

Predicting the spectral information of future land cover using machine learning

Patil, Sopan; Gu, Yuting; Dias, A.; Steiglitz, Marc; Turk, Greg

International Journal of Remote Sensing

DOI: 10.1080/01431161.2017.1343512

Published: 01/01/2017

Peer reviewed version

Cyswllt i'r cyhoeddiad / Link to publication

Dyfyniad o'r fersiwn a gyhoeddwyd / Citation for published version (APA): Patil, S., Gu, Y., Dias, A., Steiglitz, M., & Turk, G. (2017). Predicting the spectral information of future land cover using machine learning. *International Journal of Remote Sensing*, *38*, 5592-5607. https://doi.org/10.1080/01431161.2017.1343512

Hawliau Cyffredinol / General rights Copyright and moral rights for the publications made accessible in the public portal are retained by the authors and/or other copyright owners and it is a condition of accessing publications that users recognise and abide by the legal requirements associated with these rights.

• Users may download and print one copy of any publication from the public portal for the purpose of private study or research.

- You may not further distribute the material or use it for any profit-making activity or commercial gain
 You may freely distribute the URL identifying the publication in the public portal ?

Take down policy If you believe that this document breaches copyright please contact us providing details, and we will remove access to the work immediately and investigate your claim.

Predicting the spectral information of future land cover using machine learning

Sopan D. Patil¹, Yuting Gu², Felipe S. A. Dias³, Marc Stieglitz³, Greg Turk²

¹ School of Environment, Natural Resources and Geography, Bangor University,
Deiniol Road, Bangor, LL57 2UW, United Kingdom

² School of Interactive Computing,
Georgia Institute of Technology,
85 Fifth Street NW, Atlanta, GA 30308, United States of America

³ School of Civil and Environmental Engineering,
Georgia Institute of Technology,
790 Atlantic Drive, Atlanta, GA 30332, United States of America

Submission to: International Journal of Remote Sensing

Corresponding author: Sopan D. Patil (email: s.d.patil@bangor.ac.uk, Tel: +44 1248388294)

Funding information: This work was supported by the National Science Foundation under Award Number 1027870 (CDI-Type Small Resources Supercomputing: High Performance Computing in the Earth Sciences).

1 Abstract

Application of machine learning models to study land cover change is typically restricted to the 2 3 change detection of categorical, i.e., classified, land cover data. In this study, our aim is to 4 extend the utility of such models to predict the spectral band information of satellite images. A Random Forests (RF) based machine learning model is trained using topographic and historical 5 6 climatic variables as inputs to predict the spectral band values of high-resolution satellite imagery across two large sites in the western United States, New Mexico (10,570 km²) and 7 Washington $(9,400 \text{ km}^2)$. The model output is used to obtain a true colour photorealistic image 8 9 and an image showing the Normalized Difference Vegetation Index (NDVI) values. We then use the trained model to explore what the land cover might look like for a climate change 10 scenario during the 2061-2080 period. The RF model achieves high validation accuracy for both 11 sites during the training phase, with the coefficient of determination $(R^2) = 0.79$ for New Mexico 12 site and $R^2 = 0.73$ for Washington site. For the climate change scenario, prominent land cover 13 changes are characterized by an increase in the vegetation cover at the New Mexico site and a 14 decrease in the perennial snow cover at the Washington site. Our results suggest that direct 15 prediction of spectral band information is highly beneficial due to the ability it provides for 16 17 deriving ecologically relevant products, which can be used to analyse land cover change scenarios from multiple perspectives. 18

