



Impaired decision-making following escalation of cocaine self-administration predicts vulnerability to relapse in rats.

Journal:	<i>Addiction Biology</i>
Manuscript ID	AB-11-2018-0291.R2
Wiley - Manuscript type:	Original Article
Date Submitted by the Author:	n/a
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Keywords:	cocaine, escalation, decision making
Abstract:	<p>Background: Impairments in cost-benefit decision making represent a cardinal feature of drug addiction. However, whether these alterations predate drug exposure, thereby contributing to facilitating loss of control over drug intake, or alternatively arise as a result of drug use and subsequently confer vulnerability to relapse, has yet to be determined.</p> <p>Methods: Male Sprague-Dawley rats were trained to self-administer (SA) cocaine during 19-daily long access (12-hour) sessions; conditions reliably shown to promote escalation. One week after cocaine SA, rats underwent an extinction/relapse test immediately followed by conditioned-stimuli-, stress- and drug- primed reinstatement challenges. The influence of escalated cocaine intake on decision-making was measured over time by four test sessions of a rodent analogue of the Iowa Gambling Task (rIGT), once prior to cocaine exposure and then 1-day, 1-week and 1-month after the last SA session.</p> <p>Results: Substantial individual variability was observed in the influence of escalated cocaine SA on decision-making performance. A sub-set of rats displayed pronounced deficits, while others showed unaffected or even improved performance on the rGT 24-hours after the last SA session. When challenged with a relapse test after 1-week of forced abstinence, animals that showed impaired decision making following SA displayed an increased propensity to respond for cocaine during the 90-minute extinction period.</p> <p>Conclusions: These data suggest that decision-making deficits in individuals with drug addiction are not antecedent to- but arise as a consequence of- drug exposure. Moreover, these data indicate that susceptibility to the deleterious effects of drugs on decision making confers vulnerability toward relapse.</p>

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3 **Impaired decision-making following escalation of cocaine self-administration**
4 **predicts vulnerability to relapse in rats.**

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19 **Number of words in the abstract: 248; number of words in the text: 4705; number**
20 **of tables: 0; number of figures: 5; supplemental information: NA.**

21 **Keywords:**

22 Addiction; Cocaine; Decision-making; Escalation; Iowa Gambling Task; Relapse.

1 **Abstract**

2 **Background:** Impairments in cost-benefit decision making represent a cardinal feature of drug
3 addiction. However, whether these alterations predate drug exposure, thereby contributing to
4 facilitating loss of control over drug intake, or alternatively arise as a result of drug use and
5 subsequently confer vulnerability to relapse, has yet to be determined.

6 **Methods:** Male Sprague-Dawley rats were trained to self-administer (SA) cocaine during 19-daily
7 long access (12-hour) sessions; conditions reliably shown to promote escalation. One week after
8 cocaine SA, rats underwent an extinction/relapse test immediately followed by conditioned-stimuli-,
9 stress- and drug- primed reinstatement challenges. The influence of escalated cocaine intake on
10 decision-making was measured over time by four test sessions of a rodent analogue of the Iowa
11 Gambling Task (rIGT), once prior to cocaine exposure and then 1-day, 1-week and 1-month after
12 the last SA session.

13 **Results:** Substantial individual variability was observed in the influence of escalated cocaine SA
14 on decision-making performance. A sub-set of rats displayed pronounced deficits, while others
15 showed unaffected or even improved performance on the rGT 24-hours after the last SA session.
16 When challenged with a relapse test after 1-week of forced abstinence, animals that showed
17 impaired decision making following SA displayed an increased propensity to respond for cocaine
18 during the 90-minute extinction period.

19 **Conclusions:** These data suggest that decision-making deficits in individuals with drug addiction
20 are not antecedent to- but arise as a consequence of- drug exposure. Moreover, these data indicate
21 that susceptibility to the deleterious effects of drugs on decision making confers vulnerability toward
22 relapse.

1 Introduction

2 Drug addiction encapsulates a constellation of behavioural alterations including
3 impairments in executive functioning. Indeed, perturbations in cost-benefit decision-making have
4 been canonically linked with multiple addictive disorders including addiction to cocaine (Verdejo-
5 Garcia et al., 2007a), heroin (Verdejo-Garcia et al., 2007b), amphetamine (Wang et al., 2013) and
6 alcohol (Kovacs et al., 2017), in addition to gambling disorder & polysubstance-use (Cavedini et
7 al., 2002; Goldstein and Volkow, 2011; Grant et al., 2000; Power et al., 2012; van Holst and Schilt,
8 2011). Impairments in decision making are associated with relapse following abstinence from drugs
9 (Brewer et al., 2008; Streeter et al., 2008) and the failure to acquire the optimal strategy during
10 laboratory tests such as the Iowa Gambling Task (IGT) has been associated with dropout from
11 treatment (Stevens et al., 2013). The IGT is one of the most frequently used laboratory measures
12 of 'real-world' decision making, that has consistently highlighted impaired cost-benefit decision
13 making in individuals with addictive disorders (Barry and Petry, 2008; Bechara et al., 1994; Bechara
14 and Damasio, 2002; Bechara et al., 2005). However, despite reliable evidence linking impaired cost
15 benefit decision making with substance and behavioural addictions, the causal relationship
16 between decision making deficits and loss of control over drug use remains to be established. In
17 other words, it is unclear whether deficits in decision making predate the onset of loss of control
18 over drug use and consequently confer vulnerability toward loss of control over drug intake, or
19 whether the neurobiological sequela associated with this addictive process result in subsequent
20 decision-making deficits that then contribute to the individual vulnerability to relapse.

21 Animal models may be useful in addressing this question, in that they offer an opportunity,
22 within longitudinal studies, to elucidate the relationship between decision making and drug
23 exposure without the problematic issue of causality that is endemic to human studies. Multiple
24 animal analogues of the IGT have been developed (see de Visser et al., 2011 for review), one of
25 these paradigms has recently demonstrated that cocaine exposure exacerbates decision-making
26 deficits in animals characterised by their poor decision-making on the task prior to drug exposure
27 (Ferland and Winstanley, 2017). Deficits in decision making were associated with an increased
28 propensity to acquire responding for the drug-paired cue acting as a conditioned reinforcer, but not
29 to take more drugs (Ferland and Winstanley, 2017). However, this study did not examine the
30 relationship between decision making and the loss of control over drug intake, a hallmark feature

1 of addiction (Edwards and Koob, 2013) or the propensity for animals to relapse. In contrast, George
2 and colleagues employed a well-established escalation of self-administration procedure, which has
3 been suggested to recapitulate several features of loss of control over intake (Rotge et al., 2017;
4 Wee et al., 2007), to demonstrate that drug-induced deficits in another executive function, namely
5 working memory, as measured by a delayed non-matching to sample task, predicts the rate of
6 escalation of cocaine intake (George et al., 2008).

