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1 **A Review**

2 **Chronic Tonsillitis and Biofilms: A Brief Overview of Treatment Modalities**

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34 **Review Article**

35 **Chronic Tonsillitis and Biofilms: A Brief Overview of Treatment Modalities**

36 **Abstract**

37 Recurrent tonsillitis is described as when an individual suffers from several attacks of tonsillitis
38 per year. Chronic and recurrent tonsillitis both cause repeated occurrences of inflamed tonsils
39 which have a significant impact on a patients' quality of life. Numerous children suffer from
40 recurrent tonsillitis, and sore throats and these illnesses become part of their life.
41 Antimicrobials can provide temporary relief, but in many cases, tonsillitis recurs. Scientists
42 working at Washington University School of Medicine in St. Louis identified the cause of such
43 recurrent infections as microorganisms which often create biofilms and a repository of infection
44 in the wet and warm folds of the tonsils. This review will discuss different treatment modalities,
45 their advantages and disadvantages and new treatment options focusing on biofilms. All
46 treatment options should be selected based on evidence and individual need.

47 **Tonsillitis**

48 Tonsillitis is an inflammation of the pharyngeal tonsils. The inflammation may affect other areas
49 of the back of the throat, including the adenoids and the lingual tonsils. Acute tonsillitis is an
50 infection of the tonsils triggered by one of several types of bacteria or viruses and peritonsillar
51 abscesses can also occur. Chronic tonsillitis is a tenacious infection of the tonsils which may
52 result in tonsil stones. Recurrent tonsillitis ensues when an individual suffers from several
53 incidents of tonsillitis per year. Both chronic and recurrent tonsillitis involve repeated
54 occurrences of inflamed tonsils which can impact severely on a patients' quality of life. ^{1, 2}
55 Children very often suffer from tonsillitis although it is seldom observed below the age of 2
56 years. Tonsillitis due to Streptococcus bacteria classically happens in children aged between 5-
57 15 years, while viral tonsillitis is more prevalent in younger children. ³ Multiple studies report
58 that the average prevalence of carrier status of school children for group A Streptococcus is
59 15.9%. ^{4, 5}

60 **Epidemiology of Tonsillitis**

61 Numerous children so often suffer from recurrent tonsillitis and sore throats that these
62 illnesses become their part of life. For example, one study indicates that approximately 30% of
63 peritonsillar abscesses require a tonsillectomy ⁶ and another indicates that recurrent tonsillitis
64 is reported in 11.7% and 12.1% of Norwegian and Turkish children respectively. ⁷ Many of these
65 patients are prescribed antimicrobials which typically provide temporary relief, but then the
66 tonsillitis recurs. ⁸ Scientists working at Washington University School of Medicine in St. Louis
67 identified that recurrent infections are exacerbated by the creation of biofilms in the wet and
68 warm folds of the tonsils by microorganisms which act as a repository of infection. ⁹ A study
69 utilizing an innovative imaging technique in single sections of human mucosal tissue reports
70 the presence of biofilms in 70.8% chronic tonsillitis patients. ¹⁰ Another study revealed that
71 biofilms were recognized on the surface epithelium of tonsils and adenoids in many of the
72 patients who were waiting for adenotonsillectomy due to chronic tonsillitis and adenoiditis. ¹¹
73 Such biofilms are also observed in other otorhinolaryngology related infections such as chronic
74 rhinosinusitis and chronic otitis media with effusion. ^{12, 13}

