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# Trawl Exposure and Protection of Seabed Fauna at Large Spatial Scales

Tessa Mazor<sup>1</sup>, C. Roland Pitcher<sup>1</sup>, Nick Ellis<sup>1</sup>, Wayne Rochester<sup>1</sup>, Simon Jennings<sup>2,3</sup>, Jan Geert Hiddink<sup>4</sup>, Robert A. McConnaughey<sup>5</sup>, Michel Kaiser<sup>4</sup>, Ana Parma<sup>6</sup>, Petri Suuronen<sup>7</sup>, Mervi Kangas<sup>8</sup>, Ray Hilborn<sup>9</sup>

1. CSIRO Oceans and Atmosphere, Brisbane, Australia; [tessa.mazor@csiro.au](mailto:tessa.mazor@csiro.au); [nick\\_ellis@optusnet.com.au](mailto:nick_ellis@optusnet.com.au); [wayne.rochester@csiro.au](mailto:wayne.rochester@csiro.au); [roland.pitcher@csiro.au](mailto:roland.pitcher@csiro.au)
2. Centre for Environment, Fisheries and Aquaculture Science, Lowestoft, UK; [simon.jennings@ices.dk](mailto:simon.jennings@ices.dk)
3. School of Environmental Sciences, University of East Anglia, Norwich, UK
4. School of Ocean Sciences, Bangor University, Menai Bridge, Wales, UK; [j.hiddink@bangor.ac.uk](mailto:j.hiddink@bangor.ac.uk); [michel.kaiser@bangor.ac.uk](mailto:michel.kaiser@bangor.ac.uk)
5. RACE Division, Alaska Fisheries Science Center, National Marine Fisheries Service, NOAA, Seattle, Washington, USA; [bob.mcconnaughey@noaa.gov](mailto:bob.mcconnaughey@noaa.gov)
6. Centro Nacional Patagónico, Puerto Madryn Chubut, Argentina; [parma@cenpat.edu.ar](mailto:parma@cenpat.edu.ar)
7. FAO Fisheries and Aquaculture Department, Rome, Italy; [petri.suuronen@fao.org](mailto:petri.suuronen@fao.org)
8. Department of Fisheries, Western Australia; [mervi.kangas@fish.wa.gov.au](mailto:mervi.kangas@fish.wa.gov.au)
9. University of Washington, Seattle, WA, USA; [rayh@uw.edu](mailto:rayh@uw.edu)

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**Corresponding author:**

Tessa Mazor

CSIRO Oceans & Atmosphere Flagship

Ecosciences Precinct 41 Boggo Road, Dutton Park

QLD 4102, Australia

Phone: +61 7 3833 5626

E-mail: [tessa.mazor@csiro.au](mailto:tessa.mazor@csiro.au)

37 **ABSTRACT**

38 **Aim:** Trawling leads to widespread direct human disturbance on the seabed. Knowledge of  
39 the extent and consequences of this disturbance is limited because large-scale distributions of  
40 seabed fauna are not well-known. We map faunal distributions in the Australian Exclusive  
41 Economic Zone (EEZ) and quantify the proportion of their abundance that occurs in areas 1)  
42 that are directly trawled, and 2) where legislation permanently prohibits trawling — defined  
43 as percentage *exposure* or *protection* respectively. Our approach includes developing a  
44 method that integrates data from disparate seabed surveys to spatially expand predicted  
45 benthos distributions.

46 **Location:** Australia

47 **Methods:** We collate data from 18 seabed surveys to map the distribution of seabed  
48 invertebrates (benthos) in nine regions. Our approach combines data from multiple surveys,  
49 groups taxa within taxonomic classes, and uses Random Forests to predict spatial abundance  
50 distributions of benthos groups from environmental variables. Exposure and protection of  
51 benthos groups were quantified by mapping their predicted abundance distributions against  
52 the footprint of trawling and legislated boundaries of marine reserves and fishery closures.

53 **Results:** Trawling is currently prohibited from more area of Australia's EEZ (58%) than is  
54 trawled (<5%). Across 134 benthos-groups, 96% had greater protection of abundance than  
55 exposure. The mean trawl exposure of benthos-group abundance was 7%, compared to mean  
56 protection of 38%; whereas the mean abundance neither trawled nor protected was 55%.  
57 Fishery closures covered 19% less study area than marine reserves, but overlapped with a  
58 higher proportion (5% more) of benthos-group abundance.

59 **Main Conclusions:** This study provides the most extensive quantitative assessment of the  
60 current exposure of Australia's benthos to trawling. Further, it highlights the contribution of

61 fishery closures to marine conservation. These results help identify regions and taxa that are  
62 at greatest potential risk from trawling, and supports managers to achieve balance between  
63 conservation and sustainable industries in marine ecosystems.

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## 81 INTRODUCTION

82 Seabed fauna are critical for the functioning of marine ecosystems. Seabed invertebrates  
83 (benthos) help oxygenate the sea floor, break down organic material, provide habitat structure  
84 and food sources for other organisms (Tagliapietra & Sigovini, 2010). Accordingly, benthos  
85 are often used as indicators for assessing the status and health of marine ecosystems  
86 (Rosenberg *et al.*, 2004). From a human perspective, benthos support a range of commercial  
87 industries (Hiddink *et al.*, 2011; Choi & Joon Choi, 2012). However, many benthic species  
88 are sensitive to disturbance; thus, the extent and intensity of human activity in marine  
89 ecosystems can ultimately disrupt the services that benthos provide (Thrush & Dayton, 2002).  
90 While the importance of benthos in marine ecosystems is recognized, their distributions and  
91 extent of threats on them are largely unknown, particularly across large spatial scales.

92

93 Seabed trawling and dredging (hereafter “trawling”) yield ~25% of global seafood catches  
94 (FAO, 2009), yet are considered the most widespread human source of direct physical  
95 disturbance to benthos (Hinz *et al.*, 2009). Much research has focused on investigating the  
96 impacts of trawling on benthic species and communities (Kaiser *et al.*, 2006). Experimental  
97 and comparative studies indicate that trawling reduces species abundance and biomass  
98 (Burrige *et al.*, 2003; Kaiser *et al.*, 2006), and can lead to longer-term restructuring of  
99 benthic communities (Hiddink *et al.*, 2006a; Hinz *et al.*, 2009). While there is much debate  
100 about the severity and extent of trawl impacts (Hilborn, 2007), rather few studies have  
101 measured these on large scales (>50 km<sup>2</sup>) where spatial variation in trawling intensity will  
102 influence the aggregate impact (Jennings *et al.*, 2001; Hiddink *et al.*, 2006a; Pitcher *et al.*,  
103 2016a).

104

105 Marine reserves and fishery closures are two management tools that are used to protect  
106 species and habitats from human disturbance (Rice, 2005). Previously, marine reserve  
107 designation was largely opportunistic (Roberts *et al.*, 2003), but now systematic approaches  
108 that take account of biota distributions may be used for planning spatial closures (Schmiing *et*  
109 *al.*, 2014). Even though closures and reserves may not be specifically established for  
110 protection and conservation of benthos, they may provide fortuitous benefits (Pitcher *et al.*,  
111 2007a). Protected areas that are not located in areas of high benthos abundance or diversity  
112 may have little benefit for the state of benthic ecosystems, and can have negative effects if  
113 fishing is displaced to benthos rich areas (Pitcher *et al.*, 2015). Thus, benefits for benthos  
114 cannot be assumed, and distributions of benthic habitats and fauna should be assessed and  
115 incorporated when planning spatial closures (Hiddink *et al.*, 2006b).

