

Parsing human and biophysical drivers of coral reef regimes

Jouffray, Jean-Baptiste; Wedding, Lisa; Norstrom, Albert V.; Donovan, Mary; Williams, Gareth; Crowder, Larry; Erickson, Ashley; Friedlander, Alan M.; Graham, Nicholas A.J.; Gove, Jamison M.; Kappel, Carrie; Kittinger, John; Lecky, Joey; Oleson, Kirsten; Selkoe, Kimberly; White, Crow; Williams, Ivor; Nystrom, Magnus

Proceedings of the Royal Society B: Biological Sciences

DOI: 10.1098/rspb.2018.2544

Published: 01/02/2019

Publisher's PDF, also known as Version of record

Cyswllt i'r cyhoeddiad / Link to publication

Dyfyniad o'r fersiwn a gyhoeddwyd / Citation for published version (APA): Jouffray, J-B., Wedding, L., Norstrom, A. V., Donovan, M., Williams, G., Crowder, L., Erickson, A., Friedlander, A. M., Graham, N. A. J., Gove, J. M., Kappel, C., Kittinger, J., Lecky, J., Oleson, K., Selkoe, K., White, C., Williams, I., & Nystrom, M. (2019). Parsing human and biophysical drivers of coral reef regimes. *Proceedings of the Royal Society B: Biological Sciences*, *286*(1896), [20182544]. https://doi.org/10.1098/rspb.2018.2544

Hawliau Cyffredinol / General rights

Copyright and moral rights for the publications made accessible in the public portal are retained by the authors and/or other copyright owners and it is a condition of accessing publications that users recognise and abide by the legal requirements associated with these rights.

• Users may download and print one copy of any publication from the public portal for the purpose of private study or research.

- You may not further distribute the material or use it for any profit-making activity or commercial gain
- You may freely distribute the URL identifying the publication in the public portal ?

Take down policy

If you believe that this document breaches copyright please contact us providing details, and we will remove access to the work immediately and investigate your claim.

PROCEEDINGS B

royalsocietypublishing.org/journal/rspb

Research



Cite this article: Jouffray J-B *et al.* 2019 Parsing human and biophysical drivers of coral reef regimes. *Proc. R. Soc. B* **286**: 20182544. http://dx.doi.org/10.1098/rspb.2018.2544

Received: 12 November 2018 Accepted: 15 January 2019

Subject Category: Ecology

Subject Areas:

ecology, environmental science

Keywords:

boosted regression trees, ecology, Hawai'i, interactions, management, regime shift

Author for correspondence:

Jean-Baptiste Jouffray e-mail: jean-baptiste.jouffray@su.se

Electronic supplementary material is available online at https://dx.doi.org/10.6084/m9. figshare.c.4375754.



Parsing human and biophysical drivers of coral reef regimes

Jean-Baptiste Jouffray^{1,2}, Lisa M. Wedding³, Albert V. Norström¹, Mary K. Donovan⁴, Gareth J. Williams⁵, Larry B. Crowder⁶, Ashley L. Erickson³, Alan M. Friedlander⁷, Nicholas A. J. Graham⁸, Jamison M. Gove⁹, Carrie V. Kappel¹⁰, John N. Kittinger^{11,12}, Joey Lecky¹³, Kirsten L. L. Oleson¹³, Kimberly A. Selkoe¹⁰, Crow White¹⁴, Ivor D. Williams⁹ and Magnus Nyström¹

¹Stockholm Resilience Centre, Stockholm University, Stockholm, Sweden ²Global Economic Dynamics and the Biosphere Academy Programme, Royal Swedish Academy of Sciences, Stockholm, Sweden 3 Stanford Center for Ocean Solutions, Stanford University, Stanford, CA 94305, USA 4 Hawai'i Institute of Marine Biology, University of Hawai'i at Mānoa, Kaneohe, HI 96744, USA ⁵School of Ocean Sciences, Bangor University, Anglesey LL59 5AB, UK ⁶Hopkins Marine Station, Stanford University, Pacific Grove, CA 9395, USA ⁷Pristine Seas, National Geographic Society, Washington, DC 20036, USA ⁸Lancaster Environment Centre, Lancaster University, Lancaster LA1 4YQ, UK ⁹Ecosystem Science Division, Pacific Islands Fisheries Science Center, National Oceanic Atmospheric Administration, Honolulu, HI, 96818, USA ¹⁰National Center for Ecological Analysis and Synthesis, University of California Santa Barbara, Santa Barbara, CA 93101, USA ¹¹Center for Oceans, Conservation International, Honolulu, HI 96825, USA ¹²Julie Ann Wrigley Global Institute of Sustainability, Arizona State University, Tempe, AZ 85281, USA ¹³Department of Natural Resources and Environmental Management, University of Hawai'i at Mānoa, Honolulu, HI 96822, USA ¹⁴Department of Biological Sciences, California Polytechnic State University, San Luis Obispo, CA 93407, USA

(D) J-BJ, 0000-0002-4105-6372; MKD, 0000-0001-6855-0197; GJW, 0000-0001-7837-1619

Coral reefs worldwide face unprecedented cumulative anthropogenic effects of interacting local human pressures, global climate change and distal social processes. Reefs are also bound by the natural biophysical environment within which they exist. In this context, a key challenge for effective management is understanding how anthropogenic and biophysical conditions interact to drive distinct coral reef configurations. Here, we use machine learning to conduct explanatory predictions on reef ecosystems defined by both fish and benthic communities. Drawing on the most spatially extensive dataset available across the Hawaiian archipelago-20 anthropogenic and biophysical predictors over 620 survey sites-we model the occurrence of four distinct reef regimes and provide a novel approach to quantify the relative influence of human and environmental variables in shaping reef ecosystems. Our findings highlight the nuances of what underpins different coral reef regimes, the overwhelming importance of biophysical predictors and how a reef's natural setting may either expand or narrow the opportunity space for management interventions. The methods developed through this study can help inform reef practitioners and hold promises for replication across a broad range of ecosystems.

