1 Environmental influence on phytoplankton communities in the northern Benguela 2 ecosystem

R. Barlow^{1,2,*}, T. Lamont^{3,2}, D. Louw⁴, M-J. Gibberd², R. Airs⁵, A. van der Plas⁴

6 ¹ Bayworld Centre for Research and Education, Cape Town, South Africa

- ² Marine Research Institute & Department of Oceanography, University of Cape Town, Cape Town,
 South Africa
- 9 ³ Branch: Oceans and Coastal Research, Department of Environmental Affairs, Cape Town, South Africa
- 10 ⁴ National Marine Information and Research Centre, Swakopmund, Namibia
- ⁵ Plymouth Marine Laboratory, Plymouth, United Kingdom
- 12 * Corresponding author, e-mail: rgb.barlow@gmail.com
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14 ABSTRACT

An investigation of surface phytoplankton communities was undertaken on the shelf of the northern 15 Benguela upwelling ecosystem during austral autumn (May) and spring (September) using microscopic 16 identification and CHEMTAX analysis of pigment biomarkers on latitudinal transects at 20°S and 23°S 17 18 up to 70 nautical miles offshore, and on a zigzag grid located between these transects. During May 2014, 19 warmer, more saline water with a shallower upper mixed layer corresponding to periods of less intense 20 offshore Ekman transport was encountered on the shelf. Satellite imagery indicated high biomass 21 extending for a considerable distance from the coast, and CHEMTAX indicated diatoms dominating at 22 most of the stations (52-92%), although dinoflagellates were dominant at some inshore localities (57-23 74%). Species of Chaetoceros, Bacteriastrum and Cylindrotheca were the most abundant, with Pseudo-24 nitzschia seriata-group abundance being particularly high at a number of stations. In September 2014, 25 more intense wind forcing resulted in a deeper upper mixed layer and stronger upwelling of colder, less 26 saline water. Elevated phytoplankton biomass was confined close to the coast where diatoms accounted 27 for most of the population (54-87%), but small flagellates such as prasinophytes, haptophytes and 28 cryptophytes, and the cyanobacterium *Synechococcus*, dominated the communities (58-90%) further 29 away from the coast. It is hypothesized that stronger upwelling and deeper vertical mixing in September 30 2014 were not conducive for wide-spread diatom growth, and that small flagellates populated the water 31 column by being entrained from offshore onto the shelf in the upwelled water that moved in towards the 32 coast. 33

Keywords: Phytoplankton, Pigments, Species, Hydrography, Benguela upwelling ecosystem

36 Introduction

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The Benguela ecosystem off southwest Africa is one of the major upwelling systems in the world's ocean 38 39 and is characterised by cold nutrient-rich water and high plankton productivity on the continental shelf 40 (Nelson and Hutchings 1983; Shannon and Nelson 1996; Hutchings et al. 2009). The northern region of the ecosystem is located off Namibia (17°-29°S) and upwelling is driven by intense along-shore 41 42 southeasterly winds, facilitated by low eddy activity and a shallow mixed layer on a relatively wide shelf (Lachkar and Gruber 2012). There are seasonal fluctuations in the wind field and the climatology 43 44 indicates peaks in wind stress during April-May and August-September (Louw et al. 2016). There is a 45 consequent seasonality in upwelling and surface water temperatures, with lower surface temperatures in 46 the period June to October and higher surface temperatures during December to May (Louw et al. 2016).

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In response to changing upwelling conditions, phytoplankton biomass is highly variable on the Namibian shelf. Previous investigations by Estrada and Marrasé (1987) demonstrated patchy distributions of phytoplankton, with chlorophyll *a* levels of 20 mg m⁻³ at various localities near the coast in $15^{\circ}-16^{\circ}$ C water. Barlow et al. (2001) measured 0.3-18.5 mg m⁻³ on the shelf south of Walvis Bay in winter, with the high levels being observed at shallow stations, while chlorophyll *a* ranging from 18.4 mg m⁻³ near the coast ($11^{\circ}-12^{\circ}$ C water) to 0.6 mg m⁻³ 100 km offshore ($16^{\circ}-17^{\circ}$ C water) were observed during a spring 54 survey between 19°S and 25°S (Barlow et al. 2006). In a recent 12 year in situ study on a transect at 23°S in the centre of the Namibian upwelling system, Louw et al. (2016) observed that major blooms where 55 chlorophyll a was >18 mg m⁻³ occurred in 5 of the 12 years and minor blooms (10-13 mg m⁻³) were 56 57 observed every year. Maxima usually developed inshore each year with peaks in April (autumn), August 58 (winter) and December (summer). The forcing mechanisms driving these patterns are complex and 59 include dynamic variability in wind, temperature, mixing, stratification and thermocline development, and 60 nutrient availability. Similar changes in chlorophyll a occur in the upwelling ecosystem off central Chile 61 where Anabalon et al. (2016) observed seasonal variability at a coastal site with two maxima of 8-10 mg m^{-3} in the spring (October) and summer (January). In the upwelling system off central Oregon Du et al. 62 (2015) also noted that peaks in chlorophyll a of 15-20 mg m⁻³ occurred in the boreal summer months of 63 64 July and August.

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66 Phytoplankton composition of diatoms and dinoflagellates was originally identified by microscopy in the 67 1960's (Kollmer 1962, 1963) and by Kruger (1980), who also noted many small flagellates that were 68 difficult to identify and quantify by microscopy. More recently community structure has also been 69 elucidated using pigment indices and a spring survey during 2000 by Barlow et al. (2006) indicated 70 diatoms dominating closer to the coast, while small flagellates were generally more prominent in the 71 offshore communities. Pigments have been useful to characterize phytoplankton populations in the 72 southern Benguela ecosystem, where fucoxanthin, peridinin and zeaxanthin are biomarkers of diatoms, 73 dinoflagellates and cyanobacteria, respectively. 19'-Hexanoyloxyfucoxanthin (haptophytes), 19'-74 butanovloxyfucoxanthin (pelagophytes), chlorophyll b (prasinophytes) and alloxanthin (cryptophytes) are 75 useful biomarkers for the small flagellates (Barlow et al. 2001, 2005, Fishwick et al. 2006). Microscopy 76 revealed that the small flagellates in the 2000 spring survey were dominated by *Emiliania huxleyi*, while a 77 substantially calcified E. huxleyi morphotype was also observed inshore immediately succeeding the 78 decline in the coastal diatom blooms (Henderiks et al. 2012).

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80 Investigations on succession have demonstrated a transition from dinoflagellates, coccolithophores and 81 microflagellates in newly upwelled water to diatom dominance in matured upwelled water, and a change 82 from diatoms to dinoflagellates in aged water (Hansen et al. 2014). In a complementary study in 83 shipboard mesocosms, it was found that diatoms dominated in newly upwelled water, but autotrophic and 84 heterotrophic dinoflagellates were then dominant at the matured stage (Wasmund et al. 2014). Water 85 types were originally defined for the southern Benguela ecosystem where newly upwelled water had temperatures of <10°C and nitrate concentrations of 15-30 mmol m⁻³, matured upwelled water 86 temperatures were 10-15°C and nitrate levels were 2-15 mmol m⁻³, while aged water contained low 87 concentrations of nitrate (<2 mmol m⁻³) and temperatures of 12-16°C (Barlow 1982a). Communities were 88 89 observed to be in an active phase of growth in newly upwelled and mature water but were in a slow-90 growing phase in aged water. A study by Louw et al. (2017) noted that the diatom genus, Pseudo-91 nitzschia, occurs frequently on the central Namibian coast and blooms developed in mature water when 92 there was a decrease in wind stress and upwelling.

