Comparison of Wind Turbine Tower Failure Modes Under Seismic and Wind Loads

Zhao Z[1], Dai K[2], Camara A[3], Bitsuamlak G[4] and Sheng C[1]

1. State Key Laboratory of Disaster Reduction in Civil Engineering, Tongji University, Shanghai, China.

2. Key Laboratory of Energy Engineering Safety and Disaster Mechanics, Ministry of Education, Sichuan University, Chengdu, China.

3. School of Mathematics, Computing Science and Engineering, City, University of London, London, UK.

4. Department of Civil and Environmental Engineering, University of Western Ontario, Canada.

ABSTRACT

The main objective of this paper is to study the structural response and the failure modes of a typical wind turbine tower under different strong ground motions and wind loading based on a detailed finite element model of the tower. The ground motions were selected to match the design response spectrum with different design characteristic periods (T_g) in order to explore the influence of the frequency content of the earthquake on the response. The wind loads were generated from tropical cyclone scenarios. Nonlinear dynamic time-history analyses were conducted and the structural performance under wind load as well as short- and long-period ground motions was compared. A modal pushover was applied to further clarify the failure related to structural modes. It is observed that under strong wind loads the collapse of the wind turbine is driven by the formation of a plastic hinge at its bottom part, which is attributed to the contribution of the first (long-period) vibration modes of the structure activated by the wind loads. Under earthquake excitations the bottom region is also critical in most cases but in some of them the upper part of the tower triggers the collapse. The latter occurs because of the contribution of high-order vibration modes in the earthquakes dominated by short periods, characteristic of rocky ground conditions. In addition, it is found that long-period ground motions tend to magnify the response of the structure in the elastic range and have associated a higher probability of failure for the same peak ground acceleration. It is concluded that the response of the wind turbine under

extreme dynamic actions is strongly dependent on the relationship between the frequency content of the excitation and the structural response.

1 Introduction

Wind energy is widely used nowadays as a renewable source. The worldwide wind capacity has reached 486 GW by the end 2016, including 168.73GW wind capacity of China [1 WWEA]. The IEC 61400 [2 IEC] and GL Guideline [3 Lloyd] are generally adopted for design of wind turbine (WT) towers. The technology behind the wind energy infrastructure was originally developed in Northern Europe, in which the risk of extreme loading conditions such as tropical cyclonic winds or strong earthquakes is moderate. As a result, general standards do not cover the design of the WT under such extreme conditions in detail. However, the wide development of wind energy around the world has led to wind farms that are located in earthquake- and cyclone-prone regions. There is a significant number of reports on WT tower failures under extreme typhoons in China's southeast coastal area [4 Chen, 5 Ishihara]. Failures of WT towers subject to ground motions are rarer but the risk should be carefully considered given the fact that it affects the entire wind farm, where a considerable number of equally-designed and non-redundant WT towers are subject to the same seismic actions.

WT tower under wind and seismic actions have been studied through experimental testing, field investigations and numerical analysis. The experimental testing of the collapse of WT towers under large dynamic excitations presents important difficulties. Few towers were tested to failure, including the large scale experimental program on a decommissioned WT tower by Prowell [6 Prowell]. There are some scale issues involved in the experimental testing of WT towers in wind tunnels as well. Field investigations of failure towers after disastrous events can provide forensic information that is valuable from the practical point of view, but these are the final stage results of extreme events for which the failure process is not described. Numerical simulation techniques based on the nonlinear finite element (FE) analysis, if properly used, are capable of presenting the progressive collapse of the structure under extreme loading.

Previous numerical studies on the topic are generally focused on either earthquake or strong wind excitations. Regarding the seismic response of WT towers, Nuta *et al*. [7 Nuta] performed incremental dynamic analysis (IDA) based on the FE method to obtain fragility curves. Afterwards, Patil *et al*. [8 Patil] carried out detailed fragility analysis under near-fault and far-fault ground motions. Asareh *et al*. [9 Asareh] combined the seismic fragility analysis with operational wind

loads. More recently, Sadowski *et al.* [10 Sadowski] compared the IDA results of a WT tower with welding imperfections under near- and far-fault earthquakes. With regards to the FE analysis of WT towers under extreme wind loads, Zhang *et al.* [11 Zhang] performed dynamic time-history analysis under simulated typhoon wind velocity histories to illustrate the WT tower failure modes. Dai *et al.* [12 Dai] conducted FE analysis of a typical 1.5 MW WT tower and observed different failure stages in the wind-induced collapse for different wind directions. Although many studies carried on nonlinear dynamic FE calculation, few of them specifically compared the tower failure process under strong ground motions or wind actions.

This paper studies the nonlinear response of a typical WT tower, representative of many wind farms in the world, under wind and seismic actions. The detailed FE model of the WT tower is presented first, followed by the proposed seismic and wind excitations. Dynamic analysis and the nonlinear static (Pushover) results of the WT response under wind and seismic loads are discussed in detail to observe strong influences of the frequency contents of the structure and the lateral load on the different collapse mechanisms.

2 Finite Element Model and Modal Analysis

2.1 FE modeling

A typical 1.5-MW three-bladed horizontal-axis WT tower constructed widely on the southeast coast of China was chosen for this study. It was designed as Class Ⅱa in IEC 61400 [2 IEC 61400], without explicit considerations about its seismic performance. The tower is a near-cylindrical steel hollow tube. The outer diameter ranges from 4035mm at the base to 2955mm at the top, with the shell thickness (*t*) varying from 25mm to 10mm, respectively. The position of the hub is 64.65m above the foundation and this height is divided into 22 segments that are welded together, as shown in Figure 1. The mass of the tower is about 91 tonnes and the rotor diameter is approximately 70m. At the top, the mass of the blades and the nacelle is 30 tonnes and 60 tonnes, respectively. Generally, the WT is in parked condition under extreme conditions, which are the only ones considered in this work. The fore-aft direction refers to the one that is perpendicular to the rotor plane (X direction in the FE model) and the side-to-side direction is the one parallel to the rotor plane (Z direction).

The tower model was built in ABAQUS 6.14-4 [13 ABAQUS], with the relevant details shown in Figure 1. The tower wall was modelled by linear reduced-integration finite-strain S4R 3D shell elements, which are suitable for dynamic and static analyses. The mesh in each wall segment mesh was modeled using a tetrahedral sweep grid technique with increased resolution near both ends to better capture the increased failure vulnerability due to the complex stress fields

developed in these regions [10 Sadowski, 12 Dai]. The mesh at the bottom segment is relatively irregular to model the geometrical discontinuity of the door, where the mesh is also refined as shown in Figure 2. The foundation was considered completely rigid by constraining the displacements and rotations at the bottom edge of the wall and, therefore, ignoring the soil-structure interaction (SSI).

Figure 1. Configurational details of the WT tower (units in mm). "t" represents the wall thickness.

The material in the wall is steel and it is modeled as an ideal elastic-plastic material with post-yield strain hardening (0.1%). The steel type is S355 with a yield stress of 355MPa, a Poisson's ratio of 0.3, an elastic modulus of 200GPa and a density of 7850kg/m^3. A simple self-contact rule was employed to consider the contact between the wall shell of different segments under large deformation. Current research works [14 Valamanesh] suggest that 1% damping ratio is reasonable in a 1.5MW parked WT tower under earthquake actions. In this work, the structural damping is introduced by means of a Rayleigh damping distribution in which the first and the second vibration frequencies have 1% damping ratio. These two frequencies dominate the structural response, as it will be demonstrated in this study.

