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Antimicrobial Resistance in *Escherichia coli*

LAURENT POIREL,^{1,2,3} JEAN-YVES MADEC,⁴ AGNESE LUPO,⁴
ANNE-KATHRIN SCHINK,⁵ NICOLAS KIEFFER,¹
PATRICE NORDMANN,^{1,2,3} and STEFAN SCHWARZ⁵

¹Emerging Antibiotic Resistance Unit, Medical and Molecular Microbiology, Department of Medicine, University of Fribourg, Fribourg, Switzerland; ²French INSERM European Unit, University of Fribourg (LEA-IAME), Fribourg, Switzerland; ³National Reference Center for Emerging Antibiotic Resistance (NARA), Fribourg, Switzerland; ⁴Université de Lyon – Agence Nationale de Sécurité Sanitaire (ANSES), Unité Antibiorésistance et Virulence Bactériennes, Lyon, France; ⁵Institute of Microbiology and Epizootics, Centre of Infection Medicine, Department of Veterinary Medicine, Freie Universität Berlin, Berlin, Germany

ABSTRACT Multidrug resistance in Escherichia coli has become a worrying issue that is increasingly observed in human but also in veterinary medicine worldwide. E. coli is intrinsically susceptible to almost all clinically relevant antimicrobial agents, but this bacterial species has a great capacity to accumulate resistance genes, mostly through horizontal gene transfer. The most problematic mechanisms in E. coli correspond to the acquisition of genes coding for extended-spectrum β-lactamases (conferring resistance to broad-spectrum cephalosporins), carbapenemases (conferring resistance to carbapenems), 16S rRNA methylases (conferring pan-resistance to aminoglycosides), plasmid-mediated quinolone resistance (PMQR) genes (conferring resistance to [fluoro]quinolones), and mcr genes (conferring resistance to polymyxins). Although the spread of carbapenemase genes has been mainly recognized in the human sector but poorly recognized in animals, colistin resistance in E. coli seems rather to be related to the use of colistin in veterinary medicine on a global scale. For the other resistance traits, their cross-transfer between the human and animal sectors still remains controversial even though genomic investigations indicate that extended-spectrum β -lactamase producers encountered in animals are distinct from those affecting humans. In addition, E. coli of animal origin often also show resistances to other-mostly older-antimicrobial agents, including tetracyclines, phenicols, sulfonamides, trimethoprim, and fosfomycin. Plasmids, especially multiresistance plasmids, but also other mobile genetic elements, such as transposons and gene cassettes in class 1 and class 2 integrons, seem to play a major role in the dissemination of resistance genes. Of note, coselection and persistence of resistances to critically important antimicrobial

agents in human medicine also occurs through the massive use of antimicrobial agents in veterinary medicine, such as tetracyclines or sulfonamides, as long as all those determinants are located on the same genetic elements.

INTRODUCTION

Escherichia coli is a bacterium with a special place in the microbiological world since it can cause severe infections in humans and animals but also represents a significant part of the autochthonous microbiota of the different hosts. Of major concern is a possible transmission of virulent and/or resistant E. coli between animals and humans through numerous pathways, such as direct contact, contact with animal excretions, or via the food chain. E. coli also represents a major reservoir of resistance genes that may be responsible for treatment

Correspondence: Laurent Poirel, laurent.poirel@unifr.ch

failures in both human and veterinary medicine. An increasing number of resistance genes has been identified in *E. coli* isolates during the last decades, and many of these resistance genes were acquired by horizontal gene transfer. In the enterobacterial gene pool, *E. coli* acts as a donor and as a recipient of resistance genes and thereby can acquire resistance genes from other bacteria but can also pass on its resistance genes to other bacteria. In general, antimicrobial resistance in *E. coli* is considered one of the major challenges in both humans and animals at a worldwide scale and needs to be considered as a real public health concern.

This chapter gives an update of antimicrobial resistance in *E. coli* of animal origin by focusing on resistance to those classes of antimicrobial agents mainly used in veterinary medicine and to which *E. coli* isolates of animal origin are known to exhibit resistance.

E. COLI IN ANIMALS: A PATHOGENIC AND A COMMENSAL BACTERIUM

"Colibacillosis" is a general term for a disease caused by the bacterium E. coli, which normally resides in the lower intestines of most warm-blooded mammals. Hence, E. coli is a versatile microorganism with a number of pathogenic isolates prone to cause intestinal and extra-intestinal infections, while most others are harmless for their host and refer to commensalism. The pathogenic E. coli isolates can be classified into different pathotypes, or pathovars, where each pathotype causes a different disease (1). The intestinal pathogenic E. colipathovars are responsible for disorders in the gut ranging from mild diarrhea to severe colitis, while the extra-intestinal pathogenic E. coli pathovars are mostly asymptomatic inhabitants of the intestinal tract that cause extra-intestinal diseases after migrating to other parts of the body, such as the urinary tract or the blood stream (2). Animal diseases due to E. coli can also be caused by E. coli isolates originating from the environmental reservoir or other infected individuals. Pathogenic and nonpathogenic E. coli differ by the acquisition or loss of virulence-associated traits associated with E. coli pathogenicity. The number of genes present in the E. coli genome varies from 4,000 to 5,000 genes, with approximately 3,000 genes shared by the different isolates, whereas the others mostly correspond to colonization or virulence determinants. Advanced insights in the genomic plasticity of E. coli have been possible by the use of whole-genome sequencing, providing a better understanding of the core and accessory genomes of pathogenic and commensal E. coli isolates (3).

In animals, E. coli is one of the leading causes of diarrhea, together with other pathogens such as rotavirus, coronavirus, Cryptosporidium parvum, or a combination of these ($\underline{4}$). These enterotoxigenic E. coli (ETEC) strains bind and colonize the intestinal epithelium through adhesins expressed in the context of fimbriae, such as the F4 (formerly designated K88), F5 (K99), F6 (987P), F17, and F18 fimbriae (<u>5</u>). ETEC also produces various enterotoxins, of which heat-labile and heat-stable toxins and/or enteroaggregative heat-stable toxin 1 (EAST1) lead to diarrhea. ETEC affects various animal species, mostly young animals, particularly foodproducing animals (piglets, newborn calves, chickens) but also companion animals such as dogs. In livestock, diarrhea is considered one of the major diseases, which can propagate among animals with possibly significant consequences at the herd/flock level. Diarrhea is observed in pigs and calves during the first 3 to 5 days of life and in pigs 3 to 10 days after weaning. The trend toward early weaning in several countries and continents may have played a significant role in the rising occurrence of postweaning diarrhea in the pig sector. As a consequence, lethal ETEC infections in animals can also occur as a result of severe dehydration and electrolyte imbalance.

E. coli infections in animals are not restricted to young individuals but occur in adults as well. As mentioned above, extra-intestinal pathogenic E. coli is responsible for infections of the lower and upper urinary tract, particularly in companion animals $(\underline{6}, \underline{7})$. In poultry, avianpathogenic E. coli causes colibacillosis initiated in the respiratory tract by inhalation of fecal dust before spreading further in the whole body, causing septicemia, pericarditis, and mortality (8). In dairy cattle, mastitis is a common inflammatory response of the mammary gland, significantly decreasing milk production and causing dramatic economic losses, with E. coli being one of the major causes—together with Staphylococcus aureus, Streptococcus uberis, Streptococcus agalactiae, and Streptococcus dysgalactiae (9, 10). In particular, E. coli is responsible for more than 80% of cases of acute mastitis where the severe clinical signs are induced by the lipopolysaccharide (LPS) as a primary virulence factor followed by the subsequent release of inflammatory mediators (11). Nonetheless, it is broadly considered that mastitis in dairy cattle due to E. coli is neither associated with specific E. coli serovars nor involves a common set of virulence factors shared among E. coli isolates.

E. coli infections in animals are subjected to various pharmaceutical treatments including antimicrobials. For instance, ampicillin, streptomycin, sulfonamides,

or oxytetracyclines are commonly used to treat bovine mastitis, but broad-spectrum cephalosporins and fluoroquinolones also have indications through systemic or local administration depending on the severity of the clinical symptoms (12) and the resistance properties of the causative E. coli isolates. Nonetheless, the role of antimicrobials in the treatment of coliform mastitis is becoming more and more open to debate. Recommendations provided for veterinarians refer to the preferable use of first-line antimicrobial agents and avoidance of antimicrobial therapy during the dry-off period of dairy cattle. Global data and trends on the antimicrobial resistance of E. coli in mastitis have been highlighted in several national reports and vary among countries even though relevant comparisons are difficult. To date, the global picture indicates that antimicrobial susceptibility of E. coli in mastitis remains high. In particular, extended-spectrum β-lactamases (ESBLs) or overexpressed cephalosporinases (AmpCs) produced by E. coli and conferring resistance to broad-spectrum cephalosporins have been sporadically isolated from milk samples (13–16). Those families of antimicrobial agents may also be prescribed in newborns affected by diarrhea. Again, action plans against antimicrobial resistance in the animal sector constantly advise veterinarians to use antimicrobials prudently and emphasize the need to consider all other preventive and therapeutic options and restrict the use of antimicrobial agents to those situations where it is indispensable (17). For instance, strategies to prevent and treat neonatal diarrhea should include not only the prescription of antimicrobials but also good colostrum management practices to ensure adequate passive immunity and appropriate oral or intravenous fluid therapy to compensate for dehydration, acidosis, and electrolyte imbalance (18). Global hygiene procedures at the farm level and vaccinations are also essential measures for improvement in antimicrobial stewardship. In contrast to mastitis, ESBL/AmpC genes have been abundantly reported in E. coli originating from the digestive tract in animals. This includes pathogenic E. coli recovered from diarrheic samples of young animals, yet it remains highly difficult to confirm that a specific E. coli isolate is responsible for the intestinal disease. More importantly, ESBL/AmpC genes have been widely recognized in commensal E. coli isolated from fecal samples of various food-producing and companion animals through selective screenings using cephalosporin-containing media (19–21). High prevalence rates of ESBL/AmpC-producing E. coli were found in certain settings and countries, such as in the veal calves sector in Europe and in broiler production worldwide. In those

cases, it more likely reflects the selective impact of the use of antimicrobials—and particularly of broad-spectrum cephalosporins such as ceftiofur—on the commensal E. coli microbiota. In broilers, such a situation has become a point of major concern on a global scale since broad-spectrum cephalosporins are both of critical importance in human medicine and not authorized for use in poultry. In addition to national actions taken, mostly in Europe, to restrict the use of critically important antimicrobial agents in animals, the use of antimicrobial agents as growth promoters has been banned in animals in Europe since 2006, but it is still common practice in most countries. Altogether, since antimicrobial agents have a major impact on the gut microbiota where E. coli resides, multidrug-resistant E. coli, such as ESBL/AmpCproducing E. coli, has become one of the main indicators to estimate the burden of antimicrobial resistance in animals and other sectors in a One Health perspective.