7 Consequently, investigating whether differences in decision making at baseline confer
8 vulnerability to escalation of subsequent intake, or whether drug-induced alterations in decision
9 making contribute to propensity to relapse following abstinence would offer a meaningful insight
10 into the contribution of decision making deficits to the development and maintenance of drug
11 addiction.

12 Here, we utilised the rat Gambling Task (rGT), wherein like the human version, subjects
13 choose between four 'decks'. Two of the four available 'decks' are risky, in that they offer larger
14 initial gains, but larger cumulative losses and are thus disadvantageous over the course of a
15 session. In contrast the other two 'decks' are safe, in that they offer smaller immediate gains but
16 smaller cumulative losses and are therefore advantageous. Animals are required to learn the
17 contingencies and acquire the optimal strategy of learning to avoid the more tempting but ultimately
18 disadvantageous options in order to maximize rewards and minimize punishments within a single
19 time constrained session (Daniel et al., 2017; Rivalan et al., 2009). We assessed animals baseline
20 levels of decision making on a single rGT session prior to drug exposure. Rats were then tested
21 again 1-day, 1-week and 1-month after the cessation of long access to cocaine. Rats also
22 underwent an extinction and reinstatement session following 1-week of forced abstinence.
23 Consequently, we were able to examine whether individual differences in baseline decision making
24 contributed to the increased acquisition or escalation of drug seeking. Additionally, we looked at
25 whether alterations in decision making following a history of escalated cocaine intake would be
26 predicative of continued motivation to seek drug when it was no longer available and/or an
27 increased propensity to relapse during a single extinction/reinstatement procedure.

28 **Methods and materials**

29 *Subjects*

1 Subjects were 16 adult male Sprague-Dawley rats (Charles River, Arbresle, France) weighing ~250
2 at the start of the experiment. A week prior to behavioural training rats were food restricted to 85-
3 90% of their free feeding weight and maintained on ~20g rat chow per day. All animals were pair-
4 housed prior to surgery and single-housed subsequently, in a climate-controlled colony room
5 maintained at $22 \pm 1^\circ\text{C}$ on a reverse light schedule (lights off 7am). This research was regulated
6 under the Animals (Scientific Procedures) Act 1986 Amendment regulations (2012) following
7 ethical review by the University of Cambridge Animal Welfare and Ethical Review Body (AWERB).

8 *Rat-version of the Iowa Gambling Task (rIGT)*

9 Testing took place as previously described (Daniel et al., 2017) in six standard five-hole operant
10 chambers enclosed within a larger wooden box equipped with exhaust fans that assured air
11 renewal and masked background noise (Med Associates, VT, USA). A five-hole array was located
12 along one wall, positioned 2cm above a bar floor. Nosepoke response into these apertures were
13 detected via a horizontally positioned infrared beam located 1cm from the entrance to each hole.
14 Along the opposite wall a food magazine was located 2 cm above the grid floor, sugar pellets (Bio
15 Serv, NJ, USA) were delivered via an external pellet dispenser. The boxes were controlled by
16 software written in Med-PC on a computer running Windows 7. The habituation, training and testing
17 for the rGT was run in the same manner as previously described (Daniel et al., 2017). In order to
18 avoid neophobia rats were first exposed to twenty sucrose pellets in their home cages before being
19 habituated to the testing boxes during which rats received 60 pellets in the magazine. The next day
20 60-pellets were delivered to the magazine on a 30-s variable interval schedule. Rats were then
21 trained to nosepoke into one of the four lateral illuminated holes to receive a food pellet reward.
22 Responses in the middle, inoperative hole were recorded but had no programmed consequence.
23 Sessions continued until rats obtained 100 pellets or 30 min elapsed. After 2 free choice training
24 sessions, rats were given 4 forced choice 30-min sessions during which, one of the 4 holes was
25 active for 7 min 30 s on a pseudorandom schedule. Forced choice sessions were implemented to
26 help animals avoid development of a side- or hole-bias. Subsequently, animals underwent 2
27 consecutive free choice sessions, the second of these was designed to expose the rats to higher
28 incentive values, thus in the second of these each nose poke in any of the 4 active holes resulted
29 in the delivery of 2 pellets during the first half of the session and 1 pellet during the second half.
30 During these last free choice sessions, any side preferences were recorded for each rat.

1 On the day of the rGT challenge, novel contingencies were introduced such that, two of the holes
2 were advantageous; they were associated with only 1 sugar pellet, but relatively short time-out
3 punishments of 6-s or 12-s delivered with a probability of 0.5 and 0.25 respectively. The other two
4 holes were disadvantageous; although they yielded a higher reward of 2 pellets, potential time-outs
5 were longer, lasting 222 s or 444 s with respective probabilities of 0.5 and 0.25. The probability of
6 receiving a time-out punishment for each hole was fixed for the duration of the session. The test
7 session lasted until rats obtained 250 pellets or 60 min had elapsed. A configuration was assigned
8 to each rat: the side of the advantageous holes was counterbalanced with any side preference
9 previously identified. Animals were initially tested prior to IV surgery and then 1-day, 1-week and
10 finally 1-month following the final extended access session. Prior to all subsequent rGT sessions
11 animals were re-baselined with two free choice sessions. During the first of these training sessions,
12 a response in any of the 4-active holes delivered 2-pellets and 1-pellet on the second session. In
13 subsequent rGT test sessions advantageous holes were counterbalanced against any identified
14 side preference from the two-previous free choice sessions.

15 *Intrajugular Surgery*

16 Rats were deeply anesthetized with intraperitoneal administration of ketamine (Ketalar® 100 mg/kg,
17 Panpharma, France) and xylazine (Rompun® 1 mg/kg, Bayer, Puteaux, France) and all surgeries
18 were conducted as previously described (Belin-Rauscent et al., 2018). A silastic catheter (internal
19 diameter = 0.28 mm; external diameter = 0.61 mm; dead volume = 12 µl) was implanted in the right
20 jugular vein. The catheter remained available through a nylon mesh sutured between scapulae. To
21 prevent infection, rats received prophylactic antibiotics (Baytril 10 mg/kg, Bayer, Puteaux, France),
22 1 day prior to, and 6 days' post-surgery. After surgery, rats were allowed to recover for 7 days.
23 During this period, catheters were daily flushed with a saline solution containing unfractionated
24 heparin (20 IU/ml).