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94 The high phytoplankton biomass off Namibia has sustained higher trophic levels and a rich marine 95 fishery, but there has been a decline in commercial fish catches mainly due to overfishing (Finney et al. 96 2010). Furthermore, global climate change may have an impact on the Benguela ecosystem through 97 atmospheric forcing (Bakun et al. 2010) and negatively affect both environmental and plankton patterns 98 with consequent repercussions for the fragile fisheries industry. Under a warming scenario, phytoplankton 99 composition could shift from diatom dominance to mixed communities where small flagellates and 100 prokaryotes might contribute a greater proportion to the biomass, or perhaps become dominant under 101 certain conditions. This would have an impact on zooplankton communities and Verheve et al (2016) report a shift from large to smaller zooplankton in the Benguela ecosystem since the mid-1990s, which in 102 103 turn is likely to have an impact on higher trophic levels such as pelagic and demersal fish. The 104 contribution of flagellates and prokaryotes to phytoplankton populations in the contemporary Namibian 105 ecosystem is not well understood and an opportunity arose to examine community structure in more detail 106 during two research cruises on the central shelf during May and September 2014. The approach was to

107 collect surface samples for phytoplankton pigments and analyse the detailed pigment data using the statistical technique of CHEMTAX (Mackey et al. 1996; Higgins et al. 2011). CHEMTAX vields 108 109 information about the contribution to the total chlorophyll a (TChla) by the various flagellates mentioned above as well as the diatoms, dinoflagellates and prokaryotes (Higgins et al., 2011). Samples for 110 111 microscopic analysis were also taken to elucidate details of the dominant diatom and dinoflagellate 112 species. The objective was to examine the changing proportions of diatoms, dinoflagellates, flagellates 113 and prokaryotes in surface waters at the time of the research cruises, and assess the impact of different 114 seasonal environmental conditions on community structure.

116 Methods

117118 *Hydrography and sampling*

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The research cruises were undertaken on the Namibian shelf during 9-15 May and 8-13 September 2014 120 respectively. Hydrographic measurements and sampling were conducted on latitudinal transects located at 121 122 20°S and 23°S at 8-9 stations varying from 2-70 nm (nautical miles) from the coast (Figure 1). In 123 addition, further measurements and sampling were conducted at stations on a zigzag grid (ZZ1-ZZ14) 124 located between 20°S and 23°S (Figure 1). The water column was profiled for temperature and salinity utilizing a Seabird CTD that was maintained regularly and calibrated according to the manufacturer's 125 126 instructions. Nutrient samples (50 ml) were drawn from the CTD rosette bottles at 10 m or 20 m depth intervals on the 20°S and 23°S transects only, filtered on board, and stored frozen for later analysis ashore 127 128 using standard auto-analyser techniques (Mostert 1983). Surface seawater samples within the upper 2-5 m 129 (200 ml) were taken for species identification only at the 20°S and 23°S stations and preserved with 5 ml of 40% formaldehyde (Throndsen 1978). Further surface samples were drawn for pigment analysis (1000 130 131 ml) at the 20°S and 23°S stations, and at the ZZ stations, and filtered through GFF filters that were stored frozen at -80°C for analysis ashore. The depth of the upper mixed layer (Z_m) was determined as the depth 132 where the local change in density was ≥ 0.03 kg m⁻³ using density profiles and a threshold gradient 133 criterion (Thomson and Fine 2003). 134

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136 Ekman transport at 20°S and 23°S was computed from daily NCEP-DOE Reanalysis 2 meridional wind 137 vectors according to Lamont et al. (2017). Wind vectors were rotated to account for the orientation of the 138 coastline and wind stress was computed using the non-linear drag coefficient defined by Large and Pond (1981) and modified by Trenberth et al. (1990) for low wind speeds. Ekman transport ($m^3 s^{-1} 100 m^{-1} of$ 139 coastline) was then computed, with positive values indicating offshore transport (upwelling), and negative 140 141 values representing onshore transport (downwelling). Daily values were summed to provide an estimate of the monthly cumulative offshore Ekman transport at 20°S and 23°S. Standard monthly-averaged 142 143 chlorophyll a data and Sea Surface Temperature (SST) from MODIS-Aqua (v2018.0), at 4.5 km spatial 144 resolution (NASA 2018), was downloaded for May and September 2014 from the Ocean Biology 145 (OBGP) at NASA's Goddard Space Flight Center Processing Group (GSFC: http://oceancolor.gsfc.nasa.gov). It was difficult to obtain clear enough satellite images of daily or 146 weekly-averaged chlorophyll a and SST due to extensive cloud cover and fog along the Namibian coast 147 148 and shelf and therefore only monthly-averaged images were found to be suitable.

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150 Phytoplankton identification

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Species identification and counts were performed with a Zeiss Axiovert 200 inverted light microscope (Utermöhl 1958). Prior to counting, formalin-preserved samples were settled in a 25 ml chamber for 24 h. Concentrations of cells were calculated using the equation of Utermöhl (1958) and counting of at least 400 cells with a precision of $\pm 10\%$. Where species occurred in low concentrations, 50–200 cells were counted, providing a precision of 15–30% for quantitative estimates (Andersen and Throndsen 2004).

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160 Pigment analysis and CHEMTAX

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Pigments were extracted in 90 % acetone, aided by the use of ultrasonication, clarified by centrifugation 162 and filtration, and analysed by HPLC (ThermoScientific Accela) using a Waters Symmetry C8 column 163 (150 x 2.1 mm, 3.5 µm particle size, thermostated at 25°C) according to Zapata et al. (2000). Pigments 164 165 were detected at 440 and 660 nm and identified by retention time and on-line diode array spectra. 166 Monovinyl chlorophyll a standard was obtained from Sigma-Aldrich Ltd and other pigment standards 167 were purchased from the DHI Institute for Water and Environment, Denmark. Quality assurance 168 protocols followed Van Heukelem and Hooker (2011). The method separates divinyl and monovinyl 169 chlorophyll a, zeaxanthin and lutein, but does not resolve divinyl and monovinyl chlorophyll b. Limits of detection were of the order of 0.001 mg m⁻³. 170

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172 To determine community composition, pigment data was analysed by CHEMTAX (Mackey et al. 1996) 173 following Higgins et al. (2011), with chemotaxonomic groups being identified according to Jeffrey et al. (2011). An assumption made using CHEMTAX is that the pigment:chlorophyll a ratios are constant 174 175 across all the samples within each analysis. Therefore analysis was performed separately for each cruise 176 such that all samples for May 2014 were run together, and then all samples for September 2014 were run 177 together. Pigment starting ratios were obtained from Higgins et al. (2011) and Table 1 indicates the identified functional groups and the various starting and output ratios for each group. To ease the 178 179 presentation of the chemotaxonomic data, diatoms-1 and -2 were combined into a collective diatom group, and prasinophytes-1 and -3 were combined into a collective prasinophyte group. Data for 180 181 chlorophytes is not presented as CHEMTAX indicated that the contribution of this group was very low.

