

# Impact of prior therapies on everolimus activity: an exploratory analysis of RADIANT-4

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**Background:** Recently, everolimus was shown to improve median progression-free survival (PFS) by 7.1 months in patients with advanced, progressive, well-differentiated, nonfunctional neuroendocrine tumors (NET) of lung or gastrointestinal (GI) tract compared with placebo (HR, 0.48; 95% CI, 0.35–0.67;  $P < 0.00001$ ) in the Phase III, RADIANT-4 study. This post hoc analysis evaluates the impact of prior therapies (somatostatin analogs [SSA], chemotherapy, and radiotherapy) on everolimus activity.

**Trial registration:** [ClinicalTrials.gov](https://clinicaltrials.gov) identifier: NCT01524783.

**Patients and methods:** Patients were randomized (2:1) to everolimus 10 mg/day or placebo, both with best supportive care. Subgroups of patients who received prior SSA, chemotherapy, or radiotherapy (including peptide receptor radionuclide therapy) were analyzed and reported.

**Results:** A total of 302 patients were enrolled, of whom, 163 (54%) had any prior SSA use (mostly for tumor control), 77 (25%) received chemotherapy, and 63 (21%) were previously exposed to radiotherapy. Patients who received everolimus had longer median PFS compared with placebo, regardless of previous SSA (with SSA: 11.1 vs 4.5 months [HR, 0.56 {95% CI, 0.37–0.85}]; without SSA: 9.5 vs 3.7 months [0.57 {0.36–0.89}]), chemotherapy (with chemotherapy: 9.2 vs 2.1 months [0.35 {0.19–0.64}]; without chemotherapy: 11.2 vs 5.4 months [0.60 {0.42–0.86}]), or radiotherapy (with radiotherapy: 9.2 vs 3.0 months [0.47 {0.24–0.94}]; without radiotherapy: 11 vs 5.1 months [0.59 {0.42–0.83}]) exposure. The most frequent drug-related adverse events included stomatitis (59%–65%), fatigue (27%–35%), and diarrhea (24%–34%) among the subgroups.

**Conclusion:** These results suggest that everolimus improves PFS in patients with advanced, progressive lung or GI NET, regardless of prior therapies. Safety findings were consistent with the known safety profile of everolimus in NET.

**Keywords:** neuroendocrine tumors, progression-free survival, somatostatin analogs, chemotherapy, PRRT

## Plain language summary

As most patients with advanced, progressive neuroendocrine tumors will experience disease progression at some point during their treatment, an ongoing consideration of significant importance is the optimal sequence of treatment, which currently remains unknown. This post hoc analysis of the Phase III, randomized, placebo-controlled, RADIANT-4 study demonstrates that treatment with everolimus improved outcomes regardless of the use of prior therapies and suggests the potential for its use in both treatment-naïve and previously treated patients with advanced, well-differentiated, progressive, nonfunctional neuroendocrine tumors of lung or gastrointestinal origin. In addition, safety of everolimus was generally consistent regardless of use of prior therapies.

## Introduction

Neuroendocrine tumors (NET) are rare and a diverse group of neoplasms arising from neuroendocrine cells throughout the body, with the gastroenteropancreatic

(GEP; ~57%) tract and the lungs (~27%) being the most common sites.<sup>1,2</sup> Although considered rare, the annual incidence of NET has steadily increased over the past 4 decades from 1.09 per 100,000 in 1973 to 6.98 per 100,000 in 2012.<sup>2,3</sup> NET are termed “functional” if they are associated with classical clinical symptoms (eg, carcinoid syndrome) due to hormonal hypersecretion, whereas those that do not exhibit symptoms are labeled as “nonfunctional” NET.<sup>4</sup> The majority of NET originating from the gastrointestinal (GI) tract and lung are nonfunctional. According to the Surveillance, Epidemiology, and End Results program, approximately half of patients have metastatic disease at the time of diagnosis, and 65% will die within 5 years of diagnosis.<sup>2</sup> The clinical course, management, and prognosis may vary widely and depend on multiple factors. These include disease-related factors, for example, primary tumor sites, histologic classification, resectability of the tumor, presence of metastatic disease, and presence of clinical symptoms and patient-related factors, for example, treatment goals, comorbidities, and treatment access. All of these factors should be taken into consideration when preparing a treatment plan for individual patients.<sup>5</sup>

The treatment of inoperable, advanced NET is challenging due to limited therapeutic options. Although international guidelines have suggested potential treatment algorithms, the treatment of metastatic NET can vary widely based on patient factors as well as treatment centers due to lack of consensus for a single standard of care approach. Very few randomized controlled trials have been conducted in NET owing to the rarity of these tumors, and hence, the evidence supporting some treatment options is considered much weaker than for more common malignancies.<sup>6</sup> Patients with progressive NET of lung or GI tract have traditionally relied on somatostatin analogs (SSA), chemotherapy, and radiotherapy despite limited data from well-controlled, randomized clinical trials. SSA, such as octreotide and lanreotide, are the standard of care for symptom management in patients with functional NET.<sup>7,8</sup> Furthermore, the antiproliferative effects of SSA have been confirmed in more recent prospective Phase III trials in patients with well-differentiated GEP-NET.<sup>9,10</sup> Chemotherapy remained the only recommended therapeutic option in the treatment of advanced pancreatic NET (pNET) until the availability of novel targeted agents. Response rates reported from various retrospective studies of chemotherapy ranged from 25% to 42%.<sup>11–13</sup> The benefit in response rate with chemotherapy did not translate to prolongation of PFS compared with historical controls, although such comparison is difficult in view of heterogeneity between studies and patient populations. In addition, significant cumulative toxicities associated with systemic chemotherapy use limit

its long-term usage. Peptide receptor radionuclide therapy (PRRT) is an emerging treatment modality and could be a promising new treatment option for advanced, progressive somatostatin receptor-positive midgut NET.<sup>14</sup> However, its use remains investigational and limited information is available on long-term safety of PRRT.

In the past 6 years, everolimus (Afinitor, Novartis Pharmaceuticals Corporation [East Hanover, NJ, USA]; both as a single agent and in combination with long-acting octreotide) has demonstrated activity in the treatment of a broad spectrum of NET subtypes in various Phase II and III studies.<sup>15–20</sup> In the recent Phase III, RADIANT-4 study, treatment with everolimus improved median progression-free survival (PFS) by 7.1 months and resulted in a 52% reduction in risk for disease progression or death (hazard ratio [HR], 0.48; 95% confidence interval [CI], 0.35–0.67;  $P < 0.00001$ ) compared with placebo in patients with advanced, nonfunctional, progressive lung or GI NET.<sup>21</sup> Everolimus showed consistent treatment benefits across all subgroups analyzed in the RADIANT-4 study; however, the impact of prior therapies on the activity of everolimus in this population is not known. This post hoc exploratory analysis was aimed to explore the effects of prior therapies (SSA, chemotherapy, and radiotherapy) on PFS in patients enrolled in the Phase III RADIANT-4 study and identify any impact of specific treatment sequences on outcomes of everolimus therapy for the treatment of individual patients with advanced NET.

## Patients and methods

### Study design

The RADIANT-4 trial was a prospective, double-blind, randomized, parallel-group, placebo-controlled, international, multicenter, Phase III study (ClinicalTrials.gov number NCT01524783). The detailed study design has been reported previously.<sup>21</sup> Patients were randomly assigned, in a 2:1 ratio, to receive everolimus 10 mg/day or placebo in combination with best supportive care. Treatment was continued until documented radiologic disease progression, development of an unacceptable adverse event (AE), initiation of new cancer therapy, or withdrawal of consent. Patients were prospectively stratified according to status with respect to prior SSA treatment (defined as continuous SSA for  $\geq 12$  weeks; receipt vs no receipt), tumor origin (based on prognostic level, grouped into 2 strata: 1) stratum A [better prognosis]: appendix, cecum, jejunum, ileum, duodenum, or NET of unknown primary; 2) stratum B [worse prognosis]: lung, stomach, colon [other than cecum] or rectum), and World Health Organization (WHO) performance status (0 vs 1) at baseline. Crossover was not permitted

to open-label everolimus prior to primary PFS analysis if patients in placebo arm experienced disease progression.

In the current post hoc exploratory analysis of the RADIANT-4 study, patients were classified into the following subgroups based on whether they had received previous treatments with SSA, chemotherapy, or radiotherapy (including PRRT) at any time before study enrollment: prior SSA, no prior SSA, prior chemotherapy, no prior chemotherapy, prior radiotherapy, and no prior radiotherapy. The efficacy and safety of everolimus compared with placebo were assessed in each of these subgroups. Additional subgroups analyzed were the following: 1) everolimus as first-line treatment, which includes patients with no prior medical treatments (eg, SSA, chemotherapy, hormonal therapy, radiotherapy, targeted therapy) with or without prior surgery excluding other local surgeries (eg, biopsy, radiofrequency ablation, transarterial embolization, selective internal radiotherapy, percutaneous ethanol injection, and cryoablation); 2) everolimus as second-line treatment after prior SSA includes patients with only prior SSA and no other medical treatments (eg, chemotherapy, hormonal therapy, radiotherapy, targeted therapy) with or without prior surgery excluding other local surgeries.

## Ethics

The protocol was reviewed and approved by an independent ethics committee or institutional review board (IRB) at each participating center and all patients gave written informed consent prior to participation. The list of each approving ethics committee and IRB is provided in Table S1. The study was conducted in accordance with Good Clinical Practice, the principles outlined in the Declaration of Helsinki, and local regulations.

