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1 **Delineating and mapping riparian areas for ecosystem service assessment**

2

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19

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27

28 **Abstract**

29 Riparian buffers, the interface between terrestrial and freshwater ecosystems, have the potential
30 to protect water bodies from land-based pollution, and also for enhancing the delivery of a
31 range of ecosystem services. The UK currently has no defined optimal width or maximum
32 extent of riparian buffers for specific ecosystem services. Here, we present the first study which
33 attempts to 1) compare and critique different riparian buffer delineation methods, 2) investigate
34 how ecological processes e.g. pollutant removal, nutrient cycling and water temperature
35 regulation are affected spatially by proximity to the river and also within a riparian buffer zone.
36 Our results have led to the development of new concepts for riparian delineation based on
37 ecosystem service-specific scenarios. Results from our study suggest that choice of delineation
38 method will influence not only the total area of potential riparian buffers, but also the
39 proportion of land cover types included, which in turn will determine their main ecosystem
40 provision. Thus, for some ecological processes (e.g. pollutant removal), a fixed-distance
41 approach will preserve and protect its ecosystem function whereas for processes such as
42 denitrification, a variable width buffer will reflect better riparian spatial variability maximizing
43 its ecological value. In summary, riparian delineation within UK habitats should be specific to
44 the particular ecosystem service(s) of interest (e.g. uptake of nutrients, shading, etc.) and the
45 effectiveness of the buffer should be ground-truthed to ensure the greatest level of protection.

46

47 **KEYWORDS**

48 Ecosystem services, Freshwater corridors, GIS, Land use mapping, Riparian zone modelling,
49 Riverbanks, Wetlands

50 1 | INTRODUCTION

51 Riparian areas are defined as the interface between land and freshwater ecosystems and are
52 characterized by distinctive soil, hydrology and biotic conditions (Naiman et al., 2005).
53 Riparian areas have been widely recognised for decades as having great potential to accomplish
54 specific ecological functions such as alleviating agricultural runoff, promoting nutrient cycling
55 and retention, flooding control or stream shading (Malanson, 1993; Wenger, 1999; Zaimes et
56 al., 2007; Vigiak et al., 2016). However, due to the lack of a universal definition of ‘riparian’
57 and development of holistic classification systems (Verry et al., 2004; Naiman et al., 2010),
58 their spatial complexity within the landscape as transitional zones and their sensitivity to
59 disturbance have made their integration for management and delineation challenging.

60 Despite their importance, there is little guidance on how to reliably integrate the main
61 riparian features such as vegetation or floodplain extension when delineating their boundaries
62 (Salo et al., 2016). Delineating riparian areas may assist in improving our understanding of
63 how these areas might benefit ecosystem service provision by: 1) identifying patterns in land
64 use and their importance in the landscape, 2) characterising soil types and habitat distributions
65 within the riparian areas, 3) reducing the anthropogenic pressures to which they are subject, 4)
66 preserving their intrinsic value, and 5) establishing a common framework for their
67 classification. Numerous approaches to delineate riparian areas have been undertaken ranging
68 from simplistic models in which a fixed width buffer is implemented (Hawes & Smith, 2005;
69 Stoffyn-Egli & Duinker, 2013), to more complex holistic approaches where the most relevant
70 riparian characteristics such as soil properties, associated floodplain extent, vegetation type or
71 hydrologic parameters are integrated into delineation models of varying complexity. These are
72 subsequently used to generate a variable width riparian buffer (Lyons et al., 1998; Baker et al.,
73 2006; Abood & Maclean, 2011; Momm & Bingner, 2014; Belletti et al., 2017). However,
74 recent approaches are more inclined to disregard fixed width buffers as they can be grossly

75 inaccurate due to the poor and inconsistent relationship between riparian width and its
76 ecological functionality (Aunan et al., 2005; Abood & Maclean, 2011; Abood et al., 2012).
77 Furthermore, the use of geographic information systems (GIS) for conducting riparian
78 estimations and the recent availability of high resolution data and imagery have resulted in the
79 variable width buffer gaining more popularity over the past ten years (Xiang, 1993; Goetz et
80 al., 2003). This allows the integration of a large amount of variables to characterise the potential
81 riparian area. Hence, different GIS-based methods are already available which attempt to
82 integrate multiple physical riparian attributes such as land cover (Baker et al., 2006), soil
83 characteristics (Palik et al., 2004) and flood height (Mason, 2007) for riparian delineation.
84 Approaches including biological attributes (e.g. amphibian habitat or vegetation type) have
85 also been applied (Perkins & Hunter, 2006; Mac Nally et al., 2008). It is worth noting that the
86 number of variables incorporated into the riparian area modelling process greatly affect its
87 data-intensiveness and computational complexity by increasing data pre- and post-processing
88 and increasing the number of interactions into the model. Thus, the delineation process should
89 only incorporate spatial data at appropriate resolutions which allows capture of riparian
90 versatility while maintaining the effectiveness and efficiency of the modelling process.

91 Ultimately, the spatial delineation of riparian areas remains critically dependent upon
92 the ecosystem service being studied. For example, this could involve mapping of services
93 directly adjacent to the river (e.g. shading, habitat), while other services may extend for
94 considerable distances away from the watercourse (e.g. nutrient attenuation, flood risk
95 management). Legal or policy adoption of a specific riparian buffer methodology could
96 therefore potentially lead to the inclusion or exclusion of a particular area as being “riparian”.
97 This could in turn determine the implementation and success of future management activities
98 designed to optimise riparian functioning or in the assessment of riparian performance.
99 Fundamental to this, will be to understand the relationship between land cover strongly

100 influenced by physical attributes such as soil type or hydrology, and ecosystem service
101 provision, as studies have indicated a link between land cover and its capacity to provide
102 specific ecosystem services (Burkhard et al., 2009; Sheldon et al., 2012; Clerici et al., 2014).

103 The aim of this study was to critically evaluate the relative accuracy of different riparian
104 delineation approaches and explore the impact of data quality and data types on predictions of
105 riparian typologies. Specifically, our objectives are; 1) to evaluate to what extent fixed-width
106 riparian buffers provide a different outcome than functionally-targeted variable-width riparian
107 buffers, and 2) to determine how the quality of nationally-available digital information
108 influences the prediction of functional variable-width riparian buffers?

109

110 **2 | MATERIALS AND METHODS**

111 **2.1 | Study area**

112 The study was conducted in the Conwy catchment, North Wales, UK (3°50'W, 53°00'N;
113 Figure 1). The catchment comprises a total land area of 580 km² and its main river (River
114 Conwy) runs for 43 km from its southern source to its subsequent estuarine discharge point
115 into the Irish Sea (Emmett et al., 2016). The river rises in the Snowdonia National Park and the
116 upper reaches of the river cross a wide range of habitats including upland bog, improved and
117 unimproved grazed grasslands and coniferous and deciduous woodlands. Within this
118 catchment, five sub-catchments were selected representing the dominant land-use types and
119 riparian typologies in the catchment. A detailed description of the catchment is provided in
120 Emmett et al. (2016). Main features of the sub-catchments are provided in Table 1 and in the
121 On-line Supplementary Information (Figures S1-S5).

122

123 **2.2 | Riparian delineation methodology**

124 All riparian modelling and data manipulation were undertaken using ArcGIS Desktop 10.2
125 (ESRI Inc., Redlands, CA). A schematic representation of the three different methodological
126 approaches undertaken in this study can be seen in Figure 2. The different riparian delineation
127 approaches were evaluated as follows:

128 *Method 1. Fixed-width riparian buffer approach:* Two buffer strips contiguous to the
129 watercourse, 10 m and 50 m width respectively, were defined to assess the influence of
130 proximal and distal riparian buffer delineation. There is no consensus on the most appropriate
131 fixed buffer width for riparian area delineation (Wenger, 1999), however, as a broad
132 recommendation, studies have indicated that efficient buffer widths should range between 3 m
133 to >100 m depending on what resource they are trying to preserve (Hawes & Smith, 2005). For
134 this study we chose a distance of 10 m following the absolute minimum buffer width suggested
135 by Wenger (1999), and 50 m based on the recommendation of Peterjohn & Correll (1984) for
136 agricultural catchments.

137 *Method 2. Variable-width riparian buffer approach:* Variable-width riparian buffer
138 strips were spatially quantified using a modified version of Riparian Buffer Delineation Model
139 v2.3 (Abood et al., 2012; <https://www.riparian.solutions/>) to work with the data available for
140 this study. The model was implemented as an ArcGIS toolbox connected to ArcMap. The
141 model generates riparian ecotone boundaries based on four critical inputs: stream and lake
142 locations, digital elevation model (DEM) and the 50-year flood height. The specific sources
143 and data inputs are listed in Table 2. The locations of streams and lakes are critical inputs into
144 the model as they represent the drainage network associated with the riparian areas. In addition,
145 the DEM provides the height information of the floodplain. Alongside the river network and
146 DEM, the model also establishes the 50-year flood height as a required input on the assumption
147 that this parameter represents the optimal hydrologic descriptor of a riparian area throughout
148 the watercourse based on the research of Ilhardt et al. (2000). The 50-year recurrence interval

149 was also indicated as the most likely elevation to intersect the first terrace or other upward
150 sloping surface and in most cases, present the same microclimate and geomorphology as the
151 stream channel (Ilhardt et al., 2000). Previous studies have addressed this task by performing
152 regression equations between periodic measurements of flow rate, velocity and channel width
153 obtained from river gauging stations (Mason, 2007; Abood et al., 2012). In this study, due to
154 the lack of river gauge data for all sub-catchments, an alternative approach was used. Briefly,
155 river hydraulic modelling was performed using HEC-GeoRAS (US-ACE, 2005) with a high
156 resolution DEM to obtain required cross-sectional data and then the HEC-RAS (US-ACE,
157 2014) software used to generate surface water elevation (Figure 3). The model utilized several
158 input parameters that influence flow behaviour: Manning's values (data based on the
159 recommended design values of the Manning Roughness coefficients of McCuen (1998)) and
160 boundary conditions (the channel bed slope of the first two cross-sections at the upstream
161 boundary and the last two cross-sections at the downstream boundary as a starting value for a
162 mixed flow regime). Once the river cross-sections were defined, the Network-wide Flood
163 Estimation Handbook (Q(T) grid flood estimates; Robson and Reed, 1999) was used to derive
164 the 50-year flood discharge (flow data in the HEC-RAS) (Table 1) for the major rivers in each
165 sub-catchment.

166 As an estimate of flood extent, the Flood Zone 3 map for a 100-year event provided by
167 the UK Environment Agency was used to compare the resultant floodplain area in each sub-
168 catchment. Results from the HEC-RAS simulations, which include the locations of the cross-
169 sectional cut lines together with water surface profile data, were processed in the HEC-RAS
170 Mapper utility where the profile data is outputted as water surface elevations (depth grid). A
171 detailed description of the process can be found in Ackerman (2011). Flood height results for
172 the main rivers in all sub-catchments ranged between 1.4 and 2.2. However, in order to

173 implement the same flood height for all study sites and to facilitate model development, a single
174 average flood height of 1.6 m was used for all sub-catchments.

175 Once all the inputs were introduced into the model, sample points along streams and
176 transects around those sample points were built. For the study area, a maximum transect length
177 of 250 m was imposed to improve the processing efficiency and to account for the spatial
178 variation in height within our study (Abood et al., 2012). The model detected the change in
179 elevation between the sample and the transect points and determined if the point should be
180 included inside the riparian buffer. A detailed description of model performance can be found
181 in Abood et al. (2012). As the DEM is one of the crucial model inputs, we also tested the
182 influence of different DEM spatial resolutions on model output (2, 5, 10, 30 and 50 m). As
183 optional data we include wetlands (according to New Phase 1 classification (Lucas et al., 2011)
184 and soil data from the National Soil Map of England and Wales (National Soil Resources
185 Institute, Cranfield, UK; NATMAP; <http://www.landis.org.uk/data/natmap.cfm>).