75 **A Brief Overview Regarding Biofilms**

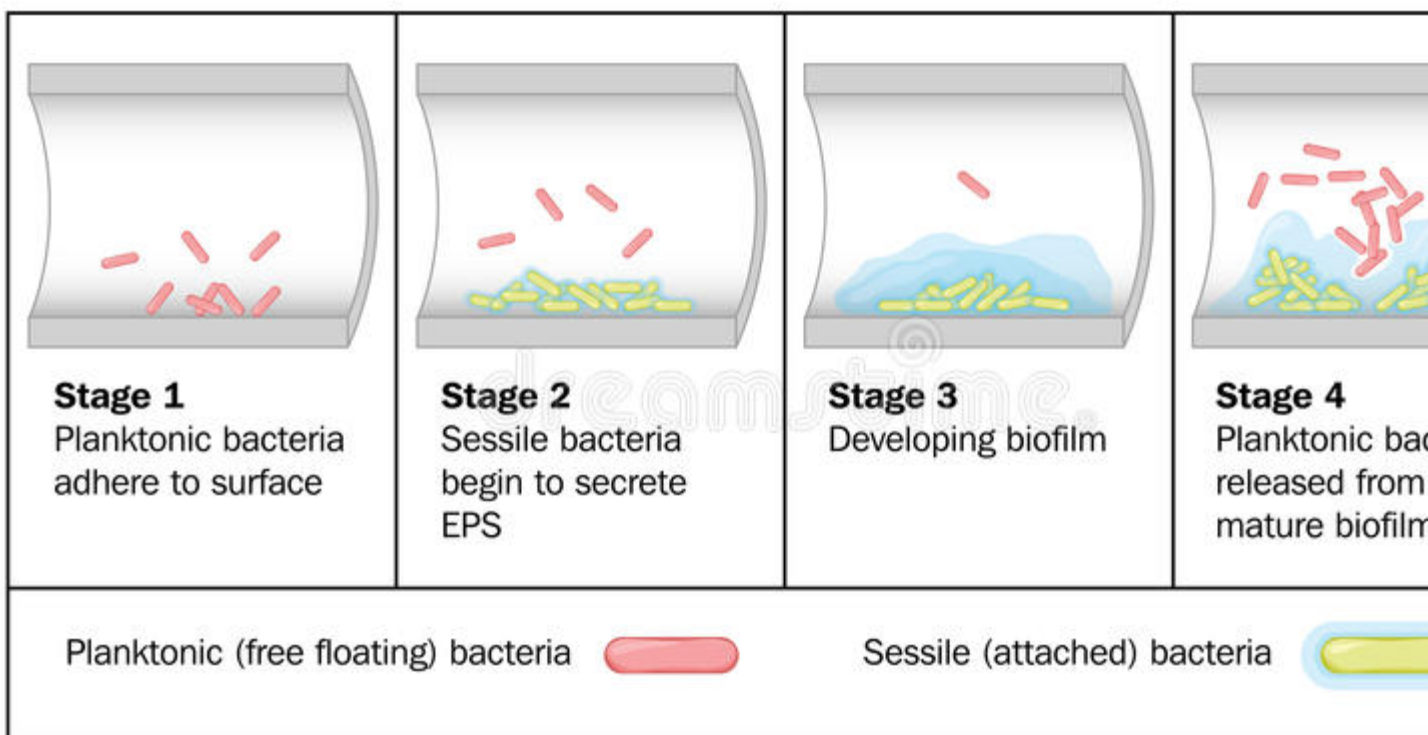
76 Biofilms are systematized communities of microorganisms embedded in a hydrated matrix of
77 extracellular polymeric substances causing diverse of persistent infections, including dental
78 plaque, cystic fibrosis, urinary tract infections, osteomyelitis, and ear infections. ^{9, 14, 15} Biofilm
79 formations is a prehistoric prokaryotic strategy of a microorganism to exist and grow in
80 antagonistic settings through building innovative communities through several processes. ¹⁶⁻¹⁹
81 The Dutch scientist (commonly known as the Father of Microbiology) Antonie van
82 Leeuwenhoek used his primitive but effective microscope to observed Biofilms as early as 1674
83 and describes aggregates of animalcules scraped from human tooth surfaces. ^{20, 21} The English
84 phrase '*survival of the fittest*' arose from Darwinian evolutionary theory and describes one of
85 the mechanisms of natural selection. ^{22, 23} Bacterial biofilm formations are a form of '*survival of*
86 the fittest' under adverse conditions including chemical or antimicrobial treatment. ^{24, 25} The
87 formation of biofilms by bacteria has four potential advantages: "i. *Protection from harmful*
88 *conditions in the host*, ii. *Sequestration to a nutrient-rich area*, iii. *Utilization of cooperative*