25 *Drugs*

26 Cocaine hydrochloride (Cooper, Bordeaux, France) was dissolved in sterile 0.9% saline. The
27 infusion dose of 250 µg/100 µl (~ 0.8 mg/kg) was calculated as the salt.

28 *Cocaine self-administration*

1 All self-administration sessions took place as previously described (Rotge et al., 2017), in standard
2 chambers for operant conditioning (Med Associates), enclosed within a ventilated, sound
3 attenuated box. Each chamber had two levers on the right wall located 5 cm from above the grid
4 floor. A cue light was located above each lever, and the chamber could be illuminated via a central
5 houselight. During self-administration, the indwelling catheters were attached to a metal spring-
6 covered swivel, (Stoelting, Wood Dale, IL, USA) connected to a Razel infusion pump (Semat
7 Technical, Herts, UK). Levers were permanently designated as either active or inactive and
8 counterbalanced between animals. Responses on the active lever delivered an infusion of cocaine
9 (250 µg/100 µl/5.7s) under a fixed ratio-1 (FR-1) schedule of reinforcement, followed by a 20-s
10 timeout period during which the houselight was switched off, both levers were retracted and the
11 cue light was illuminated above the active lever position. Responses on the inactive lever were
12 recorded but had no programmed consequence. All rats initially acquired cocaine self-
13 administration over daily 1-hour sessions before subsequently being exposed to 12-h extended
14 access sessions for 19 days, conditions previously shown to induce robust escalation of cocaine
15 intake (Rotge et al., 2017; Wee et al., 2007).

16 *Relapse and reinstatement procedures*

17 Seven days after the last self-administration session, rats were tested in the same boxes for a
18 single 210 min extinction/relapse-reinstatement session, similar to previously described (Deroche-
19 Gamonet et al., 2004; Rotge et al., 2017). The relapse test consisted of a 90-min extinction
20 challenge during which both active and inactive levers were presented but pressing on either had
21 no programmed consequences. This was followed by a 30-min CS-induced reinstatement test, at
22 the onset of which the cocaine-paired CS was presented non-contingently for twenty seconds.
23 During the next 30-min period cocaine-paired CS presentations were contingent on active lever
24 presses, under an FR1 schedule. The cue light above the active lever would illuminate for two
25 seconds upon each active lever press, but no cocaine was delivered. At the end of this 30-min
26 period, a non-contingent presentation of a 0.4mA footshock initiated another 30-min period over
27 which non-reinforced responding was measured. Lastly, a non-contingent infusion of cocaine
28 (250 µg/100 µl) was delivered at the start of the next 30-min reinstatement period in order to
29 measure drug-induced reinstatement.

1 *Data and statistical analyses*

2 During the rGT, as the utility within each pair of options was identical, choice of either advantageous
3 option were pooled, as were choices from either disadvantageous option in order to generate a
4 decision making score for each animal, as previously described (Daniel et al., 2017; Rivalan et al.,
5 2013).

6 Statistical analyses were performed with the StatSoft Statistica 9 package. Assumptions
7 for normal distribution and homogeneity of variance were tested with the Kolmogorov-Smirnov and
8 Levene test, respectively. Percent advantageous choice across rGT sessions was analyzed with a
9 repeated measures ANOVA with session (4-levels) as a within-subjects factor and group as a
10 between-subjects factor. Active lever responses during SA, relapse and reinstatement were
11 analyzed using similarly structured ANOVA's. The propensity of the rats to escalate cocaine intake
12 was measured by the escalation ratio, calculated as the ratio of drug infusions received on each
13 day relative to the number of infusions received on the first extended access session, which
14 provided a metric of the daily increase in cocaine intake.

15 In a similar manner to previously described (Belin et al., 2009; Rotge et al., 2017),
16 instrumental performance in response to CS, shock or drug presentation decreased throughout
17 each 30-minute block, such that animals had extinguished responding towards the end of each
18 block. Thus, in order to assess the ability of cues, stress or drug to reinvigorate extinguished
19 responding more accurately, the first 10-minutes of each reinstatement block were compared to
20 the last 10-minutes of the preceding block. Where applicable data were subject to an arcsine
21 transformation to limit the impact of an artificial ceiling (i.e. 100%). For all analyses, upon
22 confirmation of main effects, differences among individual means were analysed using Newman-
23 Keuls post-hoc test.

24 Between-subject comparisons were further supported by dimensional analyses using
25 Person r correlations. The escalation ratio used in between-subject analyses and dimensional
26 analyses was the last self-administration session. The propensity of animals to relapse to cocaine
27 seeking responding was measured as the total number of active lever responses during the 90-
28 min extinction period.

29 For all analyses, significance was accepted at $\alpha \leq 0.05$, analyses for which $\alpha \leq 0.1$ were
30 described as trends. Effect sizes are reported using partial η^2 ($p\eta^2$) (Murray et al., 2015).

1 Results

2 One animal died during surgery and a problem with computer recording meant the data from one
3 animal was lost for the second rGT. As the difference between the first and second rGT was critical
4 for our grouping criteria, data from this animal was excluded.

5
6 The rGT requires animals to assimilate information about the four available 'decks' across the
7 course of a single session. In order to maximize reward animals must learn to avoid the high-reward
8 'decks' as these are associated with longer time-out punishments, and rather, select from the
9 'decks' offering lower immediate rewards, but less severe time-out punishments. During the initial
10 session, the majority of animals learnt this strategy and eventually selected from the advantageous
11 'decks' 76% of the time, with poor and good decision makers, in the lower and upper tercile of the
12 population, making $52\% \pm 5.9$ and $96\% \pm 0.34$ advantageous choices, respectively. In order to
13 determine the effects of escalated cocaine intake on decision making, rats were tested again on
14 the rGT 1-day, 1-week and 1-month after 19 sessions of extended access to cocaine SA. Cocaine
15 exposure broadly impaired animals' decision making on the rGT, with advantageous choice
16 decreasing across the four sessions (**Fig 1a**) [main effect of session: $F_{3,42} = 8.93$, $p=0.0002$, $\eta^2 =$
17 0.39]. However, there were pronounced individual differences in the degree to which cocaine
18 exposure altered decision making on the rGT (**Fig 1b**). Thus, rats were stratified according to the
19 change in decision-making score from rGT1 to rGT2, as this reflects the impact of cocaine exposure
20 on decision making since they were tested only 1-day after cessation of self-administration. As we
21 were principally interested in investigating the effects of intra-individual differences of cocaine
22 escalation on subsequent decision-making, animals were split into terciles and the upper and lower
23 terciles as non impaired and impaired, respectively. The final number of animals included in
24 subsequent between-group comparisons was 5 that showed impaired and 5 that showed improved
25 or unaffected decision making following cocaine, or 5 good and 5 poor decision makers stratified
26 prior to drug exposure. Significant differences in advantageous choice were observed between the
27 impaired and unaffected groups [main effect of Session: $F_{1,8} = 31.89$, $p<0.0001$, $\eta^2 = 0.80$; and
28 session x group interaction: $F_{3,24} = 20.15$, $p<0.0001$, $\eta^2 = 0.72$]. Post-hoc analyses revealed that
29 there were significant differences between the two groups on three of the four rGT sessions [rGT
30 1: 0.90 , $p = 0.03$; rGT 2: 1.09 , $p = 0.008$; rGT 3: 0.79 , $p = 0.03$; rGT 4: 0.64 , $p = 0.09$] (**Fig 1c**).