186 *Method 3. Fixed-width legislative riparian buffer approach:* One fixed-width buffer of
187 2 m was defined along minor rivers and the same distance was manually digitalized along the
188 main rivers. As the buffer automation was created from the centre line of the river, manual
189 digitalization was necessary in order to prevent the buffer from ending in the middle of major
190 rivers considering the small size of the buffer. The digitization was accomplished using
191 orthophotos and satellite imagery. The distance was chosen following the main requirements
192 found in national and European-level policies in which a minimal buffer of 2 m is established
193 for riparian areas (i.e. SMR 1; GAEC 1, 2016). This is also in agreement with common riparian
194 fencing practices in the catchment, most of which are undertaken under the auspices of Welsh
195 Government agri-environment schemes (e.g. Tir Gofal, Glastir).

196

197 **2.3 | Datasets**

198 The datasets used in the study are presented in Table 1. Where possible, the best nationally
199 available datasets were used. For lakes and open water bodies (>2 ha in area), a 30.5 m fixed
200 buffer was used according to Ilhardt et al. (2000). Typically, these riparian areas only
201 constituted <1% of the total riparian area within each sub-catchment. Lastly, the riparian
202 buffers in each of the sub-catchments were overlain onto soil type and two independent land
203 cover datasets (LCM2007 and New Phase 1; Table 1). This was used to evaluate and
204 characterize the percentage of land use and soil type within the riparian areas delineated using
205 each of the three methods. For ease of comparison, different habitat types were aggregated into
206 common land cover categories. These included: (1) broadleaved woodland, (2) coniferous
207 woodland, (3) arable and horticulture, (4) improved grassland, (5) semi-natural grassland, (6)
208 mountain, heath and bog, (7) freshwater, and (8) other, including built-up areas and gardens.
209 A summary of how they were grouped is presented in the On-line supplementary information
210 (Table S1).

211

212 **3 | RESULTS**

213 **3.1 | Estimate of riparian area using different delineation methodologies**

214 The different approaches used to delineate stream riparian boundaries differed substantially in
215 terms of their ability to predict the spatial distribution of riparian areas (Figure 4) and the total
216 land area they covered in the sub-catchment (Figure 5). Of all the study areas, sub-catchment
217 1 showed the largest differences in terms of the total riparian area delineated by the different
218 methods. For example, the fixed buffer approach (50 m) mapped the largest land area,
219 encompassing 5.5 km² (26.6% of the total area), while the variable buffer approach only
220 predicted a total area of 4.1 km² (19.7%). In contrast, the fixed (10 m) and the legal (2 m)
221 approaches gave much lower estimates of 1.2 km² (5.6%) and 0.26 km² (1.2%), respectively.
222 In the case of sub-catchment 2, no major difference was apparent between the fixed buffer (50

223 m) method (0.50 km², 34.3% of the area) and the variable buffer approach (0.52 km², 35.8%).
224 Within the same sub-catchment, the legal based approach produced a very small riparian area,
225 probably as it consisted predominantly of minor rivers. Similar to sub-catchment 2, the riparian
226 predictions for the fixed buffer (50 m) method (3.0 km², 25.0%) and variable buffer (3.4 km²,
227 28.1%) were close for sub-catchment 3. Sub-catchments 4 and 5 were intermediate, giving a
228 discrepancy between the fixed buffer (50 m) and variable buffer of 0.99 km² and 0.27 km²
229 respectively.

230

231 **3.2 | Agreement between the areas delineated with the fixed and variable width buffer** 232 **approach**

233 Due to the similarity of the results, in terms of total area delineated, shown by the fixed (50 m)
234 and variable width buffer approaches, we compared whether they actually mapped the same
235 areas. This was achieved by analysing the spatial agreement of pixels identified by both
236 methods. The fixed width buffer (50 m) displayed clear differences when compared with
237 variable width buffer predictions with nearly 30% of the digital pixels in spatial disagreement
238 for sub-catchment 1, 21% for sub-catchment 2, 24% for sub-catchment 3, 27% for sub-
239 catchment 4 and 17% for sub-catchment 5 (Figure 4).

240

241 **3.3 | Effect of digital elevation model (DEM) resolution on variable width riparian area** 242 **predictions**

243 Resolution of the DEM (i.e. sources and creation method of the DEM) was tested as it indicates
244 the level of elevation details that are captured within the floodplain topography. A comparison
245 of the impact of DEM resolution (2, 5, 10, 30 or 50 m) on the spatial mapping/distribution of
246 riparian zones is shown in Figure 6, while its effect on the total riparian area delineated is
247 shown in Figure 7. The results showed that the variable riparian buffer model calculated from

248 the 2 m DEM produced a range of significantly smaller riparian areas than those calculated
249 with the 5 and 10 m DEMs (Figure 6a). The spatial pixel disagreement between the variable
250 width buffer from the 2 m resolution DEM versus the variable width buffer from 5 and 10 m
251 resolution DEM was also noticeable with 24% and 45% disagreement, respectively. In contrast,
252 comparison of the variable width buffer from a 2 m resolution DEM versus the results obtained
253 from 30 and 50 m resolution DEMs showed a decreasing trend in terms of total surface area
254 (Figure 6b, Figure 7). Both the 30 and 50 m model outputs displayed discontinuous and
255 dispersed riparian area boundaries. The spatial pixel disagreement between riparian area from
256 2 m resolution and the two coarser DEMs resulted in 67% of disagreement for the 30 m
257 resolution DEM and 74% for the 50 m resolution DEM. The changes observed in riparian
258 surface area according to the different DEM spatial resolutions in sub-catchment 1 are shown
259 in Figure 7. The results obtained using the 10 m DEM produced the greatest surface area with
260 an area of 8.05 km². A similar trend was found for the other sub-catchments (data not
261 presented).

262

263 **3.4 | Effect of delineation method on riparian land cover predictions**

264 Differences in delineation methodology might not only influence the total riparian area, but
265 also the prediction of soil distribution and the proportion of land cover types included within
266 them. We overlaid the different riparian boundaries obtained with the different delineation
267 methodologies onto the most detailed national soil map and the two most widely used national
268 land cover maps (LCM2007 and New Phase 1). It should be noted that the comparison of soil
269 distribution was only undertaken for sub-catchment 1, as it was the only area mapped at
270 sufficient accuracy (1:63,000).

271 Overall, the Denbigh and Sannan soil series comprised the greatest land area regardless
272 of the delineation approach (Figure 8). A description of the different soil series and their

273 equivalent in the FAO World Reference Base (WRB) is shown in Table S2. In general, the
274 total amount of each soil series predicted within the riparian zone was relatively similar for all
275 four delineation methods. Only the variable width buffer showed a >5% discrepancy in the
276 main soil categories compared to the rest of the methodological approaches.

277 Land cover datasets (LCM2007 and New Phase 1) were intersected with all riparian
278 delineations separately and are presented in Figs. 9-13. It should be noted that some of the least
279 abundant categories (those comprising <1% of the total riparian area) are not presented. In
280 general, both land use datasets gave good agreement with ‘improved grassland’ and ‘mountain,
281 heath and bog’ being the dominant habitats within the riparian buffer zones. However, strong
282 contradictions in terms of habitat classification are noticeable in some sub-catchments (e.g.
283 sub-catchment 2 and 3). For instance, while ‘improved grassland’ and ‘mountain, heath and
284 bog’ were the dominant habitat types according to the New Phase 1 classification, ‘semi-natural
285 grassland’ comprised the most abundant habitat type for the LCM2007 classification in sub-
286 catchment 2 (Figure 10). It is worth noting that some of the habitat types present in some of
287 the sub-catchments (e.g. sub-catchment 3 and 4) according to the New Phase 1 map are missing
288 for the LCM2007 results (Fig 11 and 12). Our results suggest that the New Phase 1 land cover
289 map tended to provide the information at a finer resolution than the LCM2007 as it identified
290 a higher number of habitats types within riparian zones with the different modelling approaches
291 (e.g. fixed or variable width buffer).

292 Sub-catchments 1 and 2 displayed the strongest discrepancy in terms of the proportion
293 of different riparian habitat types identified using the different methodologies with the New
294 Phase 1 habitat map. For example, in sub-catchment 1, ‘broadleaved woodland’ only
295 compromised 26% of the total variable width buffer area while it accounted for 51% when
296 using the legal approach. Similarly, in the same sub-catchment, ‘improved grassland’
297 represented approximately 56% of the total variable buffer approach in contrast with only 18%

298 obtained with the legal buffer approach. In addition, sub-catchment 2 showed the percentage
299 of ‘improved grassland’ was over 50% for the total variable width buffer, while for the legal
300 buffer this decreased to 35% of the total riparian area. In contrast, sub-catchment 3 gave a
301 similar distribution for the riparian plant communities for both methods of classification. Both
302 datasets indicated that ‘mountain, heath and bog’ and ‘semi-natural grassland’ were the
303 dominant land cover classes. However, the LCM2007 dataset estimated that ‘mountain, heath
304 and bog’ constituted 90% of the total riparian area, whereas the New Phase 1 dataset predicted
305 a coverage range of only 65-72% for the same habitat category. For ‘semi-natural grassland’
306 in sub-catchment 3, the LCM2007 predicted that it only covered 5% of the total riparian area
307 compared with 13-20% for the New Phase 1 map. Sub-catchment 4 showed a similar
308 distribution of habitat types across both land cover datasets and all buffer delineations.
309 However, ‘freshwater’ and ‘broadleaved woodland’ exhibited the greatest discrepancies in
310 percentage riparian area cover when selecting more restrictive buffer strips (e.g. fixed width
311 10 m buffer and legal fixed buffer). It is also worth noticing that the New Phase dataset included
312 ‘freshwater’ and ‘other’ in its habitat categories while these are not present in LCM2007. Sub-
313 catchment 5 displayed a discrepancy between both land cover datasets of 5-10% between the
314 main habitat types.

315

316 **4 | DISCUSSION**

317 **4.1 | Critical evaluation of the differing riparian delineation approaches**

318 Previous studies have attempted to determine the most efficient way to identify riparian areas
319 and the multiple ecosystem services they provide (Hawes & Smith, 2005; Holmes & Goebel,
320 2011; Fernández et al., 2012). In this work, we show that different delineation approaches
321 greatly influence the total predicted riparian area within a sub-catchment, their spatial land
322 patterning and the subsequent distribution of habitats present within these areas. In reality,

323 however, riparian boundaries are rarely discrete and no single approach can be expected to
324 adequately capture all the features of riparian areas, particularly as our mechanistic and
325 quantitative understanding of some riparian functions is still lacking (e.g. hyporheic filtering
326 of nutrients, groundwater flow and recharge rate, riparian biodiversity; Hanula et al., 2016;
327 Hathaway et al., 2016; Doble & Crosbie, 2017; Swanson et al., 2017). Further, riparian zones
328 are typically both spatially heterogeneous (vertically and horizontally) and temporally dynamic
329 with strong interactions between the aquatic and terrestrial component (Broder et al., 2017).
330 This frequently results in diffuse and continuously changing riparian limits (Lindenmayer and
331 Hobbs, 2008), in contrast to our riparian boundaries which are both static in time and spatially
332 discrete. Moving forward, it would be useful to agree on a universal definition for riparian areas
333 and the identification for reference values for riparian functions, similar to those which exist
334 for agriculture (Gregory et al., 1991; Fischer et al., 2001; Hawes & Smith, 2005; Naiman et al.,
335 2010; Xiang et al., 2016). Until this is established, and as evidenced here, estimating the spatial
336 extent of riparian areas will be subject to considerable uncertainty and user bias. Establishing
337 a common riparian framework is not impossible. McVittie et al. (2015) proposed a model
338 applied to riparian areas that integrated physical attributes (land cover, soil type, rainfall),
339 terrestrial and aquatic process (e.g. erosion, river flow) and management intervention using
340 Bayesian Belief Networks (BBN). Thus, the parameters introduced will ultimately aim to
341 outline the fundamental ecological processes that deliver ecosystem services within riparian
342 areas.