Based on the engineering drawings of the WT tower, an elliptical cut in the tower wall was introduced to consider the door at the bottom. This was reinforced in the perimeter by means of

beam elements according to the actual design. At the sections located 13m and 34m above foundation, two stiffening flanges were included to increase the global bending stiffness. For simplicity, these flanges are modelled by increasing the thickness of the corresponding shell elements. The nacelle and the blades are simplified in the FE model as two lumped mass points with kinematic coupling. The reason is to focus the attention on the structural performance of the tower wall, which is where previous works have observed failure in these structures under extreme lateral loading. Figure 2 shows the details of the FE model.
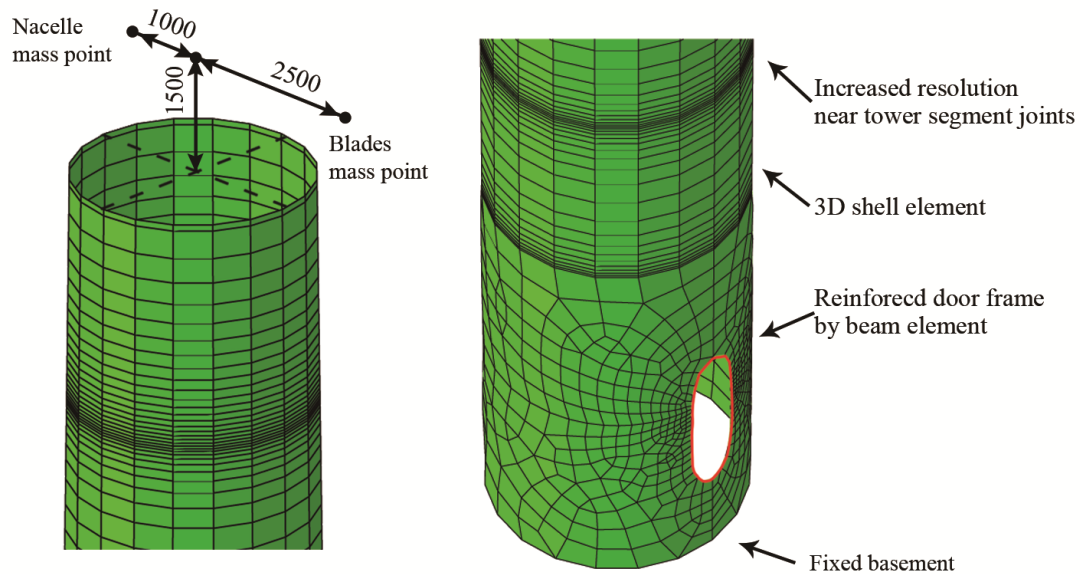

Figure 2. Details of the FE model: (a) tower bottom; (b) tower top

2.2 Modal analysis

The first three vibration modes related to the global flexure of the WT tower are presented in Figure 3 and Table 1. The vibration modes in the two horizontal directions (X and Z) are very close to each other, as shown in Table 1. Only the modes associated with the deformation of the wall in the X direction are shown in Figure 3. The cumulative effective mass of the first modes is above 69.7%, which shows that the global dynamic response of the structure is dominated by the first vibration modes. The accuracy of the FE model to represent these modes is supported by the good agreement between the numerical results and those obtained in previous field dynamic measurement of a real WT tower in China's southeast coastal area with the same dimensions [15 Dai, 16 Dai].

Table 1. The first three global flexural vibration modes of the WT tower

Mode no.	Direction	Calculated value [Hz]	Measured value [Hz]	Relative error [%]	Effective mass ratio [%]
1	X	0.49	0.49	0	69.79
	Z	0.49	0.48	2.04	69.71

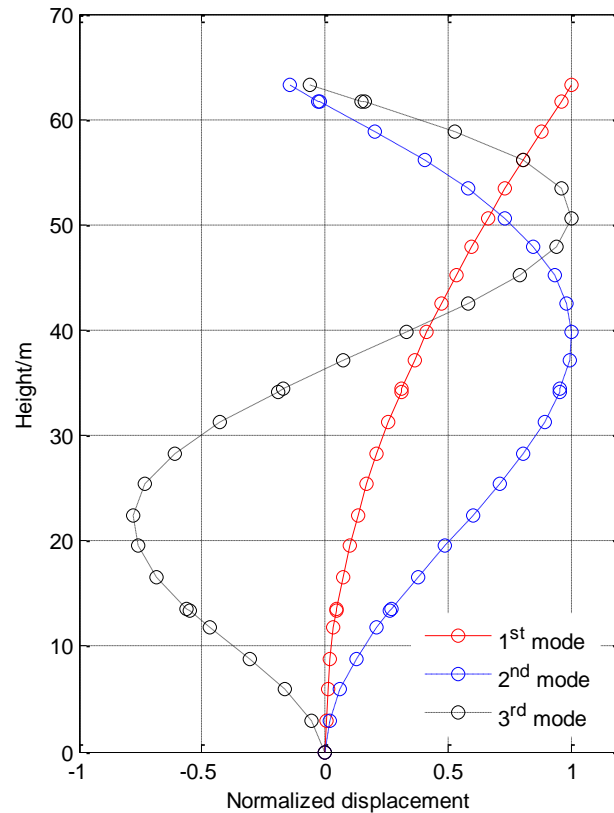|					
2	X	4.32	3.84	11.11	14.33
	Z	4.42	4.08	7.69	14.53
3	X	12.02	-	-	5.80
	Z	12.84	-	-	6.64

Figure 3. Modal shapes of the WT tower in the X direction

3 Dynamic excitations

3.1 Ground motion selection

Previous studies on the seismic response of WT towers classified earthquakes according to the design spectrum [7 Nuta, 9 Asareh], fault distance and pulse-like effects [8 Patil, 10 Sadowski]. However, the dominant frequencies of the ground motions were not directly considered in the selection process. WT towers usually have relatively low natural frequencies (around 0.5 Hz and below, as shown in Table 1) and the objective of this work is to study how earthquakes with different frequency content may lead to different structural response and failure modes. The earthquake record selection was performed from the Pacific Earthquake Engineering Research (PEER) database of strong ground motions [17 Ancheta PEER NGA-West2 Database] to match the 5% damping design response spectrum given in the Chinese standard [18 GB 50011-2010]. The maximum spectral acceleration of this design response spectrum is 0.5 g, which was determined based on the specific site in which the field measurements were obtained in the

proposed WT.

Two suites of ground motions with different site soil characteristic period (T_g) were selected. This period is defined as the corner period that marks the transition at the response spectra spectrum between the constant velocity and constant acceleration. It's worth noting that the shear wave velocity was not a factor considered directly in the ground motion selection. A total of 20 ground motion records were selected, including 10 records with T_g=0.4s (corresponding to stiff soil conditions and abbreviated as ST) and 10 records with T_g =1.1s (soft soil, referred to as LT).

Near-fault ground motions have distinct and potentially damaging characteristics such as forward directivity, fling-step and hanging-wall effects [19 Yang]. Some of them show velocity pulses for which WT towers may be vulnerable [8 Patil, 20 Stamatopoulos]. Therefore, all the ground motions considered in this work are near-fault, with a rupture distance below 20km

Table 2 lists all the selected ground motion records and Figure 4 presents the target response acceleration spectrum and the spectra of each record in the two suits of ground motions. These spectra were obtained as the square root of the sum of the squares (SRSS) of the two horizontal components of each of the 10 ground motions in the set. Figure 4 also includes the mean spectrum for each set, obtained as the arithmetic average of the 10 response spectra. The first two global flexural periods of the WT tower are included in the figure. Although the fundamental mode has a larger modal effective mass ratio than the second mode, as shown in Table 1, the associated spectral acceleration of the second mode is much higher, and this suggests that the structural response of the WT tower might be significantly influenced by the second mode as well. This will be verified in the following.

The records are applied to the FE model of the WT as accelerations in the two horizontal directions (X and Z). The vertical component was not considered based on the moderate influence of the ground motion in this direction on the response of WT tower [10 Sadowski]. Each pair of horizontal accelerograms in each earthquake is applied and the horizontal component of the accelerogram with a higher PGA was input along the fore-aft direction, which coincides with the position of the door opening. This is to maximize the potential damage in the tower. To reflect the elasto-plastic performance of the WT tower, the PGAs of all the ground motions were scaled to four levels: 0.1g, 1g, 2g and 3g.