1. Introduction

Coral reef ecosystems worldwide are shifting to alternative regimes, driven by a combination of human impacts, biotic processes and abiotic conditions [1,2]. Beyond abrupt changes in ecosystem structure and function [2], long-lasting

© 2019 The Authors. Published by the Royal Society under the terms of the Creative Commons Attribution License http://creativecommons.org/licenses/by/4.0/, which permits unrestricted use, provided the original author and source are credited.

regime shifts may bear heavy costs to society through the loss of ecosystem services associated with a particular regime [3]. They also pose serious challenges for coral reef managers [4], since reversing undesirable regimes can be difficult and costly owing to strong reinforcing feedback mechanisms [5,6].

To date, descriptions of alternative reef regimes have predominantly addressed benthic community structure, with an emphasis on shifts from coral to algal dominance [7-9]. Changes in fish assemblages have also been highlighted, either as a driver of benthic regime shifts [10] or as their direct consequence [11]. Given the strong interdependence between benthic and fish communities on coral reefs however, disentangling 'what drives what' becomes problematic. Recent work by Donovan et al. [12] addresses this issue by proposing a broader approach that combines both fish and benthic functional groups as the defining elements of reef regimes. Such an integrated description of the reef community provides a more nuanced view of reef regimes which better captures the complexity of coral reef dynamics. Yet, what drives the occurrence of these integrated regimes and how to subsequently prioritize management actions remain unknown.

In the face of escalating human impacts, such as overfishing, reduced water quality and effects from climate change, there is growing awareness surrounding the multi-causality of reef regimes [8] and potential effects of interacting stressors [13,14]. Effectively managing coral reefs therefore requires an accurate, and often context-specific, understanding of how multiple drivers combine to support or undermine different regimes. In particular, discerning the relative influence of anthropogenic versus biophysical drivers is critical to appreciate how environmental conditions might limit or favour different management options. Although humans can become the dominant force determining coral reef ecosystem state [15], variations in biophysical drivers, such as waves and primary productivity, set natural bounds on ecosystem condition even in the absence of local human influence [16,17].

The main Hawaiian Islands-the populated portion of the Hawaiian archipelago, hereafter referred to as the Hawaiian Islands for brevity-span gradients in both environmental conditions [18] and human pressures [19], allowing for an exploration of their relative importance in determining the spatial distribution of reef regimes. The Hawaiian Islands are also the focus of one of the most extensive spatial databases of biophysical and anthropogenic predictors available for a coastal ecosystem [20]. Here, we use this database to predict the occurrence of multiple reef regimes defined by both fish and benthic communities. We apply boosted regression trees to quantify the relative influence of each biophysical and anthropogenic predictor, identify relationships between predictors and regimes, and characterize interaction patterns. Identifying what predicts different reef ecosystem regimes and how the natural environment can influence management opportunities is essential to help practitioners effectively anticipate, avoid and respond to coral reef change.

2. Methods

(a) Study area and reef regimes

Situated in the middle of the Pacific Ocean, the Hawaiian Islands consist of eight high volcanic islands with varying human population density and exposure to natural forces [19]. The study builds on data from more than 1000 forereef habitat sampling locations (i.e. reef slope habitat exposed to the open ocean) across the region that were recently classified into five reef regimes using model-based clustering of 10 fish and benthic functional groups (electronic supplementary material, table S1). Each cluster is a mixture of multivariate distributions composed of the densities of each component (i.e. fish and benthic functional groups), and each observation is assigned to a cluster based on the probability of membership given the observation [12]. Out of the five regimes, however, Donovan et al. [12] identified one as a highly variable and transitional state (i.e. regime 4). Given the ambition to accurately associate predictors to the spatial occurrence of distinct regimes, we removed the sites classified into regime 4 to reduce noise in the data and optimize predictive performance. We also excluded the 25% most uncertain classifications (i.e. sites with the lowest probability of being classified again into the same regime), thereby retaining 620 sites most representative of four distinct reef regimes (figure 1; electronic supplementary material, table S1), and hereafter referred to as regime 1, 2, 3 and 5 for consistency with Donovan et al. [12].

Sites classified into regime 1 show the characteristics of a degraded reef, i.e. low fish biomass, low hard coral cover and high algae cover (electronic supplementary material, table S1). Regime 2 is characterized by rugose habitat with high fish biomass (e.g. browsers such as *Kyphosus hawaiiensis* and *Naso unicornis*), high turf and macroalgae cover, and low hard coral cover. Regime 3 exhibits high fish biomass and turf algae cover, no macroalgae and moderate hard coral cover. Regime 5 displays moderate fish biomass, less turf algae and higher hard coral cover, commonly comprised of the coral *Porites compressa*. For detailed methodology and description of the regimes, see Donovan *et al.* [12].

(b) Anthropogenic and biophysical predictors

We reviewed all continuous spatial layers of anthropogenic and environmental drivers compiled by Wedding *et al.* [20] for coastal waters of the Hawaiian Islands and retained a set of 20 predictors (table 1; electronic supplementary material, table S2) based on ecological relevance (electronic supplementary material, table S3) and collinearity analysis (electronic supplementary material, figure S1 and table S4). We used pairwise relationship correlation coefficients (no coefficient greater than |0.6|) and variance inflation factor estimates (scores lower than 3.5) to assess collinearity among predictors.

The selection of anthropogenic predictors expanded on a human dimensions framework that identified the primary human impacts mediating coral reef condition [21]. It includes catch from commercial and non-commercial fisheries, land-based stressors (effluent, sedimentation, new development), habitat modification and invasive species [20]. Non-commercial fisheries were further characterized by platform (boat- versus shorebased) and gear types (line, net, spear). Gear types were combined for non-commercial boat-based fisheries to account for collinearity.