343 In achieving an effective riparian delineation, some theoretical and practical limitations
344 in favour of, or against the fixed-width versus variable-width option were considered. The
345 fixed-width riparian approach has been suggested by some authors to be inadequate for
346 delineating riparian areas as it fails to take into account crucial factors such as geomorphology
347 or stream order (Skally & Sagor, 2001; Holmes & Goebel, 2011). Consequently, some land

348 areas might be incorrectly included or excluded in the buffer delineation. Additionally, this
349 approach does not reflect the magnitude of the river and its associated floodplain (i.e. major
350 and minor rivers). In this sense, some studies such as Peterson et al. (2011) have shown how
351 stream order could be relatively easily incorporated into riparian models by using the strength
352 of a decay functions to weight the important of vegetation from close to the stream to further
353 away. However, the results from this study arguably showed a close similarity in terms of
354 surface area and patterns of land cover distribution between the fixed 50 m width approach and
355 the variable-width riparian buffer, even though the latter was constructed more robustly by
356 including digital elevation data, soil and hydrologic descriptors of riparian areas (Abood et al.,
357 2012). Moreover, the digital spatial comparison of the above-mentioned buffers revealed a
358 spatial agreement of ca. 70-83% between the two methods. Whether this percentage is
359 acceptable or sufficient depends on the goals of the study undertaken in terms of ecosystem
360 service provision and the potential value that a particular riparian area can achieve. For
361 instance, this percentage disagreement could be pivotal for those areas designated as being at
362 risk from agricultural pollution (i.e. Nitrate Vulnerable Zones, NVZ) which might require a
363 higher level of protection and precision in their delineation. Moreover, from a management
364 perspective, riparian areas often constitute zones excluded from productivity which greatly
365 affect stakeholders (e.g. farmers) considering the profound impact on the costs associated with
366 the buffer width chosen (Ahnström et al., 2009; Roberts et al., 2009). Additionally, it is worth
367 noting that some riparian areas responsible for important ecosystem services within agricultural
368 catchments such as nutrient cycling or water regulation, might require a more thorough
369 assessment than those with recreational and aesthetic values as the main ecosystem service
370 outcome.

371 Few riparian delineation studies have highlighted drawbacks associated with the
372 variable-width buffer approach. These may include, however, the heavy dependency of these

373 methodologies on accurate and precise digital information (e.g. DEM, soil data), the need for
374 up-to-date datasets and some technical expertise to reality check the predictions (Phillips et al.,
375 2000; Aunan et al., 2005). In our study, the determination of the 50-yr flood height as a crucial
376 parameter for the model led to additional time-consuming tasks due to the lack of available
377 hydrological data (e.g. flow rate, velocity or channel width) for our sub-catchments. As we
378 were unable to get this hydrological parameter from existing methodologies (Mason, 2007;
379 Abood et al., 2012), manual tracing of the cross-sections along the main rivers and a
380 computation of the 50-yr flood discharge to generate the water surface elevation was required.
381 This additional, component greatly increased the time required to successfully define the
382 riparian boundary by comparison with the fixed-width approach. However, as better digital
383 data (e.g. high-resolution soils and land cover datasets or real-time water quality and flow data)
384 become available, variable-width approaches will become much more efficient and precise
385 than the fixed-width approach.

386

387 **4.2 | Influence of DEM on model outcome**

388 The clear need for using a precise digital elevation dataset in the variable-width model was
389 demonstrated here. Abood et al. (2012) observed an increase in the riparian land included in
390 the delineation process when using a coarser spatial resolution of the DEM. A similar finding
391 was also reported by Papaioannou et al. (2016) when flood risk mapping. The difficulty arises
392 in detecting incremental changes in elevation, especially in steep areas where the elevation
393 usually changes abruptly. Our study also supports these conclusions for the 5 and 10 m spatial
394 resolution DEMs. However, in our case, the results from the 30 and 50 m spatial resolution
395 DEMs encompassed between 2 and 5 times smaller total riparian surface (km²) respectively
396 than obtained at a 2 m spatial resolution. Analysis of the 2 m resolution DEM compared to the
397 30 m resolution DEM revealed a discordance in elevation of up to 290 m in some cases. As a

398 result, the stream network obtained from much higher resolution data failed to match the
399 coarser resolution DEM. Consequently the 50 year flood height estimation was probably
400 underestimated, directly impacting upon the final riparian delineation. In addition, the
401 maximum transect length of 250 m was clearly insufficient for such a coarse resolution. The
402 same was also true for the 50 m resolution DEM.

403

404 **4.3 | Limitations of riparian soil mapping**

405 The National Soil Map at 1:250,000 scale was the only available dataset with full coverage in
406 our study area (SSEW, 1983). During characterisation of the sub-catchments and on assessment
407 of model performance, it became clear that its resolution was inadequate for small-scale
408 applications, such as riparian delineation. The best-available soil maps for the UK are at
409 1:63,000 scale, however, these only have limited coverage and may still contain significant
410 errors, particularly for soil types of limited spatial extent, as exemplified by riparian soils (Mayr
411 et al., 2008). Of these national 1:63,000 maps, most were completed over 50 years ago and
412 have never been updated. Over time, it can be expected that some soil features may also have
413 changed due to changes in policy and land management regime (e.g. afforestation, fencing,
414 drainage, riverbank stabilization). Further, climate change may also have altered their
415 properties (e.g. changes in soil C content or hydrological regime; Keay et al., 2014). The impact
416 of these factors on riparian soil classification remains unknown, but it adds extra uncertainty
417 to the model outputs. Based on the cost of undertaking ground-based soil surveys, however, it
418 is unlikely that the poor availability of soil data will improve in the near future. The recent
419 availability of high-spatial-resolution satellite and high-spectral-resolution aircraft imagery has
420 significantly improved the capacity for mapping riparian buffers, wetlands, and other
421 ecosystems and potentially the soils contained within them (Makkeasorn et al., 2009; Forzieri
422 et al., 2010). However, satellite sensors still do not have the combined spatial and spectral

423 resolution to reliably identify buffer vegetation types and conditions, let alone soils (Klemas,
424 2014).

425

426 **4.4 | Riparian habitat mapping**

427 Comparison of the two national land cover datasets raised some interesting issues. Firstly, we
428 noted that regardless of riparian delineation method, both datasets produced noticeable
429 differences in the coverage of different habitat types within riparian areas. For instance, there
430 is evidence that in the sub-catchment 2, the criteria used for the classification of the habitat
431 type is different for both datasets (e.g. Mountain, heath and bog versus Semi-natural grassland).
432 This variability is most likely due to the much finer scale resolution of the Phase 1 map in
433 which habitat surveying is both ground- and digital-based (nominal resolution 5 m), compared
434 to LCM2007 that is based largely on remote sensing and digital processing. This fact reveals
435 that comparison of outputs from models run using different underpinning datasets may be
436 problematic and could have severe implications. It should also be noted that small areas of
437 vegetation (<0.01 ha) will also be missed by most land cover maps. In this sense, ecosystem
438 services may be incorrectly assigned due to strong correlation between land cover type and
439 ecosystem service provision (Burkhard et al., 2009; Peterson et al., 2011; Maes et al., 2011).
440 For example, Sgouridis and Ullah (2014) established a link between land cover and land use
441 management with denitrification potential. The importance of accurate habitat identification is
442 also endorsed by studies like Tschardt et al. (2005) which showed that local habitats might
443 be essential to improve the delivery of ecosystem services, enhancing local diversity and
444 providing a natural corridor of special importance in simple landscapes dominated by arable
445 fields. On the other hand, Fisher et al. (2009) stressed that ecosystem services were not
446 homogeneous across landscapes. Therefore, if riparian models rely on accurate datasets, able
447 to capture the landscape heterogeneity, we could better predict the way that services can be

448 managed, protected and monitored across spatial and temporal scales. From this point of view,
449 De Groot et al. (2010) also added that furthering our understanding of the threats and
450 underlying mechanisms at the landscape scale will help better target our resources where the
451 enhancement of the service is needed most.

452 Differences in the precision and accuracy of digital data could lead to a
453 misinterpretation of the relative position and structure of a particular habitat within riparian
454 zones. This may be particularly problematic for very narrow riparian areas whose habitat type
455 will not be captured (Scholefield et al., 2016). Previous studies have reported that minimal
456 changes in land use might affect ecosystem service provision (Bennett et al., 2009; Raudsepp-
457 Hearne et al., 2010). Brenner et al. (2010) identified that small boundary habitat adjustment
458 could heavily influence the estimation of ecosystem services. Therefore, the over- or under-
459 estimation of the habitats included within riparian areas might influence the ecological and
460 economic value and could lead to an improper use as well as its need for protection.

461 It is also worth mentioning that although it is important to include riparian physical
462 features into models (i.e. 50-year flood height optimal hydrologic descriptor of a riparian
463 ecotone) that help us to predict their location, a thorough assessment of the resource to be
464 addressed and the particular ecosystem provision being targeting should also be incorporated.
465 The majority of the models follow the trend described in Verry et al. (2004) where it is
466 suggested that the functional riparian delineation (named here as the variable-width approach)
467 is a probabilistic approach based on a most likely predicted extent of riparian areas which are
468 connected with physical patterns (e.g. stream valley geomorphology to predict flood-prone
469 areas). However, apart from physical patterns, we strongly believe that there is a need to link
470 riparian buffers with the ecosystem services they provide and ensure that the width selected is
471 adequate to undertake the function. Results from different studies support this statement. For
472 example, Peterjohn & Correll (1984) established that sediment removal rates by riparian

473 buffers in agricultural catchments only increased by 4% despite more than doubling the buffer
474 width. This suggests that approaches such as a fixed-width buffer (10 m) or the legal approach
475 (2 m), might be sufficient to accomplish certain ecological functions. On the contrary, other
476 studies have showed that a 10% increase in phosphorus removal could be accomplished by
477 extending the buffer width by a factor of 2.5 (Wenger, 1999). Therefore, the implementation
478 of a more restrictive buffer might not preserve the habitat requirements. Consequently, using
479 functional models which detect physical attributes in riparian areas in addition to the
480 incorporation of the spatial supply of ecosystem services, that is its functionality, would greatly
481 strengthen not only riparian delineation but also its understanding.

482

483

484 **5 | CONCLUSIONS**

485 The results of this study revealed substantial differences in terms of spatial distribution, total
486 riparian area delineated and land cover patterns depending on the delineation method employed
487 and the spatial data available. Although simple, the single-width buffer approach lacked both
488 consistency and any underpinning scientific rationale for mapping and classifying riparian
489 areas. We conclude that this approach is likely to lead to gross inaccuracies and is therefore
490 should not generally be used. The exception to this is where the buffer strip is made sufficiently
491 wide to allow capture of some site-specific ecosystem services, at which point it could prove
492 valuable for assessment and planning purposes without requiring much investment in money
493 or time. In contrast, the variable-width buffer approach, despite being robust enough to
494 recognise the multiple interactions that take place within riparian areas, relies heavily on
495 accurate and up-to-date digital datasets and is more difficult to implement. Nevertheless, the
496 possibility of incorporating a specific dataset into the model to predict riparian zones allows
497 the opportunity to tailor a riparian area for every catchment according to its specific

498 characteristics. The selection of a particular method to delineate riparian areas and the accuracy
499 of the underpinning datasets heavily influences the predicted land cover distribution within the
500 riparian area. This will in turn determine future management activities to target riparian
501 ecosystem services. Our results have led to the development of new concepts for riparian
502 delineation based on ecosystem service-specific scenarios. Outcomes from our study suggest
503 that riparian delineation within UK habitats should be specific to the particular ecosystem
504 service(s) of interest (e.g. uptake of nutrients, shading, etc.).