19

20 Keywords: Land cover change; climate change; machine learning; Random forest; Landsat.

21 **1 Introduction**

22 Recent warming of the climate has led to large-scale changes in earth's land cover. Large scale warming has resulted in a shift in the dominant vegetation species to higher latitudes and 23 24 higher elevations, which has been reported in many parts of the world [Walther et al., 2002; Root et al., 2003; Kelly and Goulden, 2008; Lenoir et al., 2008; VanDerWal et al., 2013]. Throughout 25 26 the southwest US, woody species have been encroaching on grasslands [Barger et al., 2011]. In southwestern Wyoming, where precipitation has been trending down for the last thirty years, 27 sagebrush vegetation have been giving way to bare ground [Homer et al., 2015]. In many 28 29 western states of the US, where seasonal snowmelt accounts for a large fraction of the annual water supply, winter snow accumulation and perennial snow cover has been decreasing. Mote 30 [2003] has shown that from the mid to latter half of the twentieth century, winter snow 31 accumulation at several locations along the Cascades Mountain Range fell by more than 40%. 32 Hall et al. [2015] have reported that in north-western Wyoming the winter snowmelt is 16 ± 10 33 days earlier in 2000s compared to the period 1972 - 1999. At higher latitudes, where warming 34 has been significantly greater than the planetary average, there has been simultaneous shortening 35 of the snow season [Groisman et al., 1994; Stow et al., 2004] and lengthening of the vegetation 36 37 growing season [Foster, 1989; Foster et al., 1992; Stone et al., 2002]. These are just some of the land cover changes that studies have documented within the last 100 years. However, while this 38 evidence of change provides a view to the future change, it nevertheless remains highly uncertain 39 40 what changes will occur in the global land cover over the next 100 years.

Despite high uncertainty, numerous studies have attempted to model the potential impact
of climate change on future land cover [*Pearson and Dawson*, 2003; *Sitch et al.*, 2003, 2008; *Krinner et al.*, 2005; *Rogan et al.*, 2008]. We can broadly classify these modelling efforts into

those using physically based and statistically based models. Physically based models provide a 44 mechanistic framework in which mathematical representation of individual processes, such as 45 vegetation growth and decline, snow dynamics, and land-atmosphere exchanges of water and 46 carbon, can be coupled to simulate an integrated landscape response to climate forcing. For 47 instance, Sitch et al. [2003] developed the Lund-Potsdam-Jena (LPJ) Dynamic Global 48 49 Vegetation Model (DGVM) to simulate the response of terrestrial vegetation to climate forcing and demonstrated its application globally at $0.5^{\circ} \times 0.5^{\circ}$ spatial resolution. *Campbell et al.* [2010] 50 used the Simultaneous Heat and Water (SHAW) model to simulate future changes in snowpack 51 52 and soil frost at the Hubbard Brook Experimental Forest in New Hampshire, USA with climate forcing from three different General Circulation Models (GCMs). Physically based models have 53 the benefit that they can be used to infer the cause and effect of land cover change at the level of 54 individual physical processes [Parker et al., 2003; Pauleit et al., 2005; Pitman et al., 2009]. 55 However, these models suffer from the large number of simulations necessary to adequately 56 57 constrain parameter values, and therefore can be both time consuming and, in many instances, beyond the available computing power for many researchers. As a result, physically based 58 simulations tend to make a compromise in their spatial resolution [Brovkin et al., 2006; Verburg 59 60 et al., 2011] or their areal extent [Tague et al., 2009; Abdelnour et al., 2011, 2013].

Statistically based land cover change models, on the other hand, operate on the premise
that a strong relationship exists between the geographical distribution of land cover and the
environmental and climate conditions and that these relationships can be empirically extracted
using statistical machine learning methods [*DeFries and Chan*, 2000; *Guisan and Zimmermann*,
2000; *McIver and Friedl*, 2002; *Brown de Colstoun et al.*, 2003; *Guisan et al.*, 2006; *Klein et al.*,
2012]. Machine learning refers to a broad set of computational techniques used for identifying

patterns in data and are usually applied where standard techniques such as regression analysis are 67 not applicable. Machine learning algorithms statistically learn patterns and rules based on 68 present correlations defined by a training set of data and provides a learned mapping between 69 predictor variables (or attributes) and a target variable [*Witten et al.*, 1993; *Bishop*, 2006]. Once 70 71 a model is developed through training, it can be used to predict the target variable in situations 72 where the predictor variables are known but the target variable is not [Mitchell, 1997]. Some of the widely used machine learning techniques include Neural Networks (NN), Support Vector 73 Machines (SVM), Classification Trees (CT), Regression Trees (RT), Random Forests (RF), 74 75 Boosted Regression Trees (BRT), and Multivariate Adaptive Regression Splines [Vapnik, 1999; Domingos, 2012; Alpaydin, 2014]. 76

