valley forearc basin (Ingersoll, 1979; Dickinson and Seely, 1979; Constenius et al., 2000). Strike-slip tectonics is related to the activation of the San Andres Fault zone and other associated faults from the Eocene onward (Sharman et al., 2013). The Panoche/Tumey hills area is located along the eastern flank of the San Joaquin Valley which is part of the Great Valley Basin. Its structure consists of a series of anticlines and synclines often marked at base by thrust planes (Bartow, 1991; Namson and Davis, 1988). The Santa Cruz area consists of a southwest-dipping homocline situated between the

San Andreas Fault Zone (SAFZ) and San Gregorio Fault Zone (SGFZ) (see Fig. 1 map inset) (Phillips, 1990).

Despite the lack of extensional tectonics in this regional tectonic context, the number of normal faults in the study areas is surprisingly high and many of these structures are actually SFNF. The origin of these normal faults is poorly addressed in the literature, although normal fault orientation is often consistent with either outer arc extension in contractional structures (e.g. Palladino et al, 2016) or associated with wrench tectonics (Aydin and Page, 1984). Another possibility for the initiation of normal faults is linked to the exhumation of the Franciscan complex, which is accommodated by extensional tectonics (Krueger and Jones, 1989; Dumitru, 1989; Harms et al., 1992; Unruh et al., 2007; Sousa et al., 2016). In the Panoche/Tumey hills area, the majority of SFNF are systematically oriented NW-SE and WNW-ESE (Fig. 2a), whereas in the Santa Cruz coastal area, the normal faults are dominantly NNW-SSE and N-S trending (Fig. 2b).

Although accurate time constraints for SFNF are difficult to ascertain, field observations do provide some important constraints. For example, in the Panoche/Tumey hills area (Fig. 2), SFNF are not documented in stratigraphic units younger than the Kreyenhagen Shale and abruptly terminate at the unconformity delimiting the base the Temblor Formation. This allows us to constrain the age of tectonic deformation to between the middle Eocene (age of the Kreyenhagen Shale) and the Middle Miocene (age of the Temblor Formation). In the Santa Cruz area (Fig. 2), the timing of faulting is less well constrained. As the age of the Santa Cruz Mudstone, the stratigraphic unit hosting SFNF, has been attributed to 7-9 Ma (Late Miocene) (Boehm and Moore 2002), the age of the normal faulting is younger than

this. However, the lack of younger units covering SFNF in this area does not allow us to bracket the youngest possible age.

2.4. Sand injection complexes in central California

At least three significant sand injection complexes are recognized in central California (Fig. 1): the Panoche Giant Injection complex (PGIC), the Tumey Giant Injection Complex (TGIC) and, the significantly smaller-scale Santa Cruz Injection Complex (SCIC), which comprises several periods of sand injection including sand extrusion (Thompson et al., 1999; Scott et al., 2009; Vigorito et al., 2008; Hurst et al., 2011).

2.4.1. Panoche Giant Injection complex (PGIC)

The PGIC (Fig. 1a) consists of a well-defined network of dikes and sills intruded within the Upper Cretaceous to Paleocene Moreno Formation (Vigorito and Hurst, 2010). This injection complex records a large-scale overpressure event that occurred during the Lower Paleocene (Danian) and involved an area of at least 1500 km² that includes almost 400 km² of outcrop (Vigorito and Hurst, 2010). It consists of different architectural elements represented (from the base to top) by parent units, intrusive bodies and extrudites (Vigorito et al., 2008; Hurst et al., 2011; Scott et al., 2013). PGIC parent units comprise turbiditic channel-complexes and isolated sandstone channels occurring in the lower portion of the Moreno Formation (Vigorito et al., 2008). The intrusive bodies consist of cm- to metre- thick interconnected single or multi-layered sills and dikes. Extrudites (Vigorito and Hurst, 2010) comprise

mound-like sand bodies cropping out in the Upper part of the Moreno Formation, where they consist of fine- to medium-grained sands linked to the underlying intrusive complex by isolated dikes.

2.4.2. Tumey Giant Injection Complex (TGIC)

The TGIC (Fig. 1a) consists of a network of dikes and sills emplaced in the Kreyenhagen Shales (Huuse et al., 2007). The TGIC differs from the PGIC in that it has extensive channelized turbiditic sandstones that are intensely deformed by sand fluidization throughout the injection complex. Sand extrudites are absent in the TGIC, which if ever present were removed by later erosion. The absence of extrudites precludes bracketing the precise timing of sand injection. However, the TGIC intrudes the Kreyenhagen Shale strata of lower to middle Eocene age, and the timing of sand injection cannot be older than this. The Miocene Temblor Formation which unconformable overlies the Kreyenhagen Shale is unaffected by sand injection, meaning that injection pre-dates this unit.