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183 CHEMTAX outputs are the fraction of chlorophyll a attributed to each functional group specified in the 184 matrix. The HPLC method separated monovinyl chlorophyll a allomer, monovinyl chlorophyll a, 185 monovinyl chlorophyll a epimer and chlorophyllide a, and in CHEMTAX the sum of all 4 was used as the total chlorophyll *a* concentration (TChla). Chlorophyllide *a* was included as it can be generated from 186 187 artificial degradation of chlorophyll *a* by chlorophyllase activity during sample handling and extraction 188 when diatoms are present (Jeffrey and Hallegraeff 1987). The software may not discover the best global solution if it encounters local minima in the process. To circumvent this possibility, multiple starting 189 190 points were used. Sixty-nine further pigment ratio tables were generated by multiplying each cell of the 191 initial table by a randomly determined factor F, calculated as:

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F = 1 + S x (R - 0.5)

193 where S is a scaling factor of 0.7, and R is a random number between 0 and 1 generated using the 194 Microsoft Excel RAND function (Wright et al., 2009). Each of the 69 ratio tables was used as the starting 195 point for a CHEMTAX optimization. The solution with the smallest residual was used for the estimated 196 taxonomic abundance.

198 **Results**

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200 Hydrography

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Monthly-averaged satellite images are presented to set the geographic and hydrographic context for the study area, indicating that warmer water prevailed during May 2014 compared to cooler water conditions in September 2014 (Figure 1a and c). The chlorophyll *a* image for May 2014 revealed high levels along the coast between 25° S and 19° S, with an offshore extension to the ZZ stations (Figure 1b). In September 206 2014, chlorophyll *a* was elevated inshore from Walvis Bay to Toscanini, with patchy lower 207 concentrations between 20° S and 21° S (Figure 1d). Levels were much lower further offshore between 208 23° S and 20° S.

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Daily offshore Ekman transport showed a decreasing trend during the cruise period in May 2014, with values decreasing from 130 m³ s⁻¹ 100 m⁻¹ on 9 May to 18 m³ s⁻¹ 100 m⁻¹ on 13 May at 20°S, increasing slightly to 55 m³ s⁻¹ 100 m⁻¹ by 15 May (Figure 2a). Similarly, Ekman transport decreased from 163 m³ s⁻¹

¹ 100 m⁻¹ on 9 May to 11 m³ s⁻¹ 100 m⁻¹ on 12 May at 23°S, then increasing to 51 m³ s⁻¹ 100 m⁻¹ by 15 213 May (Figure 2b). In contrast, the opposite pattern was observed during the cruise in September 2014, with offshore Ekman transport increasing from 5 m³ s⁻¹ 100 m⁻¹ on 8 September to 98 m³ s⁻¹ 100 m⁻¹ on 10 214 215 September at 20°S, and then fluctuating between 30 and 70 m³ s⁻¹ 100 m⁻¹ during 11-13 September 216 (Figure 2c). Ekman transport also increased from 32 m³ s⁻¹ 100 m⁻¹ on 8 September 2014 to 170, 138 and 217 142 m³ s⁻¹ 100 m⁻¹ on 10, 11 and 12 September respectively at 23°S (Figure 2d). Monthly values of 218 219 cumulative offshore Ekman transport at 23°S indicated that upwelling-favourable winds during September 2014 (4003 m³ s⁻¹ 100 m⁻¹) were nearly twice the intensity of that in May 2014 (2295 m³ s⁻¹ 220 100 m⁻¹). The difference in monthly cumulative offshore Ekman transport at 20°S was less, being 2424 221 m³ s⁻¹ 100 m⁻¹ in September 2014 compared to 1520 m³ s⁻¹ 100 m⁻¹ in May 2014, but still indicating 222 greater offshore transport in the spring. 223

225 In situ surface temperature and salinity data indicated warmer, more saline waters at the surface on the 20°S and 23°S transects during May 2014. Along 20°S, 15-16°C water was observed inshore at the 226 surface and 18-19°C offshore between 40 and 70 nm (Figure 3a), while at 23°S surface temperatures were 227 228 14-15°C inshore and 15-17°C offshore (Figure 3b). Surface salinities of 35.4-35.5 inshore and 35.5-35.6 229 offshore (40-70 nm) were noted for the 20°S transect (Figure 4a), while salinities of 35.2-35.3 prevailed 230 across the 23°S transect in May 2014 (Figure 4b). The estimated depth of the upper mixed layer varied 231 from 25-75 m along 20°S and was <50 m on 23°S (Figures 3, 4). Lower surface temperatures and 232 salinities were observed in September 2014, with 12-13°C water close inshore on 20°S, 13-14°C from 2-233 30 nm, and 14-15°C between 30 nm and 70 nm (Figure 3c). Along 23°S, surface temperatures were 11.5-234 13°C inshore up to 25 nm from the coast and 13-15°C further offshore (Figure 3d). Surface salinities of 235 35.1-35.2 were noted from the coast to 40nm on 20°S and 35.2-35.3 beyond 40 nm (Figure 4c), while salinities of 34.9-35.0 were measured out to 50 nm on 23°S and 35.0-35.1 between 50 and 70 nm (Figure 236 237 4d). Upper mixed layers were <50 m on 20°S in September 2014, but varied from 15-100 m on 23°S 238 (Figures 3 and 4).

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240 Two longitudinal transects were designated for the ZZ stations, a coastal transect for the odd numbered 241 stations, and an offshore transect for the even numbered stations (Figure 1). Surface temperatures were 242 uniform at 14°C along the coastal transect in May 2014 (Figure 5a) and varied from 12.5-14°C in 243 September 2014 (Figure 5c). Salinity was 35.3-35.4 in May 2014 and 35.1-35.2 in September 2014 (data 244 not shown). The upper mixed layer on this coastal transect was 17-18 m in May 2014, but only 3 m at 245 ZZ1, and varied between 5 m and 30 m in September 2014 (Figure 5a, c). Surface temperatures were 246 higher along the offshore ZZ transect, being 16-17°C in May 2014 (Figure 5b) and 14-15°C in September 247 2014 (Figure 5d). Surface salinity varied from 35.3-35.5 in May 2014 and was lower in September 2014 at 35.0-35.2 (data not shown). Mixed layer depths on the offshore ZZ transect were <50 m during both 248 249 May and September 2014 (Figure 5b, d).