505

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511

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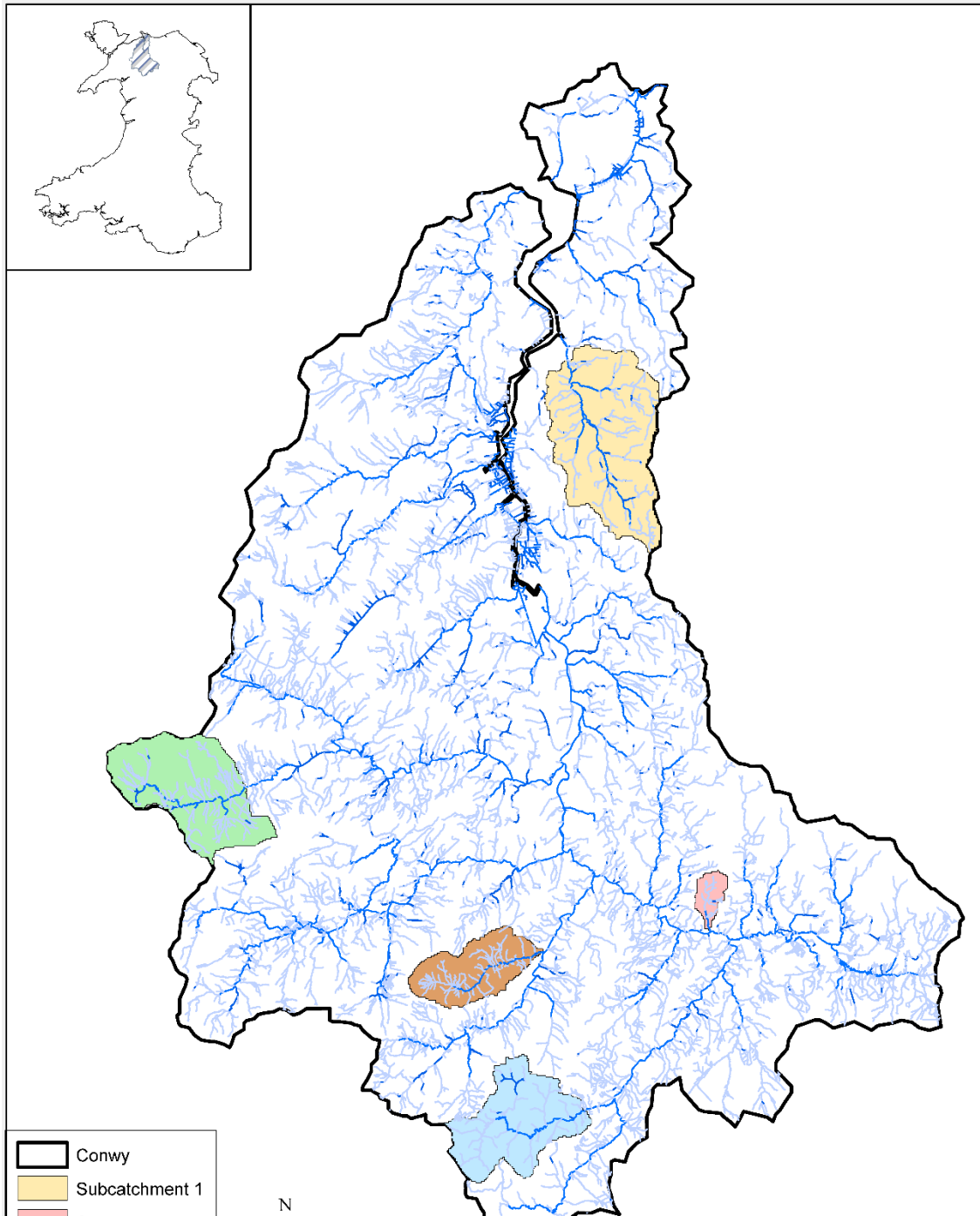
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TABLE 1. Main features of the sub-catchments selected in this study. More information is provided in the Online Supplementary Information.

	Sub-catchment 1	Sub-catchment 2	Sub-catchment 3	Sub-catchment 4	Sub-catchment 5
Area (km ²)	20.6	1.46	12.0	7.45	14.8
Stream network length (km)	60.0	6.05	34.5	32.1	60.8
Main channel length (km)	9.90	2.29	8.17	5.58	5.86
Average slope (%)	25.8	14.2	10.7	35.2	29.7
Dominant land use	Intensive livestock grazing	Intensive livestock grazing	Light livestock grazing	Light grazing and forestry	Light grazing
Dominant habitat type	Improved grassland	Improved grassland	Blanket bog	Coniferous woodland	Acid grassland

TABLE 2. Data inputs and sources used in the characterisation of the sub-catchments and delineation of the riparian areas.

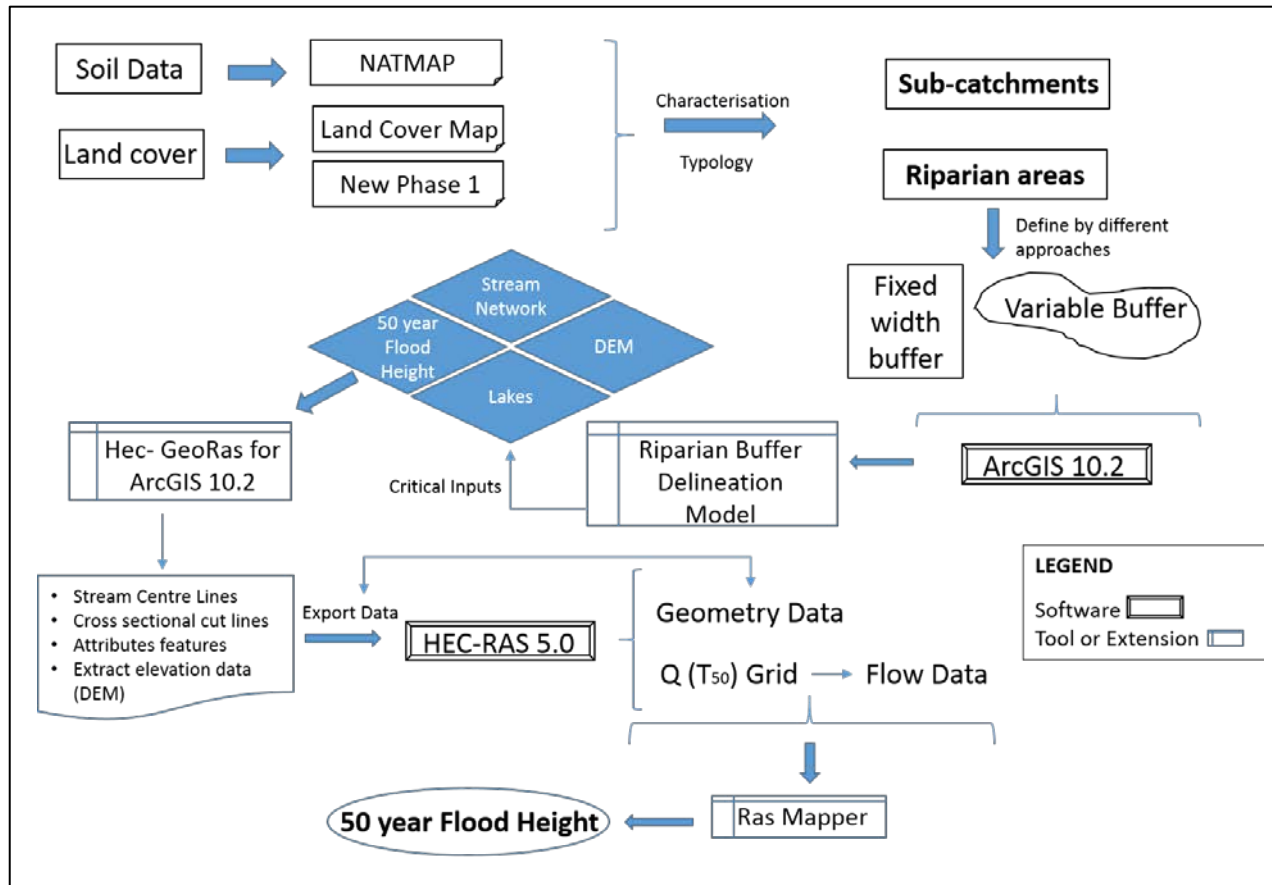
Dataset	Scale or resolution	Data type	Source	Description
Digital Soil Data	1:250,000 1:63,000	Shapefile	National Soil Resources Institute (NSRI) LandIS soil classification http://www.landis.org.uk/index.cfm	Digital Soilscape based on the National Map Soil; 1:63,000 soil maps only available for sub-catchment 1.
Land Cover Map 2007 (LCM2007)	25 m	Raster	Centre for Ecology & Hydrology (LCM2007) http://www.ceh.ac.uk/services/land-cover-map-2007.html	LCM2007 includes 23 categories derived from satellite images and digital cartography.
New Phase 1 Land Cover	1:25,000	Shapefile	Natural Resources Wales (Lucas et al., 2011)	Updated Phase 1 Survey comprising 105 specific habitat types grouped into 10 broad habitat types.
Network-wide FEH flood peak estimates (Q (T) grids)	50 m	Raster	Centre for Ecology & Hydrology http://www.ceh.ac.uk/services/peak-river-flows-qt-grids (Robson and Reed, 1999; Morris, 2003)	Flood peak river flows estimated for different return periods at 50 m intervals along the UK river network. The flood peak estimates have been produced using a fully automated version of the Flood Estimation Handbook statistical procedures.
Detailed River Network (DRN)		Shapefile	UK Environment Agency (2008)	DRN derived from Ordnance Survey Mastermap features.
Inland lakes	1:10,000	Shapefile	Ordnance Survey (OS) Master Map https://www.ordnancesurvey.co.uk/business-and-government/products/mastermap-products.html	Lakes and open water bodies extracted from OS Master Map.
Catchment and sub-catchments		Shapefile	Centre for Ecology & Hydrology, D. Cooper	Catchment and sub-catchment boundaries.
Flood Zone 3	1:10,000	Shapefile	UK Environment Agency (2004) http://www.environment-agency.gov.uk/homeandleisure/37837.aspx	Shapefile with the Environment Agency best-estimate of the areas of land with a 1% or greater chance of flooding each year from rivers.
Annual rainfall (SAAR 61-90), mm	5 km	Raster	Natural Environment Research Council (NERC, 2012)	Annual rainfall 5 km x 5 km gridded datasets covering the UK based on Met Office Standard Average Annual Rainfall 1961-1990.
Digital Elevation Model (DEM)	2 m	Raster	Centre for Environmental Data Archival (Landmap Earth Observation collection); http://www.ceda.ac.uk/	DEM photogrammetrically derived from aerial photography by GetMapping and acquired by the Landmap project.
Digital Elevation Model	5, 10, 30 and 50 m	Raster	UK Environment Agency	Lidar composite DEM



3 **Fig. 1.** Representation of the Conwy catchment and the five sub-catchments used in this study. Inset shows the location of the main catchment
4 within Wales.

