# NOISE-TOLERANT DEEP LEARNING FOR HISTOPATHOLOGICAL IMAGE SEGMENTATION

A Thesis by WEIZHI LI

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#### ABSTRACT

Developing an effective algorithm based on the handcrafted features from histological images (histo-images) is difficult due to the complexity of histo-images. Deep network models have achieved promising performances, as it is capable of capturing high-level features. However, a major hurdle hindering the application of deep learning in histo-image segmentation is to obtain large ground-truth data for training. Taking the segmentations from simple off-the-shelf algorithms as training data will be a new way to address this hurdle. The output from the off-the-shelf segmentations is considered to be noisy data, which requires a new learning scheme for deep learning segmentation. Existing works on noisy label deep learning are largely for image classification. In this thesis, we study whether and how integrating imperfect or noisy "ground-truth" from off-the-shelf segmentation algorithms may help achieve better performance so that the deep learning can be applied to histo-image segmentation with the manageable effort.

Two noise-tolerant deep learning architectures are proposed in this thesis. One is based on the Noisy at Random (NAR) Model, and the other is based on the Noisy Not at Random (NNAR) Model. The largest difference between the two is that NNAR based architecture assumes the label noise is dependent on features of the image. Unlike most existing works, we study how to integrate multiple types of noisy data into one specific model. The proposed method has extensive application when segmentations from multiple off-the-shelf algorithms are available. The implementation of the NNAR based architecture demonstrates its effectiveness and superiority over off-the-shelf and other existing deep-learningbased image segmentation algorithms.

# DEDICATION

This thesis work is dedicated to my family, friends, and instructors for their support and help throughout the study.

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### Contributors

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All other conducted research work for the thesis was finished by the student independently.

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## NOMENCLATURE

DMD	Duchenne Muscular Dystrophy	
NTN	Noise-Tolerant Network	
ANTN	Adaptive Noise-Tolerant Network	
LDA	Linear Discriminant Analysis	
PCA	Principal Component Analysis	
SVM	Support Vector Machine	
CNN	Convolutional Neural Network	
DCAN	Deep Contour-Aware Network	
NCAR	Noisy Completely at Random	
NAR	Noisy at Random	
NNAR	Noisy Not at Random	
ABN	Asymmetic Bernoulli Noise	
EM	Expectation-Maximization	

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#### 1. INTRODUCTION AND LITERATURE REVIEW

Histopathological image (histo-image) is considered to be the "gold standard" in clinical diagnosis for the reason that the histo-image includes comprehensive information of the disease by retaining most of the intricate structure in preparation. Given great advances on the database of digitized histological tissue, the histo-image has not only been used for the diagnosis of disease but also for the biomarker discovery which helps to detect the risk of potential disease. To relieve doctors or clinicians from time-consuming work on the analysis of histo-image, researchers expect to design specific computer algorithm for the automatic histo-image analysis which is challengeable due to the high complexity of histo-images. Being specific in the histo-images affected by Duchenne muscular dystrophy (DMD), the clinician is always interested in the proportion of fibrosis (stained to blue), muscle (stained to red) and the rest stuff (mostly stained to white) to diagnose the seriousness of the disease. Some of these histo-images affected by DMD are shown in Figure 1.1. The inhomogeneity and variability of color spectrum distributed over the DMD affected histo-images are the main obstacle to developing the automatic algorithm for histo-image analysis.

Numerous traditional machine learning based methods have been proposed since the early 1990s [1] for the histo-image analysis, but most of these methods require a huge ef-

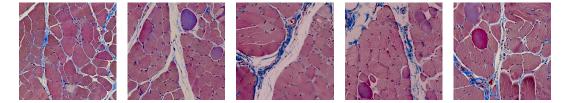


Figure 1.1: Histo-images affected by DMD.

fort on the extraction of handcrafted features regarding the specific properties of the context within histo-images to achieve the promising results. With the recent dominant success of deep learning in computer vision field, researchers begin to apply or construct the specific deep models for the histo-image analysis. Though the deep learning based methods often can achieve better results than traditional machine learning based methods in histo-image analysis, the challenge of the scarcity of ground truth hinders the widespread application of deep learning in the histo-image analysis, especially for histo-image segmentation where the fine resolution makes manual annotation by experts extremely timeconsuming.

The ultimate goal of our research is to apply the deep learning in histo-image segmentation with manageable efforts meanwhile generating promising results in the circumstance when ground truth is unavailable. We take the segmentations from the simple off-the-shelf algorithms as the ground truth and expect to recover clean segmentation from these noisy ground truth by deep learning. Such trick is similar to crowd-sourcing but the difference is that obtaining the data from the off-the-shelf algorithm is less resource-demanding. The essence of our research is actually the noisy label learning and many related works have been proposed recently. However, most of these works in computer vision are mainly for the image classification and also do not consider a model for multiple types of noisy label. In this thesis, we propose the noise-tolerant network (NTN) and adaptive noise-tolerant network (ANTN) for noisy label deep learning in image segmentation. While NTN assumes the label noise process is independent on the appearance of an image, the ANTN further models the noisy segmentations adaptively dependent on given input image and integrates multiple noisy labels into one deep learning model. With the extensive experiment on the synthetic data and the histo-images, the ANTN performs the best demonstrating its effectiveness and superiority over off-the-shelf and other existing deep-learning-based image segmentation algorithms.

#### 1.1 Histopathological Image Analysis

#### 1.1.1 Machine Learning Method

Most of the traditional machine learning methods for histo-image analysis require designing handcrafted features based on the complete domain knowledge to generate promising results. By using linear discriminant analysis (LDA) and the Forward/Backward Search methods, Petushi et al. [2] first select the distribution of dispersed chromatin cell nuclei and the distribution of tubular cross sections as the highly correlated features with the breast cancer and then graded the breast cancer with these features by a quadratic classifier. Sertal et al. [3] extract the intermediate features from the cytological components in histo-images and combined them with the low-level color texture feature for the follicular lymphoma grading. They reduce the dimensionality of the feature space by implementing principal component analysis (PCA) and the LDA then classify the follicular lymphoma by the Bayesian classifier. Nguyen et al. [4] aggregate 19 features based on the nuclei, cytoplasm, and lumen shape to detect the prostate cancer using the support vector machine (SVM). The features in the above works are mainly comprising of first-order statistical information such as mean, standard deviation and median generated based on the relative cytological characteristics. There are works incorporating higher order statistical features for histo-image analysis. For example, Demir et al. [5] innovatively represent the low magnification tissue histo-image of the breast cancer by constructing an augmented cell graph in which node weight represents the size of cell cluster and the edge weight is defined as the Euclidean distance between cell clusters. With the augmented cell graph, higher statistical order features are constructed with the set of the eigenvalues generated by decomposing the graph.

#### 1.1.2 Deep Learning Method

The traditional machine learning method takes a huge effort in the extraction of features which usually requires being familiar with the cytological characteristics within histo-images to generate promising results. In contrast to that, the recent prosperous deep learning function based on the convolutional neural network (CNN) to extracting highlevel features and gain enormous successes in computer vision. Due to the advantage of the more effective feature extraction process with the less demanding requirement such as domain knowledge, many researchers turn to the deep learning method for automatic histo-image analysis. Cireşan et al. [6] implement a feed-forward deep neural network taking the patches of histo-images as input to detect the mitosis. The patch input is a square window of RGB values from the histo-image being mapped to the class of the central pixel as mitosis or non-mitosis. Chen et al. [7] revise the u-net [8] to the deep contour-aware network (DCAN) for gland segmentation. The DCAN first combined multi-level contextual information with auxiliary supervision in each of two branches for object segmentation and contour segmentation, and then fuse results from the two branches to generate more detail aware gland segmentations. Unlike the [6, 7] which train the convolutional filters from the random initialization or use the pretrained parameters from other networks, Cruz-Roa et al. [9] first apply auto-encoding technique to learn the feature representation of the histo-image patches and then take these learned feature weight as the convolutional filters to construct CNN. They also incorporate an additional layer for visualization of the feature pattern about the cancer region to enhance the interpretability of CNN.