Machine learning models have been widely used to predict the changes in land cover for 77 a given site or region. Rogan et al. [2008] compared three different machine learning models 78 (CT, Maximum Likelihood Classification, and NN) to detect changes in land cover classes 79 80 across two sites in California, USA between the years 1990 and 1996. Similar model comparison was done by *Schneider* [2012] for land cover change detection in China across five 81 time periods between 1988 and 2009. Pearson et al. [2013] used a RF model to identify 82 83 relationships between 19 bioclimatic variables from the WorldClim database and eight tundra vegetation types in the Arctic, and then used the trained model to predict future vegetation cover 84 85 classes for the climate change scenarios in the 2050s. Statistical machine learning models have 86 an advantage over the physically based models due to their significantly faster computational speed and better predictive capacity [Im and Jensen, 2005; Rogan et al., 2008]. Thus, they can 87 operate at both high spatial resolutions and over very large areas with much lower computational 88 89 overhead. However, one limitation of the machine learning models is that their application has

so far been restricted to the change detection/prediction of categorical (i.e., classified) land coverinformation.

In this paper, our goal is to extend the utility of machine learning models to predict the 92 spectral band information of high-resolution satellite based land cover images (which is 93 continuous scale numerical data) for a future climate change scenario. The rationale for doing so 94 95 is two-fold. First, there is a body of evidence that strongly relates remote sensing proxies, such as the Normalized Difference Vegetation Index (NDVI), to ecologically important processes 96 [Roughgarden et al., 1991; Kerr and Ostrovsky, 2003; Pettorelli et al., 2005], and their 97 98 prediction into the future will offer a quantitative understanding of ecological change. Availability of spectral band information for a future scenario would be critical to derive such 99 proxy data. Second, as will be demonstrated, our methodology can be used to provide a 100 101 photorealistic view of land cover change, which from a conceptual vantage point, provides new and intuitive insights to understand the implications of change. To conduct this research, we 102 103 have used the topographic and historical climate data (1950-2000) from two large sites in the 104 United States, one in the state of New Mexico and the other in the state of Washington, to train a RF machine learning model. The model is trained to predict the spectral values from bands 1 105 106 (Blue), 2 (Green), 3 (Red), and 4 (Near Infrared) of a Landsat 7 image. Then, with the GCM climate forecast data from the 2061-2080 period as input, we use the trained model to predict the 107 future band information and its derivative RGB and NDVI images. The data used include 108 109 Landsat 7 reflectance imagery, mean annual temperature and annual precipitation for the 1950-2000 period, Digital Elevation Model data, and the future climate projections generated using the 110 111 Goddard Institute for Space Studies (GISS) GCM version E2 that are downscaled and bias 112 corrected to the current climate.

113

114 2 Study Area and Data

115 **2.1** Study sites

Our New Mexico site (Figure 1) is located in the north-central region of New Mexico 116 state in the western US and includes the N-S flowing Rio Grande River, the Jimenez Mountains 117 118 on the west, and the Santa Fe National Forest on the east. Elevation ranges from 1573 to 3972 m. The annual mean temperature ranges from -1.3°C at higher altitudes to 12.7°C in the valleys. 119 Annual precipitation ranges from 250 mm in the valleys to 1000 mm in the uplands. Dominant 120 121 vegetation types include grasses near the river channel, shrubs in the lowlands and along the 122 mountain slopes, and evergreen vegetation in the uplands. Uplands also include grasses and a small fraction of mixed forest. The soil type in this region consists mainly of Entisols, 123 124 Inceptisols and Alisols. Exposed rock formations are also present in areas surrounding the mountain peaks [Wolock, 1997]. The total area covered is 10,570 km². 125

126

[Insert Figure 1 here]