Biophysical predictors were derived from time series of variables known to be major drivers of coral reef ecosystems: sea surface temperature, chlorophyll-*a* (as a proxy for phytoplankton biomass and thus primary production), irradiance and wave power. Five climatological metrics were available for each predictor: long-term mean, standard deviation of the long-term mean, maximum monthly climatological mean, maximum anomaly and frequency of anomalies [18,20]. Choices were made to eliminate highly correlated metrics (electronic supplementary material, figure S2), while ensuring for each predictor that both the actual forcing and its variability were represented. We used the maximum monthly climatological mean (i.e. the largest value of the 12 monthly climatological values averaged over more than 10 years) to represent the



Key characteristics of each regime are provided below the respective icons. Explore an interactive version of the map at https://stanford.maps.arcgis.com/apps/-StoryMapBasic/index.html?appid=b50b97f3cadb4c919a85bb6e4dd654cd.

actual forcing since spatial variations in ecological communities are largely defined by their climatological envelope as communities tend to adapt to the extremes in the seasonal cycle [18]. Depending on collinearity (electronic supplementary material, figure S2), either the standard deviation of the long-term mean or the frequency of anomalous events (i.e. the percentage of time above the maximum monthly climatological mean) was used to capture environmental stability, or lack thereof. The depth and topographical complexity of the seafloor, derived from high-resolution bathymetry of the region, were also included owing to their well-known importance in structuring reef communities (electronic supplementary material, table S3).

For a majority of the datasets, the temporal range represented approximately a 10 year average, which matched the temporal spread of the biological surveys used to identify regimes [12] and provided an estimate of long-term trends in spatial gradients rather than a single snapshot in time. For detailed methodology on each anthropogenic and biophysical predictor raster, see Wedding *et al.* [20].

(c) Data analyses

All statistical analyses were conducted using R v. 3.3.2 [22]. Statistical scripts and custom R package *ggBRT* are available on

GitHub (https://github.com/JBjouffray). We used boosted regression trees (BRTs) [23] to examine the occurrence of each regime in relation to anthropogenic and biophysical predictors. BRTs represent an advanced regression technique that combines large numbers of relatively simple trees by sequentially fitting each new tree to the residuals from the previous ones. It improves predictive performance over more traditional tree fitting techniques with the ability to fit non-linear relationships and account for complex interactions among predictors [23].

The classification of sites into different regimes was converted to presence-absence of each regime [8] and modelled using a Bernoulli distribution following the *gbm.step* routine [23] in the *dismo* package v. 1.1-4 [24]. Trees were built with default parameters to make model outputs comparable among regimes: a tree complexity of 5, a learning rate of 0.001 and a bag fraction of 0.75. Tree complexity controls how many levels of interactions are fitted, learning rate determines the contribution of each new tree to the model and bag fraction specifies the proportion of data to be randomly selected while fitting each single decision tree [23,25]. Variation of these parameters by running all possible combinations of tree complexity (1–5), learning rate (0.01, 0.005, 0.001, 0.0001) and bag fraction (0.5, 0.7, 0.9) provided negligible improvements in predictive performance.

Table 1. Predictor variables used to explain the occurrence of multiple reef regimes. (See the electronic supplementary material, table S2 for extended descriptions. Raster data can be visualized in an online map viewer at http://www.pacioos.hawaii.edu/projects/oceantippingpoints/#data.)

	predictor	description	temporal range	spatial resolution (m)
anthropogenic	effluent	nutrient run off (gallon/day/7 km²) from onsite waste disposal systems (cesspools and septic tanks)	2009-2014	500
	sedimentation	estimate of annual average amount of sediment (tons yr ⁻¹) delivered offshore	2005	100
	new development	relative level (0 to 1) of new development along the coastline	2005 – 2011	100
	habitat modification	presence-absence of any alteration or removal of geomorphic structure as a result of human use	2001 - 2013	500
	invasive algae	observed presence of any invasive algae	2000-2013	500
	commercial fishing	annual average commercial reef fisheries catch (kg ha ⁻¹)	2003 - 2013	100
	non-commercial boat fishing	annual average non-commercial boat-based reef fisheries catch (kg ha^{-1}) from all gear types	2004–2013	100
	non-commercial shore fishing_line	annual average non-commercial shore-based reef fisheries catch (kg ha^{-1}) by line	2004-2013	100
	non-commercial shore fishing_net	annual average non-commercial shore-based reef fisheries catch (kg ha^{-1}) by net	2004-2013	100
	non-commercial shore fishing_spear	annual average non-commercial shore-based reef fisheries catch (kg ha ¹) by spear	2004–2013	100
biophysical	SST _max	maximum monthly climatological mean of sea surface temperature (°C)	1985 – 2013	5000
	SST_STD	standard deviation of the long-term mean of weekly sea surface temperature (°C)	2000-2013	5000
	chlorophyll_max	maximum monthly climatological mean of chlorophyll- a (mg m ^{-3})	2002 - 2013	4000
	chlorophyll_anomaly	annual average of the total number of anomalous events for chlorophyll- <i>a</i>	2002 - 2013	4000
	irradiance_max	maximum monthly climatological mean of photosynthetically available radiation (Einstein $m^{-2} d^{-1}$)	2002 - 2013	4000
	irradiance_STD	standard deviation of the long-term mean of 8 days irradiance composites (Einstein $m^{-2} d^{-1}$)	2002 - 2013	4000
	wave_max	maximum monthly climatological mean of wave power (kW m^{-1})	1979 – 2013	500 - 1000
	wave_anomaly	annual average of the total number of anomalous events for wave power	2000-2013	500 – 1000
	complexity	topographical complexity of the seafloor measured as slope of slope (i.e. the maximum rate of change in seafloor slope)	1999 – 2000	5
	depth	depth of the seafloor in metres	1999 - 2000	5

Model performance was evaluated by 10-fold crossvalidation that allows to test the model against withheld portions of the data which are not used in model fitting [23]. We looked at the cross-validated per cent deviance explained, calculated as (1 - (cross-validated deviance/mean total deviance)) and cross-validated area under the receiver operating characteristics curve (AUC) as measures of model performance. An AUC value of 0.5 corresponds to a predictive ability similar to what



anthropogenic/biophysical relative influence (%)