116

117 Knowledge of the large-scale distribution of benthos is essential for impact assessments,  
118 conservation and management. Given incomplete knowledge of benthic distributions and the  
119 challenge of large-scale and high-resolution sampling (Fisher *et al.*, 2011), models are often  
120 developed and used to predict species distributions beyond sampled sites (Elith & Leathwick,  
121 2009). Attempts to apply these models are often constrained by sparse and patchy survey  
122 data, often only available as presence/absence or even presence-only records and at coarse  
123 taxonomic resolution (Compton *et al.*, 2013). Despite investment in some large-scale benthic  
124 surveys in Australia (Pitcher *et al.*, 2007b; Pitcher *et al.*, 2016b), it is not feasible to address  
125 all constraints. Hence, alternative methods need to be adopted for predicting distributions and  
126 trawling impacts in data-limited situations, and to expand the extent of assessed regions.  
127 Advances in the use of data-limited approaches for making large-scale predictions of benthos  
128 distributions would enable management decisions on the mitigation of seabed impacts in  
129 more areas of the world.

130

131 We aim to quantify, across large spatial scales, the proportion of benthos abundance currently  
132 distributed in areas that are trawled — defined as *exposure* — and in marine reserves or  
133 fishery closure areas where legislation permanently prohibits trawling — defined as  
134 *protection*. Our analysis is based on benthos distributions predicted from seabed survey data.  
135 We also develop approaches to utilize sparse and disparate datasets with the intention of  
136 expanding the spatial extent of distribution mapping — an approach that can be widely  
137 applicable elsewhere. Here we focus on the Australian Exclusive Economic Zone (EEZ),  
138 where the diversity of environments and seabed fauna is high (Ponder *et al.*, 2002), and  
139 management measures are already influencing the distribution of trawling activity; including  
140 large MPAs (e.g. The Great Barrier Reef Marine Park: Day & Dobbs, 2013; Commonwealth  
141 Marine Reserves: Department of the Environment, 2016) and ecosystem-based fisheries  
142 management (McLoughlin *et al.*, 2008).

143

## 144 **METHODS**

145 Large-scale distributions of benthos were modelled and predicted from available surveys and  
146 environmental variables across Australia’s EEZ. We sought to maximise the extent of  
147 predictions by utilising data from disparate surveys (e.g. different sampling devices,  
148 abundance metrics, locations and levels of taxonomic resolution). Benthos taxa were  
149 aggregated to the rank of class — the taxonomic resolution consistently recorded among  
150 datasets and with reported trawl-sensitivities (Collie *et al.*, 2000). Within classes, taxa were  
151 grouped according to their correspondence with assemblages of sites and their abundance  
152 data were aggregated and modelled. Finally, benthos group distributions were mapped and

153 used to quantify the proportion of their abundance that overlaps with the trawl footprint  
154 (exposure) and trawl closed areas (protection).

155

## 156 **Collating large-scale datasets across Australia**

157 We collated available data across Australia's continental EEZ (9.14 million km<sup>2</sup>, Fig. 1) for  
158 large-scale benthic surveys, trawl footprint, trawl closed areas and environmental variables  
159 (predictors).

### 160 *Benthic surveys*

161 Invertebrate data were obtained from 18 benthic surveys in nine Australian regions (Fig. 1;  
162 Table S1 in Supporting Information). These data were collected from 3200 sites by four gear  
163 types: beam trawl (119 sites), grab (462 sites), epibenthic sled (2438), and prawn trawl (1028  
164 sites). The taxonomic classes that comprised the largest number of sampled taxa were used in  
165 analyses: Bivalvia, Demospongiae, Echinoidea, Gastropoda, Gymnolaemata, Holothuroidea,  
166 Malacostraca, Ophiuroidea and Polychaeta. The number of taxa identified in each survey  
167 ranged from 277 to 4067, and their abundances were recorded as counts or weight, usually  
168 standardised by sampled area.

### 169 *Trawl footprint*

170 Trawl effort data for 3–5 years in 2007–2012 were acquired from the relevant authorities  
171 responsible for management of each fishery (Table S2). Average annual trawl effort in hours  
172 per 0.01° grid cell (~1.1 km) was re-scaled to total swept area, based on the gear swept-width  
173 and towing speeds for each fishery. Total annual swept area was divided by grid area to give  
174 the swept-area ratio  $F$  of each cell. Trawl footprints were estimated in two ways: (1) by  
175 assuming trawling was randomly distributed within cells, thus the trawled proportion of each



176 cell is  $1-e^{-F}$  and is representative of the annual trawl footprint, and (2) by assuming trawling  
177 is uniformly distributed within cells, thus the trawled proportion of each cell is  $F$  if  $F < 1$ ,  
178 otherwise 1, and is representative of a multi-year trawl footprint (for details see Pitcher *et al.*,  
179 2016c; Pitcher *et al.*, 2016d).

#### 180 *Trawl closed areas*

181 All available data on the location of marine reserves/parks and fishery closures were collated  
182 for the Australian EEZ (Table S3; Table S4). We examined each management and zoning  
183 plan to include only spatial areas that permanently prohibit trawl fishing. All areas that  
184 prohibit trawling were combined and mapped using ArcGIS (ESRI, 2014). We note that for  
185 many Commonwealth Marine Reserves protection is planned but not yet in effect.

#### 186 *Environmental data*

187 Environmental data for modelling and predicting the distribution of benthos comprised 37  
188 environmental variables mapped to the Australian EEZ on a  $0.01^\circ$  grid (Table S5). Predictors  
189 that did not vary among surveyed sites ( $SD=0$ ) or were missing for parts of a region were  
190 excluded from individual analyses.

191

#### 192 **Statistical methods**

193 Benthos distributions were modelled and predicted using Random Forests (RF), an ensemble  
194 of decision trees with binary splits (Breiman, 2001). Analyses were implemented in the R  
195 computing environment (R Core Team, 2015) using package ‘randomForest’ (Liaw &  
196 Wiener, 2002). Importance of each predictor was calculated as the increase in Out-of-Bag  
197 (OOB) mean squared error (MSE) when the values of the predictor were randomly permuted.  
198 We used conditional importance as implemented in ‘extendedForest’ to take into account

199 correlations between predictors (Ellis *et al.* 2012). Model performance (measured by OOB  
200  $R^2$ ) was improved in all analyses by iteratively excluding predictors with low importance  
201 until OOB  $R^2$  stopped improving. We optimized the number of terminal nodes of trees  
202 ('maxnodes') by iteratively fitting RFs with maxnodes increasing from 1, in blocks of 10,  
203 until OOB  $R^2$  decreased for two consecutive blocks. The number of terminal nodes associated  
204 with the highest OOB  $R^2$  was selected for the final model.

205

## 206 **Predicting benthos distributions**

207 Nine regions on the continental shelf of Australia (area = 1.44 million km<sup>2</sup>; ~16% of EEZ;  
208 Fig. 1) were assessed based on the availability of large-scale benthic survey datasets (Table  
209 S1). Each study region was bounded by the latitude, longitude and depth-range of surveyed  
210 sites. Analyses for each region followed a three-step process: arranging data-matrices,  
211 grouping taxa and predicting current benthos distributions using RF (Fig. 2; Appendix S1 – R  
212 code example).

213

### 214 Step 1. Arranging data into a matrix

215 The RF analyses required a site-by-taxon matrix (biomass or count data) for each of the nine  
216 regions. Three approaches based on the complexity of regional survey datasets were used to  
217 produce the matrix (Appendix S1).

#### 218 *i) Single gear approach*

219 For regions where benthos were sampled with one gear type (e.g. sled, prawn trawl or grab),  
220 abundance data were arranged into a conventional site-by-taxon matrix.