127 Our Washington site (Figure 1) is located in the northwest part of Washington state and includes the North Cascades National Park and part of the Mount Baker-Snoqualmie National 128 129 Forest. Elevation ranges from 70 m in the southwest to 3300 m in the northeast. The North Cascade Range is oriented in a NW-SE direction and divides the region into distinct regimes; 130 cool and wet to the west of the range during winter and cold and dry to the east. Summers are 131 132 typically dry throughout the region. The predominant vegetation is every every every which covers more than 60% of the site area. Major tree species include Western Hemlock, Pacific 133 Silver Fir, Subalpine Mountain Hemlock, Alpine, Subalpine Fir, and Douglas Fir [Crawford et 134 135 al., 2009]. Other significant vegetation are shrubs, covering 14% of the territory, grasslands are

136 8% of the area, and deciduous forests are 1% of the area. The most distinctive feature of this 137 landscape is the arc of perennial snow that covers about 1.5% of the land area. Soils are predominantly Andisols, Inceptisols, and exposed rock formation (rock outcrops) at higher 138 altitudes of the mountain range [Wolock, 1997]. Rock outcrops account for almost 8% of the 139 area. Annual mean temperature ranges from -4.9°C at higher altitudes to 10.5°C at lower 140 altitudes. Annual precipitation varies between 460 mm, east of the Cascade Mountains, to 2087 141 mm on the western side of the mountains [*Hijmans et al.*, 2005]. The total area covered is 9,400 142 km^2 . 143

144 2.2 Data

Table 1 summarizes the spatial data used as model inputs at each of the two study sites. 145 We use the 32 day raw composite satellite images from Landsat 7, specifically seeking 146 147 information on the values of spectral bands 1, 2, 3, and 4, which correspond to the blue, green, red, and near infrared colour channels, respectively. Both historical and future climate datasets 148 (items 4 and 5 in Table 1) are obtained from the WorldClim dataset [*Hijmans et al.*, 2005]. The 149 150 historical observed climate data by *Hijmans et al.* [2005] has used observed meteorological station data (47544 stations for precipitation and 24542 stations for air temperature) from a 151 152 variety of sources, such as Global Historical Climatology Network (GHCN v2), World Meteorological Organization's Climate Normals (WMO CLINO), and Food and Agricultural 153 Organization's Agroclimatic Database (FAOCLIM 2.0). These observed point data have been 154 155 interpolated over a 1 km global grid using the thin-plate smoothing spline algorithm. As shown in Figure 1 of *Hijmans et al.* [2005], the meteorological station density is amongst the highest in 156 the continental United States. The downscaled 2061-2080 climate data from the GISS E2 model 157 158 output are for the Representative Concentration Pathway (RCP) 8.5 scenario [IPCC, 2013]. To

159	ensure fast computation as well as uniformity amongst the different datasets, we resample all the		
160	above-mentioned data onto a common 150 m resolution grid.		
161	[Insert Table 1 here]		
162			
163	3 Methods		
164	3.1 Machine learning model		
165	We use the RF model [Breiman, 2001], which is an ensemble-based machine learning		
166	method, to predict the spectral band information of Landsat images. The spatial data used as		
167	model inputs, i.e., the predictor variables, are elevation, aspect, slope, mean annual precipitation		
168	and temperature. The model outputs, i.e., the target variables, are the spectral values from bands		
169	1, 2, 3, and 4 of the Landsat image.		
170	Each ensemble member in the RF model is a Decision Trees (DT) model, which is		
171	essentially an inverted binary tree structure where splitting rules govern the flow of decisions.		
172	The DT algorithm begins at the top node and proceeds down through internal nodes and		
173	branches. There are two main types of DT models: (1) CT, which are used when the data type of		
174	target variables is categorical, and (2) RT, which are used when the data type of target variables		

data, we use RT as the base ensemble constituent of our RF model. Each node of RT is a binary

is numerical. Since our target variables are the spectral band values in every pixel of the Landsat

split that is conditional based on the value of a predictor variable. The particular form of RT that

178 we use here is Classification and Regression Tree (CART). CART builds a RT in a top-down

179 manner, first creating a root node and progressively splitting the data into two sub-trees. The

180 final output of RF model is the mean of the output from all individual RT models in the

181 ensemble.

