



# Conceptualizing gendered vulnerability to climate change in the Hindu Kush Himalaya: Contextual conditions and drivers of change

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1 **Conceptualizing gendered vulnerability to climate change in the Hindu Kush Himalaya:**

2 **Contextual conditions and drivers of change**

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10

11 Abstract

12 Not all women or all men are equally vulnerable. Manifestations of vulnerability to climate  
13 change vary in different groups of people, based on their position in a social and gender structure  
14 in a particular location and at a particular time. We need to understand the pre-existing  
15 conditions, what we term “contextual conditions” that underlie experiences of vulnerability and  
16 lead to its complexity and reproduction. This paper is based on a literature review and takes the  
17 standpoint that not only is gender a powerful and pervasive contextual condition, but that it  
18 intersects with other contextual conditions to shape vulnerabilities. Further, gender and other  
19 contextual conditions also influence and are influenced by socioeconomic drivers of change to  
20 produce differential gendered vulnerabilities. Therefore, manifestations of gendered vulnerability  
21 to climate change are the result of complex and interlinked factors, which cannot be simplified

22 for the sake of efficiency. This paper offers a conceptual framework bringing together these  
23 interlinkages and intersectionalities in understanding differential gendered vulnerabilities.

24 Keywords: climate change, gender, Hindu Kush Himalaya, intersectionalities, vulnerabilities

## 25 Highlights

- 26 1. Gender is a pervasive contextual condition that intersects with other (contextual)  
27 conditions such as social, political, geographical and economic structures and setting, and  
28 with socioeconomic drivers of change like globalisation, urbanisation, consumerism and  
29 other development like market, infrastructure and technology, to create conditions of  
30 vulnerability.
- 31 2. Manifestations of gendered vulnerability to climate change are the result of complex and  
32 interlinked factors, which cannot be simplified for the sake of efficiency.
- 33 3. Simplification of gender leads to the danger of missing important and critical nuances  
34 which could be the underpinning for effective adaptation policy and practice.

35

## 36 **1. Introduction**

37 Climate change is unequivocal and no longer a future phenomenon, but rather a present and real  
38 threat that is currently being experienced (Baird, 2008; MacGregor, 2010; Pachauri et al. 2014).

39 This has resulted to a paradigm shift, whereby most working in the field of climate change no  
40 longer question whether climate change is happening. Rather, they seek to understand what  
41 climate changes are being experienced, expected and where they are found; what the felt and  
42 anticipated impacts are; who is and will be impacted; and how adaptation is occurring and can  
43 occur (MacGregor, 2010).

44 While there is a growing body of research into the impacts of climate change on society that  
45 particularly recognizes the differential and unequal impacts of climate change on women and  
46 men, “the picture is far from complete” (Skinner, 2011: 36) as there is less understanding of how  
47 and why these unequal impacts and differential vulnerabilities occur. Understanding these  
48 unequal impacts and differential vulnerabilities of women and men to climate change is argued  
49 to support adaptation (Neumayer and Plumper, 2007), yet it is difficult to generalize our  
50 understanding of the differential vulnerabilities, as they are context specific. Vulnerabilities  
51 resulting from climatic stressors are not only the result of changes in climate, but rather  
52 manifestations of interlinkages and intersections of the contextual conditions (viz. social and  
53 gender, political, economic and geographical location) and socioeconomic drivers of change  
54 such as globalization, urbanization, and technological and infrastructure development. However,  
55 vulnerabilities to climate change are often studied in isolation by compartmentalizing the various  
56 interlinked contextual conditions and other socioeconomic drivers of change. Due to such focus  
57 only on climatic stressors, gendered vulnerabilities to climate change are frequently studied with  
58 respect to disaster and hazards (Brooks, 2003); little knowledge exists of the gendered impacts of  
59 gradual climatic changes, which are closely linked to socioeconomic factors.

60 This paper takes the approach that gender, which is a social structure, is a pervasive contextual  
61 condition that intersects with other contextual conditions, and with socioeconomic drivers of  
62 change, to create conditions of vulnerability. Therefore, manifestations of gendered vulnerability  
63 to climate change are the result of complex and interlinked factors which cannot be simplified  
64 for the sake of efficiency. Simplification leads to the danger of missing important and critical  
65 nuances which could be the underpinning for effective adaptation policy and practice.

66 We offer a conceptual framework that combines the interlinkages and intersectionality of  
67 contextual conditions and socioeconomic drivers of change in both understanding gendered  
68 vulnerabilities and addressing these issues while preparing plans and policies. The ultimate goal,  
69 as articulated by Liverman (1990: 29) is “not to further the semantic or theoretical debate” but  
70 rather to understand the underlying causes of why particular groups and individuals are more  
71 vulnerable to climatic change.

72 Following this introduction, Section 2 gives a brief background of the Hindu Kush Himalaya  
73 (HKH) region and its people in changing climatic conditions. Section 3 unfolds the conceptual  
74 framework, and Section 4 summarizes the discussion in terms of gendered vulnerabilities and  
75 lays out the potential usefulness of the framework.