## Patient population

Adult patients (18 years or older) with histologically confirmed well-differentiated (grade 1 or 2 according to the 2010 WHO classification),<sup>22</sup> advanced, nonfunctional lung or GI NET and radiological documentation of disease progression within 6 months before randomization were eligible for inclusion. Additional key inclusion criteria included the presence of measurable disease according to Response Evaluation Criteria In Solid Tumors (RECIST) criteria<sup>23</sup> 1.0 using multiphase computed tomography or magnetic resonance imaging for radiological assessment, WHO performance status of 1 or lower, and adequate bone marrow, renal, and hepatic function. Patients previously treated with SSA, interferon, one prior line of chemotherapy, and/or radiation therapy (including PRRT) were eligible for inclusion if

disease progression was documented during or after their last treatment. It was also necessary for patients to have discontinued antineoplastic therapy for  $\geq 4$  weeks (6 months if PRRT) before randomization.

Patients were excluded if they had a history of or presented with carcinoid syndrome, poorly differentiated histology, or pNET. Concomitant SSA were permitted if the patients developed symptoms of carcinoid syndrome, which could not be managed by standard therapy (eg, loperamide). The change in functional status and the use of concomitant medications was documented. Patients who received  $>1$  line of chemotherapy; prior therapy with mammalian target of rapamycin (mTOR) inhibitors (sirolimus, temsirolimus, or everolimus); hepatic intra-arterial embolization within 6 months, or cryoablation or radiofrequency ablation of hepatic metastases within 2 months of randomization; or chronic treatment with corticosteroids or other immunosuppressive agents were also excluded.

## Statistical analyses

In this post hoc exploratory analysis, efficacy assessments were conducted on the full analysis population, which was composed of all randomly assigned patients. All patients who received  $\geq 1$  dose of the study drug and who had  $\geq 1$  postbaseline safety evaluation were included in the safety population.

The median PFS as well as the 25th and 75th quartile were estimated using the Kaplan–Meier method and presented along with 95% CIs. HRs and corresponding 95% CIs were calculated using unstratified Cox proportional hazards model.

## Results

### Patient demographics and disposition

In the RADIANT-4 trial, 302 patients with advanced NET were randomly assigned to everolimus 10 mg/day ( $n=205$ ) or placebo ( $n=97$ ).<sup>21</sup> Both arms were comparable with respect to any prior SSA therapy (53% [ $n=109$ ] of patients receiving everolimus vs 56% [ $n=54$ ] receiving placebo; mostly for tumor control), chemotherapy (26% [ $n=54$ ] vs 24% [ $n=23$ ]), and radiotherapy (21% [ $n=44$ ] vs 20% [ $n=19$ ]). Prior radiotherapy arm also included PRRT ( $n=19$ ; 15 patients in everolimus and 4 in placebo arm). A total of 5 patients (4 in everolimus and 1 in placebo arm) received concomitant SSA. A change in functional status was observed in 17 patients (10 in everolimus arm and 7 in placebo arm).

Baseline demographics and clinical characteristics of patients in different subgroups were similar (Table 1). Overall, the primary tumor sites were GI (58% of patients; including ileum, rectum, jejunum, stomach, colon,

**Table 1** Patient demographics and baseline characteristics by prior therapies use

Characteristics	All patients (N=302)		Prior SSA <sup>a</sup> (N=163)		No prior SSA (N=139)		Prior chemotherapy (N=77)	
	Everolimus (n=205)	Placebo (n=97)	Everolimus (n=109)	Placebo (n=54)	Everolimus (n=96)	Placebo (n=43)	Everolimus (n=54)	Placebo (n=23)
Median age, years (range)	65 (22-86)	60 (24-83)	65 (31-86)	63 (34-83)	65 (22-85)	56 (24-81)	65 (40-80)	59 (25-81)
Male, n (%)	89 (43)	53 (55)	45 (41)	26 (48)	44 (46)	27 (63)	24 (44)	13 (57)
<b>Race, n (%)</b>								
Caucasian	162 (79)	68 (70)	89 (82)	42 (78)	73 (76)	26 (61)	41 (76)	16 (70)
Asian	32 (16)	18 (19)	13 (12)	5 (9)	19 (20)	13 (30)	10 (18)	4 (17)
Others <sup>c</sup>	13 (6)	11 (11)	7 (6)	7 (13)	2 (2)	2 (5)	3 (6)	3 (13)
<b>WHO performance status<sup>d</sup>, n (%)</b>								
0	149 (73)	73 (75)	75 (69)	40 (74)	74 (77)	33 (77)	34 (63)	15 (65)
1	55 (27)	24 (25)	34 (31)	14 (26)	21 (22)	10 (23)	19 (35)	8 (35)
<b>Primary tumor site<sup>e</sup>, n (%)</b>								
GI <sup>f</sup>	119 (58)	57 (61)	70 (64)	36 (67)	49 (51)	21 (49)	22 (41)	7 (30)
Lung	63 (31)	27 (28)	27 (25)	11 (20)	36 (38)	16 (37)	25 (46)	13 (56)
NET of unknown primary	23 (11)	13 (13)	12 (11)	7 (13)	11 (12)	6 (14)	7 (13)	3 (13)
<b>Tumor grade<sup>g</sup>, n (%)</b>								
Grade 1	129 (63)	65 (67)	79 (73)	38 (70)	50 (52)	27 (63)	27 (50)	12 (52)
Grade 2	75 (37)	32 (33)	29 (27)	16 (30)	46 (48)	16 (37)	27 (50)	11 (48)
<b>Metastatic extent of disease, n (%)</b>								
Liver	163 (80)	76 (78)	95 (87)	47 (87)	71 (74)	34 (79)	40 (74)	19 (83)
Lymph node or lymphatic system	85 (42)	45 (46)	30 (28)	17 (31)	31 (32)	16 (37)	25 (46)	12 (52)
Lung	45 (22)	20 (21)	31 (28)	11 (20)	19 (20)	9 (21)	14 (26)	5 (22)
Bone	42 (21)	15 (16)	14 (13)	2 (4)	7 (7)	5 (12)	5 (9)	3 (13)
<b>Time from initial diagnosis to randomization, n (%)</b>								
≤6 months	26 (13)	12 (12)	2 (2)	1 (2)	2 (2)	1 (2)	4 (7)	0
>6 months to ≤18 months	51 (25)	25 (26)	26 (24)	15 (28)	26 (27)	15 (35)	17 (31)	5 (22)
>18 months to ≤36 months	41 (20)	22 (23)	23 (21)	13 (24)	23 (24)	13 (30)	9 (17)	8 (35)
>36 months	87 (42)	38 (39)	58 (53)	25 (46)	58 (53)	25 (46)	24 (44)	10 (43)
<b>Liver tumor burden, n (%)</b>								
None	34 (17)	14 (14)	15 (14)	6 (11)	15 (14)	6 (11)	10 (19)	3 (13)
≤10%	119 (58)	61 (63)	61 (56)	34 (63)	61 (56)	34 (63)	28 (52)	16 (70)
>10% to 25%	29 (14)	8 (8)	18 (17)	8 (15)	18 (17)	8 (15)	6 (11)	3 (13)
>25%	21 (10)	14 (14)	13 (12)	2 (4)	13 (14)	6 (6)	10 (19)	1 (4)
Unknown	2 (1)	0	2 (2)	0	2 (2)	0	0	0

Characteristics	No prior chemotherapy (N=225)		Prior radiotherapy (including PRRT <sup>b</sup> ; N=63)		No prior radiotherapy (N=239)		No prior therapy (N=36)	
	Everolimus (n=151)	Placebo (n=74)	Everolimus (n=44)	Placebo (n=19)	Everolimus (n=161)	Placebo (n=78)	Everolimus (n=25)	Placebo (n=11)
Median age, years (range)	63 (22–86)	61 (24–83)	65 (31–85)	61 (43–81)	65 (22–86)	60 (24–83)	65 (43–83)	56 (39–80)
Male, n (%)	65 (43)	40 (54)	20 (46)	13 (68)	69 (43)	40 (51)	10 (40)	6 (55)
<b>Race, n (%)</b>								
Caucasian	121 (80)	52 (70)	39 (89)	16 (84)	123 (76)	52 (67)	19 (76)	5 (46)
Asian	22 (15)	14 (19)	3 (7)	0	29 (18)	18 (23)	4 (16)	6 (55)
Others <sup>c</sup>	8 (5)	8 (11)	2 (5)	3 (16)	9 (6)	8 (10)	2 (8)	0
<b>WHO performance status<sup>d</sup>, n (%)</b>								
0	115 (76)	58 (78)	30 (68)	13 (68)	119 (74)	60 (77)	21 (84)	8 (73)
1	36 (24)	16 (22)	13 (30)	6 (32)	42 (26)	18 (23)	4 (16)	3 (27)
<b>Primary tumor site<sup>e</sup>, n (%)</b>								
GI <sup>f</sup>	97 (64)	50 (68)	17 (39)	4 (21)	102 (63)	53 (68)	10 (40)	3 (27)
Lung	38 (25)	14 (19)	25 (57)	13 (68)	38 (24)	14 (18)	9 (36)	3 (27)
NET of unknown primary	16 (11)	10 (13)	2 (4)	2 (11)	21 (13)	11 (14)	6 (24)	5 (46)
<b>Tumor grade<sup>g</sup>, n (%)</b>								
Grade 1	102 (67)	53 (72)	27 (61)	10 (53)	102 (63)	55 (71)	13 (52)	7 (64)
Grade 2	48 (33)	21 (28)	16 (36)	9 (47)	59 (37)	23 (29)	12 (48)	4 (36)
<b>Metastatic extent of disease, n (%)</b>								
Liver	126 (83)	62 (84)	33 (75)	14 (74)	133 (83)	67 (86)	20 (80)	8 (73)
Lymph node or lymphatic system	36 (24)	21 (28)	14 (32)	11 (58)	47 (29)	22 (28)	3 (12)	2 (18)
Lung	36 (24)	15 (20)	15 (34)	5 (26)	35 (22)	15 (19)	3 (12)	2 (18)
Bone	16 (11)	4 (5)	8 (18)	2 (11)	13 (8)	5 (6)	2 (8)	1 (9)
<b>Time from initial diagnosis to randomization, n (%)</b>								
≤6 months	22 (15)	12 (16)	2 (5)	0	24 (15)	12 (15)	12 (48)	9 (82)
>6 months to ≤18 months	34 (23)	20 (27)	5 (11)	2 (11)	46 (28)	23 (29)	7 (28)	2 (18)
>18 months to ≤36 months	32 (21)	14 (19)	9 (20)	8 (42)	32 (20)	14 (18)	4 (16)	0
>36 months	63 (42)	28 (38)	28 (64)	9 (47)	59 (37)	29 (37)	2 (8)	0
<b>Liver tumor burden, n (%)</b>								
None	24 (16)	11 (15)	10 (23)	4 (21)	24 (15)	10 (13)	4 (16)	3 (27)
≤10%	91 (60)	45 (61)	21 (48)	13 (68)	98 (61)	48 (62)	12 (48)	3 (27)
>10% to 25%	23 (15)	5 (7)	7 (16)	1 (5)	22 (14)	7 (9)	5 (20)	0
>25%	11 (7)	13 (16)	6 (14)	1 (5)	15 (9)	13 (17)	4 (16)	5 (46)
Unknown	2 (1)	0	0	0	2 (1)	0	0	0