Table 2. Ground motion records

No.	Matching T_g [s]	Earthquake name	Year	Station	V_{s30} [m·s^{-1}]	Scale factor
1	0.4	Imperial Valley-06	1979	El Centro Array #3	162.94	0.52
2	0.4	Chi-Chi	1999	TCU049	487.27	0.55
3	0.4	Imperial Valley-06	1979	Holtville Post Office	202.89	0.515
4	0.4	Imperial Valley-06	1979	Brawley Airport	208.71	0.69

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5	0.4	Darfield	2010	DSLC	295.74	0.535
6	0.4	Superstition Hills-02	1987	Brawley Airport	208.71	1.31
7	0.4	Imperial Valley-06	1979	Parachute Test Site	348.69	1.17
8	0.4	Chi-Chi	1999	TCU122	475.46	0.56
9	0.4	El Mayor-Cucapah	2010	Chihuahua	242.05	0.575
10	0.4	Iwate	2008	Mizusawaku Interior	413.04	0.515
11	1.1	Chi-Chi	1999	TCU065	305.85	0.355
12	1.1	Imperial Valley-06	1979	EC County Center FF	192.05	0.765
13	1.1	Darfield	2010	GDLC	344.02	0.325
14	1.1	Northridge-01	1994	Sylmar - Converter	251.24	0.29
15	1.1	Superstition Hills-02	1987	Parachute Test Site	348.69	0.44
16	1.1	Chi-Chi	1999	TCU120	459.34	0.8
17	1.1	Cape Mendocino	1992	Fortuna - Fortuna Blvd	457.06	1.485
18	1.1	Duzce	1999	Lamont 1058	529.18	2.355
19	1.1	Darfield	2010	LRSC	295.74	2.055
20	1.1	El Mayor-Cucapah	2010	Cerro Prieto	242.05	0.605
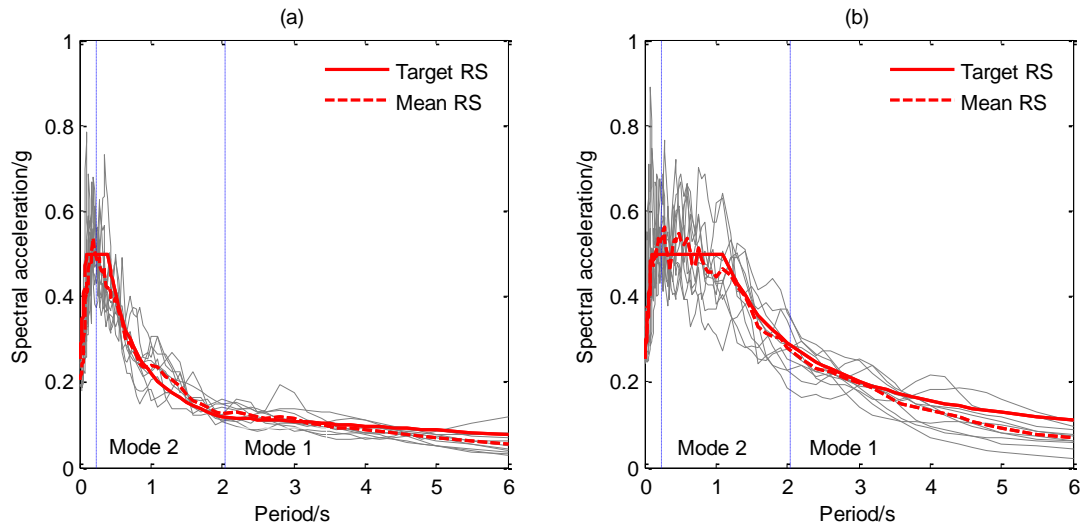
Figure 4. Ground motion acceleration response spectra and first two vibration periods of the structure.

(a) ST records, T_g=0.4 s; (b) LT records, T_g=1.1 s.

3.2 Wind load generation

The strong wind velocity field was simulated through the consistent discrete random flow generation (CDRFG) method [21 Aboshosha]. This allows to generate wind velocity time-histories at different points of the WT tower that are consistent with a target wind velocity power spectrum whilst respecting the corresponding spatial and time correlations. Three levels of the mean wind velocity at the hub level are considered in order to reach the nonlinear response and potentially the collapse of the WT tower, namely U_{ref}= 50m/s, 55m/s, or 60m/s. The generation of the wind is

based on the sum of harmonics, with a 0.2Hz frequency interval and lower and upper cut-off frequencies of 0.01Hz and 10Hz, respectively. The duration of the generated signals is 600s and the time interval is 0.1s. The rest of the parameters relevant to the simulation of the wind field are listed in Table 3 and more details are included in [12 Dai]. A total of 3 independent wind velocity fields (referred to as case 1, case 2, case 3) are generated for each of the 3 values of the mean wind velocity in order to account for the record-to-record variability in the response. One wind velocity time-history generated at the hub with $U_{ref} = 50$m/s is presented in Figure 5, along with its power spectrum density (PSD) and the corresponding target.

Table 3. Parameters used in the wind load simulation

| Parameter	Used model	Model parameter description and value
Mean velocity profile	$U(z)=U_{ref}(z/Z_{ref})^{\eta}$	z is the height
		Power exponent η is 0.16 (rural area defined in [22 GB 50009-2012])
		Hub height Z_{ref} is 65m
		Hub mean velocity U_{ref} is 50m/s, 55m/s, or 60m/s
Turbulence intensity profile	$I(z)=I_{ref}(z/Z_{ref})^{-d}$	Power exponent d is 0.18 [22 GB 50009-2012]
		Hub height turbulence intensity I_{ref} is 0.16 (class A in [2 IEC 61400-3])
Turbulence length scale profile	$L_u(z)=L_{u\,(ref)}(z/z_{ref})^{\gamma}$	Hub height turbulence length scale $L_{u\,(ref)}$ is 77m
		Reference height z_{ref} is 10m
		Power exponent γ is 0.3
Turbulence power spectrum	$\dfrac{fS(f)}{\sigma_u^2}=\dfrac{4(fL_u(z)/U(z))}{(1+70.8(fL_u(z)/U(z)^2)^{5/6}}$ (Von Karman model)	σ_u^2 is standard deviation of fluctuating velocity
		f is the frequency
Coherency function	$Coh(f_m)=\exp(-C_jfdx_j/U(z))$	Coherency decay constant C_j is 10
		dx_j is the distance between the correlative points
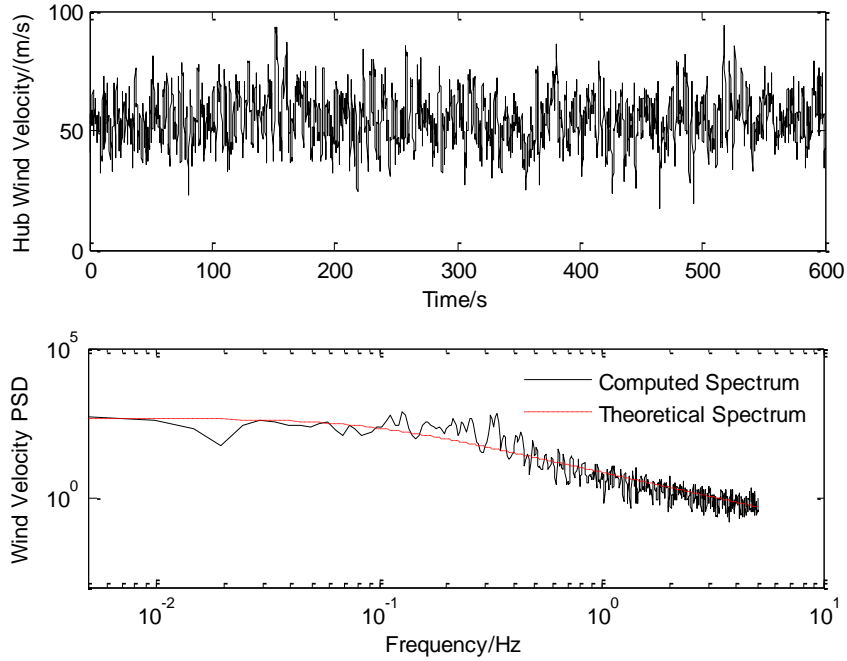
Figure 5. Wind velocity time-history and PSD at the hub in one of the records.

