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# *Research Article*

# **Cross Talk between Lipid Metabolism and Inflammatory Markers in Patients with Diabetic Retinopathy**

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*Purpose*. The purpose of this study was to examine the relationship between metabolic and inflammatory markers in patients with diabetic retinopathy (DR). *Methods*. 208 adult patients with type 2 diabetes participated in this study and were categorized into (1) mild nonproliferative diabetic retinopathy (NPDR) without clinically significant macular edema (CSME), (2) NPDR with CSME, (3) proliferative diabetic retinopathy (PDR) without CSME, and (4) PDR with CSME. Variable serum metabolic markers were assessed using immunoassays. Multinomial logistic regression analysis was performed. *Results*. Diabetes duration and hypertension are the most significant risk factors for DR. Serum Apo-B and Apo-B/Apo-A ratio were the most significant metabolic risk factors for PDR and CSME. For every 0.1 g/L increase in Apo-B concentration, the risk of PDR and CSME increased by about 1.20 times. We also found that 10 pg/mL increase in serum TNF- was associated with approximately 2-fold risk of PDR/CSME while an increase by 100 pg/mL in serum VEGF concentration correlated with CSME. *Conclusions*. In conclusion, it seems that there is a link between metabolic and inflammatory markers. Apo-B/Apo-A ratio should be evaluated as a reliable risk factor for PDR and CSME, while the role of increased systemic TNF- $\alpha$  and VEGF should be explored in CSME.

#### **1. Introduction**

Diabetic retinopathy (DR) is the most common microvascular complication of diabetes and remains one of the leading causes of adult blindness globally [ 1]. The prevalence of DR increases with duration of diabetes, and more than 60% of those with type 2 diabetes have some form of DR after 20 years [ 1]. Early stages of DR (nonproliferative DR or NPDR) are characterized by microaneurysms, dot and blot haemorrhages, and exudates, while the later stages are characterized by retinal neovascularisation and its complications (proliferative DR or PDR) [2]. Diabetic macular edema (DME) may occur at any stage of DR and is characterised by increased vascular permeability and resultant leakage of proteins and lipid exudation (hard exudates) in the central retina (macula) [ 3]. The two most important visual complications of DR are considered to be DME and PDR [ 2 , 3].

The traditional modifiable risk factors for development and progression of DR and DME are hyperglycaemia and hypertension [ 4 , 5], although it is worthy to note that a recently published Cochrane systematic review reported that there is lack of evidence to support that control of hypertension leads to prevention of DR progression [ 6]. On the other hand, beneficial effect of intervention to reduce blood pressure with respect to preventing DR was observed in patients who have diabetes for up to 4-5 years [ 6]. In fact, the known key risk factors only explain 44.6% and 19.5% of total variances in DR and DME, respectively [ 7]. Therefore, many investigators have explored other modifiable risk factors. An area of renewed interest is the role of dyslipidaemia as a

potential risk factor for DR. Several epidemiological studies over the last few decades have evaluated the role of hyperlipidemia in DR by estimating traditional lipid markers, such as serum total cholesterol, triglycerides, low density lipoproteins (LDL), and high density lipoproteins (HDL) with conflicting results. In particular, most studies to date have shown no association between these serum lipid markers and DR but some promising evidence exists, linking these parameters with hard exudates and DME [8].

Interestingly, two recent landmark studies (effect of fenofibrate on the need of laser treatment for diabetic retinopathy (FIELD) and action to control cardiovascular risk in diabetes (ACCORD-Eye)) have shown that fenofibrate could be beneficial in reducing the progression of DR and development of DME [9, 10], as well as the need for laser treatment for sight threatening complications of DR [9]. However, in both studies the effects of these oral medications, like fenofibrate, on DR were unrelated to their effects on blood lipids but may relate to effects on novel pathways, linking dyslipidaemia and DR. Additionally, the traditional lipid profile markers may not be sufficiently sensitive biomarkers for assessing the association between dyslipidaemia and DR [9, 10]. Apart from the lipidic mechanism, recent studies shed light into the nonlipidic mechanism by which fenofibrate exhibits its beneficial action in DR and DME, including antiapoptotic activity, antioxidant and anti-inflammatory activity, neuroprotection, protective effect on blood-retinalbarrier, and potential antiangiogenic effect of fenofibrate in DR [11, 12].