Figure 2. (*a*) Relative influence of anthropogenic (dark grey) and biophysical (light grey) predictor variables used to model the occurrence of each reef regime. The 'asterisks' mark variables with an influence above what could be expected by chance (greater than 5%, indicated by the dotted line). The signs + and - display the general direction of the relationship, when discernible. (*b*) Distribution of the four regimes along a continuum of anthropogenic versus biophysical relative contribution, calculated by considering only the variables with a relative influence greater than 5%. SST, sea surface temperature; max, maximum monthly climatological mean; STD, standard deviation of the long-term mean; anomaly, frequency of anomalies. (Online version in colour.)

would be expected by chance alone. Values are considered 'acceptable' between 0.7-0.8, 'excellent' between 0.8-0.9 and 'out-standing' above 0.9 [26]. Spatial autocorrelation was assessed by estimating Moran's *I* coefficients from the model residuals [27].

We calculated the relative importance of each predictor based on the number of times a variable was selected for splitting, weighted by the squared improvement to the model as a result of each split and averaged over all trees [23,28]. To assess the relative contribution of anthropogenic versus biophysical predictors for each regime, we considered only the variables with a relative influence above that expected by chance (100/number of variables, i.e. 5%) [29] and rescaled their influence to 100%.

Partial dependency plots with 95% confidence intervals obtained from 1000 bootstrap replicates [25] were used to visualize the relationships between the most influential predictor variables and the response (regime), while keeping all other predictors at their mean. We quantified relative interaction strength between predictors by measuring residual variation between pairwise model predictions with and without interactions [30]. We used 100 bootstrap resampling to test the significance of the strongest interactions. For each bootstrap, we randomly resampled the occurrence of the regime before re-fitting the BRT model and then recorded the size of the interactions to generate a distribution under the null hypothesis of no interaction among predictors [30].

Input data for the predictor variables had different native spatial resolutions (table 1). For instance, while many of the anthropogenic predictor rasters were available at a fine spatial grain (less than 500 m), most of the biophysical ones were generated at a coarser grain size (e.g. 4000 m). To control for the influence of different grain sizes on the outcome of the model, we extracted all predictor raster datasets at multiple standardized grain sizes (500, 1000, 1500, 2500 and 4000 m), before re-running the BRTs on regimes aggregated following a two-thirds majority within each cell resolution (electronic supplementary material, figure S3).

3. Results

(a) Relative influence of human and biophysical predictors

BRT models performed well for all four regimes (electronic supplementary material, table S5), with deviance explained from 37% to 41%, high predictive performance (AUC scores between 0.88-0.91) and minimal spatial autocorrelation (Moran's I between 0.02 and 0.04). The pattern of predictors' contributions differed among regimes, with regimes 1 and 2 displaying a few strongly influential predictors, while regimes 3 and 5 were best explained by a broader, but less influential, set of variables (figure 2*a*). This was also reflected by the number of predictors having a relative influence above what could be expected by chance: five for regime 1, six for regime 2 and nine for regimes 3 and 5 (figure 2a). The regimes distributed along a continuum of biophysical and anthropogenic influence (figure 2b), with an overwhelming contribution of biophysical variables in predicting the occurrence of regimes 3, 2 and 5 (92%, 91% and 77% biophysical relative influence, respectively). Regime 1, on the other hand, was most effectively predicted by anthropogenic variables (57%).

(b) Predicting the occurrence of reef regimes

For each regime, the relationships of the five most influential predictors (figure 3) and two strongest pairwise interactions (table 2; electronic supplementary material, figure S4) are described below. The probability of occurrence of regime 1 was higher as both non-commercial boat fishing catch (21.5% relative influence) and commercial fishing catch (11.6%) increased (figure 3*a*). Topographical complexity of



Figure 3. Partial dependency plots with 95% confidence intervals for the five most influential variables predicting the occurrence of four distinct reef regimes (a-d). The graphs show the effect of a given predictor on the probability of occurrence of the regime while keeping all other variables at their mean. Relative influence of each predictor is reported between parentheses. Grey tick marks across the top of each plot indicate observed data points. SST, sea surface temperature; max, maximum monthly climatological mean; STD, standard deviation of the long-term mean; anomaly, frequency of anomalies. (Online version in colour.)

Table 2. Pairwise interactions between predictor variables. A summary description is given for the trend associated to a peak in occurrence probability for each regime. Smaller values indicate weaker interactions. All interactions were significant (p < 0.01). See the electronic supplementary material, figure S4 for the interaction plots. SST, sea surface temperature; max, maximum monthly climatological mean; STD, standard deviation of the long-term mean.

model	predictor 1	predictor 2	interaction size	summary
regime 1	complexity	non-commercial boat fishing	27.97	higher recreational boat fishing catch and lower complexity
	complexity	commercial fishing	27.76	higher commercial fishing catch and lower complexity
regime 2	wave_max	SST_STD	64.82	higher wave power and higher variation of sea surface
				temperature
	depth	wave_max	18.51	shallower depth and higher wave power
regime 3	irradiance_STD	SST_max	11.91	no clear pattern
	complexity	irradiance_max	11.47	no clear pattern
regime 5	irradiance_STD	invasive algae	25.35	lower variation of irradiance and observed presence of
				invasive algae
	depth	non-commercial boat fishing	15.55	deeper depth and higher recreational boat fishing

the seafloor was the second strongest predictor (20.1%), suggesting regime 1 is more likely to occur in areas with low structural complexity. Depth (8.8%) and effluent (5.5%) both displayed positive relationships. Interaction patterns

reflected the influence of the most important predictors with the probability of regime 1 occurring being greatest when fishing catch was high and structural complexity was low (table 2; electronic supplementary material, figure S4a).

The model explained 41% of the deviance and had an AUC score of 0.90.