#### 221 *ii) Multiple gears approach*

222 In some regions, multiple gears were used to sample benthos at the same sites. Where surveys  
223 used two devices that had substantive overlap in species composition, taxa data were  
224 combined by accounting for catchability differences between gears (note: epifauna and  
225 infauna, e.g. trawls vs grabs were not combined). A multiplicative scaling-factor was  
226 estimated for each taxon sampled by both gears, using an iterative process, similar to Chen *et*  
227 *al.* (2007): (i) an initial scaling-factor, equivalent to the back-transformed difference between  
228 gear means of the log-transformed data, was used to rescale abundance (on the natural scale)  
229 for the gear with lower catchability, (ii) a random forest (RF) was fitted to the log-  
230 transformed re-scaled data-matrix, with all environmental variables as predictors to account  
231 for environmental influences on abundance; (iii) an incremental scaling-factor was estimated  
232 by minimising least squares on the residuals of the RF fit, then back-transformed and used to  
233 re-scale the rescaled data-matrix again. Steps two and three were repeated until the  
234 incremental scaling-factor converged. The final scaling-factor for each taxon was estimated  
235 as the cumulative-product of the initial and incremental scaling-factors. Both gear-types were  
236 considered to sample the taxon with adequate reliability for scaling-factors in the range 0.2 –  
237 5, and data from both gears were used by scaling-up abundances for the gear with lower  
238 catchability. If scaling factors were outside this range, data from the lower catchability gear  
239 was considered too unreliable and we used data only from the gear having the higher  
240 catchability. Where the same sites were sampled with two gears, we calculated the mean site  
241 abundances of each taxon after scaling-up the data from the gear with lower catchability. If  
242 sites were not all sampled by both gears a ‘hybrid’ site-by-taxon matrix was created, where  
243 for taxa requiring a scaling-factor, data were the mean of the observed and rescaled  
244 abundances at all sites (averaged at sites with both gears), and for taxa sampled with only one  
245 gear, data were the observed abundances, and at sites sampled by the other gear abundances  
246 were estimated with RF modelling.

247

248 Subsequent calculations on the hybrid matrix required abundance data on the natural scale.  
249 However, where predicted abundances were used, the back-transformation introduces a bias  
250 and a correction factor is required to adjust predicted values (Cowpertwait & Metcalfe,  
251 2009). Hence, we applied an empirical adjustment factor on the natural scale, estimated from  
252 the ratio of the mean of the observed values and the mean of their corresponding back-  
253 transformed predicted values.

254 *iii) Disparate datasets approach*

255 In some regions benthos were sampled by multiple surveys that, although spatially  
256 interspersed, were disparate in: spatial extent, time, taxonomic resolution and identification,  
257 sampling device and abundance metrics. These datasets could not be cross-standardised nor  
258 could taxa be merged. To integrate these disparate datasets, we fitted RF models (log  
259 response) for each taxon within each survey dataset separately, with environmental variables  
260 as predictors. These RF models were used to predict each taxon's abundance at sites sampled  
261 by all other surveys. Predictions were then back-transformed to the natural scale, applying an  
262 adjustment factor as described in the previous section. Thus a 'hybrid' site-by-taxon matrix  
263 was created with observed abundances where available, otherwise predicted abundances.

264

265 Because the disparate surveys could not be standardised, we normalised the hybrid matrices  
266 via a series of scalings: 1) the abundance of each taxon (including rare taxa) was divided by  
267 its total abundance so that across surveyed sites each taxon's abundance summed to one.  
268 Next, 2) each taxon was scaled by the proportion of abundance it comprised of its own survey  
269 dataset, so that each dataset summed to one. Finally, 3) these values were multiplied by the  
270 total number of taxa in the dataset, so that each dataset summed to the total number of taxa

271 comprising that dataset. The normalised hybrid matrices, now with the same number of sites,  
272 were joined together to provide a single hybrid matrix.

273

#### 274 Step 2: Determining benthos groups

275 We aggregated taxa at taxonomic class level because of: taxonomic inconsistencies among  
276 datasets, reported sensitivities of benthos to trawling, and to provide concise presentation of  
277 results. However, different species within classes can have very different distributions. Thus,  
278 within classes, we grouped taxa with similar distributions so that resulting distributions more  
279 usefully reflect distributions of constituent species. Various methods exist that can group taxa  
280 based on the correlation of their abundances at sites, but most do not objectively define the  
281 number of groups. Multivariate Regression Trees (MRT; De'ath, 2012) provide an objective  
282 method for grouping sites based on the sampled abundances of taxa and their relationships  
283 with environmental variables. Hence, first we grouped sites using MRT, then assigned taxa to  
284 site-group assemblages using the Dufrene & Legendre (1997) indicator-species metric (DLI).

##### 285 (i) *Group sites by multivariate regression trees*

286 MRTs (R package 'mvpart'; De'ath, 2012), group sites by minimizing heterogeneity in multi-  
287 taxon composition data through repeated splitting on environmental values. The response  
288 variables were the site-by-taxon matrix (or hybrid matrix), log-transformed, excluding rare  
289 taxa (presence at <5 sites). Tree size (number of terminal nodes = groups) was selected by  
290 cross-validation, using the "1SE" criterion, which indicates the smallest tree having  
291 prediction error within one standard error of the minimum cross-validated error. The terminal  
292 nodes of the tree represent site-group assemblages of taxa, with homogeneity of composition  
293 defined by this criterion.

##### 294 (ii) *Assign taxa to groups and aggregate abundance*

295 We calculated the DLI metric of the relative frequency and abundance of each taxon for each  
296 site group (function ‘indval’ in R package ‘labdsv’; Roberts, 2010), based on the site-by-  
297 taxon matrix on the natural scale (created in Step 1). We assigned each taxon to the group in  
298 which it attained its highest DLI score. This also enabled inclusion of rare taxa and  
299 assignment of them to the appropriate group. Group abundance was calculated by summing  
300 taxon abundances (on the natural scale) at sites from the site-by-taxon matrix.

301

### 302 Step 3: Predicting benthos distributions

303 The abundance distributions of benthos groups were modelled with RF. Where model  
304 performance indicated a meaningful level of prediction success (cross-validated OOB  $R^2$   
305  $\geq 5\%$ ), we used the model to predict and map the current distribution of benthos groups to a  
306 regional-scale grid of environmental variables. The influence of variables in each benthos-  
307 group model was obtained from the RF predictor importance measure (%IncMSE). We  
308 summarized predictor importance across models by scaling importance by its proportionate  
309 contribution to model performance (OOB  $R^2$ ) for each benthos group. These proportions  
310 were then averaged across all models, per region and per class to estimate overall predictor  
311 importance.

312

### 313 **Calculating benthos trawl exposure and protection**

314 Benthos group abundance distributions were mapped (on a  $0.01^\circ$  grid) against trawl  
315 footprints and boundaries of areas closed to trawling to quantify their trawl *exposure* and  
316 *protection*. Specifically, we quantified *exposure* by summing the predicted group abundance  
317 in trawled grid cells, calculating the trawled proportion of each cell (calculated using both the  
318 random and uniform methods, to represent the annual and multi-year exposure respectively),

319 and dividing by total group abundance. *Protection* was quantified by summing group  
320 abundance in cells identified to permanently prohibit trawling via legislated fishery closures,  
321 marine reserves or both, and dividing by total group abundance. We also quantified the  
322 proportion of group abundance in cells neither trawled nor protected.