89 *Biofilms normally grow as biofilms and planktonic cultures are an in vitro artifact*".²⁶ Microbial
90 biofilms were identified as a major cause of many human infections, present in more than 65-
91 80% of all human bacterial infections.^{14, 27-30} Thereafter biofilm pose "*a serious problem for*
92 *public health because of the increased resistance of biofilm-associated organisms to*
93 *antimicrobial agents and the potential for these organisms to cause infections in patients with*
94 *indwelling medical devices*".³¹ Biofilm formations is generally considered to arise in four core
95 stages: (1) bacterial attachment to a surface, (2) microcolony formation, (3) biofilm maturation
96 and (4) detachment (also called dispersal) of bacteria which may then colonize new areas.³²
97 Multiple research reported that the process of biofilm formation is categorized by five stages.
98 ³³⁻³⁵ (1) Microbial cells attach to surfaces reversibly.³⁶ (2) Microbial cells then attach to surfaces
99 irreversibly.³⁷ (3) Cells adsorbed on surfaces and grow into microcolonies, their physical
100 dimensions estimated tens or hundreds of microns in diameter.³⁸ (4) There microbial fraternity
101 grows into a three-dimensional configuration and settle down into a biofilm as cells replicate
102 and the extracellular polymeric substances (EPS) accumulates.³⁹ (5) Bacterial cells detach
103 biofilm and disperse into the bulk fluid, where they act free swimming bacteria or and form
104 new biofilms.^{16, 17} Biofilm formations were depicted in Figure 1 and 2.

105 **Distinct Features of Biofilm Bacteria**

106 Bacteria found inside biofilms have distinct features different from those of free-swimming
107 (planktonic) bacteria of the same classes and possess a very high level of resistance to
108 commonly-used antimicrobial remedies, biocides and antiseptics, and the host immune
109 response.⁴⁰⁻⁴² Older, mature and impenetrable biofilms are consistently more resistant to
110 antimicrobials than younger, less dense biofilms.⁴² Bacterial cells residing in the outermost
111 parts of the biofilm are more vulnerable to the host's defenses and antimicrobials, although
112 these microorganisms possess numerous defensive mechanisms. The biofilm is formed of
113 various microbial communities that create a complex three-dimensional physical barrier which
114 hinders the diffusional penetration of antimicrobials.^{17, 43, 44} The exterior layer of biofilm
115 metabolic activity alters the local pH to be more acidic and creates anoxic zones that help to
116 degrade antimicrobials.⁴⁵⁻⁴⁸ The biofilm also creates nutrient-depleted areas which act on