**Notes:** <sup>a</sup>Mostly for: tumor control. <sup>b</sup>19 patients (15 in the everolimus arm and 4 in the placebo arm) had received prior PRRT. <sup>c</sup>Others included Black. <sup>d</sup>One patient in the everolimus group had a WHO performance status of 2. <sup>e</sup>One patient in the everolimus group had thymus as the primary site. <sup>f</sup>GI included jejunum, ileum, rectum, stomach, duodenum, colon, cecum, appendix, and other. <sup>g</sup>Grade 1 included WHO grade 1 or well-differentiated neuroendocrine tumors and grade 2 included WHO grade 2 or moderately differentiated tumors; tumor grade was not available for one patient in the everolimus group.

**Abbreviations:** GI, gastrointestinal; NET, neuroendocrine tumor; PRRT, peptide receptor radionuclide therapy; SSA, somatostatin analogs; WHO, World Health Organization.

**Table 2** Prior SSA exposure by study treatment (full analysis set)

SSA exposure	Everolimus (n=109)	Placebo (n=54)	All patients (N=163)
Median (range) duration of prior SSA exposure, months	15.90 (<0.1–103.5)	14.87 (<0.1–77.3)	14.95 (<0.1–103.5)
<b>Duration of prior SSA exposure, n (%)</b>			
<6 months <sup>a</sup>	25 (23)	15 (28)	40 (25)
6 months to <2 years	46 (42)	21 (39)	67 (41)
2 years to <5 years	27 (25)	13 (24)	40 (25)
≥5 years	11 (10)	5 (9)	16 (10)
<b>Time since last prior exposure to SSA, n (%)</b>			
Ongoing	0	0	0
<4 weeks	0	0	0
4 weeks to <8 weeks	43 (39)	25 (46)	68 (42)
8 weeks to <24 weeks	43 (39)	19 (35)	62 (38)
24 weeks to <2 years	16 (15)	6 (11)	22 (14)
2 years to <5 years	6 (6)	3 (6)	9 (6)
≥5 years	1 (1)	1 (2)	2 (1)

**Note:** <sup>a</sup>Seven patients (4 in the everolimus and 3 in the placebo arm) had SSA exposure of <2 weeks.

**Abbreviation:** SSA, somatostatin analogs.

duodenum, cecum, appendix, and other sites identified by investigators as GI), lung (31%), and NET of unknown primary (11%). The GI subgroup was further categorized into midgut NET (38% of patients; included primary tumors originating in the duodenum, small intestine [ileum and jejunum], cecum, appendix, and other origins identified by investigators as GI, mostly from small intestine) and non-midgut NET (20% of patients; primary tumors originating from stomach, colon, and rectum). More than 60% of patients had well-differentiated (grade 1) disease, >70% had WHO performance status of very good (ie, zero [0]), and the majority (80%) had liver involvement.

A total of 163 patients received prior SSA for any duration (most common SSA received were long-acting octreotide in 126 patients and lanreotide autogel in 23 patients). The median duration of prior exposure to SSA in all patients was 15.0 months (range, <0.1–103.5) and was similar in both treatment arms (Table 2). A total of 105 patients (everolimus arm [n=69]; placebo arm [n=36]) had GI as the primary tumor

site in the prior SSA subgroup. The median duration of exposure to prior SSA in patients with GI NET was 16.7 months (range, 0–103.5) and was longer in the everolimus arm (21.2 months) vs placebo (14.1 months). Only 25 patients (21 from everolimus arm and 4 in the placebo) in the prior SSA group were treatment-naïve and did not receive any other antineoplastic treatments except SSA.

Of the 157 patients who received prior SSA continuously for at least 12 weeks, 96 had GI as primary tumor origin, which included 67 patients with midgut NET and 29 with non-midgut NET.

Eighty-five patients received everolimus as a first-line treatment, which include patients with no prior medical treatments (eg, SSA, chemotherapy, hormonal therapy, radiotherapy, targeted therapy) with or without prior surgery excluding other local surgeries. The number of patients who received everolimus as second-line treatment after prior SSA (only SSA with or without prior surgery excluding other local surgeries) was 78.

**Table 3** Progression-free survival by central review (full analysis set)

Median PFS, months (95% CI)	No. of patients	Everolimus	Placebo	Hazard ratio (95% CI)
All patients	302	11.0 (9.2–13.3)	3.9 (3.6–7.4)	0.48 (0.35–0.67)
Prior SSA therapy	163	11.1 (9.2–13.3)	4.5 (3.6–7.9)	0.56 (0.37–0.85)
No prior SSA therapy	139	9.5 (8.2–16.7)	3.7 (2.4–8.1)	0.57 (0.36–0.89)
Prior chemotherapy	77	9.2 (5.6–11.7)	2.1 (1.9–3.7)	0.35 (0.19–0.64)
No prior chemotherapy	225	11.2 (9.2–16.6)	5.4 (3.7–9.0)	0.60 (0.42–0.86)
Prior radiotherapy (including PRRT) <sup>a</sup>	63	9.2 (5.6–20.9)	3.0 (1.9–7.9)	0.47 (0.24–0.94)
No prior radiotherapy	239	11.0 (9.2–13.9)	5.1 (3.6–8.1)	0.59 (0.42–0.83)
No prior therapy	36	13.6 (7.2–NE)	5.6 (1.7–18.5)	0.48 (0.19–1.18)

**Note:** <sup>a</sup>Nineteen patients (15 in the everolimus arm and 4 in the placebo arm) had received prior PRRT.

**Abbreviations:** CI, confidence interval; NE, not estimated; PFS, progression-free survival; PRRT, peptide receptor radionuclide therapy; SSA, somatostatin analogs.

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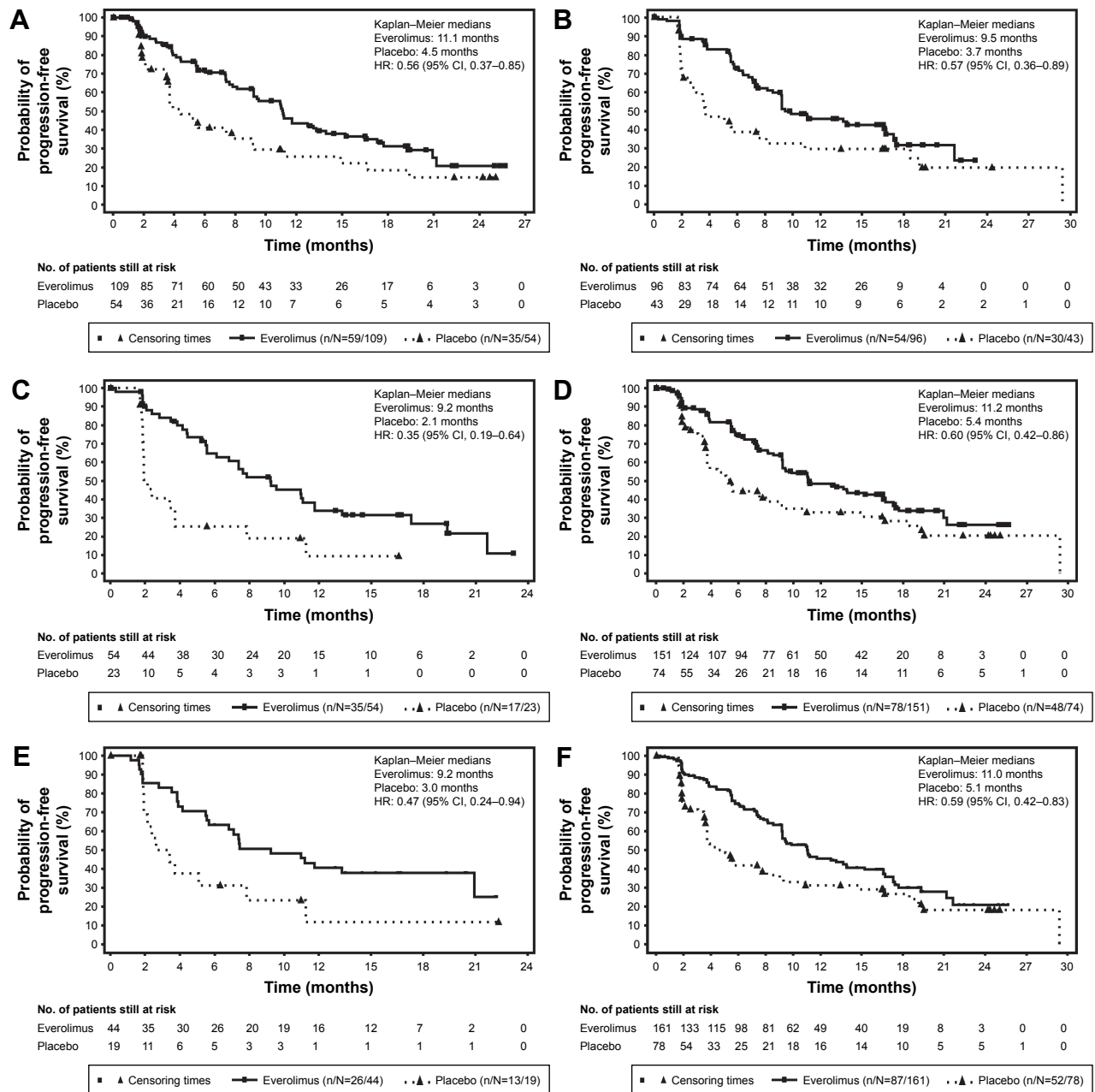
### Efficacy