251 **Phytoplankton** species

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Only the dominant species of diatoms and dinoflagellates on the 20°S and 23°S transects are listed in Table 2 as a more detailed account of all species will be reported elsewhere. The criterion for dominance was selected as the highest abundance for 1-3 species at each station compared to other species that had 256 lower abundances. Dominant cell counts varied widely, however, and examples are the 30 nm station on 20° S in May 2014 where abundance was 1.39-2.67 x 10^{6} cells L⁻¹, while at the 50 nm station on 23° S, cell counts were $1.05-1.14 \times 10^3$ cells L⁻¹ (Table 2). 258

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260 Diatoms were dominated by various species on each transect and the *Pseudo-nitzschia* seriata-group, *Chaetoceros curvisetus* and *Chaetoceros debilis* were the most abundant up to 30 nm on 20°S during May 261 2014. Thalassiosira gravida, Bacteriastrum delicatulum, Bacteriastrum hyalinum, Cylindrotheca 262 263 closterium, Pseudo-nitzschia seriata-group, Rhizosolenia robusta and a Thalassiosira sp were the dominant diatoms from 40-70 nm, with the particular details for each station presented in Table 2. These 264 species were also the dominant diatoms on 23°S in May 2014 and the *Pseudo-nitzschia* seriata-group was 265

particularly dominant at six of the nine stations (Table 2). The dominant dinoflagellates on the 20°S transect included *Ceratium* spp., *Prorocentrum micans*, *Corythodinium tesselatus*, *Gyrodinium* spp., *Protoperidinium pallidum* and *Protoperidinium* spp., although unidentified dinoflagellates were also present at some of the stations. For 23°S, *Prorocentrum micans*, *Protoperidinium* spp. and *Scrippsiella trochoidea* were the dominant species, but the abundance of naked dinoflagellates and unidentified dinoflagellates appeared to be greater on this transect (Table 2).

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273 A change in diatom species was observed in September 2014 where the dominant species on 20°S were 274 Thalassiosira gravida, Pseudo-nitzschia seriata-group, Thalassiosira rotula, Leptocylindrus danicus, 275 Thalassiosira anguste-lineata, Chaetoceros decipiens and Chaetoceros didymus, with varying 276 abundances between stations (Table 2). Different species were generally dominant on 23°S that included 277 Skeletonema japonica, Navicula spp., Pseudo-nitzschia delicatissima-group, Chaetoceros curvisetus, 278 Chaetoceros constrictus, Chaetoceros convolutes, Chaetoceros atlanticus and Pseudo-nitzschia seriata-279 group (Table 2). There was also a general change in dinoflagellates for September 2014 and Gyrodinium 280 spp., Gymnodenium spp., Protoperidinium spp., Scrippsiella trochoidea, Noctiluca scintillans, Ceratium 281 furca, Dinophysis fortii, Prorocentrum triestinum and Dinophysis acuminate were the dominant species 282 on 20°S. Four of these dinoflagellates were also dominant on 23°S in addition to Prorocentrum triestinum 283 and Protoperidinium oblongum (Table 2). Unidentified dinoflagellates were also present in significant 284 numbers at some stations on both the 20°S and 23°S transects.

286 *CHEMTAX*

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288 The pattern of community structure as determined by CHEMTAX is presented together with surface temperature and nutrient data in Figures 6 to 9. Surface temperatures on the 20°S transect were 15°-18°C 289 290 in May 2014 compared to 13°-14.5°C in September 2014 and there was a general decrease in the 291 concentrations of nitrates and silicates from inshore to offshore, although they did not appear to reach limiting levels of $<1 \text{ mmol m}^{-3}$ (Barlow et al. 2006) as all concentrations were $>2 \text{ mmol m}^{-3}$ (Figure 6a, 292 d). TChla concentrations ranged from 1.1-3.4 mg m⁻³ in May 2014, but was more variable in September 293 2014 where TChla was 2.2-8.0 mg m⁻³ at inshore stations and 0.8-2.6 mg m⁻³ between 10 and 70 nm 294 295 offshore (Figure 6b, e). Phytoplankton communities in May 2014 were dominated by dinoflagellates at 296 the 2, 10 and 20 nm stations (57-74%) and by diatoms at the 30-70 nm stations (71-92%), with the small 297 flagellate (haptophytes, pelagophytes, cryptophytes, prasinophytes) and prokaryote (Synechococcus) 298 groups contributing <20% (Figure 6c). The diatom proportion was 45-88% at the 2-60 nm stations in 299 September 2014, but the flagellate contribution was 76% at the 70 nm station, with prasinophytes 300 accounting for at least half (Figure 6f).

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On the 23°S transect, surface temperatures were also higher in May 2014 (14°-16.5°C) than September 302 2014 ($12^{\circ}-14.5^{\circ}$ C), with highly variable nitrate and silicate concentrations that were >2 mmol m⁻³ (Figure 303 7a, d). TChla levels were 1.2-2.4 mg m⁻³ across the transect in May 2014 and 0.8-2.2 mg m⁻³ in 304 305 September 2014 (Figure 7b, e). Diatoms dominated the populations on the transect in May 2014 (47-306 78%), although dinoflagellates and pelagophytes contributed 24% and 21% respectively at the 2 nm 307 station and dinoflagellates 29% at 30 nm (Figure 7c). Flagellates were dominant in September 2014 (56-308 100%) although diatoms were 55% at the 20 nm station (Figure 7f). Prasinophytes accounted for most of 309 the flagellates at the 2-50 nm stations, Synechococcus contributed 42% and 30% at 40 nm and 50 nm, 310 while haptophytes contributed 32% at the 70 nm station.

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No nutrient data was available for the ZZ stations but surface temperatures were $13.9^{\circ}-14.7^{\circ}C$ at the inshore ZZ stations in May and $12.4^{\circ}-13.7^{\circ}C$ in September 2014 (Figure 8a, d). The highest TChla levels were observed at the southern ZZ1 station in both May (4.5 mg m⁻³) and September 2014 (7.7 mg m⁻³), with lower TChla at the other inshore ZZ stations (Figure 8b, e). Dinoflagellates were generally the dominant phytoplankton group (47-70%) in May 2014 (Figure 8e), but in September 2014 the diatoms were mostly dominant (33-73%) together with some of the flagellates (Figure 8f). Prasinophytes contributed 28-45% at the ZZ1, 3, 5 and 7 stations, and cryptophyes were 19-32% at the ZZ 11 and 13
stations (Figure 8f).

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321 Temperatures at the offshore ZZ stations were higher in May (16.0°-17.0°C) compared to September 2014 322 (14.2°-15.2°C) (Figure 9a, d), and overall surface waters at these offshore stations were also warmer than at the inshore stations during both months. TChla at these offshore stations was $1.2-10.2 \text{ mg m}^{-3}$ in May 323 2014 but lower in September (0.7-1.5 mg m⁻³; Figure 9b, e). Diatoms dominated 52-92% at all stations in 324 May 2014 (Figure 9e) but the flagellates were collectively more dominant (59-97%) in September 2014 325 (Figure 9f). While the diatoms were dominant at ZZ2 (57%), the haptophytes and prasinophytes 326 327 contributed 13-50% and 12-44% respectively at the other offshore stations, and the Synechococcus 328 proportion was 9-23% at some of these stations (Figure 9f).

