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Tyagi, Priyanka; Hua, Sun-Chen; Amorim, Daniel Roger; Faria, R. M.; Kettle, Jeffrey; Horie, Masaki

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1 All-conjugated block copolymers for efficient and stable organic solar cells

2 with low temperature processing

3 Priyanka Tyagi^a, Sun-Chen Hua^b, Daniel Roger Amorim^{a,c}, R.M. Faria^c, Jeff Kettle^{a^{*}} and Masaki Horie^{b^{*}}

4 a School of Electronic Engineering, University of Bangor, Dean St, Gwynedd, Bangor LL57 1UT, UK.

^bDepartment of Chemical Engineering, National Tsing Hua University, 101, Sec. 2, Kuang-Fu Road, Hsinchu,
 Hsinchu City 30013, Taiwan.

7 ^cInstituto de Física de São Carlos, Universidade de São Paulo, 13560-970 São Carlos, SP, Brazil.

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Abstract: The low embodied energy within Organic Photovoltaics (OPVs) provides the
technology with a characteristic that surpasses all other PV materials. In this work, allconjugated block copolymers comprising of P3HT and PTB7-Th have been synthesized
which enable even lower temperature processibility, thus reducing the embodied energy
further. The all-conjugated block copolymers comprise of P3HT and poly[4,8-bis(5-(2ethylhexyl)thiophen-2-yl)benzo[1,2-b;4,5-b]dithiophene-2,6-diyl-alt-(4-(2-ethylhexyl)-3fluorothieno[3,4-b]thiophene-)-2-carboxylate-2-6-diyl] (PTB7-Th). To synthesis these, a

narrow-distributed, monobrominated P3HT (M_n = 7000, M_w/M_n = 1.31) is synthesized by 16 Grignard metathesis polymerisation. This is further reacted with distannyl and dibromo 17 18 monomers of PTB7-Th by Stille step-growth polycondensation to provide the block 19 copolymers of P3HT-b-PTB7-Th. In these reactions, block ratios are adjusted to 1 to 2 and 1 to 10 based on the numbers of the repeating units of the monomers (i.e. 3-hexylthiophene unit 20 : two monomers of PTB7-Th = 1:2 and 1:10). The block copolymer showed very high hole 21 22 mobility of 5.9×10^{-5} cm²/Vs. The highest power conversion efficiency of 3.6%, which was achieved with the photoactive layer processed at 60°C, which is substantially lower than the 23 24 annealing temperature needed for standard P3HT-based solar cells. Furthermore, the stabilised 25 lifetime of encapsulated devices is enhanced compared to P3HT and PTB7-Th devices, with no drop in efficiency noted for 7 days after initial burn in process. 26

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1 1. Introduction

2 Organic photovoltaics (OPVs) are categorised as a third generation solar cell technology and remain of commercial interest due to their unique attributes such as low-3 temperature solution processability, flexibility, light weight and low energy payback 4 time.¹⁻⁹ The development of new synthetic routes and types of conjugated polymers has 5 been the key reason for the rise of OPV efficiency to 13% in the past few years.¹⁻⁹ To 6 achieve high efficiencies, the main focus of research has been on developing low band 7 gap conjugated polymers.¹⁰⁻¹³ Although several new materials have been developed 8 during past decade, poly (3-hexylthiophene) (P3HT) has remained the most studied 9 10 conjugated polymer for photovoltaic applications and there are numerous papers 11 studying the effects of chemical, photo and thermal processes on device performance.14,15 12

One of the reasons for continuing research on P3HT based solar cells is the 13 relatively stable operation and low cost synthetic procedure when compared to many 14 more complex co-polymer material systems. Furthermore, it is available in >1kg 15 quantities and has been demonstrated several times in the roll-to-roll manufacturing. 16 However, P3HT based solar cells do suffer from two disadvantages; firstly, the power 17 conversion efficiency (PCE) is lower than state of the art polymers and secondly, P3HT-18 based OPVs are only realised by processing the photoactive layer at high temperatures 19 (typically more than 120 °C).⁵ By reducing the annealing temperature, the cost could be 20 further reduced in addition to a reduction in the embodied energy that is needed for 21 22 processing that the active layer possesses suitable crystallinity, homogeneous internal phase composition, and an optimal phase separation.⁵ One of the important parameter 23 for PV modules is the energy payback time (EPBT). EPBT is the time required for the 24 module to generate the amount of energy invested in order to fabricate it. The values of 25 EPBT for crystalline silicon solar cell is about 2.4 - 4.1 years¹⁶⁻¹⁸ while for the OPVs, 26 the current value stands at 0.2 - 4 years¹⁹. It has also been predicted that EPBT can be 27 reduced to even 1 day for OPVs by reducing the process energy. The proportion of 28 29 process energy devoted to annealing of the active area in P3HT:PC71BM solar cell is ~ 26% ¹⁹. By reducing the annealing temperature, the EPBT of modules based on OPVs 30 31 can be significantly reduced.