Regime 2 was best predicted by a strong positive relationship with maximum monthly climatological mean of wave power (36.5%), indicating a higher occurrence of this regime in wave-exposed sites (figure 3*b*). Cooler maximum monthly climatological sea surface temperature (9.3%), higher complexity (8.5%) and high variation of temperature (7.8%) all increased the probability for regime 2 to occur, while depth (8.7%) showed a slightly negative relationship. The two most important interactions (table 2) revealed a higher probability of occurrence as both wave power and temperature variation increased, and a weakening of the impact of waves at deeper depths (electronic supplementary material, figure S4b). The model explained 37% of the deviance and had an AUC score of 0.88.

Biophysical variables were also the most influential predictors of regime 3 (figure 3*c*). Occurrence probability was higher in places with low maximum monthly climatological chlorophyll-*a* concentration (13.6%)—but positively correlated with a higher frequency of anomalous chlorophyll-*a* events (7.7%). Regime 3 was more likely at depths shallower than 25 m (8.9%) and in wave-sheltered environments (8.2%). The interactions were weak, with no clear interaction pattern apparent (table 2; electronic supplementary material, figure S4c). The model explained 39% of the deviance and had an AUC score of 0.90.

Regime 5 was best predicted by depth (10.5%, peaked at mid-depth), increased topographical complexity of the seafloor (10.1%) and lower variation of irradiance (9.5%) (figure 3*d*). It was also associated with higher levels of new development along the coastline (9%) and, to a lesser extent, increased catch from non-commercial shore spearfishing (6.9%). The most important interaction involved variation of irradiance and observed presence of invasive algae (table 2). However, this result should be treated with caution owing to the scarcity of data and binary nature (i.e. presence only) of the invasive algae predictor. The second interaction was weaker and displayed a greater effect of recreational boat fishing with increasing depth (table 2; electronic supplementary material, figure S4d). The model explained 41% of the deviance and had an AUC score of 0.91.

(c) Cross-scale patterns

Repeating the analysis at multiple standardized grain sizes (i.e. 500, 1000, 1500, 2500 and 4000 m) yielded largely similar results to the ones described above for all four regimes in terms of influential predictors and shape of the relationships. There was no significant difference across grain sizes with regard to model performance, or relative contribution of anthropogenic versus biophysical variables (electronic supplementary material, figure S5).

4. Discussion

Identifying the underlying drivers of different coral reef ecosystem regimes has great value for managers seeking viable strategies to avoid, or reverse, regime shifts. Drawing on an unprecedented compilation of data, this study presents, to our knowledge, the first attempt at quantifying the relative importance of anthropogenic and biophysical drivers in predicting reef ecosystems defined by both fish and benthic communities. As such, it offers novel insights into coral reef dynamics that can inform management strategies, as well as a promising analytical approach that might be applied in other ecosystems. Our findings provide empirical evidence that dealing with alternative regimes is inherently a social–ecological issue and that designing effective management interventions requires both focusing on prominent human drivers while accounting for the natural bounds set by the local biophysical environment.

The overwhelming influence of biophysical predictors in explaining the occurrence of three out of four regimes is striking. Only the most degraded regime, characterized by low fish biomass, few corals and high turf cover, was primarily predicted by anthropogenic variables (i.e. fishing and effluent). This confirms a large body of literature highlighting the detrimental effects of high fishing pressure and effluent discharge on reef ecosystems [31,32]. Studies have shown that fishing can disrupt coral reef trophic structures [33,34] and pave the way for algae to overgrow corals by removing key herbivores that would otherwise provide top-down algal control [10]. Similarly, excess nutrient delivery associated with local human populations has repeatedly been attributed to promoting the competitive abilities of algae [32,35], in particular turf algae [36].

Our findings also highlight the critical role of wave power and suggest that it drives the occurrence of a specific regime (i.e. regime 2), characterized by exposed sites with high fish biomass but limited coral cover. By contrast, regime 3, which displays substantial coral cover, occurs most commonly in sheltered environments with small pulses of chlorophyll-*a* in an otherwise rather oligotrophic background. This could illustrate how a pulsed delivery of oceanic-derived nutrients from physical processes such as internal waves or current-driven upwelling [37,38] may benefit corals on oligotrophic reefs by increasing ecosystem primary production and the energy available for coral growth [39].

Depth and complexity appeared almost systematically among the five most influential predictors, regardless of regime type. Both variables have been identified as key features influencing the structure of reef communities and offering potential for recovery from disturbances (electronic supplementary material, table S3). Areas with complex reef structure, for instance, provide refuge from predation and often harbour higher fish abundance and diversity [40]. While the most degraded regime (i.e. regime 1) was associated with very low complexity, the occurrence of regime 5, which supports diverse fish assemblages and high coral cover, peaked at mid-depth and increased with higher complexity. Depth and complexity also emerged as prominent interacting predictors, either weakening the effect of waves and favouring recreational boat fishing at deeper depths, or magnifying the impact of commercial fishing at low complexity. Our findings emphasize the value of these simple yet critical features in the management evaluation of a reef's resilience and clarify the mechanisms by which they can synergistically interact.

Defining ecological regimes allows capture of a considerable level of complexity of reef ecosystems [8,12]. The approach is also particularly appealing to managers who are often interested in the status of the reef as a whole, rather than its individual components. Yet, the descriptive advantage gained when merging multiple response variables may be counteracted by a reduction in the power to predict

their occurrence, especially considering that species often exhibit individual and distinct responses to their surroundings. For instance, Gove et al. [16] improved model performance fivefold when moving from predicting the spatial variation in overall hard coral cover (11% deviance explained), to modelling the distribution of individual hard coral morphologies that show differential susceptibility to wave stress (55% deviance explained). While the regimes allow us to account for reciprocity between fish and benthic functional groups, they form a complex response variable made of organisms characterized by a wide range of attributes (e.g. slow versus fast growing, mobile versus sessile). Despite such heterogeneity, our models were able to consistently explain around 40% of the cross-validated deviance with high AUC values, thereby providing robust explanatory predictions of the mechanistic dynamics underlying ecological regimes.