#### **1.2** Noisy Label Learning

Despite the tremendous potential of deep networks, the supervised nature hinders their wider application in histo-image analysis, especially for histo-image segmentation since the manual pixel-wise annotation of high-resolution histo-images is time-consuming and

labor-intensive. To solve the problem of scarcity of data, Albarqouni *et al.* [10] implement the crowdsourcing technique to obtain a large-scale annotation from non-experts and incorporated the process of crowdsourcing to CNN for mitosis detection. The novelty of their deep model for crowdsourcing data is to have an additional aggregation layer which aggregates the ground-truth from the crowdvotes matrix to refine the model based on the sensitivity and specificity of each annotator. Though crowdsourcing provides a large scale of annotated data, the quality of data is not guaranteed and the process of recruiting nonexperts is still resource demanding in practice. **Instead of relying on crowd-sourcing,** we resort to existing image segmentation algorithms to obtain noisy segmentation labels and design the new deep learning models to recover clean segmentations by integrating these noisy labels from different segmentation algorithms.

There are several existing noisy label deep learning models [11, 12, 13, 14, 15, 16] that address the problem when the labels of the training datasets are "noisy". As discussed in [17], most of these methods focus on image classification or patch-labeling applications.

Hinton *et al.* [11] have pioneered to use the deep network to incorporate the labelflip noise in aerial image labeling. They assume the label-flip noise is only dependent on the true label and adopt an Expectation-Maximization (EM) algorithm [18] to train network model parameters iteratively, considering the true labels as latent variables. Benoît *et al.* [17] point out it is more realistic to assume mislabeling is dependent on input features and Xiao *et al.* [12] take such assumption into consideration and integrate three types of label noise transition probabilities given the same true label for clothing classification. Instead of modeling true labels as latent variables in [11, 12], Veit *et al.* [13] have introduced a multi-task label cleaning architecture for image classification, in which an image classifier is supervised by the output of the label cleaning network trained using the mixture of clean and noisy labels. Such architecture is effective in learning large-scale noisy data in conjunction with a small subset of clean data. All the aforementioned methods [11, 12, 13] require a small clean dataset to assist model inference. Sukhbaatar *et al.* [14] propose a noisy label image classification model that is capable of learning network parameters from noisy labels solely by diffusing transition probability matrix of label noise from the initial identity matrix by the weight decay trick, but such model inference can be unstable. Reed *et al.* [15] introduce "prediction consistency" in training a feed-forward autoencoder with noisy data, requiring that the same label prediction should be made given similar image features. Similar to the idea of avoiding overfitting, Kakar *et al.* [16] add a regularization term on the coefficients of hidden units during training to obtain stable results.

#### **1.3 Thesis Contribution**

Most of the existing noisy label deep network models [11, 12, 13, 14, 15, 16] are on image classification or patch-labeling. Besides, there is still no existing method to flexibly integrate multiple types of noisy data in the literature to the best of our knowledge. In this thesis, we propose two deep architectures for noise learning problem in image segmentation. Implementation of our model on both the synthetic data and histo-images demonstrates its effectiveness and superiority over off-the-shelf and other existing deeplearning-based image segmentation algorithms.

# 2. MODEL FORMULATION OF NOISY LABEL LEARNING FOR IMAGE SEGMENTATION

Being different from the feature noise which has an influence on the observed values of the feature, label noise pollutes the observed labels of instances by altering the label class. The works [19, 20, 17] state the label noise poses more harm than feature noisy in learning problem for the two reasons: 1) multiple features determine how the instance is classified in learning whereas only one label is assigned to one instance and 2) the importance of features is varied whereas labels assigned to instances always affect learning to a large extent. There are a lot of similarities between dealing with label noise and outliers detection. Actually, mislabelled instances are considered to be outliers if the mislabelling occur in the vicinity of the instance in sample space with low probability and the instances of these outliers often look anomalous regarding the class corresponding to the incorrect label. It is such similarity makes many noisy label learning works very close to outlier detection [17]. In additional to the outlier alike label noise, there are mislabelling occurring in specific condition with high probability (e.g. The boundary region where all the classes are equiprobable always comes with the mislabelling error) and the instances of these mislabel does not look anomalous as outliers [17]. In this thesis, we mainly research on such label noise coming from the off-the-shelf algorithms or the appearance of images for histoimage segmentation. In this chapter, we will first discuss the categorization of label noise and then move forward to the u-net [8] which is the fundamental architecture we implement in our experiment for noisy label deep learning. Following that, two noise-tolerant deep learning models for image segmentation will be discussed.

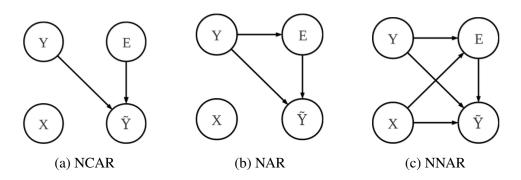


Figure 2.1: Graphical probabilistic model of label noise process.

#### 2.1 Categorization of Label Noise

According to [17], three types of label noise models exist: the noisy completely at random model (NCAR), noisy at random model (NAR) and the noisy not at random (NNAR). These graphical probabilistic models of label noise are shown in Figure 2.1. We represent the features of data by X, true class by Y and the observed class by  $\tilde{Y}$ . To model the label noise process, the binary variable E indicating whether the instance is mislabelled or not is also introduced. We now explain these label noise models following the [17].

1) Noisy Completely at Random (NCAR) Model: The NCAR model depicting the label noise independent of features of data X and true class Y is shown in Figure 2.1(a). The binary indicator E for mislabelling indicates the true class Y is altered to other class with a certain probability as observed  $\tilde{Y}$  if E is one and vice versa. In the case of binary cases, it is certain to be symmetric for NCAR noise in both classes which means both classes are mislabelled with the same probability in the process of data generation. Obviously, these data carry no useful information with a probability Pr(E = 1) to be 0.5. In contrast, the true label is altered uniform randomly in the case of multiclass when E = 1. Such NCAR noise at the multiclass case is analogous to flipping a biased coin first to decide whether mislabelling occurs or not and then a  $|\mathcal{Y}| - 1$  faces fair dice label is tossed to

decided which class the true class is altered to be if the mislabelling occurs. The NCAR model is uncommon in real practice for its oversimple assumption of label noise process.

2) Noisy at Random (NAR) Model: The NAR model has a broader application than NCAR for it considering the influence of true class on label noise. The probabilistic model of NAR is shown in Figure 2.1(b) and the arrow from the Y to E illustrates the probability of mislabelling is affected by the true class. Having assumed a direct effect between true class Y and mislabelling indicator E, the NAR is capable of modeling asymmetric label noise, *i.e.*certain classes are more likely to be altered than other classes. For example, the existed objects such as buildings, roads or alleys disappear in the aerial images due to the incompleteness of the maps and this is called omission noise in aerial image learning [11]. Such omission noise in aerial image learning can actually be modeled as the NAR noise and [11] has proposed using the neural network to label aerial images from noisy data based on the NAR model. Another case where NAR noise occurs is control subjects in medical case-control studies. For the reason that the test used to label control studies may be too invasive or expensive, the control studies are replaced by suboptimal diagnostic test so that the control subjects are prone to be mislabelling [21].

3) Noisy Not at Random (NNAR) Model: The NNAR model shown in Figure 2.1(c) considers a type of more complete and general label noise process where the mislabelling is determined by both features of data and true class, *i.e.*, incorrect labelling are more likely to occur for certain class and in certain regions of the sample space for X. For example, the instances distributed in the classification boundary or low-density region of sample space are prone to be mislabelling and such label noise is considered to be NNAR. This situation occurs in real practice such as speech recognition challengeable for phonetic similarity between the recognized words and correct one [22]. Therefore, the features of words are supposed to be involved in the impact on the mislabelling. In addition to speech recognition, another domain NNAR model applies is image classification/segmentation.