Recently block copolymers have been developed as the promising photovoltaic materials enabling better nanoscale control of blend interfaces within thin films without

additional annealing or processing with additives.²⁰⁻²³ All-conjugated block copolymers 1 contain two or more chemically different monomer units and are synthesized using one 2 or more condensation polymerisation steps.²⁴ It is possible to copolymerise two donor 3 units to enhance their properties or one donor with an acceptor unit to lower the 4 bandgap.^{25,26} Block copolymers are used in OFETs and OLEDs, but most commonly 5 reported as a donor or acceptor material in OPV active layers to improve the morphology 6 and also the electronic properties of the active layer.²⁷ Although the block copolymer 7 represents a huge potential for OPVs, the reported PCEs are very low around 1-3%²⁸ 8 9 because the research has been focused on limited material systems due to difficulties in 10 the synthesis and purification methods. Synthesizing block copolymers of two different donors can pave a route to enhance the donor properties. 11

In this paper, the synthesis of block copolymers consisting of P3HT and Poly[4,8-bis(5-12 (2-ethylhexyl)thiophen-2-yl)benzo[1,2-b;4,5-b]dithiophene-2,6-diyl-alt-(4-(2-ethylhexyl)-3-13 fluorothieno[3,4-b]thiophene-)-2-carboxylate-2-6-diyl)] (PTB7-Th) units is reported. The 14 results show that by including even a low relative concentration of PTB7-Th block into the 15 P3HT polymer chain, it is possible create a P3HT-based polymer that can be annealed at low 16 temperature (60°C) by the inclusion of the processing additive 1,8-diiodooctane (DIO). This 17 opens the possibility of lowering the embodied energy needed in OPV manufacture. Here we 18 present the synthesis and photovoltaic studies of all-conjugated block copolymers P3HT-b-19 PTB7-Th. 20

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22 23 $(I_{S})_{n}$ $(I_{S}$

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1 2. Methods

2 2.1 Materials and general methods

All the chemicals used for synthesis were purchased from Sigma-Aldrich and used 3 without further purification. P3HT and PTB7-Th were synthesized according to the 4 reported method.²⁹ ¹H-NMR spectra were recorded at room temperature by using a 5 Varian-Unity INVA-500 spectrometer. Matrix-assisted laser desorption ionisation-6 7 time-of-flight (MALDI-TOF) mass spectra were obtained by using a Bruker Autoflex III TOF/TOF equipped with a nitrogen laser (337 nm) in positive-ion mode. The 8 molecular weights of the polymers were determined by gel permeation chromatography 9 (GPC) using a JASCO 870 UV detector, a 880 pump, and American Polymer Standards 10 Corporation ultrastyragel columns (Serial 2-15-89 A, B, and C) eluted with 11 tetrahydrofuran (THF) as the solvent and polystyrene was used as the standard. UV-vis 12 13 absorption spectra were measured at room temperature by using a JASCO V-630 UV-Vis spectrophotometer. Thermogravimetric analysis (TGA) was performed with a 14 15 SDTQ600 thermogravimetric analyzer. Differential scanning calorimetry (DSC) was performed on a Perkin Elmer Diamond DSC. AFM images were obtained using a Park 16 17 XE-70 AFM.

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19 2.2 Synthesis of P3HT-b-PTB7-Th

20 Monomers (4,8-bis(5-(2-ethylhexyl)thiophen-2-yl)benzo[1,2-b:4,5b']dithiophene-2,6-diyl)bis(trimethylstannane) (BDT-T) (41.9 mg, 0.046 mmol) and 2-21 ethylhexyl-4,6-dibromo-3-fluorothieno[3,4-b]thiophene-2-carboxylate (TT-F) (19.6 22 mg, 0.042 mmol) and P3HT (72.0 mg) were dissolved in a mixture of 2 mL of toluene 23 and 0.2 mL of DMF. Pd(PPh₃)₄ (1.7 mg, 1.5 µmol) was first purged with nitrogen for 15 24 25 min and then added as the catalyst. The mixture was then purged with nitrogen for 5 26 min. The reaction mixture was stirred and heated to reflux for 4 h. It was then cooled to room temperature and poured into methanol. The precipitate was dried under vacuum. 27 P3HT-b-PTB7-Th was obtained as dark blue solid (yield 87%). This was then purified 28 using a preparative GPC JAI LC-9204 with a column JAIGEL-3H-40 eluted with 29 chloroform. 30

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1 2.3 Device fabrication

2 Hole-only and the photovoltaic devices were fabricated on prepatterned indium tin oxide (ITO) coated glass substrates ($R_s = 15\Omega$ /square, transparency = 84% purchased 3 4 from Xinyan Ltd.). All the device processing has been undertaken in a cleanroom environment. For both sets of devices, the substrates were cleaned sequentially in 5 6 deionised water, acetone and isopropanol each for 20 min in an ultrasonic cleaner and subsequently treated with an oxygen plasma for 5min. Hole- only devices were 7 fabricated with a structure ITO/PEDOT:PSS/Polymer/Au (40 nm). Poly(3,4-8 ethylenedioxythiophene):poly(styrenesulfonate) (PEDOT:PSS) was spin coated at 5000 9 rpm for 60 s in ambient conditions and annealed at 140°C for 20 min. Substrates were 10 11 then transferred into the glove box and the polymer layer was deposited in inert environment. The polymer layers were annealed at two different temperatures 100°C 12 13 and 140°C to study the effect of annealing on hole mobility. Afterwards the top gold contacts were evaporated under high vacuum at deposition rate of 6 nm/min. 14

