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4 1 **Polymeric Nanoparticles for the Delivery of miRNA to Treat Chronic**
5 2 **Obstructive Pulmonary Disease (COPD)**
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16 **Abstract**

17 RNA interference (RNAi) based therapeutics are considered an endogenous
18 mechanism for modulating gene expression. In addition, microRNAs (miRNAs) may
19 be tractable targets for the treatment of Chronic Obstructive Pulmonary Disease
20 (COPD). In this study miR146a was adsorbed onto poly (glycerol adipate-co- ω -
21 pentadecalactone), PGA-co-PDL, nanoparticles (NPs) to reduce target gene IRAK1
22 expression. NPs were prepared using an oil-in-water single emulsion solvent
23 evaporation method incorporating cationic lipid dioleoyltrimethylammoniumpropane
24 (DOTAP). This resulted in NPs of 244.80 ± 4.40 nm at 15 % DOTAP concentration, zeta
25 potential (ZP) of $+14.8 \pm 0.26$ mV and miR-146a (40 μ g/ml) maximum adsorption onto
26 15 % DOTAP NPs was 36.25 ± 0.35 μ g per 10 mg NP following 24 h incubation. Using
27 the MTT assay, it was observed that over 75 % at 0.312 mg/ml of A549 cells remained
28 viable after 18 h exposure to cationic NPs at a concentration of 1.25 mg/ml.
29 Furthermore, the *in vitro* release profile of miR-146a from loaded NPs showed a
30 continuous release up to 77 % after 24 h. Internalization of miR-146a loaded cationic
31 NPs was observed in A549 cell lines using fluorescence and confocal microscopy. The
32 miR146a delivered as miR-146a-NPs had a dose dependent effect of highest NPs
33 concentrations 0.321 and 0.625 mg/ml and reduced target gene IRAK1 expression to
34 40 %. In addition, IL-8 promoter reporter output (GFP) was dampened by miR-146a-
35 NPs. In conclusion, miR-146a was successfully adsorbed onto PGA-co-PDL-DOTAP
36 NPs and the miR-146a retained biological activity. Therefore, these results
37 demonstrate the potential of PGA-co-PDL NPs as a delivery system for miR-146a to
38 treat COPD.

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50 Keywords:

51 Nanoparticles; microRNA (miRNA); miR-146a; chronic obstructive pulmonary disease
52 (COPD); Interleukin-1 receptor-associated kinase 1 (IRAK1); RNA interference (RNAi);
53 inflammation.

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84 **1. Introduction**

85 Chronic obstructive pulmonary disease (COPD) is a major cause of morbidity and
86 mortality and is currently the fourth leading cause of death in the world and predicted
87 to become the third leading cause of chronic illness and death by 2030 [1]. The World
88 Health Organization (WHO) estimated that more than 3 million people died of COPD
89 in 2012, which is equivalent to 6 % of all deaths globally that year [1]. Moreover, the
90 Global Initiative on Obstructive Lung Disease (GOLD) indicated the disease is more
91 prevalent among males than females [2].

92 Current therapeutic strategies to reduce COPD (bronchodilators, antibiotics,
93 inhaled corticosteroids and oxygen) [3] have not been shown to delay or correct the
94 long term advancement of COPD [2].

95 Mature microRNAs (miRNAs) are small noncoding RNA molecules (≈ 22
96 nucleotides long) that downregulate gene expression, first through acute translational
97 repression but subsequently by steady-state mRNA destabilisation [4]. Therapeutic
98 interventions based on modulation of miRNA levels have emerged as a tractable
99 approach for clinical intervention in respiratory diseases [5-7]. In particular, miR-146a
100 has been linked to COPD pathogenesis [8, 9]. The ability of miR-146a to downregulate
101 the interleukin 1 receptor (IL-1R) and Toll-like receptor (TLR) signalling components
102 IL-1 receptor-associated kinase (IRAK1) and tumour necrosis factor (TNF) receptor-
103 associated factor (TRAF6) supports negative feedback regulation of IL-1 β , IL-6 and
104 IL-8 [10, 11]. However, one of the main concerns regarding targeting inflammatory
105 lung disease is that upon delivery of the naked, negatively charged miRNAs to the site
106 of action, the molecules cannot cross the anionic cell membranes [12].