2.4.3. Santa Cruz Injection Complex (SCIC)

The SCIC (Fig. 1b) consists of a network of partially tar-saturated and unsaturated dikes and sills emplaced in the Santa Cruz Mudstone (Thompson et al., 1999; Scott et al. 2009). The parent unit of the SCIC is commonly identified as the Santa Margarita Sandstone (Boehm and Moore, 2002; Thompson et al., 2007). Using mineralogical data Clark (1981) and Scott et al. (2009) suggested that multiple parent sandstones existed. The injection complex has a well-developed intrusive

network that mainly consists of widely-spaced sills, dikes and locally preserved saucer-shaped intrusions. Individual intrusions generally have thicknesses which range from a few centimetres to a decimetre. Isolated dikes or sills are up to a few metres wide with the Yellowbank/Panther beach sill at least up to 15 m thick (Thompson et al., 1999; Scott et al., 2009). Extruded sands occur as multiple, laterally-discontinuous, mounded units recognized in different stratigraphic levels in the Santa Cruz Mudstone (Boehm and Moore 2002; Hurst et al. 2006). The occurrence of extrudites within the Santa Cruz Mudstone allows the age of emplacement of the SCIC to be constrained to the Late Miocene (7–9 Ma).

3. Characteristics of normal faults hosting sand injections in central California

The majority of normal faults recognized in the Panoche/Tumey hills and Santa Cruz areas display a range of similarities including: i) moderate to steeply dipping planar to gently undulating fault surfaces, ii) cm to m-scale offsets and iii) graben-like geometry. However, some significant differences linked to the lithology and physical state of the strata at the time of deformation also exist, and these strongly influence the characteristics of the fault zone. Therefore, in order to better understand the mechanical behaviour of SFNF, it is first necessary to describe the main characteristics of the stratigraphic successions cut by these faults.

In the Panoche/Tumey hills area (Fig. 1a), faulted successions consist of poorly-consolidated sandstone alternating with packages of mudstone (Fig. 3a, b). The units of interest are the Moreno and the Kreyenhagen Shale formations which are characterized by significant physical anisotropy, with high permeability measured parallel to the bedding planes, and low permeability measured orthogonal to this

(Bense and Person, 2006). Hereafter this is referred to as the Panoche/Tumey-type successions.

In the Santa Cruz coastal area (Fig. 1b), the faulted succession predominantly consists of well-consolidated bio-siliceous mudstone belonging to the Santa Cruz Mudstone (Fig. 3c, d). Excluding the rare occurrence of a faint parallel lamination, occasional claystone horizons and isolated sandstone bodies, these bio-siliceous mudstones can be considered as an isotropic succession with low permeability in all directions. In this case, the permeability is essentially linked to the secondary porosity created by fracture networks developed in close proximity to tectonic disturbance zones. Hereafter this is referred to as the Santa Cruz-type succession.

When different types of lithology such as the Panoche/Tumey- and Santa Cruz-type successions are involved in deformation, the resulting fault rocks and fault zones show significant variability. Fault zones mainly consist of three elements represented by the fault core, damage zone, and undeformed protolith (Caine et al., 1996; Braathen et al., 2009). The fault core is the portion of the fault zone where most of the displacement is accommodated. In the shallow crust, at a depth of less than 1 km, fault cores commonly consist of gouge, breccia, cataclasite/particulate flow and smeared clay. This portion generally forms a low permeability zone within the fault (Knipe et al., 1998; Bense et al., 2003; Bense and van Balen, 2003; Ballas et al., 2015; Peacock et al., 2017). The damage zone forms the intermediate region between the fault core and the undisturbed protolith, and is characterized by small faults and fractures, that are distinguished either by high or low permeability, depending on the lithological characteristics of the protolith. As the fault core can be discontinuously distributed along the fault zone, so that it does not necessarily form a continuous barrier, the fundamental element controlling fluid transmission and,

consequently, the emplacement of sand along the fault plane, is the damage zone itself. Depending on fault rock consolidation, the damage zone can display open or closed fractures, while the width of the damage zone is generally observed to increase with larger fault throws (e.g., Knott et al., 1996; Manzocchi et al., 1999; Childs et al., 2009).

Damage zones developed in Panoche/Tumey-type successions are generally characterized by closed-fracture networks, cataclastic/deformation bands and mixing zones where sand/mud mixing processes contribute to further reduce the permeability (Heynekamp et al., 1999; Rawling et al., 2001; Bense et al., 2003; Loveless et al., 2011) (Fig. 3b). The average fault zone width ranges from millimetres to some tens of decimetres. Also, the width of the core zone is sometimes dominant with respect to the damage zone thickness. In addition, the width of a fault zone developed in mudstone/sandstone alternations can vary parallel to the fault plane, resulting in the more sandstone-rich parts of the fault zone being wider than those that are dominated by mudstone (Sperrevik et al., 2002; Bense and Person, 2006).

In Santa Cruz-type successions damage zones produce a very different permeable, dense, open-fracture network that surrounds the fault core (Fig. 3d). Based on field observations, the fracture network width is directly proportional to the fault throw. It can often extend laterally for many metres or tens of metres so that, the width of the core zone, which can range from some decimetres to 1m, is negligible when compared with the width of the entire fault zone.

Low and high permeability damage zones therefore form two end-members that are observed in the Santa Cruz coastal sector and in the Panoche/Tumey hills area respectively. They reflect the brittle and ductile behaviour of the strata involved

in the deformation. The differences observed in the two fault zones end-members have a marked impact on conditioning the fluid flow and, consequently, the spatial distribution and geometry of the sandstone intrusions associated with the SFNF.