## 76 **2. The Hindu Kush Himalaya, its peoples and changing climate**

77 The HKH region, which includes the entire countries of Nepal and Bhutan and the mountainous  
78 regions of Afghanistan, Pakistan, China, India, Bangladesh and Myanmar, covers a distance of  
79 3500 km and covers an area of around 4.3 million km<sup>2</sup> (Singh et al. 2011; Zomer et al. 2009).  
80 Ten of Asia’s major rivers originate from the region (Figure 1), providing freshwater not only to  
81 the mountain people but also to those living downstream.

82 (Insert Figure)

83 Figure 1. Rivers and downstream river basins of the HKH.

84 The region has an opulent and wide diversity of natural resources. However, this biological and  
85 natural richness is offset by geological fragility and geographical isolation. The upshots of this  
86 mountain-specific biophysical condition of fragility and isolation are poor physical and  
87 economic infrastructure; poor access to markets, technologies and information; poor institutional

88 services; and limited economic opportunities (Fang and Leduc, 2010). Consequently, most  
89 people here are marginalized and are among the poorest in the region living on subsistence level.  
90 According to a report by the International Centre for Integrated Mountain Development  
91 (ICIMOD), 31% of the population of the HKH live below the poverty line (Hunzai et al. 2011).  
92 Poverty in the region generally manifests in low income, ill health, poor access to health  
93 facilities, malnutrition, poor education, low skills, high dependence on natural environment, high  
94 insecurity (due to political disturbances which are often violent, the insecurity is also due to the  
95 topography and physiology of the region that is prone of numerous natural hazards and risks, physical  
96 vulnerability, drudgery, and limited capability and capacity for enterprise (Karki et al. 2011).  
97 From time immemorial, mountain people have learned to adapt to changing seasons and extreme  
98 weather conditions (Leduc and Shrestha, 2008). Throughout history they have been exposed to  
99 conditions of too much or too little water, and the regular disaster events that such conditions  
100 bring about (Klatzel and Murray, 2009; Rhoades, 2007; Tsering et al. 2012; UNEP, 2004). The  
101 difference now is that the intensity and frequency of such stress events have increased. It is  
102 anticipated that climate change will have a significant impact in the HKH region owing to  
103 disruptions to the “fine equilibrium of snow, ice and water” (Kulkarni et al. 2013: 142).  
104 There is limited reliable information on climate parameters in the HKH, as there are few climate  
105 stations in the region (Chettri and Sharma, 2016), but studies show that the region experiences  
106 above-average warming and climatic variability. Since the 20<sup>th</sup> century progressive warming at  
107 higher altitudes of the Himalayas has been 3–5 times greater than the global average (Karki et al.  
108 2011; Liu and Chen, 2000; Shrestha et al. 1999; Yao et al. 2007). Kraaijenbrink et al. (2017)  
109 project that a global temperature rise of 1.5°C will lead to warming of  $2.1 \pm 0.1^\circ\text{C}$  in the high  
110 mountains of Asia, including HKH.

111 There is less clarity in historical precipitation trends (Bhutiyani et al. 2010; Shrestha et al. 2000),  
112 yet it is acknowledged that rainfall patterns are changing, with an increase in the frequency and  
113 intensity of rainfall events, and changes in the timing and length of the monsoon period  
114 (Goswami et al. 2006; Ramesh and Goswami, 2007). Lutz et al. (2014) have projected that until  
115 mid-century there will be an increase in run-off due to increased precipitation in the upper  
116 Ganges, Brahmaputra, Salween and Mekong basins, and accelerated melt in the upper Indus  
117 Basin. There is likely to be less water in the Indus, Tarim, Yangtze, Brahmaputra and Amu  
118 Darya rivers later in the century owing to loss of glacial melt (Xu et al. 2009). Thus, climate  
119 change has major implications for water availability, making “it more uncertain, both in time and  
120 space” (Sharma et al. 2009: 2). Such changes would have acute effects on natural ecosystems, as  
121 well as on people’s livelihoods and wellbeing (Chettri et al. 2014; Mertz et al. 2009).

122 In addition, the changing socioeconomic contexts, especially those driven by external factors that  
123 have come about due to rapid changes in society, such as market forces, infrastructure  
124 development, etc., have weakened the ability of communities to adapt as before. While there is  
125 little research in this field, there are “indications that knowledge and practices that once  
126 contributed to reducing vulnerabilities are eroding in the face of cash incentives and needs, and  
127 livelihood diversification” (Hewitt and Mehta, 2012: 4). There is limited understanding on how  
128 the effects of climate change will impact the geographically diverse mountain ecosystems and, in  
129 turn, the complexity of the lives, livelihoods, resources and wellbeing of diverse communities in  
130 the region.