RESISTANCE TO β-LACTAMS

There are numerous genes in $E.\ coli$ of human and animal origin that confer resistance to β -lactams. Some of them, such as bla_{TEM-1} , are widespread in $E.\ coli$ from animals but code only for narrow-spectrum β -lactamases that can inactivate penicillins and aminopenicillins. However, in recent years, genes that code for ESBLs/AmpCs have emerged in $E.\ coli$ from humans and animals. Most recently, genes coding for carbapenemases have also been detected occasionally in $E.\ coli$ of animal origin. Because of the relevance of these latter two groups of β -lactamases, the following subsections provide more detailed information on ESBLs, AmpCs, and carbapenemases.

Clavulanic-Acid Inhibited Class A ESBLs

ESBLs belong mostly to class A of the Ambler classification (22) and group 2be according to the updated functional classification of β-lactamases by Bush and Jacoby (23). ESBL-producing strains of *E. coli* are clinically relevant in veterinary medicine since they confer resistance to penicillins, aminopenicillins, and cephalosporins, including the third-generation cephalosporins ceftiofur and cefovecin and the fourth-generation cephalosporin cefquinome, which are approved veterinary drugs. Thus, ESBLs may be the cause of treatment failures and limit the therapeutic options of veterinarians, because they have been identified in increasing numbers in *E. coli* of food-producing and companion animals worldwide (24, 25). ESBL-producing *E. coli* from animals has been isolated not only from infection sites, but

also from the feces of healthy individuals (26-29). Moreover, ESBL-producing *E. coli* has also been detected in wild animals, emphasizing the wide distribution of these resistance determinants $(\underline{30})$.

TEM- and SHV-ESBLs were among the first described ESBLs in the 1980s, and they were predominant until 2000. Since then, CTX-M-ESBLs emerged and have been predominantly identified in commensal and pathogenic ESBL-producing *E. coli* isolates of human and animal origin around the world (31, 32). The reason for this shift remains unknown, despite many investigations and surveillance studies. It is difficult to compare prevalence data of ESBL-producing *E. coli* isolates because several resistance-monitoring programs register the resistance rates for cephalosporins in *E. coli* isolates of animal origin but do not necessarily confirm whether

this resistance is based on ESBL production or another β-lactamase. Moreover, the molecular identification of ESBL genes in monitoring programs is not systematic. The nonharmonized methodology is also reflected in sampling plans and therefore in the origin of the *E. coli* isolates, e.g., healthy or diseased animals (33). Nevertheless, the European Food Safety Authority compiled a scientific opinion which states that the prevalence of resistance to cefotaxime in food-producing animals varies by country and animal species. In addition, the ESBL genes *bla*_{CTX-M-1}, *bla*_{CTX-M-14}, *bla*_{TEM-52}, and *bla*_{SHV-12} were identified as the most common ones along with a wide range of other *bla*_{CTX-M}, *bla*_{TEM}, and *bla*_{SHV} variant genes (34) (Table 1).

A large study conducted in Germany analyzed ESBL-producing *E. coli* isolates collected from diseased

TABLE 1 Examples of acquired ESBL genes in *E. coli* of animal origin from Europe, the U.S., Latin America, Africa, and Asia

ESBL gene	Geographical origin	Source	Sequence type(s)	Reference
bla _{CTX-M-1}	Denmark	Pig	10, 189, 206, 453, 542, 744, 910, 1406, 1684 2739, 4048, 4052, 4053, 4056,	<u>257</u>
	Sweden	Poultry	57, 135, 155, 219, 602, 752, 1594, 1640	<u>258</u>
	Great Britain	Poultry	4, 10, 57, 88, 155, 371, 1515, 1517, 1518, 1549, 1550	<u>259</u>
	Switzerland	Poultry, cattle, pig	48, 83, 305, 525, 528, 529, 533, 534, 536, 540	<u>260</u>
	The Netherlands	Veal calves	10, 58, 88, 117, 162, 224, 354, 448, 617, 648, 744, 973	<u>21</u>
	France	Dairy cattle	23, 58	<u>13</u>
	Germany	Dairy cattle	10, 117, 540, 1431, 5447	<u>14</u>
	Germany	Swine, cattle, poultry, horse	10, 23, 83, 100, 131, 167, 362, 453, 648, 925, 973, 1684, 2699	<u>43</u>
	Germany	Dog	10, 23, 69, 160, 224	<u>28</u>
	U.S.	Dog, cat	23, 38, 44, 68, 69, 131, 167, 405, 410, 443, 648, 1011, 1088, 5174, 5206, 5220	<u>261</u>
bla _{CTX-M-14}	The Netherlands	Veal calves	10, 57, 952	<u>21</u>
	France	Dairy cattle	10, 23, 45, 58	<u>13</u>
	China	Pig, poultry	10, 155, 206, 224, 359, 405, 602, 648, 2929, 2930, 2962	<u> 262</u>
	China	Dog	10, 38, 104, 131, 167, 405, 648, 146, 3630	<u>97</u>
bla _{CTX-M-15}	UK	Poultry	57, 156	<u>259</u>
	UK	Dog	131, 410, 1284, 2348, 4184	<u>99</u>
	The Netherlands	Veal calves	58, 59, 88, 361, 410, 648	<u>21</u>
	Germany	Livestock	10, 88, 90, 167, 410, 617, 648	<u> 263</u>
	Germany	Dairy cattle	10, 361, 1508	<u>14</u>
	Germany, Denmark, Spain, France, the Netherlands	Dog, horse	131	<u>264</u>
	Germany, Italy	Dog, cat, cattle, horse	648	<u>96</u>
	Germany	Dog	410, 3018	<u>28</u>
	U.S.	Dog, cat	23, 38, 44, 68, 69, 131, 167, 405, 410, 443, 617, 648, 1011, 1088, 5174, 5206, 5220	<u>261</u>
	Mexico	Dog	410, 617	<u>138</u>
	China	Dog	10, 38, 44, 69, 73, 75, 131, 302, 405, 648, 1700, 2375	<u>97</u>
	Nigeria	Poultry	10, 405	<u>221</u>
bla _{SHV-12}	Spain, Germany	Wild bird, dog, poultry	23, 57, 117, 155, 362, 371, 453, 616, 1564, 2001	<u>39</u>
-	China	Dog	10, 75, 131, 167, 405, 648, 2375, 3058	<u>97</u>

food-producing animals in the GERM-Vet monitoring program from 2008 to 2014 (35). This study detected the gene bla_{CTX-M-1} in 69.9% of the ESBL producers, followed by bla_{CTX-M-15} in 13.6%, bla_{CTX-M-14} in 11.7%, *bla*_{TEM-52} in 1.9%, and *bla*_{SHV-12} in 1.4%. The genes *bla*_{CTX-M-3} and *bla*_{CTX-M-2} were identified in 1.0% and 0.5%, respectively. The distribution of ESBL genes varies with regard to the different animal hosts and the isolation sites; for example, ESBL-producing E. coli were isolated more frequently from cases of enteritis in calves than from cases of bovine mastitis (35). Moreover, the geographical location also plays a role. For instance, the study by Day and co-workers identified the gene bla_{CTX-M-1} as the most common among bovine ESBLproducing E. coli from Germany, while the gene bla_{CTX-M-15} was most frequent in E. coli isolates of bovine origin from the United Kingdom (36). In ESBLproducing E. coli isolates from European companion animals, the gene bla_{CTX-M-1} was most common, but the gene $bla_{\text{CTX-M-15}}$ was also frequently identified (24, 37). In the United States, the gene bla_{CTX-M-15} was predominant among ESBL-producing E. coli from urinary tract infections of companion animals (38). The gene bla_{CTX-M-14} was less frequent in Europe, but in Asia among the most common ESBL genes in poultry, companion animals, and humans (24). The ESBL gene bla_{SHV-12} was not frequently reported but was identified in ESBL-producing E. coli from poultry, dogs, and wild birds in Spain and Germany (39).