1 Thus, impaired and unaffected rats displayed no differences in their acquisition of cocaine self-
2 administration over 5 short access sessions (**Fig 2**) [main effect of group: $F_{1,8} = 1.0$, $p = 0.35$, $\eta^2 = 0.11$
3 $= 0.11$ and group x session interaction: $F_{4,32} = 0.14$, $p=0.97$, $\eta^2 = 0.02$]. Likewise, both groups
4 exhibited a robust escalation in cocaine intake across 19 daily extended access sessions (**fig 3a**)
5 [main effect of group: $F_{1,8} = 0.49$, $p = 0.51$, $\eta^2 = 0.06$; session: $F_{18,144} = 14.33$, $p < 0.0001$, and
6 group x session interaction $F_{18,144} = 1.48$, $p=0.11$, $\eta^2 = 0.16$]. There was also no difference in the
7 escalation ratio between the groups (**Fig 3b**) [main effect of group: $F_{1,8} = 0.95$, $p = 0.36$, $\eta^2 = 0.11$].
8 Thus, impaired and unaffected rats did not differ in their propensity to acquire or escalate cocaine
9 SA. The magnitude of the escalation of cocaine intake over time did not differ between rats stratified
10 as good or poor decision makers prior to drug exposure [$F_{1,8} = 0.777$, $p = 0.404$, $\eta^2 = 0.088$] (data
11 not shown) nor was it predicted by **baseline decision making performance across the entire**
12 **population** (**Fig 3c**) [$R = 0.01$, $p = 0.98$]. Therefore, pre-existing individual differences in decision
13 making did not contribute to individual propensity to escalate cocaine self-administration.
14 However, rats with impaired decision making were more vulnerable to relapse following forced
15 abstinence than unaffected rats. Impaired rats displayed higher levels of instrumental responding
16 over the course of a 90-minute relapse challenge session under extinction carried out after 7-days
17 of forced abstinence (**Fig 4a**) [main effect of time: $F_{8,64} = 13.35$, $p < 0.0001$, $\eta^2 = 0.63$, group: $F_{1,8}$
18 $= 13.43$, $p = 0.006$, $\eta^2 = 0.63$ and group x time interaction $F_{8,64} = 2.24$, $p=0.04$, $\eta^2 = 0.22$]. Post-
19 hoc tests revealed this augmented response was only significant during the first 10-minute time-
20 bin [time bin 1: 50.0, $p=0.0002$, all other time-bins NS], likely reflecting that impaired animals did
21 not display an impaired ability to alter their behaviour in response to new contingencies. Critically,
22 this higher vulnerability to relapse observed in impaired rats was not predicted by the propensity to
23 escalate cocaine intake at the population level [$R = 0.065$, $p = 0.82$]. However, a marked correlation
24 was found between the change in decision-making score from the first to the second rGT and the
25 level of responding on the active lever during the relapse challenge for the entire population of 14
26 rats (**fig 4b**) [$R = -0.53$, $p = 0.05$]. The relationship between active lever presses at relapse was
27 specific to the change in rGT score, as poor or good decision makers, stratified prior to drug
28 exposure did not differ in their performance at relapse [$F_{1,8} = 1.28$, $p = 0.29$, $\eta^2 = 0.137$] (data not
29 shown) nor was there any relationship between responding during relapse under extinction

1 conditions and the decision making score at baseline across the entire population [R = 0.35, p =
2 0.22] (fig 4b insert).

3 Lastly, the propensity of rats that displayed impaired decision making to respond more on the
4 active lever more during a relapse test was not observed in the subsequent CS, stress or drug-
5 induced reinstatement tests (Fig 4c). All rats increased active lever presses in response to both
6 non-contingently [main effect of block: $F_{1,8} = 4.06$, $p = 0.08$, $\eta^2 = 0.34$] and contingently presented
7 CS [main effect of block: $F_{1,8} = 3.49$, $p = 0.1$, $\eta^2 = 0.30$], albeit only at a trend level (Fig 4c). In
8 contrast, footshock-induced stress failed to alter behaviour [main effect of block: $F_{1,8} = 2.31$, $p =$
9 0.17 , $\eta^2 = 0.22$], but a significant increase in active lever responses was observed following a non-
10 contingent experimenter administered single infusion of cocaine (Fig 4c) [main effect of block: $F_{1,8}$
11 $= 12.11$, $p = 0.008$, $\eta^2 = 0.60$]. Impaired and unaffected rats displayed no differences in these
12 reinstatement challenges [main effects of group: all F's >2.2, NS].

13 Discussion

14

15 Despite a rather small sample size, the results of this study, supported by large to very
16 large effect sizes, further demonstrate that baseline cost-benefit decision making performance in
17 rats, like humans (Bechara et al., 1994; Bechara and Damasio, 2002; Steingroever et al., 2013),
18 shows large inter-individual variability (present study, Daniel et al., 2017; Rivalan et al., 2009).
19 Critically, these data suggest that baseline decision making does not predict the propensity to
20 acquire cocaine self-administration or the vulnerability to escalate cocaine intake. Instead, the
21 magnitude of the deficits in decision making precipitated by escalated cocaine intake predicted
22 subsequent propensity to relapse following forced abstinence. The observed results are in
23 agreement with data from studies using human subjects, whose impairments in executive
24 functioning after exposure to drugs were associated with increased vulnerability toward relapse
25 following abstinence (Brewer et al., 2008; Streeter et al., 2008). The present data are also
26 congruent with the finding that in human subjects with substantial exposure to cocaine, the failure
27 to acquire the optimal strategy on the IGT is associated with dropout from treatment (Stevens et
28 al., 2013).