175

182 A drawback of the DT models is their tendency to overfit the training dataset by building very deep trees [Bramer, 2007]. This can lead to poor model performance when making 183 predictions outside the training dataset. RF models reduce the risk of overfitting in two main 184 ways. Firstly, given that the RF model structure is an ensemble of a large number of DT models, 185 its output is not overly dependent on that of any single DT model. Secondly, when creating the 186 187 training dataset for its ensemble member models, the RF model uses the bootstrap aggregating method (also referred to as bagging) [Breiman, 1996]. In this method, the original training 188 dataset is sampled with replacement, thereby creating a sub-sampled dataset that has the same 189 190 length as the original training dataset. The use of bagging method ensures that: (1) each individual DT model in the ensemble is trained with a slightly different dataset, and (2) part of 191 the original training dataset that is left out due to bagging can be used as the test dataset to 192 determine model performance (also known as the out-of-bag (OOB) score). Here, we use the 193 coefficient of determination (R^2) to measure the RF model's OOB score. 194 One of the main controlling factors in RF model's performance is the number of its 195 196 poor OOB score, and increasing the number of ensemble members can improve the OOB score. 197 198 However, the improvement in model performance becomes marginal once a certain threshold of ensemble members is crossed, and having too many ensemble members simply adds to the 199

ensemble members (i.e., individual RT). Typically, having too few ensemble members leads to a computational cost without any performance gain. During preliminary tests of the RF model 200 201 with our datasets, we found that having more than 100 RT model ensembles provides virtually no improvement in the OOB score. Therefore, for all the results presented in this paper, our RF 202 model consists of an ensemble of 100 RT models. 203

204

During the training phase of RF model, we use the historical climate data (see Table 1)

and topographic variables (elevation, slope, and aspect) as model inputs. The spectral band
information from Landsat 7 images is used for comparison with model outputs to calibrate the
RF model. In the prediction phase, the RF model uses the future climate data and the
topographic variables as model inputs. We use the RF model in the scikit-learn machine learning
package that is implemented in Python® programming language [*Pedregosa et al.*, 2011].

210

3.2 Post-processing of the model outputs

The output of RF model is the spectral band information of the Blue, Green, Red, and Near Infrared bands of the Landsat image. We use this output information to create two derived products: (1) a true colour photorealistic image consisting of the Red, Green and Blue (RGB) colour bands, and (2) an image showing the NDVI values of the study sites. NDVI value for each pixel is calculated using the following formula.

216 NDVI =
$$\frac{B_4 - B_3}{B_4 + B_3}$$
 (1)

where, B_4 is the Near Infrared colour band and B_3 is the Red colour band of a Landsat 7 satellite image.

In addition to the OOB score obtained during the RF model's training phase (see Section 3.1), we calculate two more error metrics to assess the model performance for the final trained images. For the photorealistic image, the error at each pixel is calculated as follows.

222
$$E_{\text{RGB}} = \sqrt{(B_{1,\text{obs}} - B_{1,\text{pred}})^2 + (B_{2,\text{obs}} - B_{2,\text{pred}})^2 + (B_{3,\text{obs}} - B_{3,\text{pred}})^2}$$
 (2)

where, B_1 is the Blue colour band, B_2 is the Green colour band, and obs and pred denote the observed and model predicted spectral band values, respectively. For the NDVI image, the error at each pixel is calculated as follows.

$$E_{\rm NDVI} = \rm NDVI_{obs} - \rm NDVI_{pred}$$
(3)