Worldwide, the most common ESBL gene in E. coli isolates of human origin is *bla*_{CTX-M-15}, which is mainly associated with the pandemic E. coli clone O25:H4-ST131 (40). This clone has been rarely identified in animals and if so, mostly in companion animals (24, 25, 41, 42). The production of various ESBLs has been demonstrated in animal E. coli isolates of a wide variety of multilocus sequence types (24, 35, 36, 43) (Table 1). According to Ewers and colleagues, an exclusive linkage of a specific bla gene or a distinct host with a certain sequence type (ST) is not evident (24). Nevertheless, ESBL-producing E. coli belonging to certain STs have been more frequently detected among animals and humans than others, namely ST10, ST23, ST38, ST88, ST131, ST167, ST410, and ST648, which are supposed to facilitate the spread of ESBL genes (25, 36, <u>43, 44</u>).

The dissemination of ESBL genes among *E. coli* from animals is mainly driven by horizontal gene transfer. ESBL genes are associated with several insertion sequences (ISs), such as IS*Ecp1*, IS*CR1*, IS26, and IS10, transposons such as Tn2, and integrons (43, 45, 46). The

majority of ESBL genes are plasmid-located, whereas the integration of ESBL genes in the chromosomal DNA of E. coli of animal origin has been rarely described (47– 49). The most prevalent replicon types identified among ESBL-carrying plasmids from E. coli are IncF, IncI1, IncN, IncHI1, and IncHI2, but plasmids of other replicon types also play a role in the dissemination of ESBL genes (47). The study by Day and co-workers identified 16 ESBL genes on 341 transferable plasmids, belonging to 19 replicon types (36). Despite this complexity, some plasmids that carry ESBL genes seem to be more successful than others. Plasmids carrying bla_{CTX-M-15} and belonging to the IncF family had been detected in the pandemic E. coli clone O25:H4-ST131 (47). The ESBL gene bla_{CTX-M-1} was frequently identified on plasmids belonging to the IncN or IncI1 families, while bla_{CTX-M-14} was detected on IncK plasmids, and bla_{CTX-M-3} on IncL/M plasmids (47). IncI1, IncK, and IncX plasmids carried the ESBL gene bla_{SHV-12} (39). A plasmid multilocus sequence typing scheme assigns members of the most common plasmid families to pSTs to trace epidemic plasmids (47). Some plasmids harbor additional resistance genes besides the ESBL gene, which may facilitate the coselection and persistence of ESBL gene-carrying plasmids even without the selective pressure of β-lactams, when the respective antimicrobial agents are used (14, 43).

Many studies have tried to figure out whether ESBLproducing E. coli identified in humans might originate from animal reservoirs. Most of those studies could not find an obvious link, and most often, it was clearly shown that there was no link at all, animals and humans representing reservoirs of different clonal lineages that possessed various ESBL determinants (50, 51). Nevertheless, a Dutch study showed that a significant number of either human- or poultry-associated ESBL-producing E. coli isolates harbored genetically indistinguishable ESBL-encoding plasmids, suggesting that plasmids might be common vehicles that are likely transmitted through the food chain (52). Indeed, numerous studies have pointed out that chickens may represent a significant reservoir of ESBLs, which has become a considerable concern worldwide, although broad-spectrum cephalosporins are not approved for use in the poultry sector. ESBL-producing E. coli has been reported as a cause of infections in broilers and laying hens but also as a colonizer of living chickens and a contaminant of chicken meat at retail in several European and non-European countries, including countries in which the use of antimicrobial agents has been reduced following national action plans in veterinary medicine (<u>53</u>).

Acquired AmpC Cephalosporinases

Although class A ESBL enzymes are the most common sources of acquired resistance to broad-spectrum cephalosporins in E. coli, class C β-lactamases, also known as AmpC-type enzymes, confer high-level resistance to those antimicrobial agents (54). The main plasmid-encoded AmpC enzymes are CMY-, DHA-, and ACC-type β-lactamases, with a higher prevalence of CMY-type enzymes worldwide (55). In animals, the majority of identified AmpC enzymes have been of the CMY type (<u>Table 2</u>) (<u>25</u>, <u>56</u>). A recent study performed in Denmark identified CMY-2-producing E. coli isolates from poultry meat, poultry, and dogs (57). The study showed that the dissemination of bla_{CMY-2} was mainly due to the spread of IncI1-γ and IncK plasmids. In Sweden, though there are, in general, low rates of resistance to broad-spectrum cephalosporins, the occurrence of CMY-2-producing E. coli was demonstrated when Swedish chicken meat, Swedish poultry, and imported chicken meat were examined (58). The occurrence of CMY-2-producing E. coli in the Swedish broiler sector has been attributed to importation of 1-day old chicks from the United Kingdom, where broad-spectrum cephalosporins had been administered prophylactically to the young birds before exportation (59). It has also been shown that migratory birds may be colonized with CMY-2-positive E. coli (60). In a study conducted in Florida, a series of clonally unrelated CMY-2-producing E. coli isolates were recovered from feces of seagulls (61). They belong mainly to phylogroup D, corresponding to human commensal isolates, but some STs had

previously been identified from human bacteremia. The $bla_{\rm CMY-2}$ gene was mainly found on IncI1 plasmids, as reported with human isolates. Therefore, there was a significant correlation between the genetic features of those isolates and those known for human isolates in the United States, showing that seagulls were likely colonized by human isolates. This is an example showing that migratory birds crossing long distances, such as along the eastern United States coastline, may be reservoirs and therefore sources of such multidrug-resistant isolates, as is also exemplified in South America and Europe (62, 63).

Acquired Carbapenemases

Carbapenemases have been rarely identified in animal *E. coli*. This is likely the consequence of a very weak selective pressure (if any) by carbapenems, since those antimicrobial agents are not (or only in rare cases for individual non-food-producing animals) prescribed in veterinary medicine. Nevertheless, there has been some concern in recent years since carbapenemase-producing bacteria, including *E. coli*, have been isolated from animals worldwide (64–66).

The first carbapenemase determinant identified in an animal *E. coli* isolate was VIM-1, which was recovered from a pig in Germany (67) (Table 3). Since then, other VIM-1-producing *E. coli* isolates have been identified in different pig farms in the same country (68, 69). This carbapenemase has so far never been found elsewhere in animal isolates. Other identified carbapenemases in *E. coli* are NDM-1 and NDM-5. NDM-1 has been iden-

TABLE 2 Examples of acquired bla_{CMY-2} genes in *E. coli* of animal origin from Europe, the North and South America, Asia, and Africa

Geographical origin	Source	Sequence type(s)	Reference
Germany	Pig	625	<u> 265</u>
Spain	Wild bird (yellow-legged gull)	10	<u>266</u>
Denmark, Germany, France	Poultry and poultry meat, dog	10, 23, 38, 48, 68, 69, 88, 93, 115, 117, 131, 206, 212, 219, 297, 350, 361, 372, 405, 410, 428, 448, 457, 546, 616, 746, 754, 919, 963, 1196, 1056, 1303, 1518, 1585, 1594, 1640, 1775, 2040, 2144, 2168, 2196, 2558, 3272, 3574, 4048, 4124, 4125, 4240, 4243	<u>57</u>
Portugal	Poultry	57, 117, 429, 2451	<u>267</u>
Switzerland	Poultry meat	38, 1564	<u>268</u>
Switzerland	Poultry	3, 9, 61, 527, 530, 535, 539	
Austria	Wild bird (rook)	224	<u>60</u>
U.S.	Poultry meat	131	<u>269</u>
Brazil	Poultry	453, 457, 1706	<u>270</u>
China	Pig, poultry	10, 48, 69, 101, 155, 156, 354, 359, 362, 457, 648, 1114, 1431, 2294, 2690, 3014, 3244, 3245, 3269, 3376, 3402, 3403, 3404	<u>271</u>
Japan	Cattle	1284, 2438	<u>272</u>
Japan	Dog	10, 354, 493, 648, 3557	<u>273</u>
Tunisia	Poultry	117, 155, 2197	<u>274</u>

TABLE 3 Examples of acquired carbapenemase genes in *E. coli* of animal origin from Europe, North and South America, Africa, Australia, and Asia

Carbapenemase gene	Geographical origin	Source	Sequence types	Reference
bla _{NDM-1}	China, U.S.	Dog, cat, pig	167, 1695, 1585, 1721, 359	<u>70</u> , <u>275</u> , <u>276</u>
bla _{NDM-5}	China, Algeria, India	Dog, pig, cow, duck	48, 54, 90, 156, 165, 167, 410, 648, 1114, 1178, 1234, 1437, 2439, 3331, 4429, 4463, 4656	<u>74, 75, 277–279</u>
bla _{VIM-1}	Germany	Seafood, pig	10, 88	<u>67, 68, 280, 281</u>
bla _{IMP-4}	Australia	Silver gull	48, 58, 167, 189, 216, 224, 345, 354, 541, 542, 744, 746, 1114, 1139, 1178, 1421, 2178, 4657, 4658,	<u>76</u>
bla _{OXA-48}	Germany, U.S., France, Lebanon, Algeria	Dog, cat, chicken	38, 372, 648, 1196, 1431	<u>77</u> – <u>79</u> , <u>261</u>
bla _{OXA-181}	Italy	Pig	359, 641	80
bla _{KPC-2}	Brazil	Dog	648	<u>287</u>

tified in the United States and in China, in isolates recovered from dogs, cats, and pigs (70, 71). NDM-5 has been detected in China, India, and Algeria, from cattle, poultry, dogs, cats, and fish (72-75). The gene encoding IMP-4 has been identified in E. coli isolates recovered from silver gulls in Australia (76). Interestingly, the OXA-48 carbapenemase, which is the most prevalent carbapenemase in human enterobacterial isolates in Europe, has been found in E. coli isolates recovered from dogs, cats, and chickens in Germany, France, Lebanon, Algeria, and the United States (37, 77-79). Finally, the OXA-181 enzyme, which is a variant of OXA-48 increasingly reported in humans, has recently been identified in animals as well, being found in clonally unrelated E. coli isolates recovered from pigs in Italy (80). Even though the class A β-lactamase KPC is one of the most commonly identified carbapenemases in human isolates in some parts of the world, including in North America, China, and some European countries (Italy, Greece, Poland), it has not yet been identified in animal E. coli isolates so far (81, 82), except for a single bla_{KPC-2}-carrying isolate from a dog in Brazil that suffered from a urinary tract infection (287).