323

## 324 **RESULTS**

### 325 **Prediction performance and important predictors**

326 The performance of RF prediction models (Fig. S1; Fig. S2) varied widely among benthos  
327 groups within all regions. The OOB  $R^2$  values tended to be highest for Exmouth Gulf &  
328 Shark Bay and lowest for Pilbara Coast (Fig. S1). The most important predictor across all  
329 benthos models was sediment sand fraction (Fig. S3; Fig. S4). Other important variables were  
330 bottom-water temperature and oxygen concentration, surface photosynthetically active  
331 radiation, sediment gravel and mud fractions. Predictor importance varied widely across the  
332 nine regions, such that the most important predictor for each region was different, but  
333 sediment properties (sand, mud, gravel) were always among the most important predictors  
334 (Fig. S3). Across taxonomic classes, predictor importance was less variable (Fig. S4). Sand  
335 was the most important predictor for class Bivalvia, Ophiuroidea, Malacostraca and  
336 Polychaeta; gravel was the most important variable for Demospongiae and Gymnolaemata;  
337 and the annual average bottom-water temperature ( $^{\circ}\text{C}$ ) was most important for Echinoidea,  
338 Gastropoda and Holothuroidea.

339

### 340 **Trawl exposure and protection across Australia's EEZ**

341 Trawl fishing is prohibited from 57.9% of Australia's EEZ; marine reserves cover 37.2% of  
342 the EEZ and fishery closures cover 30.3%, with a 9.5% overlap of both (Fig. 3). The recent  
343 national annual and multi-year trawl footprints are 0.9% and 1.1% of Australia's entire EEZ,  
344 and the area of grid-cells in which any trawl effort is recorded is 4.4% of the EEZ. Thus,  
345 ~37.7% of the EEZ is neither trawled nor protected.

346

### 347 **Trawl exposure and protection across study regions**

348 The proportion of trawled and protected areas varied substantially among the nine case-study  
349 regions (Fig. 4; Table S6). Regions having the highest proportion of protection were the  
350 Great Barrier Reef followed by Exmouth Gulf & Shark Bay. The areas with least protection  
351 were the Pilbara Coast and Gulf of Carpentaria. The highest proportion of trawl footprints  
352 were in Exmouth Gulf & Shark Bay and the lowest were in the Great Australian Bight and  
353 West Coast — other regions were intermediate. The predicted distributions of benthos groups  
354 differed within regions, thus their protection and trawl exposure varied, including between  
355 groups within taxonomic classes. For example, the variation between three different  
356 distribution groups of sea urchin (Echinoidea) taxa in the Gulf of Carpentaria (Fig. 5).

357

358 Protection and exposure also ranged widely across all 134 benthos groups for which  
359 distributions were predicted and mapped by this study (Table S7; Table S8). As a proportion  
360 of their abundance, almost all benthos groups (129/134; 96%) had higher protection from  
361 trawling (mean=38%; median=40%) than exposure to trawling (mean=6.5%; median=3.2%;  
362 Fig. 6). Only five benthos groups, in four regions, had higher exposure than protection. In all  
363 five cases, a greater proportion of their abundance was neither trawled nor protected. Indeed,  
364 overall, the greatest proportion of group abundances occurred in areas that were neither



365 protected nor trawled (mean for all 134 groups =55.5%). Among regions, there tended to be a  
366 consistency of protection and exposure related to the extent of trawling and reserved/closed  
367 areas within the study region. However, across all regions, there was no apparent pattern of  
368 protection or exposure related to taxonomic classes (Fig. 6b).

369

370 Comparing across regions, benthos in Exmouth Gulf & Shark Bay had the highest exposure  
371 to trawling (mean=26.7%; Fig. 6a); yet, this region had comparably high protection  
372 (mean=43.1%), primarily due to extensive fishery closures. In contrast, benthos in Pilbara  
373 Coast had the least protection, but also low exposure to trawling. Benthos groups in the Great  
374 Barrier Reef had the highest protection by marine reserves compared to other regions, but its  
375 trawl fishery closures have been fully incorporated into its protected areas, so combined  
376 protection of its benthos groups (mean=52%) was similar to that of several other study  
377 regions (Fig. 6a).

378

379 Regions having the most variation in protection among benthos groups included the Great  
380 Barrier Reef (min =13%, max=80%) and Spencer Gulf (min=4%, max=79%) (Fig. 6a),  
381 reflecting widely differing benthos group distributions in relation to reserves and closures.  
382 The least variation occurred in the South East (min=33%, max=56%), and Pilbara Coast  
383 regions (min=3%, max=26%). In all regions, variation in benthos trawl exposure was  
384 considerably less than variation in benthos protection. The largest trawl variation was in the  
385 Great Barrier Reef and Exmouth Gulf & Shark Bay, and the smallest variation in the Great  
386 Australian Bight and West Coast.

387

388 Over all benthos groups, greater protection of their abundance was provided by fishery  
389 closures (mean=23%; median=20%) than by marine reserves (mean=22%; median=14%; Fig.  
390 6a). This was despite fishery closures covering 19% less area than marine reserves (17.7% vs  
391 21.9%; Table S6). However, slightly more individual benthos groups, by number, had greater  
392 protection of abundance in marine reserves (77/134; 57%) than in fishery closures (57/134;  
393 43%). Exposure to scallop dredging occurred only in the Southeast region and was minimal  
394 (max exposure <0.1%; Table S8); hence this gear-type was excluded from presentation of  
395 results.

396

397

## 398 **DISCUSSION**

399 This study provides the most extensive quantitative assessment of the current trawl exposure  
400 and protection of Australia's benthic invertebrates. The exposure of most Australian benthos  
401 to trawling was relatively low, whereas benthos protection was typically about 6-fold higher.  
402 However, for most benthos groups more than half of their benthos abundance was neither  
403 protected nor trawled, highlighting the importance of untrawled open areas when considering  
404 trawl-impact risks. Our results imply that overall, Australia's benthos may be at low risk from  
405 trawling. Although, we caution that the results indicate potential rather than realised risks to  
406 sustainability and, while the potential risks appeared low overall, there were some cases of  
407 higher exposure and lower protection. Further, exposure and protection alone do not account  
408 for the sensitivity of benthos to trawl impacts or their capacity to recover. The typically low  
409 exposures observed do, nevertheless, suggest that even if impacts in trawled areas were high  
410 and recovery was slow, large proportions of abundance outside trawled areas may sustain  
411 most benthos at regional scales.

412

413 While trawling is prohibited over a large proportion (58%) of Australia's EEZ, most of these  
414 areas are located in waters deeper than 1,000 m where no bottom trawling occurs (Pitcher,  
415 2016). The proportion of area closed to trawling was substantially lower in our shallower  
416 study regions (33%, Table S6). Similarly, the national footprint of all Australian trawling as a  
417 proportion of the entire EEZ (at ~1% trawled, or 4.7% area of grid-cells with effort) is  
418 smaller than the trawl footprints in our study regions (at ~3% trawled, or 14% area of grid-  
419 cells with effort; Table S6). Thus, the high proportion of area protected at the EEZ scale  
420 cannot be assumed at regional scales, where local protection and risks must be quantified to  
421 guide appropriate management actions. Other pressures besides trawling that may affect  
422 benthic fauna should also be considered, such as coastal pollution, acidification and climate  
423 change (Hiddink *et al.*, 2015).

424

425 Fishery legislated closures are often overlooked as a marine conservation tool (Ward &  
426 Hegerl, 2003). The contribution of fishery closures to management of commercial stocks is  
427 generally well accepted (Stefansson & Rosenberg, 2005), but they can also provide habitat  
428 protection (Asch & Collie, 2008). Our study highlights their contribution to protection of  
429 Australia's benthos. Interestingly, we found that fishery closures provide higher protection of  
430 benthos abundance than marine reserves, despite their smaller coverage of the study regions.  
431 This may be due to the strategic placement of fishery closures targeting fisheries stock  
432 management or productive nursery habitats. While our results indicate that fishery closures  
433 contribute to benthos protection, the best sustainability outcomes are achieved when a variety  
434 of management tools are applied (e.g. effort reductions, catch limits and permanent/temporal  
435 spatial closures (Hiddink *et al.*, 2006b; Dichmont *et al.*, 2013; Pitcher *et al.*, 2015).