OPV devices were fabricated in an inverted structure ITO/ZnO (40 15 nm)/Photoactive layer/MoO3 (13 nm)/Ag (70 nm). Zinc oxide was used as electron 16 transport layer and was prepared by spin coating zinc acetate dehydrate (109 mg) 17 dissolved in 2-methoxyethanol (1 mL) and ethanolamine (0.03 mL) at 3500 rpm for 30 18 s on ITO substrate. The substrates were then annealed at 150°C for 1 h for the zinc 19 20 acetate to calcinate into ZnO. Photoactive layer was composed of a 1:1 (weight ratio) blend of block copolymer and [6,6]-phenyl-C71-butyric acid methyl ester (PC71BM) as 21 22 an acceptor. P3HT based solar cell were prepared with 1:1 (weight ratio) with PC71BM 23 and PTB7-Th with 1:1.5. The blend solution was prepared in a 3 wt% concentration in chlorobenzene by stirring at 60°C for 24 h. The effect of 1,8-diiodooctane (DIO) was 24 also studied by including a 2.5 wt% of DIO into the chlorobenzene solution. Same 25 concentration of DIO was mixed for PTB7-Th based solar cell in the blend. P3HT based 26 solar cell was prepared without adding DIO in the active layer. The solution was then 27 filtered using a 0.45 µm PTFE filter and spin coated at 1500rpm for 60s in inert 28 29 atmosphere. Photoactive layers were annealed at 60°C, 100°C and 140°C for 30 min. The P3HT solar cell was fabricated by annealing the blend layer at 120°C for 30 min 30 31 while the PTB7-Th blend was not annealed. Afterwards the MoO₃ and Ag layers were evaporated under high vacuum at deposition rates of 0.6 nm/min and 6 nm/min, 32 respectively. Devices were encapsulated inside the glove box using UV curable epoxy 33

and glass coverslips. Current-Voltage (J-V) characteristics of these PV devices were 1 measured under white light illumination (AM1.5) using an Oriel Newport AM1.5G solar 2 simulator at an output intensity of 100 mW/cm². Lifetime test of devices was performed 3 following ISOS-L-2 light soaking standards at 1 sun of irradiance (calibrated by the 4 silicon reference cell). Devices were kept under open-circuit condition in between the 5 I-V measurements. No additional cooling was applied to the devices during the lifetime 6 7 test. Morphological characterization was performed using a Veeco dimension 3100 atomic force microscope (AFM). X-ray diffraction (XRD) measurements were 8 9 performed using a Philips X-PERT 3040/60 instrument at 40 kV voltage and 30 mA 10 current with Cu Ka radiation.

11

12 **3. Results and discussion**

13 **3.1 Polymer synthesis**

Scheme 1 shows the synthesis of P3HT-b-PTB7-Th. Initially, regioregular P3HT 14 15 with a Br terminal group (P3HT-Br) was synthesized by Grignard metathesis using 2-bromo-3-hexyl-5-iodothiophene or 2,5-dibromo-3-16 polymerisation hexylthiophene monomer. The optimum condition (Scheme S1 and Table S1 in the 17 Supporting Information) provided P3HT-Br with a number-average molecular weight 18 (M_n) of 7000 and a polydispersity (PDI) of 1.30. Regioregularity³⁰ of this polymer was 19 estimated to be 93% by ¹H NMR spectroscopy. This polymer was then used for Stille 20 21 coupling polymerisation with distannyl monomer BDT-T and dibromo monomer TT-F 22 in the presence of $Pd(PPh_3)_4$ as a catalyst to give block copolymers of P3HT-b-PTB7-Th. In the polymerisation, the ratios of P3HT-Br to monomers were adjusted to 1 to 2 23 24 or 1 to 10. These block copolymers were then separated into several fractions based on the molecular weight using a preparative GPC eluted with chloroform. 25

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elutions. The reaction with the larger amount of BDT-T and TT-F provided higher molecular weight block copolymers. Molecular weight of these fractionated polymers was then estimated using an analytical GPC (Fig. 2c) and these results are summarised in Table 1. All block copolymers have higher molecular weight ($M_n = 18k$ for **P1**, $M_n =$ 20k for **P2** and $M_n = 56k$ for **P3**), than the original P3HT-Br ($M_n = 7k$).



Fig. 2: Preparative GPC elution curve of P3HT-b- PTB7-Th at 14 mL min⁻¹ using CHCl₃
as eluent. (a) P3HT to monomer ratio 1 to 2 and (b) P3HT to monomer ratio 1 to 10. (c)
Analytical GPC curves of fractions of P3HT-b-PTB7-Th at 1 mL min⁻¹ using THF as
eluent.

Polymer	Mn	$M_{ m w}/M_{ m n}$	λ_{\max} (nm)	P3HT wt%	PTB7-Th wt%	m:n ^a
P3HT-Br	7000	1.31	450	100	0	42:0
PTB7-Th	15800	3.30	691	0	100	0:18
P1 ^b	18400	1.59	450	ca. 93	ca. 7	ca. 111:1.4
P2 ^c	19500	1.85	452	ca. 42	ca. 58	ca. 93:5
P3 ^c	55500	2.00	698	ca. 26	ca. 74	ca. 217:22

2 Table 1 Molecular weight and block ratio of polymers.

^{*a*}m:n ratio was determined by analytical GPC and UV-vis absorbance in chloroform solution. ^{*b*}**P1** was obtained from a preparative GPC after Stille coupling using a feed ratio of x:y = 1:2 (Fig. 2a). **P2** and **P3** were obtained from a preparative GPC after Stille coupling using a feed ratio of x:y = 1:10 (Fig. 2b).



10 Fig. 3 UV-vis absorption spectra of polymers in CHCl₃ solution.

UV-vis absorption spectra of the polymers were measured in chloroform solution (Fig. 3 and Table 1). The wavelength of maximum absorption (λ_{max}) of P3HT-Br and PTB7-Th occurs at 450 nm and 691 nm, respectively. The higher λ_{max} of PTB7-Th than P3HT-Br is due to the presence of alternating donor-acceptor repeating units in PTB7-Th, leading to a low bandgap property. Considering the spectrum shown in Fig. 3, P3 shows very similar absorption spectrum with PTB7-Th with absorption peaks at 630 nm and 698 nm. From the absorbance at 630 nm (Abs = 0.373), a weight percent of P3HT to PTB7-Th was estimated as approximately to 26 to 74. This ratio corresponds to a repeating units m:n = 217:22 found in combination with GPC analysis. Similarly, a weight percent of P3HT to PTB7-Th for P2 was estimated to 42 to 58, which

corresponds to m:n = 93:5. P1 shows a similar spectrum with P3HT-Br with a weight
 percent of P3HT to PTB7-Th of 93 to 7, leading to m:n = 111:1.4.