### 131 **3. Conceptualizing gendered vulnerabilities to climate change**

132 While the earliest meaning of “vulnerability” is the capacity to be wounded (Fussel, 2007) the  
133 term has evolved over time, taking on diverse and contested sets of meanings within climate

134 change research and practice (Adger, 2006; Malone, 2009). Until the 1980s the definition of  
135 vulnerability was associated with exposure to biophysical risks (Adger and Kelly, 1999; Hewitt,  
136 1983). A paradigm shift began with Wisner (1978) and Hewitt (1983) with a growing recognition  
137 that the impacts of hazardous events, even within small geographic areas, are not homogenous.  
138 Apart from the biophysical characteristics of an event that determine vulnerabilities, there are  
139 also deep social features. Social vulnerability take into account not only the biophysical aspect  
140 but also the pre-existing internal conditions and social structures that determine social  
141 positioning of an individual/group resulting in differential impacts of a hazard (Dow, 1992;  
142 Gerlitz et al. 2014; Hewitt, 1983). A crucial facet of vulnerability is gender, and the recognition  
143 that women and men experience climatic change and associated hazard events differently  
144 (Aguilar, 2009, 2013; Hemmati and Rohr, 2009; Lambrou and Piana, 2006; Terry, 2009).  
145 Furthermore, the impacts of the changes can further exacerbate already existing inequalities, and  
146 these in turn can compound the vulnerabilities of those who are in a subordinate position in the  
147 social and gender structure (Bennett, 2005; Brody et al. 2008; Neumayer and Plumper, 2007).  
148 Although women and girls in general face more negative impacts in hazardous events, this does  
149 not mean that women are inherently vulnerable to such events (Arora-Jonsson, 2011;  
150 Dankelman, 2002; Lambrou and Nelson, 2010; Nellesmann et al. 2011; Sultana, 2010). As  
151 articulated by Wisner et al. (2003: 16), “it is not female gender itself that marks vulnerability but  
152 rather gender in a specific situation”. Gender and gendered difference are socially constructed,  
153 reflecting the legitimized social and cultural norms at a particular spatial and temporal juncture.  
154 These constructions are not constant, but change over time and space to reflect evolving realities  
155 (Butler, 1988; Sogani, 2013; Sultana, 2010; Tschakert 2012; West and Zimmerman, 1987).  
156 Gendered experiences are not homogenous, and the practice of binarizing gendered experience to



157 simplistic experiences of only male and female – as often seen in development projects, for  
158 instance in terms of the construction of the “Southern woman” (Cornwall, 2001; Mohanty,  
159 1988), and more recently “mountain women” (Joshi, 2014) – is problematic. Such a simplistic  
160 approach ignores the critical issue of power relations that are determined by the social context  
161 (Arora-Jonsson, 2011; Carr and Thompson, 2014; Demetriades and Esplen, 2008; McCall, 2005;  
162 Rodenberg, 2009).

163 Recognizing that gender intersects with other axes of social differentiations (e.g. ethnicity, age,  
164 class, caste, health and disability), resulting in a mutually constitutive identity (Barager, 2009;  
165 Davis, 2008; Kabeer, 2015; Yuval-Davis, 2006), the framework takes the intersectionality  
166 approach, which is a feminist sociological concept introduced by Crenshaw (1989).  
167 “Intersectionality is the specific combinations of the intersecting axes of social differentiations  
168 that shape social and gendered positions, and lived experiences” (Osborne, 2013: 131). When  
169 integrating gender into an understanding of vulnerability to climate change impacts and disasters,  
170 it is critical to ensure “more agile understandings of women and men” (Resurrección, 2013: 41)  
171 that reflect how gendered experiences are the outcome of such intersectionality (Aguilar, 2009;  
172 Ahmed and Fajber, 2009; Arora-Jonsson, 2011; Mitchell et al. 2007; Nightingale, 2011). In  
173 addition, this conceptual framework combines perspectives of the social relations approach  
174 (SRA), the feminist political ecology (FPE) and the vulnerability framework of the pressure and  
175 release model (PAR).

176 The SRA emphasizes an analysis of relationships between people, their relationships to resources  
177 and activities, and how these are reworked across institutional levels in specific contexts – from  
178 the household to formal and informal institutions including the state and the market (Kabeer,  
179 1994; Kabeer and Subrahmanian, 1996: 25). The SRA departs from narrow, technical

180 interpretations of gender as women and of women and men as isolated categories, thus shifting  
181 away from the rather “impersonal, apolitical, and universal imaginary of climate change (impacts  
182 and interventions), projected and endorsed by science” (Jasanoff, 2010: 235).

183 FPE recognizes the close interlinkages of gender with other social categories and differences in  
184 gender–environment relations, and points out that resource-related relationships relate to  
185 “women’s particular circumstances” (Molyneux, 2007: 231), which also vary in different social,  
186 political and economic settings (Rocheleau et al. 1996). FPE recognizes the importance of  
187 examining people’s embodied experiences of resource degradation, disasters, mobility and  
188 displacement as these connect with other scales of power and decision making (Hanson, 2016;  
189 Harding, 2008). Thus FPE brings in the importance of the intersectional approach and analysis of  
190 gender–environment relations, which considers the dynamic combination of gender with social  
191 factors (Elmhirst, 2011).