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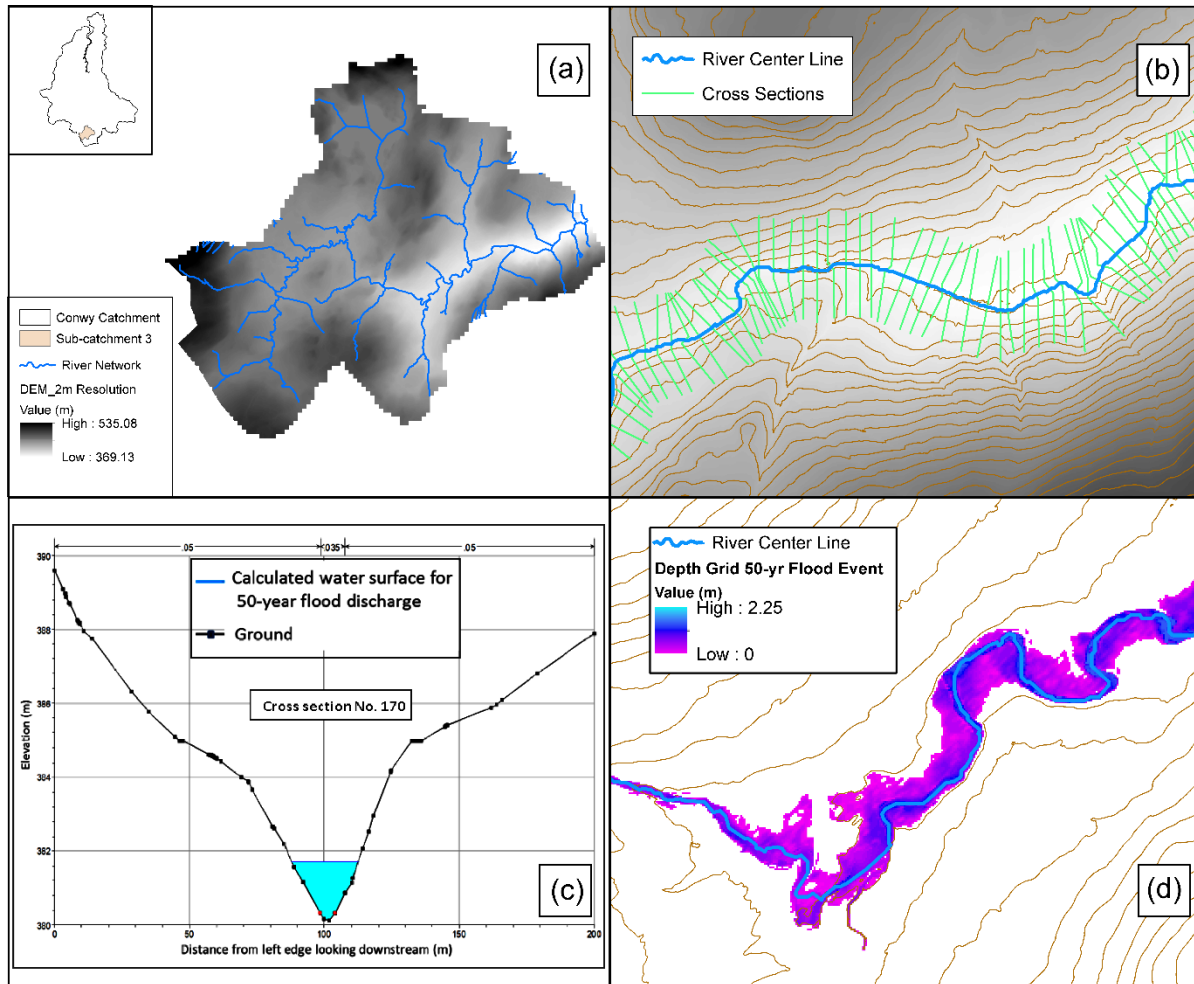


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9 **Fig. 2.** Flowchart describing the methodology used to delineate riparian areas within this study.

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12 **Fig. 3.** Illustration of the river network over the digital elevation model (a) and cross sections along the river centre lines (b) at the same location.

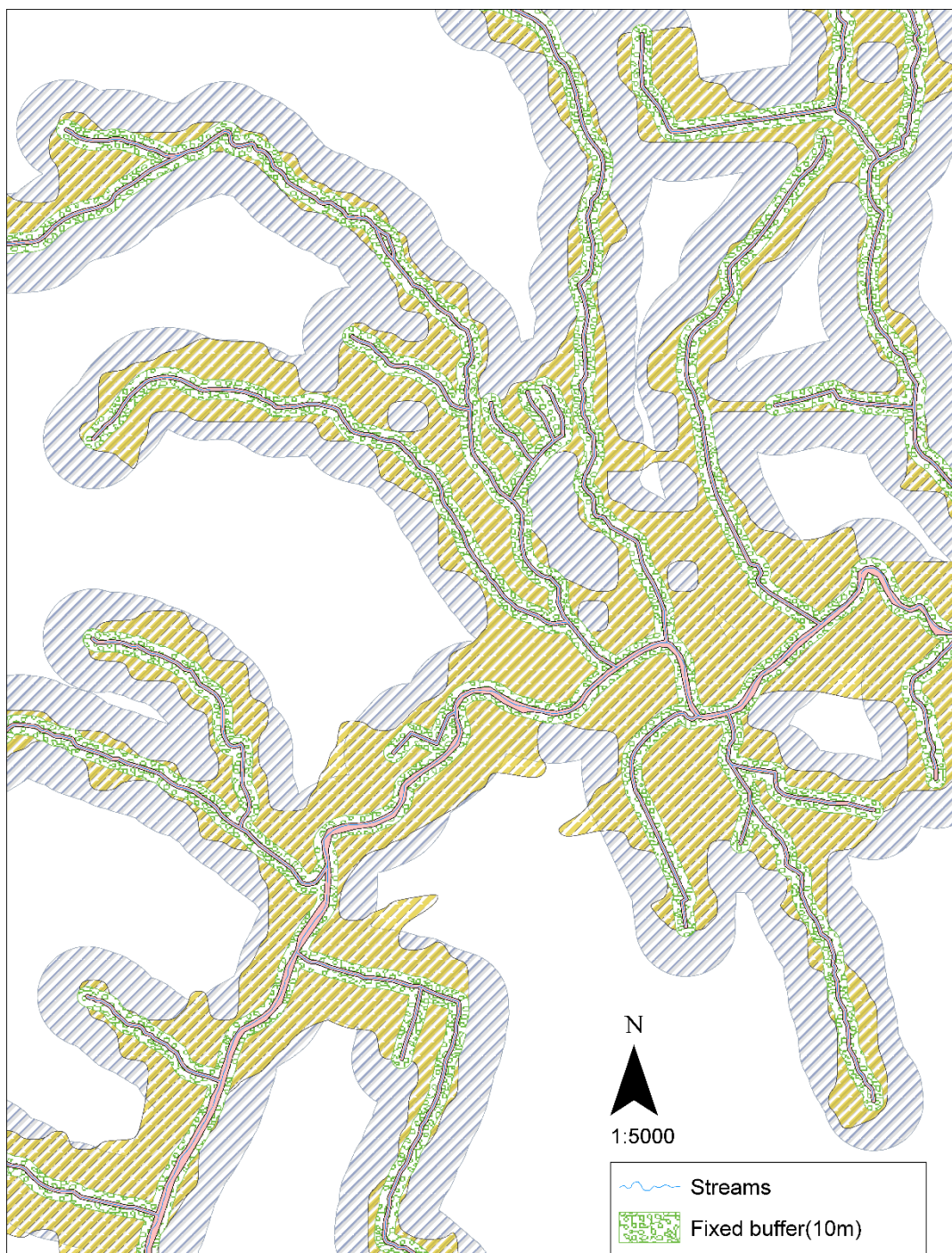
13 (c) An example of a HEC-RAS cross section, looking downstream, and (d) the RAS Mapper depth grid for the 50- year floodplain .

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- Streams
- Fixed buffer(10m)

19 **Fig. 4.** GIS comparison of all the different approaches for delineating riparian buffers within sub-catchment 5.

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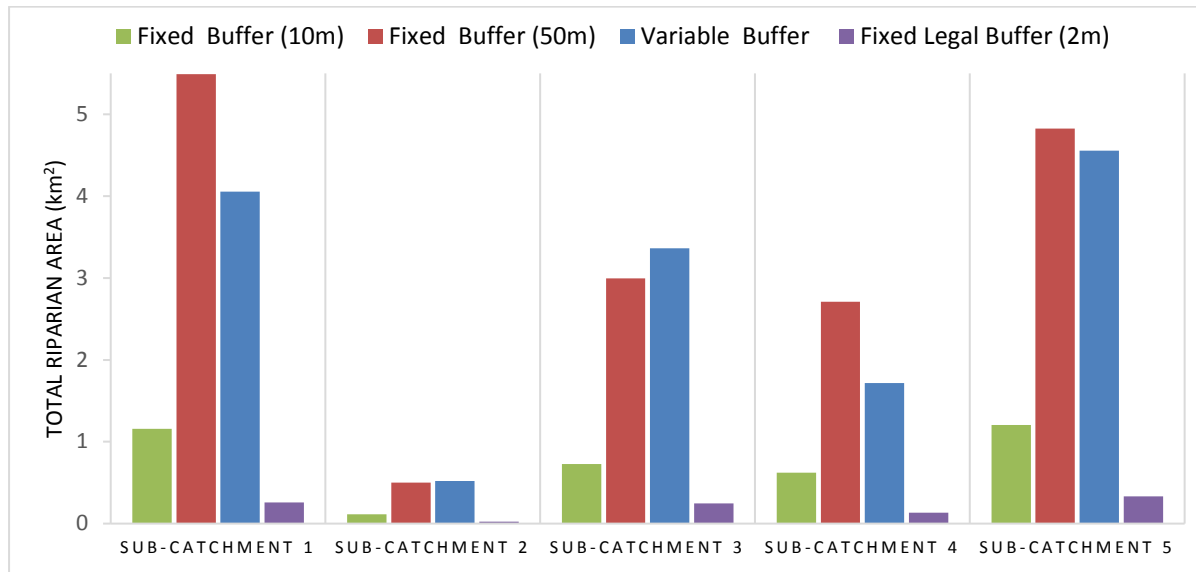
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27 **Fig. 5.** Comparison of the four different GIS-based methods on the total amount of riparian area delineated within each of the five sub-catchments within the Conwy catchment.

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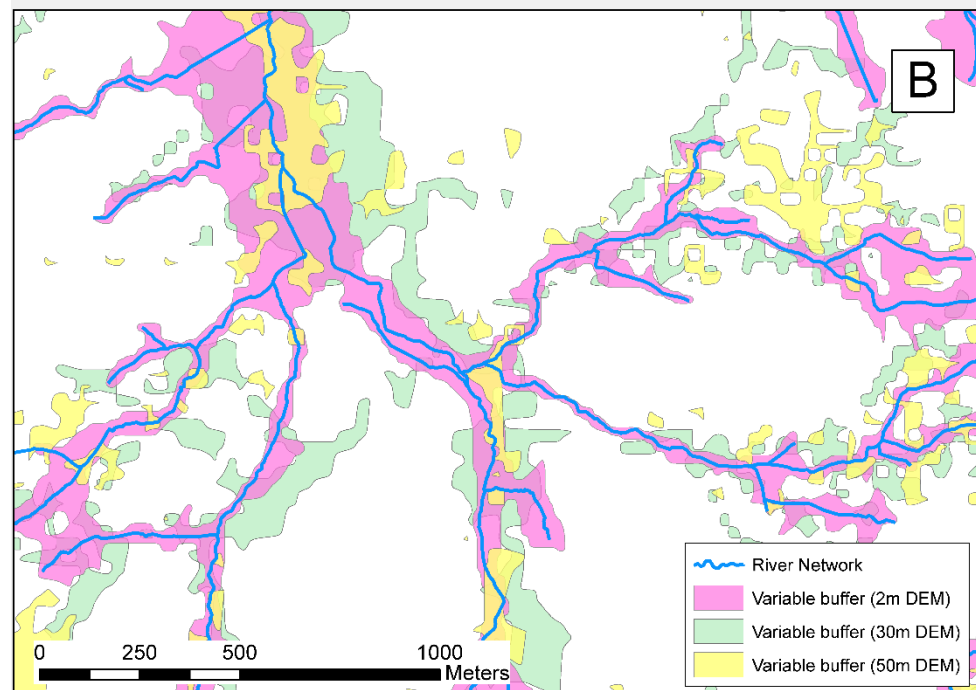
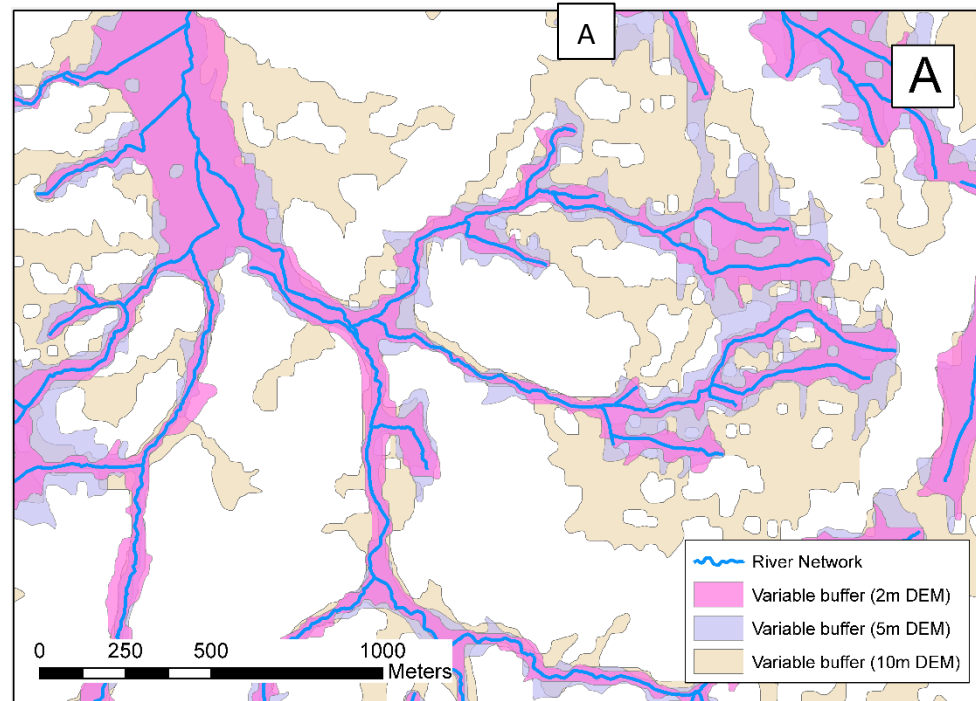
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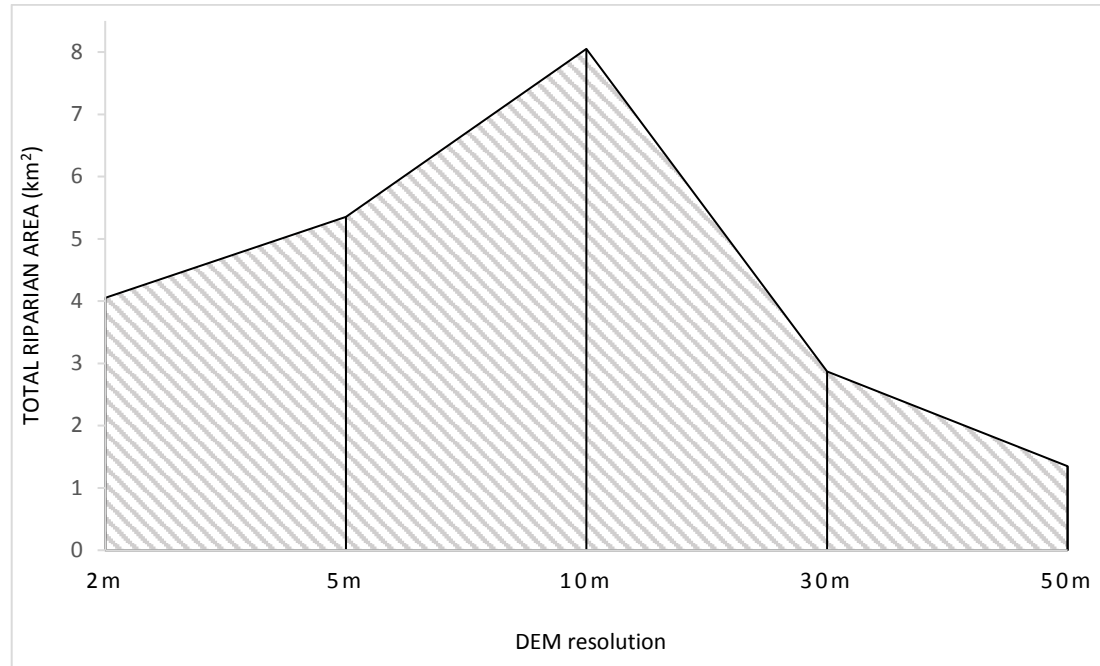
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50 **Fig 6.** Example area comparing the riparian variable width model result using 2 m resolution DEM with 5 and 10 m resolution DEM results
51 (Panel A) and 30 and 50 m resolution DEM results (Panel B) in sub-catchment 1.