3 P1 shows a similar spectrum as P3HT-Br with a weight percent of P3HT to PTB7-

4 Th of 93 to 7, leading to m:n = $\underline{ca.}$ 111:1.4. The difference between M_n values of block

5 copolymers and their P3HT wt% determined from UV-vis spectroscopy is possibly due

6 to the formation of ABA triblock copolymer of P3HT-*b*-PTB-7-*b*-P3HT.

To verify formation of the block copolymers, MALDI TOF mass spectrometry 7 was utilised for the detailed structural analysis (Fig. 4). The spectrum of P3 contains 8 repeating peaks with an interval of 166, which corresponds to the mass of the repeating 9 unit of 3-hexylthiophene ($C_{10}H_{14}S$). The spectrum provides detailed information on the 10 chemical structure of the polymer; peaks at m/z = 1056, 1222 and 1389 are attributed to 11 the block copolymer H-(P3HT)m-(PTB7-Th)1-H with an increase in the 3-12 13 hexylthiophene unit. Similarly, peaks at m/z = 1134, 1300 and 1466 are attributed to Br terminated block copolymers with increase in the 3-hexylthiophene unit. In addition, 14 peaks at m/z = 1945, 1633 and 1799 are attributed to the higher number of PTB7-Th unit. 15 Thus the connection between P3HT and PTB7-Th blocks were verified. Thus the 16 connection between P3HT and PTB7-Th blocks were verified. Other unidentified peaks 17 might be attributed to oxidized thiophene rings.³¹ 18

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1 Fig.4 MALDI TOF mass spectrum of P3HT-*b*-PTB7-Th (P3)

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3 3.2 Thermal properties and morphology

TGA of the polymers was performed under nitrogen atmosphere and shown in Fig. 4 5a. The thermal properties of the polymers are summarized in the Table 2. 5 Decomposition temperature (T_d) was estimated for 5% weight loss to be in a range 6 between 350°C and 430°C. The value of T_d was found to increase with the increased 7 content of P3HT. This can be correlated to the higher thermal stability of P3HT. After 8 9 heating up to 600 °C, PTB7-Th and P3HT-Br contained residual weight of 52% and 10 59%, respectively. In block copolymers, polymers with larger amount of PTB7-Th block provided higher residual weight. 11 DSC was also performed under a nitrogen atmosphere at heating and cooling 12

rates of 20 °C min⁻¹ and is shown in Fig. 5b and 5c. PTB7-Th shows insignificant
melting or crystalline behavior, implying that PTB7-Th is amorphous. By contrast,
P3HT-Br shows melting and crystallisation behavior at 240°C and 194°C, respectively.
Interestingly, the block copolymers **P1-P3** show lower crystallisation temperature (181-

17 185°C) than P3HT-Br, even **P1** containing only 7 wt% of PTB7-Th. This decrease in

18 crystallisation temperature is possibly because crystallisation of P3HT needs to exclude

19 the impurity, in a similar manner to PTB7-Th. The exclusion of the impurity affords

20 slower crystallisation than pure P3HT, leading to the lower crystallisation temperature.



- 2 Fig. 5 Thermal properties of polymers. (a) TGA curves. DSC curves on (b) heating and
- 3 (c) cooling.
- 4
- 5 Table 2 Thermal properties of polymers

Polymer	$T_{\rm d}$ (°C) ^a	$T_{\rm c}(^{\rm o}{ m C})^b$ 6
PTB7-Th	353	181 7
		0
P3	376	181 °
P2	383	181 g
P1	404	185
P3HT-Br	430	194 10
		11

12 *a*5% weight loss temperature from TGA. *b*Crystallisation temperature from DSC.

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14 **3.3 Electrical studies**

15 To investigate the electrical properties of the block copolymers, hole-only devices 16 were fabricated by the process described in the experimental section. We fabricated

three devices for each polymer with active layer processed at room temperature, 1 annealed at 100°C or at 140°C. Fig. 6a shows the J-V characteristics for the devices 2 fabricated with **P1**. The current density has increased by annealing the polymer layer at 3 100°C. However, after the annealing temperature is increased to 140°C, the current 4 density decreased drastically (as it did for P2 and P3). For the P3 device annealed at 5 100°C, the current density follows the square power dependence of voltage in the high 6 voltage region (> 3V). This indicates that the space charge limited conduction (SCLC) 7 mechanism is dominant, in which the current density is given by Eq. 1. 8

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$$J = \frac{9}{8} \varepsilon_0 \varepsilon_r \mu_0 \frac{(\nu - \nu_{bi})}{d^3}$$
(1)

 $(V, V)^2$

0

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12 where ε_0 is the permittivity of free space, ε_r the relative permittivity of material, μ the mobility, V_{bi} the built-in voltage and d the thickness of polymer. The value of built-13 in voltage was used to be 0.2 V (the difference in work function of ITO and Au) and the 14 value of relative permittivity was 3. The calculated hole mobility from the $J \alpha V^2$ region 15 of the J-V characteristics was found to be 4.8 \times 10^{-6} cm²/Vs for the P1 at room 16 temperature. The mobility increases to be 5.9×10^{-5} cm²/Vs after annealing at 100°C. 17 For the P1 annealed at 140°C, the device did not show SCLC. Overall the value of 18 current density has also decreased when the annealing temperature has increased to 19 140°C. This may be due to this temperature being very close to the thermal events such 20 21 as glass transition or enthalpy relaxation.



