

# FAMILIES OF CYCLIC CODES OVER FINITE CHAIN RINGS

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by

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# ABSTRACT

Families of Cyclic Codes over Finite Chain Rings

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A major difficulty in quantum computation and communication is preventing and correcting errors in the quantum bits. Most of the research in this area has focused on stabilizer codes derived from self-orthogonal cyclic error-correcting codes over finite fields. Our goal is to develop a similar theory for self-orthogonal cyclic codes over the class of finite chain rings which have been proven to also produce stabilizer codes. We also will discuss these restrictions on families of cyclic codes, including, but not limited to quadratic residue codes and Bose-Chaudhuri-Hocquenghem codes. Finally, we will extend the concepts of weight enumerators to the class of Frobenius rings and use them to derive bounds for our codes.

# CHAPTER I

## INTRODUCTION

Unlike in classical computing where there is only one type of error, the bit-flip, quantum computing must deal with an infinite number of possible errors while also being more susceptible to them. One approach to solving this problem is to use quantum error-correcting codes, of which the stabilizer codes are the most popular, as they can be derived from self-orthogonal classical cyclic codes. The stabilizer codes were generalized from the binary field case to finite fields in [13, 14], and then further generalized to Frobenius rings in [17]. Codes over Frobenius rings are especially interesting, as the arithmetic over them is often much simpler than over finite fields, which is extremely important when designing systems that must constantly perform these error-correcting calculations.

In this thesis, we investigate classical cyclic codes over finite chain rings, a subclass of the Frobenius rings, and the stabilizer codes that are derived from them. Additionally, we give some conditions for self-orthogonal quadratic residue codes and Bose-Chaudhuri-Hocquenghem (BCH) codes and develop some symplectic weight enumerators over Frobenius rings and the bounds derived from them.

### **Frobenius and finite chain rings**

Let  $R$  be a finite ring of order  $n$ . A character of the additive group  $(R, +)$  of  $R$  is a homomorphism  $\chi : (R, +) \rightarrow \mathbb{C}^*$ , and the values of  $\chi$  are the  $n^{\text{th}}$  roots of unity [2]. Denote the set of irreducible character of  $(R, +)$  by  $\text{Irr}(R)$ . An irreducible character  $\chi$  of  $(R, +)$  is called generating if and only if  $\text{Irr}(R) = \{\chi_b | b \in R\}$ , where  $\chi_b(x) = \chi(bx)$ . A ring that admits a left or right generating character is called a Frobenius ring. One special subclass of the Frobenius rings are the finite chain rings, which are local rings with the additional constraint that the lattice of its left ideals (equivalently, right ideals) form a chain under set inclusion [17].

For a ring  $R$ , the Jacobson radical  $J(R)$  is the intersection of all maximal left ideals (equivalently,

the intersection of all maximal right ideals). If  $R$  is a finite chain ring, this means that  $J(R) = \mathfrak{M}$ , where  $\mathfrak{M}$  is the unique maximal ideal of  $R$ . The nilpotency index of  $J(R)$  is the smallest positive integer  $\nu$  such that  $J^\nu(R) = \{0\}$ . If the residue field  $R/J(R)$  has  $q$  elements, then  $|R| = q^\nu$  [17].

### Error bases and stabilizer codes

Let  $R$  be a finite ring with  $q$  elements. Let  $\{|x\rangle | x \in R\}$  be an orthonormal basis of  $\mathbb{C}^q$ . For  $a, b \in R$  define a shift operator  $X(a) : \mathbb{C}^q \rightarrow \mathbb{C}^q$  and a multiplication operator  $Z(b) : \mathbb{C}^q \rightarrow \mathbb{C}^q$  by  $X(a)|x\rangle = |x+a\rangle$ ,  $Z(b)|x\rangle = \chi(bx)|x\rangle$ , where  $\chi$  is an irreducible character of the additive group  $(R, +)$ . Define the set of error operators  $\mathcal{E} = \{X(a)Z(b) | a, b \in R^n\}$ . If  $R$  is a Frobenius ring with generating character  $\chi$ , then  $\mathcal{E}$  is a nice error basis on  $\mathbb{C}^{q^n}$ , that is a) it contains the identity matrix, b) the product of two matrices in  $\mathcal{E}$  is a new scalar multiple of another element in  $\mathcal{E}$ , and c) the trace  $\text{Tr}(A^\dagger B) = 0$  for distinct  $A, B \in \mathcal{E}$ . Define the error group  $G_n$  as  $G_n = \{\omega^c X(a)Z(b) | a, b \in R^n, c \in \mathbb{Z}\}$ , where  $\omega$  is a primitive  $m^{\text{th}}$  root of unity,  $\omega = \exp(2\pi i/m)$ , and  $m$  is the exponent of the additive group of  $R$  (the characteristic of  $R$ ).

Let  $S$  be a subgroup of  $G_n$ . There is a stabilizer code  $\text{Fix}(S)$  associated with the subgroup  $S$ , given by  $\text{Fix}(S) = \{v \in \mathbb{C}^{q^n} | Ev = v, \forall E \in S\}$ .

### Structure of cyclic codes

Cyclic codes over fields are defined as a principle ideal of the ring  $F_q[x]/\langle x^n - 1 \rangle$ . Over the field,  $x^n - 1$  factors into two important polynomials, the generator polynomial  $g(x)$  and the check polynomial  $h(x) = (x^n - 1)/g(x)$ . The generator and check polynomials themselves are products of basic irreducible factors of  $x^n - 1$ . The code consists of a single generating element  $g(x)$  and all shifts of  $g(x)$ , shown as  $x^m g(x)$  for all  $1 \leq m < n$  where  $n$  is the degree of the polynomial.

Let  $R$  be a commutative finite chain ring with residue field  $\bar{R}$  and denote by  $\bar{\cdot} : R[x] \rightarrow \bar{R}[x]$  the natural projection from  $R[x]$  onto  $\bar{R}[x]$ .