29 The present study expands this body of evidence by demonstrating that extended access
30 to cocaine, and associated escalation of intake, led to heterogeneous deficits in subsequent

1 decision making, with some rats displaying a massive decrease in performance and others showing
2 unaffected or even improved performance during the second rGT session.

3 The observation that drug-induced deficits in decision making confer an increased
4 propensity to subsequently respond during a relapse challenge under extinction conditions, is
5 congruent with the finding that disadvantageous decision making following exposure to cocaine,
6 on another iteration of the rGT, was associated with an increased propensity to acquire instrumental
7 responding for a cocaine-paired cue, acting as a conditioned reinforcer (Ferland and Winstanley,
8 2017). However, this previous study suggested that rats that exhibited riskier patterns of decision
9 making at baseline were more sensitive to the deleterious effects of cocaine on decision making
10 (Ferland and Winstanley, 2017). These data are in contrast to this study, which indicated that rather
11 than pre-existing differences, it is the extent to which animals are sensitive to the deleterious effects
12 of cocaine on decision-making that confer vulnerability to relapse following a week of forced
13 abstinence. The contradictory findings between these two version of the rGT may be attributable
14 to differences in training and testing between the two paradigms. Here, we used a version of the
15 rat gambling task wherein animals are required to learn about the relative utility of the various
16 options across the course of a single session, consistent with the human IGT (Bechara et al., 1994).
17 This single session approach captures key elements of cost-benefit decision making, but does not
18 enable the measurement of stable performance. Without this stable baseline, which controls for
19 robust changes in decision-making between rGT sessions, performance under the present
20 conditions may potentially be more malleable. Consequently, the profound alterations in
21 performance observed immediately following escalation of cocaine intake could be due to
22 underlying differences in the strategies deployed by individual rats to acquire the task. It could
23 therefore be argued that the putatively drug-induced alterations observed here may be reflective of
24 animals failing to adequately learn the contingencies, and rather be attributable to a regression
25 towards the mean. Contrary to such a suggestion, the impaired group actually performed better on
26 the initial rGT demonstrating that they readily acquired an optimal strategy prior to drug exposure.
27 Additionally, we and others have repeatedly shown that rats can adjust their strategy in response
28 to alterations in contingencies across multiple test sessions (Daniel et al., 2017; Fitoussi et al.,
29 2018; Rivalan et al., 2013). Lastly, both groups showed broadly consistent choice throughout the

1 remaining sessions, which is at odds with the more pronounced shifts that would be expected if
2 performance was stochastic and reflected a regression towards the mean.

3 Although, it should be noted, that despite broadly consistent performance all rats performed
4 incrementally worse over time, a finding that parallels the long-lasting deficits that cocaine appears
5 to induce in human (see Rogers and Robbins, 2001 for review). Long-lasting deficits have also
6 been reported in other animal studies (Ladron de Guevara-Miranda et al., 2017), although these
7 findings are not unequivocal as a recent study showed stimulant-induced deficits were remediated
8 over a time course similar to the one used here (Groman et al., 2018). These apparent
9 discrepancies are likely related to differences in inter-testing training which can have a pronounced
10 effect on the cognitive process taxed (see Cocker and Winstanley, 2015 for discussion).
11 Nevertheless, the finding that all animals continue to get worse following the cessation of cocaine
12 self-administration is not attributable to the acute psychoactive effects of the drug, but may reflect
13 the long-term consequences of an history of escalated cocaine intake. One potential explanation
14 may be an increase in risk tolerance, as animals are repeatedly exposed to testing. Indeed,
15 exposing animals to unpredictable schedules of reward increases risky decision making (Zeeb et
16 al., 2017). However, animals have previously been shown to demonstrate consistent performance
17 across multiple test days on the rGT, indicating that increased risk tolerance alone is insufficient to
18 account for the increase in disadvantageous choice and rather exposure to cocaine appears to be
19 critical in instantiating or exacerbating these negative effects.

20 The increased propensity to respond during the **relapse challenge under extinction**
21 **conditions** displayed by the impaired group is potentially suggestive of the cognitive processes that
22 underlie the alterations in decision-making. One potential process contributing both to deficits in
23 cost-benefit decision making and higher propensity to relapse may be an impulsivity. Indeed,
24 continued responding during extinction has been suggested to arise as a result of disinhibition, i.e.
25 rats are unable to withhold a prepotent motor response toward a stimulus (here, the active lever)
26 previously associated with a reinforcer (Shaham et al., 2000). Increased impulsivity has also been
27 associated with poor cost-benefit decision making on a rodent version of the IGT (Barrus et al.,
28 2015). However, there were no differences in decision making or the escalation of cocaine self-
29 administration between impaired and non-impaired rats. Consequently, as high impulsivity trait has
30 been shown to exacerbate the escalation of cocaine intake (Anker et al., 2009; Dalley et al., 2007;

1 Perry et al., 2008), *de-novo* differences in impulsivity are unlikely to have contributed to the
2 increased propensity to relapse observed in impaired rats.

3 A cocaine-induced impairment in cognitive flexibility leading to increased preservative
4 responding could also have potentially contributed to the deficits in decision making and the higher
5 propensity to relapse displayed by impaired rats. Indeed, cocaine exposure has been suggested
6 to result in inflexible decision making due to impairments in updating associative information
7 (Stalnaker et al., 2007). Relatedly, a recent study has shown that chronic exposure to the stimulant
8 methamphetamine impaired flexible decision making, with rats continuing to select previously
9 advantageous options, due to a deficit in using negative outcomes to effectively guide behaviour
10 on a reversal learning task (Groman et al., 2018). These data indicate that impaired rats in the
11 present study are unable to switch their behaviour away from the options that were initially
12 advantageous. This may arise from animals assigning increased motivational valence to the larger
13 rewards, or a decrease in the potency with which the aversive properties of time-out punishments
14 facilitate switching between options. Interestingly, recent data has suggested that even in the
15 absence of drug, a small sub-set of animals can become relatively inflexible following the first test
16 day on the rGT. This pattern of behaviour was correlated with a decreased sensitivity to
17 contingency degradation, suggesting that these rats were more predisposed toward the
18 development of habitual behaviours (Fitoussi et al., 2018). Consistent with this observation, acute
19 cocaine injections post training have been suggested to facilitate habitual control over instrumental
20 responding (Schmitzer-Torbert et al., 2015). This raises the possibility that cocaine SA here, may
21 facilitate the formation of habitual-like strategies in the rGT in a sub-set of animals. Whether the
22 deleterious effects of cocaine on decision making in the impaired group and the augmented
23 instrumental response during extinction in the relapse challenge are due to an increase in
24 impulsivity or preservative responding linked to an increase in habit formation is unclear. Further
25 investigations aiming to elucidate the psychological and neural basis of these behavioural
26 manifestations may be beneficial in guiding future treatment strategies.