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4. SFNF classification

Based on our field observations, it is possible to distinguish two main types of SFNF (Fig. 4).

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4.1. Type 1 SFNF

Type 1 SFNF are related to the fluidization of a sandstone parent unit that consists either of pristine depositional sandstones or poorly-lithified sandstone intrusions, and the consequent collapse of the overburden due to the evacuation of sand during an overpressure event (Fig. 4a). The distinctive characteristic of Type 1 SFNF is that they nucleate at the top of the remobilized parent unit, and propagate upward without ever cutting through the entire parent sand body. Other important features are the limited vertical extent of these structures, the restricted amount of offset which can vary from a few cm to some metres, and the lack of a welldeveloped fault zone and associated fault breccias. In most cases, the faults dissipate quickly and resemble syn-depositional faults (Fig. 4a). Evidence for the direction of fluidized sand transport is provided by dragging of layers of the host strata in the sense of the fluid movement, and by the distribution of mudstone clasts along the fault. This suggests that the sand is commonly injected upward, or alongstrike. Type 1 SFNF are commonly developed in sill zones (sensu Vigorito and Hurst, 2010). Subsurface examples of similar faults have been interpreted previously from the North Sea (Dixon et al., 1995).

4.2. Type 2 SFNF

Type 2 SFNF are tectonically-related sandstone-filled normal faults (Fig. 4b). Unlike the previously described Type 1, Type 2 SFNF cut through the entire parent sandstone body. In the study areas, it is possible to distinguish two main subcategories (Type 2a and Type 2b) that are described below.

4.2.1. Type 2a SFNF

Type 2a SFNF are related to episodes of regional extensional tectonics (Fig. 4b). They generally consist of a master fault plane and a series of associated antithetic and synthetic minor faults that have small offsets and graben-like geometry. Unlike Type 1 SFNF, Type 2a SFNF do not display a progressive decrease in offset toward terminations. The measured offsets range from a few centimetres to some metres, while apertures can locally reach 1.5 m. The occurrence of dip-slip oriented slickensides provides a clear indication of normal fault kinematics. Fault zones can be variably developed depending on characteristics of the succession, and the injected sand either entirely fills spaces between breccia clasts, or is predominant and contains isolated 'floating' clasts.

4.2.2. Type 2b SFNF

SFNF linked to regional contractional tectonics occur as a consequence of outer-arc extension and flexural slip (Fig. 4c). Outer-arc extension produces a series of normal faults that are typically arranged in a radial pattern around fold hinges. They are very common in the Panoche/Tumey hills area (Fig. 1) and are recognized

in the field on the basis of their trend, which is commonly subparallel to that of major fold hinges, and by the triangular-shaped geometries that progressively taper downward toward fold cores (Palladino et al., 2016). Small-scale Type 2b SFNF may also develop in association with flexural slip folding of a multi-layered succession. According to Gross et al. (1997), these structures usually form because of pull-apart opening and block rotation mechanisms that create space for syn-tectonic sand injection.

5. Outcrop examples of SFNF

SFNF crop out in numerous localities throughout in the Panoche/Tumey hills and Santa Cruz areas. Three key localities, displaying the best preserved SFNF, are selected as outcrop examples and include Tumey Gulch and Monocline Ridge in the Panoche/Tumey hills area, and Panther Beach near Santa Cruz (Fig. 1).

5.1. Tumey Gulch

Tumey Gulch, in the southwestern side of the study area, consists of a kilometre-scale anticline in which the Moreno Formation is exposed in the eroded fold core (Fig. 1a). Here, on both flanks of the fold, well-preserved sets of conjugate, closely-spaced, Type 1 and Type 2 SFNF are preserved. Two outcrops, named O1 and O2, are considered below.

Type 1 SFNF recognized in the outcrop O1 consists of conjugate normal faults that display small (cm) offsets and sandstone-filled apertures of a few centimetres (Fig. 5a). Faults die out upward over tens of centimetres, so that the

overlying beds remain unaffected by deformation (Fig. 5b, c). These SFNF are located in the lower portion of the Moreno Formation and, in particular, at the top of strongly remobilized sandstone units belonging to the PGIC.

The outcrop O2 shows both Type 1 and Type 2b SFNF. In Fig. 6 an array of Type 1 SFNF overlies a deformed, lens-shaped turbidite channel sandstone, which is at least 2 m thick and displays evidence of pervasive sand fluidisation caused by fluid ingress and increased pore-fluid pressure. The most intense fluidisation occurred in the upper portion of the sandstone as shown by the irregular shape of the top surface. Most Type 1 SFNF occur where the sandstone pinches out. Here, the limited thickness of the sandstone allowed lateral sand evacuation, which in turn caused the failure of the overburden, and the successive injection of the fluidized sand along faults (Fig. 6a, b). A fundamental characteristic of the outcrop is that sandstone-filled structures only develop above the remobilized sandstone. SFNF do not transect the depositional sandstone, as shown by the undeformed basal surface. This is a diagnostic criteria that allows differentiation between Types 1 and 2 SFNF.