As summarized in Table 3 and shown in Figure 1, median PFS in everolimus arm was superior to placebo arm in all subgroups.

Among patients who received prior SSA for any duration, median PFS assessed by central review was 11.1 months (95% CI, 9.2–13.3) in the everolimus arm vs 4.5 months (3.6–7.9) in the placebo arm. Everolimus was associated with

a 44% reduction in the estimated risk of progression (HR, 0.56; 95% CI, 0.37–0.85) in patients who received prior SSA. Among those patients who did not receive prior SSA, the median PFS in the everolimus arm was 9.5 months (95% CI, 8.2–16.7) vs 3.7 months (2.4–8.1) in the placebo, with a 43% reduction in the estimated risk for progression (HR, 0.57; 95% CI, 0.36–0.89).

Additionally, in the prior SSA subgroup, among patients who continuously received prior SSA for at least 12 weeks,



**Figure 1** Progression-free survival by central review (full analysis set).

**Notes:** Kaplan-Meier curves are shown for progression-free survival as assessed by central radiology review for both treatment arms (everolimus and placebo) in the patients who received (A) prior SSA, (B) no prior SSA, (C) prior chemotherapy, (D) no prior chemotherapy, (E) prior radiotherapy, and (F) no prior radiotherapy. The HRs in subgroups are obtained from unstratified Cox proportional hazards model.

**Abbreviations:** CI, confidence interval; HR, hazard ratio; SSA, somatostatin analogs.

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median PFS (everolimus vs placebo; central review) was 11.2 months (95% CI, 9.2–17.3) vs 4.5 months (3.6–7.9) in the GI, 16.6 months (9.2–21.2) vs 7.4 months (3.7–16.7), in the midgut and 5.6 months (3.8–12.7) vs 1.9 months (1.6–4.5) in the non-midgut NET subgroups, respectively.

For patients who were previously treated with chemotherapy, the median PFS was 9.2 (95% CI, 5.6–11.7) vs 2.1 months (1.9–3.7) with everolimus and placebo, respectively, with a 65% reduction in the estimated risk for progression (HR, 0.35; 95% CI, 0.19–0.64) in the prior chemotherapy subgroup. In the chemo-naïve patients, the median PFS for everolimus was 11.2 months (95% CI, 9.2–16.6) vs 5.4 months (3.7–9.0) for placebo, with a 40% reduction in the estimated risk for progression (HR, 0.60; 95% CI, 0.42–0.86).

In the prior radiotherapy subgroup, centrally assessed median PFS was 9.2 months (95% CI, 5.6–20.9) for everolimus vs 3.0 months (1.9–7.9) for placebo, corresponding to a 53% reduction in the estimated risk for progression (HR, 0.47; 95% CI, 0.24–0.94). Among patients who did not receive prior radiotherapy, the median PFS also remained longer with everolimus vs placebo (11.0 months [95% CI, 9.2–13.9] vs 5.1 months [3.6–8.1], respectively) resulting in a 41% reduction in the estimated risk for progression (HR, 0.59; 95% CI, 0.42–0.83).

As reported in Table 4, everolimus as a first-line treatment was superior to placebo in treatment-naïve patients who did not receive any prior medical treatments except surgery. Everolimus had substantially reduced the risk of disease progression or death (HR =0.38 [95% CI, 0.21–0.71]) as a second-line treatment option in patients who received only prior SSA and no other antineoplastic therapies.

The response waterfall plot for patients who did and did not receive prior therapy is shown in Figure 2. Everolimus was associated with a higher disease control rate compared with placebo (ranged from 75% to 87% in everolimus arm vs 44% to 73% in placebo arm; Table 5).

### Safety

As shown in Table 6, the incidence of AEs was not substantially influenced by the type of prior therapy, except for asthenia and dyspnea, which were substantially higher in patients with prior chemotherapy and prior radiotherapy, respectively. The safety profile of everolimus generally remained comparable in patients previously treated with PRRT (n=15) vs no prior PRRT, with the exception of a higher incidence of grade 3 to 4 neutropenia (13% vs 1%), noninfectious pneumonitis (7% vs 1%), edema (7% vs 2%), and thrombocytopenia (7% vs 1%) observed in the everolimus

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**Table 4** Progression-free survival and best overall response (central review) of everolimus in different lines of treatment

Subgroups	Median PFS, months (95% CI) (No. of patients)				ORR <sup>d</sup> , n (%) (95% CI) (No. of patients)			
	GI (N=175)		All patients (N=302)		GI (N=175)		All patients (N=302)	
	Everolimus (n=118)	Placebo (n=57)	Everolimus (n=205)	Placebo (n=97)	Everolimus (n=118)	Placebo (n=57)	Everolimus (n=205)	Placebo (n=97)
Patient with no prior treatment <sup>a</sup> ± surgery <sup>b</sup>	16.6 (8.5–17.3) (n=29) HR =0.90 (95% CI, 0.38–2.11)	10.9 (3.1–29.4) (n=17)	11.1 (9.2–17.3) (n=56) HR =0.74 (95% CI, 0.41–1.35)	7.5 (3.5–19.1) (n=29)	1 (3.4%) (0.1–17.8) (n=29)	0 (0) (0.0–19.5) (n=17)	2 (3.6%) (0.4–12.3) (n=56)	0 (0) (0.0–11.9) (n=29)
Patient with prior SSA <sup>c</sup> ± surgery <sup>b</sup>	13.1 (8.1–21.2) (n=37) HR =0.41 (95% CI, 0.21–0.81)	3.9 (3.5–9.3) (n=24)	13.1 (7.4–21.2) (n=50) HR =0.38 (95% CI, 0.21–0.71)	3.7 (3.5–5.6) (n=28)	0 (0) (0.0–9.5) (n=37)	0 (0) (0.0–14.2) (n=24)	0 (0) (0.0–7.1) (n=50)	0 (0) (0.0–12.3) (n=28)

**Notes:** <sup>a</sup>Includes patients with no prior medical treatments (SSA, chemotherapy, hormonal therapy, radiotherapy, and targeted therapy). <sup>b</sup>Excludes biopsy, radiofrequency ablation, transarterial embolization, selective internal radiotherapy, percutaneous ethanol injection, and cryoablation. <sup>c</sup>Includes patients with only prior SSA and no other medical treatments (chemotherapy, hormonal therapy, radiotherapy, and targeted therapy). <sup>d</sup>ORR defined as the proportion of patients who achieved a complete response or a partial response.

**Abbreviations:** CI, confidence interval; GI, gastrointestinal; HR, hazard ratio; ORR, objective response rate; PFS, progression-free survival; SSA, somatostatin analogs.



