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Nano-sized and filterable Bacteria and Archaea: Biodiversity and Function

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Abstract

Nano-sized and filterable microorganisms are thought to represent the smallest living organisms on earth and are characterized by their small size (50-400 nm) and their ability to physically pass through <0.45 µm pore size filters. They appear to be ubiquitous in the biosphere and are present at high abundance across a diverse range of habitats including oceans, rivers, soils and subterranean bedrock. Small-sized organisms are detected by culture-independent and culture-dependent approaches, with most remaining uncultured and uncharacterized at both metabolic and taxonomic levels. Consequently, their significance in ecological roles remain largely unknown. Successful isolation, however, has been achieved for some species (e.g. *Nanoarchaeum equitans* and “*Candidatus Pelagibacter ubique*”). In many instances, small-sized organisms exhibit a significant genome reduction and loss of essential metabolic pathways required for a free-living lifestyle, making their survival reliant on other microbial community members. In these cases, the nano-sized prokaryotes can only be co-cultured with their ‘hosts’. This paper analyses the recent data on small-sized microorganisms in the context of their taxonomic diversity and potential functions in the environment.

34 **1 Introduction**

35 Recent technological advances in microbiology have helped to reveal the enormous diversity
36 of prokaryotic life on our planet (Caporaso et al., 2011; Kuczynski et al., 2010; Thompson et
37 al., 2017). While this has enabled us to characterize and map prokaryote populations across a
38 diverse array of ecosystems, the functional role of most of these organisms remains unknown,
39 due to our inability to culture, and study them in the laboratory. Nevertheless, using culture-
40 independent approaches, e.g. metagenomics, many new candidate taxa that include nano-sized
41 and filterable organisms have been discovered.

42 Nano-sized microorganisms are termed ‘ultra-micro bacteria’, ‘ultra-micro cells’,
43 ‘dwarf cells’, ‘ultra-small bacteria’, ‘nanoorganisms’, ‘nanobacteria’, nanoarchaea and
44 ‘nanobes’ (Velimirov, 2001; Baker et al., 2010; Duda et al., 2012). The term nanoarchaea only
45 relates to the phylum *Nanoarchaeota* (Huber et al., 2002), although it is commonly erroneously
46 used within the literature. The exact definition of these terms is widely debated and no clear
47 set of guidelines currently exists, however, it is considered that the microorganism must be in
48 the “nano-range” (i.e. 50 to 400 nm) in size. It should also be noted that in regards to aquatic
49 systems, these ultra-small-sized organisms are not part of nanoplankton (2.0-20 µm in size),
50 but instead reside in the picoplankton (0.2-2.0 µm) or femtoplankton (0.02-0.2 µm)
51 communities (Sieburth et al., 1978; Fenchel, 1982; Azam et al., 1983).

52 Previous studies have focused on detection of ultra-small-sized organisms in a wide
53 range of environmental conditions including: acid mine drainage settings (AMD) (Baker et al.,
54 2006), glacial ice (Miteva and Brenchley, 2005), permafrost (Suzina et al., 2015), freshwater
55 (Fedotova et al., 2012; Ma et al., 2016; Nakai et al., 2016), subterranean bedrock (Wu et al.,
56 2015), hypersaline lakes (Narasingarao et al., 2012), the open ocean (Venter et al., 2004;
57 Giovannoni et al., 2005; Glaubitz et al., 2013; Rogge et al., 2017), and the human body
58 (Kajander and Ciftcioglu, 1998; Kajander et al., 2003; He et al., 2015). The predictions from

59 genomic data from these environments suggest that there are many microorganisms that
60 contain small genomes and either are present as free-living organisms or form a symbiotic
61 relationship with other life forms, which adds another level of complexity to assess their
62 functional role in the environment.

63 As the review of Duda et al. (2012) discusses a number of issues related with
64 ultramicrobacteria, the aim of present review was to highlight the latest discoveries related to
65 (1) taxonomic diversity, (2) biogeography, (3) current experimental approaches to characterize
66 these organisms and (iv) potential role of ultra-small Bacteria and Archaea within a contrasting
67 range of environments.

68

69 **1.1 Overview of Terminology**

70 When considering ultra-small or nano-sized organisms, it is important to note the significance
71 of the terminology. There is no singular definition of what a nano-sized organism is (ultra-
72 small bacteria, ultra-micro bacteria, nanobes, nanoforms, ultramicrocells, etc.) and
73 consequently a variety of interpretations exists. Many of the terms are either synonymous, as
74 in the case of ultra-small and ultra-micro (Velimirov, 2001), or can be classified as separate
75 organisms, as in the case of nanobacterium and nanobe (Duda et al., 2012). Here we consider
76 three scenarios for their denotation (Fig. 1).

77 The first scenario that these microorganisms originated from known species, whose cell
78 size decreases over time due to either internal and/or external factors such as lack of nutrients
79 or ageing (Velimirov, 2001; Panikov, 2005; Duda et al., 2012). Such ability of bacteria and
80 archaea to change size in response to external stress is a well-studied phenomenon. For
81 example, under low nutrient conditions, *Staphylococcus aureus* reduced its size by 40%
82 (Watson et al., 1998; Chien et al., 2012), while the transfer of *Pseudomonas syringiae* from
83 laboratory culture media to plant leaves, induced the 50% reduction in cell size (Monier and

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84 Lindow, 2003). This size reduction is an attribute of dwarf cells, midget cells, ultra-small,
85 ultramicro (Velimirov, 2001; Duda et al., 2012). For these cases, we advocate for the term
86 ‘ultramicrocells’ *sensu* Duda et al. (2012).

87 The second scenario conjunctures that some distinct taxa, independently of growth
88 conditions, nutrients’ availability or age of their culture do constantly exhibit small cell sizes.
89 One source describes these organisms in the following way: the microorganisms must be 0.1
90 μm^3 or smaller ($<0.05\text{-}0.40 \mu\text{m}$ in diameter); the size must stay consistent under environmental
91 stressors and life cycles; and finally, its genome size must be within the range 0.58 Mbp to 3.2
92 Mbp (Duda et al., 2012). Under this definition, nano-sized microorganisms are associated with
93 terms like ultra-small, ultramicronanoarchaea, nanoforms, nanoorganisms, and nanobacteria
94 (Schut et al., 1995; Kajander and Ciftcioglu, 1998; Velimirov, 2001; Huber et al., 2002; Miteva
95 and Brenchley, 2005; Panikov, 2005; Comolli et al., 2009; Duda et al., 2012; Fedotova et al.,
96 2012; Luef et al., 2015; Giovannoni, 2017; Rogge et al., 2017). However, many standard-sized
97 microorganisms (i.e. cell volumes $>0.1 \mu\text{m}^3$) also possess small genomes (1.5-2.0 Mbp) and
98 would therefore fall into the ‘ultra-small’ category if based on these criteria alone.

99 The third scenario are microorganisms that have the ability to pass through membrane filter
100 pores with small diameters (0.45 or 0.22 μm) despite having larger cell sizes (above the
101 dimensions of 50-400 nm previously mentioned) (reviewed in Duda et al., 2012). This is often
102 due to the absence of a rigid cell wall, which allows these microorganisms to effectively
103 squeeze through small pores and as a result are commonly confused with nano-sized or
104 ultramicro-sized. ‘Filterable’ microorganisms is the most appropriate term to define such
105 microorganisms.

106 In this review, a unified definition for nano-sized organisms is proposed. We define them
107 as microorganisms that exhibit constant dimensions of 50-400 nm (volume $\leq 0.1 \mu\text{m}^3$). All
108 microorganisms with synonymous names that fall under the definition provided are considered

109 nano-sized organisms. Viruses and prions, which are smaller than 50 nm in size, are not
110 considered to be living organisms (Fig. 2; Table 1). In aquatic systems, nano-sized organisms
111 are a part of the picoplankton and femtoplankton communities, along with viruses (Venter et
112 al., 2004; Tringe et al., 2005; Sieburth et al., 1978; Salcher, 2014).

113

114 **2 Microbial adaptations**

115 In the natural environments microorganisms use an arsenal of mechanisms to cope with, and
116 adapt to, constantly changing physio-chemical conditions, through changes in their gene
117 expression profile, physiology and morphology (Schulz and Jørgensen, 2001; Chien et al.,
118 2012). Here we highlight various survival strategies in prokaryotes, knowledge of which may
119 stimulate future discoveries pertaining to small-sized organisms.