Type 2b SFNF recognized in the outcrop O2 form conjugate sets that have sandstone-filled apertures ranging from a few centimetres to some tens of decimetres, and offsets varying from a few decimetres to metres (Fig. 7a, b). Fault spacing varies from a few decimetres to several metres. Injected sandstone displays laminations parallel to the fault wall, and this is the only internal characteristic present. Mudstone clasts, together with slightly cemented sandstone belonging to the host strata are quite common within the sand injection. Kinematic indicators as well as slickensides are locally preserved, although they tend to be removed during the sandstone emplacement process. Evidence of pre-existing fault gouge is very rare. In most cases, faults display planar surfaces, although local curvatures giving

rise to releasing steps and dilational jogs, are sometimes present. The effects of post-emplacement compaction are preserved as segmentation of dikes and fault-plane curvature. WSW-ENE trending Type 2b SFNF (Fig. 7c) recognized in the outcrop O2, are consistent with outer arc extensional faults recognized in the Tumey Gulch area (Palladino et al., 2016).

5.2. Monocline Ridge

Monocline Ridge is a NE-dipping monocline situated along the western side of the San Joaquin Valley (Fig. 1a). Numerous well preserved outcrops of Type 1 SFNF are present along this structure. Outcrop O3 (Fig. 8a, b) consists of a SW-dipping array of sandstone-filled reverse faults and Type 1 SFNF cutting through the Kreyenhagen Shale. The occurrence in this area of sandstone-filled contractional structures was documented by Palladino et al. (2016). SFNF have apertures of some centimetres and offsets of a few tens of centimetres. Neither fault gouge nor kinematic indicators are preserved along the fault surface. Rare cm-scale mudstone clasts 'float' in the sand matrix. In Fig. 8b, Fault 1 consists of a single fault surface filled with an almost constant thickness of sandstone, while Fault 2 is a composite fault comprising different lozenge- shaped, *en echelon*, sandstone-filled segments.

5.3. Panther Beach

Well-preserved Type 2a SFNF are exposed along the entire coastal section between Santa Cruz and Davenport (Fig. 1b). A key example is represented by Outcrop O4 at Panther Beach (Fig. 9a, b). This outcrop consists of a NE-SW

oriented SFNF (Fig. 9c) that cuts the diatomaceous Santa Cruz Mudstone. The fault plane is strongly undulose, fault throw is ~10 cm and fault aperture ranges between 1 and 5 cm. Fault zone thickness is significantly reduced and fault gouge is almost absent while remobilized sand fully fills the fault zone. Where the fault cuts the mudstone marker bed, slight clay smear occurs and sandstone infill loses its continuity.

6. Mechanisms driving emplacement of sand injections along normal faults

Fluidized sand can be intruded along normal fault planes as a result of two main mechanisms: i) fluidized sand sourced from an over-pressured unit lift the overburden causing hydrofracturing of the host strata and forced filling (propping) of the newly-formed fault. In this case, fault generation is triggered by overpressure and the sand is intruded into the newly-formed faults. Type1 SFNF are the result of this process; ii) overpressured fluidized sand fills low-pressure voids developed along a fault plane. Failure in host strata is not solely associated with overpressure but rather, to external factors such as regional tectonics. Type 2 SFNF typically originate by this process.

Sand injection occurs along a newly-formed fault or, exploits a pre-existing discontinuity (fault or fracture). Exploitation of pre-existing faults depends upon the degree of cohesion and cementation of the tectonically-generated structure and, whether pore-fluid overpressure (P_f) exceeds the lithostatic overburden and the tensile stress associated with opening of the fracture plane. Commonly, sand fluidisation into open structures is predominantly in the upper (200-500 m) sub-

surface within poorly-consolidated and only lightly compacted strata (Vigorito and Hurst, 2010; Hurst et al., 2011).

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6.1. Emplacement of sand injections along Type 1 SFNF

Type 1 SFNF typically breach the overburden of a poorly-consolidated sandstone body (either a pristine depositional unit or a pre-existing sandstone intrusion) when P_f exceeds the fracture gradient of the overburden (Fig. 10a). In order to achieve this, rapid ingress of pore-fluid is required to initiate hydraulic fracture and sand fluidisation. As overpressure is build, for example when a dike connects a sandstone with deeper overpressured units, sand grain fluidization is initiated and sand begins to mobilise into the fault (Fig. 10a). In particular, where the dike connects with the sandstone and new sand is added to the system, the overpressured sand body will show important modifications in the shape, as well as irregular top surfaces and scallops (Hurst et al., 2011). Concurrently, as the porefluid pressure exceeds the fracture gradient of the overburden plus its tensile strength, a hydrofractured belt consisting of faults and fractures forms in the host strata along the top of the sandstone body from which sand is being fluidised. Then, fluidized sand, driven upward by buoyant force, begins to flow toward lowerpressured zones represented by the overlying mechanical discontinuities. Immediately after, the lateral evacuation of sand from necked zones can cause the further collapse of the overburden, already weakened by the formation of the hydrofractures, which is accommodated by further faulting and fracturing (Fig. 10b). Dilation along normal faults and fractures, sustained by the fluid overpressure, favours the emplacement of fluidized sand within the newly-formed structures.