The Blade Element Momentum (BEM) theory [23 Hansen 2008] was used to obtain the wind load on the blades. Each blade was discretized as 17 elements along its length. The lift and the drag forces depended on the angle of attack can be obtained. Moreover, the thrust force in the shaft and the tangential force induced by each blade elements are calculated. The total shaft thrust and the tangential force are the summation of these element forces.

The wind load time-histories on the tower body and the nacelle are obtained as:

$$F(t) = 1/2 C_d \rho U^2(t) A \tag{1}$$

where the air density ρ is 1.25 kg/m^3; $U(t)$ is the wind velocity time-history; C_d is the drag coefficient, which is 1.2 for WT tower body or 1.3 for nacelle respectively; A is the projection area.

In the event of extreme winds such as tropical cyclones, the WT is generally par ked and the blades are in "feather" condition to reduce wind loading in the fore-aft direction. However, due to the rapid changes in the wind direction that are characteristic in strong wind events, the inflow wind may be parallel to the side-to-side direction (i.e., the Z direction in FE model) of the WT tower, which was shown to be critical for the response of the WT [13 Dai, 24 Wang]. Consequently, the wind inflow in this work was considered to be in the side-to-side direction. More detailed calculation method and discussion are described in [13 Dai].

4 Time-history analysis

4.1 Structural elastic response

The study of the structural response starts with the analysis in the elastic range in order to have a clear understanding of the participation of different vibration modes. An initial study showed that the WT tower remained elastic under all the selected ground motions scaled to 0.1g PGA, which is considered in this section. Time-history responses of the relative horizontal displacement at the top of the tower (in which the ground displacement at the base was extracted from the total displacement at the top) and the bending moment at the base were extracted from the FE model. The time-history results in the two horizontal directions were combined for each earthquake using the SRSS method, and the arithmetic mean, the root mean square (RMS) and the peak response for the ST and LT ground motions were obtained. These values are included in Table 4.

Table 4. WT tower response with PGA of 0.1g.

Ground motion type	Top displacement [m]			Base moment [kN·m]		
	Mean	RMS	Maximum	Mean	RMS	Maximum
ST	0.037	0.045	0.11	2441	3045	8188
LT	0.065	0.082	0.19	4338	5472	13119

Note: RMS denotes the root mean square value.

The results indicate that when the response of the WT tower is elastic, it is more vulnerable to LT ground motions. This is explained by the higher spectral acceleration associated with the fundamental vibration period ($S_a(T_1)$) in LT earthquakes in comparison with ST records when the PGAs are the same, as shown in Figure 4.

In addition, the acceleration recorded at the tower sections for which the modal displacement in the first two vibration modes is maximum has been studied for two representative ST and LT earthquakes, namely records No.4 and No.17 in Table 2, respectively. The two considered sections correspond to the points A1 and A2 in Figure 1, at heights of 61.8m and 39.8m from the tower base, respectively. The study of the acceleration at these sections is also under wind excitation. WT with mean velocity of 50m/s at the hub height (case 1), for which it has been observed that the structural response is also elastic. The two horizontal X and Z components of the acceleration signals were processed to obtain their PSDs, which were then combined by the SRSS and then normalized with respect to the peak value at the fundamental frequency. The normalized PSD curves and the mode shapes of the first two vibration modes obtained in the FEM are included in Figures 6 and 7 for the tower sections A1 and A2, respectively. Figure 6 shows that the response of the tower top (A1) is clearly dominated by the fundamental mode. However, Figure 7 illustrates

the important contribution of the second vibration mode (4.32-4.42 Hz) to the acceleration at the intermediate section (A2) under seismic actions, especially considering the ST record, for which the second mode dominates the response at this position in the tower. This is due to the relatively large spectral acceleration associated with the second vibration mode for ST ground motions, in comparison to that in the first mode (see Figure 4(a)), and the large participation (modal displacement) of the second mode to the response at this part of the tower. The results also confirm that the elastic response of the upper half of the WT tower can be captured with the first two vibration modes. Regardless of the position of the considered section in the tower, under wind loading the structure is always dominated by the fundamental mode. This is due to the low frequency content of the wind load in comparison to the ground motion excitations, which is closer to the fundamental frequency of the structure.
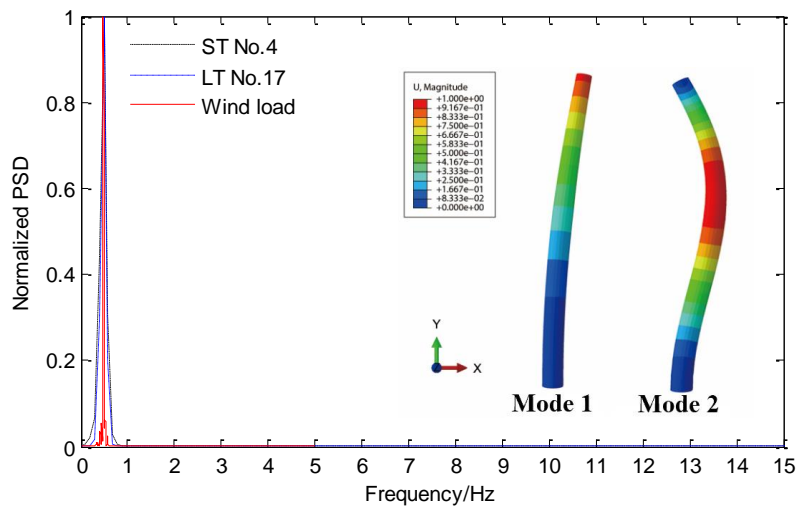
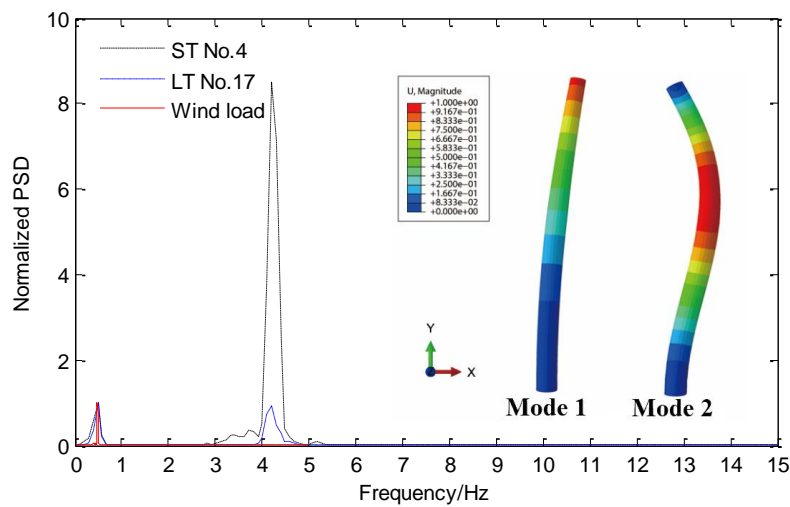Figure 6. Acceleration PSD at section A1 under earthquake or wind

Figure 7. Acceleration PSD at section A2 under earthquake or wind

4.2 Representative failure modes under strong seismic actions or wind loads

A series of nonlinear dynamic FE analysis were conducted for the 20 seismic records under three PGA levels (1g, 2g and 3g) and the 3 different wind velocity fields generated for the 3 intensity levels (50m/s, 55m/s and 60m/s). Four different failure modes were observed during the analysis and these are presented in Figures 8-10 for a selection of representative ground motions scaled to different PGA, and also in Figure 9 for one of the wind velocity records. Four stages have been identified in the failure process: (1) initial plastic hinge formation; (2) plastic hinge development; (3) full-section plastic hinge formation; (4) local buckling. The yielding contour map of the tower for each stage is included in Figures 8-11 to illustrate the failure process.