Overall, and notably, the different carbapenemase genes that have been identified among animals in different countries reflect the types of carbapenemases known to be the most prevalent in human isolates in those countries. Considering that carbapenems are not used in veterinary medicine, it remains to be determined which antimicrobial selective pressure is responsible for the selection of such carbapenemase producers in animals. Penicillins, however, are excellent substrates for any kind of β -lactamases, including carbapenemases, and therefore their use might correspond to a selective pressure anyhow. In addition, it remains to be evaluated whether animals may act as potential sources of transmission of those resistance traits toward humans or if,

conversely, this epidemiology just reflects the consequence of a higher prevalence in humans that may eventually target animals through an environmental dissemination. Since the occurrence of carbapenemase-producing *Enterobacteriaceae* in animals is marginal, it therefore does not correspond to a significant threat to human medicine (65).

RESISTANCE TO QUINOLONES AND FLUOROQUINOLONES

Quinolones and fluoroquinolones are important antimicrobial agents for treating various types of infections in both humans and animals. They are known to be bactericidal against virtually all bacteria. Resistance to these antimicrobial agents is usually due to mutations in the drug targets, namely, the genes for DNA gyrase and topoisomerase IV, but other mechanisms such as reduced permeability of the outer membrane, protection of the target structures, or upregulated efflux pumps may also play a role (83).

Resistance to (Fluoro)Quinolones by Chromosomal Target Site Mutations

The primary target of (fluoro)quinolones in *E. coli* is the gyrase, which consists of two GyrA subunits and two GyrB subunits. Topoisomerase IV constitutes a secondary target in Gram-negative bacteria. This enzyme consists of two ParC and two ParE subunits. Most mutations were found within the quinolone resistance-determining region, which is between Ala67 and Gln107 in GyrA, and most frequently mutations occur at codons 83 and 87 (83). Single mutations in the gene *gyrA* may confer resistance to quinolones, but for resistance to fluoroquinolones, further mutations within *gyrA* and/or *parC* are needed. Most *parC* mutations occur at codons 80 and 84 (83). In clinical *E. coli* isolates from com-

panion animals, different combinations of mutations were detected at codons 83 and 87 in *gyrA* and at codons 80 and 84 in *parC* (84, 85). Mutations within *gyrA* and *parC* were also described in *E. coli* isolates originating from diseased food-producing animals (86, 87).

Resistance to (Fluoro)Quinolones by Plasmid-Borne Resistance Mechanisms

Since the identification of the first plasmid-mediated quinolone resistance (PMQR) determinant, qnrA1, in 1997, there is serious concern about the global dissemination of PMQR genes (88, 89). Several plasmidencoded resistance mechanisms have been identified, including (i) Qnr-like proteins (QnrA, QnrB, QnrC, QnrD, and QnrS) which protect DNA from quinolone binding, (ii) the AAC(6')-Ib-cr acetyltransferase that modifies certain fluoroquinolones such as ciprofloxacin and enrofloxacin, and (iii) active efflux pumps (QepA and OqxAB). Overall, these resistance determinants do not confer a high level of resistance to quinolones (or fluoroquinolones), but rather, confer reduced susceptibility to those antimicrobial agents. However, they might contribute to the selection of isolates exhibiting higher levels of resistance through additional chromosomally encoded mechanisms (89).

PMQRs have been identified widely among human isolates but also among animal isolates. Especially in China, numerous studies have shown high prevalences of Qnr, AAC(6')-Ib-cr, and QepA determinants among food-producing animals (86, 90), and some studies highlighted an increased prevalence through the years (91). A Europe-wide retrospective study identified the genes qnrS1 and qnrB19 in E. coli isolates from foodproducing animals, namely, poultry, cattle, and pigs (92). PMQRs were detected not only in food-producing animals, but also in companion animals. In E. coli isolates from diseased companion animals, the genes qnrS1, gnrB1, gnrB4, and gnrB10 were identified (84). The gene qnrB19 was described in equine E. coli isolates (93, 94). The replicon types often associated with plasmids that carried the PMQR genes qnrS1 and qnrB19 are IncN and IncX but also include several others (47, <u>94, 95</u>).

In *E. coli* belonging to several STs of companion animal origin, the gene aac(6')Ib-cr was identified (96–99). This gene was located on plasmids of the IncF family, and a $bla_{\text{CTX-M}}$ ESBL gene, usually $bla_{\text{CTX-M-15}}$, was often colocated (96, 98). Furthermore, aac(6')Ib-cr was described in *E. coli* isolates from the feces of French cattle, where it was also colocated with $bla_{\text{CTX-M-15}}$ on

plasmids belonging to the IncF family (100). The gene *qepA* was identified in *E. coli* of companion animal origin belonging to different STs (97). Plasmids of the IncF family harbored *qepA* in *E. coli* from food-producing and companion animals ($\underline{101}$). The PMQR gene oqxABwas identified in unrelated E. coli isolates from foodproducing animals and located on different plasmids belonging to the IncF and IncHI2 families (102). The case of OqxAB is peculiar since this resistance determinant confers reduced susceptibility not only to quinolones (such as flumequine), but also to other drugs such as trimethoprim and chloramphenicol that are also used in veterinary medicine. Therefore, this resistance determinant encompasses different families of antimicrobial agents to which resistance (or reduced susceptibility) can be coselected (103).

RESISTANCE TO AMINOGLYCOSIDES

Aminoglycosides are drugs of natural origins whose producers can be found in the genus *Streptomyces* (104, 105) and *Micromonospora*, and they are often used in combination with another antimicrobial (mostly a β -lactam) to exploit their rapid bactericidal action for treating complicated infections such as sepsis, pneumonia, meningitis, and urinary tract/abdominal infections, both in humans and animals, including food-producing animals and companion animals (106). The most frequently used molecules in veterinary medicine are neomycin and derivatives of streptomycin. Gentamicin, kanamycin, and paromomycin are used as well. Amikacin is reserved for the treatment of infections in pets and horses (106).

Aminoglycosides affect a broad spectrum of pathogens among Gram-negative and -positive bacterial species, interfering with translation (107). Two major issues could limit the therapeutic power of these important molecules: the first is linked to their toxicity. Nevertheless, this issue is managed by opportune therapeutic regimens based on recent advances in the understanding of aminoglycoside pharmacodynamics (108). The second issue is the emergence of bacterial resistance linked to the usage of aminoglycosides, which has disseminated globally. The following subsections provide an overview of mechanisms of resistance toward aminoglycosides and their epidemiology in *E. coli* of animal origin.

Resistance to Aminoglycosides by Target Modifications

Resistance to aminoglycosides can develop by target mutations involving the 16S RNA and/or the S5 and

S12 ribosomal proteins (107, 109, 110). However, this strategy is successful in conferring high-level resistance only in bacterial species with a limited number of copies of 16S RNA encoding operons. *E. coli* harbors seven copies of such operons, making the establishment of aminoglycoside resistance by point mutations rather improbable.

Modification of the target site of aminoglycosides can be achieved also by methylation of residues G1405 and A1408 of site A of the 16S RNA, resulting in highlevel resistance to amikacin, tobramycin, gentamicin, and netilmicin (109). The 16S RNA methylases, including ArmA, RmtA/B/C/D/E/F/G/H, and NmpA, originated from natural aminoglycoside producers as self-defense against antimicrobial production (104). The first detection of ArmA dates back to 2003, when Galimand and colleagues reported the enzyme in a Klebsiella pneumoniae isolate from a human and the respective gene on a conjugative plasmid (111). Since then, the armA gene has been reported in several enterobacteria, Acinetobacter baumannii, and Pseudomonas aeruginosa isolates (112–116). The dissemination of the armA gene is favored by its location on the composite transposon Tn1548, which also carries genes coding for sulfonamide resistance, which in turn is located on self-transmissible plasmids belonging to several incompatibility groups (117). Emergence of ArmA in E. coli from animals was reported in 2005 in Spain in one pig (118), whereas the first report of E. coli producing RmtB was in 2007 in China by Chen and co-workers who reported a prevalence of 32% (n = 49/152) among healthy pigs in farms (119). In an investigation conducted in China in 2008, Du et al. reported the presence of ArmA and RmtB in E. coli from diseased poultry, with an occurrence of 10% (n = 12/120) (120). Later, Liu et al. reported the presence of E. coli ArmA and RmtB producers among various food-producing animals in 2009 to 2010, with an occurrence of 1.27% and 11.5% for ArmA and RmtB, respectively (n = 2 and 18/157) (121). RmtB was found in E. coli isolates associated with bovine mastitis in China in 2013 to 2014, with an occurrence of 5.3% (n = 13/245) (122). Yang and colleagues reported the presence of E. coli producing RmtD in diseased chickens in 2012 to 2014 in China. The enzyme co-occurred with RmtB with a prevalence of 8.3% (n = 3/36). In the same study, other methylases were found, namely, RmtB together with ArmA in 8.3% of isolates (n = 3/36), RmtB alone in 72.2% of isolates (n = 26/36), and ArmA in 11.1% of isolates (n = 4/36) (123).