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6.2. Emplacement of sand injections along Type 2 SFNF

Faults that intersect an isolated over-pressured sandstone (Fig. 10c) determine the location of the rupture of the surrounding low impermeability host

strata by causing a sudden disturbance in the fluid pressure. Specifically, as a fault is a low pressure zone relative to the sandstone unit, a pressure gradient with flow directed toward the fault surface develops instantaneously (Fig. 10d). The resulting discharge of fluid results in an abrupt drop of P_t in the sandstone, a process that continues until the hydrostatic gradient is restored (Sibson, 1990; 1992). Under certain circumstances, the influx of high-pressured water into the fault zone can have a two-fold effect: i) if P_t exceeds the overburden pressure present in the hangingwall block, it contributes to prop open the newly-formed discontinuity; ii) If the movement of the pore fluid produced during pressure equilibration exceeds the minimum fluidization velocity of sand (for example equal to 0.3-1 cm/s for well-sorted, finegrained sands), it imposes a drag force that mobilizes sand grains. In such a situation, poorly-lithified sandstone begins to fluidize and injects into cavities along the fault plane (Fig. 10e). In cases where faulting is triggered by the remobilization process (see the previous section), the origin and distribution of the newly-formed extensional faults within an undisturbed and homogeneous top seal is controlled by the stress field acting around the overpressured sandstone. In this case, for a fault to be propped open, pore pressure (Pf) must exceed the minimum principal stress (σ 3) plus the tensile strength (T): Pf> σ 3+T.

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7. Discussion

7.1. Favourable conditions for SFNF emplacement

Although normal faults developed in the PGIC, TGIC and the SCIC display differences related to the structure of damage zones (Fig. 3), they all frequently host sandstone intrusions. It is thus important to understand the conditions that promote

sand emplacement into such a diverse range of structures. As discussed previously, the emplacement of remobilized sand along a fault is strictly related to the ascent of fluids from a source of high fluid-pressure located deeper in the faulted succession. Depending on the state of fracturing in the host strata, the fluid ingress is either focussed along the fault zone, or dissipated into adjacent strata thereby losing the potential to fluidize sand. Obviously, the emplacement of SFNF is strictly related to the first scenario.

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In poorly-consolidated strata, such as the Panoche/Tumey-type successions (Fig. 3a), which are characterized by ductile behaviour and low fault-parallel secondary permeability, the ascending over-pressured fluid is hindered by obstacles such as mudstone units, whereas fluid transfer is facilitated along vertical conduits represented by faults (Fig. 3b). In addition, the characteristic of poorly-consolidated, finely interbedded mudstone and sandstone, fault-related deformational mechanisms, such as dragging, ductile flow and clay smear all contribute toward the formation of narrow damage zones and an inner fault-parallel layering, which forces fluid to move along the fault zone. Consequently SFNF is widespread in the PGIC and TGIC, and locally forms closely-spaced swarms (Figs. 7-8).

In well-consolidated host rock, such as the Santa Cruz type succession (Fig. 3c), the development of high fault-parallel secondary permeability, favoured by the brittle behaviour of the deformed rocks, does not typically permit sandstone intrusion along faults. However, even under these unfavourable conditions, the injection of sand along isolated fault planes occurs. As the width of the fault damage zone in this succession is directly proportional to the amount of the fault displacement, sandstone intrusion is still possible where small displacements occur (Fig. 9). Faults

with small offsets do not have space to develop a complete fault zone, such that the core zone is almost absent and the damage zone is poorly-developed.

7.2. Cross-cutting relationships

Type 1 and Type 2 SFNF consist of very similar structures generated by very different processes described in the previous sections. Commonly, the processes creating these two types of structures are unrelated, but in specific circumstances it is possible that Type 1 SFNF and Type 2 SFNF coexist. In our examples their coexistence implies formation, at different times, with the time of sand injection separated by ~20 Ma (Fig. 11). In particular, Type 1 SFNF formed concurrently with the emplacement of the main sand injection complexes (PGIC, TGIC and SCIC) and their age is the same as the hosting complex. Type 2 SFNF, although also associated with these complexes, represent a younger tectonically-controlled sandstone intrusion event that postdates the emplacement of the main injection complexes. Evidence for Type 2 SFNF overprinting older sand injection complexes in central California are demonstrated by cross-cutting relationships described in the following sections.

7.2.1. Panoche/Tumey hills

In the Panoche/Tumey hills area, Type 2 SFNF represent an episode of sand injection that post-dates the emplacement of PGIC, TGIC and Type 1 SFNF (Fig. 1). Clear evidence of these relationships is observed throughout the study area and in particular along Monocline Ridge (Fig. 2).