Several reports suggest that the effects of these lipidlowering agents on DR may be due to their anti-inflammatory effects. There is substantial evidence supporting the role of low grade subclinical inflammation in the pathogenesis of DR, leading to damage to the retinal vasculature and neovascularization [13]. Vascular endothelial growth factor (VEGF) has been implicated in DME pathogenesis by inducing hyperpermeability and therefore vascular leakage, while in PDR it is thought to have angiogenesis activity [14]. In addition, several pro- and anti-inflammatory markers in the serum and ocular fluids have been related to DR and the breakdown of the blood retinal barrier in DME [3]. It is therefore important to evaluate both systemic inflammatory markers and novel serum lipid markers to better understand the interactions of dyslipidaemia and inflammation in PDR and DME.

In this study we explored the relationship of circulating inflammatory markers and novel serum lipid markers that have recently been reported in DR and DME. These include serum adipocytokines, hyperinsulinemia, and apolipoproteins. Adipocytokines, such as adiponectin, leptin, and tumour necrosis factor-alpha (TNF- $\alpha$ ), influence both lipid metabolism and inflammatory processes and have been linked to both the development and severity of DR [15–18]. Hyperinsulinaemia has been also associated with triglycerides and may precede an abnormal lipid profile, as it is implicated in atherogenesis and considered to be an independent cardiovascular risk predictor [19]. Similarly, Sasongko et al. showed that serum apolipoproteins (apo-A, apo-B, and apo-B/apo-A ratio) are stronger biomarkers of

DR compared to traditional lipids [20]. Therefore, estimating adipocytokines and apolipoproteins and correlating them with circulating inflammatory markers in patients with type 2 diabetes with varying severity of DR and DME may provide a better understanding of both the lipid profile and the implication of inflammatory pathways in DR.

In this study, we prospectively evaluated the association and correlation of serum metabolic markers, including adiponectin, leptin, apo-A, and apo-B, in patients with type 2 diabetes with varying grades of DR in a nested case-control study within the South East London-Diabetic Retinopathy Study (SEL-DRS), which is a cross-sectional study, examining the association of DR and a range of metabolic risk factors in patients with diabetes, receiving retinal screening and eye care and residing in three boroughs of South East London [21]. Additionally, we correlated these metabolic markers with previously reported serum pro- and anti-inflammatory markers in DR. The proinflammatory markers included TNF- $\alpha$ , sialic acid, interleukin-1 $\alpha$  (IL-1 $\alpha$ ), interleukin-1 $\beta$  (IL-1 $\beta$ ), and interleukin-6 (IL-6), while the anti-inflammatory markers included interleukin-1 receptor a (IL-1ra), interleukin-4 (IL-4), interleukin-10 (IL-10), and vitamin D. VEGF has been also examined as a pivotal pathogenic factor for both DME and PDR.

#### **2. Materials and Methods**

A total of 380 patients were recruited from a populationbased eye screening program and grouped by severity of DR as follows: NPDR  $(n = 252)$  and PDR  $(n = 128)$ . 235 participants provided their blood samples. This study included 208 patients, as 27 patients were excluded, due to previous ocular surgery, history of uveitis, and presence of other concomitant ocular or systemic diseases such as glaucoma, cancer, end-stage renal failure, coronary heart diseases, or liver diseases. Patients taking any medications such as corticosteroids or immunosuppressants and those having received intraocular corticosteroids or anti-VEGF agents, known to affect inflammatory markers, were also excluded. The study was conducted in accordance with the tenets of the Declaration of Helsinki and approved by the local institutional review board. Written informed consent was obtained from all participants.

The severity of DR was graded according to the international DR severity scales on standardized 2-field mydriatic fundus colour photographs. Mild DR eyes were categorised as NPDR and the eyes with treated or active retinal neovascularisation were grouped as PDR [22]. Presence of clinically significant macular edema (CSME) was assessed according to ETDRS criteria [23] and categorized as present or absent (CSME and non-CSME). The overall grading was that of the worse eye. Patients were therefore classified into four groups: (a) NPDR and non-CSME  $(n = 115)$ , (b) PDR and non-CSME  $(n = 34)$ , (c) NPDR and CSME  $(n = 45)$ , and (d) PDR and CSME ( $n = 14$ ). The first group was used as a reference group.