Fig. 6(a) J-V characteristics of the hole only devices with P1 processed at 100°C, 140°C
and room temperature. The solid line is the reference for *J* α *V*² region. (b) Comparison
of the J-V characteristics of hole only devices of P1, P2 and P3 processed at 100°C. (c)
Schematic presentation of energetic distribution of the HOMO of copolymers P1, P2
and P3 on the basis of relative content of P3HT and PTB7-Th.

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In a similar manner, the hole only devices were fabricated using P2 and P3 and 8 the J-V characteristics are shown in Fig. 6b for the polymer layer annealed at 100°C. As 9 with P1, the optimal annealing temperature was found to be 100°C and the results are 10 summarised in Table 3. The performance of both polymers was found to be substantially 11 lower than P1. All the polymers showed trap free space charge limited conduction which 12 differs from the reported conduction mechanism for P3HT, which is heavily limited by 13 traps.³² Indeed, the reported hole trap density for P3HT is 3.5×10^{16} cm⁻³ which is 14 equivalent to the photogenerated carrier density in a typical photovoltaic device.³¹ This 15 is one of the main limiting factors for efficiencies in P3HT:PCBM photovoltaic devices. 16 As stated, the hole mobility for polymer **P1** was found to be 5.9×10^{-5} cm²/Vs which is 17 comparable to the P3HT SCLC hole mobility $(3 \times 10^{-5} \text{ cm}^2/\text{Vs})$.³² The higher mobility 18 in P1 is likely to be a direct consequence of the reduced presence of traps. On the other 19

hand polymers P2 and P3 showed lower mobility in comparison to the polymer P1. The 1 reason behind this may be the increased content of PTB7-Th in the copolymers. This 2 can be explained by Fig. 6c. The HOMO value of P3HT and PTB7-Th is 5.0 eV and 5.4 3 eV, respectively. In case of P1, the content of PTB7-Th is relatively low and the hole 4 transport in P1 is dominated by the hopping of carriers in the HOMO of P3HT (5.0 eV). 5 This makes the energetic disorder parameter of HOMO of P1 low. As the content of 6 7 PTB7-Th increased in case of P2 and P3, the HOMO of copolymer is resulted from an overlap of the HOMO of P3HT and PTB7-Th as shown in the Fig. 6c. Therefore the 8 9 energetic disorder has increased for higher content of PTB7-Th. The charge carrier 10 mobility decreases as the energetic disorder increases ($\mu \alpha \exp(-\sigma^2/kT)$), where σ is the energetic disorder, k the Boltzmann's constant and T the temperature).³³ The increase 11 in the energetic disorder is the reason behind the lower hole mobility of P2 and P3. The 12 hole mobility of P1 is comparable to that of P3HT. Therefore the block copolymer 13 molecules have good electronic properties required for their use as the donor material 14 15 in photovoltaic devices.

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Table 3 Hole mobility (cm^2/Vs) calculated from the hole only devices for all three polymers fabricated from the films processed at room temperature and annealed at 100°C.

Polymer	RT processed	Annealed at 100 ^{°20}
P1	$4.8 (\pm 0.5) \times 10^{-6}$	$5.9 (\pm 0.7) \times 10^{-5}$
P2	$3 (\pm 0.6) \times 10^{-6}$	$4.8 (\pm 0.6) \times 10^{-5}$
P3	$4.0(\pm 1) \times 10^{-7}$	$1 (\pm 0.8) \times 10^{-622}$

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25 **3.4 Photovoltaic studies**

OPV devices were fabricated with polymers P1-P3 and PC71BM as an acceptor. 26 Fig. 7a shows the J-V characteristics of the polymer P1 with the active layer annealed 27 at 60°C, 100°C and 140°C. The PCE was found to be 1.49% for polymer annealed at 28 60°C. As the annealing temperature increased to 100°C, the efficiency also increased to 29 2.62%. A further increase in annealing temperature resulted in a very small increase in 30 the efficiency to 2.67%. A similar pattern of efficiency was found in case of P2 and P3. 31 The performance of P2 and P3 were lower than P1 due to lower hole mobility as a 32 consequence of higher PTB7-Th content. Fig. 7b shows the J-V characteristics of the 33

1 devices with P1, P2 and P3 for annealing temperature of 100°C and the photovoltaic

2 performance parameters are summarized in Table 4.



Fig. 7(a) J-V characteristics of the organic photo-voltaic devices with P1 processed at
60°C, 100°C and 140°C and room temperature. (b) Comparison of the J-V
characteristics of organic photo-voltaic devices of P1, P2 and P3 processed at 100°C.

Similar to the hole only devices, the photovoltaic performance is also highest at 100°C annealing temperature. The reported value of optimum annealing temperature of P3HT is in the range of 120°C to 140°C. The processing temperature for the copolymers is significantly lower to this value. Additionally the solar cells maintained their PCE when the annealing temperature was increased to 140°C. Although these copolymer solar cells showed high efficiency at annealing temperature of 100°C, processing temperatures of less than 70°C required for fabrication on flexible substrates. The role of polymer layer annealing is to improve its morphology which is crucial for the photovoltaic performance. Morphology can also be improved by processing the polymer layer with additive.

1 Table 4 Photovoltaic parameters of the OPVs based on P1, P2 and P3 fabricated at

2 annealing temperature of 100°C and the parameters for the solar cells fabricated with

Polymer $V_{OC}(mV)$ FF PCE (%) $J_{SC} (mA/cm^2)$ **P1** 8.1±0.1 604±11 2.62 ± 0.08 53.6 ± 1.86 DIO 9.76 ± 0.056 580 ± 15 61.56 ± 1.7 3.51 ± 0.16 P2 8.4 ± 0.41 627±27 45.2±2.48 2.38±0.1 DIO 9.08±0.62 580±2 51.9±0.94 2.77 ± 0.2 **P3** 3.28±0.79 30.0±0.6 0.68±0.17 690±4 DIO 2.86±1.11 660±30 20.24±1.68 0.45±0.5



3

additive DIO at 60°C.