27 Recent data from our lab suggest the behavioural deficits displayed by impaired rats may
28 depend on drug-induced alterations of the anterior insular cortex (AIC). Thus, the AIC supports the
29 acquisition of optimal exploitation strategies in the rGT and contributes to high impulsivity trait and
30 the associated increased propensity to develop compulsive behaviours (Belin-Rauscent et al.,

1 2016; Daniel et al., 2017). Lastly, we recently demonstrated that the AIC bidirectionally controls the
2 escalation of cocaine SA (Rotge et al., 2017). Taken together, these results could imply that
3 individual differences in drug-induced impairment of AIC function may confer vulnerability toward
4 drug-induced deficits in cost-benefit decision-making and associated increased propensity to
5 relapse (Verdejo-Garcia and Bechara, 2009)

6 Overall, the present study demonstrates that escalated cocaine self-administration greatly
7 influences subsequent individual ability to optimise reward in a cost-benefit decision making task.
8 Despite marked inter-individual differences in subsequent performance, individuals that showed
9 the worst impairments were more likely subsequently to relapse after a period of abstinence.
10 Moreover, the degree to which cocaine deleteriously impacted decision making predicted
11 subsequent vulnerability to relapse. Ultimately, these data suggest that the canonical decision
12 making deficits observed in human drug addiction are not a pre-existing trait, but rather arise as a
13 result of the neurobiological sequela of chronic drug use and contribute to the subsequent chronicity
14 of the disorder.

1 **Figure legends**

2 *Figure 1. Individual variability in cocaine escalation-induced change in decision making.*

3 **A)** Escalation of cocaine self-administration resulted in alterations to cost-benefit decision making
4 at the population level that persisted throughout abstinence. **B)** Rats demonstrated pronounced
5 inter-individual differences in decision making following escalation of cocaine intake. The effects of
6 cocaine on decision making was compared for each rat to a normalized score from the first session.
7 The majority of animals showed impaired performance with a sub-set displaying unaffected or even
8 improved performance. **C)** Rats were separated into terciles based on the alteration in
9 advantageous decision making following cocaine exposure and classified as displaying either
10 improved/unaffected- or impaired behaviour. The impaired group demonstrated substantial
11 decreases in advantageous choice following cocaine exposure that was not remediated after 1-
12 month of abstinence. In contrast animals in the unaffected group displayed unaltered, or even
13 improved performance following escalation of cocaine intake. Subsequently after either a week or
14 a month of abstinence, during test session 3 and 4, respectively, choice of the advantageous
15 options decreased for both groups, although still remained higher in the non-impaired group. **Data**
16 **are presented as mean \pm SEM or individual data points.**

17 *Figure 2. Impaired and unaffected rats displayed no differences in their acquisition of cocaine self-*
18 *administration over 5 short access sessions.*

19 **Data are presented as mean \pm SEM.**

20 *Figure 3. Individual differences in cocaine induced impairments in decision making were not*
21 *associated with an increase in escalation of cocaine self-administration.*

22 **A)** Rats in both the impaired and unaffected group displayed a robust increase in the amount of
23 cocaine self-administered over the 19-daily 12-hour long access sessions, indicative of a loss of
24 control over drug intake. **B)** Similarly, there was no difference in the escalation ratio on the last day
25 of extended access between groups over extended access sessions suggesting that there was no
26 difference in the propensity to lose control over cocaine intake between the two populations. **C)**
27 Escalation of cocaine self-administration was not predicted by baseline decision making
28 performance of the population measured prior to drug exposure (the shaded area represents the
29 95% confidence interval). **Data are presented as mean \pm SEM or individual data points.**

30

1 *Figure 4. Cocaine-induced decision making impairment predicts increased propensity to relapse*
2 *but no differential sensitivity to cue-, stress- or drug-induced reinstatement.*

3 **A).** Both groups of rats showed an increase in responses on the lever previously associated with
4 cocaine when they were reintroduced to a cocaine associated context. However, rats that were
5 susceptible to the deleterious effects of cocaine on decision making demonstrated a higher
6 propensity to relapse to drug seeking following a week of abstinence. **(B)** Critically the propensity
7 of animals to persist in drug seeking during the relapse challenge under extinction conditions was
8 predicted by the impairment in decision making following cocaine exposure but not baseline
9 performance in decision making measured prior to drug exposure (insert) (shaded areas represent
10 the 95% confidence interval). **(C)** However, decision-making impaired rats did not differ from non-
11 impaired rats in their propensity to reinstate extinguished responding after cs, shock or cocaine
12 exposure. **Data are presented as mean \pm SEM or individual data points.**