The outcrop O5 (Fig. 12a, b) shows a set of mesoscale, WNW-ESE oriented conjugate Type 2 SFNF (Fig. 12c) that dissect the Kreyenhagen Shale, together with an older generation of cm- to dm-thick, tabular, sandstone intrusions belonging to the TGIC. Fault displacement commonly ranges from a few millimetres to several centimetres, while apertures vary from a few centimetres to tens of decimetres. Sand intruded along fault planes appear to be directed both upwards and downwards (Fig. 12d). However, the occurrence of fragments of the host strata unrelated to the fault walls leads us to believe that lateral transport was also possible. It is interesting to note that sand remobilization mainly occurs along the fault segments that are located immediately above and below the deformed sandstone units. Within the faulted sandstone, no evidence of sand fluidization is preserved and the presence of the fault plane is marked by the occurrence of deformation bands (Fig. 12e). In this case, sand fluidization only occurred along the margins of the sandstone at the contact with the host strata, whereas the inner portion was unaffected.

7.2.2. Santa Cruz area

In the Santa Cruz area (Fig. 2), Type 2 SFNF clearly dissect an older generation of sandstone intrusions belonging to the SCIC (Fig. 13). Outcrop O4, at the southern end of Panther Beach, 10 km west of Santa Cruz, consists of a cliff composed of diatomaceous mudstone and a few cm-scale tar-saturated, low-angle dikes, which are repeatedly cut by a series of conjugate faults with cm-scale offsets (Fig. 13a, b). Here, a well-preserved example of a WNW-ESE-trending Type 2a SFNF has an offset of 15 cm and cuts a low-angle dike (Fig. 13c). In detail, the fault plane consists of bifurcating and anastomosing fault segments and fractures

connected by damage-zone linkages. Extensional steps and dilational jogs are very common and are a diagnostic record of brittle deformation in the mudstone. The faulted sandstone displays hangingwall and footwall drag folds, and a progressive increase in the number of fractures toward the damage zone. Along some of the fault segments, sandstone intrusions generated by the partial fluidization of the deformed dike are observed. In particular, the segment of the dike located in the hangingwall bifurcates, with one branch connecting with the sandstone in the footwall, while another branch intrudes upward along the tectonic discontinuity (Fig. 13b). Sand fluidization and lateral sand evacuation processes may explain the observed thinning of the dike in the fault zone.

7.3 Implications for reservoir connectivity

The distribution and transmissibility of fluids within reservoirs is strongly affected by the presence of faults that act either as conduits or barriers to fluid flow (Child et al., 1997; Knipe, 1997; Manzocchi et al., 1998; Aydin, 2000; Bailey et al., 2002; Faulkner et al., 2010). Occurrence of injected sand along fault planes forming the SFNF, has significant effect on enhancing and perpetuating cross- and along-fault permeability in otherwise poorly-connected siliciclastic strata. In the light of our outcrop observations, it appears that most SFNF displacements occur below the resolution of seismic data typical of oilfield locations. Lack of resolution of these fault-related sandstones compromises the appraisal of the volume of strata within which permeable, connected reservoir volume exists. In practice this can lead to substantial underestimation of hydrocarbon recovery if the presence and significance of SFNF remain unidentified.

Recognition of SFNF is particularly important for reservoirs with Panoche/Tumey-type successions (Fig. 3). Because of juxtaposition between reservoir and non-reservoir facies, and the formation of a low permeability gouge, faults that dissect Panoche/Tumey-type successions are likely to behave as hydraulic barriers that reduce cross-fault fluid flow (Rawling et al., 2001; Bense et al., 2003; Caine and Minor, 2009) (Fig. 14a). Occurrence of SFNF in these types of successions may provide enhanced connectivity between sandstone that are otherwise displaced by faults (Fig. 14b). If clay smear and fine-grained cataclastic material are present along fault walls this may be eroded by turbulent granular flow during sand fluidization and injection thus enhancing connectivity across a fault plane (Fig. 14c). Fault-strike and fault-dip modifications of faults during sand injection and by the emplacement of sandstone intrusions favour connectivity in reservoir intervals.

Reservoirs with a Santa Cruz -type succession (Fig. 3), generally have faults that produce fracture permeability which prevent the emplacement of sand along normal faults. However, where this type of succession is characterized by low deformation, isolated normal faults with small offsets may host injected sand. This makes a strong contribution to enhanced gross permeability of non-reservoir strata by enhancing the permeable network and new conduits for fluid migration.

Arrays of SFNF that cuts through sub-horizontal sandstone are expected to give rise to meso- and large-scale wing-like and conical sandstone intrusions, which should not be confused with those emplaced in the absence of tectonic influence (Huuse et al., 2007). In particular, parallel arrays of constantly dipping SFNF can be responsible for the formation of stepped sills (Fig. 15a, b), while conjugate sets of SFNF may give rise to saucer-shaped and conical geometries (Fig. 15c, d).

Structurally-controlled wing-like sandstone intrusions can be identified in seismic sections as they lack the characteristic jack-up that lifts beds that overlie sandstone intrusion (Szarawarska et al., 2010). Despite having structurally- and non-structurally-controlled sandstone intrusions that display broadly similar geometry, it is crucial to discriminate between the two categories when evaluating the behaviour of subsurface fluid migration. SFNF may have specific reliance to planning and optimizing hydrocarbon recovery by influencing fluid distribution in hydrocarbon reservoirs. By contrast, SFNF may represent a persistent open drainage (seal-breach) through which fluids continuously leak thereby limiting hydrocarbon accumulation and generally facilitating ease of drainage of all basin-derived fluids (Cartwright et al., 2007).