Therefore, OPV devices were fabricated using the processing additive, DIO, which 5 is known to improve the active layer morphology of PTB7-Th and leads to improved 6 7 PCE. Fig. 8a shows the J-V characteristics of the device with P1 fabricated at the annealing temperature of 60°C without and with DIO. A remarkable increase in PCE 8 9 was observed in this device from 1.49% to 3.51% by adding DIO. The champion cell efficiency was found to be 3.6%. The increase in the efficiency is primarily due to 10 increased short-circuit current density. This is likely to be due to the improved charge 11 carrier generation which is often reported with the inclusion in DIO.³⁴ Devices with the 12 active layer annealed at 100°C and 140°C showed a reduction in average efficiency to 13 2.45% and 3.01%, respectively. For P3, the PCE was increased to 2.38% although it 14 required annealing at 140°C, which represents a significant increase from the device 15 without DIO (0.68%). P2 showed the best PCE of 2.9% when annealed at 100°C. Fig. 16 8b shows the comparison of the devices for all three polymers. The photovoltaic 17 18 parameters are shown in Table S2. Series and shunt resistances were also obtained by fitting the photovoltaic characteristics and summarized in Table S3. 19





Fig. 8 (a) J-V characteristics of the OPV devices with P1 processed at 60°C without and
with DIO. (b) Comparison of the J-V characteristics of organic photo-voltaic devices of
P1, P2 and P3 with DIO processed at 60°C. (c) Fitting of the photo-voltaic
characteristics of OPVs processed at 100°C without DIO and (d) at 60°C with DIO using
Eq. 2.

8 Overall the best efficiency was achieved with **P1** mixed with DIO, but it is 9 significant to note it was annealed at 60°C. The annealing temperature is lower than the 10 normal annealing temperature (120°C to 140°C) used for P3HT based OPV devices. **P1** 11 contained the smallest proportion of PTB7-Th monomer fraction in the polymer chain 12 (the ratio was 93:7). Therefore, the inclusion a small fraction of PTB7-Th block in the 13 P3HT polymer is able to reduce the processing temperature, which should lead to lower 14 production costs and lower embodied energy within the PV costs.

Photovoltaic parameters were found to be strongly dependent on the processing conditions in these copolymer solar cells. Therefore a detailed analysis was performed. The generated photocarriers in photovoltaics depend on the recombination and extraction of carriers as derived by Koster et al³⁵. We used the photocarrier density from their model to calculate the current density given in Eq. 2

$$J_{PH} = \frac{2e(\mu F)^2 \left[\sqrt{1 + \frac{GL^2}{(\mu F)^2} \gamma} - 1 \right]}{L\gamma}$$
(2)

3 where μ is the combined charge carrier mobility of electron and hole, γ the bimolecular recombination coefficient, G the photogeneration rate, L the thickness of 4 the active layer, and $F = (V - V_{bi})/L$ (V_{bi} is the built-in voltage). Photovoltaic 5 characteristics were fitted using Eq. 2 and the fittings are shown in Fig. 8(c) and (d), 6 where a close fit is observed and the parameters summarized in Table 5. Langevin type 7 recombination coefficient was considered for the fitting. A significant increase in the 8 9 charge carrier mobility was observed by the DIO additive in case of P1 solar cells with the highest value of 1.1×10^{-3} cm²/Vs at 60°C. This corresponds to the conditions of the 10 best performing solar cell. The obtained values of generation rate was found to be in the 11 range of $3 - 6 \times 10^{21}$ cm⁻³ which can be associated to slight variations of the exciton 12 dissociation³⁵. Therefore, the addition of DIO appears to improve the charge carrier 13 mobility in the solar cell and has no significant effect on the charge carrier generation. 14 15

16 Table 5 Charge carrier mobility (cm²/Vs) and the generation rate ($\times 10^{21}$ cm⁻³s⁻¹) used

for the fitting of photovoltaic characteristics of solar cells fabricated with polymer
processed at 60°C and 100°C (without and with DIO).

Pol	P1		P2		Р3	
Т	60°C	100°C	60°C	100°C	60°C	100°C
μ	9.82×10 ⁻⁶	9.63×10 ⁻⁵	4.6×10 ⁻⁵	5.82×10 ⁻⁵	-	7.15×10 ⁻⁶
DIO	1.14×10 ⁻³	4.62×10 ⁻⁴	7.75×10 ⁻⁵	6.31×10 ⁻⁵	1.7×10 ⁻⁶	-
G	4.73	5.32	4.00	4.24	-	3.24
DIO	3.96	3.09	6.38	6.15	4.47	-

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To further elucidate the effect of copolymerisation on the photovoltaic performance, morphological characterisation was performed by AFM. It is well known that the PV performance is dependent on the phase separation of the components and the morphology of the film. Figs. 9a and 9b show the AFM images of the **P1** blended with $PC_{71}BM$ without and with DIO annealed at 60°C. Polymer blend layer showed clear phase separation with the processing of DIO. The domain size was found to be in

the range of 20-50 nm. Therefore, the copolymer P1 undergoes phase separation when 1 it is processed at 60°C with DIO in a manner one would expect to lead to good device 2 performance. We have also compared the morphology P1 with P2 and P3 blended with 3 PC71BM processed at the temperature of their optimum performance (100 and 140°C 4 for P2 and P3 respectively) with DIO as shown in Figs. 9c and 9d. Clear phase 5 separation was also observed for the blend films of P2 and P3. However the domain 6 7 size reduced in case of P3 in comparison to P2. This leads to an interesting observation that the phase separation decreases with increasing content of PTB7-Th block. The 8 9 phase separation was found to be most consistent with a high performing active layer 10 for the case of P1, which explains the higher hole mobility and PCE. The results show that by adding very small amount of PTB7-Th in P3HT, the morphology of its blend 11 layer can be significantly improved which leads to improved photovoltaic performance. 12 The AFM topography images are shown in Fig. S8. The average roughness was found 13 to be 1.02 nm for P1, 1.18 nm for P2 and 0.89 nm for P3. The roughness is low for all 14 15 three copolymer films.