Although different reef regimes were explained by a broad range of anthropogenic and biophysical variables, the particularly strong influence of the latter warrants further consideration. First, it may be specific to Hawaiian reefs. The archipelago is one of the most isolated in the world, is located at subtropical latitudes and experiences large oceanic forcings [18,19]. Some regimes might therefore be shaped by powerful biophysical drivers that supersede any human influence. Second, our findings could relate to the spatial scale of the analysis. Understanding the influence of scale requires analysing two major components: grain and extent. Grain refers to the finest spatial resolution within a given dataset, while extent relates to the overall area encompassed by the study [41]. While we were able to control for different grain sizes, we could not satisfyingly subset the data and run the BRTs for finer geographical areas than the Hawaiian Islands (e.g. a stretch of coastline) owing to sample size. This can obscure the relative importance of anthropogenic predictors that are likely to operate at the local level rather than at the regionallevel, such as high sedimentation in an embayment [42]. Whether a stronger anthropogenic signature would emerge at finer scales of analysis, therefore, represents an important next step for future work that could better inform local community management. Finally, disentangling what represents anthropogenic and biophysical predictors can be difficult in an epoch where humans have become a dominant force in nature [43,44]. Rising seawater temperature, for instance, is profoundly influenced by human emissions of carbon dioxide into the atmosphere [45]. Similarly, nearshore chlorophyll-a, used here as a proxy for oceanic primary production [18], can also capture local aspects of water quality influenced by humans [38,46]. In addition, some biophysical conditions greatly influence anthropogenic impacts, such as large seasonal swell events preventing fishing activities, or flushing out sediment and effluent.

Coral reef managers are often faced with the challenge of where to allocate their limited resources and what management options to prioritize. Recent studies have shown the potential of fisheries regulations to facilitate reef recovery [47,48] and balance conservation objectives with stakeholders' interests [49]. Yet, less than one per cent of the coastline in the Hawaiian Islands is currently under no-take marine protected areas [50] and no licence is required for marine recreational fishing across the archipelago, although non-commercial catch has been estimated to be five times larger than commercial catch [51]. A growing tourism-based economy and planned development of new homes also have the potential to exacerbate pollution and runoff [52]. While our results provide additional evidence that addressing fishing pressure and water quality is critical to avoid degraded reef regimes, they also highlight which biophysical drivers need to be accounted for in a given location. There is little managers can do about broad-scale biophysical drivers, but understanding how environmental conditions shape coral reef regimes can help inform management strategies and identify priority areas. Importantly, our study provides the first step towards predicting the outcome of alternative management actions. By taking our results and turning them around for use in a forwardthinking model, future work should explore where change in a particular variable (or combination of variables) gives the quickest transition into a more desirable state. Such analysis would help identify where undesirable regimes may be naturally occurring and, otherwise, determine the most cost-effective management actions given a reef's natural setting.

In the wake of the 2014–2016 coral bleaching event, the State of Hawai'i pledged to effectively manage 30 per cent of its nearshore waters by 2030. Our analyses, together with our publicly available database, represent valuable resources to assist managers and policy-makers in this process. Ultimately, however, addressing the challenges coral reefs are facing globally will also require identifying distal drivers of change (e.g. trade, climate change) and recognizing that leverage may lie far away from the reef [43,53]. Only through a combination of local and global management interventions, can we ensure coral reefs continue to provide the ecosystem services upon which so many people rely.

Data accessibility. Data are available from GitHub (https://github.com/ JBjouffray/Hawaii_RegimesPredictors) and PacIOOS (http://www. pacioos.hawaii.edu/projects/oceantippingpoints/#data).

Authors' contributions. All authors participated in the design of the study. L.M.W., M.K.D., A.M.F., J.M.G., J.L. and I.D.W. collected and processed data. J.-B.J. coordinated the study, analysed data and wrote the manuscript, with inputs from L.M.W., A.V.N., G.J.W. and M.N. All authors reviewed and revised the paper.

Competing interests. We declare we have no competing interests.

Funding. Mistra supported this research through a core grant to the Stockholm Resilience Centre. J.-B.J. was supported by the Erling-Persson Foundation and the Swedish Research Council Formas (project no. 2015-743). The study was part of the Ocean Tipping Points project, funded by the Gordon and Betty Moore Foundation (grant no. 2897.01) and the NOAA Coral Reef Conservation Program (grant no. NA14NOS4820098).

Acknowledgements. We thank individuals from the Hawai'i Division of Aquatic Resources for feedback on the results and management implications throughout the study. We thank C. Wall for insightful comments on the chlorophyll-*a* pattern.

References

 Norström A, Nyström M, Lokrantz J, Folke C. 2009 Alternative states on coral reefs: beyond coral – macroalgal phase shifts. *Mar. Ecol. Prog. Ser.* **376**, 295–306. (doi:10.3354/meps07815)

 Hughes TP, Graham NAJ, Jackson JBC, Mumby PJ, Steneck RS. 2010 Rising to the challenge of sustaining coral reef resilience. *Trends Ecol. Evol.* **25**, 633–642. (doi:10.1016/j.tree.2010.07.011)