Xiao *et al.* [12] modeled the relationship between noisy data and clean based on NNAR model and proposed an end to end convolutional neural network (CNN) for image classification of clothing applied in the scenario when the large scale of well-labeled data is hard to obtain. Our thesis mainly researches on the case of histo-image segmentation where the labeled data is not from the clinical experts but the off-the-shelf algorithms thus the assumption of NNAR model is reasonably appropriate.

#### **2.2** End to End Convolutional Neural Network (CNN) : U-net<sup>1</sup>

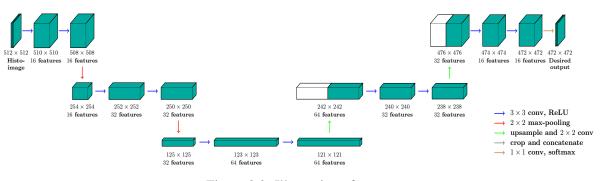


Figure 2.2: Illustration of u-net.

U-net is an image-to-image deep learning framework shown to be effective in biomedical image segmentation [7, 8]. Unlike CNN-based deep learning with only contracting layers for image classification and annotation, u-net adds an expanding module to enable pixel-wise labeling (Figure 2.2). In our implementation,  $3 \times 3$  multi-scale convolutional filters followed by rectified linear units (ReLU) are applied in three levels of the contracting layers. Between every two layers,  $2 \times 2$  max-pooling is applied to derive more abstract non-linear features. For expanding layers, the derived feature maps are up-sampled twice and concatenated with the convolutional feature maps at the corresponding scale of the

<sup>&</sup>lt;sup>1</sup>Part of this section is reprinted from our accepted manuscript [23]©2017 IEEE

contracting layers. Another two convolutional layers and a final softmax output layer are then applied to derive the final pixel-wise labeling for histo-image segmentation. Such a u-net implementation has a 15-layer network architecture as shown in Figure 2.2.

U-net is a supervised deep learning framework, requiring accurate segmentation labels for training. However, for the histo-image segmentation, usually the manually annotated histo-images are not available. In order to enable u-net histo-image segmentation, one work-around is to apply traditional image segmentation algorithms, such as K-Means, and use the resulting segmentations with reasonably high accuracy to train u-net. However, there is no guarantee that these segmentation results have good enough quality, especially due to large histo-image appearance variation.

## 2.3 Noise-Tolerant Network (NTN)<sup>2</sup>

To alleviate the requirement of accurately segmented histo-images for u-net training, we propose to adjust the original u-net to be noise-tolerant following the NNAR model so that the performance will be robust to potentially noisy training segmentations. Given T training images  $X = \{X_1, \ldots, X_T\}$ , we can construct the probabilistic relationship between hidden clean segmentation Y and the corresponding noisy segmentation  $\hat{Y}$  as

$$Pr(\hat{y}_n = j | X) = \sum_{i=1}^{3} Pr(\hat{y}_n = j | y_n = i) Pr(y_n = i | X)$$
$$= \sum_{i=1}^{3} q_{ij} Pr(y_n = i | X)$$
(2.1)

<sup>2</sup>Part of this section is reprinted from our accepted manuscript [23]©2017 IEEE

where  $y_n$  is the pixel label indexed by n, *i* and *j* are label, and N is the total pixel. Following (2.1), the negative log likelihood *L* can be constructed as

$$L = -\frac{1}{N} \sum_{n=1}^{N} log[\sum_{i=1}^{3} q_{ij} Pr(y_n = i|X)]$$
(2.2)

The framework of such noise-tolerant network (NTN) is shown in Figure 2.3. The main difference of the NTN from the original u-net is an additional noise-tolerant layer incorporating parameters of transition probability  $q_{ij}$ 's after the clean label prediction network. The additional noise-tolerant layer is shown in Figure 2.4. The parameters of the added noise-tolerant layer can be represented by a  $3 \times 3$  transition matrix  $Q = (q_{ij})_{3\times 3}$  with the constraints:  $0 \le q_{ij} \le 1$  and  $\sum_j q_{ij} = 1$ ,  $\forall i$ . The NAR based deep learning model is motivated by the "label flip noise model" in a recent noise-tolerant AlexNetbased image classification framework [14] that addresses a similar noisy label problem. The difference is that our NTN is for pixel-wise labeling in histo-image segmentation but the method in [14] is for the whole image classification.



Figure 2.3: Noise-tolerant u-net.

#### 2.3.1 Model Inference

By the total probability theorem, it is clear that (2.2) is equivalent to the maximum likelihood estimates of involved parameters in the modified u-net with noisy segmentation  $\hat{Y}$  as  $L = -\frac{1}{N} \sum_{n=1}^{N} log[Pr(\hat{y}_n|X)]$ . The training of the other layers simply follows the back-propagation procedure for the original u-net. More importantly, we can rewrite

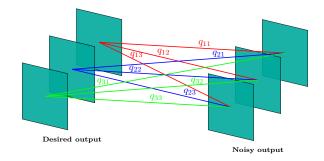


Figure 2.4: Additional noise-tolerant layer.

$$L = -\frac{1}{N} \sum_{i=1}^{3} \sum_{n \in S_i} \log \left[ Pr^i(\hat{y}_n = j | X; Q) \right],$$
(2.3)

where  $S_i$  is the set of pixels that have the true label *i*, and  $Pr^i(\hat{y}_n = j|X;Q)$  denotes the full model prediction probability for pixel *n* in  $S_i$ . Asymptotically when  $N \to \infty$ ,  $L \to -\sum_{i=1}^3 \sum_{j=1}^3 q_{ij}^* log[Pr^i(\hat{y} = j|X;Q)] \ge -\sum_{i=1}^3 \sum_{j=1}^3 q_{ij}^* log(q_{ij}^*)$ , achieving the minimum when  $Pr^i(\hat{y} = j|X;Q) \to q_{ij}^*$  which is actual flip transition probability. Denote the confusion matrices for clean and noisy segmentations by  $C_s = (c_{ij}^s)$  and  $C_r = (c_{ij}^r)$ respectively, where  $c_{ij}^s = \frac{1}{|S_i|} \sum_{n \in S_i} Pr^i(y_n = j|X)$  and  $c_{ij}^r = \frac{1}{|S_i|} \sum_{n \in S_i} Pr^i(\hat{y}_n = j|X;Q)$ . It is clear  $C_r = C_sQ$ . If we know the actual label flip transition matrix  $Q = Q^*$ , minimizing *L* will asymptotically force  $c_{ij}^r = Pr^i(\hat{y} = j|X;Q) \to q_{ij}^*$  hence  $C_r = C_sQ^* \to Q^*$  forcing  $C_s$  converging to identity. Therefore, training the noise-tolerant unet using noisy segmentations with actual transition matrix  $Q^*$  directly forces the clean label network to predict the true labels. In practice, minimizing *L* does not guarantee *Q* converging to  $Q^*$  [14]. In order to derive well-behaved solutions, either a trace norm or a ridge regularization term for *Q* can be added to the objective function when training the noise-tolerant layer. Based on the reasoning in [14], we use the ridge regularization and fix the corresponding weight decay parameter to  $10^{-4}$  in our experiments.

#### 2.4 Adaptive Noise-Tolerant Network (ANTN)

The NAR based characteristic of the NTN model may limit the performance of noisy label learning for image segmentation since it assumes that the label noise is only dependent on the label. Also, the NTN model does not consider the case of multiple noisy segmentations. To overcome the above shortcomings, we propose an Adaptive Noise-Tolerant Network (ANTN) which is a NNAR based model assuming the label noise is dependent on both appearance of the image and the label, and multiple noisy segmentations can be incorporated in training as well. In ANTN, the probabilistic dependency between the input image pixels, the ground-truth segmentation, and the "noisy" segmentation labels from off-the-shelf image segmentation algorithms can be modeled explicitly. By adaptively modeling image-dependent label-flip noise from different segmentation algorithms, ANTN can borrow signal strengths from *multiple* noisy labels to achieve better segmentation results. The graphical probabilistic model and architecture of the network are shown in Figure 2.5.