The occurrence of SFNF in a hydrocarbon reservoir can significantly increase the general connectivity. Under favourable conditions, this result in enhanced permeability and an increase in the reservoir rock volume. However, with unfavourable conditions, SFNF can promote considerable hydrocarbon leakage by connecting reservoir rocks with either the topographic surface or other permeable horizons in the stratigraphic succession.

8. Summary and conclusions

Details of sand-filled normal faults (SFNF) recognized from two areas in central California, in which contrasting normal fault characteristics associated with larger sand injection complexes are present, are documented for the first time in this paper. SFNF were intruded into Late Cretaceous and Eocene host strata in the Panoche/Tumey hills, and in Miocene strata along the coast north of Santa Cruz.

Two main SFNF types are recognized: i) Type 1 SFNF form in response to the rapid increase of pore fluid over-pressure in poorly-consolidated sandstone, and the consequent hydraulic failure of the overlying host strata; ii) Type 2 SFNF form as a consequence of regional tectonic deformation, and the resulting draining of fluidized sand toward the tectonic structures.

SFNF occur in host strata that are either poorly-consolidated, as in the case of Panoche/Tumey-Type successions, or well-consolidated, as in the Santa Cruz examples. Development of sand-filled normal faults is more likely in the first case due the ductile behaviour of the deformed strata. SFNF in the Santa Cruz strata are far less common and form only where limited fault throw occurs and within poorly-developed fault core zones.

The recognition of SFNF is crucial when enhancing the understanding of subsurface fluid migration in the very shallow crust and when associated with hydrocarbon reservoirs. Although the structures we describe are below seismic resolution, they form a permeable network, with fluidized sand acting as a natural proppant that increases the connectivity of reservoirs even in adverse geological conditions. SFNF are thus a new element that must be added to the conventional methods of fault seal analysis and in particular when developing reservoir where sandstone intrusions are present.

Acknowledgments

We acknowledge the support of sponsoring companies of Phase 3 of the Sand Injection Research Group (SIRG). We would like to thank Chris Morley and another

anonymous reviewer for constructive comments, and the editor for efficient handling of this paper. We also wish to thank and acknowledge the continuing help and access provided by the Bureau of Land Management and in particular Greg Middleton without whose enthusiasm and support our research would have been much more challenging.

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Figure caption

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Fig. 1. Geological map of the study area in California (see inset map for general location): a) Geological map of the western margin of the San Joaquin Valley and

associated stratigraphic column (modified from Bartow, 1996); b) Geological map of the Santa Cruz coastal area and associated stratigraphic column (modified from Boehm and Moore, 2002). The stratigraphic position of the giant sand injection complexes cropping out in the study areas is marked. Outcrop locations and places referred to in the text are also shown.

Fig. 2. Simplified structural maps of a) the Panoche/Tumey hills, and b) the Santa Cruz areas showing the preferential SFNF orientations. a) In the Panoche/Tumey hills area, stereoplots (equal-area, lower hemisphere) show preferential NW-SE and WNW-ESE oriented SFNF. b) In the Santa Cruz coastal area, SFNF orientations shown on stereoplots are dominantly NNW-SSE and N-S trending.

Fig. 3. Main types of successions and corresponding fault zone features recognized in the study areas. a) Panoche/Tumey-type successions comprise poorly-consolidated host rock. b) The resulting fault zone generally consists of closed-fracture networks and fine-grained gouge with low permeability. Therefore, faults in Panoche/Tumey-type successions result in a general reduction of the fluid transmissibility. c) Santa Cruz-type successions comprise a well-consolidated host rock. d) Fault zones developed in these successions generally consist of a permeable fracture network surrounding the fault core. Faults in Santa Cruz-type successions generally enhance connectivity and are considered the most efficient pathways for fluid migration. The dashed blue lines indicate the distribution of permeability within the different fault zones.

Fig. 4. Two main types of SFNF recognized in central California. a) Type 1 SFNF related to pressure build-up. b) Type 2a SFNF related to regional extensional tectonics. c) Type 2b SFNF related to regional contractional tectonics. See further explanation in the text.

Fig. 5. Type 1 SFNF from outcrop O1 in the Tumey Gulch area. a), SFNF displaying centimetre-scale offsets and openings of a few centimetres. Note that the different sandstone layers, separated by mudstone horizons, are actually connected with each other through sand filling the SFNF. b) Photograph and c) associated line drawing of conjugate sets of Type 1 SFNF displaying centimetre-scale offsets. Faults die out upwards over tens of centimetres, and the overlying beds remain unaffected by the deformation. Anomalous curvatures of fault planes are related to postemplacement compaction.

Fig. 6. Type 1 SFNF from outcrop O2 in the Tumey Gulch area. a) Photograph and b) associated line drawing of a SFNF array overlying a remobilized turbidite sandstone body. Fluidisation processes are particularly evident along the irregularly-shaped top surface of the sandstone. SFNF cut just a few metres of overburden while the basal surface remains unaffected by faulting. Note also the anomalous curvature shown by the fault planes due to post-emplacement deformation processes.