120

121 **2.1 Extremely small size**

122 In general, microorganisms do not fit into one standard model of size or shape (morphology)
123 due to the impact environmental stressors (Young, 2006; Chien et al., 2012; Cesar et al., 2015;
124 Lever et al., 2016). The efficiency of nutrients' uptake is dependent on organism size and the
125 number of transporter systems on its surface (Button et al., 1998). Hence, in the case of cell
126 size reduction, the surface area-to-volume ratio tends to increase (Fig. 2). This, however, does
127 not imply that the percentage of genes encoding membrane-bound proteins in genomes is
128 higher in organisms with a larger surface area-to-volume ratio (Stevens and Arkin, 2000) (Fig.
129 2).

130 Under conditions of starvation and energy limitations, microorganisms can drastically
131 decrease in size, alter cellular morphology and motility to increase survivability (Torrella and
132 Morita, 1981; Cesar and Huang, 2017; Lever et al., 2015). For example, in low organic
133 phosphate conditions, *Caulobacter* spp. increase their surface area to volume ratio by growing

134 a prosthecae, stalk-like protrusions, in order to enhance organic phosphate uptake (Wagner et
135 al., 2006; Lever et al., 2015). Another example is the species *Sphingomonas alaskensis*, which
136 also undergoes morphological changes in response to the fluctuations in nutrients availability.
137 In its natural pelagic environment its body size is quite small (diameter 0.2-0.5 μm ; length 0.5-
138 3 μm) yet when grown on nutrient rich trypticase soy agar medium it increases in both diameter
139 and length (diameter 0.8; length 2-3 μm) (Vancanneyt et al., 2001; Lever et al., 2015).

140

141 **2.2 Lifestyle: free-living vs symbionts**

142 Nano-sized organisms are thought to contain genomes coding for a very limited number of
143 functions and pathways, which is a characteristic commonly associated with symbionts,
144 however, nano-sized organisms do also exist in a free-living state. Generally, symbionts do not
145 have the means for their existence without relying on essential metabolites provided by the
146 host. However, these organisms do thrive probably due to their highly specialized and unique
147 functions which allows the host to be more competitive (McCutcheon and Moran, 2011). For
148 instance, TM7 (“*Ca. Saccharibacteria*”) bacteria isolated from the human oral mucosa can
149 effectively conceal its host, *Actinomyces odontolyticus* subsp. *actinosynbacter* XH001, from
150 the human immune system response (He et al, 2015; further discussion in the section “ TM7
151 bacteria or ‘*Candidiatus Saccharibacteria*’).

152

153 **2.3 Oligotrophy and Copiotrophy**

154 Oligotrophs also known as K-strategists, are organisms that prefer low-nutrient environments
155 (Schut et al., 1997; Panikov, 2005; Torsvik and Øvreås, 2008). One of the most well-
156 characterized oligotrophic environments is the open ocean, which encompasses 90% of the
157 biosphere (i.e. the sum of all the ecosystems) (Schut et al., 1997; Hansell et al., 2009). In this
158 environment, many essential nutrients are only present in very low concentrations: iron at 0.2-

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159 1.38 nmol kg⁻¹, nitrate at 1.04 μmol kg⁻¹, phosphate at 0.074 μmol kg⁻¹, silicate at 3.2 μmol
160 kg⁻¹, dissolved inorganic carbon at 11 μmol kg⁻¹, and dissolved organic carbon at 40-80 μmol
161 kg⁻¹ (Johnson et al., 1997; Roshan and DeVries, 2017; Sauzède et al., 2017; Tagliabue et al.,
162 2017), which makes it difficult to mimic such conditions and obtain a detectable growth of
163 these microorganisms *in vitro*. At such low concentrations of nutrients microorganisms lower
164 their metabolic rates and become less capable of forming aggregates (i.e. colonies), as seen in
165 many pelagic organisms, such as SUP05 group bacteria and in “*Ca. Pelagibacter ubique*” (see
166 references below in the sections ‘SUP05 group’ and “*Ca. Pelagibacter ubique*”). Overall,
167 oligotrophs are characterized by small cell sizes, which are more advantageous in low nutrients
168 conditions. The correlation between oligotrophy and diminutive size appears almost
169 ubiquitously (Giovannoni et al., 2014), however, few studies have detected ultra-small-sized
170 microorganisms in high-nutrient systems, such as eutrophic aquifers or the human oral cavity
171 (Luef et al., 2015; He et al., 2015).

172 Copiotrophs or R-strategists, are active, fast-growing with larger cell body sizes, usually
173 motile organisms well-suited to nutrient-rich environments; they represent the majority of
174 bacteria and archaea cultured up to date (Dang and Lovell, 2016; Giovannoni, 2017). Despite
175 being easy to culture, copiotrophs appear as rarer taxa in natural environments. They take
176 advantage of sporadic high nutrients concentrations which in turn may transiently cause a rapid
177 population growth (Vergin et al., 2013; Dang and Lovell, 2016). It is thought that copiotrophs
178 are not nano-sized organisms as an increased surface area-to-volume ratio is not necessarily
179 advantageous in nutrient-rich environments (Martínez-Cano et al., 2015). However,
180 copiotrophic bacteria also tend to reduce their sizes as a response to starvation conditions in an
181 attempt to increase their surface area-to-volume ratio, as in the case of *S. aureus* (40% reduction
182 in size) and *P. syringae* (50% reduction in size) (Watson et al., 1998; Monier and Lindow,
183 2003).

184

185 3 Characterization

186 Due to the constraints in accurately mimicking environmental settings *in vitro*, the cultivation
187 of small organisms is often problematic and represents a main bottleneck in the process of their
188 phenotypic characterization. In order to predict functional traits of nano-sized microorganisms
189 as a part of the microbial community, culture-independent techniques are currently employed
190 as primary approaches, as stand-alone or combinations of approaches: metagenome
191 sequencing, flow-cytometry and fluorescence microscopy. Below is a brief overview of some
192 culture-independent techniques and the challenges that arise when attempting to isolate nano-
193 sized microorganisms.

194

195 3.1 Metagenomics

196 As indicated above, metagenomics has played a central role in attempts to detect small-sized
197 and filterable organisms and elucidate their functions. In turn, the isolation and characterization
198 of nano-sized organisms has yielded, and to some extent, validated new genomic data (Huber
199 et al., 2002; Giovannoni et al., 2005). In many of the large-scale metagenomics studies, the
200 significant proportion of assembled genomes exhibited small sizes (Rappé et al., 2002; Venter
201 et al., 2004). In particular, an in-depth investigation of the SAR11 clade led to the discovery of
202 “*Ca. Pelagibacter ubique*”, a ubiquitous and predominant marine bacterium (Giovannoni,
203 2017; Zhao et al., 2017) . Also, microbial communities in the deep biosphere proved to be
204 more diverse than previously anticipated, with a plethora of miniature cells with small genomes
205 (Wu et al., 2015). Finally, hypersaline lakes, a good model for extreme habitats, were found to
206 contain filterable cells, about 0.6 μm in diameter, that were termed “*Ca. Nanohaloarchaeota*”
207 (Narasimarao et al., 2012). This study was in large facilitated by a more targeted sample
208 preparation (filtration) procedure and *de novo* sequencing approach. However, we must note

209 that small genomes and the ability to pass via 0.1, 0.22, and 0.45 μm pore-size filters are not
210 necessarily the evidence of small sizes of microorganisms (i.e. filterable microorganisms), for
211 instance, the symbiont “*Ca. Tremblya princeps*” has an extremely reduced genome of 0.13
212 Mbp, yet, examination by microscopy showed its length to be ca. 2.3 μm (McCutcheon and
213 Moran, 2011).