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330 **Discussion**

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Previous studies in the southern Benguela ecosystem identified three stages in the evolution of upwelled 332 333 water, namely newly upwelled water, matured water and aged water (Barlow 1982a, 1982b; Brown and 334 Hutchings 1987). More recently, in a study of phytoplankton succession in the northern Benguela, 335 Hansen et al. (2014) developed more suitable hydrographic criteria for these stages for the northern 336 Benguela. Applying the criteria of Hansen et al. (2014) to the current data indicated that the water masses 337 in May 2014 were in a late mature to aged stage on the 20°S, 23°S and ZZ transects. This is in agreement 338 with the offshore Ekman transport that exhibited a decreasing pattern during the May cruise period, and 339 implied that the sampling was conducted during the declining phase of an upwelling event. During 340 September 2014, the Hansen et al. (2014) criteria suggested that the water was in a newly upwelled stage, 341 with a tendency towards the mature stage at the outer three stations on 20°S and 23°S. This corresponded 342 well with the offshore Ekman transport that displayed an increasing pattern during the cruise period in 343 September, implying that sampling took place during the early phase of an upwelling event. 344

345 Hansen et al. (2014) observed diatom dominance in matured upwelled water, with a decline in diatoms 346 and a succession to domination by dinoflagellates in the later stage of aged water. The CHEMTAX analysis of pigment data in this study indicated that diatoms were also dominant in aged water at most of 347 348 the stations during May 2014, although dinoflagellates were dominant at the three inshore stations on 349 20°S and at the inshore ZZ stations (Figures 6 and 8). Mixed populations of nanoflagellates, 350 coccolithophores and dinoflagellates were observed in newly upwelled water by Hansen et al. (2014). 351 Similarly, small flagellates tended to be dominant in the newly upwelled water during September 2014, 352 although diatoms and dinoflagellates were present in lower proportions. Prasinophytes and haptophytes 353 contributed the greater proportion of the nanoflagellate component, and there was also a substantial 354 proportion of the prokaryote Synechococcus (Figures 7 and 9). Thus, even though this investigation could 355 only provide a "snapshot" of two stages of upwelling, there are similarities between the results of Hansen 356 et al. (2014) for August-September 2011 and the observations here for May and September 2014. 357 Although only surface characteristics are reported, Barlow et al. (2006) demonstrated that these 358 communities are representative of the population within the water column for stations closer to the coast, 359 but for stations towards the shelf edge the dominance of small flagellates in the upper mixed layer usually 360 decreased at deeper depths where diatoms tended to be more dominant.

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362 While CHEMTAX analysis was useful for indicating change in the bulk proportion of phytoplankton groups, microscopy provided details about the differences in species of diatoms and dinoflagellates 363 between the two periods of investigation. The dinoflagellates in May 2014 were mostly unidentified 364 365 species that included naked dinoflagellates, but more identifiable species were observed in September 2014. For the diatoms, species of *Chaetoceros*, *Bacteriastrum* and *Cylindrotheca* were dominant during 366 367 May 2014, with *Pseudo-nitzschia* seriata-group abundance being particularly high at a number of stations 368 on the 20°S and 23°S transects (Table 2). In contrast, dominant diatoms in September 2014 included 369 species of Thalassiosira and Leptocylindrus, with Chaetoceros and Pseudo-nitzschia species at a few 370 stations. Hansen et al. (2014) also noted that Pseudo-nitzschia seriata-group was abundant in mature

371 upwelled water, together with species of Chaetoceros and Thalassiosira, but dinoflagellates of the order Gymnodiniales were dominant in aged water. Interestingly, small phytoplankton characterized the inshore 372 373 newly upwelled water in August-September 2011 where Emiliania huxleyi and species of Phaeocystis, 374 Pyramimonas and Pseudopedinella contributed a high percentage (Hansen et al. 2014). The prevalence of 375 Pseudo-nitzschia species in Namibian waters (Kollmer 1963; Kruger 1980; Hansen et al. 2014) is of 376 concern because they can be toxic to higher trophic levels. A 14 year study on the 23°S transect revealed 377 blooms of *Pseudo-nitzschia* occurring in 13-16°C water, with the climatology showing an increase during austral summer, while a maximum can be attained during May-July (Louw et al. 2017). Pseudo-nitzschia 378 379 blooms occurred during periods of low wind stress and weak upwelling (Louw et al. 2017), 380 complementing the observations in this study where high Pseudo-nitzschia cell counts were observed 381 under conditions of decreasing Ekman transport in May 2014.

382

383 This investigation indicated that small phytoplankton groups were more prominent on the Namibian shelf in September 2014 than in May 2014, particularly the prasinophytes, haptophytes and Synechococcus 384 385 (Figures 6-9). Colder, lower salinity, nutrient sufficient water prevailed in September 2014 that was 386 characterized as being in the first stage of newly upwelled water. Under these environmental conditions, it 387 might be expected that diatoms would dominate as observed by Barlow (1982b) and Brown and 388 Hutchings (1987) in the southern Benguela. However, these early studies did not evaluate the contribution 389 of small phytoplankton cells as has been done for the northern Benguela by Hansen et al. (2014). 390 CHEMTAX showed that although diatoms accounted for a greater proportion of the biomass on the 20°S 391 transect and at the ZZ inshore stations in September 2014, this was not the case for the 23°S transect and 392 the ZZ offshore stations (Figures 7 and 9). High phytoplankton biomass was confined much closer to the 393 coast in September 2014 and there were patches of elevated chlorophyll a in the vicinity of 20°S (Figure 394 1d). But TChla was lower on the 23°S transect and at the offshore ZZ stations (Figures 1d, 7 and 9) and 395 the flagellate groups were more dominant. The upper mixed layer was deeper on the 23°S transect and at 396 the offshore ZZ stations (Figures 3d, 4d, 5d), indicating stronger vertical mixing, driven by stronger wind 397 conditions in September 2014 (Figure 2d). Diatoms tend to flourish and bloom when the upper mixed 398 layer is shallow and the water column is more stratified during periods of lower wind stress and reduced 399 Ekman transport as in May 2014 (Figures 2b, 3b, 4b, 5b), resulting in elevated phytoplankton biomass 400 that can extend for a considerable distance offshore, as illustrated in Figure 1b.

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402 Prominent contribution by small flagellates to phytoplankton biomass in upwelling systems is not unusual 403 and could be considered a general feature. Crespo et al. (2011) estimated that nanoflagellates accounted 404 for 62-80% of the integrated biomass in both coastal and oceanic domains off northwest Iberia during a spring upwelling event. A similar pattern has also been observed in the Humboldt upwelling system off 405 406 northern Chile where Iriarte et al. (2000) observed that nano- and picophytoplankton contributed 80% to 407 primary production and 63% to TChla in summer, and 67% to both in the winter. A 2 year temporal study 408 at a coastal site in central Chile by Bottjer and Morales (2007) demonstrated that nanoflagellates were the 409 dominant component of the phytoplankton community during all seasons, contributing up to 80% to the 410 autotrophic biomass in the upper 50 m during both upwelling and downwelling periods. In comparison, 411 the average proportion of flagellates (including Synechococcus) along the 20°S transect off Namibia 412 increased from 13% in May to 28% in September 2014, while the average proportion on the 23°S transect was 27% in the May and 72% during the September cruise. The average proportion for the ZZ inshore 413 414 stations increased from 23% in May to 42% in September 2014, with the average at the ZZ offshore 415 stations being 16% and 74% in the May and September respectively. It appears therefore that small 416 flagellates contribute substantially to phytoplankton biomass on the central shelf of Namibia, as reported 417 for the other upwelling ecosystems.