107 Nanoparticles (NPs) have potential to overcome this problem and altering the
108 surface charge to be cationic can enhance the interaction with negative miRNA and
109 particle uptake into cells [13]. NPs prepared from traditional biodegradable polymers
110 such as poly (lactic-co-glycolic acid) (PLGA) or poly (glycerol adipate-co- ω -
111 pentadecalactone) (PGA-co-PDL) can be used for gene delivery and specific cell
112 targeting by coating the NPs with cationic materials [14] or incorporating cationic
113 surfactants [13]. Nucleic acids can bind and adsorb onto the cationic NPs via
114 electrostatic interactions. The difficulty in loading of miRNA into NPs can be attributed
115 to the hydrophobic nature of NPs and the absence of electrostatic interaction between

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116 miRNA and NPs. Studies revealed that additionally attaching molecules such as
117 chitosan or cationic lipids such as dioleoyltrimethylammonium propane (DOTAP)
118 promote siRNA transfection efficacy [15]. These cationic additives can be added to the
119 NPs' surface pre or post formation [16].

120 PGA-co-PDL NPs have previously been investigated for the delivery of proteins
121 and vaccines either by encapsulation of the molecules within the particle or adsorption
122 to the surface [17-20]. In this study, we optimised the formulation of the NPs with
123 surface adsorbed miR-146a for delivery to lung epithelial cells and then evaluated the
124 toxicity, *in vitro* release, cell uptake, and biological activity.

126 2. Materials and Methods

127 2.1. Materials

128 Poly (vinyl alcohol) PVA, Mw of 13- 23 kDa 87-89% hydrolysed was purchased
129 from Clariant GmbH, Frankfurt am Main, Germany), Novozyme 435 (a lipase from
130 *Candida antarctica* immobilized on a microporous acrylic resin) from Biocatalytics, USA
131 and DOTAP from Avanti Polar lipids, Alabaster, AL, USA. Solvents were purchased
132 from Fischer chemicals (Fischer Scientific, UK). RPMI-1640 medium with L-glutamine
133 and NaHCO₃, thiazoly blue tetrazolium bromide (MTT), Nile red and RNase-free
134 diethyl pyrocarbonate (DEPC) water were purchased from Sigma Aldrich, UK. A
135 synthetic miR-146a mimic with a FAM-label on the sense 5' FAM-
136 CCGGGCAAUUCAGUUUCUACA-dTdT-3', was purchased from Eurogenetec, UK
137 with the sequence: sense 5' FAM-CCGGGCAAUUCAGUUUCUACA-dTdT-3',
138 antisense 5' dTdT-GGCCCGUUAAGUCAAGAUGU-3'.

140 2.2. Methods

141 2.2.1. Nanoparticle preparation and miRNA adsorption

142 PGA-co-PDL NPs were prepared using an oil-in-water (o/w) single emulsion
143 method, incorporating 0.4 mg Nile Red dye in the inner organic phase
144 (dichloromethane, DCM) for visualization experiments aqueous phase contained 10
145 % w/v poly (vinyl alcohol), (PVA) as previously described [13]. Indicated
146 concentrations of DOTAP (5, 10, 15, 20, and 25 % w/w of the polymer) were added to
147 the organic phase to prepare cationic NPs. The FAM-labelled miR-146a mimic (40,
148 30, 20 and 10 µg, respectively) was added to 1 ml solution of RNase free water

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149 containing 10 mg of NPs (to obtain a final NP:miRNA weight ratio of 250:1) and mixed
150 using a HulaMixer Sample Mixer (Life Technologies, UK) at 20 rpm and 25 °C at
151 staggered time points (0.5, 1, 2, 4 and 24 h). After adsorption, RNase free water was
152 added to a total volume of 4 ml prior to separation of free miR-146a from the adsorbed
153 miR-146a by ultracentrifugation at 35000 \times g, for 40 min at 4 °C using an Optima L-80
154 Ultracentrifuge (Beckman, UK).

2.2.2. Particle size and zeta potential

157 The mean particle size and polydispersity index (PDI) of the NPs were analysed
158 by dynamic laser scattering, and the surface charge of the particles determined by
159 analysis of the zeta-potential using a Zetasizer Nano ZS, Malvern Instruments Ltd,
160 UK. The NPs (10 mg) were diluted with 4 ml distilled water and 1 ml of the diluted
161 sample was loaded into a measuring cuvette.

2.2.3. miRNA adsorption characterisation

164 The concentration of adsorbed miR-146a was determined indirectly from the
165 difference in miR-146a concentration before and after loading by UV absorbance at
166 260 nm using a NanoDrop 2000C (Thermo Fisher Scientific, and USA). Furthermore,
167 the concentration of FAM labelled miR-146a was determined by fluorescence using a
168 plate reader (CLARIOstar®) at λ_{ex} : 495 nm; λ_{em} ; 520 nm. In both cases, a calibration
169 curve was generated using known concentrations of miR-146a.