Detailed medical and drug history and sociodemographic data for each patient were collected. Demographic characteristics of the enrolled patients included age, gender, race,

and duration of DM. Systolic and diastolic pressure were measured in sitting position, after the patient's resting for at least 15 minutes. Hypertension was defined as a systolic blood pressure ≥140 mmHg, a diastolic blood pressure ≥90 mmHg, or treatment with antihypertensive medications. Height and weight were measured to calculate Body Mass Index (BMI).

*2.1. Blood Sample.* The blood samples were centrifuged at 1000 g to assess concentration of serum markers. Each assay was performed according to the manufacturer's instructions. Leptin and adiponectin were assessed using enzymelinked immunosorbent assay (ELISA), quantitative sandwich enzyme immunoassay technique. The intra-assay coefficients of variation for leptin and adiponectin were 3.3% and 2.5%, respectively. The interassay coefficients of variation for leptin and adiponectin were 5.4% and 6.8%, respectively. Serum apolipoprotein-A (apo-A) and apolipoprotein-B (apo-B) were assessed using a polyethylene glycol enhanced immunoturbidimetric assay (Siemens Healthcare Diagnostics Ltd., Surrey, UK). Intra-assay coefficients of variation for apo-A and apo-B were 1.0% and 1.4%, respectively. Interassay coefficients of variation for apo-A and apo-B were 2.9% and 2.6%, respectively. VEGF was assessed using ELISA, quantitative sandwich enzyme immunoassay technique. Intra-assay and interassay coefficients of variation were 6.7% and 8.8%, respectively. Sialic acid was assessed using sialic acid Quantichrom assay kit (Bioassay Systems, CA, USA). Cytokine (IL-1 $\alpha$ , IL-1 $\beta$ , IL-1ra, IL-4, IL-6, IL-10, and TNF- $\alpha$ ) concentrations were assessed using milliplex MAP assay based on the Luminex xMAP technology. Intraassay coefficients of variation for IL-1 $\alpha$ , IL-1 $\beta$ , IL-1ra, IL-4, IL-6, IL-10, and TNF- $\alpha$  were 3.3%, 2.3%, 2.1%, 2.9%, 2.0%. 1.6%, and 2.6%, respectively, while interassay coefficients of variation for IL-1 $\alpha$ , IL-1 $\beta$ , IL-1ra, IL-4, IL-6, IL-10, and TNF- $\alpha$  were 12.8%, 6.7%, 10.7%, 14.2%, 18.3%, 16.8%, and 13.0%, respectively. 25-OH vitamin D assessment included chemiluminescence immunoassay analysis. The intra- and interassay coefficients of variation were 7.45% and 13.31%, respectively. For each serum factor, out-of-range results lower than the minimum detectable concentration were set equal to 80% of the minimum detectable concentration [24].

*2.2. Statistical Analysis.* Continuous variables were presented as mean (standard deviation (SD)) and categorical variables were presented as absolute  $(n)$  and relative frequencies  $(\%)$ . Univariate analysis was performed to compare the levels of serum parameters between the four groups; given the deviation from normality, the Kruskal-Wallis test was implemented. For categorical data, Fisher's exact test was used for the comparisons. Secondarily, Spearman's correlation coefficient was calculated to investigate the intercorrelations between the serum factors.

For the multivariate analysis, multinomial logistic regression was performed, with the PDR and CSME status set as the dependent variable. NPDR/non-CSME group was set as the reference category of the model; the associations of serum parameters with the other three groups (PDR/non-CSME, NPDR/CSME, and PDR/CSME) were reported as relative risks (RRs) and 95% confidence intervals (95% CIs). A core model was initially fitted with independent clinical variables proven significant at the univariate analysis. Subsequently, serum parameters that were significantly associated with the PDR and CSME status at the univariate analysis were alternatively introduced as additions to the core model; serum factors were not entered into the model simultaneously given the potential intercorrelations between them in the context of an overall inflammatory status. Statistical analysis was performed using STATA/SE version 13 (Stata Corp., College Station, TX, USA).