214

215 **3.2 Flow cytometry and FACS cell sorting**

216 The further culture-independent techniques, flow cytometry (Gasol and Morán, 1999; Miteva
217 and Brenchley, 2005; Wang et al., 2007; Neuenschwander et al., 2015) and fluorescence in
218 situ hybridization (FISH) (Glaubitz et al., 2013; Neuenschwander et al., 2015; Munson-
219 McGee et al., 2015;) have been widely used to study microbial populations in their natural
220 environments. In combination with fluorescence probes targeting SSU rRNA or
221 immunolabelling cellular proteins, this approach allows quantification of a certain taxonomic
222 group of microorganisms (Neuenschwander et al., 2015). Combining FISH/CARD-FISH
223 (Fluorescence In Situ Hybridization/Catalyzed Reporter Deposition-Fluorescence In Situ
224 Hybridization) and flow cytometry (also known as 2C-FISH) allowed for sorting and
225 obtaining relatively pure populations of microorganisms, as it was the case of LD12 clade of
226 ultramicrobacteria from freshwater. These ultramicrobacteria were known to be very difficult
227 to isolate and characterize due to their small genomes and hence limited metabolic
228 repertoires, cell sorting was therefore the crucial starting point for their subsequent genomic
229 studies (Salcher et al., 2013; Neuenschwander et al., 2015). Although improvements in
230 individual techniques were achieved in this study, the methodology of sample preparation is
231 still tedious and time-consuming with relatively limited yields of cells (Neuenschwander et
232 al., 2015). Whatever the case, the applications of cell sorting have been successful in
233 resolving a number of “single-cell-genomes” (Ishoey et al., 2008; Probst et al., 2018).

234

235 **3.3 Isolation of nano-sized microorganisms**

236 Although isolation is an essential step in characterizing organisms, it is often overlooked and
237 traditional approaches to culture them frequently prove unsuccessful. Many of the studies
238 presented in this review employed filtering through 0.1-1.2 µm pore size filters to facilitate
239 enrichment and isolation (Table 1). The exception to the filtration methodology was
240 *Nanoarchaeum equitans*, which was co-cultured with the host, *Ignicoccus hospitalis*, and then
241 separated out via centrifugation (Huber et al., 2002; Waters et al., 2003). Conversely, while the
242 target microorganisms may be small enough to pass through the membrane, certain larger
243 organisms can squeeze through pores, due to a lack of rigidity of their cells. Another example
244 of organisms squeezing through small-sized pores are archaea of families *Ferroplasmaceae*
245 (0.2-3 µm in diameter in average) and *Thermoplasmataceae* (0.5-3 µm in length and 0.2-0.5
246 µm thick), that can easily pass through a <0.45 µm pore filter due to the lack of a rigid cellular
247 envelope (Golyshina, 2014; Nagy et al., 2016).

248 In previous studies, along with ‘small-sized-organisms’, many other microorganisms
249 have been co-isolated (Venter et al., 2004; Tringe et al., 2005; Garza and Dutilh, 2015). An
250 extra level of authentication is therefore necessary to reliably confirm the existence and
251 metabolic function of these organisms, e.g. through an improvement in isolation and culturing
252 techniques. Small cell size is the only certainty related to nano-sized organisms that belong to
253 a range of taxa and do not share a common metabolism. For their characterization, a prior
254 genomic analysis of the source community is critical. This would allow the targeting e.g.
255 organism-specific surface proteins to enable FACS- or immunoprecipitation-based techniques
256 targeted organisms of interest.

257

258 **4 Nano-sized and filterable microorganisms**

259 Though the different characterization techniques as mentioned above, the story of ultra-small
260 microorganisms and our understanding of their ecosystem functioning is rapidly evolving.
261 Here, some of the major milestones are outlined in regards to successful isolation and
262 characterization of a variety of nano-sized organisms. Further, we have summarized the data
263 on various microorganisms covered in this section in Table 1 and Figure 3.

264

265 **4.1 Rise of the very small**

266 Although ultramicrobacteria have been known for a long time (Oppenheimer, 1952), the
267 subject laid dormant for a number of years. This was in part due to the limitations in
268 microbiological techniques, and the lack of knowledge of their physiology and metabolism.
269 That changed when McKay et al. (1996) first claimed their existence in Martian rocks. Not
270 only did this imply that life may exist on exoplanets, but it also challenged the ideas on lower
271 limit of size of a lifeform (McKay et al., 1996; Gibson et al., 2001). It was suggested that the
272 smallest free living organism must be in the spherical diameter range of 250-300 nm to properly
273 contain the 250-300 proteins essential to life (including the ribosomal proteins), although it
274 was also suggested that, theoretically, a primitive organism can be as small as 50 nm (Kajander
275 and Ciftcioglu, 1998). This was similar to an earlier study by Mushegian and Koonin (1996)
276 who hypothesized that the minimal number of genes required for life ranges between ca. 250-
277 450, however, there was no consensus on the number of ribosomal proteins that were actually
278 needed. Importantly, it was never established in the McKay et al. (1996) study whether these
279 nano-scale objects were free-living organisms, nor was it confirmed that these objects were
280 living at all.

281

282 **4.2 *Nanoarchaeum equitans***

283 Huber et al. (2002) found that a new archaeal species, *Ignicoccus hospitalis*, isolated from hot
284 submarine vents, had in its culture a companion of a small cell size. The new phylum
285 *Nanoarchaeota* and corresponding species *Nanoarchaeum equitans* were described as the first
286 nano-sized archaea. The genome analysis revealed that it contained a chromosome of only 0.5
287 Mbp (Huber et al., 2002), while electron and fluorescence microscopy suggested that the cells
288 of *N. equitans* were ca. 400 nm in diameter and were attached to the cell surface of its host, *I.*
289 *hospitalis*. Further, it was shown that *N. equitans* was incapable of growing without its host,
290 which in contrary neither benefited or was impaired by *N. equitans* (Huber et al., 2002; Jahn et
291 al., 2008). The inability of *N. equitans* to survive without its host is reflected in its small
292 streamlined genome, which was a result of massive gene losses (Huber et al., 2002) including
293 those for key biosynthetic pathways for vitamins, cofactors and amino acids (Torrella and
294 Morita, 1981; Mushegian and Koonin, 1996; McCutcheon and Moran, 2011).

295

296 **4.3 “ARMAN” cells**

297 “ARMAN” (Archaeal Richmond Mine Acidophilic Nanoorganism) were first detected through
298 *de novo* shotgun sequencing of aqueous sample obtained from an acid mine drainage (AMD)
299 system and not through standard PCR-based surveys (Baker et al., 2006). Subsequent cryo-
300 TEM analysis revealed an accumulation of filterable cells that were 0.03 μm^3 in volume with
301 clearly defined cell walls (Comolli et al., 2009). “ARMAN” cells were initially considered
302 free-living, possibly slow-growing, organisms possessing some intracellular tubular structures
303 (Comolli et al., 2009), however, later on, their ability to free-living lifestyle was questioned
304 (Comolli and Banfield, 2014).

305 According to the metagenome analysis with almost fully assembled “ARMAN”
306 genomes of ca. 1 Mbp in size and proteomics, these organisms contain a rather unique set of
307 genes with 45% of the genes failing to match to a known biological function, while 63% of the

308 proteins identified could not be assigned to known archaeal protein families (Baker et al.,
309 2010). Due to the small sizes of their genomes, it was assumed that “ARMAN” cells are
310 certainly dependent on other community members, being either symbionts or commensals
311 (Baker et al., 2010).

312 Cultivation of an “ARMAN”-related organism, ‘*Ca. Mancarchaeum acidiphilum*’
313 Mia14 revealed that it was dependent on its host, euryarchaeon *Cuniculiplasma divulgatum*
314 (Golyshina et al., 2017). As in the above examples, Mia14 underwent streamlining of its
315 genome (0.95 Mbp) due to the massive gene loss. Similarly, it exhibits significant voids in its
316 biosynthesis of amino acids, CoA, NAD and NADP, vitamins and heme. Additionally, its
317 central metabolism lacks glycolysis and gluconeogenesis, pentose phosphate pathway and
318 tricarboxylic acid cycle (Golyshina et al., 2017). Interestingly, Mia14 cell sizes were only
319 marginally smaller than *Cuniculiplasma* cells, which were 0.1 to 2 µm in size (Golyshina et al.,
320 2016).