2.2.4. *In vitro* release

172 The miR-146a-adsorbed PGA-co-PDL NPs (10 mg) were suspended in 4 ml
173 PBS (pH 7.4) and incubated at 37°C with rotation at 20 rpm on a HulaMixer, samples
174 were centrifuged (Beckman L-80 Ultracentrifuge, UK) at 35000 \times g for 40 min and 1
175 ml of supernatant was collected for quantification. The particles were re-suspended in
176 1 ml PBS and incubation resumed. The absorbance of the supernatant was measured
177 on a Nanodrop spectrophotometer at 260 nm. The amount of released miR-146a was
178 calculated as a percentage of cumulative released miR-146a to the total amount of
179 adsorbed miR-146a.

$$\% \text{ Cumulative miR - 146a released} = \frac{\text{Cumulative miR - 146a released}}{\text{miR - 146a loaded}} \times 100$$

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357 181 The % cumulative miR-146a release was assessed using zero order, first order and
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359 182 Higuchi's square root plot release models. The correlation coefficient was calculated
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361 183 from the following graphical representations, zero order: % cumulative miR-146a
362 184 release versus time; first order: log % cumulative miR-146a remaining versus time;
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364 185 Higuchi: % cumulative miR-146a release versus square root of time.

365 186 **2.2.5. Cell culture and toxicity studies**

367 187 Human alveolar adenocarcinoma A549 cells (ATCC, LGC Standards, Europe)
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369 188 were maintained at 37°C and 5 % CO₂ in RPMI-1640 medium with 100 U/ml penicillin,
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371 189 100 µg /ml streptomycin, 2 mM L-glutamine and 10 % fetal bovine serum (FBS).
372 190 Cytotoxicity was determined *in vitro* using the MTT assay. Cells were seeded in 96
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374 191 well plates in growth medium at a density of 1.2 x 10⁵ cells per well. After 18 h (80 %
375 192 confluence), the cells were incubated for a further 24 h with 100 µl of pre-mixed freshly
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377 193 prepared cationic NPs and non-cationic NPs (control) at range of concentrations (0 -
378 194 2.5 mg/ml), and 10% dimethyl sulfoxide (DMSO) as a positive control. The medium
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380 195 was removed after 18 h and 40 µl of the MTT solution (5 mg/ml in PBS) added to each
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382 196 well for 2 h at 37°C, followed by removal of MTT medium and the formazan dissolved
383 197 in 100 µl DMSO. The absorbance was measured at 570 nm and the cell viability
384
385 198 percentage calculated as the absorbance ratio between NPs treated and non-treated
386 199 cells (control).

388 200 389 201 **2.2.6. Cell Imaging**

391 202 Cells (5 x 10⁵ cells per well) were seeded in an 8 well chambered slide (Fisher
392
393 203 Scientific, UK) and incubated overnight (37°C, 5 % CO₂). Culture media was replaced
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395 204 with 500 µl of FAM-labelled miR-146a-NPs and Nile Red labelled NPs in serum free
396 205 medium for 1 h. Cells were then washed with PBS and fixed using 4 %
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398 206 paraformaldehyde (PFA), or ice-cold (-20°C) 100 % methanol was used for 15 min.
399 207 Permeabilization of the PFA-treated samples was performed with 0.1 % Triton-X 100
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401 208 for 10-15 min at room temperature. Nuclear counter-staining was performed with 1
402 209 µg/ml DAPI for 5 min. Confocal images were acquired using a Zeiss LSM 710 confocal
403
404 210 laser scanning microscope equipped with an argon ion laser. Using the 488 nm laser
405 211 line for FAM labelled miR-146a and 543 nm line Nile Red labelled NPs.
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407 212

2.2.7. Semi-quantitative reverse transcriptase PCR

Cells (3.8×10^5 cells per well) were incubated in serum free medium with miR-146a-NPs for 1 h. The miR-146a-NPs mixture was replaced with complete medium and the cells incubated for 24 h. Total RNA was extracted using the RNeasy Mini kit according to the manufacturer's instructions. Reverse transcription for cDNA generation was performed on 200 ng RNA using miScript reagents (Qiagen, Manchester, UK). Levels of *IRAK1* transcripts, were assessed using RT² qPCR Primer Assays in 20 μ l reactions composed of 10 μ l SYBR Green PCR master mix, 2 μ l primers, 2 μ l diluted cDNA and water to 20 μ l. The reactions were amplified for three-step method. Expression was normalised to glyceraldehyde-3-phosphate dehydrogenase (*GAPDH*) using the $2^{-\Delta\Delta Ct}$ method [21], SYBR Green PCR reagents and PCR primers were purchased from Qiagen (Manchester, UK).