436

437 The synthesis presented here enables broad-scale comparisons across regions. The region  
438 with the greatest protection of benthos abundance was the Great Barrier Reef. Interestingly,  
439 one form of protection (either reserves or closure) dominated benthos protection in each  
440 region with the exception of the West Coast, the only region with relatively equal protection  
441 of benthos by reserves and closures. Exmouth Gulf & Shark Bay had the most extensive  
442 relative trawl footprint and its benthos had the highest exposure to trawling. However,  
443 protection provided by closures in this region was relatively high, offsetting the high  
444 exposure. In contrast, benthos in the adjacent Pilbara Coast had the lowest trawl exposure,  
445 even though this region did not have the smallest trawl footprint. Thus, while the regional  
446 extent of reserves/closures and trawl footprints did influence overall benthos protection and  
447 exposure, the distributions of individual benthos groups differed substantially within regions  
448 and directly affected individual group protection and exposure (e.g. Fig. 5; Fig. 6). Hence,  
449 understanding the potential risk of trawling requires information on distributions, since  
450 benthos exposure cannot be assumed from trawl footprint alone.

451

452 Patterns of exposure and protection appeared unrelated to taxonomic class. However,  
453 distribution relationships with environmental variables did tend to show patterns related to  
454 class. Moreover, sediment (sand and gravel) were particularly important for six of nine taxa  
455 groups. In comparison, the most important predictors varied widely by region, but sediment and  
456 bottom-water properties were prevalent. These findings are consistent with other observations  
457 of strong associations between sediment properties and benthos composition, richness and  
458 diversity (Collie *et al.*, 2000; Sutcliffe *et al.*, 2014). Thus, we recommend studies aiming to  
459 predict benthos distributions prioritise the collection of sediment and bottom water properties.

460

461 Defining the extent of study area boundaries is a vexed issue for many spatial studies (Piet &  
462 Quirijns, 2009). Our choice of study areas was limited to the extent of existing benthic  
463 surveys to avoid extrapolating beyond the data range. However, our results would differ if we  
464 used different boundaries such as large marine ecosystems (LMEs;  
465 <http://www.lme.noaa.gov/>), marine ecoregions (Spalding *et al.*, 2007), or fisheries  
466 management regions (Pitcher *et al.*, 2016d). For example, in the Great Australian Bight there  
467 are trawl fishery closures at depths less than 10 m, yet our study region did not encompass  
468 such shallow depths due to the distribution of surveyed sites. If it did, perhaps our results  
469 would have indicated higher protection for benthic taxa, although it is also likely that  
470 taxonomic composition would differ at shallower depths. Therefore, study area boundaries  
471 need to be carefully considered in the context of relevant management questions.

472

473 There are inherent limitations when conducting large-scale spatial studies that integrate  
474 scarce available survey data and rely on modelling to predict distributions. First, available  
475 data may be biased towards the objectives of the initial survey. For example, fishery-  
476 dependent data are often relied upon and, in the case of Exmouth Gulf & Shark Bay, the  
477 surveys were largely confined to the active trawl grounds, resulting in the higher relative  
478 trawl footprints for that region in our study. Second, it is implicit that some details observed  
479 by finer-scale studies will not be picked up by a large, cross-regional study. Moreover, we  
480 aggregated benthos into groups, which would inherently introduce additional uncertainty  
481 compared with species-level analyses (Pearman *et al.*, 2010). Nevertheless, our broad cross-  
482 regional finding that trawl exposure was low and protection was high, is consistent with  
483 species-level regional analyses (Pitcher *et al.*, 2007b; Pitcher *et al.*, 2015; Pitcher *et al.*,

484 2016b). Third, modelling and predicting regional benthos distributions will always introduce  
485 uncertainty due to sampling variability/error in source data, imperfect relationships between  
486 benthos and environment and biological/ecological processes among others. For these  
487 reasons, we report the OOB prediction performance of benthos models (Fig. S1; Fig. S2), and  
488 acknowledge uncertainty in the estimates of protection and exposure. If such limitations can  
489 be minimised, the greatest impediment limiting large-scale assessments is actually the  
490 availability of suitable survey data. We anticipate that as more data are deposited in the  
491 evolving database repositories, more large-scale assessments of trawling may be feasible.

492

493 In conclusion, we discovered greater proportions of benthos abundance in our study regions  
494 were distributed in protected and/or closed areas rather than in trawled areas. Our study also  
495 highlights the importance of fishery closures in providing protection for benthic invertebrates.  
496 These results are a first step in quantifying large-scale risks and impacts of trawling on  
497 benthos and can help managers identify priorities for focusing future status assessments.  
498 Future work should expand our analysis to quantify risk from trawling and determine whether  
499 benthos are sustainable under the current regimes of exposure and protection. Such future  
500 quantitative sustainability assessments can help managers identify if any taxa and regions  
501 may be at higher risk from trawling, determine the effectiveness of current management, and  
502 guide decisions about the need for future management measures. Our approach for combining  
503 scarce and disparate benthic invertebrate data into distribution models can be widely applied  
504 to other marine taxa and regions where data are sparse and trade-offs with anthropogenic  
505 pressures need to be assessed. Such analysis can help managers achieve balance between  
506 conservation and sustainable industries in marine ecosystems.

507

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510 organizations for salary support. We are thankful to data contributors (Tables S1, S2, S3, S4  
511 and S5).

512

513 **DATA ACCESSIBILITY**

514 Benthic survey data sources are provided in Table S1. Trawl effort data are confidential and  
515 source information is in Table S2. Marine reserve and Fishery closure data are available from  
516 sources provided in Table S3 and Table S4. Environmental predictor data are available from  
517 sources provided in Table S5.

518

519 **AUTHOR CONTRIBUTIONS**

520 TM, CRP, NE and WR conceived the ideas; TM and CRP collated the data, TM analysed the  
521 data. All authors contributed to the writing, led by TM.

522

523 **BIOSKETCH**

524 Tessa Mazor is a postdoctoral fellow at the Commonwealth Scientific and Industrial Research  
525 Organisation (CSIRO). The fellowship project aims to conduct both national and global  
526 quantitative ecological risk assessments of trawling, working with the Ocean and Atmosphere  
527 research team and linking to a multi-national project “Trawling: finding common ground on  
528 the scientific knowledge regarding best practices” (<http://trawlingpractices.wordpress.com>).

529

530

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- 697

698 **FIGURES**

699 **Fig. 1** Map of nine study regions around Australia showing locations of sites sampled by one  
700 or more of four gear-types.

701

702 **Fig. 2** Flowchart of the steps used to predict and map benthos-group distributions from raw  
703 survey data (left). The box (right) details the three approaches (Single Gear Approach,  
704 Multiple Gears Approach and Disparate Datasets Approach) and the process used to create  
705 the site-by-taxon matrix from collated benthic survey datasets. Note that survey gears with  
706 non-overlapping benthos composition (e.g. epifauna in trawls vs. infauna in grabs), were not  
707 combined, but treated separately as single gears.

708

709 **Fig. 3** Map of areas where trawling is prohibited within Australia's Exclusive Economic  
710 Zone EEZ (see Table S3; Table S4).

711

712 **Fig. 4** Area statistics of the study regions: a) relative total areas of the study regions (for  
713 absolute area, see Table S6); b) percentage area within regions comprising fishery closures,  
714 reserves and overlap of both; and c) percentage area within regions comprising grid cells with  
715 trawl effort recorded, and the trawl footprint area for multi-year exposure and single-year  
716 exposure.