It was observed from progressive failure of the WT tower under seismic or wind actions that the plastic hinge is initiated at the base of the tower (failure stage 1). However, as the input seismic energy increases the damage spreads towards the top of the tower, and the yielding of the steel tends to be concentrated at the welding between the different rings composing the tower wall. The collapse is eventually reached when a single section of the WT tower yields along its entire perimeter, forming a full plastic hinge and the consequent mechanism of the part of the WT tower above it. Under both load types, the tower usually collapses in a very short time once the full-section plastic hinge occurs due to the low structural redundancy of the WT tower.

The position of the full plastic hinge WT was observed to occur at four different locations under different strong ground motions. However, when the WT is subject to extreme wind loads the failure is always concentrated at a single location that is positioned 8.8 m above the base of the tower. Due to the variation of the failure location under different actions, the traditional damage index based on the residual deformation considering the full tower height [7,9] is questionable in the failure process. Therefore, we suggest a modified index to consider the effect of different failure heights:

$$CDR = D_t / (H_t - H_c) \tag{2}$$

where CDR is the proposed collapse drift ratio; D_t is the displacement at the tower top; H_t is the tower height; and H_c is the height of failure location. In all the cases the height is measured from the base of the tower.

In addition, the Omega ratio proposed in [25 Camara et al. 2017] was calculated to study the energy dissipated by plasticity at different locations of the tower during the analysis. The Omega ratio is defined as the cumulative dissipated plastic energy divided by the total external work at the instant of the analysis for which it is calculated, being both energies defined in the entire tower wall. The evolution of the CDR and the Omega ratio for the whole tower during the analysis is presented in Figures 8-11. It is clear that both indexes increase significantly during the

development of the plastic hinge (failure stage 2). Two characteristic values of the time-history response are marked with vertical red dashed lines in these figures and these are later processed in the failure mode statistics: (1) at the time-step in which the full-section plastic hinge is formed, and (2) the time-step when the *CDR* reaches 0.1. It is observed that generally the lower the position of the full plastic hinge the higher the plastic energy absorbed by hysteresis in the steel of the wall and the larger the Omega ratio. Figure 8 shows that when the position of the plastic hinge is relatively high, the capacity of the structure to absorb the seismic energy is limited and the Omega ratios are 10% and 32% for the aforementioned time instants, respectively. This is regarded as a brittle and undesirable response because the structure has a low capacity to dissipate the seismic energy before the collapse occurs. The failure mode for the plastic hinge at the top of the tower in Figure 8 is in clear contrast with that observed in Figure 9, in which up to 76% of the seismic energy is absorbed before collapse by the plastic hinge at the bottom part of the tower (located 5.9m above the base). This is attributed to the larger radius and thickness of the tower wall sections close to the base, which renders a larger dissipation capacity.
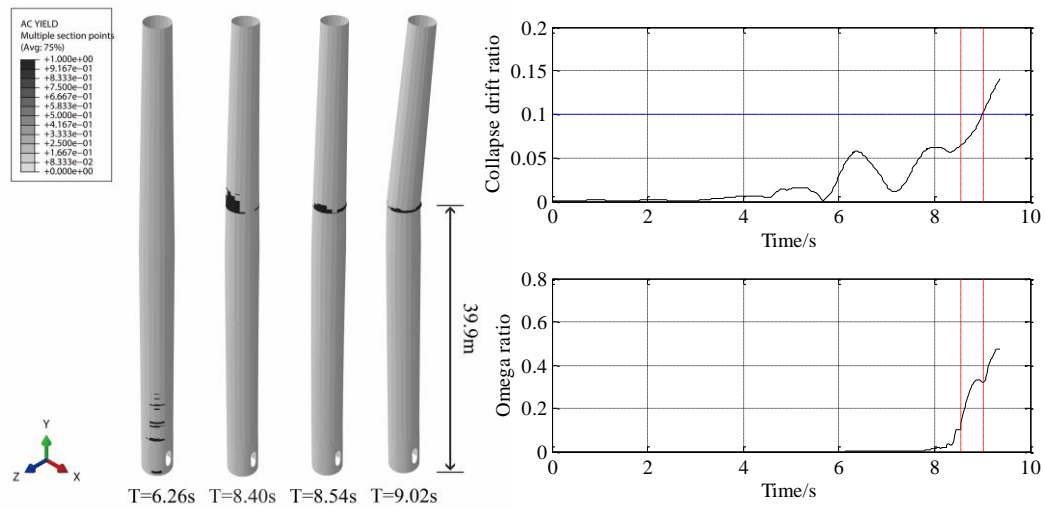

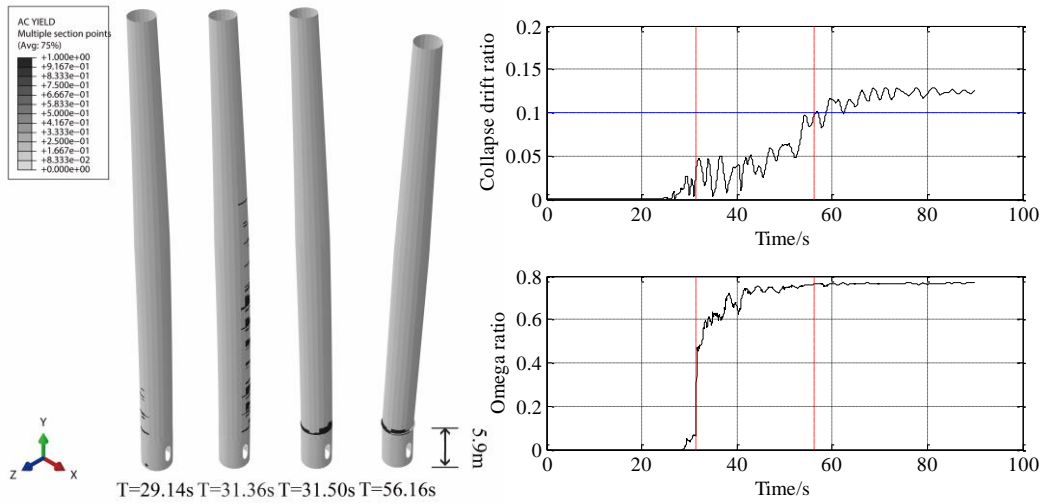Figure 8. Failure mode under 2g No.3 ST ground motion

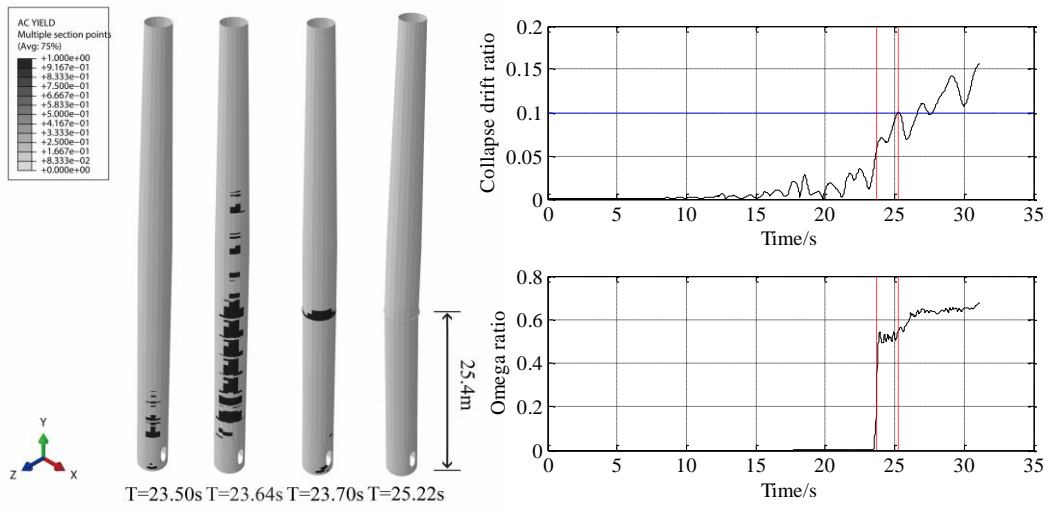Figure 9. Failure mode under 3g No.8 ST ground motion