192 The PAR model was developed from a political ecology perspective with the intent to bridge the  
193 divide between social and biophysical understandings of vulnerability (Adger, 2006; Blaikie et  
194 al. 1994). It not only shows the physical hazards but also identifies economic, demographic and  
195 political processes as the most important root causes in the progression towards vulnerability,  
196 because these reflect the functioning of the state and the distribution of power, and therefore  
197 “affect the allocation and distribution of resources, among different people” (Wisner et al. 2003:  
198 52). The PAR model gives the progression of vulnerability “from root causes through local  
199 geography and social differentiation” (Adger, 2006: 272).

200 This paper conceptualizes vulnerability not just as a characteristic of some groups, but rather as a  
201 condition produced and driven by a wide variety of conditions. Vulnerability is embedded in  
202 everyday power relations and the political economy, and is inflected by social capital (Pelling

203 and High, 2005; Turner, 2013), gender (Morchain et al. 2015; Sultana, 2014) and ethnicity  
204 (Bolin, 2007) among other factors. Vulnerable groups are not only at risk because they are  
205 exposed to a hazard, but also as a result of marginality, of everyday patterns of social interaction  
206 and organization and of access to resources. In this sense, vulnerability describes a set of  
207 conditions of people that derives from the historical and prevailing cultural, social,  
208 environmental, political and economic contexts. Thus the major contextual conditions that  
209 influence vulnerability of an individual or group in the HKH are social and gender structure,  
210 geographical location, economic setting and political environment.

211 These contextual conditions interact with each other and with other external drivers such as  
212 market forces, urbanization, consumerism, infrastructure development and technological  
213 interventions, and produce differential types and degrees of vulnerability. Thus the framework  
214 brings in the changing contexts as drivers of change, which have been often ignored in studies on  
215 gender and social vulnerability that mostly focus on assets and resources (Vincent, 2004).

216

217 To understand gendered vulnerabilities, the framework brings in two domains: contextual  
218 conditions and drivers of change. Within each of these are various components that intersect and  
219 interplay with each other. The interplay of various contextual conditions and drivers of change  
220 shapes the vulnerabilities of individuals and groups of women and men. Thus, the framework  
221 captures the multiple and multi-layered “determinants that shape differentiated context specific  
222 vulnerabilities” of individuals and groups (Ravera et al. 2016: 335).

223 (Insert Figure)

224 Figure 2 Conceptual framework on Climate Change and Gendered Vulnerabilities

## 225 *3.1 Contextual conditions*

226

227 The contextual conditions are akin to the root causes as given in the PAR model, and are  
228 understood within this paper as the various broader conditions and contexts that are present, and  
229 which are often a result of historical structures and processes. The contextual conditions  
230 identified are social and gender structure, geographic location, economic setting and political  
231 environment. The fundamental point of this framework is the approach that gender is not only a  
232 powerful and pervasive contextual condition, but that gender intersects with other contextual  
233 conditions to shape vulnerabilities.

### 234 *3.1.1 Social structure*

235 Social structure is made up of social relations that are determined by multiple and layered factors  
236 and elements such as cultural norms and patterns, religious beliefs, institutional composition and  
237 systems, social interaction, marginality, gendered division of labor, gendered mobility and access  
238 to and control over resources (Eakin et al. 2014; Ferriss, 2006; Liverman, 2015; MacGregor,  
239 2010; Tschakart, 2012). Furthermore, social structure is dynamic, and is produced and  
240 reproduced throughout history; it is thus a “richly textured n-dimensional space in which  
241 [individuals and] organisations navigate” (Lounsbury and Ventresca, 2002: 3). As aptly put by  
242 MacGregor (2010: 149):

243           Structural conditions and drivers are the mechanisms by which fragmented and complex  
244           subjects [people/groups/communities] are formed, and how they are perpetuated  
245           through various layers of inequality and oppression, and how they act in the context of  
246           contextualized power.

247 Many different social organizers create social differences, including caste, class, ethnicity, age,  
248 wealth and religion. In the HKH the social structure plays out in numerous ways and processes,  
249 such as class, caste, ethnicity, race, religion, age, health, disability and language. There are also

250 other, more nuanced and fluid features, such as marital status, number of children, number of  
251 sons versus daughters, profession and education.

252 Class is a form of social stratification, wherein people are categorized based upon social,  
253 economic and political status. A principal component of class is its fluidity and social mobility,  
254 as the individual has the ability to move both upwards and downwards within the hierarchy  
255 (Acker, 2006; Anthias and Yuval-Davis, 1983). Thus, there is “a sustained and hidden logic to  
256 the structuring and restructuring of class relations over time” (Duncan, 2009: 181). In the HKH  
257 class is also defined by the ethnicity of individuals; certain ethnic groups, particularly the  
258 indigenous groups and tribes who have been in the periphery of political power, are often looked  
259 upon and treated as “lower” class. People belonging to the lower class have by definition less  
260 power and fewer resources, making them more vulnerable than those belonging to the upper  
261 class.