**Lemma 1.** (*Hensel's Lemma, [7, Theorem 2.4]*) Let  $f$  be a polynomial over  $R$  and assume  $\bar{f} = g_1 g_2 \cdots g_r$  are pairwise coprime polynomials over  $\bar{R}$ . Then there exist pairwise coprime polynomials  $f_1, f_2, \dots, f_r$  over  $R$  such that  $f = f_1 f_2 \cdots f_r$  and  $\bar{f}_i = g_i$  for  $i = 1, 2, \dots, r$ .

## CHAPTER II

### QUADRATIC RESIDUE CODES

Let  $R$  be a commutative finite chain ring with maximal ideal  $J(R)$  and residue field  $\mathbb{F}_q = R/J(R)$ . Denote by  $\bar{\phantom{x}}$  the natural projection  $R[x] \rightarrow \mathbb{F}_q[x]$ . Let  $n$  be an odd prime coprime to  $q$ , and let  $\alpha$  denote a primitive  $n$ th root of unity in some extension field of  $\mathbb{F}_q$ . Denote by

$$Q = \{r^2 \pmod{n} \mid r \in \mathbb{Z}, 1 \leq r \leq (n-1)/2\}$$

the set of quadratic residues modulo  $n$  and by

$$N = \{1, \dots, n-1\} \setminus Q$$

the set of quadratic non-residues modulo  $n$ . Let

$$f_Q(x) = \prod_{r \in Q} (x - \alpha^r) \quad \text{and} \quad f_N(x) = \prod_{r \in N} (x - \alpha^r).$$

Then  $x^n - 1 = (x-1)f_Q(x)f_N(x) \in \mathbb{F}_q[x]$ . By Hensel's lemma [7, Theorem 2.4], there exist monic polynomials  $(x-a), q_Q(x), q_N(x) \in R[x]$  that are pairwise coprime and satisfying

$$(x-\bar{a}) = (x-1), \bar{q}_Q(x) = f_Q(x), \bar{q}_N(x) = f_N(x), \text{ and } x^n - 1 = (x-a)q_Q(x)q_N(x) \in R[x].$$

Substituting 1 into the equation, we obtain  $(1-a)q_Q(1)q_N(1) = 0$ ; since  $\bar{q}_Q(1) = f_Q(1) \neq 0$  and  $\bar{q}_N(1) = f_N(1) \neq 0$ ,  $q_Q(1)$  and  $q_N(1)$  are both invertible elements of  $R$ , therefore  $a = 1$  and  $x^n - 1 = (x-1)q_Q(x)q_N(x) \in R[x]$ .

We say that a codeword  $x = x_1x_2 \cdots x_n \in R^n$  is even-like if  $\sum_{i=1}^n x_i = 0$  and is odd-like otherwise.

We say that a code is even-like if it has only even-like codewords and that it is odd-like if it is not even-like.

The quadratic residue codes  $C_Q, C'_Q, C_N, C'_N$  are the cyclic codes generated by  $q_Q(x), (x-1)q_Q(x), q_N(x), (x-1)q_N(x)$  respectively.  $C_Q$  and  $C_N$  have parameters  $[n, (n+1)/2, d]_R$ ,

and  $C'_Q$  and  $C'_N$ , the even-like subcodes of  $C_Q$  and  $C_N$  respectively, have parameters  $[n, (n-1)/2, d']_R$ , with  $d' \geq d$ .

### Square root bound

Denote by  $R_n$  the ring  $R[x]/\langle x^n - 1 \rangle$ . The cyclic complement  $C^C$  of a cyclic code  $C$  is a code satisfying  $C^C + C = R_n$ ,  $C^C \cap C = \{0\}$ , and  $C^C$  is cyclic.

**Theorem 2.** *Let  $C$  be a cyclic code of length  $n$  over  $R$  with generator polynomial  $g(x)$  and generating idempotent  $e(x)$ . Let  $C^C$  be the cyclic complement of  $C$ . Then  $C^C$  has generator polynomial  $\widehat{g}(x) = (x^n - 1)/g(x)$  and generating idempotent  $1 - e(x)$ .*

*Proof.* Since  $\widehat{g}(x)$  is a divisor of  $x^n - 1$ ,  $\langle \widehat{g}(x) \rangle$  is cyclic. Since  $g(x)$  and  $\widehat{g}(x)$  are coprime,  $\langle g(x) \rangle + \langle \widehat{g}(x) \rangle = R[x]$ , therefore  $\langle g(x) \rangle + \langle \widehat{g}(x) \rangle = R_n$ . Additionally, since they are coprime we also have that  $\langle g(x) \rangle \cap \langle \widehat{g}(x) \rangle = \{0\}$ , therefore  $\langle \widehat{g}(x) \rangle = C^C$ .

Let  $1 = e_1(x) + e_2(x)$ , where  $e_1(x) \in \langle g(x) \rangle$  and  $e_2(x) \in \langle \widehat{g}(x) \rangle$ . Then there exist  $a(x), b(x) \in R_n$  such that  $e_1(x) = a(x)g(x)$  and  $e_2(x) = b(x)\widehat{g}(x)$ . Then  $e_1(x)^2 = e_1(x)(1 - e_2(x)) = e_1(x) - e_1(x)e_2(x) = e_1(x) - a(x)g(x)b(x)\widehat{g}(x) = e_1(x)$ , so  $e_1(x)$  is an idempotent of  $C$ , thus  $e_1(x) = e(x)$ . Similarly,  $e_2(x)^2 = (1 - e(x))^2 = 1 - e(x)$  is the idempotent of  $C^C$ . □

**Lemma 3.** *Let  $h(x) = \frac{1}{n}(1 + x + x^2 + \dots + x^{n-1})$ ,  $a(x) = \sum_{i=0}^{n-1} a_i x^i \in R_n$ , and  $C$  a cyclic subcode of  $R_n$  with generating polynomial  $g(x)$ . Then*

1.  $h(x)$  is the generating idempotent of the repetition code of length  $n$  over  $R$
2.  $a(x)$  is even-like if and only if  $a(1) = 0$  if and only if  $a(x)h(x) = 0$
3.  $a(x)$  is odd-like if and only if  $a(1) \neq 0$  if and only if  $a(x)h(x) = \alpha h(x)$ ,  $\alpha \neq 0$