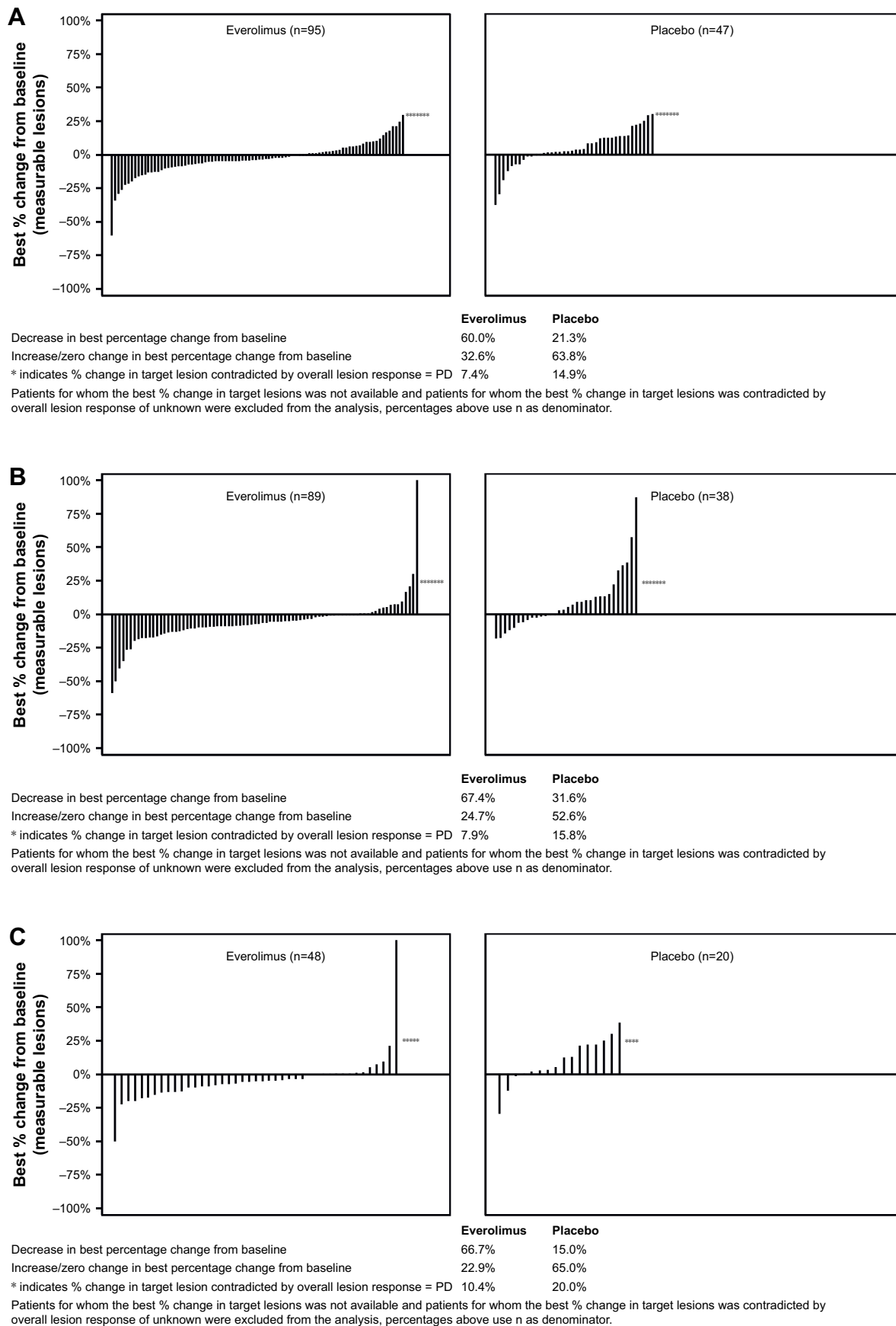
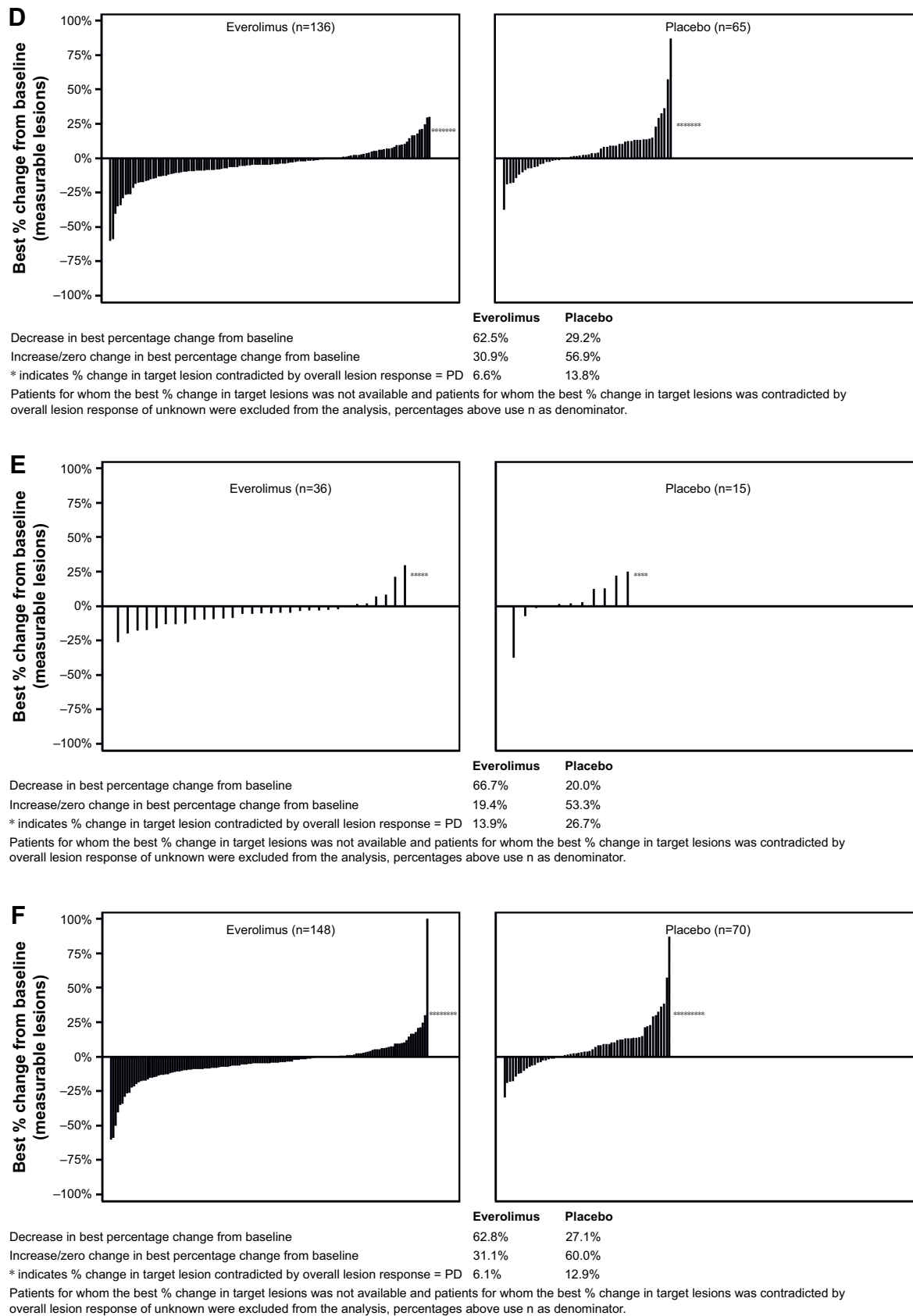


Figure 2 (Continued)



**Figure 2** Percentage change from baseline in size of target lesion, central review (full analysis set).

**Notes:** The plot shows the best percentage change from baseline in the size of the target lesion (ie, the best response in each patient) in the everolimus arm (left) and placebo arm (right) in the patients who received (A) prior SSA, (B) no prior SSA, (C) prior chemotherapy, (D) no prior chemotherapy, (E) prior radiotherapy, and (F) no prior radiotherapy.

**Abbreviations:** PD, progressive disease; SSA, somatostatin analogs.

Table 5 Best overall response by central review

	All patients (N=302)		Prior SSA (N=163)		No prior SSA (N=139)		Prior chemo (N=77)		No prior chemo (N=225)		Prior radiotherapy (including PRRT <sup>a</sup> ) (N=63)		No prior radiotherapy (N=239)		No prior therapy (N=36)	
	Everolimus (n=97)	Placebo (n=54)	Everolimus (n=96)	Placebo (n=43)	Everolimus (n=54)	Placebo (n=23)	Everolimus (n=151)	Placebo (n=74)	Everolimus (n=44)	Placebo (n=19)	Everolimus (n=161)	Placebo (n=78)	Everolimus (n=25)	Placebo (n=11)		
<b>Best overall response, n (%)</b>																
CR	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
PR	4 (2)	1 (1)	1 (1)	3 (3)	1 (2)	0	1 (2)	1 (1)	0	1 (5)	4 (3)	0	0	0	0	0
SD	165 (81)	62 (64)	85 (78)	35 (65)	45 (78)	10 (44)	123 (82)	52 (70)	33 (75)	9 (47)	132 (82)	53 (68)	21 (84)	8 (73)	8 (73)	8 (73)
PD	19 (9)	26 (27)	9 (8)	13 (24)	5 (9)	11 (48)	14 (9)	15 (20)	6 (14)	6 (32)	13 (8)	20 (26)	3 (12)	2 (18)	2 (18)	2 (18)
Unknown	17 (8)	8 (8)	14 (13)	14 (13)	6 (11)	2 (9)	11 (7)	6 (8)	5 (11)	3 (16)	12 (8)	5 (6)	1 (4)	1 (9)	1 (9)	1 (9)
<b>Response analysis, n (%)</b>																
DCR (CR + PR + SD)	169 (82)	63 (65)	86 (79)	36 (67)	43 (80)	10 (44)	126 (83)	53 (72)	33 (75)	10 (53)	136 (85)	53 (68)	21 (84)	8 (73)	8 (73)	8 (73)

Note: Nineteen patients (15 in the everolimus arm and 4 in the placebo arm) had received prior PRRT.

Abbreviations: CI, confidence interval; CR, complete response; DCR, disease control rate; n, number of patients; ORR, objective response rate; PD, progressive disease; PR, partial response; PRRT, peptide receptor radionuclide therapy; SD, stable disease; SSA, somatostatin analogs.

arm (Table 7). Most reported AEs were grade 1 or 2. The most common drug-related AEs occurring with a frequency  $\geq 10\%$  are listed in Table 6 and included stomatitis, diarrhea, fatigue, infections, rash, and peripheral edema.