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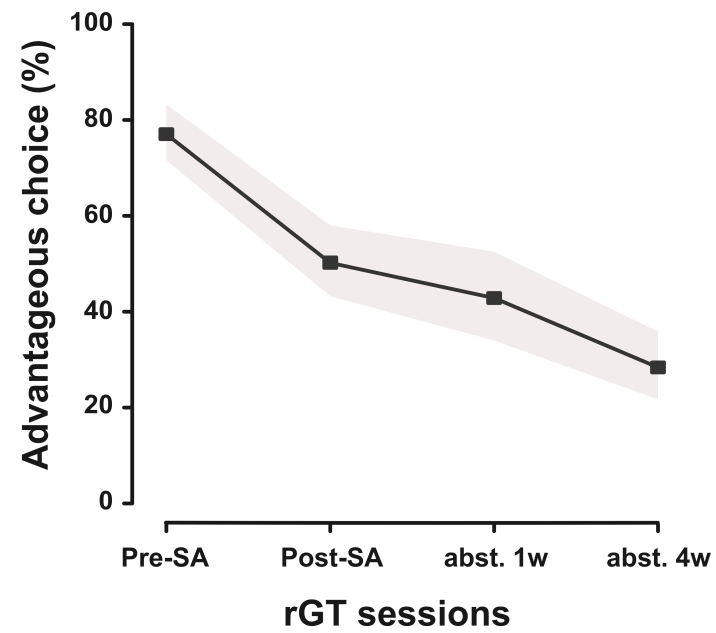
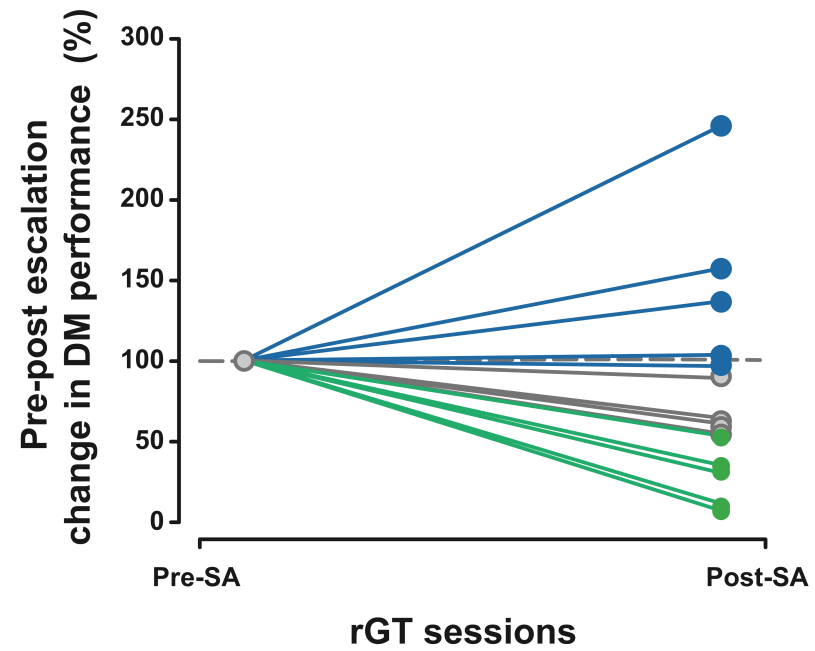
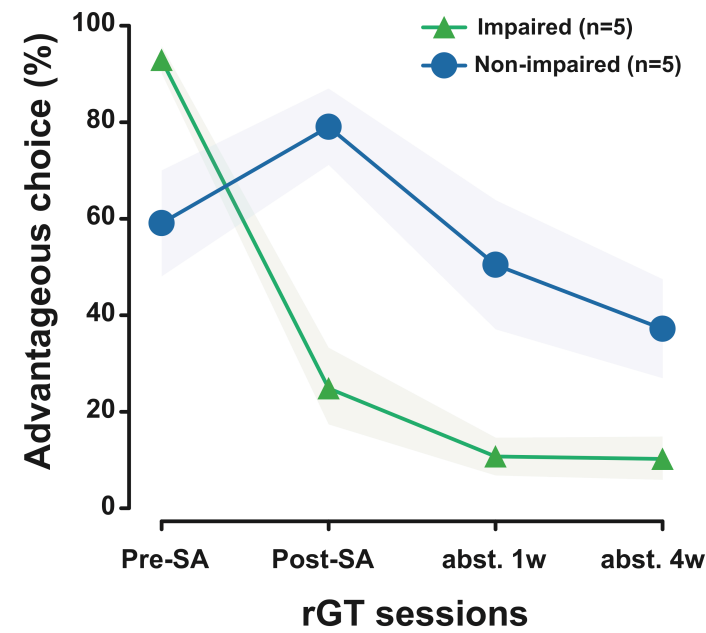
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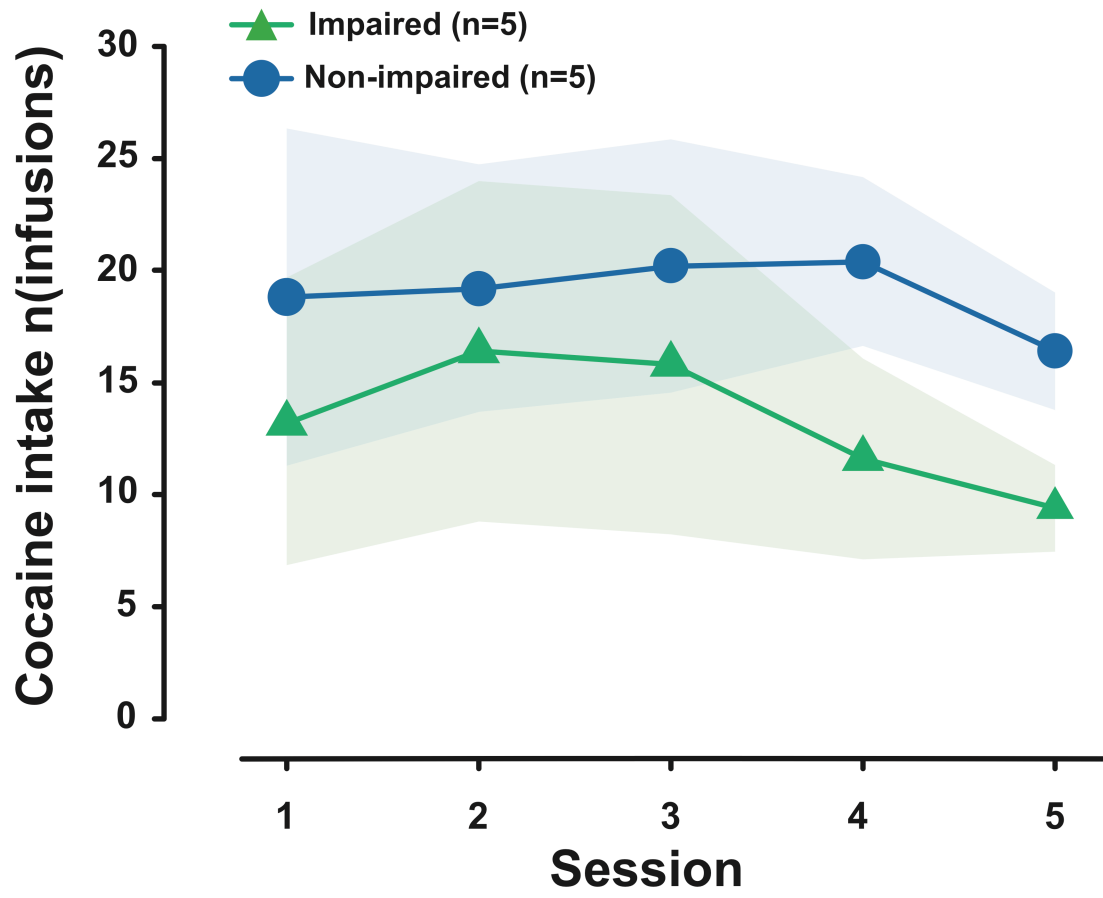