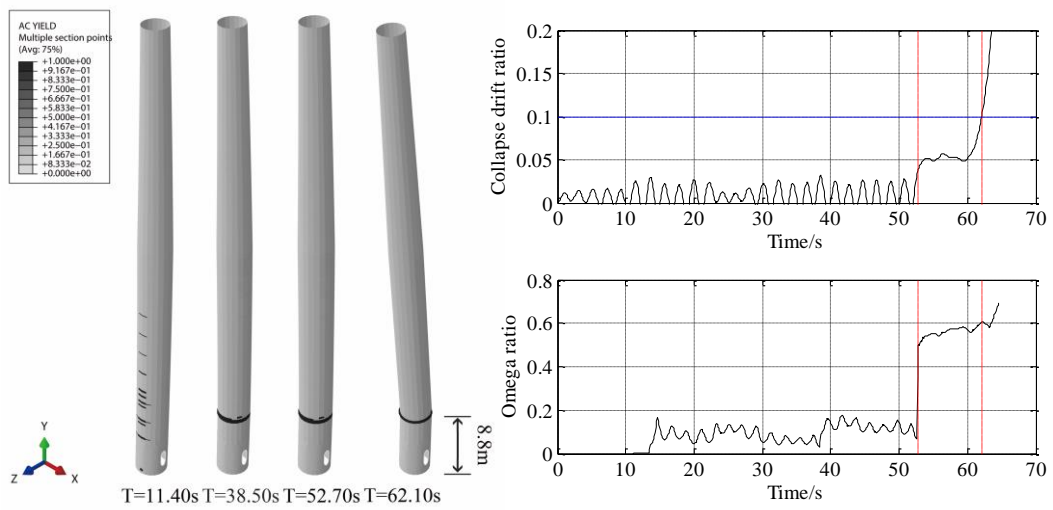Figure 10. Failure mode under 3g No.19 LT ground motion

Figure 11. Failure mode under 55m/s hub mean wind velocity (case 1)

4.3 Failure mode statistics

The tower failure locations for the nonlinear time-history seismic and wind analyses are summarized in Tables 4 and 5, respectively. In few cases, represented with the symbol "*" in the tables, the tower entered failure stages 1 and 2, but didn't reached stage 3. The symbol "-" indicates that the tower remained in elastic range for the entire analysis. The results show that the LT ground motions are more prone to induce localized yielding (failure stages 1 and 2) and the development of a full-section plastic hinge with buckling failure (failure stages 3 and 4), which is on account of the higher spectral acceleration associated with the fundamental mode ($S_a(T_1)$) in LT ground motions as it was previously discussed.

All the local buckling failure cases gathered in Tables 4 and 5, and illustrated in Figures 8-11 for particular records, occur at locations in which the tower wall thickness changes, namely 5.9 m above the base (9% of total height, thickness change from 25mm to 19mm), 8.8m (14% height, thickness change from 19mm to 18mm), 25.4m (39% height, thickness change from 14mm to 13mm) and 39.9m (61% height, thickness change from 11mm to 10mm). The results indicate that the change of thickness concentrate the stresses of the wall shell in bending under lateral dynamic actions, and lead to the potential development of full plastic hinges.

The comparison of the Omega ratio for all the failure cases when the full-section plastic hinge is initiated and when the *CDR* reaches 0.1 is shown in Figure 12. The axis of ordinates is the failure locations under the extreme dynamic actions and axis of abscissas is the corresponding Omega ratio. The results are consistent with those discussed in Section 4.2 with the exception of the failure at 5.9m height. The mean Omega ratios at this location are lower than the cases of failure locations at 8.8m and 25.4m, which may result from the fact that the change in thickness at the 5.9m is so significant (6mm) that the tower wall tends to show less plastic dissipation before collapsing. Comparing the highest failure location with other positions of the plastic hinge it is confirmed that it provides the least plastic dissipation. This suggests the need for designs in which the plastic hinge is developed as close as possible to the foundation (with the additional benefit of easiness to repair after the damage) and the tower wall thickness change is as small as possible.

A summary of the position of the plastic hinges along the tower observed for the ST and LT ground motions is illustrated in Figure 13. It can be seen that the tower collapse occurs usually at sections that are located in the lower half of the tower for both types of earthquakes. Interestingly, the tower failures at the middle-upper region (39.9 m) are more common (33%) in the ST ground motions than in the LT ones (17%). Taking into account the larger Omega ratio when the plastic hinge is closer to the base of the tower, this result suggests that the capacity of the wall to dissipate the seismic energy by plasticity is usually larger under LT ground motions, whereas ST records

tend to exhibit a more brittle response. In contrast with the seismic loading, the WT tower failed at the same position of the tower under the 9 strong wind simulations. All six failure locations occur 8.8m above the tower base and exhibit a relatively large plastic dissipation. The reasons for the variation in the failure position are further discussed in Section 4.4.

Table 4. Failure locations under 20 ground motions. (*) the tower entered failure stages 1 and 2, but didn't reached failure stage 3. (-) the tower remains in elastic range during the analysis.

	ST ground motion				LT ground motion		
No.	Failure height under 1g PGA/m	Failure height under 2g PGA/m	Failure height under 3g PGA/m	No.	Failure height under 1g PGA/m	Failure height under 2g PGA/m	Failure height under 3g PGA/m
1	-	5.9	5.9	11	*	25.4	25.4
2	-	*	5.9	12	-	5.9	5.9
3	-	39.9	39.9	13	*	8.8	39.9
4	-	5.9	5.9	14	-	8.8	8.8
5	-	*	25.4	15	8.8	25.4	39.9
6	-	*	5.9	16	8.8	5.9	25.4
7	-	-	39.9	17	8.8	39.9	5.9
8	*	25.4	5.9	18	-	8.8	25.4
9	*	25.4	39.9	19	-	25.4	25.4
10	-	*	39.9	20	8.8	25.4	39.9

Table 5. Failure locations under strong winds

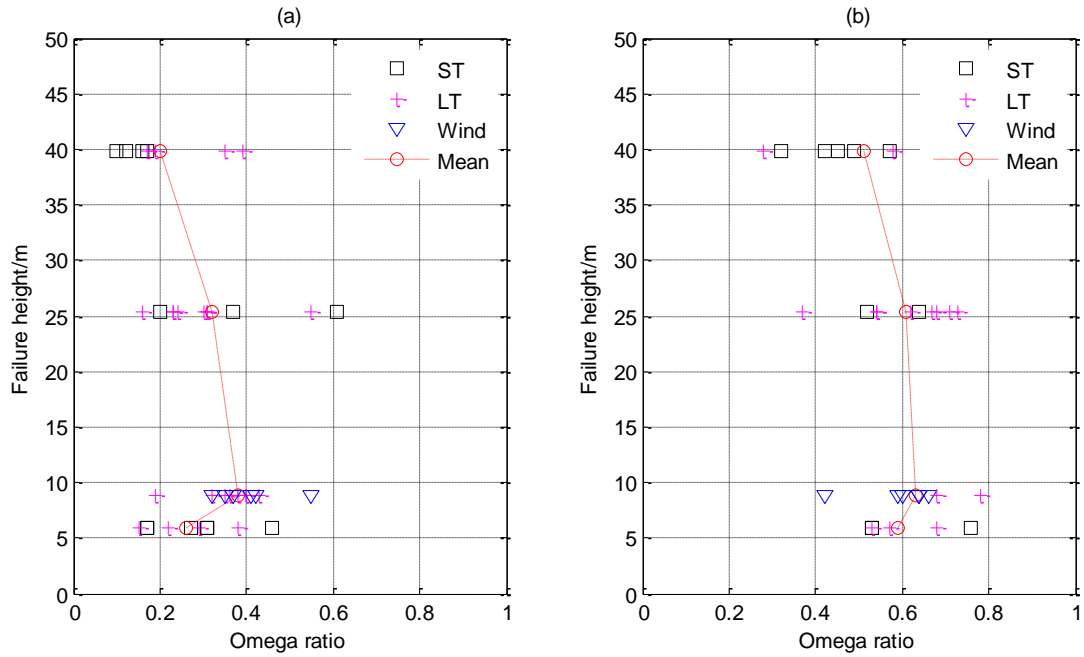| Case	Failure height under 50m/s hub mean velocity	Failure height under 55m/s hub mean velocity	Failure height under 60m/s hub mean velocity
1	-	8.8	8.8
2	-	8.8	8.8
3	*	8.8	8.8