Fig. 7. Type 2a SFNF from outcrop O2 in the Tumey Gulch area. a) Photograph and b) associated line drawing of conjugate sets of SFNF showing offsets varying from a few decimetres to metres, and apertures ranging from a few centimetres to some tens of decimetres. Sandstone-filled vertical fractures, consistent with a vertically oriented σ1, are also present. Note the SFNF segmentation in the upper part of the outcrop due to post-emplacement compaction. c) Lower hemisphere equal area stereographic projection showing the orientation of the sandstone-filled fault planes (great circles).

Fig. 8. Type 1 SFNF from outcrop O3 in Monocline Ridge. a) Photograph and b) associated line drawing of an array of alternating SFNF and sandstone-filled reverse faults. SFNF show apertures of some centimetres and offsets of few tens of centimetres. Fault 1 displays an almost constant thickness of sand infill while Fault 2 shows different lozenge-shaped, en echelon, sandstone-filled segments. Lower hemisphere equal area stereographic projection shows the orientation of the fault planes (great circles).

Fig. 9. Type 2a SFNF from outcrop O4 in the Santa Cruz coastal area. a) Photograph and b) associated line drawing of a SFNF within the Santa Cruz Mudstone. c) Lower hemisphere equal area stereographic projection shows the orientation of the fault planes (great circles).

Fig. 10. Model for the formation of Type 1 SFNF. a) Overpressure in an isolated sandstone layer is built up by the rapid ingress of pore-fluid through a dike connected with deeper overpressured units. Overpressure and the consequent change in shape of the sandstone body causes the failure of the overburden, and the initial injection of fluidized sand along fractures and faults forming a hydrofractured belt. b) These processes evolve with the progressive upward evacuation of sand from the sandstone body and the development of new SFNF. Model for the formation of Type 2 SFNF. c) During the pre-faulting stage, the fluid pressure is equally distributed in the sandstone. d) A fault cutting through the sandstone results in a sudden disturbance in the fluid pressure. The onset of a fluid pressure gradient drives the transfer of fluid from the sandstone toward the low pressured area represented by the fault plane. e) If the fluid reaches the minimum fluidization velocity, the fluidized sand can be carried in suspension and emplaced along the tectonic discontinuity.

Fig. 11. Simplified model of the cross-cutting relationships between Type 1 SFNF and Type 2 SFNF as observed in the PGIC, TGIC and SCIC. a) Emplacement of a sand injection complex. The different architectural elements are organized as proposed by Vigorito et al. (2008). Type 1 SFNF form concomitantly with this event and the age of the faults, as well as that of the entire complex, is obtained by dating the mudstones at the base of the extrudites. b) The emplacement of Type 2 SFNF occurs during a faulting event postdating the emplacement of the main complex. The age of these faults can be determined using standard methods (model is not to scale).

Fig. 12. Type 2a SFNF from outcrop O5 in Tumey Gulch. a) Photograph and b) associated line drawing of SFNF cutting through a pre-existing sandstone intrusion. The host strata consist of diatomaceous mudstones. c) Lower hemisphere equal area stereographic projections showing the orientation of the normal fault planes (great circles and contours). d) Detail of the outcrop O4 showing the intense sand remobilization characterizing the upper margin of the sandstone body, and the fluidized sand intruded along the fault plane. e) Close up showing detail of the fault plane. Note the occurrence of deformation bands and host rock fragments.

Fig.13. Type 2a SFNF from outcrop O4 in Panther Beach. a) Photograph and b) associated line drawing of SFNF cutting through a low-angle dike. Note the thinning of the faulted dike in the vicinity of the fault plane due to the transfer of fluidized sand along the tectonic structure. c) Lower hemisphere equal area stereographic projection showing the orientation of the normal fault plane (great circles).

Fig. 14. Impact of SFNF affecting Panoche/Tumey-type succession on reservoir connectivity. a) Ordinary fault cutting through Panoche/Tumey-type successions produces impermeable fine-grained gouge and interrupts the lateral continuity of the sandstone bodies resulting in a barrier to fluid flow transmission. b) The occurrence of sand along the SFNF plane enhances cross- and along fault connectivity. Note that the offset sandstone layers are still connected after the faulting event. c) Details of the SFNF plane during sand emplacement. The arrival of fluidized sand is

preceded by water. The impermeable fault gouge along the fault walls is removed by the turbulent flow.

Fig. 15. Diagram showing the main characteristics of structurally- and non-structurally-controlled meso- and large-scale wing-like and conical sandstone intrusions. a) Stepped and b) conical geometries that are unrelated to regional tectonics are very common in sand injection complexes. In both cases, sand emplacement causes jack-up of the overburden that can be recognized at outcrop or in seismic sections. c), SFNF can produce stepped geometries when a sandstone body is offset by a fault. However the lateral connectivity between the single steps is still maintained by the sand contained along the fault plane. d) Conjugate SFNF can also produce conical-like intrusions connecting the sandstone segments offset by the fault. In the latter two cases, jack-up of the overburden is not developed. This feature helps discriminate between structurally- and non-structurally-controlled sandstone intrusions either at outcrop or in seismic sections.