Given a set of training images  $X = \{X_1, X_2, \dots, X_T\}$ , which could be sub-images or patches, we can apply S selected off-the-shelf segmentation algorithms to obtain noisy or imperfect segmentations  $\hat{Y}^1 = \{\hat{Y}_1^1, \hat{Y}_2^1, \dots, \hat{Y}_T^1\}, \hat{Y}^2, \dots, \hat{Y}^S$ . To clearly convey the idea, we focus on the settings with S = 2 in the thesis. We can model the relationships between input images and noisy segmentations based on the following general probabilistic model:

$$Pr(\hat{Y}^{1}, \hat{Y}^{2}|X) = \sum_{Y \in C^{|\mathcal{I}|}} Pr(\hat{Y}^{1}, \hat{Y}^{2}, Y|X) = \sum_{Y \in C^{|\mathcal{I}|}} Pr(\hat{Y}^{1}|Y, X) Pr(\hat{Y}^{2}|Y, X) Pr(Y|X),$$
(2.4)

in which C is the total number of label classes for segmentation; Y denotes the clean or perfect segmentations; and  $|\mathcal{I}|$  represents the total number of pixels in X indexed by the

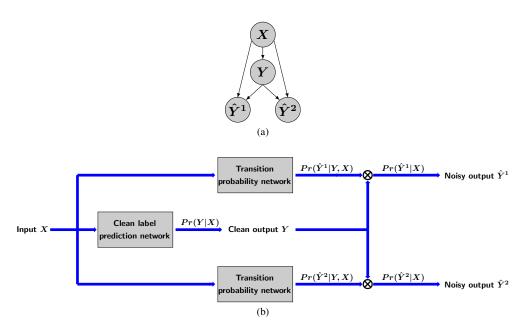


Figure 2.5: Adaptive noise-tolerant network ((a) Graphical probabilistic model and (b) architecture of the Adaptive Noise-Tolerant Network (ANTN). X represents the input image, Y represents the ground-truth segmentation,  $\hat{Y}^1$  and  $\hat{Y}^2$  represent noisy segmentations.).

pixel set  $\mathcal{I}$ . We note that in [11, 14] and the NTN model, (1) the clean pixel-wise labels indexed by n:  $y_n$ 's, are conditionally independent given X:  $Pr(Y|X) = \prod_{n \in \mathcal{I}} Pr(y_n|X)$ ; and (2) the noisy pixel-wise labels  $\hat{y}_n$ 's are conditionally independent with X given Y and the pixel-wise label transition probabilities are identical:  $Pr(\hat{Y}|Y,X) = \prod_{n \in \mathcal{I}} Pr(\hat{y}_n|y_n)$ . Hence, the log-likelihood with one set of noisy labels for X can be written as:

$$L = \log Pr(\hat{Y}|X) = \sum_{n \in \mathcal{I}} \log \left[ \sum_{y_n=1}^{C} Pr(\hat{y}_n|y_n) Pr(y_n|X) \right],$$
(2.5)

with which the Noise-Tolerant Network (NTN) in Section 3 is proposed to recover clean segmentations.

In the proposed ANTN model (2.4), we relax the second assumption in NTN when integrating multiple types of noisy labels. For different pixels, the transition probability of the noisy label given the clean label will be dependent on X since segmentation results

from different algorithms can be dependent on both images and segmentation algorithms. Let  $Pr_n(\hat{y}_n^s|y_n, X)$  denote the new transition probabilities, where s = 1, 2 for different noisy segmentations. Following the dynamic filter network models in [24], we can rewrite the probabilistic model (2.4):

$$Pr(\hat{Y}^{1}, \hat{Y}^{2}|X) = \prod_{n \in \mathcal{I}} \sum_{y_{n}=1}^{C} Pr_{n}(\hat{y}_{n}^{1}|y_{n}, X) Pr_{n}(\hat{y}_{n}^{2}|y_{n}, X) Pr(y_{n}|X).$$
(2.6)

With this model, we can construct respective deep learning models for all the involved probability distribution functions, including the clean label probability Pr(Y|X), pixelwise conditional probabilities  $Pr_n(\hat{y}_n^1|y_n, X)$  and  $Pr_n(\hat{y}_n^2|y_n, X)$ , as illustrated by the schematic graphical model for recovering clean labels from two noisy datasets in Figure 2.5(a). We note the symmetry of the proposed deep learning framework, which enables the straightforward generalization when S > 2. For each of the three components in Figure 2.5(a), we follow the construction in [8, 11, 24] and the NTN to have the corresponding u-net architectures with the deep network framework shown in Figure 2.5(b). The main difference among these three deep network models are the constraints applied to their outputs of the last layers:

$$\sum_{y_n=1}^{C} Pr_n(y_n|X) = 1, \quad \sum_{\hat{y}_n^1=1}^{C} Pr_n(\hat{y}_n^1|y_n, X) = 1, \quad \sum_{\hat{y}_n^2}^{C} Pr_n(\hat{y}_n^2|y_n, X) = 1, \quad (2.7)$$

which guarantee the legitimacy of the modeled probability distribution functions.

We note that the clean label model Pr(Y|X) has to be combined with the noise transition network models  $Pr_n(\hat{y}_n^1|y_n, X)$  and  $Pr_n(\hat{y}_n^2|y_n, X)$  for training as we do not observe the ground-truth segmentations. The integration of the three components in Figure 2.5(a) is motivated by the label-flip noise model in the noise-tolerant image classification framework in [14] and the introduced asymmetric Bernoulli noise (ABN) model in [11].

#### 2.4.1 Model Inference

Due to the unobserved clean segmentation labels, training three different components given X and noisy segmentations  $\hat{Y}^1$  and  $\hat{Y}^2$  is an iterative procedure to maximize the following three log-likelihood functions based on the model (2.6):

$$\mathcal{L}_{s} = \frac{1}{N} \sum_{n \in \mathcal{I}} \log \sum_{y_{n}=1}^{C} Pr((\hat{y}_{n}^{s})_{obs} | y_{n}, X; \theta_{s}) Pr(y_{n} | X; \theta_{3}), \quad s = 1, 2,$$
(2.8)

$$\mathcal{L}_{3} = \frac{1}{N} \sum_{n \in \mathcal{I}} \log \sum_{y_{n}=1}^{C} Pr((\hat{y}_{n}^{1})_{obs} | y_{n}, X; \theta_{1}) Pr((\hat{y}_{n}^{2})_{obs} | y_{n}, X; \theta_{2}) Pr(y_{n} | X; \theta_{3})$$
(2.9)

where  $\theta_1$ ,  $\theta_2$  and  $\theta_3$  are the corresponding network parameters of two transition probability networks and the clean label prediction network;  $(\hat{y}_n^1)_{obs}$  and  $(\hat{y}_n^2)_{obs}$  denote observed noisy labels; and  $N = |\mathcal{I}|$ . We alternate the order of optimization with respect to  $\theta_1$  and  $\theta_2$  for minimizing (2.8) and  $\theta_3$  for minimizing (2.9). Similar to [11, 14], we consider Y as latent variables and maximize the likelihood functions by the EM algorithm:

**E-step:** Given deep network paramters  $\theta_1^{(t)}$ ,  $\theta_2^{(t)}$  and  $\theta_3^{(t)}$  for three component networks at each iteration, the posterior probabilities of the latent segmentation label  $Pr(y_n|(\hat{y}_n^s)_{obs}, X)$  and  $Pr(y_n|(\hat{y}_n^1)_{obs}, (\hat{y}_n^2)_{obs}, X)$  for the corresponding likelihood functions (2.8) and (2.9) can be updated as follows:

$$Pr^{(t)}(y_n|(\hat{y}_n^s)_{obs}, X) = \frac{Pr((\hat{y}_n^s)_{obs}|y_n, X; \theta_s^{(t)}) Pr(y_n|X; \theta_3^{(t)})}{\sum_{y_n=1}^C Pr(\hat{y}_{obs}^s|y_n, X; \theta_s^{(t)}) Pr(y_n|X; \theta_3^{(t)})}, \quad s = 1, 2,$$
(2.10)

$$Pr^{(t)}(y_n|(\hat{y}_n^1)_{obs}, (\hat{y}_n^2)_{obs}, X) = \frac{Pr((\hat{y}_n^1)_{obs}|y_n, X; \theta_1^{(t)}) Pr((\hat{y}_n^2)_{obs}|y_n, X; \theta_2^{(t)}) Pr(y_n|X; \theta_3^{(t)})}{\sum_{y_n=1}^C Pr((\hat{y}_n^1)_{obs}|y_n, X; \theta_1^{(t)}) Pr((\hat{y}_n^2)_{obs}|y_n, X; \theta_2^{(t)}) Pr(y_n|X; \theta_3^{(t)})}$$

$$(2.11)$$

**M-step:** With the estimated posterior probabilities, we update the corresponding network parameters through optimizing the expected complete likelihood functions. In practice, we cannot guarantee the optimality of M-step updates due to our deep network modeling. We implement gradient descent and backprogation in the corresponding component networks to update parameters as follows:

$$\nabla \theta_s^{(t+1)} \leftarrow \frac{1}{N} \sum_{n \in \mathcal{I}} \sum_{y_n=1}^C Pr^{(t)}(y_n | (\hat{y}_n^s)_{obs}, X) \frac{\partial log Pr((\hat{y}_n^s)_{obs} | y_n, X; \theta_s)}{\partial \theta_s}, \quad s = 1, 2,$$

$$(2.12)$$

$$\nabla \theta_3^{(t+1)} \leftarrow \frac{1}{N} \sum_{n \in \mathcal{I}} \sum_{y_n=1}^C Pr^{(t)}(y_n | (\hat{y}_n^1)_{obs}, (\hat{y}_n^2)_{obs}, X) \frac{\partial log Pr(y_n | X; \theta_3)}{\partial \theta_3}.$$
 (2.13)

For transition probability networks, we only observe one noisy label for each pixel and we can only unambiguously derive  $Pr((\hat{y}_n^s)_{obs}|y_n, X; \theta_s)$ . For the other transition probabilities, we simply set them to be  $[1 - Pr((\hat{y}_n^s)_{obs}|y_n, X; \theta_s)]/(C - 1)$ .

For the complete procedure of ANTN model inference, we first initialize the clean label prediction network by training with the mixture of noisy datasets, then train each transition probability network with the corresponding noisy labels as described in the EM algorithm. After these two steps, we iteratively train the component networks by alternating the optimization with a fixed number of interval epochs for each of them until convergence.

#### 3. EXPERIMENTS

We evaluate the effectiveness of ANTN and NTN by comparing them with off-theshelf and deep-learning image segmentation algorithms on both synthetic and histo-images.

#### 3.1 Datasets

To quantitatively evaluate the performance of both ANTN and NTN and compare them with other segmentation algorithms, we first create a synthetic image set with the corresponding simulated noisy segmentations. After the experiment on synthetic data, we then apply the ANTN and NTN to a set of histo-images, obtained from a study of Duchenne Muscular Dystrophy (DMD) disease for performance evaluation.

#### **Synthetic Data:**

We generate 135  $472 \times 472$  synthetic images for quantitative performance evaluation. First, we randomly simulate red, green, and blue circular objects with different radii uniformly distributed from 15 to 40 pixels in each image. Hence, there are four classes required to be segmented: red, green, and blur circular objects as well as white background regions. For each of RGB channels, the corresponding intensities for pixels in each class follow a Gaussian distribution with the mean 200 and standard variation 50. An example of the generated synthetic images and the corresponding ground truth for its object segmentation are shown in Figures 3.1(a) and (c). To further create different types of noisy segmentation labels, we erode and dilate the ground-truth segmentation by a rectangle structural element with the width and length set to 5 pixels, with the generated noisy labels given in Figures 3.1(b) and (d) for the corresponding image example.

#### **Histopathological Images:**

We also have obtained 11 samples of ultra-high resolution histo-images for studying DMD. They are split into  $472 \times 472$  sub-images and preprocessed by a stain normalization method [25]. Some of the preprocessed sub-images are shown in Figure 3.5(a). For these images, we are interested in quantifying the percentage of fibrosis (stained blue) and muscle (stained pink) to estimate the seriousness of the disease [26, 27]. Hence, the segmentation task is to segment fibrosis (blue), muscle (pink), and other tissue types (white). We have applied two simple off-the-shelf segmentation algorithms: K-Means [28] and Otsu thresholding [29] on all the sub-images and we consider the obtained segmentation labels as the noisy segmentation labels in deep-learning methods including ANTN and NTN. The K-Means clustering calculates the Euclidean distances of pixels in L\*a\*b\* color space of the histo-images. We initialized the centroids of three desired clusters (shown as red, blue, and white regions in Figure 3.6(a)) for three times and the segmentation generated by K-Means with the lowest within-cluster distance is considered to be the final result for a given input image. Several K-Means segmentations are shown in Figure 3.6(b). It is clear that K-Means may perform badly as illustrated in the last group of Figure 3.6(b) because of color distribution inhomogeneity. For Otsu's method, we first generate two histograms from the histo-images: one histogram is based on the pixel intensity and the other one is based on the intensity ratio between the blue and red channels. Subsequently, the optimal thresholds for the two histograms are searched in order to achieve the best segmentation performance. Notice that the threshold by pixel intensity helps separate both muscle (pink) and fibrosis (blue) from the rest (white) of a given image while the threshold by the pixel intensity ratio of blue channel to red channel aims to separate fibrosis from muscle. Though the thresholds are optimal for the Otsu's method, the corresponding segmentation results are still noisy due to the largely varied intensity within the image as shown in Figure 3.6(c)

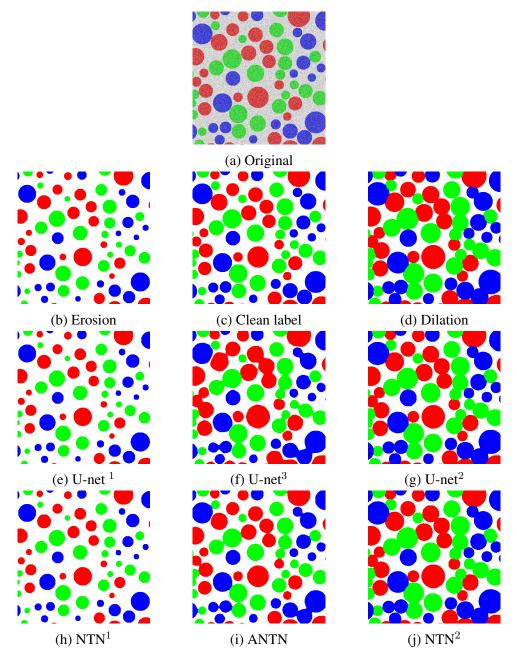


Figure 3.1: Synthetic image and corresponding segmentations.

## 3.2 Performance Evaluation on Synthetic Data

For synthetic data, we compare the performance of ANTN and NTN with the popular deep-learning segmentation architecture u-net [8] taking noisy segmentation labels as the

ground truth for training. 35 synthetic images and their corresponding segmentations are used for training. For ANTN, we first initialize the clean label prediction network (a u-net with the architecture illustrated in chapter two) by training with a mixture of two noisy datasets for the first 100 epochs, then train both transition probability networks (two similar u-nets) by the proposed EM-algorithm with the corresponding erosion and dilation noisy segmentations in next 200 epochs. Finally, we iteratively train the whole network setting the alternating interval to be 10 epochs for next 200 epochs. We keep the learning rate at  $10^{-4}$  for the first 450 epochs and  $10^{-5}$  for the last 50 epochs. For competing methods, we directly train the u-net considering either erosion, dilation, or their mixture as the ground-truth segmentation. With erosion and dilation noisy labels, the training procedure converges for 200 epochs. With the mixture of noisy labels, it converges for 100 epochs. For NTN, in addition to training the original u-net layers, we also train the label-flip-noise transition layer with the corresponding noisy labels by weight decay of  $10^{-4}$  to diffuse the label-flip-noise transition probability from identity to approximate the average noise transition probability matrix for 150 more epochs [14]. We do not train NTN with the mixture of noisy labels as it can only take one single type of noisy labels [14]. Training of the u-net with different noisy labels can be considered as the intermediate steps of ANTN and NTN model inference.