*Proof.* Expanding  $(h(x))^2$ , we find that

$$\left( \frac{1}{n} (1 + x + \dots + x^{n-1}) \right)^2 = \frac{1}{n} (1 + x + \dots + x^{n-1}),$$



so  $h(x)$  is an idempotent of  $R_n$ . Additionally, the codewords of the repetition code are all of the form  $f(x) = a(1 + x + x^2 + \cdots + x^{n-1})$  for  $a \in R$ , so  $f(x)h(x) = \frac{a}{n}(1 + x + x^2 + \cdots + x^{n-1})^2 = a(1 + x + x^2 + \cdots + x^{n-1}) = f(x)$ , so  $h(x)$  is the generating idempotent for the repetition code of length  $n$  over  $R$ . If  $a(x) = \sum_{i=0}^{n-1} a_i x^i$  is in  $R_n$ , then

$$a(x)h(x) = \left( \sum_{i=0}^{n-1} a_i \right) \frac{1}{n} (1 + x + x^2 + \cdots + x^{n-1}),$$

so if  $a(x)$  is even-like,  $\sum_{i=0}^{n-1} a_i = 0$ , so  $a(x)h(x) = 0$ ; additionally,  $a(x)$  is even-like precisely when  $\sum_{i=0}^{n-1} a_i = 0$ . This is the same as saying that  $a(1) = 0$ . If  $a(x)$  is odd-like,  $\sum_{i=0}^{n-1} a_i \neq 0$ , then  $a(x)h(x) = \alpha h(x)$ , for some  $\alpha \in R$ ,  $\alpha \neq 0$ , which is also the same as saying  $a(1) \neq 0$ .  $\square$

**Lemma 4.** *Let  $\mathcal{E}_n$  denote the collection of even-like codewords in  $R_n$ . Then:*

1.  $\mathcal{E}_n$  is an  $[n, n-1]$  cyclic subcode of  $R_n$
2.  $\mathcal{E}_n^\perp$  is the repetition code with generating idempotent  $h(x) = \frac{1}{n}(1 + x + x^2 + \cdots + x^{n-1})$
3.  $\mathcal{E}_n$  has generating idempotent  $1 - h(x)$

*Proof.* Let  $x, y \in \mathcal{E}_n$  and  $a, b \in R$ . Since  $a \sum_{i=1}^n x_i = 0$  and  $b \sum_{i=1}^n y_i = 0$ , we have  $\sum_{i=1}^n (ax_i + by_i) = 0$ , so  $(ax + by) \in \mathcal{E}_n$ , and therefore  $\mathcal{E}_n$  is a subcode of  $R_n$ , and must therefore be cyclic. Since  $R_n$  can be partitioned into  $|R|$  equally sized partitions based on the parity of the codewords,  $\mathcal{E}_n$  is an  $[n, n-1]$  subcode of  $R_n$ , giving (1). Since  $\mathcal{E}_n$  is an  $[n, n-1]$  cyclic code,  $\mathcal{E}_n^\perp$  must be an  $[n, 1]$  cyclic code, so  $\mathcal{E}_n^\perp$  is the repetition code. By Lemma 3, the repetition code has generating idempotent  $h(x) = \frac{1}{n}(1 + x + x^2 + \cdots + x^{n-1})$ . Finally by [22, Theorem 2],  $\mathcal{E}_n$  has generating idempotent  $1 - h(x)$ .  $\mu_{-1} = 1 - h(x)$ .  $\square$

Define the function  $\mu_a : \mathbb{Z}_n \rightarrow \mathbb{Z}_n$ , where  $a$  and  $n$  are coprime, by  $\mu_a(i) = ia \pmod{n}$ . This function is known as a multiplier. The multiplier can also act on polynomials by

$$\mu_a : R_n \rightarrow R_n, f(x) \mapsto f(x^a).$$

**Theorem 5.** *Let  $f(x), g(x) \in R_n$ ,  $e(x)$  be an idempotent of  $R_n$ , and  $a$  be an integer coprime to  $n$ . Then:*

1. if  $b \equiv a \pmod{n}$ , then  $\mu_b = \mu_a$

2.  $\mu_a$  is an automorphism of  $R_n$
3.  $e(x)\mu_a$  is an idempotent of  $R_n$ .

*Proof.* All of the results follow from straightforward calculations. □

**Theorem 6.** *Let  $C$  be a cyclic code of length  $n$  over  $R$  with generating idempotent  $e(x)$ , and let  $a$  be an integer coprime to  $n$ . Then  $C\mu_a = \langle e(x)\mu_a \rangle$  and  $e(x)\mu_a$  is the generating idempotent of the cyclic code  $C\mu_a$ .*

*Proof.* Using Theorem 5,

$$\begin{aligned}
C\mu_a &= \{(e(x)f(x))\mu_a \mid f(x) \in R_n\} \\
&= \{e(x)\mu_a f(x)\mu_a \mid f(x) \in R_n\} \\
&= \{e(x)\mu_a h(x) \mid h(x) \in R_n\} \\
&= \langle e(x)\mu_a \rangle
\end{aligned}$$

as  $\mu_a$  is an automorphism of  $R_n$  by Theorem 5. Hence  $C\mu_a$  is cyclic and has generating idempotent  $e(x)\mu_a$  by Theorem 5. □

Let  $e_1(x)$  and  $e_2(x)$  be two even-like idempotents with  $C_1 = \langle e_1(x) \rangle$  and  $C_2 = \langle e_2(x) \rangle$ . The codes  $C_1$  and  $C_2$  form a pair of even-like duadic codes if

1. the idempotents satisfy

$$e_1(x) + e_2(x) = 1 - h(x) \tag{II.1}$$

2. there is a multiplier  $\mu_a$  such that

$$C_1\mu_a = C_2 \text{ and } C_2\mu_a = C_1. \tag{II.2}$$

By Theorem 6, we have that  $e_1(x)\mu_a = e_2(x)$  and  $e_2(x)\mu_a = e_1(x)$  if and only if  $C_1\mu_a = C_2$  and  $C_2\mu_a = C_1$ , so we can replace equation (II.2) by

$$e_1(x)\mu_a = e_2(x) \text{ and } e_2(x)\mu_a = e_1(x). \tag{II.3}$$

Associated to the pair of even-like duadic codes is the pair of odd-like duadic codes

$$D_1 = \langle 1 - e_2(x) \rangle \text{ and } D_2 = \langle 1 - e_1(x) \rangle. \quad (\text{II.4})$$