## Discussion

Primary results of the RADIANT-4 study reported a statistically significant prolongation of median PFS by 7.1 months with everolimus compared with placebo (HR, 0.48;  $P < 0.00001$ ) and a 52% reduction of risk in PFS in patients with advanced, well-differentiated, progressive, nonfunctional NET of lung or GI origin.<sup>21</sup> In this post hoc exploratory analysis of RADIANT-4 study, we observed a consistent benefit in PFS among patients receiving everolimus compared with placebo irrespective of the use of prior therapies. Everolimus improved the median PFS by 5.8 months to a total of 8 months and contributed to a 40%–65% reduction in relative risk of disease progression or death compared with placebo in subgroups of patients receiving different prior therapies. Everolimus, used in second-line treatment, substantially prolonged the median PFS by 9.4 months in patients who received only prior SSA.

Recent scientific advancements and results from several pivotal clinical trials have transformed our understanding of NET and have changed the treatment paradigm. As the number of available treatment options is increasing for patients with advanced NET, it will become of critical importance to select the treatment based on multiple factors. In addition, since most patients will experience disease progression at some point, an important consideration will be the optimal treatment sequence for these patients, which is currently unknown.

Current evidence-based treatment options for NET include SSA, the mTOR inhibitor everolimus, the multiple tyrosine kinase inhibitor (TKI) sunitinib and PRRT with <sup>177</sup>Lu-Dotatate. SSA have been an established treatment option since 1980 for effective management of carcinoid syndrome in functional NET. More recently, in 2 placebo-controlled Phase III trials, SSA have also demonstrated antiproliferative activity in patients with low-grade (grade 1) midgut NET<sup>10</sup> and in patients with low- to intermediate-grade (Ki-67 < 10%) enteropancreatic NET.<sup>9</sup> Although SSA were not investigated in gastric or lung NET and their effect remains unclear, SSA use in the absence of any approved drugs could be justified if the tumor is of low grade and expresses the somatostatin receptors (SSTR).<sup>24</sup> In addition, SSA have also demonstrated a long-term favorable safety profile, and hence, may qualify as a first-line therapy in different types of NET.<sup>9,10,25</sup>

**Table 6** Drug-related adverse events reported by ≥10% of the patients

Preferred term	All patients				Prior SSA				No prior SSA			
	Everolimus (n=202)		Placebo (n=98)		Everolimus (n=107)		Placebo (n=55)		Everolimus (n=95)		Placebo (n=43)	
	All grades	Grade 3 or 4	All grades	Grade 3 or 4	All grades	Grade 3 or 4	All grades	Grade 3 or 4	All grades	Grade 3 or 4	All grades	Grade 3 or 4
Stomatitis <sup>b</sup>	63%	9%	19%	0	65%	11%	16%	0	61%	6%	23%	0
Diarrhea	31%	7%	16%	2%	34%	8%	24%	2%	28%	6%	7%	2%
Fatigue	31%	3%	24%	1%	27%	2%	22%	0	35%	5%	28%	2%
Infections <sup>c</sup>	29%	7%	4%	0	30%	8%	2%	0	28%	5%	7%	0
Rash	27%	1%	8%	0	22%	0	6%	0	34%	1%	12%	0
Edema, peripheral	26%	2%	4%	1%	28%	3%	4%	2%	23%	1%	5%	0
Nausea	17%	1%	10%	0	20%	1%	6%	0	15%	2%	16%	0
Anemia	16%	4%	2%	1%	19%	4%	4%	2%	14%	4%	0	0
Decreased appetite	16%	1%	6%	0	12%	0	2%	0	6%	0	5%	0
Asthenia	16%	1%	5%	0	16%	1%	6%	0	17%	2%	5%	0
Noninfectious pneumonitis <sup>d</sup>	16%	1%	1%	0	14%	2%	0	0	18%	1%	2%	0
Dysgeusia	15%	1%	4%	0	15%	0	7%	0	15%	1%	0	0
Cough	13%	0	3%	0	13%	0	4%	0	13%	0	2%	0
Pruritus	13%	1%	4%	0	12%	0	2%	0	14%	1%	7%	0
Pyrexia	11%	2%	5%	0	9%	3%	6%	0	13%	1%	4%	0
Dyspnea	10%	1%	4%	1%	10%	0	4%	2%	11%	2%	5%	0
Hyperglycemia	10%	3%	2%	0	8%	0	2%	0	14%	7%	2%	0
Vomiting	7%	2%	4%	1%	6%	2%	2%	2%	8%	1%	7%	0
Dermatitis acneiform	9%	0	3%	0	12%	0	2%	0	6%	0	5%	0
Epistaxis	8%	1%	0	0	6%	0	0	0	12%	2%	0	0
Weight decreased	8%	1%	4%	0	6%	0	2%	0	11%	2%	7%	0
Dry skin	7%	0	2%	0	5%	0	2%	0	11%	0	2%	0
Dry mouth	7%	0	3%	0	3%	0	2%	0	13%	0	5%	0

**Notes:** <sup>a</sup>Nineteen patients (15 in the everolimus arm and 4 in the placebo arm) had received prior PRRT. <sup>b</sup>Includes stomatitis, aphthous stomatitis, mouth ulceration, and tongue ulceration. <sup>c</sup>Includes all infections. <sup>d</sup>Includes pneumonitis, interstitial lung disease, lung infiltration, and pulmonary fibrosis.

**Abbreviations:** PRRT, peptide receptor radionuclide therapy; SSA, somatostatin analogs.

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Until the availability of novel targeted therapeutic agents in 2011, streptozotocin-based chemotherapy, either in combination with 5-fluorouracil or doxorubicin was an established treatment option for advanced pNET. Different retrospective studies have reported response rates ranging from 25% to 42% that support streptozotocin-based chemotherapy activity in the era of novel targeted drugs, particularly in grade 2 (G2) progressive pNET with higher tumor burden.<sup>11–13</sup> Temozolomide-based chemotherapy, either as monotherapy or in combination with capecitabine or bevacizumab is an alternative regimen in pNET based on the data from limited number of retrospective studies with response rates of 30%–70%.<sup>26</sup> There is very little evidence to support the use of chemotherapy in non-pNET.<sup>27</sup>

PRRT utilizing various radionuclides such as <sup>111</sup>Indium, <sup>90</sup>Yttrium, and <sup>177</sup>Lutetium, has been used for 15 years in many and mostly single-center uncontrolled trials in different types of NET; however, recently, in the first randomized controlled Phase III NETTER-1 trial, <sup>177</sup>Lu-Dotatate in combination with long-acting octreotide demonstrated a significant prolongation of PFS compared with high-dose

octreotide (60 mg/month) in patients with advanced midgut NET.<sup>14</sup> The expression of SSTR is an essential criterion for the administration of PRRT, and hence, its use remains limited to a selected subgroup of patients. In addition, there is an increased risk for long-term renal and bone marrow toxicity, as well as a low risk for development of therapy-related myeloid neoplasms.<sup>28,29</sup>

Currently, approved targeted drugs in NET are sunitinib and everolimus. Everolimus has been more extensively studied in NET and has demonstrated activity across a broad range of NET subtypes from lung to the rectum.<sup>20,21</sup> Sunitinib is an approved therapy in progressive pNET.<sup>30</sup> Despite some efficacy of novel TKIs (pazopanib, axitinib, cabozantinib) reported in Phase II trials, there is no definite evidence of efficacy of TKIs in NET of non-pancreatic origin.<sup>31</sup>

The safety and tolerability of everolimus in this present subgroup analysis are consistent with the overall RADIANT-4 study population. The most frequent AEs reported with everolimus were grade 1 to 2 in severity and included stomatitis, diarrhea, fatigue, infections, rash, and peripheral edema. No new safety signals were observed

Prior chemotherapy				No prior chemotherapy				Prior radiotherapy (including PRRT*)				No prior radiotherapy			
Everolimus (n=53)		Placebo (n=23)		Everolimus (n=149)		Placebo (n=75)		Everolimus (n=44)		Placebo (n=19)		Everolimus (n=158)		Placebo (n=79)	
All grades	Grade 3 or 4	All grades	Grade 3 or 4	All grades	Grade 3 or 4	All grades	Grade 3 or 4	All grades	Grade 3 or 4	All grades	Grade 3 or 4	All grades	Grade 3 or 4	All grades	Grade 3 or 4
64%	11%	13%	0	62%	8%	21%	0	59%	16%	5%	0	64%	7%	23%	0
24%	6%	0	0	34%	8%	21%	3%	27%	2%	11%	0	32%	9%	18%	3%
32%	2%	22%	0	30%	4%	25%	1%	30%	2%	26%	0	31%	4%	24%	1%
28%	9%	4%	0	29%	6%	4%	0	32%	9%	0	0	29%	6%	5%	0
24%	0	4%	0	28%	1%	9%	0	30%	0	0	0	27%	1%	10%	0
19%	0	0	0	28%	3%	5%	1%	30%	7%	0	0	25%	1%	5%	1%
21%	4%	4%	0	16%	1%	12%	0	23%	0	5%	0	16%	2%	11%	0
21%	7%	4%	4%	15%	3%	1%	0	21%	2%	0	0	15%	4%	3%	1%
17%	0	0	0	15%	1%	8%	0	23%	0	0	0	14%	1%	8%	0
28%	4%	0	0	12%	1%	7%	0	18%	0	0	0	16%	2%	6%	0
15%	2%	0	0	16%	1%	0	0	16%	5%	0	0	16%	1%	1%	0
15%	0	0	0	15%	1%	5%	0	9%	0	0	0	17%	1%	5%	0
15%	0	0	0	12%	0	4%	0	16%	0	5%	0	12%	0	3%	0
13%	2%	0	0	13%	0	5%	0	7%	0	5%	0	15%	1%	4%	0
15%	2%	0	0	15%	1%	5%	0	9%	0	0	0	11%	3%	6%	0
6%	0	0	0	12%	1%	5%	1%	23%	0	5%	0	7%	1%	4%	1%
15%	2%	4%	0	9%	2%	7%	0	7%	0	0	0	11%	4%	3%	0
11%	4%	9%	0	5%	1%	3%	1%	7%	0	5%	0	7%	2%	4%	1%
2%	0	0	0	12%	0	4%	0	7%	0	0	0	10%	0	4%	0
9%	2%	0	0	8%	0	0	0	9%	2%	0	0	8%	0	0	0
8%	2%	4%	0	8%	1%	4%	0	11%	0	5%	0	7%	1%	4%	0
4%	0	0	0	9%	0	3%	0	7%	0	0	0	8%	0	3%	0
8%	0	0	0	7%	0	4%	0	9%	0	0	0	7%	0	4%	0