Figure 12. Omega ratio obtained when: (a) the full-section plastic hing is initiated ; (b) the *CRD* = 0.1
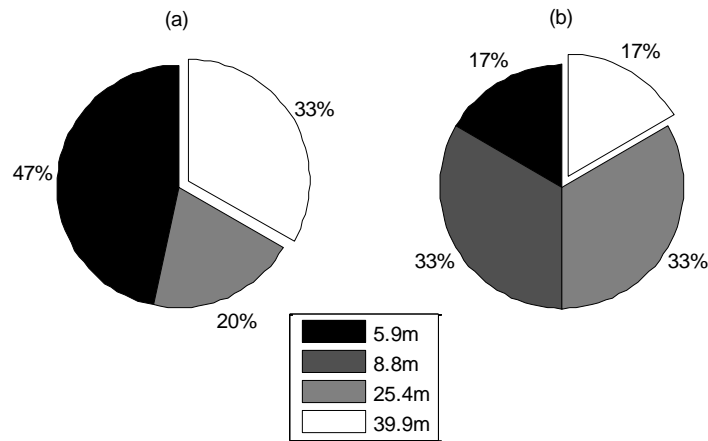

Figure 13. Failure height distribution: (a) ST ground motions; (b) LT ground motions

4.4 Pushover analysis

The tower failure difference under different loads is attributed to structural mode participation under loads with different frequency contents. In order to explore this effect further, static non-linear static (pushover) analyses were conducted.

Most previous the pushover analysis WT towers considered exclusively the contribution of the fundamental mode to obtain the load pattern [8 Patil] or directly applied a single point load or displacement at the top [9 Asareh, 26 Guo]. However, the contribution of higher-order modes can be important in the seismic response depending on the frequency content of the excitation. Therefore, a modal pushover analysis based on the inertia forces associated with the first two modes was conducted. For each vibration mode, the load at the ith-element of the FE model is

obtained as:

$$F_i = m_i\phi_i \qquad (3)$$

where m_i and ϕ_i are the mass and the modal displacement in the ith-element.

In addition to the modal pushover performed to explore the seismic response of the WT, the mean wind load pushover was performed to study the failure location under the mean wind load. The mean wind velocity and the approach to obtain wind loads from velocity were described in Section 3.2. The load patterns of pushover analysis and mean wind load pushover are shown in Figure 13. The large concentration of the applied load at the top of the tower in the pushover analysis and wind static analyses is due to the mass and the exposed wind surface of the blades and the nacelle, respectively.
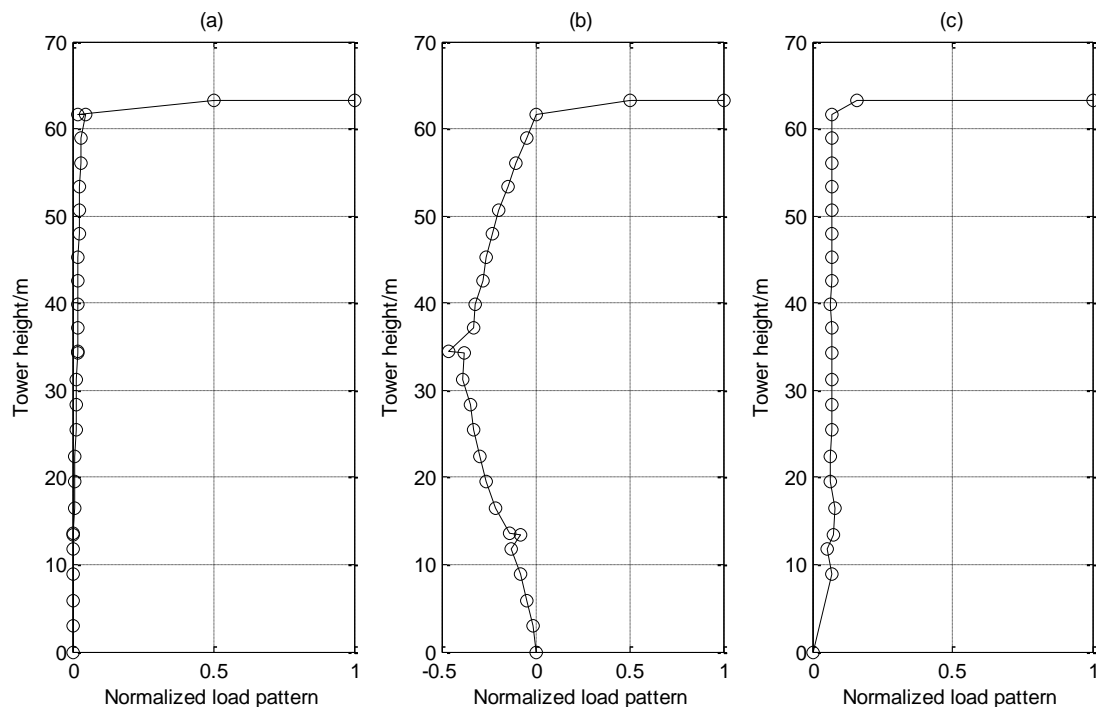

Figure 13. Load pattern in the pushover analysis and the static wind analysis: (a) 1st mode pushover; (b)2nd mode pushover; (c) mean wind load pushover

The load patterns in the nonlinear static analyses were increased progressively until the failure of the WT tower, which is illustrated in Figure 14. Considering the first and the second mode load patterns, the structure fails at the cross sections that are located 8.8m and 42.8m above the ground, respectively. It is clear that the failure location of the tower moves upward under the second mode load pattern. This effect can only be captured if the contribution of the second modes than the fundamental one and the load distribution along the entire height of the tower is introduced in the pushover analysis. The results of the pushover analysis are consistent with the time-history analyses in which the ST ground motions increase the contribution of the second

mode and tend to shift the failure location upwards in the tower. Under the effect of mean wind load, the WT tower collapses due to the formation of a plastic hinge at the bottom part of the tower (5.9m from the base), which is due to the similarity of this load pattern and that associated with the first vibration mode. The result is also consistent with the position of the plastic hinge in the wind dynamic analyses.
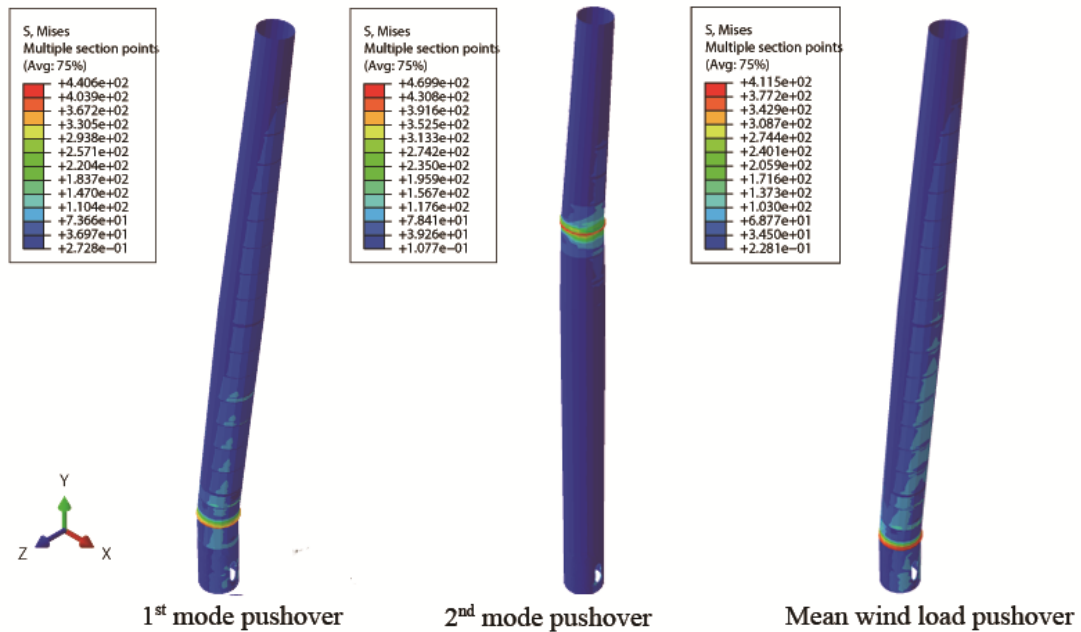