More recently, a scattered porcine *E. coli* isolate harboring the *armA* gene was detected in Italy. The

isolate was multidrug-resistant, notably harboring the $bla_{\text{CMY-2}}$, $bla_{\text{OXA-181}}$, and mcr-1 genes (80). Recently, two E. coli isolates producing RmtB were reported from diseased bovines in France. The gene colocalized on an IncF33:A1:B1 plasmid with bla_{CTX-M-55} and in one isolate also with the fos A3 gene (124). The RmtD variant has been found less frequently. Other than the report from Yang et al. (123), another recent report has been published from Brazil, on one E. coli isolate from a diseased horse producing RmtD and harboring the bla_{CTX}- M_{-15} and aac(6')-Ib-cr genes (125). The RmtE methylase was reported for the first time from commensal E. coli isolates from healthy calves in the United States (126). Later, two E. coli isolates were identified as RmtE producers in diseased food-producing animals in China, from 2002 to 2012 (127). Reports on RmtA are also quite infrequent, with a recent one from Zou et al., who found a frequency of 10% of rmtA gene occurrence among 89 E. coli isolates from giant pandas in China (128). To the best of our knowledge, RmtF/G/H enzymes have not yet emerged in E. coli, and NmpA has never been reported from animals. Overall, it can be stated that methylases have not widely disseminated since their discovery, probably for reasons related to fitness (129, 130). An exception is in China, where probably the antimicrobial usage, not only relative to aminoglycosides, may play a role in the emergence and dissemination of these enzymes. On the contrary, aminoglycoside-modifying enzymes have disseminated globally, and an overview of those most frequently encountered in animals is provided in the next subsection.

Resistance to Aminoglycosides by Enzymatic Inactivation

The inactivation of aminoglycosides is conducted by enzymes which modify the molecules so that they become unable to reach or bind to the target site. Currently, three types of aminoglycoside-modifying enzymes are known, and according to the modifying group that is linked to the aminoglycosides, they are classified as acetyltransferases, nucleotidyltransferases, and phosphotransferases.

The aminoglycoside acetyltransferases catalyze the addition of an acetyl group (CH₃CO) to an amine group (–NH₂) at positions 1, 2, 3, or 6 of the aminoglycoside structure, which determines the subgroup of the enzyme (131). For each enzyme, several variants have been reported, and they are usually defined by a roman number. AAC(3)-II/IV and AAC(6)-Ib are the most frequently encountered acetyltransferases among *E. coli* of human and animal origins. They have been globally reported from several hosts (128, 132–140).

Among aminoglycosides, the nucleotidyltransferases ANT(2") and ANT(3") are most commonly found in Gram-negative bacteria. ANT(2") and ANT(3") are encoded by the genes *aadB* and *aadA*, respectively (131), which are both frequently located on gene cassettes in class 1 integrons. These genes have also spread globally, and they have been found in *E. coli* from animals including pets, wild animals, and food-producing animals (134, 141–148).

Among the aminoglycoside phosphotransferases, APH(6)-Ia and APH(6)-Id encoded by the *strA* and *strB* genes, respectively, are most commonly encountered in *E. coli* worldwide. They mediate resistance to streptomycin and are frequently associated with a unique mobile element, sometimes together with the *aph(3")-I/II* genes mediating kanamycin resistance. These resistance mechanisms have been found in several hosts including wild rabbits (145), cattle (149–152), poultry (153, 154), and swine (155–157).

RESISTANCE TO FOSFOMYCIN

Fosfomycin inhibits the MurA enzyme, which is involved in peptidoglycan synthesis. The use of fosfomycin in veterinary medicine is limited to the treatment of infections caused by a number of Gram-positive and Gram-negative pathogens, including E. coli, mainly in piglets and broiler chickens (158, 159). Two major fosfomycin resistance mechanisms have been described: (i) mutations in the glpT and uhpA/T genes encoding proteins involved in the fosfomycin uptake system and (ii) the acquisition of fosfomycin-modifying enzymes such as the metalloenzymes FosA, FosB, and FosX or the kinases FomA and FomB (160). Most of the fos-like genes are plasmid-borne, and plasmids carrying the fos genes commonly carry additional resistance genes (124, 161, 162) that increase the risk of coselection of fosfomycin resistance under the selective pressure by other antimicrobial agents.

A considerable number of studies report acquired fosfomycin resistance among *E. coli* of animal origin. Isolates carrying the plasmid-mediated *fosA* gene have been reported from companion animals. The first cases were reported in China in 2012 and 2013 from dogs and cats (163). Another study described a high prevalence of FosA3-producing *E. coli* in pets and their owners, highlighting the transmission of fosfomycin-resistant *E. coli* isolates between humans and animals (164). Another Chinese study described the *fosA3* gene in *E. coli* from fresh pork and chicken meat (165). In that study, the *fosA3* gene was often found together with

ESBL genes (*bla*_{CTX-M-55}, *bla*_{CTX-M-15}, or *bla*_{CTX-M-123}) on plasmids of 78 to 138 kb in size. In a recent French study, the emergence of plasmids carrying multiple resistance determinants including fosA3, bla_{CTX-M-55}, rmtB, and mcr-1 was reported in various animal species (124). In that study, it was speculated that this plasmid could have an Asian origin since bla_{CTX-M-55} is the second most prevalent ESBL gene in that part of the world. In 2013, the complete sequence of the 76,878-bp plasmid pHN7A8 from a dog in China was determined. This plasmid represents a F33:A-:B--type epidemic plasmid that carried the resistance genes bla_{CTX-M-65}, fosA3, and rmtB (166). Plasmids with similar fosA3 regions were reported from E. coli isolates of pig $(\underline{167})$, duck $(\underline{168})$, and chicken origin $(\underline{169})$. The widespread occurrence of the fosA3 gene in China was demonstrated in a study that identified 12/892 E. coli isolates as fosA3-positive. These isolates originated from pigs, chickens, ducks, a goose, and a pigeon (170). Furthermore, the analysis of 1,693 E. coli isolates from various animal species identified 97 fosA3-positive isolates from beef cattle, pigs, broiler chickens, stray cats, stray dogs, and wild rodents in China (171). Recently, several epidemic fos A3carrying multiresistance plasmids of diverse incompatibility groups have been identified to be disseminated among E. coli from pigs, dairy cattle, and chickens in northeast China (162). Some of these plasmids have been sequenced completely, including the plasmids pECM13 from cattle (113,006 bp, IncI1, and coharboring bla_{CTX}-_{M-14}, rmtB, aadA2, and bla_{TEM-1}), pECB11 from chicken [92,545 bp, F33:A $\bar{}$:B $\bar{}$, and coharboring $bla_{CTX-M-55}$, floR, cfr, bla_{TEM-1} , tet(A), strA, and strB], and pECF12 from chicken [77,822 bp, F33:A-:B-, and coharboring $bla_{CTX-M-3}$, rmtB, tet(A), strA, and strB]. E. coli isolates from pigs harboring the fos A3 gene were also detected in Taiwan (172).

RESISTANCE TO TETRACYCLINES

Tetracyclines are widely used in veterinary medicine. A summary of sales data in the 25 European Union and European Economic Area countries revealed that tetracyclines accounted for 37% of the total sales of veterinary antimicrobial agents, followed by penicillins (23%) (173). As a consequence of the selective pressure imposed by the widespread use of tetracyclines, many bacteria—including *E. coli*—have developed tetracycline resistance gene nomenclature center (https://faculty.washington.edu/marilynr/), nine tetracycline efflux genes [tet(A), tet(B), tet(C), tet(D), tet(E), tet(G), tet(J), tet(L), and

tet(Y)], two tetracycline resistance genes encoding ribosome protective proteins [tet(M)] and tet(W)], and one gene coding for an oxidoreductase that inactivates tetracyclines [tet(X)] have been identified in E. coli. The major mechanisms of tetracycline resistance encountered in E. coli of animal origin include (i) the active efflux by proteins of the major facilitator superfamily and (ii) ribosome protection. A PubMed search for tetracycline resistance genes in E. coli of animal origin revealed that not all of these 12 tet genes occur in E. coli from animal sources. The following examples provide an overview of the distribution of tet genes among E. coli from various animal sources.