that would preclude its use in patients who received specific prior therapies and most of the everolimus-related AEs were manageable through dose modification or interruption without altering the duration of treatment. As suggested by Berardi et al in a small, retrospective study of 116 patients, cumulative dose and dose intensity of everolimus are prognostic factors for efficacy, and hence, everolimus treatment should be continued in patients who are responding to everolimus despite delays or treatment interruptions.<sup>32</sup> A population-based, retrospective, multicenter study from Italy suggested that everolimus use after PRRT and/or cytotoxic chemotherapy may increase the overall toxicity of everolimus.<sup>33</sup> In this analysis, the greater incidence of grade 3 to 4 neutropenia, noninfectious pneumonitis, edema, and thrombocytopenia reported in the prior PRRT subgroup of this study may be related to prior use of PRRT. In general, the safety profile of everolimus in this analysis was comparable, regardless of specific prior therapies, including PRRT (although the numbers of patients who received prior PRRT were very small [n=15]) and had a similar safety profile to a smaller retrospective study from the Netherlands.<sup>34</sup>

It is important to note several limitations of the present analysis, including the small sample size for some of the subgroups, the imbalanced patient numbers between treatment groups, and the retrospective nature of the evaluation. However, consistent improvements in PFS with everolimus were observed across all subgroups similar to primary results of the RADIANT-4 study. As an exploratory analysis, it is not powered to support conclusions regarding treatment outcomes, and hence, the results should be considered with caution.

Currently, due to the lack of definite treatment predictors, treatment decisions are made by clinical judgment and pathological criteria. Newer evidence-based treatment strategies have changed the treatment landscape for NET, but the ideal treatment sequence that can be provided to patients remains unknown.

## Conclusion

Given the growing number of therapeutic options becoming available, it is important to select therapies based on treatment goals individualized to the patient. The present RADIANT-4 subanalysis demonstrates that everolimus improved outcomes for patients with advanced, progressive, nonfunctional lung

**Table 7** Drug-related adverse events reported by ≥10% of the patients with respect to prior PRRT

Preferred term	All patients				Prior PRRT				No prior PRRT			
	Everolimus (n=202)		Placebo (n=98)		Everolimus (n=15)		Placebo (n=4)		Everolimus (n=187)		Placebo (n=94)	
	All grades	Grade 3 or 4	All grades	Grade 3 or 4	All grades	Grade 3 or 4	All grades	Grade 3 or 4	All grades	Grade 3 or 4	All grades	Grade 3 or 4
Stomatitis <sup>a</sup>	63%	9%	19%	0	60%	13%	25%	0	63%	9%	19%	0
Diarrhea	31%	7%	16%	2%	27%	0	0	0	32%	8%	17%	2%
Fatigue	31%	3%	24%	1%	13%	0	25%	0	32%	4%	25%	1%
Infections <sup>b</sup>	29%	7%	4%	0	27%	7%	0	0	29%	7%	4%	0
Rash	27%	1%	8%	0	13%	0	0	0	28%	1%	9%	0
Edema, peripheral	26%	2%	4%	1%	33%	7%	0	0	25%	2%	4%	1%
Nausea	17%	1%	10%	0	20%	0	0	0	17%	2%	11%	0
Anemia	16%	4%	2%	1%	20%	0	0	0	16%	4%	2%	1%
Decreased appetite	16%	1%	6%	0	13%	0	0	0	16%	1%	6%	0
Asthenia	16%	1%	5%	0	33%	0	0	0	15%	2%	5%	0
Noninfectious pneumonitis <sup>c</sup>	16%	1%	1%	0	20%	7%	0	0	16%	1%	1%	0
Dysgeusia	15%	1%	4%	0	13%	0	0	0	15%	1%	4%	0
Cough	13%	0	3%	0	20%	0	0	0	12%	0	3%	0
Pruritus	13%	1%	4%	0	7%	0	0	0	13%	1%	4%	0
Pyrexia	11%	2%	5%	0	13%	0	0	0	11%	2%	5%	0
Dyspnea	10%	1%	4%	1%	20%	0	25%	0	10%	1%	3%	1%
Hyperglycemia	10%	3%	2%	0	NA	NA	NA	NA	11%	4%	2%	0
Headache	7%	0	6%	0	13%	0	0	0	6%	0	6%	0
Hypercholesterolemia	5%	0	1%	0	13%	0	0	0	5%	0	1%	0
Neutropenia	2%	2%	1%	0	13%	13%	0	0	1%	1%	1%	0
Thrombocytopenia	4%	1%	1%	0	13%	7%	0	0	3%	1%	1%	0

**Notes:** <sup>a</sup>Includes stomatitis, aphthous stomatitis, mouth ulceration, and tongue ulceration. <sup>b</sup>Includes all infections. <sup>c</sup>Includes pneumonitis, interstitial lung disease, lung infiltration, and pulmonary fibrosis.

**Abbreviation:** PRRT, peptide receptor radionuclide therapy.

or GI NET regardless of the use of prior therapies and suggests the potential for its use in treatment-naïve and previously treated patients. The safety profile of everolimus was not impacted by the use of prior therapies and was similar to that reported for the overall analysis population.

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### Author contributions

Study concept and design: James C Yao, Nicola Fazio, Simron Singh, Jonathan Strosberg, Fabian Herbst, and Marianne E Pavel. Provision of study material or patients: Roberto Buzzoni, Carlo Carnaghi, Jonathan Strosberg, Nicola Fazio, Simron Singh, Marianne E Pavel, Edward M Wolin, Juan W Valle, Do-Youn Oh, James C Yao, and Rodney Pommier. Statistical analysis: Antonia Ridolfi. All authors contributed toward data

interpretations, drafting and critically revising the paper, gave final approval of the version to be published, and agree to be accountable for all aspects of the work.

### Disclosure

The authors report the following types of declarations of interest: consultant/advisory relationship (C/A), employment (E), honoraria received (H), intellectual property rights/inventor/patent holder (IP), leadership position (L), ownership interest (OI), research funding (RF), speaker’s bureau (SB), and travel and accommodation expenses (TAE). Roberto Buzzoni: Italfarmaco, Novartis, Otsuka (RF); Ipsen, Italfarmaco, Novartis (TAE); Jonathan Strosberg: Novartis (H); Ipsen, Lexicon, Novartis (C/A); Novartis, Pfizer (RF); Bayer, Genentech (SB); Nicola Fazio: Ipsen, Novartis (H); Ipsen, Lexicon, Novartis, Italfarmaco (C/A); Novartis (RF); Ipsen, Novartis (TAE); Simron Singh: Novartis (H, C/A, TAE, RF); Fabian Herbst: Novartis (E, OI); Antonia Ridolfi: Novartis (E); Marianne E Pavel: Ipsen, Lexicon, Novartis, Pfizer (H); Ipsen, Lexicon, Novartis, Pfizer (C/A); Novartis (RF); Ipsen, Novartis (TAE); Edward M Wolin: Celgene, Ipsen, Novartis (C/A); Juan W Valle: Novartis (H, C/A, RF); James C Yao: Ipsen, Lexicon, Novartis (C/A); Novartis (RF); Rodney Pommier: Novartis, Pfizer, Ipsen,

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Lexicon (C/A); Novartis, Ipsen, Lexicon (TAE). The other authors report no conflicts of interest in this work.