**Lemma 7.** *Let  $C$  be a cyclic code over  $R$  with generating idempotent  $i(x)$  and let  $C_e$  be the subcode of all even-like codewords in  $C$ . If  $C \neq C_e$ , then  $i(x) - h(x)$  is the generating idempotent of  $C_e$ .*

*Proof.* Since  $C_e$  is the even-like subcode of  $C$ ,  $C_e = C \cap \mathcal{E}_n$ . By [22, Theorem 1], the generating idempotent of  $C_e$  is  $i(x)(1 - h(x)) = i(x) - i(x)h(x)$ . Since  $i(x)$  is the generating idempotent of  $C$ , but not the generating idempotent of  $C_e$  it is necessarily odd-like, so by Lemma 3

$i(x) - i(x)h(x) = i(x) - \alpha h(x)$ , where  $\alpha = \sum_{k=0}^{n-1} i_k$  is a nonzero element of  $R$ . Let  $b(x)$  be an odd-like codeword in  $C$ . Then  $b(x)i(x) = b(x)$ . Evaluating this equation at  $x = 1$  gives  $\sum_{k=0}^{n-1} b_k = \sum_{k=0}^{n-1} (b_k \sum_{j=0}^{n-1} i_j) = \alpha \sum_{k=0}^{n-1} b_k$ . Since  $b(x)$  is an odd-like codeword,  $\sum_{k=0}^{n-1} b_k \neq 0$ , so  $\alpha = 1$ , giving  $i(x) - h(x)$  as the generating idempotent of  $C_e$ .  $\square$

**Theorem 8.** *Let  $C_1 = \langle e_1(x) \rangle$  and  $C_2 = \langle e_2(x) \rangle$  be a pair of even-like duadic codes of length  $n$  over  $R$ . Suppose that  $\mu_a$  gives the splitting for  $C_1$  and  $C_2$ . Let  $D_1$  and  $D_2$  be the associated odd-like duadic codes. Then:*

1.  $e_1(x)e_2(x) = 0$
2.  $C_1 \cap C_2 = \{0\}$  and  $C_1 + C_2 = \mathcal{E}_n$
3.  $C_1$  and  $C_2$  each have dimension  $(n - 1)/2$
4.  $D_1$  is the cyclic complement of  $C_2$  and  $D_2$  is the cyclic complement of  $C_1$
5.  $D_1$  and  $D_2$  each have dimension  $(n + 1)/2$
6.  $C_i$  is the even-like subcode of  $D_i$ , for  $i = 1, 2$
7.  $D_1\mu_a = D_2$  and  $D_2\mu_a = D_1$
8.  $D_1 \cap D_2 = \langle h(x) \rangle$  and  $D_1 + D_2 = R_n$
9.  $D_i = C_i + \langle h(x) \rangle = \langle h(x) + e_i(x) \rangle$ , for  $i = 1, 2$

*Proof.* Multiplying equation (II.1) by  $e_1(x)$  gives  $e_1(x)e_2(x) = 0$  by Lemma 3 so 1) holds. By [22, Theorem 1],  $C_1 \cap C_2$  and  $C_1 + C_2$  have generating idempotents  $e_1(x)e_2(x) = 0$  and  $e_1(x) + e_2(x) - e_1(x)e_2(x) = e_1(x) + e_2(x) = 1 - h(x)$  respectively, so 2) holds by Lemma 4. By equation (II.2),  $C_1$  and  $C_2$  are equivalent and hence have the same dimension. By 2) and Lemma 4 this dimension is  $(n-1)/2$ , giving 3). The cyclic complement of  $C_i$  has generating idempotent  $1 - e_i(x)$  by Theorem 2; thus 4) is immediate from the definition of  $D_i$ . Part 5) follows from the definition of cyclic complement and parts 3) and 4). As  $D_1$  is odd-like with generating idempotent  $1 - e_2(x)$  by Lemma 7, the generating idempotent of the even-like subcode of  $D_1$  is  $1 - e_2(x) - h(x) = e_1(x)$ . Thus  $C_1$  is the even-like subcode of  $D_1$ ; analogously,  $C_2$  is the even-like subcode of  $D_2$  yielding 6). The generating idempotent of  $D_1\mu_a$  is  $(1 - e_2(x))\mu_a = 1 - e_2(x)\mu_a = 1 - e_1(x)$  by Theorem 6 and equation (II.3). Thus  $D_1\mu_a = D_2$ ; analogously  $D_2\mu_a = D_1$ , producing 7). By [22, Theorem 1],  $D_1 \cap D_2$  and  $D_1 + D_2$  have generating idempotents  $(1 - e_1(x))(1 - e_2(x)) = 1 - e_1(x) - e_2(x) = h(x)$  and  $(1 - e_1(x)) + (1 - e_2(x)) - (1 - e_1(x))(1 - e_2(x)) = 1$  respectively, as  $e_1(x)e_2(x) = 0$ . Thus 8) holds as the generating idempotent of  $R_n$  is 1. Finally by 3), 5), and 6),  $C_i$  is a subspace of  $D_i$  of codimension 1, as  $h(x) \in D_i \setminus C_i$ ,  $D_i = C_i + \langle h(x) \rangle$ . Also,  $D_i = \langle h(x) + e_i(x) \rangle$  by equations (II.1) and (II.4), which proves 9).  $\square$

**Theorem 9.** (*Square Root Bound*) Let  $D_1$  and  $D_2$  be a pair of odd-like duadic codes of length  $n$  over  $R$ . Let  $d_0$  be their (common) minimum odd-like weight. Then the following holds:

1.  $d_0^2 \geq n$ ,
2. if the splitting defining the duadic codes is given by  $\mu_{-1}$ , then  $d_0^2 - d_0 + 1 \geq n$ .