Among 155 E. coli isolates from fecal samples of cattle in Korea, the genes tet(A), tet(B), and tet(C) were detected in 72, 70, and nine isolates, respectively. Two isolates each carried tet(A) + tet(B) or tet(B) + tet(C)(174). In 99 E. coli isolates from bovine mastitis in the United States collected from 1985 to 1987 and in 2009, the genes tet(A), tet(B), and tet(C) were detected, with tet(C) being present in more than half of the investigated isolates in each of the two time periods (175). Of 129 E. coli isolates from cases of bovine mastitis in the United States, 68 carried the gene tet(C), while another 14 isolates harbored tet(C) + tet(A) (176). A study in Switzerland identified the genes tet(A), tet(B), and tet(A)+ tet(B) in 24, 16, and two E. coli isolates from bovine mastitis ($\frac{177}{}$). In the same study, the genes tet(A), tet(B), tet(C), and tet(A) + tet(B) were detected in 60, five, one, and two E. coli isolates, respectively, from diarrhea and enterotoxemia in pigs (177). In 99 tetracycline-resistant E. coli isolates from pigs in Spain, the genes tet(A) (n = 46), tet(B) (n = 12), and tet(A) + tet(B) (n = 28) but also tet(A) + tet(M) (n = 11) and tet(A) + tet(B) + tet(M) (n = 11)2) were detected (178). The tet(M) gene was shown by Southern blot hybridization to be located on plasmids. In a study in Germany, either the genes tet(A) (n = 71), tet(B) (n = 46), and tet(C) (n = 3) alone or the combinations of the genes tet(A) + tet(B) (n = 2), tet(A) +tet(C) (n = 2), tet(A) + tet(D) (n = 3), tet(A) + tet(M) (n = 1)1), tet(B) + tet(M) (n = 2), tet(B) + tet(C) (n = 2), and tet(B) + tet(B)(B) + tet(D) + tet(M) (n = 1) were detected in E. coli from pigs (179). Among 283 tetracycline-resistant extra-intestinal pathogenic E. coli isolates from pigs in China, the genes tet(A) (n = 68), tet(B) (n = 141), tet(C) (n = 3), tet(D) (n = 1), and tet(G) (n = 108) were identified (156). A wide variety of tet genes was also seen among 73 tetracycline-resistant E. coli isolates from broilers in Iran, including the gene tet(E) alone (n = 1) or in the combinations tet(E) + tet(C) (n = 4), tet(E) + tet(D) + tet(M) (n = 2), tet(E) + tet(D) + tet(A) + tet(G) (n = 3), and

tet(E) + tet(M) + tet(A) + tet(B) + tet(C) (n = 1) (180). In 33 E. coli isolates from cases of septicemia among laying hens in Switzerland, the genes tet(A) and tet(B) were found in 21 and 10 isolates, respectively, while two isolates carried neither tet(A), tet(B), nor tet(C) (177). In the same study, the genes tet(A) and tet(B) were detected in eight and nine E. coli isolates from urinary tract infections in dogs and cats, respectively. The same two tet genes were also found in E. coli isolates from healthy dogs and cats in Spain (181). A large-scale study of tet genes in 325 nonclinical E. coli isolates from various animal sources in the United States identified the gene tet (B) in isolates from a goose, a duck, and a deer; the genes tet(A) and tet(B) in isolates from turkeys, cats, goats, and cows; tet(A), tet(B), and tet(C) in isolates from dogs, sheep, and horses; and tet(A), tet(B), tet(C), and tet(M)in isolates from pigs and chickens (182). However, in that study neither tet(E) nor tet(G), tet(L), or tet(X) were detected in the 325 E. coli isolates. Among 58 tetracycline-resistant E. coli isolates from giant pandas, the genes tet(A), tet(E), and/or tet(C) were detected in 33, 24, and four isolates, respectively (128).

These examples show that different tet genes—alone or in combination with others—occur at different frequencies in E. coli isolates from different animal sources and/or geographic regions. In general, the genes *tet*(A) and *tet*(B) were the most prevalent tetracycline resistance genes in E. coli of animal origin. Both of these genes are part of small nonconjugative transposons, Tn1721 [tet(A)] (183) and Tn10 [tet(B)] (184), which are often integrated into conjugative and nonconjugative plasmids. Several of the aforementioned examples revealed the presence of more than a single *tet* gene in the same isolate. This might be explained by the observation that several tet genes are frequently found on plasmids or other mobile genetic elements which may have been acquired by the respective E. coli isolates at different times and under different conditions. When other resistance genes are colocated with a tet gene on the same plasmid, such a plasmid can be acquired under the selective pressure imposed by the use of antimicrobial agents other than tetracyclines. Multidrug resistance plasmids that also carry tet genes have been detected in E. coli from bovine mastitis in Germany. Here, the gene tet(A) was located on IncHI2/IncP plasmids of ca. 225 kb, which also harbored the resistance genes bla_{CTX-M-2}, bla_{TEM-1}, sul1, sul2, dfrA1, and aadA1 (14). IncI1 plasmids that range in size from 90 to 120 kb and carry the resistance gene tet(A) along with the genes bla_{SHV-12} , aadA1, cmlA1, and aadA2 or the genes bla_{SHV-12}, qacG, and aadA6 were identified in E. coli isolates from wild birds, dogs, and poultry in Spain or Germany (39). In canine E. coli isolates from Brazil, several multiresistance plasmids were identified. These included (i) a ca. 250-kb IncFIB/IncHI2 plasmid that carried the gene tet(B) together with the resistance genes bla_{CTX-M-2}, sul1, aadA29, strA, and strB; (ii) a ca. 240-kb IncFIC plasmid that harbored the tet(A) gene together with the resistance genes bla_{CMY-2}, cmlA, floR, strA, strB, sul1, sul3, and aadA7; (iii) a 240-kb IncHI2 plasmid with the resistance genes bla_{CTX-M-2}, sul1, aadA29, strA, and strB; and (iv) a 40-kb IncFIB/IncN plasmid with the resistance genes tet(A), sul1, dfrA16, and dfrA29 (185). Lastly, an 81-kb plasmid that carried the resistance genes qnrS1, bla_{CTX-M-14}, bla_{TEM-1}, floR, and tet(A) was found in an E. coli isolate from a pig in China (186). These few examples illustrate that tet gene-carrying multiresistance plasmids occur in E. coli of different animal species in different parts of the world. Given the widespread use of tetracyclines in veterinary medicine, such plasmids not only facilitate the dissemination of certain tet genes, but also support the coselection and persistence of other resistance genes.

RESISTANCE TO PHENICOLS

Phenicols are broad-spectrum antimicrobial agents of which nonfluorinated (e.g., chloramphenicol) and fluorinated (e.g., florfenicol) derivatives are used in veterinary medicine. Due to its toxicity and important adverse effects in humans, such as dose-unrelated irreversible aplastic anemia, dose-related reversible bone marrow suppression, and Gray syndrome in neonates, chloramphenicol and its derivatives thiamphenicol and azidamfenicol were banned in 1994 in the European Union from use in food-producing animals (187). Currently, the use of nonfluorinated phenicols in animals is limited to the treatment of companion animals and pets. However, the fluorinated derivative florfenicol is licensed for the treatment of bacterial infections in food-producing animals (187).

Phenicol resistance in *E. coli* of animal origin is mediated by three major mechanisms: (i) enzymatic inactivation of nonfluorinated phenicols by chloramphenicol acetyltransferases encoded by *cat* genes, (ii) active efflux of nonfluorinated phenicols (*cmlA* genes) or fluorinated and nonfluorinated phenicols (*floR* genes) by major facilitator superfamily proteins, and (iii) target site methylation by an rRNA methylase encoded by the multiresistance gene *cfr*, which confers resistance to five classes of antimicrobial agents, including fluorinated and nonfluorinated phenicols (<u>187</u>).

Among 102 E. coli isolates from pigs in China, 91 (89%) were resistant to chloramphenicol. The genes catA1 and catA2 but also the cassette-borne gene cmlA were detected in 58%, 49%, and 65%, respectively, of the chloramphenicol-resistant isolates. In addition, the gene floR was detected in 57% of the florfenicol-resistant isolates and in 52% of chloramphenicol-resistant isolates (188). In a study of 318 ETEC, non-ETEC from cases of diarrhea, and commensal E. coli isolates from healthy pigs in Canada, the genes catA1, cmlA, and floR were detected among the chloramphenicol-resistant isolates. The gene catA1 was significantly more frequent in ETEC than in non-ETEC and commensal E. coli (189). The genes *floR* and *cmlA* were detected among 48 *E. coli* isolates from calves with diarrhea. Of the 44 isolates for which florfenicol MICs were ≥16 mg/liter, 42 carried the floR gene. Twelve E. coli isolates were positive for cmlA, and their corresponding chloramphenicol MICs were ≥32 mg/liter. In addition, eight isolates were positive for floR and cmlA, and their florfenicol and chloramphenicol MICs were \geq 64 mg/liter (190). In a study of antimicrobial resistance in German E. coli isolates from cattle, pigs, and poultry, not further specified catA genes were found in seven isolates from cattle and six isolates each from pigs and poultry. Moreover, cmlA1-like genes were detected in a single isolate from cattle, six isolates from pigs, and three isolates from poultry. The floR gene was not detected (191). Among 116 avian-pathogenic E. coli isolates from chickens in Egypt, 98 (84.5%) were resistant to chloramphenicol. The resistance genes catA1, catA2, and cmlA were found in 86, four, and eight isolates, respectively, while the genes catA3 and cmlB were not detected (192). Among 102 chloramphenicolresistant E. coli isolates from horses in the UK, 75 harbored the gene *catA1*. The remaining 27 isolates were PCR negative for the genes catA2, catA3, and cmlA, while the presence of the genes floR and cfr was not tested (193). The cassette-borne chloramphenicol resistance genes catB3 and cmlA6 were identified in four and two canine E. coli isolates, respectively, all from the United States. The gene *catB3* was located together with the resistance genes aacA4 and dfrA1, and the gene cmlA6 was located together with the genes aadB and aadA1 in class 1 integrons of different sizes (194). In a study of 62 E. coli isolates from dogs in Iran, three isolates harbored the cmlA gene, whereas six isolates were positive for the floR gene (195). Among 36 chloramphenicol- and florfenicol-resistant E. coli isolates from dogs suffering from urinary tract infections in Taiwan, all isolates harbored the *cmlA* gene and 18 carried the floR gene (196). The cmlA gene was also detected in two chloramphenicol-resistant *E. coli* isolates from fecal samples of free-range Iberian lynx (143). Of 89 *E. coli* isolates from giant pandas, 28 and 23 were resistant to chloramphenicol and florfenicol, respectively. The *floR* gene was detected in 23 isolates and the *cmlA* gene in nine isolates, with two isolates carrying both genes. The *cfr* gene was not detected in any of the isolates, and *cat* genes were not tested (128). The genes *catA1* and *cmlA* were also detected in two and one multiresistant *E. coli* isolates, respectively, from shellfish in Vietnam (197).