262 Caste is associated with Hinduism and is a “hereditary and hierarchic system” (IDSN, 2009: 2) in  
263 which social position is ascribed at birth. Families are assigned into one of four caste groups,  
264 known as *varna* in the hierarchy (Subedi, 2010). Although the caste system was created to fulfil  
265 all social needs through the ascription of position and the occupations associated with these  
266 positions, the system has resulted in pervasive inequalities and discrimination (Cameron, 1998;  
267 IDSN, 2009; Kumar, 2014). While there are differences between HKH countries in terms of the  
268 experiences of the various caste groups owing to different political, historical and religious  
269 backgrounds, caste-based discrimination, exclusion and marginalization is quite prevalent  
270 (Bennett, 2005; Bennett et al. 2008; Rao, 2010). Such discrimination and exclusions render some  
271 groups of people more vulnerable than others.



297 constructions are contested and (re)negotiated over time, even in the same community (Lazar,  
298 2005; Nelson and Stathers, 2009; Sultana, 2009). In the HKH, there is a high level of diversity in  
299 terms of cultures, religions, beliefs, norms and practices within and between the countries, but a  
300 patriarchal social structure is the over-arching common characteristic that defines the majority of  
301 gender relations in the region (Gurung, 1999). In general, the gender division of labor in the  
302 HKH is highly skewed, with women shouldering huge amounts of work within the household  
303 and the community, as well as in agriculture and other casual labor. However, women continue  
304 to be “constrained by unequal power relations, gender biased attitudes and norms” and often by  
305 “systematic exclusion and under-representation”, resulting in limited access to resources,  
306 ownership and control over critical natural resources (Goodrich et al. 2017: 12). The paper from  
307 Bangladesh in this issue highlights how norms and values associated with marriage practices in  
308 Bangladesh is a barrier to implement affirmative policy to women’s empowerment. This would  
309 result in women’s increased vulnerability to climate change, climate variability and climate-  
310 induced disasters. Their work load would increase, access to basic services and development  
311 services would be limited and they would also face the risk of gender-based violence, sexual  
312 harassment/assault and trafficking. Thus gender inequalities play a critical role in shaping  
313 differential vulnerabilities for women and men in relation to climate change-associated risks  
314 (Ravon, 2014).

### 315 3.1.3 Geographic location

316 Some regions and areas, particularly coastal zones and mountain regions, are more exposed to  
317 hazardous events (IPCC, 2012). Thus, people and communities can have, or be at risk of, higher  
318 levels of vulnerability as a result of where they are located (Dilley et al. 2005). Mountain regions  
319 are particularly exposed to climate change and more likely to be among the most vulnerable owing  
320 to the sensitivity of snow cover and its fast reaction to temperature changes (McDowell et al.

321 2013). People living in high mountains and close to glaciers are threatened by possible glacial lake  
322 floods outburst (GLOF) The increasing snowmelt can raise water flows in the river downstream,  
323 making the lives of people close to rivers more vulnerable. The floodplains of the HKH face  
324 another set of climate-related hazards, such as floods and cyclones on the one hand and droughts  
325 on the other hand. These same areas will also be exposed to sea-level rise, leading to increased  
326 risks of flooding and salinization of water and agricultural land (Memon, 2012). Since the socio-  
327 economically challenged population lives in geographically vulnerable areas, the impact of climate  
328 change will make these people more vulnerable.

329 Yet another dimension of geographical location is the division into rural and urban. Rural areas  
330 can be vulnerable owing to their relative remoteness, resulting in poor access to public services  
331 including transportation, education and healthcare facilities (Kapoor and Ojha, 2006; Thieme and  
332 Müller-Böker, 2004), which can reduce people's capacities to adapt and recover. In the HKH the  
333 rural areas are often located in remote mountains, and on steep slopes, making the vulnerability of  
334 the people living there even more acute. Urban areas also have their own set of vulnerabilities,  
335 such water shortage, and poor-quality water, sanitation and drainage. The urban centers are  
336 vulnerable to flooding:

337 from the sea (higher sea levels and storm surges); from rainfall – for instance by  
338 heavier rainfall or rainfall that is more prolonged than in the past; and from  
339 changes that increase river flows – for instance through increased glacial melt

340 Satterthwaite, 2007: 5

341 All countries in the HKH fall under low- and middle-income groups, where most often the quality  
342 of housing and infrastructure in the urban centers is mostly not of the best; nor is urban planning  
343 and land-use management well developed. As a result, vulnerabilities increase for urban residents



344 in the form of poor-quality water and sanitation, water shortages, damage to houses and property,  
345 drainage problems and spread of disease (Haque et al. 2103; Howard et al. 2016).

346 Owing to its geographic location and topography, the HKH faces specific vulnerabilities related  
347 to climate, resulting in substantial destruction of land and property along with loss of lives and  
348 livelihoods, leading to aggravation of the problems of poverty, food insecurity, hazards and social  
349 inequity.