We provide the examples of the corresponding segmentation results in Figures 3.1(e)-(j), in which u-net<sup>1</sup>, u-net<sup>2</sup>, and u-net<sup>3</sup> represent the u-nets trained with the corresponding erosion, dilation, and mixture of noisy segmentations; NTN<sup>1</sup> and NTN<sup>2</sup> represent the NTNs trained with the corresponding erosion and dilation noisy segmentations. It is clearly that the u-net or NTN often can not correctly segment the corresponding objects without appropriate modeling of segmentation noise with erosion and dilation bias. In Figures 3.1(i), it is clear that our ANTN performs the best due to the adaptive integration of label-flip-noise transitions. In addition, the performance improvement may also come

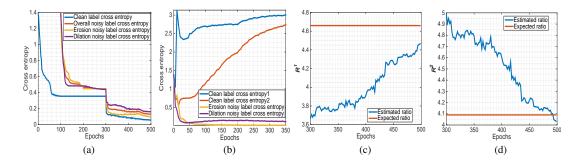


Figure 3.2: Evaluation of cross entropy and clean label ratio for synthetic images ((a) Cross entropy evaluation for the u-net<sup>3</sup> and ANTN. (b) Cross entropy evaluation for the u-net<sup>1</sup>,u-net<sup>2</sup> and NTNs. (c) Estimated clean-label ratio for erosion dataset. (d) Estimated clean-label ratio for dilation dataset.).

from the integration of multiple types of noisy labels with the capability of borrowing signal strengths. We further quantitatively evaluate segmentation accuracy by the synthetic test dataset of 100 images and the result is shown in Table 3.1, clearly showing that ANTN achieves the best performance. In order to show the convergence of our training

Method	U-Net <sup>1</sup>	U-Net <sup>2</sup>	U-Net <sup>3</sup>	NTN <sup>1</sup>	NTN <sup>2</sup>	ANTN
Accuracy	81.63%	83.71%	93.38%	82%	82.49%	97.71%

Table 3.1: Accuracy comparison of three networks on synthetic image segmentations.

procedure for ANTN and NTN, we analyze the trends of the cross entropy between the intermediate segmentation labels during training and the clean ground-truth labels, as well as the noisy labels taken for training. From Figure 3.2(a), we observe that the training of the clean label network in ANTN converges around 100 epochs with the clean-label cross entropy reaching the plateau. Note that the intermediate results at this point are also the final results of u-net<sup>3</sup> training with the mixture of noisy labels. After that, we implement EM algorithm to train two noise transition probability networks. Clearly, the change of the

noisy-label cross entropy indicates that the training of two transition probability networks converges in the next 200 epochs. During the next iterative training procedure, we observe the corresponding cross entropy values drop drastically and then continuously decrease till convergence. Figure 3.2(b) shows the corresponding cross entropy changes during u-net as well as NTN training with either erosion or dilation noisy datasets. The training for u-net stops at 200 epochs which also serves the initialization of NTN training before the noise transition layer training. We can see that the clean-label cross entropy diverges gradually though the noisy-label cross entropy decreases till convergence. This is because no component in u-net models potential segmentation noise.

To further validate the convergence and effectiveness of ANTN, we compare the ratio R of the estimated clean labels to the corresponding *s*th type of noisy labels during training with the actual ratio of clean labels to noisy labels for the corresponding erosion or dilation training outputs, as shown in Figures 3.2(c) and (d):

$$R^{s} = \frac{\sum_{n \in \mathcal{I}} I(\arg\max_{u} Pr(y_{n} = u | (\hat{y}_{n}^{1})_{obs}, (\hat{y}_{n}^{2})_{obs}, X) = (\hat{y}_{n}^{s})_{obs})}{\sum_{n \in \mathcal{I}} I(\arg\max_{u} Pr(y_{n} = u | (\hat{y}_{n}^{1})_{obs}, (\hat{y}_{n}^{2})_{obs}, X) \neq (\hat{y}_{n}^{s})_{obs})}, \quad s = 1, 2.$$
(3.1)

From Figures 3.2(c) and (d), the estimated ratios indeed approach the actual ratios in the training data with the corresponding trend indicating the learned ANTN models the noise transitions better and better during the iterative training stage.

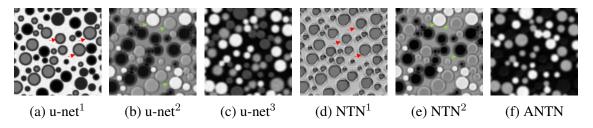


Figure 3.3: Representative learned feature maps by different networks.

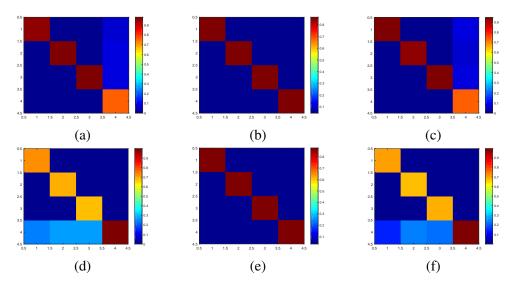


Figure 3.4: Transition matrices for synthetic images (The first and second rows represent the transition matrices for the erosion and dilation labels respectively.(a) and (d): expected transition matrices; (b) and (e): learned transition matrices by NTN; and (c) and (f): learned average transition matrices by ANTN.).

We also check the noisy transition matrices learned by NTN and the average transition matrices for ANTN, compared to the expected noisy transition matrices obtained by clean and noisy training data. We emphasize that the noisy transition matrix in ANTN is pixel-wise and dependent on image features, we compute the average transition matrices by simply averaging pixel-wise transition probabilities across training images. Clearly, ANTN can better approximate the noise transition by visual comparison in Figure 3.4.

Finally, we show the representative feature maps generated by different networks in Figure 3.3. The feature maps generated by u-net<sup>1</sup>, u-net<sup>2</sup>, NTN<sup>1</sup> and NTN<sup>2</sup> are inhomogeneous in the surrounding regions of circular objects and these regions are pointed out by the red arrow and green arrow respectively. It reveals that the erosion noise and dilation noise influence the u-net<sup>1</sup>, u-net<sup>2</sup>, NTN<sup>1</sup> and NTN<sup>2</sup> learning the right features in the eroded region (pointed by red arrow) and dilated region (pointed by green arrow) during training. On the contrary, both the ANTN and u-net<sup>3</sup> have homogeneous and clear

boundary surrounding regions of circular objects showing that ANTN and u-net<sup>3</sup> are less affected by dilation noise or erosion noise. This is consistent with the fact that ANTN and u-net<sup>3</sup> perform better than other methods in synthetic data experiment.

#### **3.3** Performance Evaluation on Histopathological Images

With the experiments in synthetic data, we further implement ANTN and NTN to DMD histo-images and compare segmentation results with both original K-Means and Otsu thresholding results and the results from previously evaluated deep-learning methods.