The genes catA1, cmlA, and floR are often found on plasmids. In bovine E. coli from the United States, the floR gene was located on large plasmids of 225 kb (190), which were larger than those found in E. coli from sick chickens (198). Southern blot analysis confirmed the presence of the *cmlA* gene on plasmids of >100 kb in E. coli from pigs (199). Conjugation assays identified two distinct class 1 integrons that linked cmlA to the streptomycin/spectinomycin resistance genes aadA1 and aadA2 and to the sulfonamide resistance genes sul1 or sul3 (199). Transformation experiments conducted with Canadian E. coli from pigs revealed that aadA and sul1 were located together with catA1 on a large ETEC plasmid (189). Plasmids that harbored the gene cmlA also carried the resistance genes aadA and sul3. Moreover, plasmids that harbored the genes aadB and floR also carried sul2, tet(A), bla_{CMY-2}, strA, and strB but occasionally also aac(3)-IV (189). Among Brazilian E. coli from dogs, a 35-kb IncF/IncFIB plasmid was identified that harbored the genes strA and strB, and an unusual class 1 integron with the genes dfrA12, aadA2, cmlA1, and aadA1 linked to a sul3 gene (185). The ca. 35-kb plasmid pMBSF1 from porcine E. coli in Germany carried the floR gene together with the genes strA and strB (200). The floR gene was also detected on conjugative plasmids ranging in size from 110 to 125 kb from bovine E. coli in France. All these plasmids mediated additional resistances to sulfonamides, streptomycin, ampicillin, and/or trimethoprim (201). These examples show that phenicol resistance genes can also be coselected under the selective pressure imposed by nonphenical antimicrobial agents.

The multiresistance gene *cfr*—originally identified in staphylococci of animal origin—was also found to be functionally active in *E. coli* (202). The gene *cfr* was first reported in *E. coli* from a nasal swab of a pig in China (203). Later, it was identified on the 135,615-bp IncA/C multiresistance plasmid pSCEC2 from a pig in China. This plasmid also harbored the resistance genes *sul2*, *tet*(A), *floR*, *strA*, and *strB* (157). In another study in

China, the *cfr* gene was detected on plasmids of ca. 30 kb in E. coli isolates from pigs (204). The complete sequence of the 37,672-bp plasmid pSD11, again from E. coli of porcine origin in China, was reported by Sun and colleagues (205). The colocation of *cfr* with the ESBL gene $bla_{CTX-M-14b}$ on the 41,646-bp plasmid pGXEC3 from a porcine E. coli isolate was reported in 2015 (206). In the same year, another *cfr*-carrying plasmid, the conjugative 33,885-bp plasmid pFSEC-01, was reported (207). Although this plasmid was found in a porcine E. coli isolate, it closely resembled in its structure the plasmid pEA3 from the plant pathogen Erwinia amylovora. Most recently, another six cfr-carrying E. coli isolates—five from pigs and one from a chicken were identified. In all cases, the *cfr* gene was located as the only resistance gene on plasmids of either 37 or 67 kb. Two of these plasmids were completely sequenced: the 37,663-bp IncX4 plasmid pEC14cfr and the 67,077-bp F14: A⁻: B⁻ plasmid pEC29cfr (161).

RESISTANCE TO SULFONAMIDES AND TRIMETHOPRIM

Sulfonamides and trimethoprim are synthetic antimicrobial agents that inhibit different steps in the folic acid synthesis pathway. Each of these agents acts in a bacteriostatic manner, whereas the combination of a sulfonamide with trimethoprim results in synergistic bactericidal actions on susceptible organisms; as such, the combination is referred to as a "potentiated" sulfonamide. Sulfonamides and trimethoprim have been used for decades in animals and humans. Acquired resistance mechanisms have been frequently identified, mainly due to (i) mutational modifications in the genes encoding the target enzymes, namely, the dihydropteroate synthase or dihydrofolate reductase, respectively, or (ii) the acquisition of *sul* genes encoding dihydropteorate synthetases that are insensitive to sulfonamides or dfr genes encoding dihydrofolate reductases that are insensitive to trimethoprim (208).

Resistance to Sulfonamides

In *E. coli* from food-producing and companion animals, sulfonamide resistance is mediated by any of the following three *sul* genes: *sul1*, *sul2*, or *sul3*. The *sul1* gene is particularly widespread because it is part of the 3'-conserved segment of class 1 integrons (209). As such, the *sul1* gene is often found together with other antimicrobial resistance genes that are located on gene cassettes in the variable part of class 1 integrons (209). Class 1 integrons are present in *E. coli* from healthy and

diseased food-producing animals, companion animals, and wildlife all over the world as illustrated in the following examples. In Germany, 58 of 417 E. coli isolates from diseased swine, horses, dogs, and cats, collected in the BfT-GermVet monitoring study, harbored class 1 integrons (210). Other studies identified class 1 integrons in E. coli from healthy and diseased dogs in Brazil (185), in clinical avian E. coli isolates in the United States (211), in E. coli from lizards in Indonesia (212), in Shiga toxin-producing E. coli from cattle in the United States (213), in E. coli from free-range reindeer in Norway (214), in calf-pathogenic *E. coli* in China (215), in E. coli from pigs in Denmark (216), and even in E. coli from giant pandas in China (128). Class 1 integrons including the *sul1* gene are often located on plasmids, including ESBL-gene-carrying multiresistance plasmids (14, 216-218).

The gene *sul2* is also widely disseminated among *E. coli* of various animal species in different parts of the world. It has been found in *E. coli* from pigs in Canada (219) and Denmark (216), in food-producing animals in Kenya (220), in poultry in Nigeria (221) and Germany (222), and in horses in the Czech Republic (93). The *sul2* gene is often linked to the streptomycin resistance genes *strA-strB*. Similarly to *sul1*, the *sul2* gene is commonly found on plasmids that also harbor other antimicrobial resistance genes (93, 157, 220, 221, 223).

The gene *sul3* was first described in 2003 in *E. coli* isolates from pigs in Switzerland (224). Since then, this gene has been identified mostly on plasmids in *E. coli* from pigs in the United States (199), Canada (219), and Denmark (216); from poultry in Germany (222); and from dogs in Spain (138) and Brazil (185). Several reports described the *sul3* gene to be linked to other resistance genes, such as the macrolide resistance gene *mef*(B) (225), and to unusual class 1 integrons (39, 185, 199, 226).

Resistance to Trimethoprim

Numerous *dfr* genes that confer trimethoprim resistance have been detected in *Enterobacteriaceae* and other Gram-negative bacteria. Based on their sizes and structures, they have been divided into two major groups, *dfrA* and *dfrB* (227). The *dfrA* genes code for proteins of 152 to 189 amino acids, while the *dfrB*-encoded proteins are only 78 amino acids in size. Most of the *dfrA* and *dfrB* genes found in *E. coli* of animal origin are located on gene cassettes that are inserted into class 1 or class 2 integrons. Some examples are given for *dfrA* genes that have been identified in *E. coli* from dogs (*dfrA1*, *dfrA12*, *dfrA17*, *dfrA29*) (138, 185, 210), cats (*dfrA1*,

dfrA12) (210), horses (dfrA1, dfrA9, dfrA12, dfrA17) (193, 210), pigs (dfrA1, dfrA5, dfrA8, dfrA12, dfrA13, dfrA14, dfrA16, dfrA17) (<u>144</u>, <u>156</u>, <u>210</u>, <u>228</u>, <u>229</u>), cattle (dfrA1, dfrA8, dfrA12, dfrA17) (14, 215, 229), chickens (dfrA1, dfrA5, dfrA12, dfrA14, dfrA16) (144, 229), and giant pandas (dfrA1, dfrA7, dfrA12, dfrA17) (128). In contrast to dfrA genes, dfrB genes have rarely been detected in E. coli from animals. A dfrB4 gene and a dfrA17 gene were detected in class 1 integrons from sea lions (230). In the study by Seputiené et al. (229), the dfrA8 gene was located in neither class 1 nor in class 2 integrons. Moreover, only seven of the 13 dfrA14 genes in E. coli isolates of animal origin were integronassociated. In previous studies of E. coli from foodproducing animals, a functionally active dfrA14 gene was found outside an integron but inserted into a plasmid-borne strA gene (220, 231).

RESISTANCE TO POLYMYXINS

Colistin (also known as polymyxin E) is a polypeptide antimicrobial agent that targets the LPS in the outer membrane of Gram-negative bacteria (232). Colistin is widely used in veterinary medicine, mainly for the treatment or prevention of intestinal infections, particularly neonatal and postweaning diarrhea in pigs and intestinal infections in poultry and cattle (233). Very recently, due to the considerable concerns that colistin resistance might be transferable from animals to humans, specific regulations on the use of colistin have been set up in Europe under the umbrella of the European Medicines Agency (234). In April 2017, a ban of colistin as a growth promoter also became effective in China (235). Colistin is active against various species of Enterobacteriaceae, including E. coli, whereas others such as *Proteus* spp. and *Serratia* spp. are intrinsically resistant (232). Resistance to colistin can be due to mutations in chromosomal genes or to acquired resistance genes.