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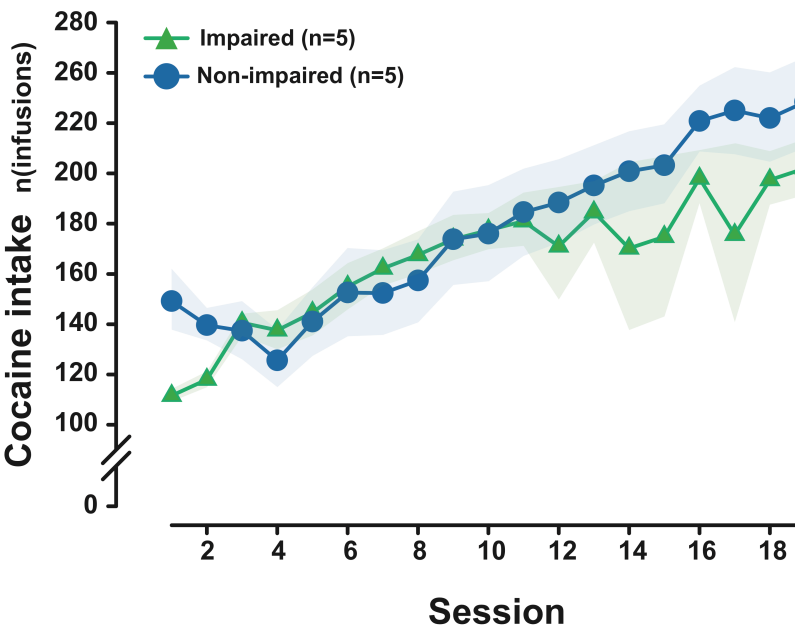
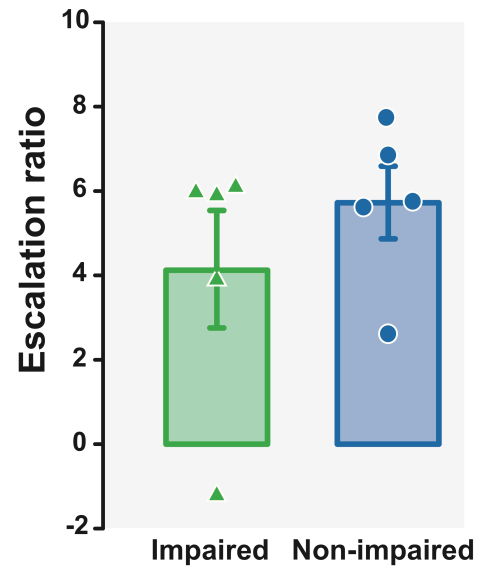
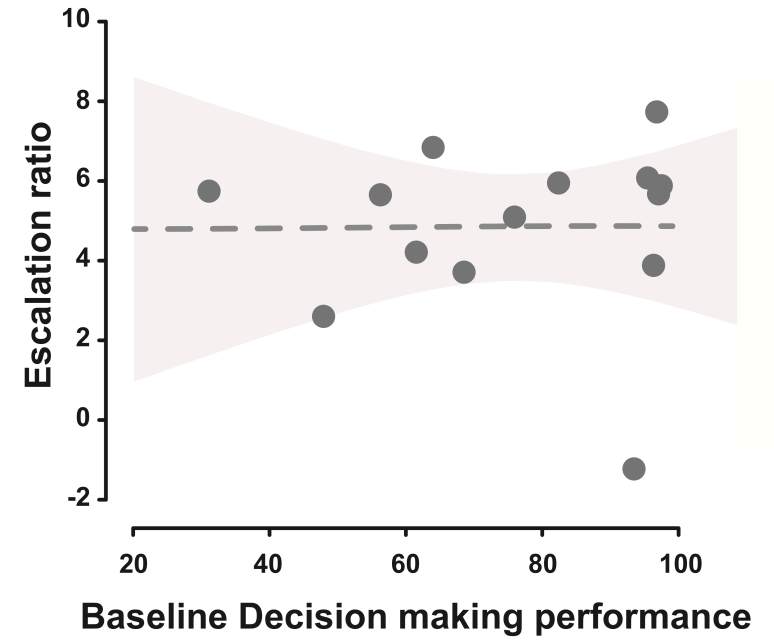
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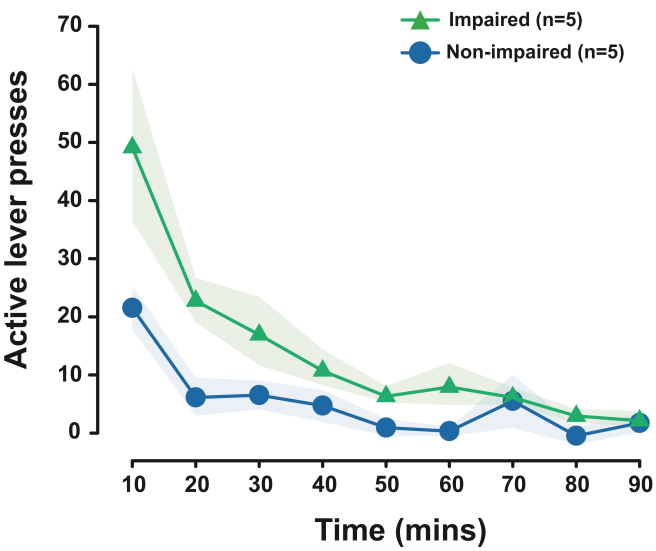
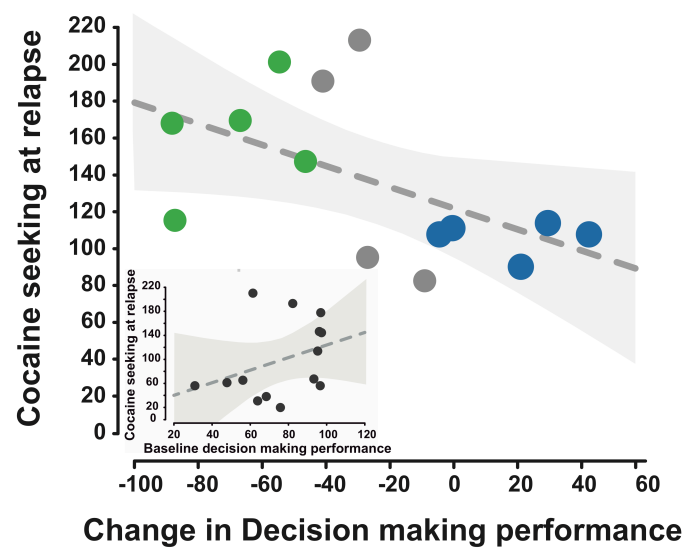
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