Figure 14. Failure of the WT under the load patterns associated with the first two modes and the mean wind load pushover (the Von Mises stress is included in the contour plots, with units in MPa)

4.5 Discussion

Figure 15 compares the PSDs normalized with respect to the peak value at the fundamental frequency of three typical ground motion records (ST No.3, LT No.14, LT No.19) for which three different failure locations are observed and the same normalized PSD of a wind load time-history (U_{ref}=55m/s, case 1). It can be observed that the frequency content of the earthquakes is characterized by the significant contribution of a broad range of high-order frequencies, which results in more high-order mode contributions. On the other hand, the wind action tends to be dominated by lower frequencies that are in the region of the fundamental mode and significantly increase its participation in the global response.
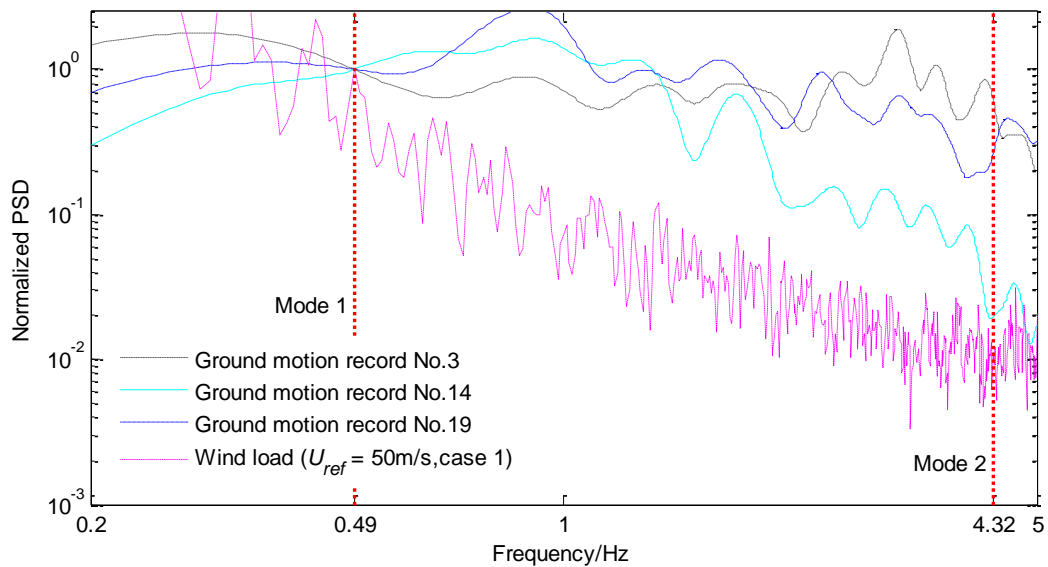
Figure 15. Normalized PSD of different ground motions histories and a wind record

Combined with the failure location observed in of the pushover analysis, the frequency content of the lateral actions on the tower is clearly connected to its collapse mode. Indeed, the LT ground motion record time-history No.14 is clearly dominated by low-range frequencies in comparison to the others, and it has been observed that the failure in the tower occurs at its bottom part. However, the ST record No.3 has a significant contribution of frequencies close to the 2nd mode and this leads to the brittle failure at the middle-upper region of the tower (Figure 8). The LT record No.19 has a medium-range frequency content compared with the other two records and the failure occurs at the middle-low region of the tower (Figure 10). Considering the whole set of ground motions and wind velocity fields, the statistical analysis presented in Section 4.3 showed that ST ground motions maximize the participation of the second vibration mode (Figures 4(a) and 15) and tend to shift upwards the failure position of the tower (Figure 7). This is normally associated with a relatively low dissipation capacity and a fast development of the full plastic hinge (only 0.5 s from initiation to CDR = 0.1 in Figure 8) and collapse in comparison with the more ductile failures with hinge locations at the bottom part of the tower (25 and 10s from damage initiation to CDR = 0.1 in Figures 9 and 11), which are typical of LT ground motions and extreme wind actions.

It should be mentioned that despite the trends observed above, not all the ST or LT ground motions lead to failure locations at the upper or bottom parts of the tower, respectively. This is due to the record-to-record variability in the acceleration spectrum, although the ground motions were selected to match the target response spectra. Even the same ground motion may change the failure location that it induces when scaled to increasing PGA levels, for which acceleration peaks that were not able to damage the structure can initiate the development of the plastic hinge and

change the overall response of the tower.

5 Conclusions

A typical WT tower under extreme loads was studied in this paper based on a detailed FE model of the steel tubular wall with shell elements. Two suites of ground motions with different frequency content features were selected and a series of wind velocity time histories were generated. A total of 89 nonlinear dynamic analyses were performed and additional nonlinear static (pushover) analysis were conducted to obtain the following findings:

(1) The elastic response of the WT tower in elastic range tends to be larger under earthquakes that are dominated by long vibration periods (LT), in comparison to those with a shorter period (ST) frequency content. This is due to the contribution of the fundamental mode of the structure to the response.

(2) Under lateral loads, the initiation of the yielding always occurs at the bottom part of the tower due to the bending stresses in the wall. As the loading increases, yielding concentrates in the welding connections between different rings in the tower, where the thickness of the wall changes. Once the entire perimeter of one section yields a full plastic hinge is developed and the tower collapses due to its low structural redundancy. However, the position of the full-section plastic hinge depends on the frequency content of the excitation.

(3) Pushover analyses can provide with useful information about potential plastic hinge locations. Load patterns associated with relevant higher-order vibration modes and not only the fundamental one should be considered in order to capture dangerous failure modes that are located at the middle and at the top regions of the tower.

(4) Due to the participation of the fundamental mode and mean wind load distribution, wind actions always induce the failure at the bottom half of the tower. This is also the case in most of the LT ground motions considered because of the large spectral acceleration in the frequency band close to the fundamental mode. However, ST earthquakes are more likely to trigger failures at the middle or the upper part of the tower owing to the influence of the second vibration mode.

(5) Even if the accelerograms are selected to match the same target spectrum, there are significant differences in the seismic response of the WT tower and the collapse mode that it exhibits for different earthquakes. This is due to the record-to-record variability of their acceleration spectra.

(6) From the design point of view, failure locations at the lower part of the tower are recommended because in this region the tower sections are larger and have more capacity to dissipate the seismic energy, resulting in a relatively longtime interval between the onset of

damage and the final collapse. Special attention should be paid to WT farms in regions where the seismic risk is dominated by ST motions for which the contribution of high-order modes is more relevant and failure may occur at the middle or top areas of the tower, in which the smaller tubular sections have a reduced dissipation capacity and the plastic hinge fully develops soon after yielding starts. This type of response is deemed to be more unfavorable.

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