Chromosome-Encoded Polymyxin Resistance

Polymyxin resistance in *E. coli* isolates may be related to genes encoding LPS-modifying enzymes. The operon *pmrCAB* codes for three proteins, namely, a phosphoethanolamine phosphotransferase PmrC, a response regulator PmrA (also called BasR), and a sensor kinase protein PmrB (also called BasS) (232). Mutations either in PmrA or in PmrB have been found to be responsible for polymyxin resistance in *E. coli* isolates recovered from poultry in Spain (236). However, most of the mutations leading to polymyxin resistance in that op-

eron or in others, such as the PhoPQ two-component system or its regulator MgrB, have been identified in human *E. coli* isolates. Ongoing studies are being conducted to evaluate whether the same mechanisms might be responsible for polymyxin resistance in animal isolates. In one such study, mutations in the genes *pmrA*, *pmrB*, *mgrB*, *phoP*, and *phoQ* of *E. coli* isolates from pigs were identified (237).

Plasmid-Mediated Polymyxin Resistance

In November 2016, the first plasmid-borne polymyxin resistance gene was identified. This gene was designated *mcr-1*, and it encodes the MCR-1 phosphoethanolamine transferase (238). Production of MCR-1 leads to the modification of the lipid A moiety of the LPS, resulting in a more cationic LPS and, consequently, to resistance to polymyxins. Production of MCR-1 in *E. coli* leads to a 4- to 8-fold increase in the MICs of polymyxins (232).

The mcr-1 gene has been detected mainly in E. coli isolates but also in other Enterobacteriaceae genera, such as Salmonella, Shigella, Klebsiella, and Enterobacter (239). This gene has now been identified worldwide, in both animal and human isolates. The mcr-1 gene has been found to be located on plasmids of various incompatibility groups (IncI2, IncHI2, IncP, IncX4, IncY, IncFI, and IncFIB) and variable sizes (58 to 251 kb) (232). A few reports showed that it may be colocated with ESBL-encoding genes and/or other resistance genes (71, 240–244); nonetheless, most of the reports identified mcr-1 as the sole resistance gene on the respective plasmids. This may suggest that a polymyxinrelated selective pressure is responsible for the mcr-1 acquisition, with corresponding plasmids providing no other obvious selective advantage. Upstream of the mcr-1 gene, the ISApl1 insertion sequence element is frequently identified, although it is often, but not always, also identified downstream of it. Recent studies demonstrated that the *mcr-1* gene is mobilized by transposition when bracketed by two copies of ISApl1 that form a composite transposon structure (242, 245). So far, 11 variants of the mcr-1 gene, designated mcr-1.2 to mcr-1.12 have been identified, with mcr-1.3 being found in E. coli from chickens in China (246), mcr-1.8 in E. coli from poultry in Brunei (GenBank accession no. KY683842.1), mcr-1.9 in E. coli from swine in Portugal (KY964067.1), and mcr-1.12 in E. coli from pork in Japan (LC337668.1).

Recently, the plasmid-mediated colistin-resistance *mcr*-2 gene was identified in *E. coli* isolates recovered from piglets in Belgium (247). It shared 77% nucleotide

sequence identity with mcr-1 and was located on an IncX4 plasmid. The mcr-2 gene has been sporadically identified so far (248). In addition, further mcr genes—mcr-3 to mcr-7—and variants thereof have been described. Among them, the mcr-3 gene was initially identified together with 18 additional resistance genes on the 261-kb IncHI2-type plasmid pWI1 from porcine E. coli (249). The mcr-3 gene showed 45.0% and 47.0% nucleotide sequence identity to mcr-1 and mcr-2, respectively. So far, ten variants of mcr-3, designated mcr-3.2 to mcr-3.11, have been identified, with the mcr-3.2 gene being originally detected in E. coli from cattle in Spain (250). A recent study in France reported the spread of a single E. coli clone harboring mcr-3 in the veal calves sector from 2011 to 2016 (251). The combination in those isolates of mcr-3 and bla_{CTX-M-55}, an ESBL gene that is highly prevalent in Asian countries and rarely detected in Europe, may suggest the introduction and further dissemination of mcr-3 in that specific animal setting due to international trade. The mcr-4 gene was detected among E. coli from pigs in Spain and Belgium that suffered from postweaning diarrhea (252). The gene *mcr-5* and a variant, designated *mcr-5.2*, have recently been found in *E. coli* from pigs (253).

Epidemiology of mcr-1

The mcr-1 gene is a resistance gene identified in human and animal E. coli isolates. Its occurrence in animal isolates is quite elevated (232), and it has been identified worldwide. MCR-1-producing *E. coli* isolates have been identified in several food-producing animals and meat, including chickens and chicken meat, pigs and piglets, cattle, calves, and turkeys (254, 255) (Table 4). Those isolates are from many Asian countries (Cambodia, China, Japan, Laos, Malaysia, Taiwan, Singapore, Vietnam, India, Pakistan, South Korea), from Europe (Belgium, Denmark, France, Germany, Portugal, Italy, the Netherlands, Spain, Sweden, Switzerland, the UK), the Americas (Argentina, Brazil, Canada, the U.S., Ecuador, Bolivia, Venezuela), Australia, and Africa (Algeria, Egypt, South Africa, Tunisia). Worryingly, a recent study performed in China identified a series of MCR-1-producing E. coli isolates recovered from poultry, with many of the isolates coproducing the carbapenemase NDM-1 (71). In addition, such multidrug-resistant isolates were recovered from flies and dogs present in the same farm environment, thus highlighting that those latter animals might also constitute sources of transmission (71). Additionally, some studies highlighted that mcr-1-positive E. coli may be also present in the environment or in food, being, for in-

TABLE 4 Examples of acquired mcr genes in E. coli of animal origin from Europe, North and South America, and Asia

	Geographical			Deference
<i>mcr</i> gene	origin	Source	Sequence type(s)	Reference
mcr-1	China	Pig		<u>238</u>
	China	Pig		<u>242</u>
	China	Pig	48, 54, 90, 156, 165, 167, 410, 1114, 1178, 1437, 2439, 3331, 4429, 4463, 4656	<u>277</u>
	China	Poultry	10, 48, 58, 77, 88, 101, 117, 178, 215, 361, 501, 542, 616, 617, 648, 744, 761, 870, 873, 952, 971, 1290, 1431, 1642, 2345, 2491, 2599, 3044, 3133, 3481, 3944, 5542, 5815, 5865, 5879, 5909, 6050,	246
	Vietnam	Reptiles	117, 1011	<u>282</u>
	South Korea	Poultry, pig	1, 10, 88, 101, 156, 162, 226, 410, 1141, 2732	<u>283</u>
	Germany	Pig	1, 10, 846	<u>240</u>
	Germany	Pig (manure), fly, dog	10, 342, 1011, 5281	<u>256</u>
	France	Cattle		<u>241</u>
	Italy	Poultry (meat)	602	<u>243</u>
	U.S.	Pig	132, 3234	<u>284</u>
	Venezuela	Pig	452	<u>285</u>
	Brazil	Magellanic penguin	10	<u>286</u>
mcr-1.3	China	Poultry	155	<u>246</u>
mcr-1.8	Brunei	Poultry	101	KY683842.1 ^a
mcr-1.9	Portugal	Pig		KY964067.1ª
mcr-1.12	Japan	Pig		LC337668.1 ^a
mcr-2	Belgium	Pig, cattle	10, 167	<u>247</u>
mcr-3	China	Pig	1642	<u>249</u>
	France	Cattle	744	<u>251</u>
mcr-3.2	Spain	Cattle	533	<u>250</u>
mcr-4	Spain, Belgium	Pig	10, 7029	<u>252</u>
mcr-5	Germany	Pig	29, 349	<u>253</u>
mcr-5.2	Germany	Pig	1494	<u>253</u>

^aGenBank accession number.

stance, identified in rivers but also in Asian imported vegetables in Switzerland (243). The environmental emission of MCR-1-producing and multiresistant *E. coli* isolates was recently stressed by studying the close surroundings of pig farms in Germany (256).

Dating the emergence of *mcr-1*-positive *E. coli* isolates remains difficult, although a Chinese study retrospectively identified *mcr-1*-positive isolates from chickens in the 1980s (255) and as early as 2005 in veal calves in France (254). It seems, therefore, that the emergence of *mcr*-positive isolates, at least in animals, is not a recent event. Very likely, there has been some silent dissemination of *mcr* genes through the past decades, and the current situation shows ongoing further dissemination rather than an emerging phenomenon.

CONCLUSIONS

Antimicrobial resistance in *E. coli* is an issue of the utmost importance since it occurs in both the human and animal sectors in a One Health perspective. In animals,

multidrug resistance in E. coli may lead to difficult-totreat infections, but even more importantly, it constitutes a major and shared reservoir of resistance determinants to most families of antimicrobial agents across a vast number of animal species, including humans. Even though the different transmission pathways of resistant E. coli isolates from animals to humans remain to be clarified and their relative importance quantified, some data may support the role of the food chain since those bacteria have been demonstrated as common colonizers of foodstuffs at retail in many countries and continents. Other routes of transmission may include direct contacts with animals or indirect transfers through the environment. Since E. coli is a bacterium that is widely spread in all sectors, antimicrobial resistance in E. coli in animals has led to numerous cross-sectorial and joint initiatives, encompassing translational research, epidemiology, and surveillance in both human and veterinary medicine. It is now considered that the battle against the increased occurrence of antimicrobial resistance in E. coli from humans cannot be won without acting on a very large scale. To tone down some current and alarming speculations, and in view of all the studies that have been conducted during recent years, it is, however, likely that the occurrence of carbapenemase-producing *E. coli* in animals does not represent a significant threat for human health (31). In contrast, recent data have demonstrated that animals are very significant reservoirs of plasmid-mediated colistin resistance genes—mostly present in *E. coli* isolates—which may represent a further risk for humans.

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