321

322 **4.4 Other Archaea**

323 “*Candidatus Nanobsidianus stetteri*” Nst1, a member of phylum *Nanoarchaeota* was first
324 reported after the single-cell isolation alongside its host from the order *Sulfolobales* (phylum
325 *Crenarchaeota*) by Podar et al. (2013). Unlike *N. equitans*, which is associated with a single
326 host species, *I. hospitalis*, “*Ca. N. stetteri*” can use a multitude of *Sulfolobales* species as hosts.
327 Its genome was ca. 20% larger than that of *N. equitans* and possessed a complete
328 gluconeogenesis pathway (Podar et al., 2013; Munson-McGee et al., 2015). The genome
329 analysis also indicated that “*Ca. N. stetteri*” genome coded for cellular functions previously
330 not associated with the *Nanoarchaeota* taxon; the study concluded that these archaea share a
331 common ancestor with *N. equitans* (Podar et al., 2013; Munson-McGee et al., 2015). Another
332 study (Munson-McGee et al., 2015) has partially resolved two further single-cell genomes of

333 “Nanobsidianus”-related archaea from Yellowstone hot springs and suggested their close
334 relatedness with “*Ca. N. stetteri*” Nst1, but pointed at their association with archaea of
335 “*Acidicryptum* spp.” of *Sulfolobales*. “*Ca. Nanopusillus acidilobi*” is another success story,
336 where this small-sized, reduced-genome archaeon was co-cultured with its host, *Acidilobus* sp.
337 A7 by Wurch et al. (2016). “*Ca. Nanopusillus acidilobi*” is a thermophilic ectosymbiont, much
338 like *N. equitans* and “*Ca. Nanobsidianus stetteri*”. This particular species is only marginally
339 smaller in body size than *N. equitans* (approximately 100-300 nm in diameter), both share
340 approximately 80% SSU rRNA gene sequence identity (and 97-98% with ‘*Ca. Nanobsidianus*
341 *stetteri*’), and exhibit much of the same functions as judged from genomic data (Wurch et al.,
342 2016). “*Ca. Nanopusillus acidilobi*” genome possesses no genes related to respiration, ATP
343 synthesis and cannot produce its own amino acids, lipids, nucleic acids, and co-factors.
344 Genomic data suggests that, like in its relative, “*Ca. N. stetteri*”, glycogen may serve as a
345 storage compound and facilitate its short-term energetic independence from the host (Wurch et
346 al., 2016). A high density of “*Ca. Nanopusillus acidilobi*” on the surface of its host *Acidilobus*
347 sp. 7A, deficiency of its genome in genes for central metabolic, biosynthetic and energy-
348 generating pathways suggest a commensal or ectoparasitic lifestyle of these nanoarchaea
349 (Wurch et al., 2016). Expression of flagellar proteins reported in proteomic data further
350 suggests that “*Ca. Nanopusillus acidilobi*” has the ability to migrate from one host to another
351 (Wurch et al., 2016).

352

353 **4.5 “*Ca. Pelagibacter ubique*”**

354 While the existence of oceanic ultramicrobacteria has been well documented, obtaining them
355 in a pure culture remained difficult. Earlier studies (Rappé et al., 2002; Morris et al., 2002)
356 revealed a very abundant clade of *Alphaproteobacteria*, SAR11, which makes up to 25% of
357 plankton in the open ocean and is represented by small-sized, simple-metabolism bacteria
358 (Giovannoni, 2017). Initially found in pelagic water sampled from the Sargasso sea, these

359 bacteria termed “*Ca. Pelagibacter ubique*” had genomes of approximately 1.3 Mbp and are
360 considered to be one of the smallest free living cell (Giovannoni, 2017; Zhao et al., 2017).
361 Their genomes contained the necessary gene sets for producing all 20 amino acids as well as
362 other essential biosynthetic pathways (Giovannoni et al., 2005; Carini et al., 2012). Subsequent
363 studies indicated that “*Ca. P. ubique*” required an unconventional medium, which was
364 composed of methionine, glycine, pyruvate, and artificial seawater (Carini et al., 2012).

365 It was also found that “*Ca. P. ubique*” had a rather unique metabolism because of its
366 ability to use glycolate instead of glycine at low glycine concentrations. Glycolate can be used
367 in glycine biosynthesis through glyoxylate amination, with the glycine consequently being used
368 for serine biosynthesis (Carini et al., 2012; Tripp, 2013). The glycolate to serine pathways are
369 regulated by two glycine riboswitches, the first of which controlling the glyoxylate to glycine
370 biosynthesis and the second regulating the glycine to serine biosynthesis. At low glycine
371 concentrations, the first riboswitch is turned on to produce more glycine (Tripp, 2013). When
372 there are ample amounts of glycine in the cell, the first riboswitch turns off the glycine
373 biosynthesis and the second riboswitch induces the conversion of glycine to serine. The ability
374 to use glycolate instead of glycine to further create serine may be an evolutionary response to
375 relative excesses of glycolate formed by phytoplankton in carbon limited conditions (Carini et
376 al., 2012). As a free-living organism, “*Ca. P. ubique*” has the ability to adapt to changing
377 conditions fairly well despite having a streamlined genome. It also challenged the previous
378 assumption that small genome sizes were restricted to symbiotic organisms (Huber et al., 2002;
379 Giovannoni, 2017).

380

381 **4.6 SUP05 group**

382 Oxygen-depleted zone in pelagic systems with dissolved oxygen concentrations below 60
383 $\mu\text{mol kg}^{-1}$ present a unique challenge to organisms moving through the transition zone from

384 high to low nutrient availability (Glaubitx et al., 2013; Rogge et al., 2017). According to cell
385 counts from flow cytometry, SUP05 bacteria are a common bacterioplankton component in
386 depleted oxygen zones (Glaubitx et al., 2013; Rogge et al., 2017). As chemolithoautotrophic
387 organisms, they metabolize sulfur compounds and play a key role in the carbon, sulfur and
388 nitrogen cycles to facilitate life in the redoxclines across the globe (Glaubitx et al., 2013;
389 Rogge et al., 2017; Shah et al., 2017). They have the ability to carry out denitrification and
390 uptake carbon dioxide in pelagic low oxygen zones, which is supported by genomic
391 predictions, radioisotopic data and cultivation attempts (Glaubitx et al., 2013; Rogge et al.,
392 2017; Shah et al., 2017). Cultivation attempts of one of the members of the SUP05 group,
393 “*Candidatus Thioglobus autotrophicus*”, revealed the utilization of ammonium under
394 anaerobic conditions and nitrite production (Shah et al., 2017). Studies on the SUP05 group
395 have suggested cellular volumes ranging within 0.01-0.09 μm^3 and a genome of 1.164-1.53
396 Mbp, which indicates that these bacteria have undergone streamlining in their evolutionary
397 past, much like “*Ca. P. ubique*” (Rogge et al., 2017; Shah et al., 2017).

398

399 **4.7 Filterable forms in peatland bogs**

400 Despite the abundance of organic carbon in aquatic subsystems of peatland bogs, its
401 mineralization is very slow due to the elevated concentrations of phenolic compounds causing
402 acidification (pH 4.4-4.8), enzyme inhibition and nitrogen limitation (Fedotova et al., 2012).
403 This is the case for sphagnum peatland bogs in northern Russia, that contain a high number of
404 filterable bacteria and archaea, $1.69 \pm 0.53 \times 10^4$ and $3.16 \pm 0.43 \times 10^4$ cells/mL,
405 correspondingly (Fedotova et al., 2012). Phylogenetic analysis of 16S rRNA genes shows they
406 were derived from several phyla (Fedotova et al., 2012). One-third of the archaeal sequences
407 had a high identity (94-99%) with representatives of the orders *Methanobacteriales* and
408 *Methanosarcinales*, while the rest exhibited a distant relatedness (71-74% sequence identity)

409 to cultured methanogens and collectively belonged to the LDS (Lake Dagow sediment) cluster
410 (Glissmann et al., 2004). All detected bacterial species had high SSU rRNA gene sequence
411 identities (94-99%) to the *Betaproteobacteria*, *Gammaproteobacteria*, *Alphaproteobacteria*,
412 and *Actinobacteria*, which confirms that small size is an adaptation to low nutrient conditions
413 common across the broad range of higher taxa. The study also attempted to culture filterable
414 microorganisms on solid media: from the total microscopic cell count numbers, only a fraction
415 of approx. 0.5-1.2% did form colonies represented by bacterial genera *Mesorhizobium*,
416 *Bradyrhizobium*, *Sphingomonas* and *Agrobacterium*. A major discrepancy between the SSU
417 rRNA amplicon libraries sequences of microbial communities in those freshwater samples and
418 the taxonomy of cultured bacteria was also observed (Fedotova et al., 2012).