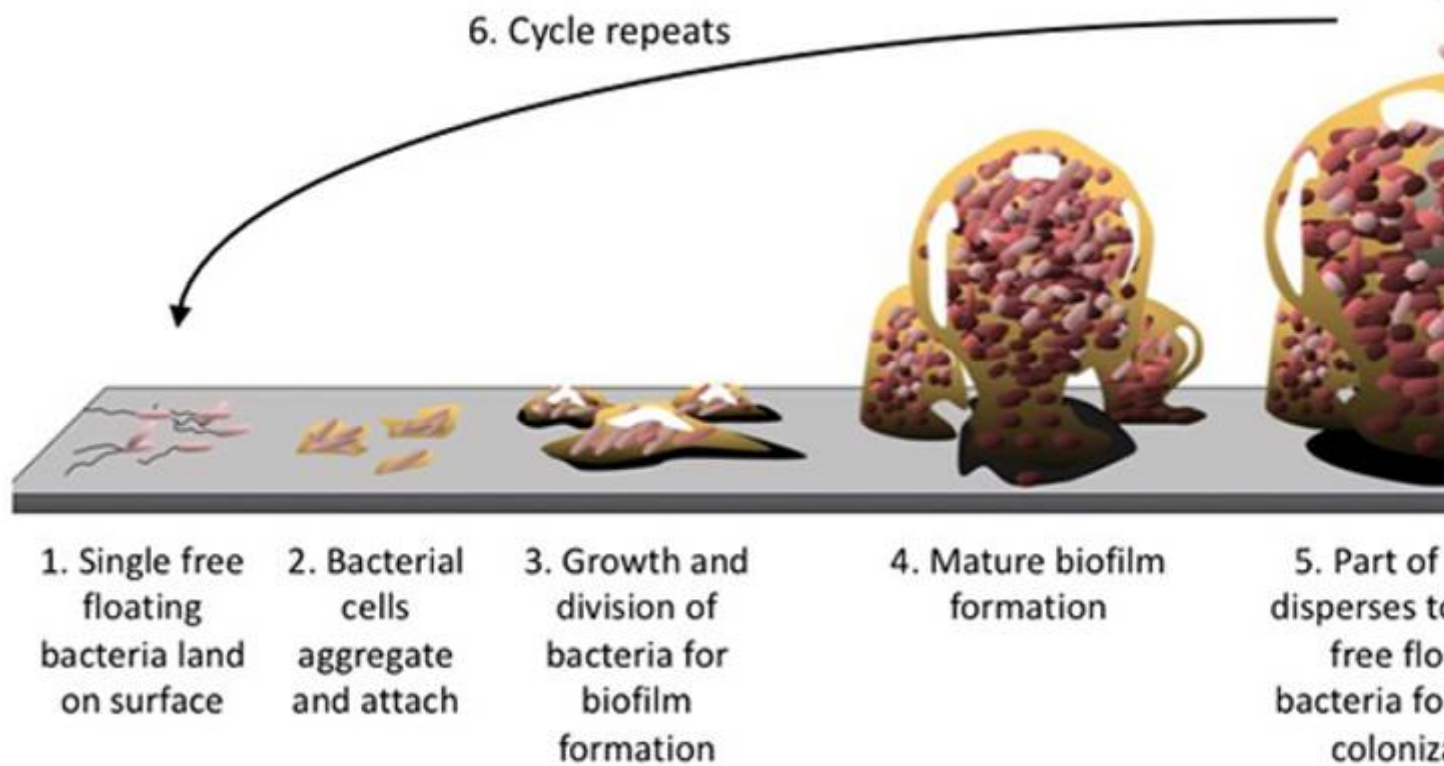
117 microbes to put them into a stationary or dormant phase, which may also contribute towards
118 antibiotic resistance.^{49, 50} The extracellular matrix of the biofilm secretes polymers that bind
119 and deactivate antimicrobials, forming an antibiotic “*sink*”.⁵¹ These properties of biofilms
120 (inadequate diffusion of nutrients, restricted antimicrobial transmission and the alteration of
121 the environment to produce a more hostile environment) combine to produce a widespread
122 resistance and tolerance to antimicrobials.^{16, 43-56} In addition, microbes entrenched in a biofilm
123 can exist even in high concentrations of bactericidal antimicrobials although they are
124 abundantly sensitive to those antimicrobials in culture plates under planktonic conditions.⁵⁷
125 This complex phenomenon is known as the “*recalcitrance of biofilm bacteria toward*
126 *antibiotics*”⁵⁸ and microorganisms found in biofilms can be up to 500-1,000 times more tolerant
127 to antibacterial compounds than their planktonic counterparts.⁵⁹⁻⁶² Additionally, many studies
128 reported that as soon as a biofilm is rooted and fixed, microbes develop resistance to several
129 categories of physicochemical aggression, including UV light, heavy metals, low pH, changes in
130 hydration or salinity, and phagocytosis.⁶³⁻⁶⁷



131

132 **Figure 1:** Showing Four Different Stages of Biofilm Development. Image was download from images for c
 133 Available
 134 <https://www.google.com/search?q=copyright+free+biofilm+image&tbm=isch&tbo=u&source=univ&sa=X&ved=AhULMo8KHUXIALMQsAQIMA&biw=1280&bih=615&dpr=1.5> [Accessed April 16, 2018]
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138 **Figure 2:** Showing Five Stages of Biofilm Development. Image was download from images for copyright free
139 [https://www.google.com/search?q=copyright+free+biofilm+image&tbm=isch&tbo=u&source=univ&sa=X&ved=](https://www.google.com/search?q=copyright+free+biofilm+image&tbm=isch&tbo=u&source=univ&sa=X&ved=AhULMo8KHUXIALMQsAQIMA&biw=1280&bih=615&dpr=1.5)
140 [AhULMo8KHUXIALMQsAQIMA&biw=1280&bih=615&dpr=1.5](https://www.google.com/search?q=copyright+free+biofilm+image&tbm=isch&tbo=u&source=univ&sa=X&ved=AhULMo8KHUXIALMQsAQIMA&biw=1280&bih=615&dpr=1.5) [Accessed April 16, 2018]

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142 **Recurrent Tonsillitis and Tonsillectomy**