4 Results and Discussion

229	We first present the results from the RF model's training phase, which uses the
230	topographic and historical climate data to train the model for predicting the four spectral band
231	values (Blue, Green, Red, and Near Infrared) of the Landsat image. For the New Mexico site,
232	the OOB R^2 value for the prediction of four spectral band values is 0.79. For the Washington
233	site, the OOB R^2 value is 0.73. Figure 2 compares the original Landsat and the trained true
234	colour photorealistic images for both study sites. Images produced using the RF model are able
235	to capture almost all the major land cover features at both sites, and there is good visual
236	agreement with the original Landsat images.
237	[Insert Figure 2 here]
238	Figure 3 compares the NDVI values between the original and trained images at both
239	study sites. For the New Mexico site, the R^2 value between observed and simulated NDVI
240	values is 0.97. For the Washington site, $R^2 = 0.96$ between the observed and simulated NDVI
241	values. It is worth noting here that the R^2 values are much higher for NDVI because at each site
242	we compare all the pixels between the observed and simulated data, whereas for the raw spectral
243	band values, we only compare the pixels that were left out from training due to bagging.
244	[Insert Figure 3 here]
245	Figure 4 shows the RGB error between the original Landsat and the model generated
246	photorealistic images calculated at each pixel using Equation 2. The error across RGB band
247	values is lower at the New Mexico site, where there is no prominent geographical pattern for
248	high error values. Conversely, the Washington site has higher error across the RGB band values,
249	and the high error pixels are predominantly located in areas adjacent to the perennial snow cover.
250	Figure 5 shows the error between the original and model generated NDVI images calculated at

251	each pixel using Equation 3. Consistent with the RGB error shown in Figure 4, the NDVI error
252	values are lower at the New Mexico site compared to the Washington site.
253	[Insert Figure 4 here]
254	[Insert Figure 5 here]
255	Next, we focus on the prediction phase of the RF model, which uses the topographic and
256	future climate data (see Table 1) to predict the spectral band values for the RCP 8.5 climate
257	change scenario. Figure 6 compares the historical (trained) and the future (predicted) true colour
258	photorealistic images for both study sites. For the New Mexico site, the most prominent change
259	is the increase in vegetation cover within the forested areas on either side of the Rio Grande
260	river. For the Washington site, there is a substantial decrease in the perennial snow cover in the
261	vicinity of Mount Baker (top left of the image) as well as across other mountainous areas along
262	the Cascades Mountain Range. Many areas that appear as snow covered in the trained historical
263	image are replaced by bare ground in the future scenario image. Figure 7 shows the NDVI
264	images at both study sites for the historical (trained) and the future (predicted) scenarios. The
265	overall increase in vegetation cover at the New Mexico site is discernible from the NDVI
266	comparison. Interestingly, the reduction in perennial snow cover for the Washington site can be
267	perceived through the increase in NDVI values in the mountainous areas.
268	[Insert Figure 6 here]
269	[Insert Figure 7 here]
270	We have attempted to demonstrate that a machine learning model that is trained to predict
271	the spectral band information of satellite images can be highly useful for scenario-based
272	assessment of future land cover. Moreover, given the richness of information available from
273	spectral band values, it is possible to create several derived products to analyse (and visualize)

274 land cover response to climate change from multiple perspectives. In our view, this is a non-275 trivial improvement from previous land cover change studies which had limited the application of machine learning models to categorical land cover classification data [Rogan et al., 2008; 276 277 Schneider, 2012; Pearson et al., 2013]. It is worth mentioning here that the categorical land 278 cover classification data itself is a product that is derived from satellite image data, similar to the photorealistic images and NDVI data shown in our study. Several methods, many of them based 279 on machine learning, exist to convert the satellite's spectral band information into land cover 280 classes [Friedl and Brodley, 1997; DeFries and Chan, 2000; Hansen et al., 2000; Oian et al., 281 282 2015]. We would also like to note that our focus on predicting only the first four spectral bands of the Landsat 7 images was governed by our choice of derivative products, the NDVI and RGB 283 images (which require the use of first four bands only). Nonetheless, the techniques presented in 284 this study are applicable to predicting the information from any desired number of satellite 285 spectral bands, depending on the final product sought by the end user. 286 Our preference for choosing a RF machine learning model in this study was partly due to 287 the fact that its ensemble constituents are comprised of DT models, which offers a number of 288 attractive features over other statistical learning techniques. DT models are non-parametric and 289 290 therefore make no assumptions regarding the distribution of the data. They are structurally explicit models and provide for a clear interpretation of the connections between the predictor 291 and target variables. Normalization of attribute distances is unnecessary in these models, and 292 293 their internal structure (essentially a cascading set of data splitting decisions) makes them much more tolerant to redundancies in the information content among the input variables [Song and 294 295 Lu, 2015]. In addition, they tend to be computationally faster than other machine learning 296 techniques [Witten and Frank, 2005; Kotsiantis et al., 2007; Rogan et al., 2008; Schneider, 2012]