418

419 Overall, there are important differences in physical, geochemical and biological processes between the 420 upwelling ecosystems of the southern Pacific and southern Atlantic eastern ocean margins (3°-40°S) 421 (Mackas et al. 2006). There is strong ENSO activity in the Pacific, while "Benguela Ninos" occur with 422 decadal frequency in the Atlantic. In addition, strong coastal trapped waves are a feature in both 423 ecosystems, although this occurs intra-seasonally in the Pacific. While hypoxia, oxygen minimum layers and denitrification are a feature of the Pacific margin, there is a mismatch of time scales between phyto-,
zoo- and meroplankton in the Atlantic margin. Trophic efficiency is higher in the southeastern Pacific,
leading to greater fish production, but in contrast fish yield is lower in the southeastern Atlantic (Mackas
et al. 2006).

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Previously, small flagellates were observed to be more dominant at the Namibian shelf edge and on the 429 slope (Barlow et al. 2006) and it is hypothesized that these flagellates were entrained in the upwelled 430 431 water that moved inshore and up the shelf towards the coast in September 2014. The water column was 432 not sufficiently stratified at the time of sampling for diatoms to have proliferated, and therefore the small 433 flagellates that had populated the water column were observed to be more dominant on the 23°S transect 434 and at the offshore ZZ stations. Barange and Pillar (1992) proposed a conceptual model of cross-shelf 435 circulation during active and quiescent phases of upwelling, and suggested that during active upwelling, 436 shelf circulation is characterised by seaward transport of surface waters, but the flow at depth is onshore, 437 following isopycnal surfaces. Such a transport mechanism would account for the mid-shelf observation of flagellate communities, which are more common in the offshore regions. With the stronger vertical 438 439 mixing in September 2014, it is likely that flagellate communities were mixed down to a depth where 440 they were entrained in the onshore flow. Evidence of this transport mechanism can be seen in the vertical 441 salinity distribution along 23°S, where higher salinity water occurring at the surface between 60 and 70 nm from the coast appears to be subducted and advected shoreward below the upper mixed layer (Figure 442 443 4).

445 The prominence of small flagellates in contemporary upwelling ecosystems raises questions about their 446 future role in the marine food web. The food chain in the Benguela ecosystem has been considered to be 447 mesozooplankton grazing on relatively simple, with larger diatoms and dinoflagellates, 448 macrozooplankton grazing on phytoplankton and mesozooplankton, small pelagic fish (anchovies, 449 sardines) consuming predominately zooplankton, and larger fish such as hake feeding on small fish (Jarre-Teichmann et al. 1998; Hutchings et al. 2009). Besides the observation in this study, 450 451 nanoflagellates have also been observed to be prominent in the southern Benguela ecosystem, 452 predominantly offshore on the continental slope, but also on the shelf together with diatoms (Mitchell-Innes and Winter 1987; Barlow et al. 2005; Lamont et al. 2014). This implies that microzooplankton 453 454 grazing probably plays an important role in the food web, grazing on the nanoflagellates and in turn being 455 grazed by the mesozooplankton (Jarre-Teichmann et al. 1998). Thus the food web in the Benguela 456 ecosystem is probably more complex than previously thought since this microbial loop most likely plays an important role. If there is a decline in upwelling-favourable winds due to climate change (Bakun et al. 457 2010), then it is likely that offshore Ekman transport would decrease along the Namibian shelf, as well as 458 459 along the west coast of South Africa, leading to less or no upwelling of nutrient-rich water into the euphotic zone, with a consequent low abundance of diatoms and the dominance of nano- and 460 picophytoplankton. The overall phytoplankton production and biomass are then likely to be lower than 461 contemporary levels and the microbial loop would then become a key component of the food web. Meso-462 463 and macrozooplankton production and biomass could also decrease, with a resulting synergetic effect of 464 upwelling changes and the role of the microbial loop over the biomass of small pelagic fish and larger 465 demersal species such as hake.

466

467 Indeed, a substantial decrease in upwelling-favourable winds in the Northern Benguela has been observed 468 in recent years (Lamont et al., 2017), and this corresponds to positive linear sea surface temperature trends suggestive of warming (Jarre et al., 2015). However, the impact of this longer-term reduction in 469 470 upwelling and warming of surface waters has not yet been clearly discerned as satellite records of surface 471 chlorophyll *a* show a seemingly contradictory trend, with higher values in recent years (Jarre et al., 2015), 472 while zooplankton trends are in agreement with a warming scenario and show an overall decrease in 473 abundance and a shift in dominance by smaller species (Verheye et al., 2016). Modifications in the food web structure will have important implications for the commercial fisheries of Namibia and South Africa, 474 475 and therefore current ecosystem- and fisheries-based management in each country needs to be reviewed and adjusted accordingly in consideration of the possible changes in water properties and planktoninteractions.

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Table 1

Pigment: chlorophyll *a* starting and output ratios for the CHEMTAX analysis of HPLC pigments. Starting ratios derived from Higgins et al. (2011). Chla-chlorophyll *a*; Chlb-chlorophyll *b*; MgDVP-Mg-2,4-dininyl pheoporphyrin a_5 monomethyl ester; Chlc1-chlorophyll c_1 ; Chlc2-chlorophyll c_2 ; Chlc3-chlorophyll c_3 ; Per-peridinin; But-19'-butanoyloxyfucoxanthin; Fuc-fucoxanthin; Neo-neoxanthin; Viol-violaxanthin; Pras-prasinoxanthin; Hex-19'-hexanoyloxyfucoxanthin; Alto-alloxanthin; Zea-zeaxanthin; Anth-antheraxanthin; Asta-astaxanthin; Lut-lutein; Chlc2-MGDG1-chlorophyll c_2 -monogalactosyldiacylglyceride ester [18:4/14:0]; Chlc2-MGDG2- chlorophyll c_2 -monogalactosyldiacylglyceride ester [14:0/14:0].