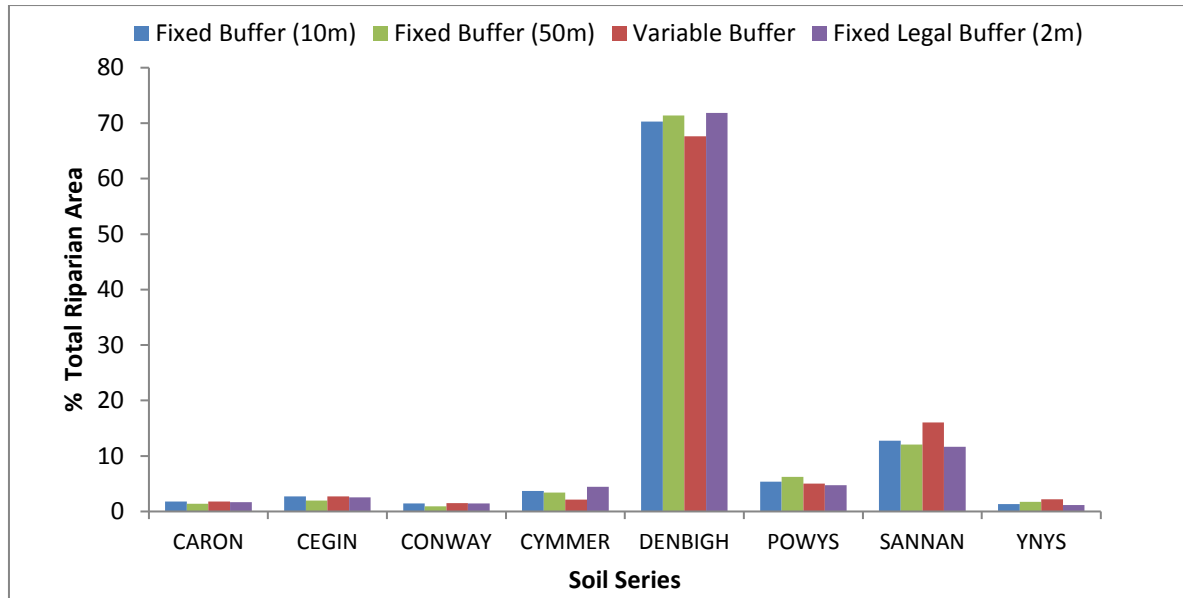
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57 **Fig 7.** Comparison of the total amount of riparian area delineated when running the model with DEM resolutions ranging from 2 m to 50 m for
58 sub-catchment 1.

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79 **Fig 8.** Distribution of different soil types (series) estimated by four different riparian delineation methods for sub-catchment 1. A description of
80 the different soil series and their equivalent in the FAO World Reference Base (WRB) is shown in Table S2.

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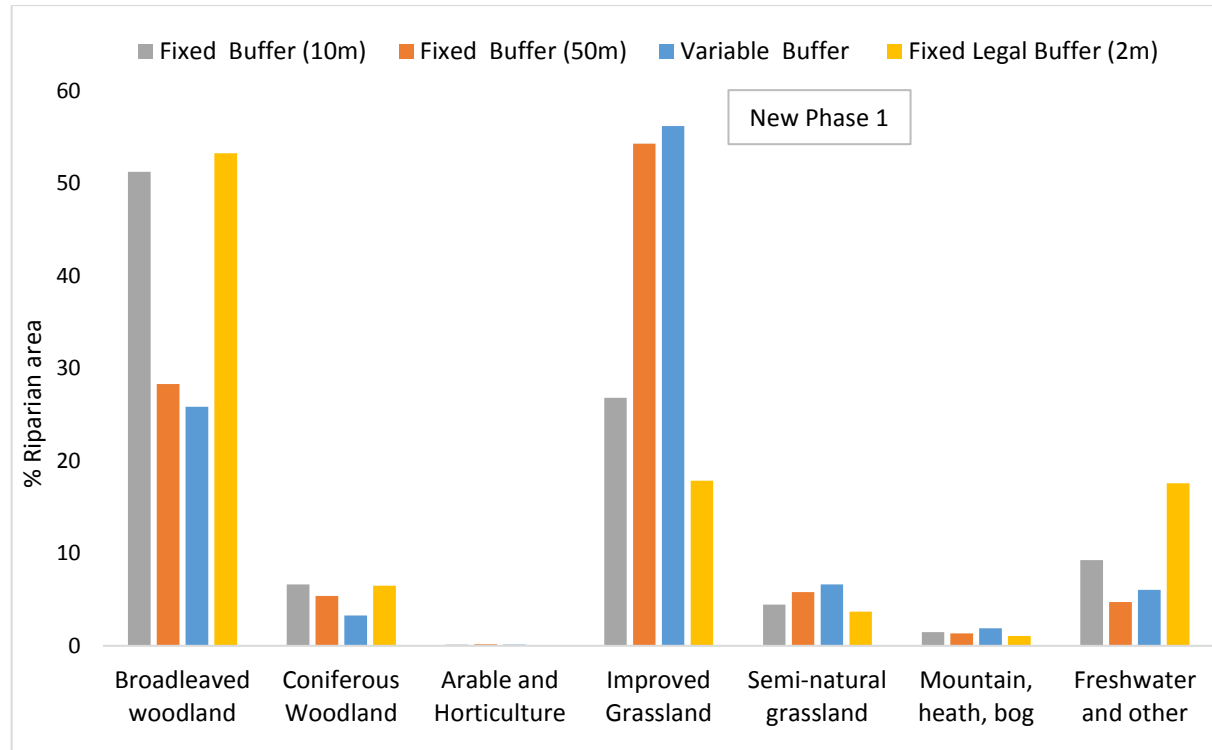
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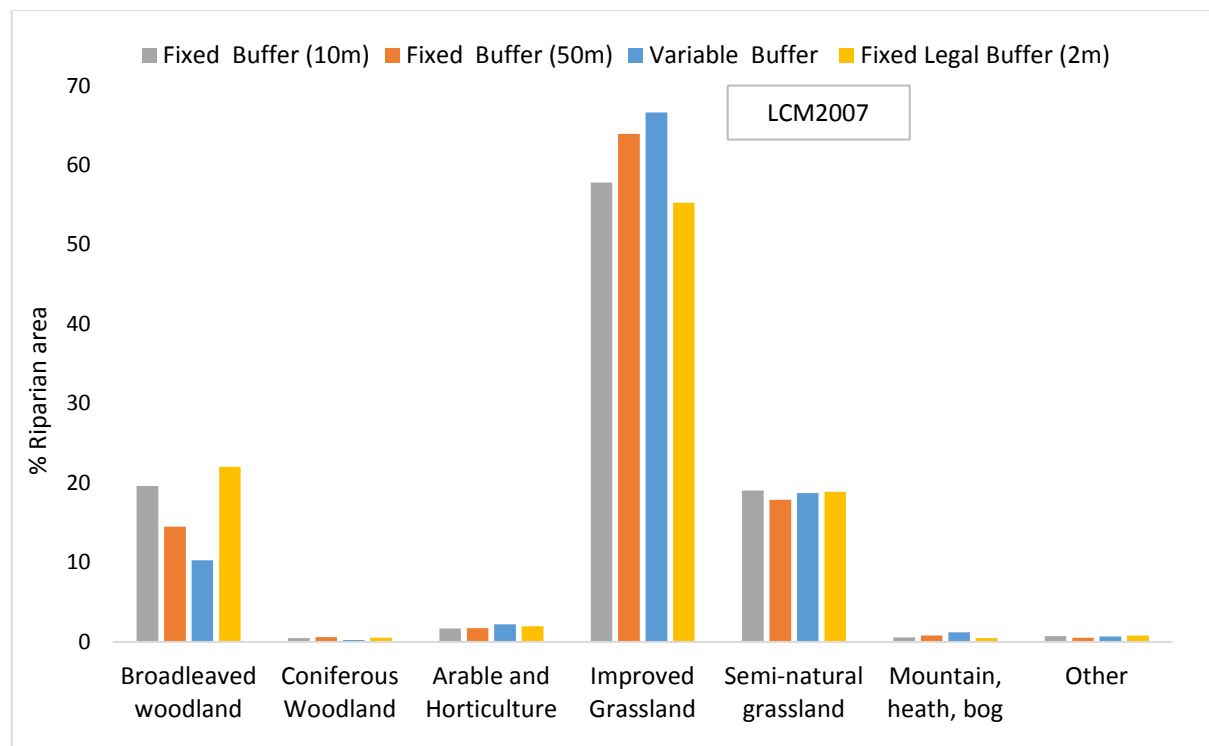
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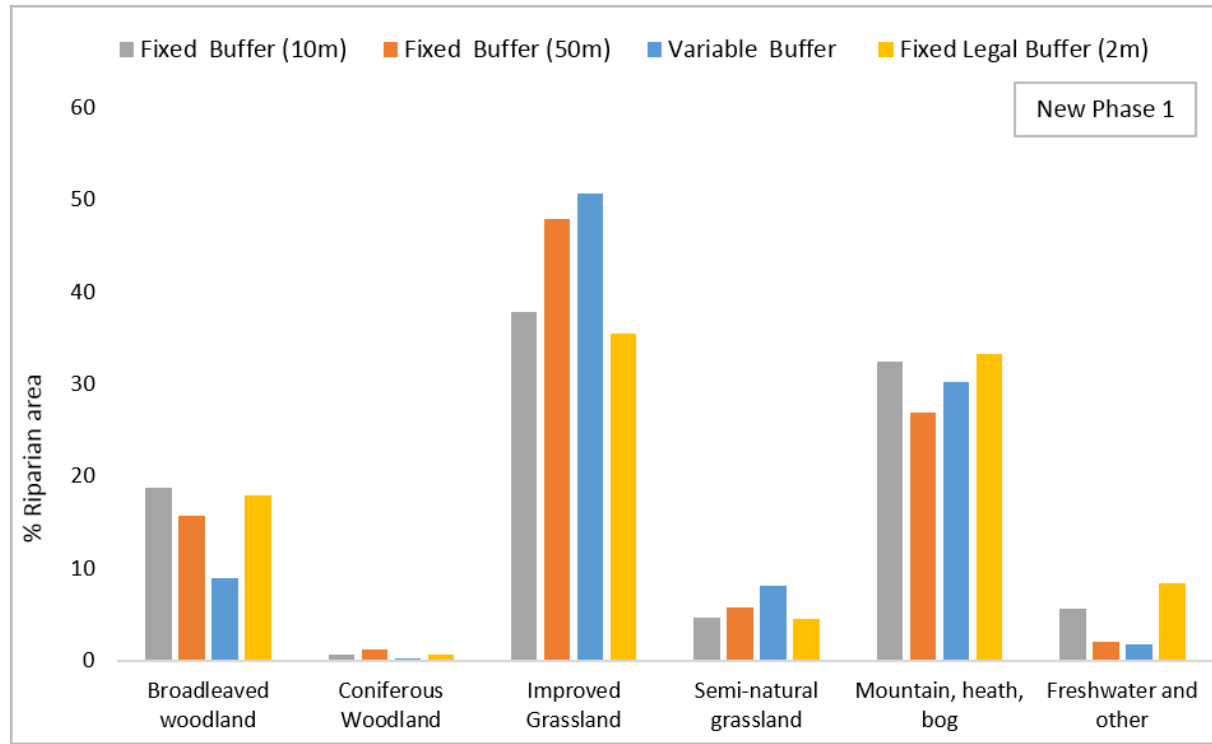