- Hicks CC, Cinner JE. 2014 Social, institutional, and knowledge mechanisms mediate diverse ecosystem service benefits from coral reefs. *Proc. Natl Acad. Sci. USA* **111**, 17 791–17 796. (doi:10.1073/pnas. 1413473111)
- Graham NAJ, Bellwood DR, Cinner JE, Hughes TP, Norström AV, Nyström M. 2013 Managing resilience to reverse phase shifts in coral reefs. *Front. Ecol. Environ.* **11**, 541–548. (doi:10.1890/ 120305)
- Nyström M et al. 2012 Confronting feedbacks of degraded marine ecosystems. *Ecosystems* 15, 695–710. (doi:10.1007/s10021-012-9530-6)
- van de Leemput IA, Hughes TP, van Nes EH, Scheffer M. 2016 Multiple feedbacks and the prevalence of alternate stable states on coral reefs. *Coral Reefs* 35, 857–865. (doi:10.1007/s00338-016-1454-8)
- McCook LJ, Jompa J, Diaz-Pulido G. 2001 Competition between corals and algae on coral reefs: a review of evidence and mechanisms. *Coral Reefs* 19, 400–417. (doi:10.1007/s003380000129)
- Jouffray J-B, Nyström M, Norström AV, Williams ID, Wedding LM, Kittinger JN, Williams GJ. 2015 Identifying multiple coral reef regimes and their drivers across the Hawaiian archipelago. *Phil. Trans. R. Soc. B* **370**, 20130268. (doi:10.1098/rstb. 2013.0268)
- Smith JE *et al.* 2016 Re-evaluating the health of coral reef communities: baselines and evidence for human impacts across the central Pacific. *Proc. R. Soc. B* 283, 20151985. (doi:10.1098/rspb. 2015.1985)
- Hughes TP *et al.* 2007 Phase shifts, herbivory, and the resilience of coral reefs to climate change. *Curr. Biol.* **17**, 360–365. (doi:10.1016/j.cub.2006.12.049)
- Chong-Seng KM, Mannering TD, Pratchett MS, Bellwood DR, Graham NAJ. 2012 The influence of coral reef benthic condition on associated fish assemblages. *PLoS ONE* 7, e42167. (doi:10.1371/ journal.pone.0042167)
- Donovan MK *et al.* 2018 Combining fish and benthic communities into multiple regimes reveals complex reef dynamics. *Sci. Rep.* 8, 16943. (doi:10.1038/ s41598-018-35057-4)
- Ban SS, Graham NAJ, Connolly SR. 2014 Evidence for multiple stressor interactions and effects on coral reefs. *Glob. Chang. Biol.* **20**, 681–697. (doi:10. 1111/gcb.12453)
- Côté IM, Darling ES, Brown CJ. 2016 Interactions among ecosystem stressors and their importance in conservation. *Proc. R. Soc. B* 283, 20152592. (doi:10.1098/rspb.2015.2592)
- Williams GJ, Gove JM, Eynaud Y, Zgliczynski BJ, Sandin SA. 2015 Local human impacts decouple natural biophysical relationships on Pacific coral reefs. *Ecography* 38, 751–761. (doi:10.1111/ecog. 01353)
- Gove J, Williams G, McManus M, Clark S, Ehses J, Wedding L. 2015 Coral reef benthic regimes exhibit non-linear threshold responses to natural physical

drivers. *Mar. Ecol. Prog. Ser.* **522**, 33-48. (doi:10. 3354/meps11118)

- Heenan A, Hoey AS, Williams GJ, Williams ID. 2016 Natural bounds on herbivorous coral reef fishes. *Proc. R. Soc. B* 283, 20161716. (doi:10.1098/rspb. 2016.1716)
- Gove JM, Williams GJ, McManus MA, Heron SF, Sandin SA, Vetter OJ, Foley DG. 2013 Quantifying climatological ranges and anomalies for Pacific coral reef ecosystems. *PLoS ONE* 8, e61974. (doi:10.1371/ journal.pone.0061974)
- Friedlander A *et al.* 2005 The state of coral reef ecosystems of the Main Hawaiian Islands. In *The* state of coral reef ecosystems of the United States and Pacific freely associated states: 2005 (ed. JE Waddell), pp. 222–269. Silver Spring, MD: NOAA/National Centers for Coastal Ocean Science.
- Wedding LM *et al.* 2018 Advancing the integration of spatial data to map human and natural drivers on coral reefs. *PLoS ONE* **13**, e0189792. (doi:10. 1371/journal.pone.0189792)
- Kittinger JN, Finkbeiner EM, Glazier EW, Crowder LB.
 2012 Human dimensions of coral reef socialecological systems. *Ecol. Soc.* 17, 17. (doi:10.5751/ ES-05115-170417)
- R Core Team. 2016 *R: a language and environment for statistical computing*. Vienna, Austria: R Foundation for Statistical Computing. See https:// www.R-project.org/.
- Elith J, Leathwick JR, Hastie T. 2008 A working guide to boosted regression trees. J. Anim. Ecol. 77, 802-813. (doi:10.1111/j.1365-2656.2008.01390.x)
- Hijmans RJ, Phillips S, Leathwick J, Elith J. 2017 dismo: species distribution modeling. R package version 1.1–4. See https://CRAN.R-project.org/ package=dismo.
- Buston PM, Elith J. 2011 Determinants of reproductive success in dominant pairs of clownfish: a boosted regression tree analysis. J. Anim. Ecol. 80, 528-538. (doi:10.1111/j.1365-2656.2011.01803.x)
- 26. Hosmer DW, Lemeshow S. 2000 *Applied logistic regression*, 2nd edn. New York, NY: John Wiley.
- Diniz-Filho JAF, Bini LM, Hawkins BA. 2003 Spatial autocorrelation and red herrings in geographical ecology. *Glob. Ecol. Biogeogr.* 12, 53–64. (doi:10. 1046/j.1466-822X.2003.00322.x)
- Friedman JH, Meulman JJ. 2003 Multiple additive regression trees with application in epidemiology. *Stat. Med.* 22, 1365–1381.
- Müller D, Leitão PJ, Sikor T. 2013 Comparing the determinants of cropland abandonment in Albania and Romania using boosted regression trees. *Agric. Syst.* **117**, 66–77. (doi:10.1016/j.agsy.2012.12. 010)
- Pinsky ML, Byler D. 2015 Fishing, fast growth and climate variability increase the risk of collapse. *Proc. R. Soc. B* 282, 20151053. (doi:10.1098/rspb. 2015.1053)
- Jackson JBC *et al.* 2001 Historical overfishing and the recent collapse of coastal ecosystems. *Science* 293, 629–637. (doi:10.1126/science.1059199)
- 32. Fabricius KE. 2005 Effects of terrestrial runoff on the ecology of corals and coral reefs: review and

synthesis. *Mar. Pollut. Bull.* **50**, 125–146. (doi:10. 1016/j.marpolbul.2004.11.028)