419

420 **4.8 Ultra-small bacteria from Greenland ice**

421 Glacial ice presents a rather unique challenge to many microbial species due to its sub-zero
422 temperatures and oligotrophic conditions and is considered a freshwater-like habitat for
423 microorganisms (Hodson et al., 2008). It has been previously noted that a number of ultrasmall
424 organisms have been detected in several ice cores (Miteva, 2008). A plethora of bacteria in
425 120,000 year-old Greenland ice, which, after melting the ice cores, passed through filters with
426 pore sizes of 0.4, 0.2 and even 0.1 μm was detected (Miteva and Brenchley, 2005). Scanning
427 electron microscopy and flow cytometry confirmed that the filtration methodology was
428 effective at removing larger cells residing in the melted ice water. The authors also stated that
429 a considerable amount of fungal colonies were also present, although these were not discussed
430 in further detail (Miteva and Brenchley, 2005), however, one can assume those were derived
431 from filterable fungal spores. It is not clear if all >1,200 cultured bacteria were ultra-small, as
432 there was evidence of larger organisms (e.g. spores of fungi and of *Firmicutes*), which possibly
433 were cultured due to the non-uniform sizes of filter pores, over-pressurizing filtration units or

434 non-rigid cell envelopes of microorganisms that allowed them passing through filters (Wang et
435 al., 2007, 2008). Whatever the case, the study of Miteva and Brenchley (2005) clearly
436 demonstrated the viability in and cultivability of very small microorganisms with
437 experimentally measured average volumes ranging between 0.043-0.1 μm^3 from, a polar ice
438 environment.

439

440 **4.9 WWE3, OD11 and OP1 candidate phyla of ultra-small bacteria from groundwater**

441 Much of the bacterial species discussed so far have been identified in oligotrophic
442 environments, however, ultra-small organisms are not exclusive to these habitats. The WWE3-
443 OD11-OP1 candidate phyla of groundwater bacteria were found in an eutrophic environment
444 (Luef et al., 2015). Although these bacteria have not been cultivated, ultra-small cells have
445 been successfully imaged challenging previous ideas on possible habitats of these organisms.

446 Luef et al. (2015) described the cellular structures present within ultra-small-sized-
447 organisms: using cryo-TEM images they identified pili, cell walls, cellular division and the
448 presence of viruses. The study investigated the freshwater collected from an anoxic, organic
449 carbon rich groundwater located several meters below the surface. Until that point, small-sized
450 microorganisms were thought to be either associated with oligotrophic conditions or microbial
451 communities with a reduced diversity, e.g. AMD. Importantly, it appears that small size can
452 also be beneficial in other environments. The study was unable to successfully perform CARD-
453 FISH on the proposed ultra-small cells (Luef et al., 2015) and therefore could not confirm that
454 small cells seen were indeed of the candidate phylum that they reported on.

455 Metagenomic analyses by Wrighton et al. (2012) and Kantor et al. (2013) have revealed
456 that WWE3, OP1, OD11, TM7, and SR1 candidate phyla of bacteria possessed small genomes,
457 lacked genes for several essential metabolic processes and contained genes of both archaeal
458 and bacterial origin. The genomic predictions inferred that WWE3, OP1, and OD11 candidate

459 phyla are capable of growing in organic carbon-rich environments (Wrighton et al., 2012; Luef
460 et al. 2015; Kantor et al. 2013). The RuBisCO (type II/III ribulose-1, 5-biphosphate
461 carboxylase-oxygenase), which was predicted in these groundwater ultrasmall bacteria, is not
462 likely to be involved into the classical CBB (Calvin-Benson-Bassham) pathway, but into the
463 CO₂ fixation linked with the AMP (adenosine monophosphate) recycling for ultimate ATP
464 (adenosine triphosphate) production, similarly to the type III archaeal RuBisCo (Kantor et al.,
465 2013; Wrighton et al. 2012). The occurrence of this pathway suggests that these organisms are
466 not restricted to oligotrophic environments, but can survive with higher levels of available
467 nutrients.

468

469 **4.10 TM7 bacteria or “*Candidatus Saccharibacteria*”**

470 Recent studies have shown that nano-sized organisms can also be a component of the human
471 microbiome. A member of the bacterial candidate phylum TM7 (“*Ca. Saccharibacteria*”) was
472 cultivated and co-isolated with *Actinomyces odontolyticus* subsp. *actinosynbacter* strain
473 XH001 by He et al. (2015). Having spherical cells of 200-300 nm in diameter and a genome of
474 0.705 Mbp, this bacterium of phylotype TM7 (strain TM7x) is associated with human oral
475 microflora and was found to have a rather unique lifestyle. Like many of others discussed here,
476 it is dependent on its basibiont, the host of the epibiont, an organism that resides on the surface
477 of the host, *Actinomyces odontolyticus* subsp. *actinosynbacter* XH001. Under normal
478 conditions, TM7x is an obligate epibiont, but during starvation it changes its lifestyle to
479 parasitic, which eventually kills its own host and which is not usual for oral microorganisms
480 (He et al., 2015; McLean et al., 2016). Additionally, TM7x lacks the ability to produce its own
481 amino acids which further suggests its dependence on *A. odontolyticus* subsp. *actinosynbacter*
482 XH001 (He et al., 2015). Its relationship with the host is thought to exacerbate oral mucosal
483 diseases by concealing host immune responses by inhibiting *A. odontolyticus* XH001-induced

484 TNF- α mRNA expression in macrophages (He et al., 2015). However, not all *Candidate*
485 phylum TM7 members reside in the oral mucosa like TM7x: for example, RAAC3 with a small
486 (0.845 Mbp) genome was originally found in a sediment obtained from an acetate-stimulated
487 aquifer (Kantor et al., 2013). Another representative of TM7 group, “*Candidatus*
488 *Saccharimonas aalborgensis*”, with the genome of 1.0 Mbp was obtained from the activated
489 sludge bioreactor (Albertsen et al., 2013; He et al., 2015). It remains unclear why TM7x has a
490 more streamlined genome than the other phylotypes, a possible explanation of this adaptation
491 is its specific human microbiome habitat and its complete dependency on its actinomycete host.

492

493 **5 Selective pressures for small size**

494 An important conclusion that can be made from the aforementioned studies on small-size
495 microorganisms is that their sizes and distribution are a direct consequence of nutrient
496 availability. As mentioned previously, increasing the surface area-to-volume ratio, which is an
497 attribute of smaller cells, provides microorganisms with the ability to take up nutrients more
498 efficiently (Giovannoni et al., 2014). Both symbiotic and free-living organisms seem to have
499 benefited from this change. The results from existing studies suggest that in environments with
500 high nutrient concentrations, a nano-sized organism will likely be a symbiont (or epibiont) with
501 a decreased cell size being a result of limited metabolic capabilities with complete metabolic
502 dependence on a host (Martínez-Cano et al., 2015). *Nanoarchaeum equitans* is a good example
503 of this, as hydrothermal vents are relatively nutrient-rich, but these archaea are completely
504 dependent on *Ignococcus hospitalis* (Giannone et al., 2014). As nutrients become less
505 available, the more likely the small-sized organism will be free-living because an increased
506 surface-area-to-volume ratio is incredibly advantageous under such conditions (Martínez-Cano
507 et al., 2015). The species “*Ca. Pelagibacter ubique*” is a good illustration of this scenario.
508 Residing in the nutrient-depleted open ocean, it needs to produce its own essential amino acids,

509 vitamins, etc. to survive (Carini et al., 2012). This raises the question, as to why this typical
510 adaptation (small size and limited metabolic capabilities) does also exist in relatively stable
511 nutrient-rich habitats. One possibility is that there may be selective pressures coming from
512 predatory species, especially in aquatic systems (Pernthaler et al., 2001; Simon et al., 2002;
513 Pernthaler, 2017). In the study of Pernthaler et al. (2001), the presence of the protozoan,
514 *Ochromonas* sp., resulted in an increasing population of members of *Actinobacteria* cluster
515 Ac1. When an alternate protozoan predator, *Cyclidium glaucoma*, was introduced, no increase
516 in population densities of Ac1 bacteria was observed (Pernthaler et al., 2001). Apparently,
517 *Ochromonas* sp. prefers preys that are 0.8 to 4 μm in size, while *C. glaucoma* prefers those
518 smaller than 0.8 μm . Since the Ac1 are smaller than 0.8 μm , the presence of only *Ochromonas*
519 sp. allowed them to proliferate (Pernthaler et al., 2001). It was later found that some isolates of
520 Ac1 were in fact ultramicro-sized (less than 0.1 μm^3 volume) and this small size prevented
521 them from predation by *Ochromonas* sp. strain DS (Hahn et al., 2003). Hence, large
522 populations of small organisms may also be a response to, or the result of, protozoan grazing
523 (Salcher, 2014).