2.2.8. Immunoblotting: Western blots

Cells (3.8×10^5 cells per well) were seeded on a 6 well plate. After 24 h growth, the cells were incubated in serum free medium with 1 ml of pre-mixed freshly prepared miR146a-NPs at range of concentrations (0 – 0.625 mg/ml) for 1 h. The miR-146a-NPs was then replaced with complete medium and the cells incubated for 24 h and 48 h respectively. The cells were rinsed with PBS, and lysed on ice by replacing the culture medium in RIPA buffer containing 150 mM sodium chloride, 1 % Triton X-100, 0.5 % sodium deoxycholate, 0.1 % sodium dodecyl sulphate (SDS), 50 mM Tris PH 8.0, sodium orthovanadate and protease inhibitor tablet (Roche). The protein extract was determined using BCA assay (Sigma, UK). Samples (4 parts) were diluted with an equal volume of 1 part Laemmli buffer (0.5 M Tris-HCL PH 6.8, glycerol, SDS, 0.25 % bromophenol blue, B-mercaptoethanol) and boiled for 5 min at 95 °C. Protein lysate (20 μ g for each lane) were resolved on 12 % precast gel (Bio-Rad, UK) and transferred to nitrocellulose membranes in Tris-glycine buffer with 20 % methanol. Following transfer, membranes were blocked for 1 h at RT in 5 % fat free milk in TBST (TBS and Tween-20) before probing overnight at 4°C with antibodies anti-IRAK1 (1:1000, Abcam), β -Actin (1:1000, ThermoFischer). Membranes were washed three times in TBST then incubated with horseradish Peroxidase conjugated secondary antibodies (1:5000, sigma) for 1 h at room temperature. Membranes were subsequently washed three times and exposed in a chemiluminescence Pierce ECL reagents (Thermo

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475 246 Fisher,UK) according to manufacturer's protocol. Densitometry was performed using
476 247 ImageJ software, and protein of interest values were used to normalize against β -Actin
478 248 values.
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482 250 **2.2.9 IL-8 Promoter Reporter assay**

483 251 Cells (5×10^5 cells per well) were seeded on an 8 well chambered slide (Fisher
485 252 Scientific, UK) and incubated for 24 h prior to transfection. Transfections were
486 253 performed using Lipofectamine 3000 reagent following the manufacturer's protocol
488 254 (Life technology, UK) in serum free DMEM and a total amount of 0.5 μ g of DNA per
489 255 well was used. The DNAs used were pIL8/d2EGFP and pDsRED mono promoters,
491 256 kind gifts from Professor Endre Kiss-Toth (University of Sheffield, UK). The cells were
493 257 transfected for 6 h and then washed twice with PBS. A prepared mixture of unlabelled
494 258 miR146a-NPs (100 μ l) was added and incubated for 1 h. After this cells were washed
496 259 twice in PBS then, cells were stimulated with 1 ng/ml IL-1 β or TNF α and incubated for
498 260 further 6 h. Cells were washed with PBS and subsequently fixed in -20 $^{\circ}$ C methanol
499 261 and images acquired on an Olympus BX51 Fluorescent microscope.
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503 263 **2.2.10. Statistical analysis**

504 264 The experiments were performed in triplicate and data is presented as mean \pm
506 265 standard deviation (SD) unless stated. Statistical differences were performed by One-
507 266 way analysis of variance (ANOVA) using Minitab[®] with the Tukey's comparison at
509 267 value $p < 0.05$.
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514 269 **3. Results**

516 270 **3.1. Formulation and characterisation**

518 271 **3.1.1. Particle size and Zeta Potential**

519 272 NPs (+/- DOTAP) were prepared using a single emulsion solvent evaporation
521 273 method by adding the DOTAP, if relevant, in the organic phase at different DOTAP
522 274 and PGA-co-PDL weight ratios. The data presented in this study indicate that PGA-
524 275 co-PDL mixed with DOTAP formed cationic NPs using 10 % to 25 % (w/w) DOTAP. It
526 276 was noted that increasing the concentration of DOTAP decreased particle size and
527 277 caused the zeta potential to become more positive (Fig. 1A). Varying the concentration

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278 of DOTAP incorporated into the PGA-co-PDL NPs resulted in a change in particle size
279 from 266.10 ± 20.80 nm at 0 % (w/w) DOTAP to 197.90 ± 1.70 nm at 20 % (w/w) DOTAP
280 and, a change in surface charge from -18.9 ± 0.9 mV to $+16.7 \pm 0.1$ mV that varied
281 according to DOTAP concentration (Fig. 1B).