*Proof.* Suppose that the splitting defining the duadic codes is given by  $\mu_a$ . Let  $c(x) \in D_1$  be an odd-like codeword of weight  $d_0$ . Then  $c'(x) = c(x)\mu_a \in D_2$  is also odd like and  $c(x)c'(x) \in D_1 \cap D_2$  as  $D_1$  and  $D_2$  are ideals in  $R_n$ . But  $D_1 \cap D_2 = \langle h(x) \rangle$  by Theorem 8. By Lemma 3,  $c(x)c'(x)$  is odd-like and in particular nonzero. Therefore  $c(x)c'(x)$  is a nonzero multiple of  $h(x)$ , and so  $\text{wt}(c(x)c'(x)) = n$ . The number of terms in the product  $c(x)c'(x)$  is at most  $d_0^2$ , so 1) follows. If  $\mu_a = \mu_{-1}$ , then the number of terms in  $c(x)c'(x)$  is at most  $d_0^2 - d_0 + 1$  because  $d_0$  terms contribute to the coefficient of  $x^0$  in  $c(x)c'(x)$ , so 2) follows.  $\square$

## Gleason-Prange theorem

Let  $\widehat{C}$  denote the extended code of  $C$ .

**Lemma 10.** *Let  $C$  be an  $[n, k, d]_R$  code.*

1. *Suppose that  $\text{MAut}(C)$  is transitive. Then the  $n$  codes obtained from  $C$  by puncturing  $C$  on a coordinate are monomially equivalent.*
2. *Suppose that  $\text{MAut}(\widehat{C})$  is transitive. Then the minimum weight  $d$  of  $C$  is its minimum odd-like weight  $d_0$ . Furthermore, every minimum weight codeword of  $C$  is odd-like.*

*Proof.* Since  $\text{MAut}(C)$  is transitive, 1) is obvious. For 2), assume that the automorphism group of  $\widehat{C}$  is transitive. Applying 1) to  $\widehat{C}$ , we conclude that puncturing  $\widehat{C}$  on any coordinate gives a code monomially equivalent to  $C$ . Let  $c$  be a minimum weight codeword of  $C$ , and assume that  $c$  is even-like. Then  $\text{wt}(\widehat{c}) = d$  where  $\widehat{c} \in \widehat{C}$  is the extended codeword. Puncturing  $\widehat{C}$  on a coordinate where  $c$  is nonzero gives a codeword of weight  $d - 1$  is a code monomially equivalent to  $C$ , a contradiction.  $\square$

**Definition 11.** *Let  $v$  be a codeword of blocklength  $n$  over the ring  $R$ . Let  $\omega$  be an element of order  $n$  in either  $R$  or some extension ring of  $R$ . The Fourier transform of  $v$  is another codeword  $V$  of blocklength  $n$  over  $R$  whose components are given by*

$$V_j = \sum_{i=0}^{n-1} \omega^{ij} v_i, j = 0, \dots, n-1.$$

*The codeword  $V$  is known as the spectrum of  $v$ . The inverse Fourier transform is given by*

$$v_i = \frac{1}{n} \sum_{j=0}^{n-1} \omega^{-ij} V_j, i = 0, \dots, n-1.$$

We will use  $\chi(i)$  denote the Legendre symbol defined by

$$\chi(i) = \begin{cases} 0, & \text{if } i \text{ is a multiple of } p \\ 1, & \text{if } i \text{ is a nonzero square (mod } p) \\ -1, & \text{if } i \text{ is a nonzero nonsquare (mod } p) \end{cases} .$$

Additionally, the Gaussian sum is defined as

$$\theta = \sum_{i=0}^{p-1} \chi(i) \omega^i.$$

**Lemma 12.** *In the finite chain ring  $R$  with characteristic  $q$ , the element  $\sum_{i=1}^p 1_R$  is a unit for  $p$  coprime to  $q$ .*

*Proof.* Since  $p$  and  $q$  are coprime, there exists  $a, b \in \mathbb{Z}$  such that  $ap + bq = 1$  which implies that  $ap \equiv 1 \pmod{q}$ . But this means that  $ap \cdot 1_R = (\sum_{i=1}^a 1_R) (\sum_{i=1}^p 1_R) = 1_R$ , so  $\sum_{i=1}^p 1_R$  is a unit in  $R$ . □

**Lemma 13.** [5, Theorem 1.11.2] *The Gaussian sum satisfies  $\theta^2 = p\chi(-1)$ .*

Note that as a consequence of the previous lemma,  $\theta$  is also a unit.

**Definition 14.** *Let  $v = (v_0, v_1, \dots, v_{p-1}, v_\infty)$  be a codeword of blocklength  $p + 1$ , where  $p$  is prime, over a finite chain ring  $R$  of characteristic  $q$ , where  $p$  and  $q$  are coprime. The Gleason-Prange permutation of  $v$  is the codeword  $u = (u_0, u_1, \dots, u_{p-1}, u_\infty)$  defined by*

$$u_i = \chi(-i^{-1}) v_{-i^{-1}}, i = 1, \dots, p-1$$

$$u_0 = \chi(-1) v_\infty$$

$$u_\infty = v_0$$

**Theorem 15.** (Gleason-Prange Theorem) *Let  $p$  be a prime. Suppose that over  $R$ , a finite chain ring of characteristic  $q$  coprime to  $p$ , the codeword  $v = (v_0, v_1, \dots, v_{p-1}, v_\infty)$  satisfies*

1. *if  $j \in \{0, 1, \dots, p-1\}$  is a nonzero square, then  $V_j = 0$*
2.  *$v_\infty = \frac{-\theta}{p} \sum_{i=0}^{p-1} v_i$ .*

*Then the Gleason-Prange permutation of  $v$  satisfies these same two conditions.*

*Proof.* Suppose that  $V_j = 0$  whenever  $j$  is a nonzero square modulo  $p$ . The inverse Fourier transform of  $v$  can be written as

$$\begin{aligned} v_i &= \frac{1}{p} \left( V_0 + \sum_{k=1}^{p-1} \omega^{-ik} V_k \right) \\ &= \frac{1}{p} \left( \frac{-p}{\theta} v_\infty + \sum_{k=1}^{p-1} \omega^{-ik} V_k \right). \end{aligned}$$