524 Another driver of selection of particular organisms in the environment are viruses and
525 phages. Phages are host-specific and in most cases infect highly populous and dense bacterial
526 subpopulations, which allows for less competitive (e.g. slow-growing) cells to proliferate (
527 Winter et al., 2010; Salcher, 2014). Lysis of infected cells releases nutrients into the
528 environment and makes them available to other community members allowing for overall
529 microbial population growth (Weinbauer, 2004; Salcher, 2014). Viruses, similarly to predators,
530 act as population control by culling overpopulated microorganisms (“killing the winner”) while
531 providing nutrients in the form of lysed cells to other species in the community (Weinbauer,
532 2004; Winter et al., 2010; Salcher, 2014).

533

534 **6 Functional role of small-sized organisms**

535 As documented here, small-sized organisms are not characterized by any specific type of
536 metabolism or taxonomic affiliation. Therefore, we assume that their functional role is not
537 restricted and may highly vary depending on the environment and actual physio-chemical
538 conditions. Aquatic systems are incredibly complex, as fluctuations between high and low
539 nutrient availability are common. In marine systems, the addition of nutrients e.g. in the form
540 of nitrogen-rich fertilizers from agricultural runoffs, can greatly change the once oligotrophic
541 environment into a copitrophic one, leading to harmful large scale phytoplanktonic blooms
542 (Beman et al., 2005). Depending on concentrations of nutrients, populations of free-living
543 small-celled microorganisms can either be enriched in R-strategists, or in K-strategists playing
544 distinct roles in the community. K-strategists, e.g. SUP05 clade and “*Ca. P. ubique*”, are
545 heavily involved with carbon and nitrogen cycling in oligotrophic areas (such as the open ocean
546 and oxygen-depleted zones) (Giovannoni, 2017; Rogge et al., 2017). They are slow-growing
547 and are widely dispersed, and rarely form colonies (Roshan and DeVries, 2017; Dang and
548 Lovell, 2016; Giovannoni, 2017). R-strategists, e.g. Marine *Roseobacter* Clade (MRC)
549 members and *Bacteroidetes*, are widely distributed and typically reside in nutrient-rich
550 systems, e.g. in coastal systems (Dang and Lovell, 2016). These free-living organisms under
551 favorable conditions grow quickly and may form large densely packed colonies and biofilms
552 (Dang and Lovell, 2016). MRC bacteria can produce auxins and vitamins that are beneficial
553 for algae (Dang and Lovell, 2016), whereas catabolically versatile *Bacteroidetes* play key roles
554 in degrading high molecular weight dissolved organic matter (DOM) and biopolymers (Dang
555 and Lovell, 2016).

556 In vertebrate systems, the role of these organisms appears variable. As seen in the case
557 of TM7x, it may be beneficial or harmful to the host. *Actinomyces* strain XH001 normally
558 elicits an immune response but TM7x modulates this response by either suppressing TNF- α

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559 gene expression in macrophages or “masking” it from macrophage detection altogether.
560 However, under extended starvation conditions, TM7x can turn parasite, which leads to the
561 host’s demise (He et al., 2015).

562 Much of the literature discussed in this review has focused on a few species, however,
563 the concerted effect of the entire ultra-small-sized microbial community in ecosystem
564 functioning remains unknown. As discussed earlier, filtration through $<0.45\ \mu\text{m}$ pore size
565 filters, is a common method to isolate small cells from aqueous samples. Interestingly,
566 ultrafiltration was considered a method of choice to preserve freshwater samples during their
567 storage and prior the hydrochemistry analysis (Brailsford et al., 2017). $0.22\ \mu\text{m}$ pore size filters
568 were considered as a safe tool for sterilization and for effective removal of microorganisms.
569 However, a recent study, which monitored the depletion of ^{14}C -glucose, ^{14}C -amino acid
570 mixture, and ^{33}P -orthophosphate in filtered and unfiltered freshwater samples showed
571 significant activity and utilization of substrates by organisms capable of passing this barrier
572 (Brailsford et al., 2017). The previous studies clearly support this claim, as a number of the
573 species were able to pass through ultrafiltration membranes (e.g. Wang et al., 2008). The great
574 abundance of small-sized organisms in aqueous environments may also be attributed to
575 selective pressures of predator-prey-viral interactions (Salcher, 2014). As discussed, protists
576 feed on bacterioplankton and select prey based on cell size (Pernthaler et al., 2001; Salcher et
577 al., 2013; Pernthaler, 2017). Conversely, viruses select for high-density preys and promote
578 generation of DOM from lysed cells (Salcher, 2014), which can then be utilized by nano-sized
579 microorganisms.

580 Nutrient cycling by ultra-small-sized organisms is not restricted to aquatic
581 environments. A number of studies have shown an active population of ultramicrobacteria
582 within a wide range of soil types (Soina et al., 2012; Lysak et al., 2013; Dobrovol’skaya et al.,
583 2015). It was previously thought that soil pores $<1\ \mu\text{m}$ would be inaccessible to cells, leading

584 to physical protection of organic carbon in soil. However, the potential of small-sized
585 organisms to occupy this void space alongside their functional significance in soil remain
586 unknown.

587

588 **7 Conclusions and outlook**

589 Discovery of small cells in the environment has reshaped our understanding of the microbial
590 world and life on this planet. Using culture-independent tools first insights into the
591 functionality of these organisms and a precise definition of the minimal sizes of living forms
592 have been gained. Hence, it is reasonable to think that small-sized organisms may play a
593 significant role in many environments. Many studies performed to date, however, have not
594 considered the functionality of these organisms. Future studies should therefore shift their focus
595 to understanding their physiology and function. As more ecosystems are explored and as
596 techniques are improved, the possibility of finding small-sized organisms is increasing.
597 Culture- independent analysis will remain a critical tool for modelling and predicting
598 functionalities and abundance of these organisms, however, the functional analysis of their
599 activities remains essential to validate genome-based predictions.

600

601 **8 Author contributions**

602 The review was conceived by all the authors. L.J.G searched the literature, synthesized the data
603 and wrote the manuscript. D.L.J, P.N.G, and O.V.G provided significant revisions to the
604 manuscript including data interpretation and wrote parts of the manuscript. All authors read the
605 final manuscript.

606

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615

616 **10 References**

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937

938 **11 Table captions**

939 Table 1- An overview of small-sized and filterable organisms denoting average cell size,
940 average genome size, environment, separation technique (filter pore sizes), cultivability,
941 affiliation to a confirmed species, lifestyle (free living or host-dependent), and corresponding
942 references. NA denotes information not available within the respective source. Some studies
943 showed that the results were inconclusive meaning that there were conflicting conclusions in
944 the literature. *Colonies were slow-growing, taking up to a few months to become visible.
945 **Proposed Candidatus status. †Parasitic ultramicrobacteria discussed in Duda et al. (2012)
946 review.

947

948 **12 Figure captions**

949 Figure 1- Summary of definitions used to describe nano-sized organisms. References are the
950 following: [1] Duda et al. (2012) [2] Verlmirov et al. (2001), [3] Panikov (2005), [4] Shut et
951 al. (1995), [5] Miteva and Brenchley (2005), [6] Luef et al. (2015), [7] Huber et al. (2002),
952 [8], Rogge et al. (2017), [9] Giovannoni (2017), [10] Kajander and Ciftcioglu (1998), [11]
953 Fedotova et al. (2012)

954 Figure 2- Surface area (SA) and volume (V) ratios in three selected species of different sizes:
955 *Escherichia coli*, "Candidatus Pelagibacter ubique", and *Nanoarchaeum equitans*. The
956 microorganism with the smallest dimensions ("Ca. P. ubique") had the largest ratio at 22. The
957 habitat of "Ca. P. ubique" is the open ocean (oligotrophic environment) and hence its high
958 SA/V ratio is advantageous to living in low nutrient conditions. The total protein numbers in
959 encoded by genomes of *E. coli* (NCBI Reference Sequence: NC_000913.3), "Ca. P. ubique"

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960 (GenBank: CP000084.1), and *N. equitans* (GenBank: AE017199.1) are given and related with
 961 the proteins with membrane-spanning domains. For prediction of transmembrane helices in
 962 proteins, above genomes were analyzed using TMMHMM 2.0 Server at
 963 <http://www.cbs.dtu.dk/services/TMHMM/> (Krogh et al., 2001; Möller et al., 2001).