#### **3. Results**

The demographic and clinical data of our sample are shown in Table 1. Univariate analysis showed that male sex differed significantly between the four groups ( $p = 0.032$ ). Patients with PDR (with or without CSME) presented with longer duration of DM in comparison to the other groups ( $p =$ 0.001). Age and BMI did not correlate with DR severity  $(p > 0.05)$ . In our sample, 13.9% were Asian, 33.7% Black, 50.0% Caucasian, and 2.4% belonged to other races. Ethnicity did not differ between the various studied groups ( $p =$ 0.077). Hypertension was significantly more frequent in the PDR/CSME group ( $p < 0.001$ ). Significant between-group variability was noted for serum apo-B, apo-B/apo-A ratio, VEGF, and TNF- $\alpha$ . No other lipid or inflammatory markers showed any significant difference between groups.

The intercorrelations between the various serum markers are depicted in Table 2. TNF- $\alpha$  levels correlated with apo-A, apo-B, VEGF, IL-6, and IL-10. Apo-B correlated with apo-A, IL-1 $\alpha$ , and IL-6. Leptin correlated with sialic acid, IL-1 $\beta$ , and IL-1ra, whereas apo-A correlated with adiponectin and vitamin D. Notably, the inflammatory cytokines (IL-1 $\alpha$ , IL- $1\beta$ , IL-1ra, IL-4, IL-6, and IL-10) were mutually and strongly correlated.

Table 3 shows the results of the multivariate multinomial logistic regression analysis. Duration of DM was associated with PDR development, as evidenced upon the associations with PDR/non-CSME (RR = 1.10, 95% CI: 1.04–1.16, per 1 year increment) and with PDR/CSME (RR = 1.09, 95% CI: 1.01–1.18, per 1-year increment); on the other hand, the association with NPDR/CSME was not significant ( $p = 0.166$ ). Presence of hypertension was associated with about 3-, 3.5-, and 7-fold increased risk PDR/non-CSME, NPDR/CSME, and PDR/CSME development, respectively. The univariate associations with male sex dissipated at the multivariate approach.

As far as the serum markers are concerned, 0.1 g/L increase in Apo-B concentration was associated with increased risk of PDR/non-CSME, NPDR/CSME, and PDR/ CSME, at a comparable degree of about 1.20 times. Accordingly, a 0.1 increase in apo-B/apo-A ratio was associated with increased risk of PDR/non-CSME and NPDR/CSME at 1.18 and 1.24 times, respectively, while for PDR/CME there was a trend of increased risk at 1.25 times, which did not reach statistical significance ( $p = 0.059$ ). In addition, an increase of 100 pg/mL in serum VEGF concentration correlated with CSME occurrence, as evidenced by the two



Table 1: Demographic characteristics and inflammatory markers in our sample. Bold cells denote statistically significant associations.

DM: diabetes mellitus; BMI: Body Mass Index; DR: diabetic retinopathy; CSME: clinically significant macular edema; VEGF: vascular endothelial growth factor; IL: interleukin.

comparable RRs regarding NPDR/CSME and PDR/CSME (RRs about 1.2). Moreover, a 10 pg/mL increase in serum TNF- $\alpha$  concentration was associated with increased risk for all evaluated types, namely, PDR/non-CSME ( $RR = 1.59$ ),  $NPPR/CSME (RR = 1.68)$ , and  $PDR/CSME (RR = 2.07)$ .

#### **4. Discussion**

The principal message of our study is that duration of DM and coexisting hypertension remain the most significant risk factors for PDR and DME. Despite the fact that several landmark studies have shown that control of hypertension significantly reduced the development and progression of DR and DME [25], a recent review reaches a quite different conclusion, reporting that the control of blood pressure has an impact on the prevention of DR only for patients with diabetes up to 4-5 years [6]. Our study shows that hypertension remains a significant problem in patients with visually disabling complications of DR. This observation is in line with previous studies, reporting that each 10 mmHg increase in systolic pressure is associated with an approximately 10% excess risk of early DR and a 15% excess risk of PDR or DME [26].