17 Fig. 9 AFM images of P1 processed at 60° C (a) without and (b) with DIO. AFM images

18 of (c) **P2** processed at 100°C and (d) **P3** at 140°C with DIO.

The reduced processing temperature is a very important factor in terms of the 1 production cost and the energy payback time of the PV modules. P3HT:PC71BM based 2 solar cell requires annealing at 120°C for optimum performance. Around 26.6% fraction 3 of total process energy for fabrication is consumed in annealing of this layer. The energy 4 required to anneal the P3HT:PC71BM layer is reported to be 368.85 MJ/m² by Espinosa 5 et al.¹⁹ Since the processing temperature for our solar cells is reduced to 60°C, the 6 7 required energy for annealing reduces significantly. This will reduce the total processing energy by 15.96%. The energy payback time is calculated as the total energy required 8 9 for the production of module divided by generated energy per year by the PV module. 10 If a 1 m² module has a 67% active area as found optimum by Espinosa et al¹⁹ and its utilisation in South Mediterranean situation (solar energy = $1700 \text{ KWh/m}^2/\text{year}$), the 11 generated energy per year by the PV module will be 489.6 MJ/year (considering module 12 PCE of 3.5% obtained for our solar cells)^{19,37}. Reducing the annealing temperature by 13 half can reduce the required process energy by 184.4 MJ/m². Therefore reducing the 14 15 processing temperature represents a huge advantage for the commercialisation of OPV modules. 16





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Fig. 10 Normalised PCE as a function of time for (a) **P1**, P3HT and PTB7-Th based solar cell for short time and. (b) FF, (c) V_{OC} and (c) J_{SC} as a function of time for all three solar cells. The solid lines are for reference showing the trend of degradation.

Stability studies were also performed with P1 solar cell and to compare it with the 1 standard solar cells made with P3HT or PTB7-Th donor materials. P3HT:PCBM OPVs 2 3 were fabricated and annealed at its optimum temperature (120°C). The photovoltaic characteristics of P3HT and PTB7-Th based solar cells are shown in Fig. S9. The 4 average PCE for P3HT based solar cell was 2.25% (champion cell at 2.4%) and for 5 PTB7-Th based solar cell was 7.28%. We have also fabricated P3HT:PCBM OPVs at 6 annealing temperature of 60°C. The photovoltaic parameters of these OPVs (V_{OC} = 7 0.497 V, $J_{SC} = 7.51 \text{ mA/cm}^2$, FF = 0.33, PCE = 1.23%) were significantly poor in 8 9 comparison to copolymer OPVs and it was not possible to conduct a full lifetime test on 10 them. Fig. 10a shows the PCE (normalised to initial PCE) as a function of time with continuous light exposure. All cells were non-encapsulated. The variation of FF is 11 shown in Fig.10b. PTB7-Th based solar cell was found to be most unstable with the 12 13 efficiency dropped down to 0.1% in 40 hours of continuous light soaking. Remarkably, the lifetime of P1 solar cell was also higher than the P3HT based solar cell. P1-PCBM 14 15 OPVs also showed less burn-in in comparison to the P3HT-PCBM OPV. Further the lifetime trend for the P1 solar cell was vastly improved compared to the PTB7-Th based 16 solar cell despite also being processed with DIO. It is worth noting that the PCE did not 17 drop for more than 7 days (the time till the measurements performed) and fully 18 recovered to original value for the P1-PCBM solar cell after the burnin occurred. PCE 19 of P1 shows recovery after initial burnin process. This recovery is due to V_{OC} and FF. 20 This indicates that a reversible process of degradation is involved in these solar cells. 21 22 Similar recovery of efficiency has been previously observed due to oxygen doping of the photoactive layer³⁸ and ITO/PEDOT:PSS interface degradation³⁹. However, in our 23 case, this recovery was only observed in the copolymer solar cell. Therefore this should 24 be originated due to the photoactive layer and may be due to some reversible trap 25 formation inside the active layer. Other possible reason for the PCE recovery may be 26 27 the evaporation of residual DIO during the burnin which has been found the major source of photoinduced degradation⁴. 28



Fig. 11 J_{SC} as a function of incident power for (a) P1, (b) P3HT and (c) PTB7-Th at different instant of time during degradation. V_{OC} as a function of incident power for (d)
P1, (e) P3HT and (f) PTB7-Th at different instant of time during degradation.