282 However, there was little change in particle properties from 15 % to 20
283 % (w/w), so given the increased cost and toxicity of using higher DOTAP
284 concentrations, 15 % (w/w) DOTAP was chosen for all future work and was selected
285 for the subsequent miR-146a adsorption studies.

286 miR-146a loaded cationic NPs (miR-146a-NP), showed no change in particle
287 size compared to unloaded NPs (244.8 ± 4.4 nm and 242.4 ± 0.3 nm respectively). The
288 charge after adsorption of miR-146a ranged between (+5.9 mV to +11.1 mV) for miR-
289 146a NPs compared to +14.8 mV for unloaded NPs, showing the miR-146a loaded
290 NPs were still positively charged. Furthermore, the reduction in zeta potential
291 confirmed the miR-146a was adsorbed.

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3.1.2. miR-146a adsorption

563 296 The 15 % (w/w) DOTAP NPs were used to study miR-146a adsorption by first
564 297 using a fixed miR-146a concentration ($40 \mu\text{g/ml}$) at different time points 0.5, 1, 2, 4
565 298 and 24 h (Fig. 1C). After 0.5 h, $12.05 \pm 1.3 \mu\text{g}$ of miR-146a ($40 \mu\text{g/ml}$) was adsorbed
566 299 on 10 mg NPs. The maximum miR-146a adsorption was $36.25 \pm 0.35 \mu\text{g}$ miR-146a per
567 300 10 mg NPs after 24 h. Beyond 2 h there was no significant difference in miR-146a
568 301 adsorption with a maximum of $32.25 \pm 2.0 \mu\text{g}$ miR-146a per 10 mg NPs ($p < 0.05$,
569 302 ANOVA/ Tukey's comparison).

574 303 Furthermore, adsorption of miR-146a at different concentrations with a fixed
575 304 time of 2 h was investigated. As shown in (Fig. 1D) over 75 % of miR-146a was
576 305 adsorbed at concentrations of 20, 30 and $40 \mu\text{g}$ miR-146a. The positively charged NPs
577 306 attract the negatively charged miR-146a by electrostatic interaction. Therefore, the
578 307 results indicate that 15 % (w/w) DOTAP NPs can be effectively adsorbed with miR-
579 308 146a. Confirmation that the miR-146a was associated with the NPs was achieved
580 309 using fluorescence microscopy, which indicated the fluorescently labelled NPs (Nile
581 310 Red dye) with labelled FAM-miR-146a (green) (Fig. 2).

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3.2. *In vitro* release and cytotoxicity

The *In vitro* release profile of the miR-146a -loaded NPs (40 µg/ml) showed that the miR-146a release could be divided into stages (Fig. 3). The miR-146a was initially rapidly released in the first 4 h with 51±1.5 % cumulative release. Followed by a second stage during which the miR-146a was continually released between 4 h up to 24 h, providing a cumulative release of 77±1.5 %. The correlation coefficient (r^2) of miR-146a release from NPs was 0.743 (zero order), 0.441 (first order) and 0.932 (Higuchi). The Higuchi diffusion model had the best correlation, hence, the release of the miR-146a from NPs seems to be a diffusion-limited process.

To assess the toxicity profile of the PGA-co-PDL NPs with DOTAP coated NPs, 15 % (w/w) DOTAP particles investigated for toxicity equivalent in size to non-loaded NPs. Blank cells (control) had 100 % cell viability, whereas cell viability at 1.25 mg/ml were around 90 % (unloaded NPs) that decreased to 65 % (15 % DOTAP NPs) (Fig. 4), indicating the NPs appear to cause cell death with an increase in concentration after 18 h exposure [22, 23].

3.3. Cellular uptake

To visualise the cellular uptake of the NPs for delivery to A549 cells, NPs encapsulating Nile red dye were prepared and added to A549 cells. The NPs were observed around the nucleus and in the cytoplasm, in large populations of cells and single cells, indicating that the NPs were taken up by the cells (Fig. 5 A–C: fluorescence and D–F: confocal).

Furthermore, to visualize the intracellular uptake of FAM-labelled miR-146a-NPs for delivery to A549 cells, FAM-labelled miR-146a-NPs were prepared and exposed to A549 cells. Cells were stained with DAPI, and particles were distributed differentially across a population of cells with a clear variation (Fig. 6 A–C: fluorescence). The same results were obtained with a single cell where the particles can be observed around the nuclei and cytoplasm (Fig. 6 D–F: confocal).

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653 341 **3.4. Effect of miR-146a-loaded NPs on target gene, protein**
654 342 **expression and reporter assay**

656 343 To confirm miR-146a-NP function, the expression of the target genes *IRAK1*
657 344 was assessed in A549 cells. Analysis of transcript levels showed that miR-146a
659 345 delivered via NPs (miR-146a mimic) and the highest NPs concentrations led to
660 346 suppression of *IRAK1* to 40 %, compared with untreated cells (Fig. 7A). The
662 347 expression of *IRAK1* was normalised to *GAPDH* expression.