Regarding serum metabolic markers, we observed an increase in serum apo-B and high apo-B/apo-A ratio to be associated with increased risk of PDR and CSME, confirming previous studies showing that these markers are observed in diabetes with macrovascular and microvascular complications [15–17, 27]. Indeed, Sasongko et al. found that in patients with DM the apo-A level was inversely associated with the presence and the severity of DR, whereas apo-B and the apo-B/apo-A ratio were positively associated with DR [20]. The potential association with DME could not be properly evaluated in the latter study due to the small number of patients with DME [20].

Mechanisms by which apolipoproteins influence microvascular function may be explained by their actions on larger vessels. Apo-A is the structural protein of HDL and better reflects lipid accumulation in peripheral tissues, having antiinflammatory, antioxidant, and atheroprotective effects. On the contrary, apo-B is associated with the LDL fraction and is a predictor of cardiovascular risk and a proinflammatory mediator [28]. Hu et al. found no statistically significant difference in apo-B levels between mild NPDR and PDR, although low apo-A/apo-B ratio in serum was associated with more severe DR [29]. In our study, 0.1 g/L increase in



TABLE 2: Intercorrelations between the measured serum parameters. Bold cells denote statistically significant associations. Table 2: Intercorrelations between the measured serum parameters. Bold cells denote statistically significant associations.

Table 3: Results of the multivariate multinomial logistic regression analysis. Bold cells denote statistically significant associations.

Variable	Category/increment	PDR/non-CSME versus ref.*		NPDR/CSME versus ref.*		PDR/CSME versus ref.*	
		RR (95% CI)	p value	RR (95% CI)	p value	RR (95% CI)	$p$ value
Core model: clinical variables							
Male sex	Male versus female	$2.08(0.85 - 5.09)$	0.106	$1.54(0.72 - 3.28)$	0.263	$0.30(0.08-1.11)$	0.072
Duration of diabetes	One-year increase	$1.10(1.04-1.16)$	0.001	$1.04(0.99-1.09)$	0.166	$1.09(1.01-1.18)$	0.037
Hypertension	Yes versus no	$2.91(1.25-6.80)$	0.013	$3.52(1.65 - 7.48)$	0.001	$6.83(1.70-27.39)$	0.007
Serum parameters alternatively introduced to the $model^{\dagger}$							
$Apo-B$	$0.1$ g/L increase	$1.20(1.06-1.36)$	0.003	$1.27(1.14-1.42)$	< 0.001	$1.20(1.01-1.43)$	0.039
Apo-B/Apo-A	0.1 increase	$1.18(1.01-1.38)$	0.038	$1.24(1.08-1.42)$	0.002	$1.25(0.99-1.59)$	0.059
<b>VEGF</b>	100 pg/mL increase	$1.15(0.99-1.35)$	0.072	$1.17(1.02-1.35)$	0.026	$1.24(1.02-1.50)$	0.034
TNF-alpha	10 pg/mL increase	$1.59(1.01-2.50)$	0.046	$1.68(1.10-2.56)$	0.015	$2.07(1.20-3.59)$	0.009

PDR: proliferative diabetic retinopathy; CSME: clinically significant macular edema; RR: relative ratio; CI: confidence interval; VEGF: vascular endothelial growth factor.

<sup>∗</sup>ref.: nonproliferative/non-CSME patients, set as reference category; †: adjusted for the parameters included in the core model (male sex, duration of disease, and hypertension).

apo-B serum concentration and 0.1 increase in apo-B/apo-A ratio are associated with an increased risk of PDR/non-CSME, NPDR/CSME, and PDR/CSME by approximately 1.20 times. We suggest that apo-B/apo-A can be used as key lipid biomarker in future studies evaluating role of dyslipidemia in DR. Nonfasting apo-B/apo-A1 ratio is already known to be superior to any of the traditional serum lipid ratios for myocardial infarction [30]. Furthermore, we also postulate that reducing Apo-B levels may have contributed to the positive effect of fenofibrate on PDR and CSME in the FIELD study and this should be investigated in future clinical trials on fenofibrate in DR [9]. The response of apo-B to statins seems to have significant interindividual variations [31].