143 Chronic tonsillitis affecting equally both children and adults is a serious health problem ^{68, 69} and
144 whilst the definition of severe recurrent tonsillitis varies, a quantity of severity is described as
145 five or more episodes of true tonsillitis a year, symptoms for at least a year, and episodes that
146 are disabling and prevent normal functioning. ^{70, 71} In one study, the lifetime prevalence of
147 recurrent tonsillitis is described as 11.7% (95% confidence interval, 11.0%-12.3%) with a
148 significant preponderance of females. ⁷ Recurrent tonsillitis is typically treated by either surgery
149 or, when the patient does not meet tonsillectomy benchmarks or there are surgical or medical
150 contraindications, by medical antimicrobial intervention. ^{72, 73}

151 Whilst tonsillectomy (surgical removal of the tonsils, with or without adenoidectomy) as a
152 treatment modality has been practiced for over 100 years for children, much controversy exists
153 around its value. As for example, in 1951 the British Medical Journal reported that *“it is better
154 to delay a decision than to hurry it, and above all to avoid operating on tonsils which have been
155 recently inflamed”*. ⁷⁴ One study suggests that 0.6 episodes of any type of a sore throat were
156 reported in the first year after surgery compared to medical intervention ⁷⁵ and another
157 reported that surgery could lead to life-threatening complications. A Swedish cohort study
158 reports that among post-tonsillectomy patients 20 years later, there was a higher incidence of
159 *“chronic, immune-mediated diseases ... in the operated group”*, with a statistically significant
160 relationship between post-tonsillectomy and chronic disease, with a relative risk at 9.41 and a
161 confidence interval from 1 (1.13 < RR < 78.14). ⁷⁶ However, another research study focusing on
162 adults found that tonsillectomy promotes and improves long-term health and quality of life,
163 thus saving health resources. ⁷⁷

164 The decision to operate should therefore be taken with care based on an individual patient’s
165 needs and history, plus current research evidence. ^{74, 76, 78, 79} In making such decisions,
166 secondary care doctors and family medicine practitioners need to collaborate because the
167 decision whether a tonsillectomy is necessary is quite difficult and both the GP and the
168 otolaryngologist must contribute equally. ⁷⁴ The GP knows about the patient’s frequency,
169 duration and severity of tonsillitis whereas the ENT specialist will evaluate symptoms relating to

170 nasal and Eustachian impediment, and will assess whether symptoms are due to tonsillitis or
171 chronic sinusitis.⁷⁴

172 **Treatments Aimed at Disrupting Biofilms**

173 Microbial biofilm formation is responsible for the development of acute to chronic infection in
174 several diseases including cystic fibrosis; periodontitis; infective endocarditis; persistent otitis
175 media; chronic rhinosinusitis; chronic tonsillitis; prostatitis; chronic osteomyelitis; atopic
176 dermatitis; onychomycosis; dental caries; infectious kidney stones; and chronic wounds.⁸⁰⁻⁸³ As
177 well, biofilms formed on the any surface, living or non-living, even on clinical devices like
178 pacemakers, implants and catheters, and very difficult to eradicate, which accentuates the
179 clinical consequence, such as Pseudomonal infections can embroil any part of the human body.
180 Further, the micro-organisms adaptive capability and genetic ups and downs of within the
181 biofilm transform them resistant to all known antimicrobial medicines. Thereafter the
182 Pseudomonal infections become real critical to be handle by the medical doctors and threatens
183 human life.^{83, 84} By and large it is thought that 99% of the biosphere's bacteria to live in
184 biofilms. Thereafter, it is believed that microbial community gain an advantage living in this
185 state.⁸⁵ Consequently, microbial biofilms significantly affecting human health by increasing
186 morbidity, mortality, and healthcare cost. Biofilm not only adding to hospital-acquired
187 infections (HAIs) by increasing chronicity and persistence, but colonizing in other areas of
188 environment instigating corrosion, fouling of water pipes, and food and pharmaceutical
189 decomposition.^{14, 86-88} Another study reported microbial biofilm can stick and infect all medical
190 devices such as orthopedic prostheses and intravascular catheters and promote up to 60% of
191 HAIs.⁸⁹