It is difficult to obtain ground-truth pixel-by-pixel segmentation labels when studying histo-images in practice which essentially motivates the presented work as the existing deep-learning methods often rely on clean segmentation labels for model inference. The goal of ANTN and NTN is to enable a new deep-learning model framework to incorporate noisy labels for training. For this set of experiments, we select 26 sub-images from one of 11 DMD histo-images with their corresponding K-Means and Otsu segmentation results as noisy segmentation labels. The example sub-image together with the groundtruth and their K-Means and Otsu segmentation results are shown in Figures 3.5(a). As we observe the fibrosis boundaries of cropped images shown in Figure 3.5(b) and (c), the one by K-Means is slightly under-segmented while the one by Otsu is largely oversegmented comparing with the true fibrosis boundary (ground-truth). We expect training the ANTN with these two types of noisy segmentations can improve segmentation performance by borrowing signal strengths from weak labels. Model inference of u-net, NTN, and ANTN has been done similarly as for synthetic data. Note that u-net<sup>1</sup>, u-net<sup>2</sup> and unet<sup>3</sup> now represent the u-net trained with the corresponding K-Means, Otsu thresholding, and the mixture of noisy segmentations. NTN<sup>1</sup> and NTN<sup>2</sup> represent the NTN trained with the corresponding K-Means and Otsu noisy segmentations. With the learning rate  $10^{-4}$ . training the u-net with the single type of noisy segmentations converges in 400 epochs and training with the mixture converges around 157 epochs. For NTN, we initialize the training with the corresponding u-net and then diffuse the noise transition layer by weight decay for 150 epochs. For ANTN, we initialize the clean label prediction network with the trained u-net<sup>3</sup> then further train two transition probability networks for 200 epochs. The consequent iterative adaptive training converges around 155 epochs with the same 10 epochs for the alternating interval as described earlier. We provide the corresponding segmentation results from u-net, NTN, and ANTN in Figures 3.5(a). We can only visually judge that the u-net<sup>2</sup> and NTN<sup>4</sup> have the performance worse than the other deep learning based methods because they are trained with the highly noisy Otsu segmentations. To clearly show the performance difference in fibrosis regions, we crop the original histoimage and generate the corresponding fibrosis boundaries by different networks shown in Figure 3.5(b) and (c). While the u-net<sup>1</sup> and NTN<sup>1</sup> trained with the sole K-Means segmentation have the slightly under-segmented performance, the u-net<sup>2</sup> and NTN<sup>2</sup> trained with the sole Otsu segmentation have the over-segmented performance. This is consistent with the under-segmented and over-segmented characteristics of the K-Means segmentation and Otsu segmentation. By comparison, the u-net<sup>3</sup> and ANTN trained with both segmentations have the fibrosis boundaries closest to the ground-truth. The overall accuracy comparisons

Method	K-Means	Otsu	U-Net <sup>1</sup>	U-Net <sup>2</sup>	U-Net <sup>3</sup>	$NTN^1$	$NTN^2$	ANTN
Accuracy	89.09%	79.25%	93.33%	85.90%	90.43%	92.90%	81.26%	94.78%

Table 3.2: Accuracy comparison with ground-truth.

with the ground-truth segmentation are shown in Table 3.2 and the ANTN has the 94.78% accuracy outperforming than the other methods.

More original histo-images and their corresponding segmentation results from u-net,

NTN, and ANTN are shown in Figures 3.6, and the zoomed details of the marked boxes in Figure 3.6(a) are shown in Figure 3.8. In Figure 3.8, the segmentations highlighted by green boxes show that u-net<sup>3</sup> and ANTN achieves the most homogeneous and coherent segmentations of fibrosis and muscle; the segmentations highlighted by yellow boxes show better fibrosis segmentation from ANTN even within necrotic muscle bundles (the fifth row); and the segmentations highlighted by purple boxes indicate ANTN works great while the Otsu, u-net<sup>2</sup> and the NTN<sup>2</sup> does not segment much detail when the fine segmentation is expected. Without much ground-truth segmentation, we follow the way in [30, 31, 32] for quantitative evaluation based on the *entropy U* within segmented regions in RGB color space and *disparity D* across regions in L\*a\*b\* color space. In the segmented region j of histo-image, we denote the number of pixel with intensity m in channel c to be  $L_j(m)$  and the total number of pixel in region j to be  $S_j$ , thus  $\frac{L_j^c(m)}{S_j}$ can be taken as the probability that a pixel has the intensity m in region j for channel c. Averaging the three channel and all the region, we can derive the expected entropy H for segmentation:

$$H = G * \sum_{j=1}^{N} (\frac{S_j}{S_I}) H_j$$
(3.2)

where

$$G = \sqrt{\sum_{b=1}^{MaxArea} [N(b)]^{1+1/b}},$$
(3.3)

$$H_j = -\frac{1}{3} \sum_{c=1}^{3} \sum_{m=1}^{255} \frac{L_j^c(m)}{S_j} \log \frac{L_j^c(m)}{S_j}.$$
(3.4)

G is the penalized term for over segmentation with N(b) representing the amount of regions having b pixel, and  $H_j$  is the region-wise entropy. For the *disparity* D across regions, we compute the average intensity  $A_i^c = \frac{\sum_{k \in \mathcal{R}_i} X_k^c}{N_i}$ , in which  $X_k^c$  is the corresponding channel intensity for pixel k;  $\mathcal{R}_i$  denotes the set of pixels belonging to the *i*th cluster; and  $N_i$  is the total number of pixels in the *i*th cluster. Let  $P_i = \frac{N_i}{\sum_{j=1}^3 N_j}$ . We have *disparity*:

$$D = A_1^2 P_1 - A_2^3 P_2. aga{3.5}$$

Note that D is computed by the weighted average intensity differences only between red and blue regions with the corresponding channels as we are mostly interested in muscle and fibrosis in DMD histo-images [33]. Clearly, the smaller the H and the larger the D are, the better the segmentation is. Hence, we evaluate the segmentation results quantitatively by  $E = \frac{H}{D}$ . The comparison of E values for 11 original histo-image groups (each includes 100 split images and the training images are from the third group) is given in Table 3.3, in which we have boldfaced the entities of the best for each group. Clearly, ANTN with noisy training samples is outperforming all the other methods in 9 of 11 samples. As

Method	1	2	3	4	5	6	7	8	9	10	11
K-Means	1.588	1.624	1.097	1.465	0.789	0.776	6.086	2.052	1.099	1.079	0.683
Otsu	5.326	10.235	4.749	7.338	3.513	4.154	22.132	6.476	5.519	5.434	3.196
U-net <sup>1</sup>	0.206	0.245	0.385	0.257	0.357	0.338	0.292	0.371	0.210	0.243	0.162
U-net <sup>2</sup>	0.115	0.187	0.302	0.152	0.173	0.145	0.239	0.170	0.159	0.241	0.211
U-net <sup>3</sup>	0.120	0.138	0.153	0.150	0.191	0.169	0.209	0.171	0.118	0.117	0.077
NTN <sup>1</sup>	0.097	0.175	0.195	0.173	0.204	0.208	0.280	0.202	0.144	0.156	0.073
$NTN^2$	0.098	0.146	0.198	0.128	0.153	0.128	0.095	0.119	0.161	0.218	0.133
ANTN	0.069	0.094	0.138	0.101	0.135	0.125	0.107	0.152	0.089	0.098	0.071

Table 3.3: Performance comparison of different methods on 11 original histo-images.

shown in Figure 3.7(a), we also investigate the estimated ratio similarly as for synthetic data based on the intermediate outputs during ANTN training by noisy segmentations from either K-Means or Otsu algorithm. It is observed that the ratio with respect to K-Means is much larger than that with Otsu. Besides, the corresponding average transition matrices after convergence are shown in Figure 3.7(b) and (c). Clearly, the average label-flip noise

transition matrix trained for K-Means segmentation has diagonal entry values closer to 1 compared to that for Otsu segmentation. This tells that K-Means segmentation results match better with the segmentation results derived by ANTN, being consistent with the fact that K-Means achieves better segmentation results compared to Otsu thresholding.