664 348 To confirm the downregulation of *IRAK1* occurred at the protein levels, miR-
665 349 146a-loaded NPs were applied to A549 cells and lysates evaluated by immunoblotting
666 350 (Fig. 7B). The miR-146a-NPs reduced *IRAK1* protein levels in A549 cells after 24 h
668 351 and 48 h treatment. The decrease in protein levels occurred in a dose dependent
670 352 manner compared to untreated cells, suggesting that *IRAK1* protein levels reduced in
672 353 response to miR-146a-NPs.

673 354 To determine miR-146a-NPs biological function, the IL-8 promoter reporter
674 355 assay was used. The pIL-8 promoter- GFP reporter was transfected into A549 cells,
676 356 which express the functional IL-1 receptors [24]. The promoter's response after IL-1 β
678 357 stimulation produced intense fluorescent signal (Fig. 7Ci), while IL-8 promoter reporter
679 358 output (GFP) was dampened by miR-146a-NPs (Fig. 7Cii).

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684 360 **4. Discussion**

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686 361 **4.1. Optimization of cationic NPs**

687 362 The data presented in this study indicate that PGA-co-PDL mixed with DOTAP
688 363 formed cationic NPs using 10 % to 25 % (w/w) DOTAP. It was noted that increasing
689 364 the concentration of DOTAP decreased particle size and caused the zeta potential to
692 365 become more positive (Fig. 1A). This data agrees with previous research indicating
693 366 that the use of DOTAP during particle preparation affects the particle size. Jensen *et*
694 367 *al.* used DOTAP with PLGA for siRNA delivery, and reported that particle size
696 368 decreased from 260.8 \pm 14.1 nm to 207.7 \pm 0.1 nm after use of various DOTAP
698 369 concentrations, included during preparation [15].

700 370 DOTAP has previously been used in other studies as a cationic material to
701 371 modify polymeric NP properties such as particle size, charge and improve gene
702 372 transfection [25]. DOTAP is thought to limit the enlargement of polymeric NPs due to
703 372 its surfactant and condensation characteristics [15]. Another reason for the change in
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711 374 particle size is that the cationic material has the ability to decrease interfacial tension
712 375 between the particle surface and the aqueous phase during formation [26]. This is
713 376 similar to the effect of PVA on particle size during preparation of NPs [27], where the
714 377 particle size decreased due to an adequate amount of surfactant covering the surface
715 378 of PGA-co-PDL NPs [20].

719 379 Furthermore, electrostatic interaction between the DOTAP cationic moiety,
720 380 quaternary amine and the negatively charged PGA-co-PDL neutralises the PGA-co-
721 381 PDL and the remaining amine groups cause the positive charge [28-30].

724 382 The particle surface charge is another important factor for miRNA adsorption
725 383 and cellular uptake. This electrostatic surface charge affects the adsorption of miR-
726 384 146a, and addition of negatively charged miR-146a lead to a slight charge reduction
727 385 in cationic NPs. This could be attributed to the decreased surface area of cationic NPs
728 386 and ionic interactions [23, 31]. The charge of cationic NPs remained positive after
729 387 miR-146a adsorption, and the amount of miR-146a adsorbed on cationic NPs over
730 388 different time points indicated that the surface of cationic NPs was saturated with miR-
731 389 146a after 2 h (Fig. 1B-D).

736 390 737 738 **391 4.2. *In vitro* release**

739 392 The *in vitro* release of miR-146a from 15 % DOTAP NPs is affected by the
740 393 presence of DOTAP in NPs. Cationic DOTAP has a quaternary amine group that
741 394 provides opportunity of intermolecular hydrogen bonding with PVA and PGA-co-PDL,
742 395 so DOTAP forms a network on the particle's surface which affects miR-146a release.
743 396 A similar study with surface adsorbed siRNA revealed, the *in vitro* release of siRNA
744 397 from cationic PLGA was more than 60 % over 24 h [32] whereas in our study more
745 398 than 70 % miR-146a was released from cationic NPs after 24 h. This could be related
746 399 to PGA-co-PDL degrading slower than PLGA [33]. Kunda *et al.* conducted a similar *in*
747 400 *vitro* release study using PGA-co-PDL NPs adsorbed BSA protein, and found that
748 401 more than 90 % of BSA was released because of weaker hydrophobic interactions
749 402 between BSA and NPs [20].