964 Notes: * Dimensions and calculations of surface area and volume were obtained from Young, 2006.

965 ** The diameter was obtained from Huber et al. (2002), the equations for the surface area ($SA=4\pi r^2$, where r is the radius)
 966 and volume ($V=\frac{4}{3}\pi r^3$, where r is the radius) of a sphere.

967
 968 Figure 3- Size comparison of nano-sized organisms. Each of the colored lines represents
 969 relative range of sizes (in one dimension) of each individual. References and numerical ranges
 970 for individuals can be found in Table 1. If size was reported with volume, the organism was
 971 assumed to be spherical and then obtained the radius with the equation, $V=\frac{4}{3}\pi r^3$, where r is the
 972 radius. *References for size guides: *Escherichia coli* (approximately 1 μm x 2 μm) and phage
 973 T4 (approximately 90 nm x 200 nm) (Leiman et al., 2003). Note: *Ca. Nanobsidianus stetteri* '
 974 has no available information concerning cellular dimensions.

975

976

977 **Table 1**

978

<i>Small-sized organism(s)</i>	<i>Environment</i>	<i>Average genome size</i>	<i>Average/range cell size</i>	<i>Free-living?</i>	<i>Filter(s) pore size used</i>	<i>Cultured?</i>	<i>Validly published Species</i>	<i>Reference</i>
' <i>Ca. Pelagibacter ubique</i> '	Open ocean	1.3 Mbp	0.01 μm^3 (volume)	Yes	0.2 μm	Yes	Yes	Rappè, et al. (2002), Giovannoni et al. (2005), Carini et al. (2012), Zhao et al. (2017), Giovannoni (2017)
<i>Nanoarchaeum equitans</i>	Submarine hot vent	0.5 Mbp	0.4 μm (diameter)	No	None	Yes	Yes	Huber et al. (2002) Waters, et al. (2003), Jahn et al (2008)
Ultrasmall Microorganisms	120,000 year old Greenland ice core	NA	<0.10 μm^3 (volume)	NA	0.4 μm , 0.2 μm , and 0.1 μm	Yes*	No	Miteva and Brenchley (2005)
ARMAN cells	Acid mine drainage biofilm	1 Mbp	0.03 μm^3 (volume)?	Inconclusive	0.45 μm	No	No	Comolli et al. (2009), Comolli and Banfield, (2014), Baker, et al. (2010), Baker, et al. (2006)

Nano-sized and filterable Bacteria and Archaea: Biodiversity and Function

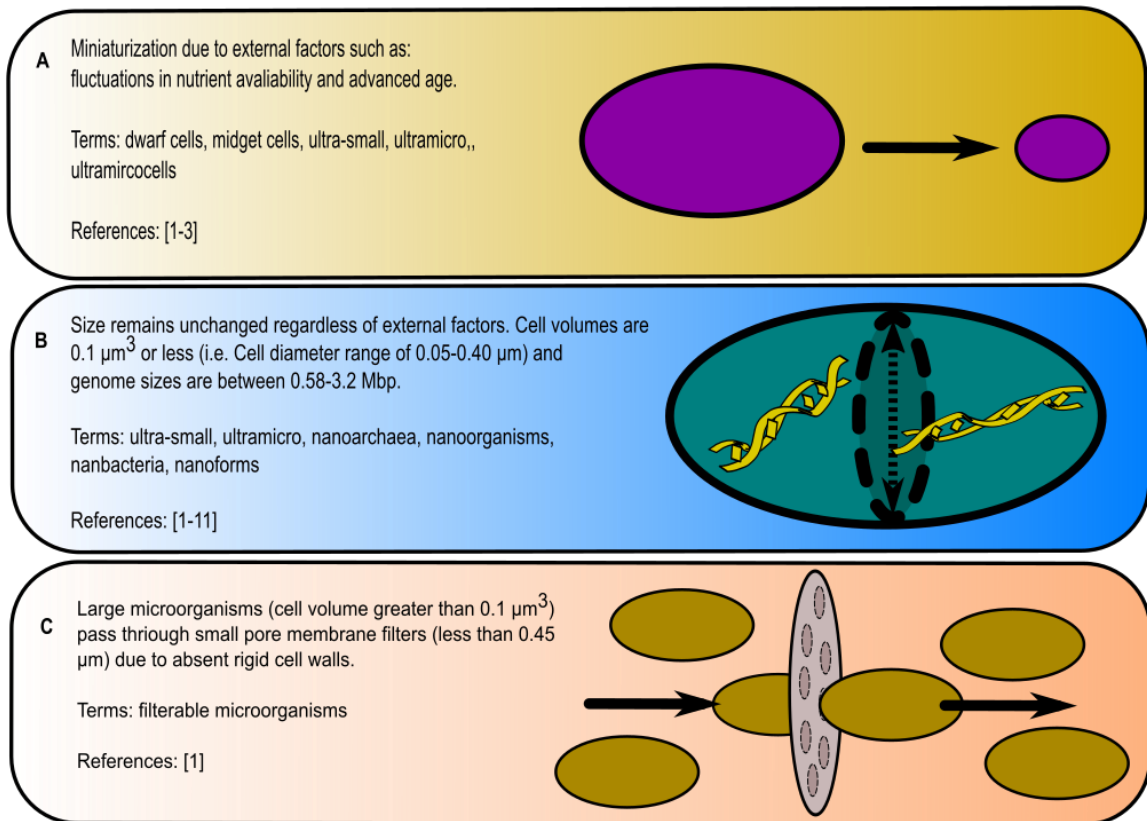
' <i>Ca. Nanobsidianus stetteri</i> '	Obsidian Pool, Yellowstone National Park	0.651 Mbp	NA	No	0.4 μm	No	No	Podar, et al (2013), Munson-McGee, et al. (2015)	
Oral TM7 ' <i>Ca. Saccharibacteria</i> '	Human oral cavity	0.705 Mbp	200-300 (diameter)	nm	No	0.22 μm	Yes	No	He, et al. (2015)
' <i>Ca. Nanopusillus acidilobi</i> '	Cistern Spring, Yellowstone National Park	0.605 Mbp	100-300 (diameter)	nm	No	0.1 μm	Yes	No**	Wurch, et al. (2016)
WWE3/OP11/OD1 groundwater ultra-small bacteria	Anoxic aquifer	0.878 Mbp (WWE3) 0.694 Mbp (OD1) 0.820 to 1.050 Mbp (OP11)	0.009 μm ³ (volume)	No	1.2 μm, 0.2 μm, and 0.1 μm	No	No	No	Luef, et al. (2015), Wrighton, et al. (2012), Kantor, et al. (2013)
' <i>Nanobacterium sanguineum</i> '	Human and bovine blood	NA	50 nm (diameter)	NA	0.1 μm	Inconclusive	No	No	Kajander and Ciftcioglu (1998), Kajander and Ciftcioglu (2003), Cisar et al (2000),
Fossil remains	Meteorite ALH84001	NA	10-200 nm (length)	NA	NA	NA	NA	No	McKay et al. (1996), McKay et al. (2001)
SUP05 Bacteria	Pelagic redox zones	1.164 Mbp to 1.53 Mbp	0.01-0.09 (volume)	μm ³	Yes	0.2 μm	No	No	Rogge et al. (2017), Glaubitz et al. (2013), Shah et al. (2017)
Filterable forms	Lake Motykino and Lake Dubrovskoe (Peatland bog)	NA	0.3-0.5 μm (rod diameter)	NA	0.22 μm	No	No	No	Fedotova et al. (2012)
<i>Aurantimicrobium minutum</i> Str. KNCT	River water	1.62 Mbp	0.04-0.05 (volume)	μm ³	Yes	0.22 μm	Yes	Yes	Nakai et al. (2016)
<i>Curvibacter</i> sp. Str. PAE-UM	River sediment	3.28 Mbp	<0.05 μm ³ (volume)	Yes	NA	Yes	Yes	Yes	Ma et al. (2016)
Free-living Ultramicroscopic bacteria	Natural biotopes (i.e., permafrost, oil slime, soil, lake silt, thermal swamp moss, <i>Xenopus laevis</i> , skin)	1.5-2.4 Mbp	0.02-1.3 (volume)	μm ³	Yes	NA	No	No	Suzina et al. (2015)
<i>Bdellovibrio</i> spp. †	NA	3.78 Mbp	0.13 μm ³ (volume)	No	NA	Yes	Yes	Yes	Duda et al. (2012)
<i>Micavibrio admirandus</i> †	NA	NA	0.05 μm ³ (volume)	No	NA	Yes	Yes	Yes	Duda et al. (2012)
<i>Vampirovibrio chlorellavorus</i> †	Reservoir water	NA	0.3-0.6 (diameter)	μm	No	NA	Yes	Yes	Duda et al. (2012)
<i>Kaistia adipata</i> , str. NF1, NF3 †	Soil and lake sediment	2.4 Mbp	0.1-0.5 μm ³ (volume)	No	0.22 μm	Yes	Yes	Yes	Duda et al. (2012)
<i>Chryseobacterium solincola</i> , str. NF4, NF5 †	Soil and lake sediment	1.7 Mbp	<0.1 μm ³	No	0.22 μm	Yes	Yes	Yes	Duda et al. (2012)