Group	Chla	Chlb	Mg DVP	Chlc1	Chlc2	Chlc3	Per	But	Fuc	Neo	Viol	Pras	Hex	Allo	Zea	Anth	Asta	Lut	Chlc2- MG DG1	Chlc2- MG DG2
Starting Ratios																				
Diatoms-1	1	0	0	0.087	0.18	0	0	0	0.775	0	0	0	0	0	0	0	0	0	0	0
Diatoms-2	1	0	0	0	0.284	0.083	0	0	0.998	0	0	0	0	0	0	0	0	0	0	0
Dinoflagellates	1	0	0.006	0	0.22	0	0.56	0	0	0	0	0	0	0	0	0	0	0	0	0
Cryptophytes	1	0	0	0	0.2	0	0	0	0	0	0	0	0	0.38	0	0	0	0	0	0
Pelagophytes	1	0	0	0.01	0.275	0.23	0	0.66	0.78	0	0	0	0	0	0	0	0	0	0	0
Haptophytes	1	0	0.009	0	0.21	0.18	0	0.04	0.31	0	0	0	0.47	0	0	0	0	0	0.09	0.103
Prasinophytes-1	1	0.631	0.008	0	0	0	0	0	0	0.072	0.138	0	0	0	0.026	0.023	0	0.057	0	0
Prasinophytes-3	1	0.73	0.062	0	0	0	0	0	0	0.063	0.054	0.25	0	0	0.058	0.021	0	0.021	0	0
Chlorophytes	1	0.32	0	0	0	0	0	0	0	0.066	0.049	0	0	0	0.032	0.014	0.012	0.17	0	0
Cyanobacteria	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0.64	0	0	0	0	0
(Synechococcus)																				
May 2014																				
Diatoms-1	1	0	0	0.135	0.148	0	0	0	0.761	0	0	0	0	0	0	0	0	0	0	0
Diatoms-2	1	0	0	0	0.222	0.133	0	0	0.482	0	0	0	0	0	0	0	0	0	0	0
Dinoflagellates	1	0	0.005	0	0.212	0	0.776	0	0	0	0	0	0	0	0	0	0	0	0	0
Cryptophytes	1	0	0	0	0.187	0	0	0	0	0	0	0	0	0.257	0	0	0	0	0	0
Pelagophytes	1	0	0	0.008	0.236	0.241	0	0.840	0.759	0	0	0	0	0	0	0	0	0	0	0
Haptophytes	1	0	0.007	0	0.189	0.188	0	0.034	0.357	0	0	0	0.630	0	0	0	0	0	0.061	0.066
Prasinophytes-1	1	0.427	0.008	0	0	0	0	0	0	0.060	0.153	0	0	0	0.018	0.023	0	0.055	0	0
Prasinophytes-3	1	0.980	0.082	0	0	0	0	0	0	0.060	0.069	0.120	0	0	0.076	0.026	0	0.017	0	0
Chlorophytes	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Cyanobacteria	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0.832	0	0	0	0	0
(Synechococcus)																				
September 2014																				
Diatoms-1	1	0	0	0.088	0.101	0	0	0	0.513	0	0	0	0	0	0	0	0	0	0	0
Diatoms-2	1	0	0	0	0.258	0.109	0	0	0.851	0	0	0	0	0	0	0	0	0	0	0
Dinoflagellates	1	0	0.008	0	0.154	0	0.742	0	0	0	0	0	0	0	0	0	0	0	0	0
Cryptophytes	1	0	0	0	0.168	0	0	0	0	0	0	0	0	0.368	0	0	0	0	0	0
Pelagophytes	1	0	0	0.008	0.194	0.293	0	0.763	0.819	0	0	0	0	0	0	0	0	0	0	0
Haptophytes	1	Õ	0.012	0	0.323	0.299	Õ	0.042	0.397	Õ	Õ	Õ	0.793	Õ	Õ	Õ	Õ	Õ	0.133	0.129
Prasinophytes-1	1	0.601	0.008	0	0	0	0	0	0	0.063	0.096	0	0	0	0.028	0.029	0	0.045	0	0
Prasinophytes-3	1	0.687	0.069	Õ	Õ	Ő	Ő	Ő	Ő	0.064	0.054	0.129	Ő	Ő	0.056	0.018	Ő	0.014	ŏ	Ő
Chlorophytes	1	0.315	0	Õ	Õ	Õ	Õ	Õ	Õ	0.088	0.046	0	Õ	Õ	0.042	0.019	0.015	0.215	Õ	Õ
Cvanobacteria	1	0	Õ	Õ	Õ	Õ	Õ	Õ	Õ	0	0	Õ	Õ	Õ	0.642	0	0	0	Õ	Õ
(Synechococcus)	-	5	Ŭ	5	5	2	~	2	2	2	2	2	2	2		5	2	0	Ŭ	2

Table 2

678 679 Dominant diatom and dinoflagellate species at the surface for each station on the 20°S and 23°S transects during May and September 2014. *Pseudo-nitzschia* seriata-gr indicates *Pseudo-nitzschia* delicatissima-gr indicates *Pseudo-nitzschia* delicatissima-group. 680 681

May	Diatoms	Cells L ⁻¹	Dinoflagellates	Cells L ⁻¹	September	Diatoms	Cells L ⁻¹	Dinoflagellates	Cells L ⁻¹
20°S	Pseudo-nitzschia seriata-gr	14.89x10 ³	Ceratium spp	5.47×10^3	20°S	Thalassiosira gravida	21.33x10 ⁴	Gyrodinium spp	4.35×10^3
2	Chaetoceros curvisetus	11.45×10^3	<i>Prorocentrum micans</i> Unidentified dinoflagellates	4.58×10^{3} 3.43×10^{3}	2				
					$20^{\circ}S$	Thalassiosira gravida	84.45×10^4	Gymnodenium spp	7.33×10^3
					5	Pseudo-nitzschia seriata-gr	22.63×10^4	Gyrodinium spp	6.18×10^3
						C C		Protoperidinium spp	5.38×10^{3}
$20^{\circ}S$	Chaetoceros curvisetus	13.40×10^4	Ceratium spp	$14.04 \text{x} 10^3$	$20^{\circ}S$	Thalassiosira gravida	$77.92 \text{x} 10^4$	Gyrodinium spp	28.98×10^3
10	Pseudo-nitzschia seriata-gr	66.44×10^3			10	Thalassiosira rotula	28.73×10^4	Scrippsiella trochoidea	10.88×10^3
				2			2	Noctiluca scintillans	10.31×10^{3}
$20^{\circ}S$	Chaetoceros debilis	24.51×10^4	Ceratium spp	42.92×10^3	$20^{\circ}S$	Thalassiosira rotula	82.71×10^{3}	Unidentified dinoflagellates	4.12×10^{3}
20	Pseudo-nitzschia seriata-gr	24.40×10^4			20	Leptocylindrus danicus	60.94×10^{3}		
	Chaetoceros curvisetus	10.65×10^4		2					2
20°S	Pseudo-nitzschia seriata-gr	2.67×10^{6}	Unidentified dinoflagellates	9.16x10 ³	20°S	Leptocylindrus danicus	32.21×10^4	Ceratium furca	10.66×10^{3}
30	Chaetoceros curvisetus	2.08×10^{6}			30	Thalassiosira gravida	28.73×10^{4}	Unidentified dinoflagellates	6.53×10^3
a 00 a	Chaetoceros debilis	1.39×10^{6}	~		a 200 a	Thalassiosira anguste-lineata	27.64×10^{-3}	Dinophysis fortii	6.30×10^3
20°S	Thalassiosira gravida	69.42×10^{4}	Corythodinium tesselatus	1.14×10^{3}	20°S	Pseudo-nitzschia seriata-gr	76.18×10^3	Unidentified dinoflagellates	30.24×10^3
40	Bacteriastrum delicatulum	35.17x10*	Gyrodinium spp	1.14×10^{-5}	40	Leptocylindrus danicus	74.01×10^3	Prorocentrum triestinum	23.94×10^{3}
2000		1 (2 100		0.00 103	2000	Thalassiosira gravida	39.18×10^{4}		4 10 103
20°S	Bacteriastrum delicatulum	$1.62 \times 10^{\circ}$	Unidentified dinoflagellates	8.02×10^3	20°S	Thalassiosira gravida	10.40×10^{-10}	Unidentified dinoflagellates	4.12×10^{3}
20%5	Pseudo-nitzschia seriata-gr	88.44X10	Protoperiainium palliaum	3.43×10^{-3}	50 20%5	Leptocylinarus aanicus	$85.5/x10^{\circ}$		$0.29 \cdot 10^3$
20°S	Bacteriastrum hyalinum	97.15x10	Protoperidinium spp	2.29x10 ^e	20°S	Thalassiosira gravida	78.36×10^{-5}	Scrippsiella trochoidea	9.28×10^{3}
60					00	Chaetoceros aecipiens	53.01×10^{3}	Dinophysis acuminata	6.18×10^{3}
2005	Rastoniastmun deliestulum	2.16×10^{6}	Unidentified directle colletes	2.20×10^3	2008	Chaetoceros alaymus	55.01×10^{3}	Unidentified dinoflagellates	0.18×10^{3}
20.5	bacieriasirum aeticatutum	2.10x10	Unidentified diffoliagenates	2.29X10	20 3	Thalassiosira graviaa	14.45X10	Duono continue triogenales	11.34×10^{3}
70					70			Prorocentrum triestinum	8.23X10
23°S	Cylindrotheca closterium	36.66×10^4	Naked dinoflagellates	12.60×10^3	$23^{\circ}S$	Skeletonema japonica	20.62×10^3	Prorocentrum triestinum	1.14×10^{3}
2	-		Prorocentrum micans	5.72×10^3	2	Navicula spp	12.60×10^3		
$23^{\circ}S$	Cylindrotheca closterium	73.32×10^3	Unidentified dinoflagellates	10.31×10^{3}	$23^{\circ}S$	Unidentified diatoms	2.29×10^3	Protoperidinium spp	1.14×10^{3}
5	Pseudo-nitzschia seriata-gr	37.81×10^3	Protoperidinium spp	5.72×10^3	5				
	Bacteriastrum hyalinum	29.78×10^3							
23°S	Pseudo-nitzschia seriata-gr	82.48×10^3	Naked dinoflagellates	17.18×10^3	$23^{\circ}S$	Pseudo-nitzschia delicatissima-gr	3.43×10^{3}	Unidentified dinoflagellates	1.14×10^{3}
10			Unidentified dinoflagellates	13.74×10^{3}	10	Navicula spp	2.29×10^3		
23°S	Pseudo-nitzschia seriata-gr	12.48×10^4	Unidentified dinoflagellates	6.87×10^3	$23^{\circ}S$	Chaetoceros curvisetus	73.32×10^3	Dinophysis fortii	1.14×10^{3}
20				2	20		2	Protoperidinium spp	1.14×10^{3}
$23^{\circ}S$	Pseudo-nitzschia seriata-gr	12.71×10^4	Unidentified dinoflagellates	8.02×10^{3}	$23^{\circ}S$	Chaetoceros constrictus	6.87×10^{3}	Scrippsiella trochoidea	4.58×10^{3}
30		<i>,</i>	Ceratium spp	3.37×10^{3}	30		2		2
$23^{\circ}S$	Pseudo-nitzschia seriata-gr	3.83x10°	Naked dinoflagellates	4.58×10^{3}	23°S	Chaetoceros curvisetus	1.14×10^{3}	Unidentified dinoflagellates	2.29x10 ³
40		2	Scrippsiella trochoidea	3.43×10^{3}	40		2		
23°S	Rhizosolenia robusta	1.05×10^{3}	Unidentified dinoflagellates	5.72x10 ³	23°S	Chaetoceros convolutus	14.89x10 ³	None	
50	Cylindrotheca closterium	1.14×10^{3}			50				