The Gleason-Prange permutation gives that

$$\begin{aligned} u_i &= \chi(-i^{-1}) v_{-i^{-1}} \\ &= \frac{1}{p} \chi(-i^{-1}) \left( \frac{-p}{\theta} v_\infty + \sum_{k=1}^{p-1} \omega^{i^{-1}k} V_k \right) \end{aligned}$$

for  $i \neq 0$  and that  $u_0 = \chi(-1) v_\infty$ . Further,

$$\begin{aligned} U_j &= u_0 + \sum_{i=1}^{p-1} \omega^{ij} u_i \\ &= \chi(-1) v_\infty + \sum_{i=1}^{p-1} \frac{\omega^{ij} \chi(-i^{-1})}{p} \left( \frac{-p}{\theta} v_\infty + \sum_{k=1}^{p-1} \omega^{i^{-1}k} V_k \right) \\ &= v_\infty \left( \chi(-1) - \frac{1}{\theta} \sum_{i=1}^{p-1} \chi(-i^{-1}) \omega^{ij} \right) + \\ &\quad \frac{1}{p} \sum_{i=1}^{p-1} \omega^{ij} \chi(-i^{-1}) \sum_{k=1}^{p-1} \omega^{i^{-1}k} V_k. \end{aligned}$$

Denote the two summands as  $A_j$  and  $B_j$  respectively so that  $U_j = A_j + B_j$ .

Consider  $A_j$ :

$$\begin{aligned} A_j &= v_\infty \left( \chi(-1) - \frac{1}{\theta} \sum_{i=1}^{p-1} \chi(-i^{-1}) \omega^{ij} \right) \\ &= \chi(-1) v_\infty \left( 1 - \frac{\chi(j)}{\theta} \sum_{i=1}^{p-1} \chi(ij) \omega^{ij} \right) \\ &= \chi(-1) v_\infty \left( 1 - \frac{\chi(j) \theta}{\theta} \right). \end{aligned}$$

Therefore  $A_j = 0$  whenever  $j$  is a nonzero square modulo  $p$ .

Now consider  $B_j$ :

$$\begin{aligned}
B_j &= \frac{1}{p} \sum_{i=1}^{p-1} \omega^{ij} \chi(-i^{-1}) \sum_{k=1}^{p-1} \omega^{i^{-1}k} V_k \\
&= \frac{1}{p} \chi(-1) \sum_{i=1}^{p-1} \omega^{ij} \sum_{k=1}^{p-1} \omega^{i^{-1}k} \chi(i^{-1}k) \chi(k) V_k \\
&= \frac{-1}{p} \chi(-1) \sum_{i=1}^{p-1} \omega^{ij} \sum_{k=1}^{p-1} \omega^{i^{-1}k} \chi(i^{-1}k) V_k.
\end{aligned}$$

The last equality hold since  $V_k = 0$  whenever  $\chi(k) \neq -1$ . Redefine the indices using the Rader permutation  $i = \pi^r, j = \pi^t, k = \pi^{-s}$ , where  $\pi$  is a primitive element in  $\mathbb{F}_p$ . The sums remain unaffected as the permutations simply reorder the elements in the sums. Therefore we have

$$B_{\pi^{-s}} = \frac{-1}{p} \chi(-1) \sum_{r=0}^{p-2} \omega^{\pi^{r-s}} \sum_{t=0}^{p-2} \omega^{\pi^{-r+t}} \chi(\pi^{-r+t}) V_{\pi^t}.$$

This is a double cyclic convolution which we can rewrite as

$$B'_{-s} = \sum_{r=0}^{p-2} g_{r-s} \sum_{t=0}^{p-2} g'_{t-r} V'_t,$$

where  $V'_t = V_{\pi^t}$ ,  $B'_s = \frac{-p}{\chi(-1)} B_{\pi^s}$ ,  $g_r = \omega^{\pi^{-r}}$ , and  $g'_r = \chi(\pi^r) \omega^{\pi^{-r}} = (-1)^r \omega^{\pi^{-r}}$ . If  $t$  is even then  $V'_t = 0$  since if  $j$  is a nonzero square  $V_j = 0$ . We can write this double convolution in polynomial form as

$$B'(x^{-1}) = g(x) g'(x) V'(x) \pmod{x^{p-1} - 1}$$

where  $g(x) = \sum_{r=0}^{p-2} \omega^{\pi^{-r}} x^r$  and  $g'(x) = \sum_{r=0}^{p-2} (-1)^r \omega^{\pi^{-r}} x^r$ . Since they only differ in the sign of the odd-indexed terms, the product  $g(x) g'(x)$  has only even-indexed coefficients nonzero. The polynomial  $V'(x)$  has only odd-indexed coefficients nonzero, so the product  $g(x) g'(x) V'(x)$  has all even-indexed coefficients equal to zero. Therefore  $B'_s = 0$  when  $s$  is even and so  $U_j = 0$  whenever  $j$  is a nonzero square.



Now we will show that  $u_\infty = \frac{-\theta}{p} \sum_{i=0}^{p-1} u_i$ .

$$\begin{aligned} \sum_{i=0}^{p-1} u_i &= \chi(-1)v_\infty + \sum_{i=1}^{p-1} \chi(-i^{-1})v_{-i^{-1}} \\ &= \chi(-1)v_\infty + \sum_{i=1}^{p-1} \chi(i)v_i. \end{aligned}$$

We can expand this sum out to

$$\begin{aligned} \sum_{i=1}^{p-1} \chi(i)v_i &= \frac{1}{p} \sum_{i=1}^{p-1} \chi(i) \left( \sum_{k=1}^{p-1} \omega^{-ik}V_k + V_0 \right) \\ &= \frac{\chi(-1)}{p} \sum_{i=1}^{p-1} \sum_{k=1}^{p-1} \chi(-i)\omega^{-ik}V_k \\ &= \frac{\chi(-1)}{p} \sum_{k=1}^{p-1} V_k \chi(k)\theta. \end{aligned}$$

In the same way as in the previous part of the proof, we can replace  $\chi(k)$  with  $-1$  since  $V_k = 0$  whenever  $\chi(k) \neq -1$ .