192 Microorganisms in biofilms are distinctively more resistant to antimicrobial agents and
193 environmental insults and are therefore very difficult to eradicate.^{42, 90-94} Biofilms in general
194 (and chronic tonsillitis specifically) can therefore lead to substantial economic costs for
195 countries and individuals, health concerns and an evolving public health problem in both high
196 and low resource settings.^{77, 95-100} Because of this, multiple research studies have attempted to
197 resolve the issues of both biofilms and recurrent tonsillitis.^{59, 61, 101-108}

198 The explosion of antibiotic resistance throughout the world of many microbial strains has put
199 pressure on the research and medical communities to find an alternative strategy for the
200 management of biofilm-mediated diseases. ⁶¹ *“Perhaps new antibiotics are not the only way to*
201 *combat biofilm infections if we could make ineffective older antibiotics active again.”* ⁵⁹ This
202 researcher developed a 2-amino-imidazoles molecule which is capable of disrupting biofilms
203 through making a microorganism which was previously antibiotic-resistant more vulnerable to
204 older antimicrobials. ^{59, 62} Immunotherapy (using cyclic di-nucleotides) has been effective in the
205 management of different cancers, and this molecule has also been utilized as a therapeutic
206 strategy for biofilm-related infections. Immunoprophylaxis and immunotherapy might
207 therefore provide new tools to combat *S. epidermidis* biofilm formation. ^{109, 110} Recently,
208 multiple studies revealed that a 3,5-cyclic diguanylic acid (c-di-GMP) binding protein was found
209 in biofilm communities. ^{111, 112} BdcA (a protein that enhances biofilm dispersal), confiscates c-di-
210 GMP and minimizes its local concentration and is partly responsible for the reduction and
211 down-regulation of EPS of biofilms and for the up-regulation of swimming, swarming, and
212 planktonic microbes. ^{111, 112} This phenomenon has been observed in *Pseudomonas* species and
213 the *Rhizobium melliotti* biofilm communities. ^{111, 112} Multiple group of scientists recently
214 reported that CdrA (an adhesin compound) which is produced by biofilms in response to high
215 levels of c-di-GMP that binds with Psl and stabilizes biofilm structure. ^{38, 106, 113} Multiple
216 research studies have identified at least three extracellular polysaccharides (Alginate, Pel and
217 Psl) that have been important implication in structure maintenance and antibiotic resistance of
218 biofilm. ¹¹⁴⁻¹²³ Another study revealed that exogenous addition of D-amino acids ¹⁰⁹ disrupted
219 preformed biofilms by disturbing adhesive fiber interactions and was also effective in
220 preventing biofilm formation by *S. aureus* and *P. aeruginosa*. ¹²⁴⁻¹²⁶ One-more biofilm-
221 disassembly molecule is norspermidine which has a similar dispersal mechanism to D-amino
222 acids by targeting the exopolysaccharides. ¹²⁵ The biofilm-inhibiting properties of norspermidine
223 were detected in *Staphylococcus Aureus* and *Escherichia coli* pellicle biofilm.¹²⁵ Current
224 research therefore needs to focus on the development of norspermidine, BdcA, D-amino acids,
225 and other polyamines as a novel antibiofilm approach and medical communities should no
226 longer depend exclusively on antimicrobials (which are increasingly ineffective with many

227 pathogenic microorganisms because of resistance) and surgery to treat infectious diseases. ^{104,}
228 111, 112, 124, 125