23°S 60	Centric diatoms Centric diatoms Pseudo-nitzschia seriata-gr	$\begin{array}{c} 1.14 x 10^{3} \\ 8.02 x 10^{3} \\ 5.72 x 10^{3} \end{array}$	Scrippsiella trochoidea Gyrodinium spp	$13.74 x 10^{3}$ $5.72 x 10^{3}$	23°S 60	Pseudo-nitzschia delicatissima-gr Chaetoceros atlanticus Pseudo-nitzschia seriata-gr	32.07×10^{3} 22.91×10^{3} 20.62×10^{3}	Gyrodinium spp	2.29x10 ³
23°S 70	<i>Thalassiosira</i> sp	12.94x10 ⁴	Naked dinoflagellates	5.72×10^3	23°S 70	Pseudo-nitzschia delicatissima-gr	67.59x10 ³	Unidentified dinoflagellates Protoperidinium oblongum	$3.43x10^{3}$ $1.14x10^{3}$

684 685	Figure legends
685 686 687 688 689 690 691 692	Figure 1. Monthly composites of MODIS Aqua Sea Surface Temperature for (a) May 2014 and (c) September 2014, and chlorophyll <i>a</i> concentration for (b) May 2014 and (d) September 2014. Black dots and squares indicate positions of sampling stations on the 20°S and 23°S transects and the ZZ stations respectively. White areas indicate missing data due to cloud cover or fog. Abbreviations are WB-Walvis Bay, SW-Swakopmund, CC-Cape Cross, TC-Toscanini, TB-Terrace Bay. The images provide a larger "aerial view" geographic and hydrographic context for the two cruise periods.
693 694 695	Figure 2. Daily Eckman transport (dark grey) for 6-18 May 2014 at (a) 20°S and (b) 23°S and for 5-16 September 2014 at (c) 20°S and (d) 23°S. Light grey indicates the cruise periods for 9-15 May and 8-13 September 2014.
697 698 699 700	Figure 3. Temperature profiles on the 20° S transect for (a) May 2014 and (c) September 2014, and on the 23° S transect for (b) May 2014 and (d) September 2014. Horizontal black lines indicate the depth of the upper mixed layer (Z _m). Vertical dotted lines indicate the depth of CTD profiles.
701 702 703 704	Figure 4. Salinity profiles on the 20°S transect for (a) May 2014 and (c) September 2014, and on the 23°S transect for (b) May 2014 and (d) September 2014. Horizontal black lines indicate the depth of the upper mixed layer (Z_m). Vertical dotted lines indicate the depth of CTD profiles.
705 706 707 708	Figure 5. Temperature profiles on the ZZ inshore transect for (a) May 2014 and (c) September 2014, and on the ZZ offshore transect for (b) May 2014 and (d) September 2014. Horizontal black lines indicate the depth of the upper mixed layer (Z_m). Vertical dotted lines indicate the depth of CTD profiles.
709 710 711	Figure 6. Surface pattern on the 20°S transect during May and September 2014 for (a, d) temperature and nutrients, (b, e) TChla, and (c, f) the proportion of each phytoplankton group contributing to TChla.
712 713 714	Figure 7. Surface pattern on the 23°S transect during May and September 2014 for (a, d) temperature and nutrients, (b, e) TChla, and (c, f) the proportion of each phytoplankton group contributing to TChla.
715 716 717 718	Figure 8. Surface pattern at the ZZ inshore stations during May and September 2014 for (a, d) temperature, (b, e) TChla, and (c, f) the proportion of each phytoplankton group contributing to TChla.
718 719 720 721	temperature, (b, e) TChla, and (c, f) the proportion of each phytoplankton group contributing to TChla.
722 723 724	
725 726 727 728	
729 730 731	
732 733 734 735	
736	18
	10





Fig. 1





 Fig. 2