$$\begin{aligned} \sum_{i=1}^{p-1} \chi(i)v_i &= \frac{-\chi(-1)\theta}{p} \sum_{k=1}^{p-1} V_k \\ &= \frac{-\chi(-1)\theta}{p} (pv_0 - V_0). \end{aligned}$$

Since  $c_\infty = \frac{-\theta}{p}V_0$ , we have

$$\sum_{i=0}^{p-1} u_i = -\chi(-1)\theta c_0 = -\chi(-1)\theta d_\infty.$$

Because  $\theta^2 = p\chi(-1)$  and  $\chi^2(x) = 1$ , we have that  $\chi(-1)\theta = p/\theta$ , and thus

$$\sum_{i=0}^{p-1} u_i = \frac{-p}{\theta} u_\infty.$$

Therefore  $u$  satisfies the same two conditions as  $v$ . □

Using compositions of the shift permutation and the Gleason-Prange permutation, it is possible to send any coordinate to any other coordinate in  $\widehat{C}$ , so by Lemma 10, the minimum weight  $d$  of the code  $C$  is its minimum odd-like weight  $d_0$ .

### Stabilizer codes

**Theorem 16.** [17, Theorem 9] Let  $C_1$  and  $C_2$  denote two classical linear codes with parameters  $[n, k_1, d_1]_R$  and  $[n, k_2, d_2]_R$  such that  $C_2^\perp \leq C_1$ . Then there exists a  $[[n, k_1 + k_2 - n, d]]_R$  stabilizer code with minimum distance  $d = \min \{ \text{wt}(c) \mid c \in (C_1 \setminus C_2^\perp) \cup (C_2 \setminus C_1^\perp) \}$  that is pure to  $\min \{ d_1, d_2 \}$ .

**Theorem 17.** [3, Proposition 4.3] Let  $D_i, i \in \{1, 2\}$  be the odd-like duadic codes over  $R$ , where  $D_i = \langle g_i(x) \rangle$  and  $(x-1)g_1(x)g_2(x) = x^n - 1$ , and let  $C_i$  be the even-like duadic codes over  $R$ , where  $C_i = \langle (x-1)g_i(x) \rangle$ . Then

1. if the splitting is given by  $\mu_{-1}$ , then  $D_1^\perp = C_1$  and  $D_2^\perp = C_2$
2. if the splitting is not given by  $\mu_{-1}$ , then  $D_1^\perp = C_2$  and  $D_2^\perp = C_1$

**Theorem 18.** Let  $n$  be a prime of the form  $n \equiv 3 \pmod{4}$ , and let  $(q, n) = 1$ . If  $q$  is a quadratic residue modulo  $n$ , then there exists a pure  $[[n, 1, d]]_R$  stabilizer code with distance  $d$  satisfying  $d^2 - d + 1 \geq n$ .

*Proof.* The code  $C_Q$  has parameters  $[n, (n+1)/2, d]_R$  and since  $n \equiv 3 \pmod{4}$ , by [13, Lemma 6.2.4] we know that  $-1$  is not a square modulo  $n$ , so  $\mu_{-1}$  gives the splitting for  $C_Q$  and  $C_N$ .

Therefore by Theorem 17 we know that  $C_Q^\perp = C'_Q$ , so  $C_Q$  is self-orthogonal. By Theorem 9 we know that the minimum distance  $d$  is bounded by  $d^2 - d + 1 \geq n$ . Furthermore,

$\text{wt}(C_Q \setminus C_Q^\perp) = \text{wt}(C_Q) = d$ , since the minimum weight of  $C_Q$  is its minimum odd-like weight.

We can therefore construct a  $[[n, (n+1) - n, d]]_R$  stabilizer code by Theorem 16. □

**Theorem 19.** Let  $n$  be a prime of the form  $n \equiv 1 \pmod{4}$ , and let  $(q, n) = 1$ . If  $q$  is a quadratic residue modulo  $n$ , then there exists a pure  $[[n, 1, d]]_R$  stabilizer code with distance  $d$  satisfying  $d \geq \sqrt{n}$ .

*Proof.* The code  $C_Q$  has parameters  $[n, (n+1)/2, d]_R$  and since  $n \equiv 1 \pmod{4}$ , by [13, Lemma 6.2.4] we know that  $-1$  is a square modulo  $n$ , so  $\mu_{-1}$  does not give a splitting for  $C_Q$  and  $C_N$ . Therefore by Theorem 17  $C_Q^\perp = C_N'$ , that is  $C_Q^\perp \leq C_N$ . By Theorem 9 we know that the minimum distance  $d$  is bounded by  $d \geq \sqrt{n}$ . Moreover,

$\text{wt}(C_Q \setminus C_N^\perp) = \text{wt}(C_N \setminus C_Q^\perp) = \text{wt}(C_Q) = \text{wt}(C_N) = d$  since the minimum weight of  $C_Q$  and  $C_N$  is their (common) minimum odd-like weight. Therefore we obtain a pure  $[[n, (n+1)/2 + (n+1)/2 - n, d]]_R$  stabilizer code by Theorem 16. □

## CHAPTER III

### BCH CODES

#### Preliminaries

Let  $A$  be a local finite commutative ring with maximal ideal  $\mathfrak{M}$  and residue field  $\mathbb{K} = A/\mathfrak{M} = \mathbb{F}_{p^m}$  for some prime  $p$  and  $m \in \mathbb{N}$ . Let  $f$  be a monic polynomial of degree  $h$  such that  $\bar{f}$  is irreducible over  $\mathbb{K}$  and therefore also irreducible over  $A$ . Let  $R$  denote the ring of residue classes  $A[x]/\langle f(x) \rangle$  with maximal ideal  $\mathfrak{m} = \langle \mathfrak{M}f(x) \rangle / \langle f(x) \rangle$  and residue field  $\bar{\mathbb{K}} = R/\mathfrak{m}$ . Following are several theorems given without proof from [1]:

**Theorem 20.** [1, Theorem 2.1] *There is only one maximal cyclic subgroup of  $R^*$  having order relatively prime to  $p$ . This cyclic subgroup is denoted by  $G_s$  and has order  $s = p^{mh} - 1$ .*