#### 350 3.1.4 Economic setting

351 The vulnerability of populations to climate change is closely related to economic poverty (IPCC,  
352 2012; Leichenko and Silva, 2014). Economic conditions are understood not only as tangible  
353 economic capital, but also as the ability to access economic institutions for financial assistance.  
354 As a result, while individuals and households within a geographical locality can be exposed to  
355 the same degree of risk, the poorest are most likely to be vulnerable as a result of their exposure  
356 (Demetriades and Esplen, 2008). This is because: (1) they tend to be less able to invest, either  
357 through personal assets or loans, in measures to help cope with, and recover from, climate-  
358 related hazards; (2) those with fewer financial resources have less access to knowledge,  
359 information and services on climatic risks and hazards, and on adaptation measures; and (3) rural  
360 poor depend more on natural resources directly impacted by climate change for their livelihoods,  
361 such as agriculture, fishing and pastoralism in urban areas the poor are more involved in low-  
362 income, informal labor that offers no protection when there is disruption to such work from  
363 climate events ((Leichenko and Silva, 2014; UNFPA, 2009) . The HKH is one of the world's  
364 poorest regions (Zomer et al. 2009), so economic conditions play a major role in shaping the  
365 degree and type of vulnerability of its people.

### 366 3.1.5 Political environment

367 Political powers, whether at the international, regional, national, community or household level,  
368 have a key role in vulnerability to climate change. Those holding political power are able to  
369 make decisions on mitigation and adaptation, as well as on social choices that in turn influence  
370 vulnerability and the recognition of current experiences of vulnerability. Thus, the political  
371 environment and degree of meaningful representation in decision making underlie manifestations  
372 of vulnerability to climate change, as they determine the extent to which the various experiences  
373 and needs of different groups on climate change are incorporated into decision making.  
374 However, participation in decision making is often captured by elite groups within societies,  
375 leading to the exclusion and under-representation of marginalized and minority groups such as  
376 women, lower castes, ethnic minorities, the poor, the less educated and the disabled (Agrawal,  
377 2001; Pelling, 1999; Ribot, 2010). In this way a category of people with no political leverage or  
378 representation in the policy and institutional decisions that affect their lives are the ones who are  
379 more vulnerable to climate-induced risks and hazards. The mountain people are politically  
380 under-represented in national, regional and often global decision making, even in those decisions  
381 pertaining to resources from the mountains and which could have everyday implications on their  
382 lives and livelihoods. Often, such decisions are made in far-off capital cities in the lowlands  
383 where most centers of political power are located.

### 384 3.2 Drivers of change

385 Drivers of change are those forces that are externally driven and have been emerging over time,  
386 which have deep implications for the vulnerabilities of individuals and groups. Some major  
387 drivers of change in the HKH are globalization, market forces, urbanization, consumerism,  
388 infrastructure development and technological interventions. These drivers of change are

389 accelerating at an alarming pace, “opening up the mountain communities to a wider world of  
390 institutional arrangements, relationships and opportunities” (Goodrich et al. 2017: 12).  
391 Globalization and regionalization trends and processes with economic liberalization are  
392 connecting markets, infrastructural development, industrialization and urbanization, creating an  
393 increasingly built-up environment (Goodrich et al. 2017: 2–3) and fuelling consumerism,  
394 aspirations and migration. Technological interventions have given the power of information,  
395 (among others) to many people, but at the same time have marginalized those who lack access to  
396 these resources. These changes have a major role in determining the degree and type of  
397 vulnerabilities of individuals and groups.

### 398 *3.3 Intersectionality and gendered vulnerability in the HKH*

399 In this paper we conceptualize intersectionality as the various contextual conditions and drivers  
400 of change “interacting with and co-constituting one another to create unique [vulnerabilities] that  
401 vary according to time and place” (Hankivsky, 2014: 9). People in mountain areas of HKH  
402 experience over-arching political, economic and social marginalization vis-à-vis lowland people  
403 (Gurung, 1999). Living in marginal and fragile environments means limited access to material,  
404 social, economic and political resources, leading to limited skills, technologies and income-  
405 earning possibilities (Pasteur, 2011). There is then the further intersecting of the various  
406 contextual conditions within the HKH that go on to create multiple identities of both women and  
407 men. The interplay of the multiple identities, such as caste, class, ethnicity, gender, age,  
408 education and health, shape differentiated vulnerabilities (Below et al. 2012; Huynh and  
409 Resurrección, 2014; Nightingale, 2011; Onta and Resurrección, 2011; Ray-Bennett, 2009). A  
410 critical aspect of the contextual conditions is the dynamic nature of each of the conditions,  
411 reflecting the changing times and discoveries that are internalized and legitimized within the

412 contextual conditions to recreate new realities within which individuals operate and navigate.

413 Thus, in the intersectionality and interplay of contextual conditions that create multiple layers of  
414 identities, specific location and time is critical.