229 Other studies have identified additional ways of disrupting biofilms. Bioactive enzymes such as
230 dispersin or Proteinase K studied in orthopedic implants made bacteria more susceptible to
231 antibiotics and finally eradicated the biofilm by affecting polymers or proteins of the biofilm
232 structure. ¹²⁷ Several cytotoxic agents have also been found to successfully eliminate biofilms
233 from implant surfaces, with citric acid being reported to be the most successful in eradicating
234 biofilms on titanium surfaces. ¹²⁸ Multiple research studies have identified that an electrical
235 current successfully detaches *Staphylococcus aureus* and *Staphylococcus epidermis* biofilms
236 from stainless steel implants. ¹²⁹⁻¹³¹ Another study observed that biofilms of *Staphylococcus*
237 *epidermis* on stainless steel fasteners were successfully eradicated through pulsed
238 electromagnetic fields in combination with gentamicin. ¹³² A new cluster of research studies
239 have used laser-generated shockwaves to effectively break up biofilms. ¹³³ The technique is
240 founded on a Q-switched, ND: YAG rhythmically laser functioning at a *“rep rate of 10 Hz with*
241 *1500 mJ pulses centered at 1064 nm. The laser pulses were used to create shockwave pulses in*
242 *Al coated polycarbonate substrates and a resulting peak stress of greater than 50 MPa”* was
243 able to reduce 55% living microorganisms. ¹³⁴ The laser technique offers another way of
244 disrupting biofilms and is useful in the management of infected wounds, where standard
245 treatment modalities such as topical antimicrobials or the removal of dead, damaged, or
246 infected tissue is unsuccessful or injurious. One study found that just 4-10 seconds of the laser
247 therapy was able to disperse biofilms from nitinol stents on 97.9% of *Pseudomonas aeruginosa*
248 to single-celled planktonic microorganisms that can be more easily treated with antibiotics. ¹³⁵
249 Another found that laser-generated shockwaves therapy quickly disrupts the biofilms in
250 infected wounds to eliminate the microorganisms and intensify the effectiveness of topical
251 antimicrobials in the residual biofilm. Such interventions will promote patients’ quality of life by
252 reducing healing times, morbidity, and save healthcare costs. ¹³⁶

253 N-Acetyl-Cysteine (NAC) is an antioxidant mediator which reduces the variety of microbial
254 bacteria on biofilm emergence and evolution, ¹³⁷ inhibits the manufacturing of the extracellular

255 polysaccharide matrix ¹³⁸ and promotes the disruption of mature biofilms. ¹³³ NAC has been
256 found to reduce Streptococcus pneumoniae and Haemophilus influenzae adhesion to human
257 oropharyngeal epithelial cells in laboratory experiments. ¹³⁸ Chronic infections raise
258 prostaglandin levels and NAC effectively reduces these levels and helps to disrupt the biofilms.
259 ¹³⁹⁻¹⁴² correspondingly, aspirin-like non-steroidal anti-inflammatory drugs (NSAIDs) decrease
260 biofilm production and completely block fungal infections. ¹⁴³ NAC interacts with the sulfhydryl
261 group of enzymes involved in EPS production or excretion, which reduces the activity of these
262 molecules or inhibits cysteine utilization. ¹⁴⁴ NAC therefore, decreases in-vitro biofilm formation
263 ¹⁴⁵ and research on salicylates shows a similar negative effect on the production of biofilm. ¹⁴⁶ A
264 study which applied both found that therapeutic doses of acetylsalicylic acid (ASA) and NAC
265 diminishes tonsillar mucosal biofilm formation in chronic or recurrent tonsillitis. ¹⁰² An Iraqi
266 study found a strong correlation between the biofilm of Streptococcus pyogenes and recurrent
267 tonsillitis and that three types of vinegar eradicated streptococcal biofilm remarkably: Date
268 (100%), Apple (95.5%), and Grape (90.9%). ¹⁰⁵ A later study also demonstrated the potential of
269 vinegar in eradicating tonsillar biofilm. ¹⁰¹ In a laboratory experiment, whilst washing and
270 cleaning with a soft brush did not remove the chronic tonsillitis biofilm layer on the tonsil
271 surface in, using a harder brush removed more biofilm. ¹⁰³ Researchers believe that the physical
272 removal of biofilm (by brushing or using ultrasound-activated bubbles) from the tonsil surface
273 in vivo will lead to greater effectiveness of topical antimicrobials and decrease the need for
274 systemic antimicrobials. ¹⁰³

275 **Conclusion**

276 Recurrent or chronic tonsillitis is currently a global public health issue which can severely impair
277 an individuals' quality of life. ^{77, 147} Microbial biofilms are a major cause of repeated tonsillitis in
278 both pediatric and adult cohorts and more research is needed to develop new treatment
279 strategies. ^{107,148, 149} Treatment modalities should however be based on careful selection and
280 individual consideration of the potential impact of biofilms on cases of recurrent tonsillitis. ⁷⁴
281 Rather than developing or using more potent antimicrobials, doctors should ensure they are

282 up-to-date with research and the treatment of biofilms, including the application of topical
283 agents, the physical removal of biofilms and other innovative treatments.

284 **Conflict of Interest**

285 Authors declare no conflict of Interest.

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