- Graham NAJ, McClanahan TR, MacNeil MA, Wilson SK, Cinner JE, Huchery C, Holmes TH. 2017 Human disruption of coral reef trophic structure. *Curr. Biol.* 27, 231–236. (doi:10.1016/j.cub.2016.10.062)
- Friedlander AMA, DeMartini EEE. 2002 Contrasts in density, size, and biomass of reef fishes between the northwestern and the main Hawaiian islands: the effects of fishing down apex predators. *Mar. Ecol. Prog. Ser.* 230, 253–264. (doi:10.3354/meps230253)
- McClanahan TR, Carreiro-Silva M, DiLorenzo M. 2007 Effect of nitrogen, phosphorous, and their interaction on coral reef algal succession in Glover's Reef, Belize. *Mar. Pollut. Bull.* 54, 1947–1957. (doi:10.1016/j.marpolbul.2007.09.023)
- Barott KL, Williams GJ, Vermeij MJA, Harris J, Smith JE, Rohwer FL, Sandin SA. 2012 Natural history of coral-algae competition across a gradient of human activity in the Line Islands. *Mar. Ecol. Prog. Ser.* 460, 1–12. (doi:10.3354/meps09874)
- Stuhldreier I, Sánchez-Noguera C, Roth F, Cortés J, Rixen T, Wild C. 2015 Upwelling increases net primary production of corals and reef-wide gross primary production along the Pacific Coast of Costa Rica. *Front. Mar. Sci.* 2, 113. (doi:10.3389/fmars. 2015.00113)
- Gove JM *et al.* 2016 Near-island biological hotspots in barren ocean basins. *Nat. Commun.* 7, 1–8. (doi:10.1038/ncomms10581)
- Fox MD, Williams GJ, Johnson MD, Radice VZ, Zgliczynski BJ, Kelly ELAA, Rohwer FL, Sandin SA, Smith JE. 2018 Gradients in primary production predict trophic strategies of mixotrophic corals across spatial scales. *Curr. Biol.* 28, 3355–3363. (doi:10.1016/j.cub.2018.08.057)
- Rogers A, Blanchard JL, Mumby PJ. 2014 Vulnerability of coral reef fisheries to a loss of structural complexity. *Curr. Biol.* 24, 1000–1005. (doi:10.1016/j.cub.2014.03.026)
- 41. Wiens JA. 1989 Spatial scaling in ecology. *Funct. Ecol.* **3**, 385–397.
- 42. Teneva LT *et al.* 2016 Understanding reef flat sediment regimes and hydrodynamics can inform erosion mitigation on land. *Collabra* **2**, 1–12.
- Norström AV, Nyström M, Jouffray J-B, Folke C, Graham NAJ, Moberg F, Olsson P, Williams GJ. 2016 Guiding coral reef futures in the Anthropocene. *Front. Ecol. Environ.* 14, 490–498. (doi:10.1002/fee. 1427)
- Williams GJ, Graham NAJ, Jouffray J-B, Norström AV, Nyström M, Gove JM, Heenan A, Wedding LM. In press. Coral reef ecology in the Anthropocene. *Funct. Ecol.* (doi:10.1111/1365-2435.13290)
- Hughes TP *et al.* 2017 Global warming and recurrent mass bleaching of corals. *Nature* 543, 373–377. (doi:10.1038/nature21707)
- De'ath G, Fabricius K. 2010 Water quality as a regional driver of coral biodiversity and macroalgae on the Great Barrier Reef. *Ecol. Appl.* 20, 840–850. (doi: 10.1890/08-2023.1)
- 47. Williams ID, White DJ, Sparks RT, Lino KC, Zamzow JP, Kelly ELA, Ramey HL. 2016 Responses of

9

herbivorous fishes and benthos to 6 years of protection at the Kahekili Herbivore Fisheries Management Area, Maui. *PLoS ONE* **11**, e0159100. (doi:10.1371/journal.pone.0159100)

- Gorospe KDKD, Donahue MJMJ, Heenan A, Gove JM, Williams ID, Brainard RERE. 2018 Local biomass baselines and the recovery potential for Hawaiian coral reef fish communities. *Front. Mar. Sci.* 5, 1–13. (doi:10.3389/fmars.2018.00162)
- Weijerman M, Gove JM, Williams ID, Walsh WJ, Minton D, Polovina JJ. 2018 Evaluating management strategies to optimise coral reef

ecosystem services. J. Appl. Ecol. 55, 1823-1833. (doi:10.1111/1365-2664.13105)

- Friedlander AM, Stamoulis KA, Kittinger JN, Drazen JC, Tissot BN. 2014 Understanding the scale of marine protection in Hawai'i: from community-based management to the remote northwestern Hawaiian Islands. *Adv. Mar. Biol.* 69, 153 – 203. (doi:10.1016/B978-0-12-800214-8. 00005-0)
- McCoy KS, Williams ID, Friedlander AM, Ma H, Teneva L, Kittinger JN. 2018 Estimating nearshore coral reef-associated fisheries production from the

main Hawaiian Islands. *PLoS ONE* **13**, e0195840. (doi:10.1371/journal.pone.0195840)

- Oleson KLL, Falinski KA, Lecky J, Rowe C, Kappel CV, Selkoe KA, White C. 2017 Upstream solutions to coral reef conservation: the payoffs of smart and cooperative decision-making. *J. Environ. Manage.* **191**, 8–18. (doi:10.1016/j.jenvman. 2016.12.067)
- Hicks CC, Crowder LB, Graham NA, Kittinger JN, Cornu E Le. 2016 Social drivers forewarn of marine regime shifts. *Front. Ecol. Environ.* 14, 252–260. (doi:10.1002/FEE.1284)

10