**Theorem 21.** [1, Theorem 2.2] *Suppose that  $\alpha$  generates a subgroup of order  $s$  (a divisor of  $p^{mh} - 1$ ) in  $R^*$ . Then  $x^s - 1$  can be factored as  $x^s - 1 = (x - \alpha)(x - \alpha^2) \cdots (x - \alpha^s)$  if and only if  $\bar{\alpha}$  has order  $s$  in  $\bar{\mathbb{K}}^*$ .*

**Definition 22.** [1, Definition 2.3] *Let  $\alpha$  be a primitive element of  $G_n$ . Then a cyclic BCH code defined over the ring  $A$  is a cyclic code of length  $n$  generated by a minimal degree polynomial  $g(x)$  (over  $A$ ) whose roots are  $\alpha^{b+1}, \alpha^{b+2}, \dots, \alpha^{b+2t}$ , for some  $b \geq 0$  and  $t \geq 1$ .*

In this case, the parity-check matrix  $H$  is given by

$$H = \begin{bmatrix} 1 & \alpha^{b+1} & \alpha^{2(b+1)} & \dots & \alpha^{(n-1)(b+1)} \\ 1 & \alpha^{b+2} & \alpha^{2(b+2)} & \dots & \alpha^{(n-1)(b+2)} \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ 1 & \alpha^{b+2t} & \alpha^{2(b+2t)} & \dots & \alpha^{(n-1)(b+2t)} \end{bmatrix}.$$

#### Stabilizer codes

**Lemma 23.** *Let  $H$  be the parity-check matrix of a code  $C$ . Then  $C^\perp \subseteq C$  if and only if  $HH^T = 0$ .*

*Proof.* Suppose  $C^\perp \subseteq C$ . By definition of the parity-check matrix,  $xH^T = 0$  for all  $x \in C$ .

Therefore we have  $xH^T = 0$  for all  $x \in C^\perp$ . Since  $H$  generates  $C^\perp$ , the rows of  $H$  are elements of

$C^\perp$  and therefore  $HH^T = 0$ . Now suppose that  $HH^T = 0$ . Since  $H$  generates  $C^\perp$ , every  $x \in C^\perp$  is a linear combination of the rows of  $H$ , so  $xH^T = 0$ , meaning that  $x \in C$ , so  $C^\perp \subseteq C$ .  $\square$

**Theorem 24.** *Let  $C$  be a cyclic BCH code of length  $\ell n$  over the ring  $R$  for  $\ell \in \mathbb{N}$  and  $n = p^{mh} - 1$  for an odd prime  $p$ . If  $2t = \ell n$ , then  $C^\perp \subseteq C$ .*

*Proof.* The parity-check matrix of  $C$  is given by  $(H)_{i,j} = \alpha^{(i-1)(b+j)}$ . Then

$$\begin{aligned}
(HH^T)_{i,j} &= \sum_{k=1}^{2t} \alpha^{(i-1)(b+k)} \alpha^{(j-1)(b+k)} \\
&= \sum_{k=1}^{\ell n} \alpha^{(b+k)(i+j-2)} \\
&= \alpha^{b(i+j-2)} \sum_{k=1}^{\ell n} \alpha^{k(i+j-2)} \\
&= \alpha^{b(i+j-2)} \sum_{x=0}^{\ell-1} \sum_{y=1}^n \alpha^{(xn+y)(i+j-2)} \\
&= \alpha^{b(i+j-2)} \sum_{x=0}^{\ell-1} \alpha^{xn(i+j-2)} \sum_{y=1}^n \alpha^{y(i+j-2)}.
\end{aligned}$$

Focusing on the inner sum, we see that

$$\begin{aligned}
\sum_{y=1}^n \alpha^{y(i+j-2)} &= \sum_{y=1}^{n/2} \alpha^{y(i+j-2)} + \sum_{y=(n/2)+1}^n \alpha^{y(i+j-2)} \\
&= \sum_{y=1}^{n/2} \alpha^{y(i+j-2)} + \alpha^{n/2} \sum_{y=1}^{n/2} \alpha^{y(i+j-2)} \\
&= \sum_{y=1}^{n/2} \left( \alpha^{y(i+j-2)} - \alpha^{y(i+j-2)} \right) = 0.
\end{aligned}$$

By substituting the inner sum back into the original expression, we have that  $(HH^T)_{i,j} = 0$  for all values of  $i, j$ , so by Lemma 23 we have that  $C^\perp \subseteq C$ .  $\square$

**Theorem 25.** *Let  $R$  be a finite chain ring with residue field  $\mathbb{F}_{p^m}$  for an odd prime  $p$ . Then there exists an  $[[p^{mh} - 1, 0, 2t]]_R$  stabilizer code.*

*Proof.* Follows directly from Theorems 16 and 24.  $\square$

## CHAPTER IV

### CONCLUSION

One of the largest issues in quantum computing is the inherent instability of the quantum systems used in the qubits. While there has been previous work done on the existence of stabilizer codes over the more general class of Frobenius rings in [17], there has been little to no work done on constructing these codes. In this paper we focused on stabilizer codes based on classical codes over finite chain rings and gave a method for explicitly constructing stabilizer codes from quadratic residue and BCH codes over finite chain rings using a CSS construction. We also extended the Gleason-Prange theorem to the class of finite chain rings which allowed us to exactly characterize the minimum distance of the quadratic residue quantum stabilizer codes as the minimum odd-like weight of the classical quadratic residue code.

The codes that we have constructed over the finite chain rings are important because although they have been shown to never have minimum distances that beat their counterparts over finite fields, they may make up for this with simpler arithmetics, which reduces the amount of time that needed to perform calculations. This could be important especially with regards to error correcting on a quantum computer, which must be done constantly to keep the qubits stable. One future direction of study would be to determine which of these stabilizer codes over finite chain ring perform better than their finite field analogues, as these codes might be of interest to researchers actively developing quantum systems.

Another future direction of study would be to find better bounds for the stabilizer codes we have constructed. One way to do this would be to use the symplectic weight enumerators of the codes and then to construct a linear programming bound similar to the one in [14] for codes over finite fields.

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