We also observed that adipocytokines, leptin, and adiponectin were not significantly associated with PDR or CSME. Studies that have investigated these markers in DR have reported conflicting results probably due to differences in ethnic background, case definition, and proportion of patients with advanced DR and on thiazolidinediones [15–17, 27]. The only adipocytokine that was significantly associated with PDR and CSME was TNF- $\alpha$ . We found that 10 pg/mL increase of TNF- $\alpha$  concentration was associated with about 2-fold increased risk of PDR/CSME. However, TNF- $\alpha$  is not only an adipocytokine. Various other stimuli also cascade circulating TNF- $\alpha$ , including hyperglycaemia and advanced glycation end product receptors [32]. Many previous studies support the role of circulating TNF- $\alpha$  in PDR patients [33, 34]. This multifunctional cytokine induces apoptosis, differentiation, and cell activation and typically cause low grade inflammation [18, 35]. The role of circulating TNF- $\alpha$ in CSME is also well supported by cell culture and animal studies that have demonstrated increased permeability of retinal endothelial cells [36]. Furthermore Huang et al. demonstrated that TNF- $\alpha$  is critical in the late breakdown of blood retinal barrier in a knockout strain of mice [37].

Serum VEGF was the only other inflammatory cytokine that was found to be elevated in CSME. Interestingly, serum VEGF concentrations were not elevated in PDR despite the fact that vitreous VEGF is significantly higher in PDR than in NPDR eyes indicating local ocular stimuli are responsible for its fundamental role in angiogenesis in PDR [38]. In our study, an increase by 100 pg/mL in serum VEGF concentration correlated with CSME confirming previous report that serum VEGF concentration correlated positively with the disruption of the external limiting membrane and ellipsoid zone in the outer retina [33, 39–41]. Nevertheless, our study results should be interpreted with caution as serum VEGF is not a reliable estimate of circulating VEGF.

Apart from serum TNF- $\alpha$  and VEGF, none of the other serum inflammatory markers showed significant differences between DR groups. Several proinflammatory cytokines have been reported to be increased in aqueous and vitreous of patients with DR [33, 41–44]. However, studies investigating serum inflammatory markers show conflicting reports. In addition, there is poor correlation between serum and ocular cytokines in DR [45, 46]. Our study suggests that circulating TNF- $\alpha$  may indeed be the link between dyslipidemia and inflammation in patients with DR. Other than being an adipocytokine, TNF- $\alpha$  correlates both with other metabolic markers (apo-A and apo-B) and with inflammatory markers (VEGF, IL-6, and IL-10). Interestingly, TNF- $\alpha$  correlates with both pro- and anti-inflammatory cytokines suggesting that a TNF- $\alpha$  related imbalance of pro- and anti-inflammatory cytokines may indeed result in a low grade inflammatory milieu in patients with PDR and CSME. However, our study results may be only reflecting the presence of other microvascular or macrovascular complications in this high risk group.

Several limitations of the present study should be addressed. Firstly, the cross-sectional design of this study

does not permit us to establish a causal relationship between systemic apo-B or TNF- $\alpha$  and PDR as well as CSME. Secondly, our study population consisted of individuals, who regularly attended diabetes clinics and were monitored frequently, so the generalizability of the present study could be limited. It would be also valuable to correlate our results with the presence of microalbuminuria or diabetic nephropathy, but no enough data were available. Moreover, we did not have a control group without diabetes, although this was outside the scope of this study. Thirdly, the concentrations of some cytokines showed large variations and the negative findings of serum markers with either DR of DME could be the result of insufficient power of the study samples to detect weaker associations. Finally, we could not exclude the possibility that confounding factors related to other diabetes related complications may have affected our study results. Therefore, our results should not be misinterpreted and they should be examined in larger, prospective studies, evaluating these variables and potential treatment alternatives to modify them.

## **5. Conclusions**

In conclusion, duration of DM and hypertension remain key factors for the progression of DR and DME. As far as the serum markers are concerned, further studies should evaluate apo-B/apo-A ratio as a reliable risk factor for PDR and CSME. In addition, the role of increased systemic TNF- $\alpha$  and VEGF should be explored especially in CSME given the variations in treatment response to local anti-VEGF agents.

#### **Disclaimer**

The views expressed are those of the authors and not necessarily those of the NHS, the NIHR, or the department of health.

#### **Conflict of Interests**

The authors declare that there is no conflict of interests regarding the publication of this paper.

## **Authors' Contribution**

Roxanne Crosby-Nwaobi and Irini Chatziralli contributed equally to this project and should be considered equivalent authors.

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