717

718 **Fig. 5** Predicted distributions of three groupings of sea-urchin taxa (class: Echinoidea) in the  
719 Gulf of Carpentaria (Figure 1 – study region 1). Areas that exclude trawling are represented  
720 by black polygons with parallel hatching. Each group has different trawl exposure (Group

721 1=1.6%; Group 2=6.4%; Group 3=4.7%) and protection (Group 1=6.4%; Group 2=17.5%;  
722 Group 3=5.8%) as proportions of their abundance. See Tables S7 and S8 for group and model  
723 details.

724

725 **Fig. 6** Box plots summarizing protection and trawl exposure of 134 benthos groups, as  
726 proportions of their abundance in each a) region, and b) taxa group — calculated by mapping  
727 their distributions against marine reserves and fishery closure boundaries — and their  
728 combination, and against the multi-year footprint of trawling. Horizontal lines denote the  
729 medians and box plot error bars represents the variation of different benthos groups.

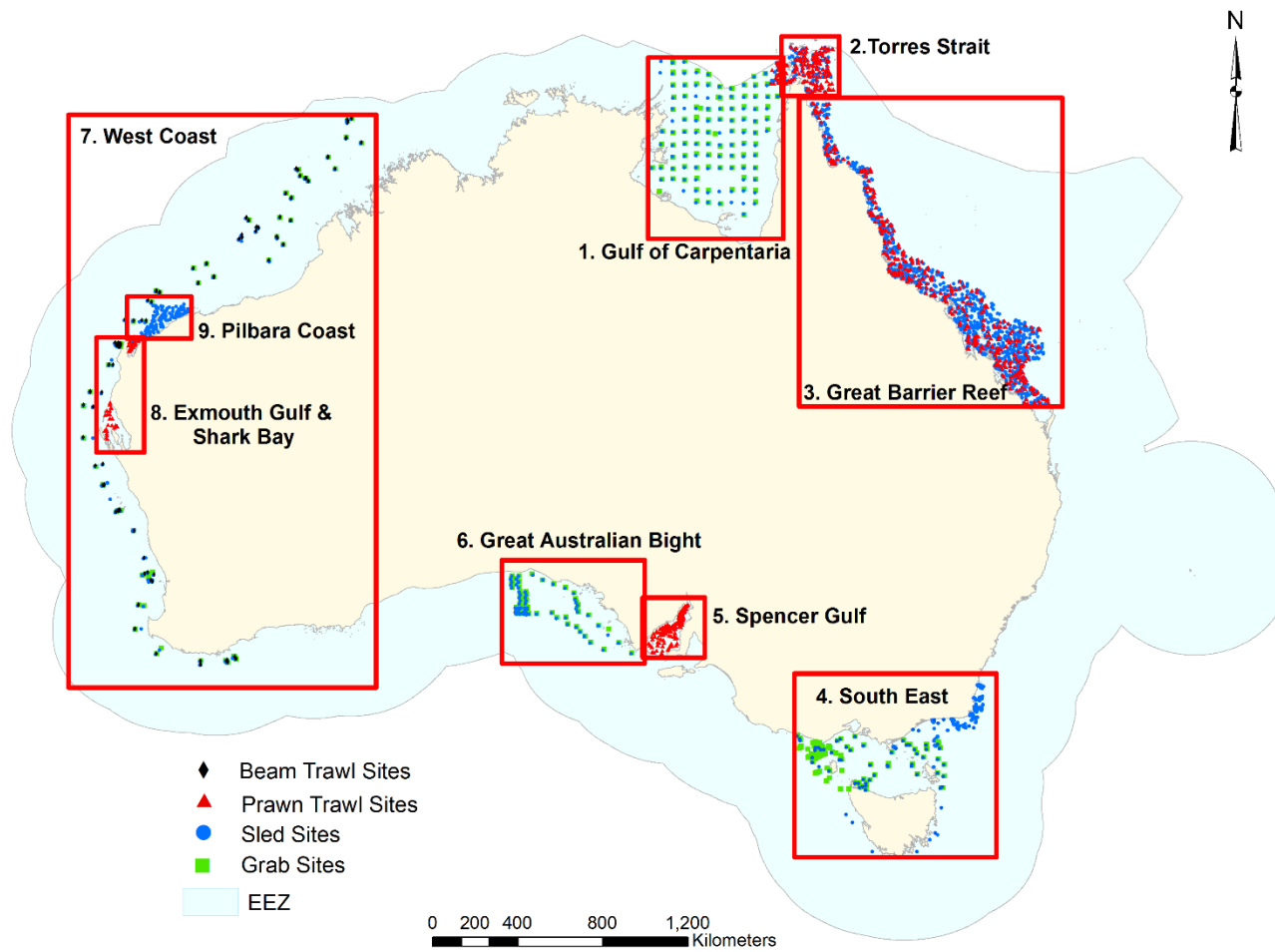
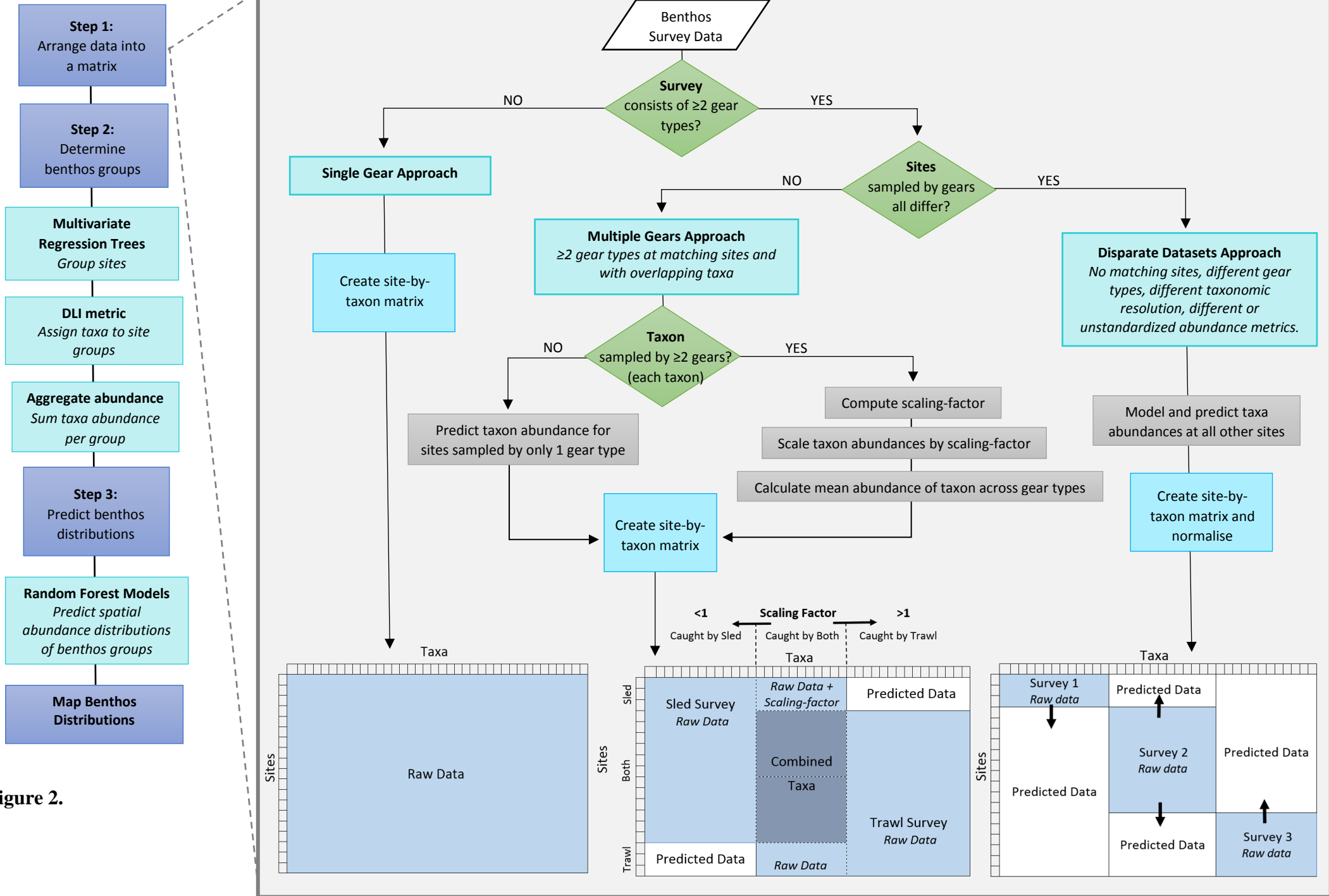
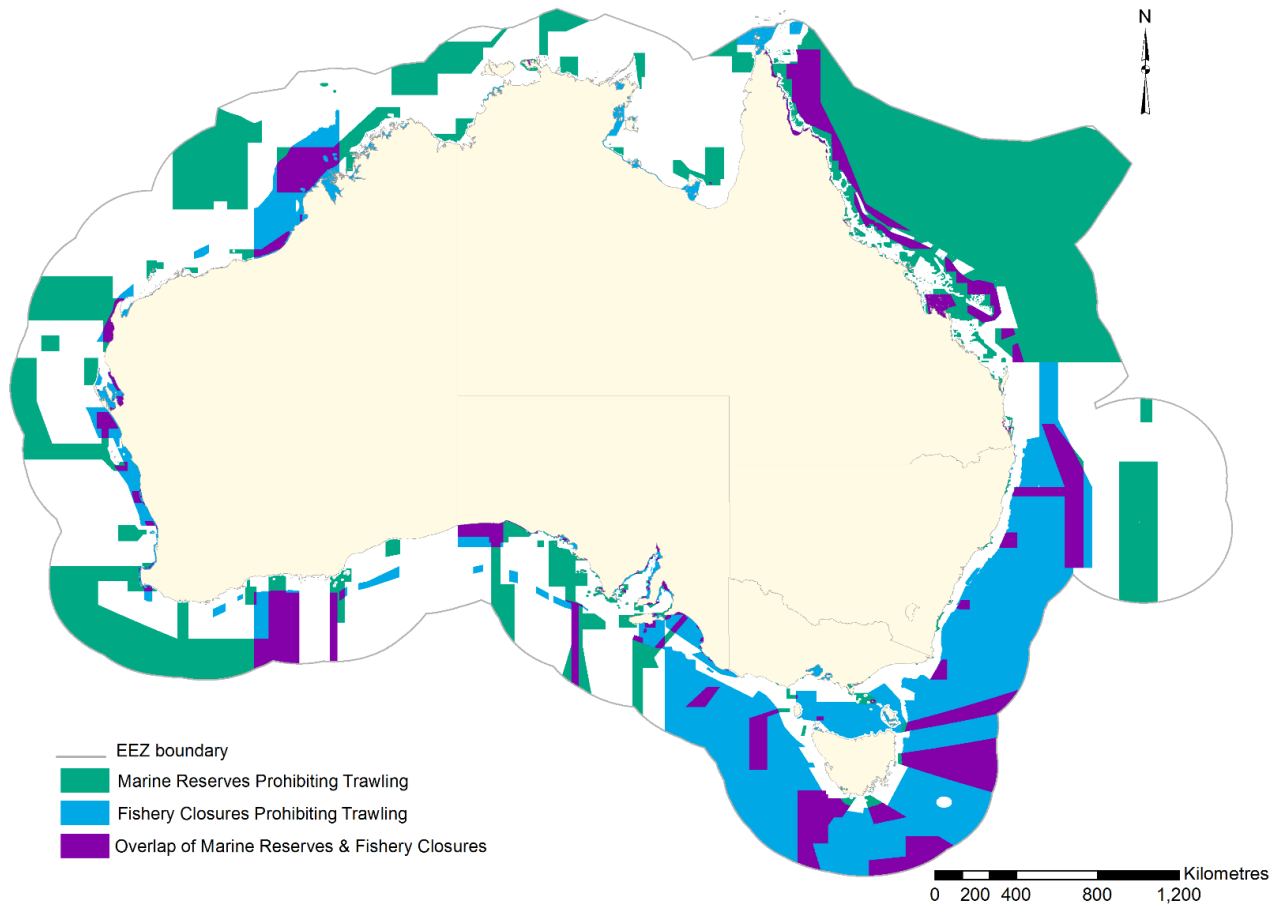