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## Supplementary material

**Table S1** List of independent ethics committees (IECs) or institutional review boards (IRBs) by study center

Center no	Ethics committee or IRB	Department/organization	City, state/province, postal code, country
0151	Ethik-Kommission d. Landes Ethik-Kommission d. Landes Oberoesterreich		Linz, A-4020, Austria
0153	Ethik-Kommission d. Landes Oberosterreich		Linz, A-4020, Austria
0176	Commissie Medische Ethiek		Leuven, 3000, Belgium
0178	Comité d’Ethique		Brussels, 1200, Belgium
0179	Ethisch Comité		Gent, 9000, Belgium
0180	Comité voor Medische Ethiek		Edegem, 2650, Belgium
0201	Ontario Cancer Research Ethics Board		ON, M5G0A3, Canada
0202	Ontario Cancer Research Ethics Board		ON, M5G0A3, Canada
0203	Capital Health Research Ethics Board		Halifax, NS, B3H 1V7, Canada
0204	Alberta Cancer Research Committee		Edmonton, AB, T5J3H1, Canada
0205	Ontario Cancer Research Ethics Board		ON, M5G0A3, Canada
0206	Comite d’éthique de la Recherche		Montreal, QC, H1T2M4, Canada
0207	UBC BCCA Research Ethics Board		Vancouver, BC, V5Z1H5, Canada
0256	Ethics Committee of Cancer Hospital of CAMS		Beijing, 100021, China
0257	Ethics Committee of Beijing Cancer Hospital		Beijing, 100142, China
0258	Ethics Committee of 307 Hospital of PLA		Beijing, 100039, China
0260	Ethics Committee of Peking Union Medical College Hospital		Beijing, 100032, China
0261	Ethics Committee of China-Japan Friendship Hospital		Beijing, 100029, China
0302	Comite de Etica Investigacion(E)	Cundinamarca	Bogota, Colombia
0321	LEC Rostov Research Institute of Oncology		Rostov-na-Donu, 344037, Russia
0351	Etická komise FN a Lékařské fakulty UP v Olomouci		Olomouc, 77520, Czech Republic
0353	Etická komise Všeobecné fakultní nemocnice v Praze		Praha 2, 12808, Czech Republic
0354	Etická komise Masarykova Onkologického Ústavu		Brno, 65653, Czech Republic
0401	Landesamt für Gesundheit und Soziales Geschäftsstelle der Ethik-Kommission		Berlin, 10707, Germany
0402	Ethik-Kommission des Fachbereichs Goethe- Universität Universitätsklinikum		Frankfurt, 60590, Germany
0404	Otto-von-Guericke-Universität Magdeburg Ethik-Kommission an der Medizinischen Fakultät Universitätsklinikum		Magdeburg, 39120, Germany
0406	Universitätsklinikum Essen Medizinische Fakultät der Universität Duisburg-Essen Ethik-Kommission		Essen Nordrhein-Westfalen, 45147, Germany
0407	Ethik-Kommission der Medizinischen Hochschule Hannover		Hannover, 30625, Germany
0408	Landesärztekammer Rheinland-Pfalz Ethik-Kommission		Mainz, 55116, Germany
0409	Landesärztekammer Thüringen	Ethik-Kommission	Jena-Maua Thüringen, 07751, Germany
0451	National Ethics Committee		Athens, GR-15562, Greece
0501	Etikai Bizottság; Magyar Honvedseg Egeszseguyi Kozpont		Budapest, 1134, Hungary
0502	Regionalis, Intezmenyi Tudomanyos es Kutatasetikai Bizottsag; Semmelweis Egyetem		Budapest, 1091, Hungary
0551	Institute review board of Kyushu University Hospital		Fukuoka, 812-8582, Japan
0552	Institute review board of National Cancer Center Hospital		Chuo-ku, Tokyo 104-0045, Japan

(Continued)

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**Table S1** (Continued)

Center no	Ethics committee or IRB	Department/organization	City, state/province, postal code, country
0553	Institute review board of the Kansai Electric Power Hospital		Osaka, 553-0003, Japan
0601	Asan Medical Center Institutional Review Board		Seoul, 138-736, Republic of Korea
0602	Samsung Medical Center Institutional Review Board		Seoul, 135-710, Republic of Korea
0603	Seoul National University Hospital Institutional Review Board		Seoul, 110-744, Republic of Korea
0604	The Catholic University of Korea Seoul St Mary's Hospital Institutional Review Board		Seoul, 137-701, Republic of Korea
0605	Severance Hospital Institutional Review Board		Seoul, 120-752, Republic of Korea
0626	Eticka komisia NOU Bratislava		Bratislava, NA 833 01, Slovakia (Slovak Republic)
0651	Comité d'éthique Comité d'éthique of Hôtel Dieu de France		Beirut, 16-6830, Lebanon
0653	Institute review board of American University of Beirut		Beirut, Lebanon
0671	METC AVL ziekenhuis		Amsterdam, 1066 CX, the Netherlands
0721	Comitato Etico Dell'ircs Istituto Clinico Humanitas Di Rozzano		Rozzano, MI 20089, Italy
0722	Comitato Etico Della Provincia di Modena		Modena, MO 41124, Italy
0723	Comitato Etico Degli ircs Istituto Europeo di oncologiae		Milan, MI 20141, Italy
0724	Comitato Etico Independente Dell'Azienda Ospedaliereuniversitaria Policlinico S. Orsola		Bologna, BO 40138, Italy
0725	Comitato Etico Dell'Universita' Sapienza		Rome, RM 00161, Italy
0726	Comitato Etico Dell'Azienda Ospedaliere A. Cardelli Napoli		Naples, NA 80131, Italy
0727	Comitato Etico Della Fondazione Irccs Istituto Nazionale Dei Tumori Di Milano		Milan, MI 20133, Italy
0728	Comitato Etico Dell'Universita' Cattolica Del S. Cuore – Policlinico Gemelli, ROMA – LAZIO		Rome, RM 00168, Italy
0731	Comitato Etico Della Provincia di Brescia		Brescia, BS 25123, Italy
0734	Comitato Etico Dell'Azienda Sanitaria Provinciale Di Catania		Catania, CT 95124, Italy
0736	Comitato Etico Per La Sperimentazione Clinica Delle Province di Verona E Rovigo Presso Aouvi Verona		Verona, VR 37134, Italy
0737	Comitato Etico Area Vasta Centro, Azienda Ospedaliereuniversitaria Careggi Di Firenze		Firenze, FI 50134, Italy
0738	Comitato Etico Dell'ircs Istituto Per Lo Studio e la Cura Dei Tumori Fondazione Giovanni Pascale Di Napoli		Napoli, NA 80131, Italy
0751	Office of Research Affairs	Research Centre	Riyadh, 11211, Saudi Arabia
0761	Unidad de Soporte al CEIC	Fundació Hospital Universitari Vall d'Hebron – Institut de Recerca (VHIR)	Barcelona, 08035, Spain
0762	CEIC Comité de Ética de Investigación Clínica Hospital Universitari de Bellvitge	Hospital Universitari de Bellvitge Hospitalet de Llobregat	Barcelona, 08907, Spain
0764	Comité Ético De Investigación Clínica	Hospital Universitario Virgen Macarena	Seville, 41009, Spain
0781	Komisja Bioetyczna przy Uniwersytecie Medycznym im. Karola Marcinkowskiego w Poznaniu		Poznan, 61-701, Poland
0783	Komisja Bioetyczna przy Uniwersytecie Medycznym im. Karola Marcinkowskiego w Poznaniu		Poznan, 61-701, Poland

(Continued)

**Table S1** (Continued)

Center no	Ethics committee or IRB	Department/organization	City, state/province, postal code, country
0801	University of the Witwatersrand Human Research Ethics Committee	Medical	Houghton, 2041, South Africa
0826	NRES Committee North West-Liverpool East		Manchester, M1 3DZ, UK
0827	NRES Committee North West-Liverpool East		Manchester, M1 3DZ, UK
0828	NRES Committee North West-Liverpool East		Manchester, M1 3DZ, UK
0829	NRES Committee North West-Liverpool East		Manchester, M1 3DZ, UK
0830	NRES Committee North West-Liverpool East		Manchester, M1 3DZ, UK
0832	NRES Committee North West-Liverpool East		Manchester, M1 3DZ, UK
0851	Chang Gung Medical Foundation Institutional Review Board		Taoyuan, 333, Taiwan
0852	The Institutional Review Board of Taichung Veterans General Hospital		Taichung, 40705, Taiwan
0854	Chang Gung Medical Foundation Institutional Review Board		Taoyuan, 33378, Taiwan
0855	Institutional Review Board, Taipei Veterans General Hospital		Taipei, 11217, Taiwan
0856	Research Ethics Committee, National Taiwan University Hospital		Taipei, 10048, Taiwan
0876	Institutional Review Board, Faculty of Medicine, Chulalongkorn University	Faculty of Medicine, Chulalongkorn University	Bangkok, 10330, Thailand
0877	Research Ethics Committee 2	Faculty of Medicine, Chiang Mai University	Chiang Mai, 50200, Thailand
0901	UCSD Human Research Protections Program		La Jolla, CA, 92093-0052, USA
0902	University of Texas/MD Anderson Cancer Center Institutional Review Board		Houston, TX, 77030, USA
0903	Liberty IRB		DeLand, FL, 32720, USA
0904	UT Southwestern Institutional Review Board		Dallas, TX, 75390-8843, USA
0905	Biomedical Research Alliance of New York		Bronx, NY, 11042, USA
0907	Cedars Sinai Medical Center Office of Research Compliance		Los Angeles, CA, 90211, USA
0908	OHSU Institutional Review Board		Portland, OR, 97239, USA
0909	Western Institutional Review Board		Olympia, WA, 98502, USA
0910	IU Health Goshen Hospital Institutional Review Board		Goshen, IN, 46526, USA
0916	Institutional Review Board Dana Farber Cancer Institute		Boston, MA, 02215, USA
0921	Scripps Institutional Review Board		La Jolla, CA, 92037, USA
0923	The University of Chicago Institutional Review Board		Chicago, IL, 60637, USA
0925	Western Institutional Review Board, Inc. (WIRB)		Olympia, WA, 98502-5010, USA
0928	Vanderbilt University Institutional Review Board		Nashville, TN, 37232-4315, USA
0933	Memorial Sloan Kettering Cancer Center Institutional Review Board		New York, NY, 10065, USA
0951	US Oncology, Inc. Institutional Review Board		The Woodlands, TX, 77380, USA
0952	US Oncology, Inc. Institutional Review Board		The Woodlands, TX, 77380, USA
1051	Istanbul University Cerrahpasa Medical Faculty Clinical Researches Ethical Committee		Istanbul, 34098, Turkey
1052	Istanbul University Cerrahpasa Medical Faculty Clinical Researches Ethical Committee		Istanbul, 34098, Turkey

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