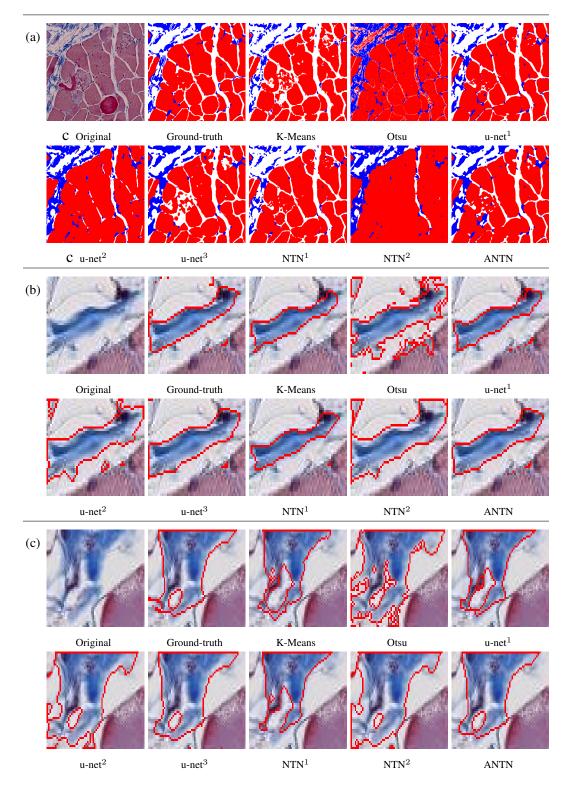


Figure 3.5: Illustration of oversegmentation and undersegmentation for fibrosis ((a) complete view, (b) cropped region 1 and (c) cropped region 2).

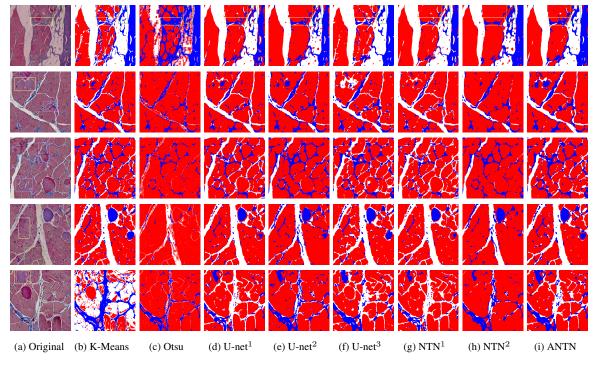


Figure 3.6: Histo-images and segmentations.

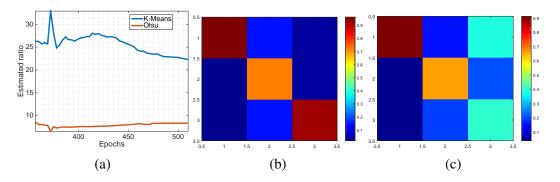


Figure 3.7: Evaluation of clean label ratio and transition matrices for histo-images ((a) Estimated ratio of intermediate output labels to noisy labels. (b) Estimated transition matrix for K-Means noisy dataset. (c) Estimated transition matrix for Otsu noisy dataset.).

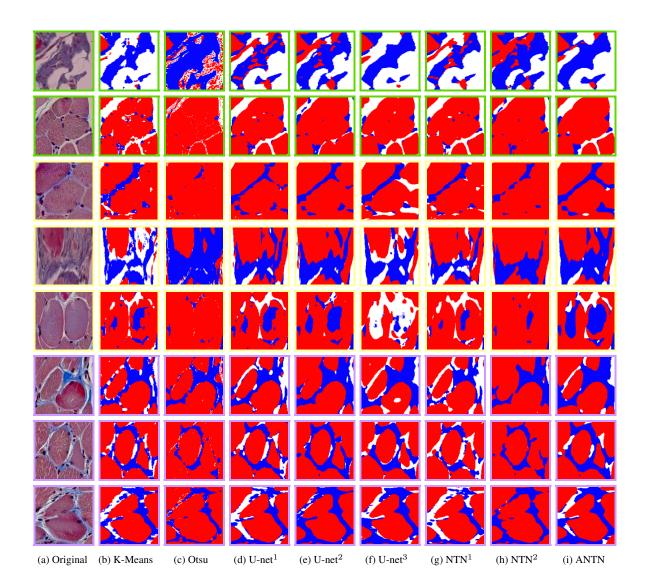


Figure 3.8: Zoomed details of the regions (Scaled to the same size for visualization. regions surrounded by perimysium require homogeneous segmentation, growing fibrosis regions within endomysium/necrotic muscle bundles require accurage blue-color segmentation, complicated regions require fine segmentation.).

## **3.4 Optimization of Clean Label Prediction Network**

In this section, we analyze the optimization of clean label prediction network in adaptive training or weight decay for ANTN and NTN respectively. We calculate the histograms of feature maps generated from the last convolutional layer before the softmax layer of the clean label prediction network and create a 3D histogram along the training iterations in order to quantitively observe the changes of feature maps during adaptive training/weight decay. To clearly observe the fluctuation of histograms along the training, we further map the 3D histograms into the 2D panels to create 2D histograms. Ultimately we generate three groups of histograms by the clean label prediction networks of ANTN, NTN<sup>1</sup> and NTN<sup>2</sup> for synthetic data experiments and histo-data experiments shown in the Figure 3.9.

As the 3D histograms shown in Figure 3.9 for both synthetic data and histo-data, we can observe that the distribution varies largely along the training iteration for ANTN showing that the adaptive training stage is effectively adjusting the parameters initialized by noisy segmentation, while the distributions for  $NTN^1$  and  $NTN^2$  do not have essentially alteration for most time. Such trend is more clearly shown in 2D histograms as the overlapped curves of 2D histograms for ANTN is much more diverse than NTN's. These observations show that the ANTN is more sensitive to parameters which are initialized by noisy segmentations before the last training stage, and can be more likely to push these parameters away from the unsatisfied values during the last training stage.

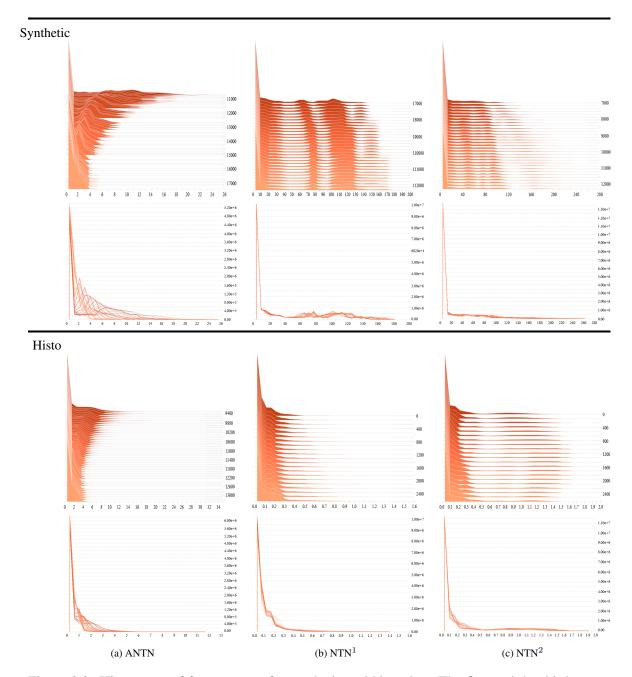


Figure 3.9: Histograms of feature maps for synthetic and histo-data (The first and the third row: 3D histograms where the X axis and Y axis represent the intensity and training iterations. The second and the forth row: 2D histograms where the X axis and Y axis represent the intensity and frequency.).

## 4. SUMMARY AND CONCLUSIONS

In this thesis, we aim to tackle the difficulty of applying deep learning in histo-image segmentation when the clean ground-truth is unavailable so that clinicians will be free from the time-consuming work in manual segmentation. The core idea is taking the noisy segmentations from the off-the-shelf algorithms as the training set to train the deep learning network for generating clean segmentation. To adjust the deep learning network for noisy label training, we propose the noise-tolerant network (NTN) and the adaptive noise-tolerant network (ANTN) based on the U-Net architecture. While the NTN considers label noise only depends on the class of clean label, the ANTN not only appropriately assume that the label noise depends on both the class of clean label and the appearance of the image, but also integrate multiple noisy datasets into training. The experiments on synthetic images and histo-images show that the ANTN has the best performance among other deep learning algorithms and the off-the-shelf algorithms.

Some problems are still being remained for the ANTN model. For instance, ANTN assigns the transition probability to each pixel of the image but such pixel-wise allocation may be unnecessary due to the similar features in the region of pixels. Besides, ANTN has not considered the correlation of label noise process between pixels either. We will focus on both above problems and experiment on more benchmark datasets in the future.

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