297 such as NN or BRT, and certainly faster than the physically based mechanistic models for a 298 similar resolution data and areal extent. Lastly, as we had mentioned in Section 3.1, the ensemble averaging process in a RF model mitigates the drawbacks caused by the direct use of a 299 300 standalone DT model. Nonetheless, there are a few assumptions and limitations built into our 301 methodology. Firstly, our model requires long term climatic averages of precipitation and air 302 temperature as inputs. These were chosen because the development of natural vegetation cover is a gradual process and would be a function of past climate over a long time period (in the order 303 of decades) [Dale, 1997; Kangur et al., 2005; Soudzilovskaia et al., 2013], especially for forested 304 305 areas which are abundant in both our study sites. Unfortunately, this makes the model unsuitable for change detection at short time scales, and a time gap of several decades would be needed 306 between the training and prediction dataset to obtain meaningful change detection. Secondly, 307 our input data was resampled to a common grid resolution of 150 m prior to running the model, 308 which was done to limit the computational expenditure in the desktop runtime setting. Grid 309 310 resampling does bring another source of uncertainty to the model, but is unavoidable due to 311 different resolutions of our input datasets. Nonetheless, it would be possible to run our model at finer spatial resolutions if additional computational resources are available to the user. 312 313 As we look forward, the method presented in our study offer both challenges and opportunities. Firstly, our model presumes that the land cover change for the 2061-2080 period 314 is simply the application of learned rules from the historical period to the climate changed 315 316 environment. Many sites within our two study regions have experienced disturbance due to, for example, grazing pressure and fires [Everett et al., 2000; Floyd et al., 2003; Allen, 2007]. 317 However, to a large extent, this is mitigated by the fact that our land cover training is conducted 318 319 over regions that are much larger than the scale of a typical disturbance. Secondly, the predicted

land cover for 2061-2080 period does not indicate the velocity of land cover change in response
to changes in precipitation and air temperature [*Loarie et al.*, 2009]. Thus, our model does not
provide any mechanistic understanding of how the final predicted state of land cover will be
reached.

Within the limits of these challenges, the method presented here does provide a few 324 325 opportunities. Monthly Landsat images are available at the 16 and 32 day time frames going back to 2002, and can provide ample raw data to explore how the seasonality of vegetation will 326 be altered in a future scenario. Ongoing improvements in the satellite sensor technology, such as 327 328 those in the recently launched Landsat 8 satellite [Knight and Kvaran, 2014; Roy et al., 2014], also have the potential to provide increasingly better quality input data to land cover change 329 330 models. The fast computational speed of the machine learning models permit the rendering of future land cover over much larger areas than our study regions, possibly even covering the 331 entire continental USA. The five predictor variables we used were obtained from three primary 332 data sources: rainfall, air temperature, and elevation (slope and aspect are derivative products of 333 334 elevation), and were chosen based on what we judged to be important factors for predicting land cover. Nonetheless, we cannot rule out the possibility that, at least in some regions, inclusion of 335 336 different types of predictor variables could improve the machine learning model's capability to predict land cover. Therefore, there is opportunity to experiment with the predictor variables by 337 338 adding to or modifying the data sources.

339

340 **5** Conclusions

In this paper, our goal was to extend the utility of machine learning based land coverchange models to predict the spectral band information of satellite based land cover images. We

343 used the topographic and historical climate data from two large sites in the United States to train a RF machine learning model to predict the spectral values from bands 1 (Blue), 2 (Green), 3 344 (Red), and 4 (Near Infrared) of Landsat 7 image. We then used the trained model to explore 345 what the land cover might look like for a climate change scenario during the 2061-2080 period 346 347 through the two derived products. Our results showed that the RF model can accurately 348 reproduce the land cover properties for historical data and is able to provide realistic rendering of future land cover for a climate change scenario. The two derived land cover products 349 (photorealistic RGB image and NDVI image) shown in our results demonstrate that the direct 350 351 prediction of spectral band information is helpful for deriving ecologically relevant products. We consider this a major strength of our proposed approach because it enables the analysis of 352 353 land cover change from multiple perspectives.