415 The contextual conditions further interplay with the external (and often new) drivers of change,  
416 shaping and re-shaping people's vulnerabilities. The drivers of change outlined above have led to  
417 transformations in people's aspirations, attitudes and values. These have resulted in  
418 comprehensive changes in (choices of) livelihood patterns and systems, as well as utilization and  
419 acquisition of resources, which in turn are creating new or intensifying the ongoing dynamics of  
420 vulnerabilities. The phenomena of globalization, urbanization and technological interventions are  
421 increasing the trend of outmigration of young men from the mountains, leading to increased  
422 workloads and responsibilities for women. These, in turn, result in higher drop-out rates of girls  
423 from formal education, increased gender-based violence and trafficking of women and girls.  
424 Similarly, because of fast-paced urbanization, infrastructure development and technological  
425 interventions, the poorest people are pushed to reside in at-risk locations, such as on steep slopes  
426 or floodplains that are often deemed unsuitable for residence. The paper on Gandaki in this  
427 special issue highlights increased vulnerabilities of women and children who are left behind due  
428 to long-term migration of men.

429 In addition, infrastructure development like roads have connected remote mountain villages to  
430 cities, bringing in urban goods such as processed food, which is found to be replacing the  
431 traditional consumption of high-value nutritious mountain grains like barley, buckwheat and  
432 millet ((Hi-AWARE, 2017). As a result, there is a growing trend of low nutrition intake among  
433 mountain people, making them more vulnerable when coping with life's hardships. Similarly,  
434 changes in technology and markets have played their part. For instance, the trend of promoting

435 cheese production in high mountain areas has resulted in minimal consumption of milk by  
436 families, so they can earn money. This change is perceived by community members as a reason  
437 for the growing problem of knee pain among older populations (Hi-AWARE, 2017). The trend  
438 towards globalisation, and increased involvement in economic activities, is found to be eroding  
439 the age-old practice of collective action in high mountain regions, increasing people's  
440 vulnerability.

#### 441 **4. Gendered vulnerabilities**

442 Vulnerabilities are not gender neutral. They are gendered and manifest themselves in differential  
443 ways to different groups/categories of women and men. Gender plays a key role in determining  
444 the degree of vulnerability of an individual but, more importantly, it is the combination of gender  
445 with other axes of social differentiations that shapes vulnerabilities. Therefore, stereotypes  
446 around the relationships between gender as a homogeneous group and the environment conceal  
447 inequalities and overlook complex environmental and gender dynamics (Chant, 2008). The  
448 framework brings out the multiple conditions present in the societies, and the external factors of  
449 change, which intersect and interplay in a specific location and time as key elements shaping  
450 gendered vulnerabilities of different individuals and groups to climate change and climate  
451 variability. The interplay and intersection of multiple conditions and drivers of change alter or  
452 influence the livelihood options of women and men, determining their capability to respond to  
453 risk posed by climatic and socioeconomic stressors. Vulnerability is, therefore, a dynamic  
454 condition produced by existing inequities in distribution and access to resources, and an  
455 individual's choices and opportunities; these ultimately also shape women's agency that has  
456 implications for their vulnerabilities and capacity and vice versa (Kabeer, 2005; Thenjiwe, et. al.  
457 2014). These are shaped not only by the history of social domination and marginalization, as

458 suggested by Eakin and Luers (2006), but also by the ongoing factors of change, location and  
459 time. Thus, vulnerability is not only experienced, but rather embodied, based upon personal  
460 circumstances (Liverman, 2015). Simplifying “gender” to binaries of women and men – or even  
461 considering its intersectionality only with social structures of class, caste, ethnicity and age – will  
462 lead us to miss the multidimensional impacts and implications of climate change and climate  
463 variability on different groups of people. The feminist intersectional approach in the framework  
464 takes a two-layered interdisciplinary research approach which integrates *contextual conditions*  
465 (social, geographic, economic and political contexts) with emerging *drivers of change*. The  
466 conceptual framework broadens the PAR model by considering SRA and FPE approaches.  
467 By representing complex contextual realities in the face of external drivers of change, this  
468 intersectional approach can help in improving our understanding of how gender intersects with  
469 other social fault lines such as ethnicity, caste, age, wealth, class and capabilities, and also  
470 interplays with external factors of change to ultimately shape vulnerabilities. The framework can  
471 be useful in visualizing how contextual conditions are changing and being renegotiated under  
472 new drivers of change. It can help us to understand how interactions among multiple social  
473 dimensions of power and change not only shape gendered vulnerabilities, but also capacities.  
474 This, in turn, will contribute to the planning and development of adaptation policies and  
475 strategies to deal with the impact of climate change (Ravera et al. 2016). This framework has  
476 been used in the empirical papers that follow this paper in this issue. Based on the context of the  
477 study area, each paper looked at the predominant intersectional issues shaping gender  
478 vulnerabilities in the area given.