6 Since the burn-in process is crucial for the high efficiency solar cell, it is essential 7 to understand the mechanism of this process. Therefore the V_{OC} and J_{SC} were also 8 measured as a function of time and shown in Fig. 10c and 10d. The burn-in loss in **P1** 9 solar cell was found to be due to decreases in V_{OC} similar to the PTB7-Th based solar 10 cell while in P3HT based solar cell it is due to decrease in J_{SC} . Heumueller et al⁴⁰ have 11 also observed the initial burn-in process due to falls in V_{OC} in amorphous polymer based

solar cell. Voc is determined by difference between the HOMO of donor and LUMO of 1 acceptor, charge generation rate, electron-hole pair dissociation probability.⁴¹ The burn-2 in loss in V_{OC} can be explained due to the formation of light induced traps.⁴¹ The exact 3 mechanism of burn-in loss requires in depth analysis of PV performance parameters. 4 Therefore, the I-V characteristics were measured for different incident power during the 5 degradation experiments. The J_{SC} depends on the charge carrier generation and transport 6 while Voc on the HOMO-LUMO difference and probability of charge carrier 7 dissociation. Fig. 11a, 11b and 11c show the JSC as a function of power measured during 8 9 degradation for P1, P3HT and PTB7-Th based solar cells, respectively. J_{SC} has a power 10 law dependence on the incident light intensity ($J_{SC} \alpha P^s$, where s = 0.75 for space charge limited transport and s = 1 for ohmic transport). The value of exponent did not vary 11 significantly during the degradation process for both the P1 and P3HT based solar cell. 12 13 Therefore, the loss in J_{SC} is not due to degradation of charge transport in both solar cells. The main degradation source in both solar cell is due to charge carrier generation. The 14 15 continuous decrease in the J_{SC} with time supports this conclusion.

Fig. 11(d), (e) and (f) show the incident power dependence of V_{OC} for P1, P3HT 16 and PTB7-Th based solar cells, respectively. The slope of V_{OC} vs logP provides the 17 information of recombination loss mechanism. If the slope is ≈ 1 , then Langevin 18 19 recombination is the main loss mechanism and ≈ 2 when traps are the dominating losses and the value of slope is more than 2 if additional loss mechanisms are involved. These 20 additional mechanisms can be due energetic disorder, interface of polymer with the 21 22 metal electrode etc. In **P1** and P3HT OPVs, the slope > 2, indicating characteristics of the latter. Furthermore, the slope increases with increasing state of degradation 23 suggesting that the recombination losses increased from the initial time of operation and 24 this is more profound for the P3HT-based OPVs than the P1 based OPVs. Furthermore, 25 it is significant to notice that the degradation of P1 based solar cell resemble closely 26 27 with P3HT based solar cell and significantly different from PTB7-Th based solar cell. To summarise, the main degradation occurred due to the loss in Voc. The main loss 28 mechanism is due to trap assisted recombination; however, additional sources of loss 29 are also involved which can be energetic disorder, degradation of polymer/metal 30 interface38. 31

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1 X-ray diffraction results

- 2 XRD measurements were performed on the copolymer blended with PC₇₁BM
- 3 and shown in Fig. 12.



5 Fig. 12: XRD of copolymer blends with $PC_{71}BM$. The data has been offsetted for clarity.

7 Copolymers showed peaks at around 10^{0} and between $20^{0}-30^{0}$ corresponding to 8 (2 0 0) and (0 1 0) diffraction. P1 showed addition peak at around 5.9⁰ corresponding to 9 (1 0 0) diffraction showing better molecular packing in comparison to P2 and P3. The 10 peak around 24^{0} originates due to π - π stacking and the stacking distance was estimated 11 from this peak, summarized in Table 6. This was found to be the highest in case of P1. 12 Therefore the better performance of P1 can be correlated to the better molecular packing 13 in comparison to P2 and P3.

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15 Table 6: XRD peak positions and the d spacing calculated for P1 - P3.

	P 1		P 2		P 3	
2θ (degree)	23.7	10.1	24.22	10.32	24.22	9.82
d spacing (Å)	3.75	8.75	3.67	8.57	3.67	9

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1 4. Conclusions

All-conjugated block copolymers comprising of P3HT and PTB7-Th have been synthesized to realise low temperature processed OPVs and show significantly lower processing temperature than conventional P3HT-based OPVs. The copolymer solar cell shows high performance in terms of both the PCE and lifetime. The processing temperature of copolymer solar cell is lower than the traditional high performance P3HT based solar cell. Low temperature processing can reduce the overall fabrication cost of the solar cell panels.

9 The polymers were obtained via Grignard metathesis polymerisation of 2,5-10 dibromo-3-hexylthiophene, followed by Stille coupling polymerisation with the distannyl and dibromo monomers for the synthesis of PTB7-Th block. To fractionalise 11 the reaction mixture, the preparative GPC was utilised. Characterisation using the 12 analytical GPC and UV-vis spectrometry suggested that block copolymers with the 13 high, middle and low P3HT/PTB7-Th compositions were obtained. MALDI-TOF mass 14 spectrometry provided detailed chemical structural information of the block 15 copolymers, showing covalent connection between P3HT and PTB7-Th blocks. The 16 thermal and morphological properties of these polymers have been compared in terms 17 of TGA and DSC. By increasing of the P3HT block, the crystallisation temperature and 18 the amount of aggregations are increased, showing the properties of the block 19 copolymers are significantly affected by both blocks. 20

The block copolymer with 93 wt% P3HT composition showed the highest hole 21 22 mobility of 6×10^{-5} cm²/Vs and the best OPV performance of 3.6% with PC71BM acceptor. Together with high PCE, the copolymer solar cell also showed very high 23 24 lifetime. Interestingly the optimum processing temperature was 60°C, which is much lower than pure P3HT (120°C). This will have a significant impact upon processing onto 25 low temperature substrates, cost of manufacture and embodied energy within an OPV 26 27 module. Further low temperature processing is also essential for the flexible and wearable energy devices. This is highly advantageous for their use in the future energy 28 sources. Therefore the synthesized copolymers are ideal for future energy sources and 29 can pave the way to efficient and stable solar energy sources. 30

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