Figure 1.

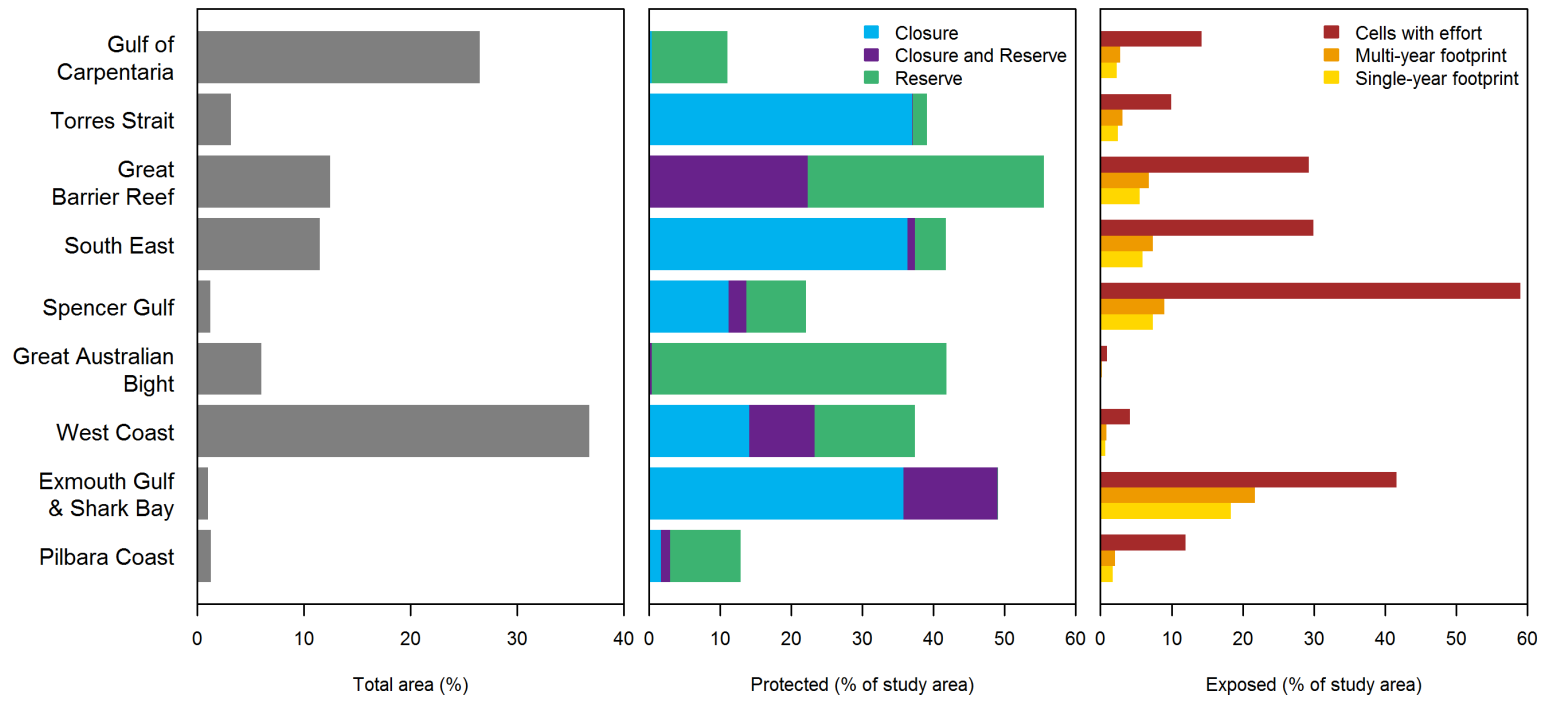




**Figure 2.**



**Figure 3.**



**Figure 4.**

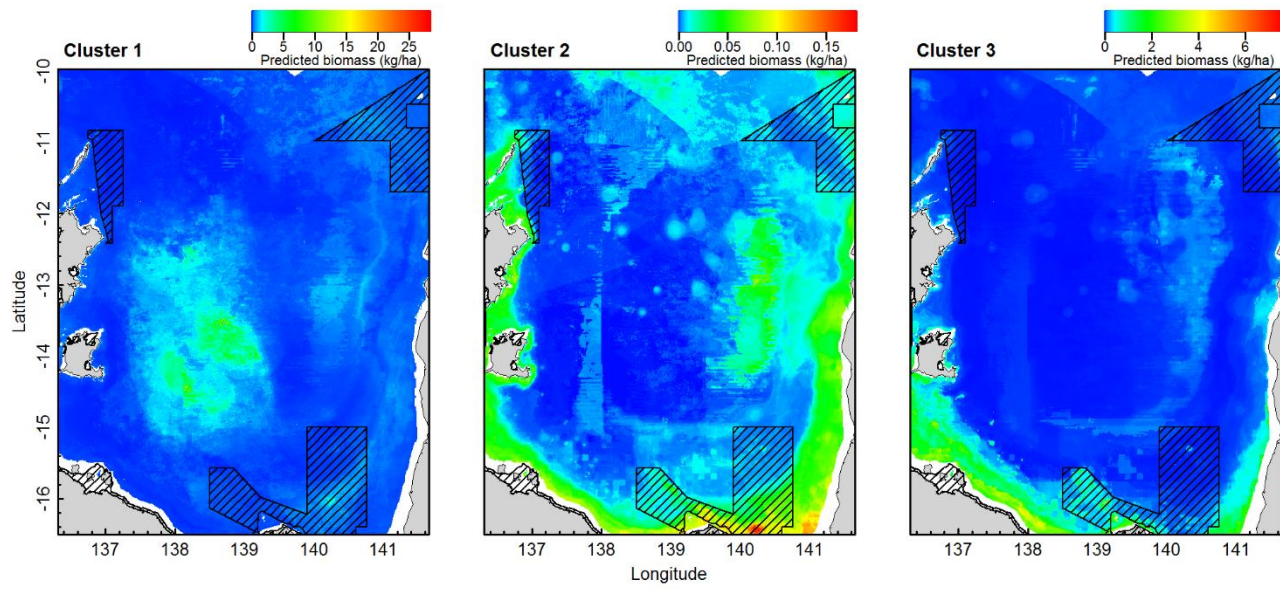
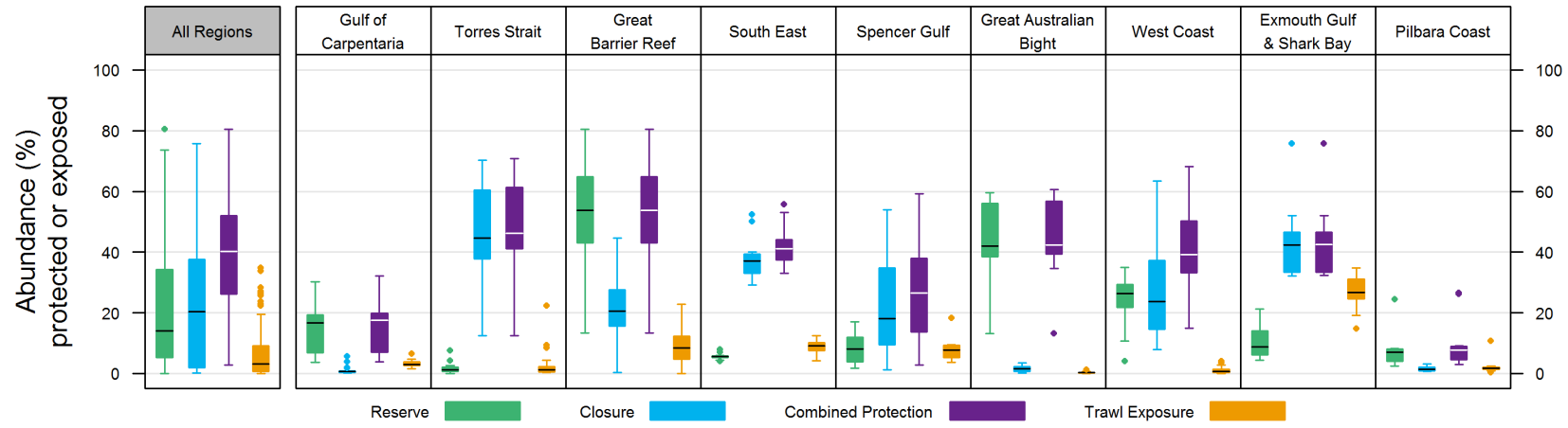


Figure 5.

a)



b)

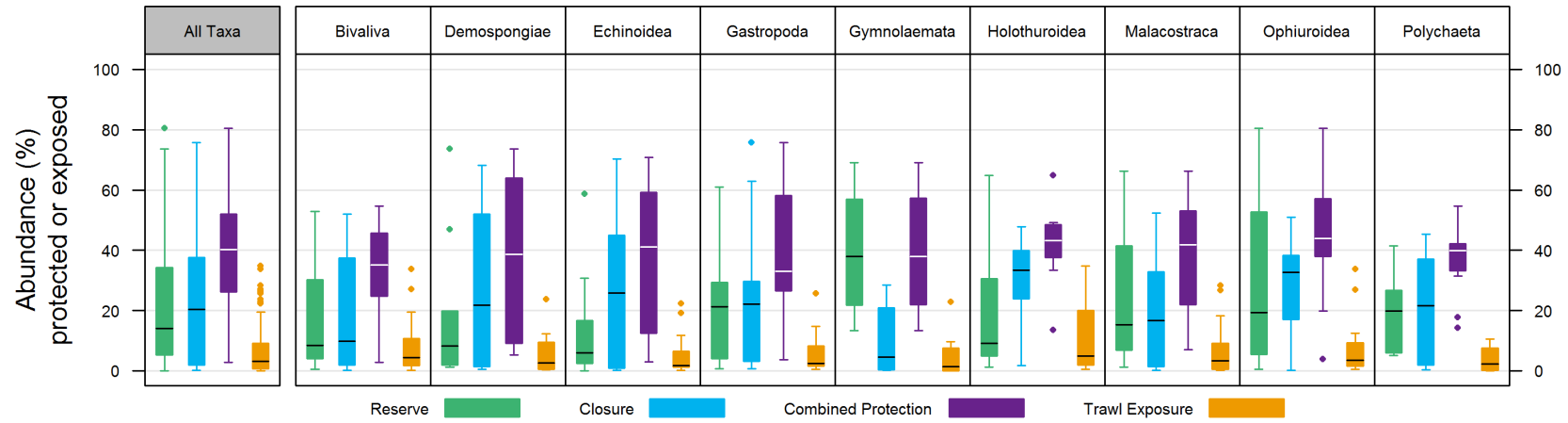


Figure 6.