750 403 The *in vitro* release of miR-146a after 24 h was 77 ± 1.5 %, which correlated
751 404 with the change in gene silencing and protein levels indicated in the Western Blot data
752 405 (Fig. 7B), suggesting that miR-146a maintained its biological efficacy and was not
753 406 affected by the degradation of NPs. The protein level bands were intense at lower
754 407 miR-146a-NPs concentration and the bands of intensity decreased when NPs

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408 concentration is increased. The difference in protein level bands indicate that miR-
409 146a-NPs produce intense bands which indicates a slight decrease in protein level,
410 whereas the less intense band caused a significant decrease in protein levels, which
411 will be discussed further in Western Blot data. A similar study by Li *et al.* using DOTAP
412 as a cationic lipid to modify single-walled carbon nanotube as non-viral siRNA delivery
413 system, found that cationic DOTAP electrostatically interact with negatively charged
414 siRNA, protecting siRNA against degradation, and was released from single-walled
415 carbon nanotube-DOTAP, realising its gene silencing potential in the cancer cells [34,
416 35].

417

418 **4.3. Cytotoxicity studies and cellular uptake**

419 The concentration of optimum 15 % DOTAP NPs caused changes in A549 cell
420 viability ranging from 77 – 64 % at 0.156 – 1.25 mg/ml (Fig. 4). Cells exposed to NPs
421 without DOTAP had 96 % viability at 0.312 mg/ml, which decreased to 77 % with 15 %
422 DOTAP NPs at 0.312 mg/ml and 64 % at 1.25 mg/ml. Although the data suggests a
423 decrease in viability ranging from 77 – 64 %, the data obtained is with high particle
424 concentration in a relatively small surface area (i.e. in a 96 well plate), whereas in the
425 lungs, the surface area is significantly larger and the NPs will be more dispersed, thus
426 the high level of NPs toxicity shown here is unlikely to be seen in a physiological setting
427 [13]. Bose *et al.* also found that different DOTAP concentrations, when added to lipid
428 polymer hybrid nanospheres, affected cell viability of various cell types (HEK293,
429 HeLa, HaCaT, and HepG2) but did not cause severe cytotoxicity (cell viability was >
430 70 % for all cell types) [23]. In addition, similar to our findings, Jensen *et al.*
431 demonstrated the cell viability of H1229 cells was PLGA NPs and DOTAP
432 concentration dependent with cell viability in the range of 65-70 % for 15 % DOTAP-
433 containing NPs [15].

434 Nile Red NP were used to show the ability of cationic NPs cellular uptake and
435 to deliver miR-146a to the cell. The uptake of PGA-co-PDL polymer based NPs had
436 previously demonstrated in A549 [13] and dendritic cells [17]. Conventionally, miRNA
437 are very limited in their ability to cross the cellular membrane, without use of polymer
438 based carrier drug delivery system [36]. The cationic NPs, with adsorbed miR-146a,
439 were distributed in cytoplasm and at periphery of the nucleus region in A549 lung
440 fibroblasts. This distribution of FAM labelled miR-146a suggest that miR-146a could

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441 interact with RISC complex with AGO2 and target mRNA in cytoplasm and achieve a
442 good level of gene silencing [37, 38] .

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444 **4.4.Functional evaluation of miR-146a-loaded NPs on target gene,** 445 **protein expression and reporter assay**

446 The functional activity of miR-146a loaded NPs, was assessed on miR-146a
447 target gene, *IRAK1*. Early work by Baltimore and colleagues indicated that miR-146a
448 inhibits the expression of *IRAK1* genes and the significant function of miR-146a as
449 negative regulator of inflammation [11]. The reduced target gene *IRAK1* of miR-146a-
450 NPs suggest that NPs delivered miR-146a to site of action and produced the expected
451 downregulation effect (Fig. 7A). Assessment of the impact of the miR-146a-NPs on
452 *IRAK1* protein levels revealed protein band intensity decreased when the NPs'
453 concentration was further increased, suggesting that NPs delivered miR-146a to site
454 of action (Fig. 7B). These functional studies confirm that miR-146a-NPs delivered to
455 site of action in the lung cell lines, and miR-146a have modulated both genes and
456 protein levels [39]. With these results, miR-146a-NPs appeared to be a promising
457 therapeutic approach for treatment of disease by targeting genes products associated
458 with inflammation.