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981 **Figure 1**

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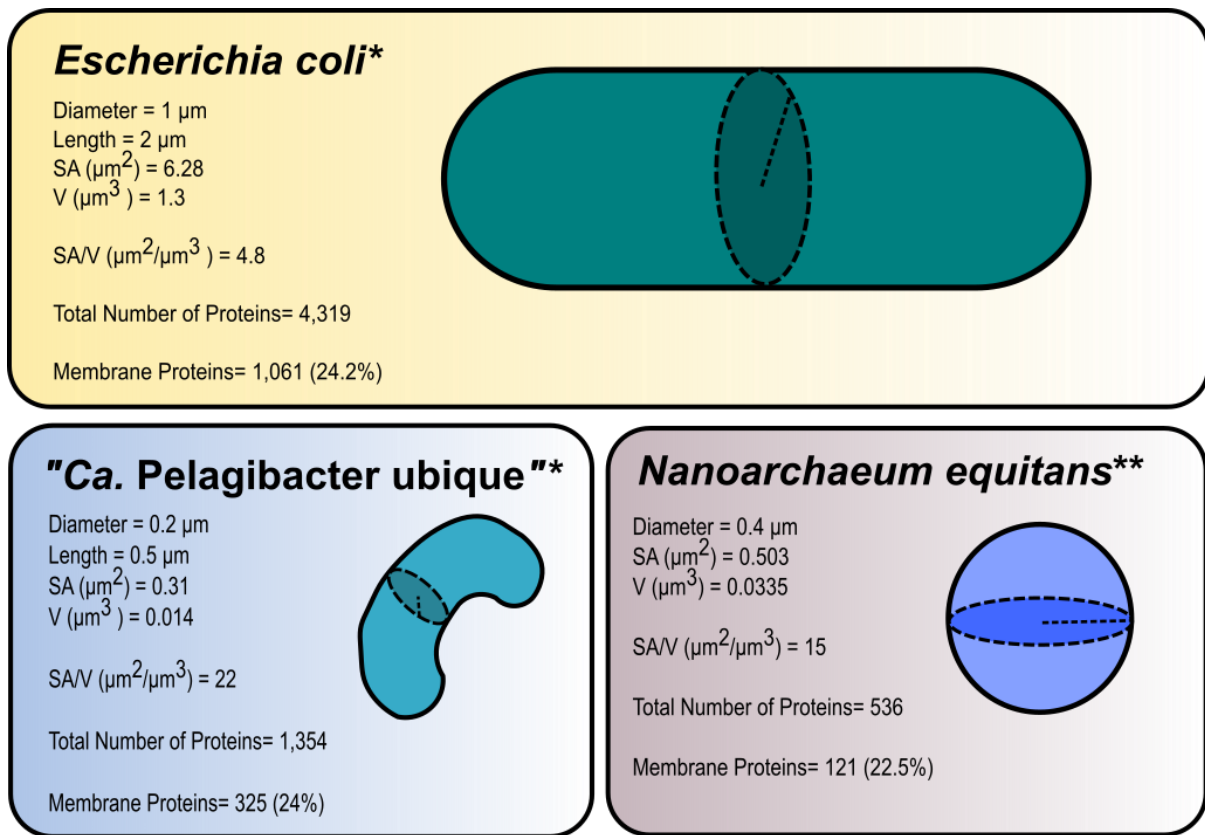


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985 **Figure 2**

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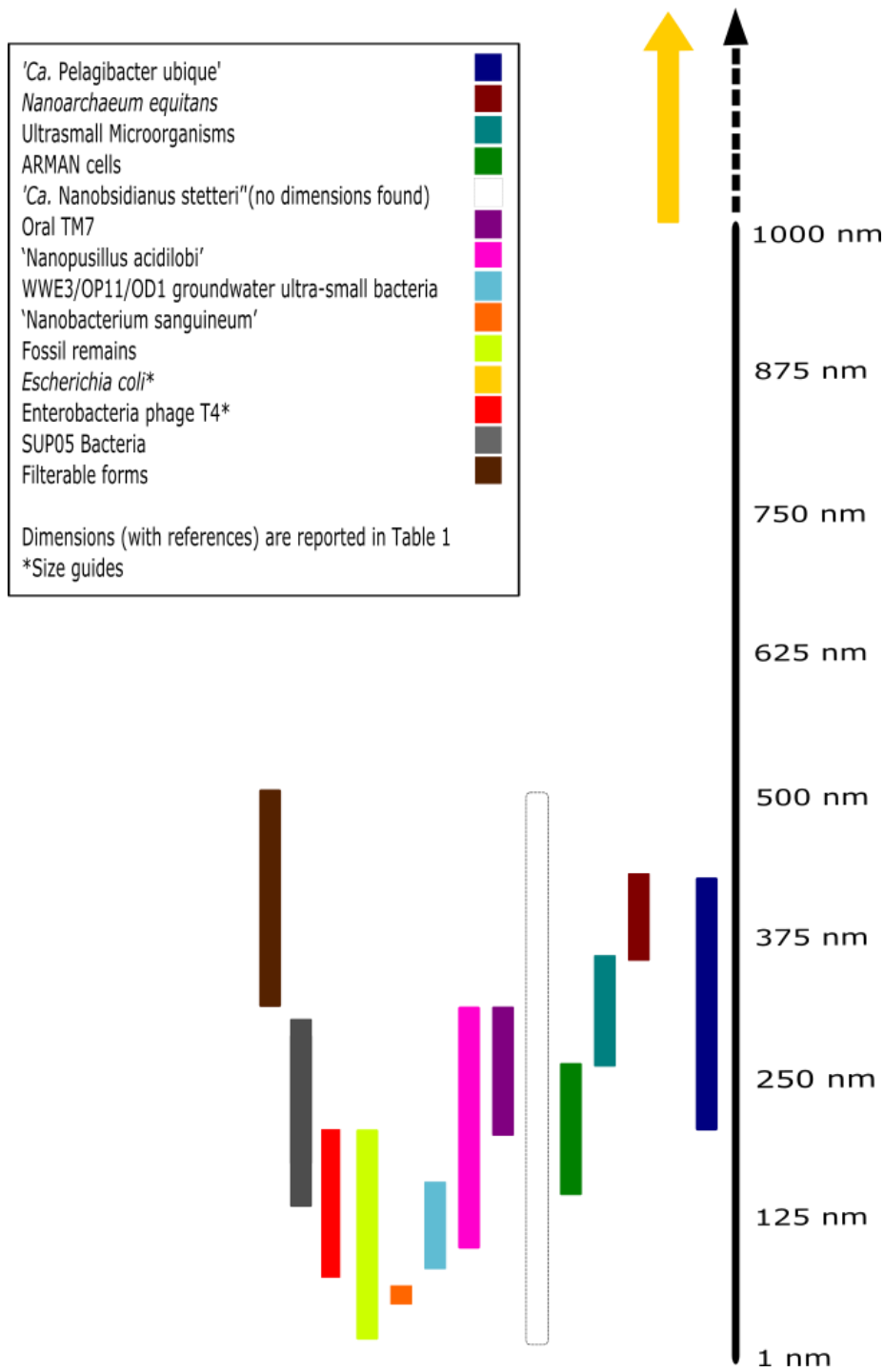


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990 **Figure 3**



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