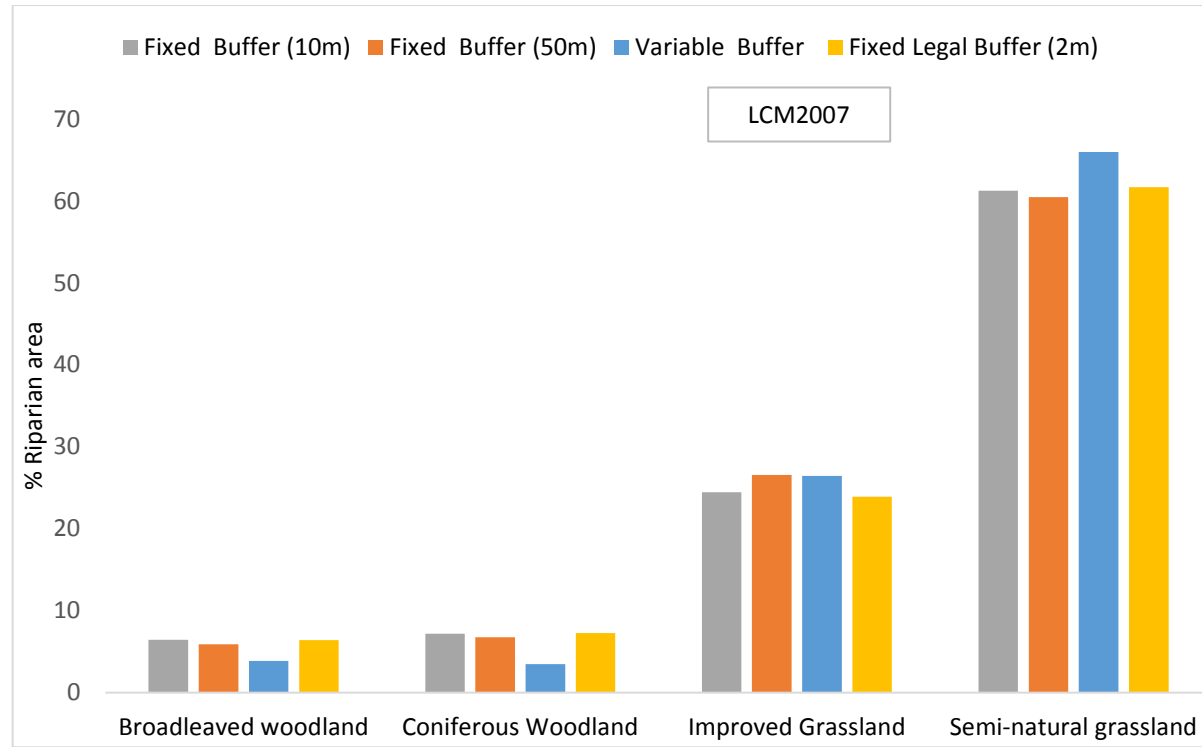


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102 **Fig. 9.** Comparison of the area of riparian habitat types determined using either New Phase 1 (Panel A) or LCM2007 (Panel B) national vegetation
103 mapping datasets using four different riparian delineation methods for sub-catchment 1.

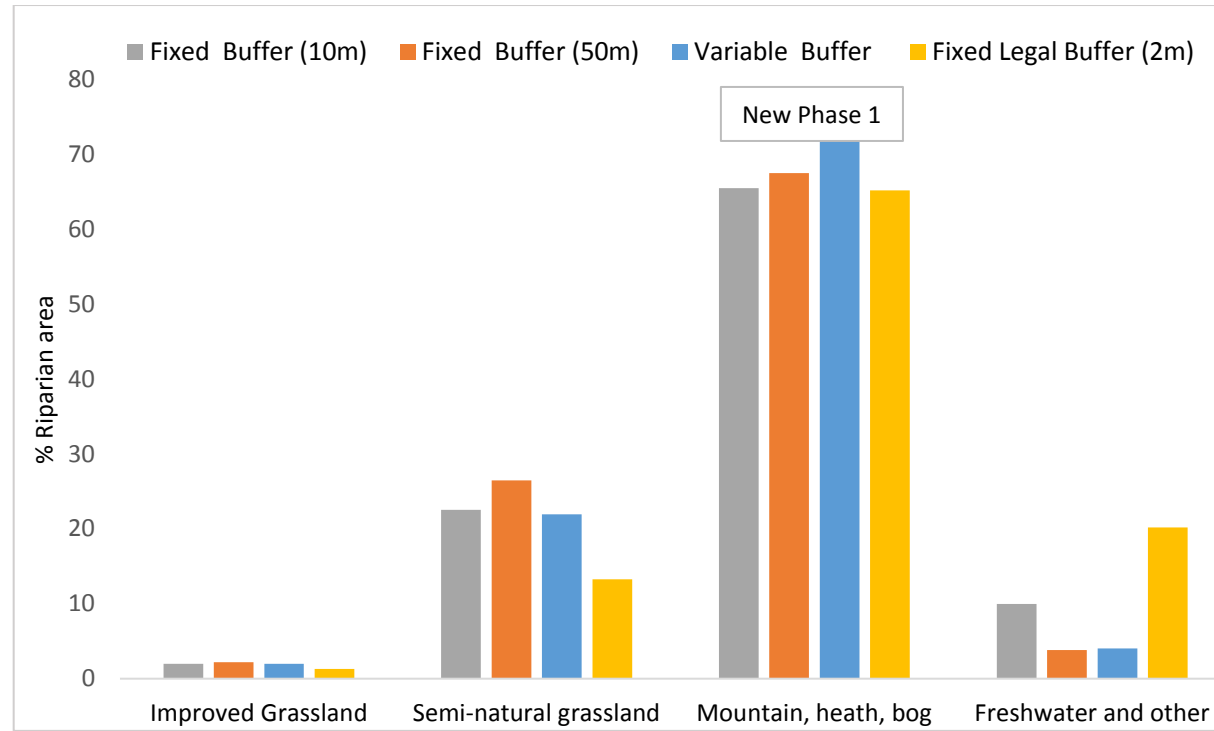
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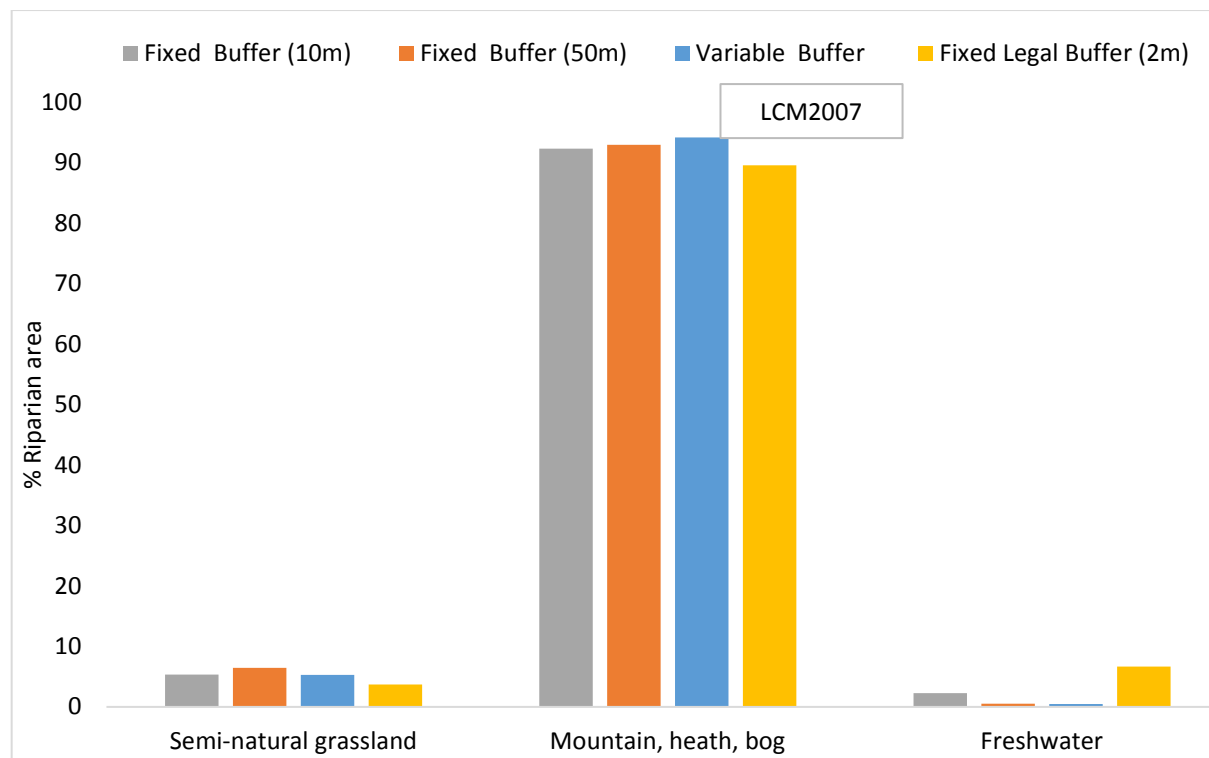