459 The effect of miR-146a-NPs in the expression of target gene *IRAK1*, protein
460 levels, pIL-8 promoter reporter assay was used (Fig. 7C). Several studies have shown
461 that miR-146a targets IRAK1 and TRAF6 in the IL-1R/TLR pathways that activate the
462 NF- κ B [10, 11, 40]. Using a fluorescent NF- κ B responsive reporter, we showed that
463 miR-146a-NPs impaired cytokine-dependent activation of NF- κ B IN A549 cells. Hence,
464 the ability of miR-146a-NPs to suppress IRAK1 translated into reduced activation of
465 NF- κ B and may thus ameliorate inflammatory processes associated with COPD.
466 However, evaluation of the miR-146a-NPs in patient-derived COPD alveolar cells in
467 monolayer and three-dimensional cultures will be required to demonstrate the full
468 clinical potential of miR-146a-NPs. In human alveolar epithelial lung cells miR-146a
469 reduced expression of IRAK1 [9].

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471 5. Conclusion

472 The cationic DOTAP was successfully used to produce cationic NPs with
473 particle size 244.8 ± 4.4 nm, which was similar to unloaded NPs 242.4 ± 0.3 nm.
474 Moreover, cationic NPs offer positive surface charge for miR-146a adsorption. The
475 miR-146a adsorption was 32.25 ± 2.0 μ g miR-146a per 10 mg NPs after 2 h (the
476 optimum conditions were 15 % DOTAP and miR146a adsorbed after 2 h). The *in vitro*
477 release of miR-146a after 24 h was 77 ± 1.5 %, the NPs were taken up by the cells and
478 delivered miR-146a into the cell.

479 The miR-146a maintained its functional structure under gene silencing and
480 protein level. The high miR-146a-NPs concentration reduced target gene *IRAK1*
481 expression to 40 %. The miR-146a-NPs reduced IL-8 promoter reporter GFP via IL-
482 1β signalling pathway suggestion that miR-146a-NPs can be used to target proteins,
483 regulate the inflammatory process. These successful studies and results show the
484 potential of cationic NPs for delivery of miR-146a in the treatment and management
485 of COPD.
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List of Figures

Figure 1 (A) The effect of the concentration of DOTAP on particle size of PGA-co-PDL NPs, **(B)** The effect of the concentration of DOTAP on the particle surface charge of PGA-co-PDL NPs, **(C)** Adsorption of miRNA (40 µg/ml) at different time points up to 24 h onto 15 % DOTAP NPs, **(D)** miRNA adsorption onto 15 % DOTAP NPs at various miRNA concentrations over 2 h, µg miRNA per 10 mg NPs. Data is represented in (A) and (B) as Mean ± SD (n=3), (C) and (D) as Mean ± SD (n=2). *p <0.05, ANOVA/ Tukey's comparison.

Figure 2. Image (A) shows red colour NPs (Nile Red dye), (B) the labelled FAM-miRNA (Green) and (C) the merged image of both A and B (scale bar represent 50 µm).

Figure 3. miRNA in vitro release from 15 % DOTAP NPs in phosphate buffer saline at pH 7.4. Data presented as Mean ± SD (n=3).

Figure 4. Cytotoxic effect of unloaded NPs (0 % DOTAP) and 15 % DOTAP NPs on A549 cells after 18 h incubation. DMSO was used as positive control; the cell viability was measured using MTT assay. The experiments were repeated three times and data represented as mean ± SD (n=3), *p<0.05, ANOVA/Tukey's to compare NPs with and without DOTAP at concentrations (0.312 mg, 0.625 mg, and 1.25 mg/ml)

Figure 5. Fluorescence **(A–C)** and confocal **(D–F)** images of Nile Red NPs in A549 cells after 1 h of incubation. **(A&D)** Nucleus is stained with DAPI, **(B&E)** NPs stained with Nile Red dye and **(C&F)** merged image. The scale bar represent 20 µm.

Figure 6. Fluorescence **(A–C)** and confocal **(D–F)** images of FAM-labelled miR-146a-NPs in A549 Cells after 1 h of incubation. **(A&D)** Nucleus is stained with DAPI, **(B&E)** FAM-labelled miR-146a-NPs and **(C&F)** merged image. The scale bar represent 20 µm.

Figure 7. (A) Effect of miR-146a loaded NPs on IRAK1 expression. The highest two concentrations were pooled from the three independent experiments. The expression of IRAK1 was normalised to GAPDH expression. Data represented as Mean \pm SD (n=3). **(B)** Effect of miR-146a on IRAK1 protein levels in A549 cells. Dark triangle represents lowest (left) to highest (right) miR-146a-NPs concentrations. The numbers under each band represents the densitometric readings relative to control samples normalized to each band and to its corresponding β -actin control. Fluorescence images of **(Ci)** response of pIL8 reporter to cells stimulated with IL-1 β , **(Cii)** Cells loaded with miR-146a-NPs prior to stimulation with IL-1 β . The scale bar represent 20 μ m.