479

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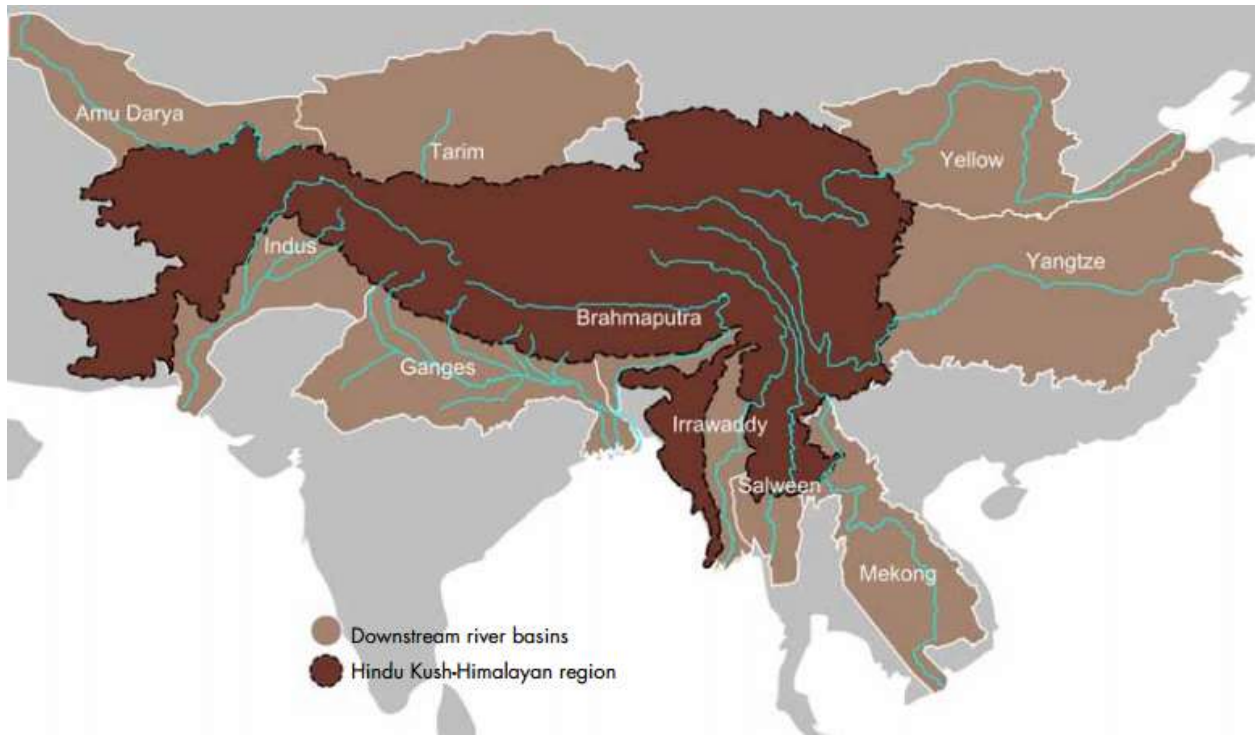
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812 Figure 1 Rivers and downstream river basins of the HKH.

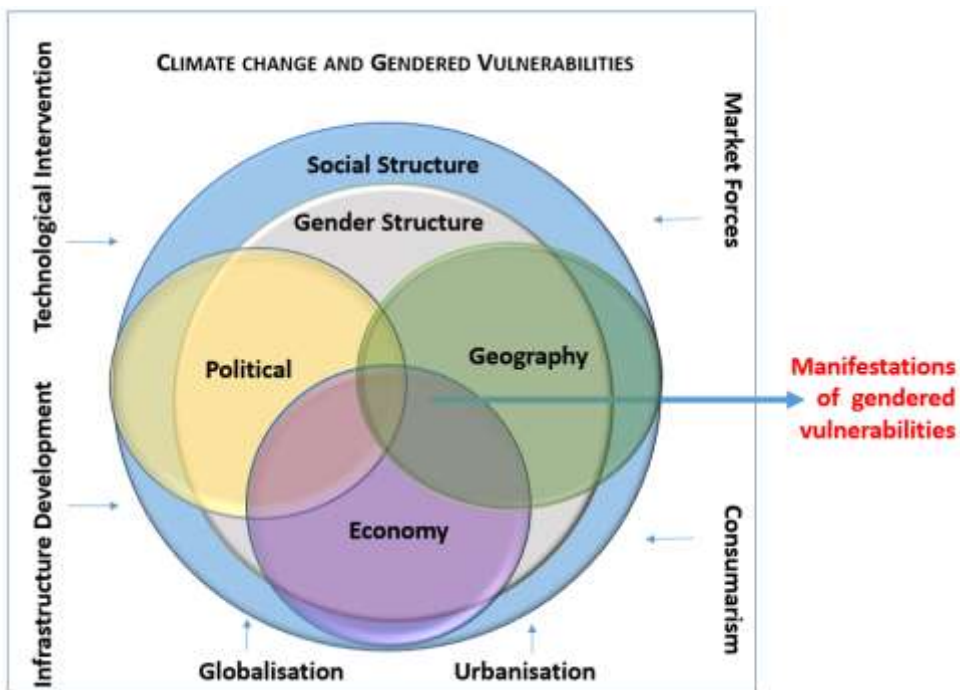


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814 Source: Singh et al. 2011

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816 Figure 2 Conceptual framework on Climate Change and Gendered Vulnerabilities



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