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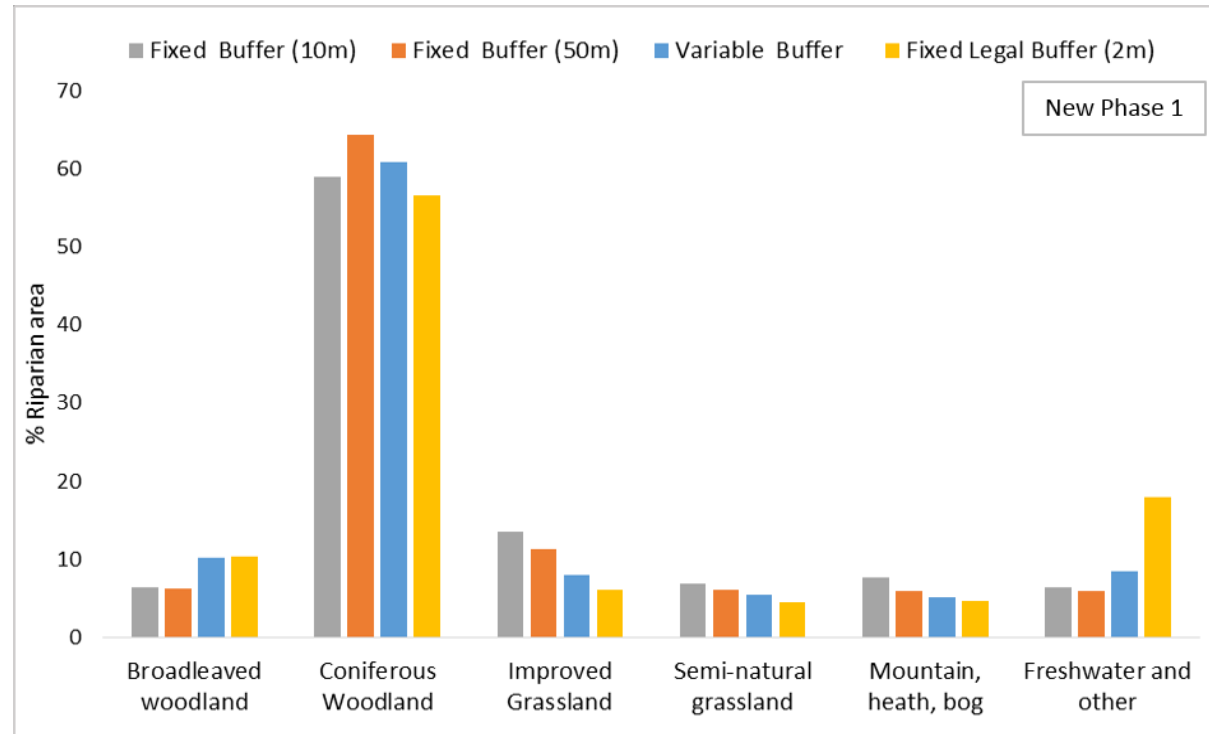
Fig. 10. Comparison of the area of riparian habitat types determined using either New Phase 1 (Panel A) or LCM2007 (Panel B) national vegetation mapping datasets using four different riparian delineation methods for sub-catchment 2.

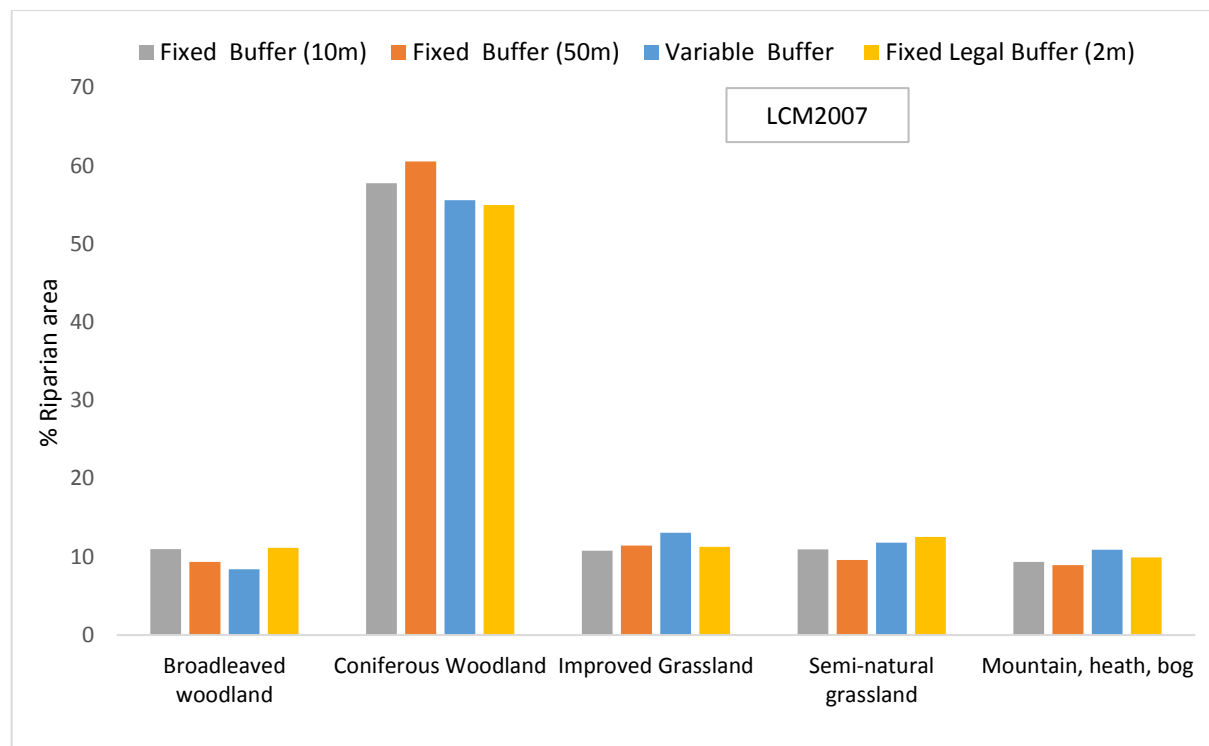




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Fig. 11. Comparison of the area of riparian habitat types determined using either New Phase 1 (Panel A) or LCM2007 (Panel B) national vegetation mapping datasets using four different riparian delineation methods for sub-catchment 3.

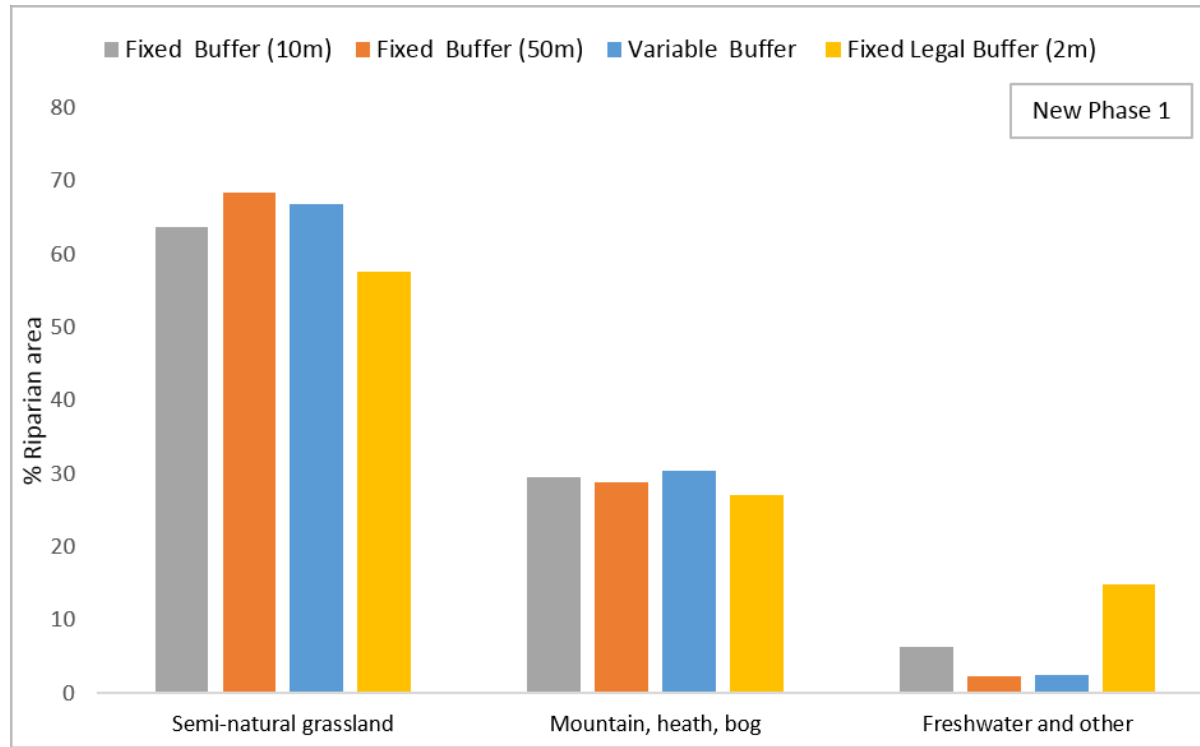


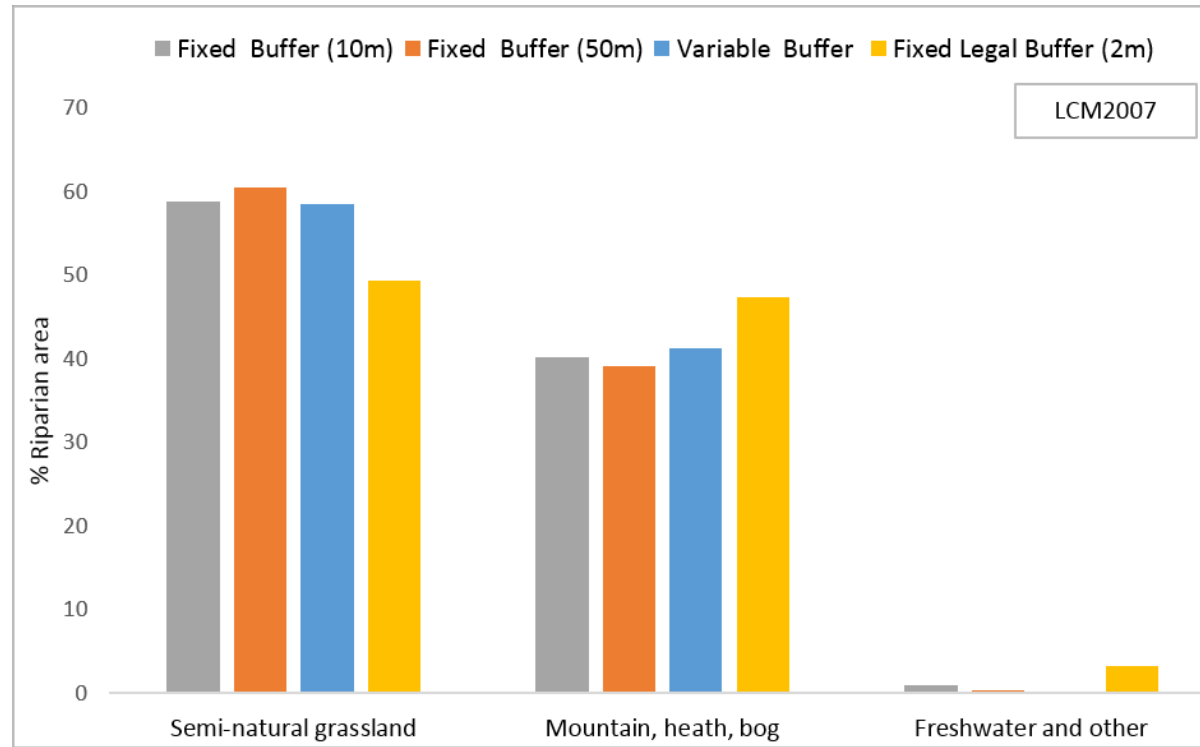


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Fig. 12. Comparison of the area of riparian habitat types determined using either New Phase 1 (Panel A) or LCM2007 (Panel B) national vegetation mapping datasets using four different riparian delineation methods for sub-catchment 4.

New Phase 1





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Fig. 13. Comparison of the area of riparian habitat types determined using either New Phase 1 (Panel A) or LCM2007 (Panel B) national vegetation mapping datasets using four different riparian delineation methods for sub-catchment 5.