What land cover change will occur over the next 100 years is highly uncertain. However, presuming little is done to reduce the rate of CO₂ emissions, the global air temperatures for the 2081–2100 period are projected to be to 1.5 - 4.8 °C higher than for the 1986–2005 period [*IPCC*, 2013]. This will almost certainly impact regional and global land cover [*Krinner et al.*, 2005; *Beer et al.*, 2007; *Sitch et al.*, 2008; *Anav et al.*, 2010; *Hickler et al.*, 2012]. We hope that the method presented here makes a useful contribution towards understanding and predicting these changes.

361

362 Acknowledgements

This work was supported by the National Science Foundation under Award Number 1027870
(CDI-Type Small Resources Supercomputing: High Performance Computing in the Earth
Sciences).

366

367 **References**

- Abdelnour, A., M. Stieglitz, F. Pan, and R. McKane (2011), Catchment hydrological responses
 to forest harvest amount and spatial pattern, *Water Resour. Res.*, 47, W09521–W09521,
 doi:10.1029/2010WR010165.
- Abdelnour, A., R. B. McKane, M. Stieglitz, F. Pan, and Y. Cheng (2013), Effects of harvest on
 carbon and nitrogen dynamics in a Pacific Northwest forest catchment, *Water Resour. Res.*,
 49(3), 1292–1313, doi:10.1029/2012WR012994.
- Allen, C. D. (2007), Interactions Across Spatial Scales among Forest Dieback, Fire, and Erosion
 in Northern New Mexico Landscapes, *Ecosystems*, 10(5), 797–808, doi:10.1007/s10021 007-9057-4.
- Alpaydin, E. (2014), *Introduction to machine learning*, MIT press.
- Anav, A., F. D'Andrea, N. Viovy, and N. Vuichard (2010), A validation of heat and carbon
 fluxes from high-resolution land surface and regional models, *J. Geophys. Res. Biogeosciences*, *115*(G4), G04016, doi:10.1029/2009JG001178.
- Barger, N. N., S. R. Archer, J. L. Campbell, C. Huang, J. A. Morton, and A. K. Knapp (2011),
 Woody plant proliferation in North American drylands: A synthesis of impacts on
 ecosystem carbon balance, *J. Geophys. Res. Biogeosciences*, *116*(G4), n/a-n/a,
 doi:10.1029/2010JG001506.
- Beer, C., W. Lucht, D. Gerten, K. Thonicke, and C. Schmullius (2007), Effects of soil freezing
 and thawing on vegetation carbon density in Siberia: A modeling analysis with the LundPotsdam-Jena Dynamic Global Vegetation Model (LPJ-DGVM), *Global Biogeochem. Cycles*, 21(1), n/a-n/a, doi:10.1029/2006GB002760.
- Bishop, C. M. (2006), *Pattern recognition and machine learning*, springer New York.
- 390 Bramer, M. (2007), *Principles of data mining*, Springer.
- Breiman, L. (1996), Bagging predictors, *Mach. Learn.*, 24(2), 123–140,
 doi:10.1007/BF00058655.
- Breiman, L. (2001), Random Forests, *Mach. Learn.*, 45(1), 5–32,
 doi:10.1023/A:1010933404324.
- Brovkin, V., M. Claussen, E. Driesschaert, T. Fichefet, D. Kicklighter, M. F. Loutre, H. D.
 Matthews, N. Ramankutty, M. Schaeffer, and A. Sokolov (2006), Biogeophysical effects of
 historical land cover changes simulated by six Earth system models of intermediate
 complexity, *Clim. Dyn.*, 26(6), 587–600, doi:10.1007/s00382-005-0092-6.
- Brown de Colstoun, E. C., M. H. Story, C. Thompson, K. Commisso, T. G. Smith, and J. R.
- 400 Irons (2003), National Park vegetation mapping using multitemporal Landsat 7 data and a
 401 decision tree classifier, *Remote Sens. Environ.*, 85(3), 316–327,
- 402 doi:http://dx.doi.org/10.1016/S0034-4257(03)00010-5.
- Campbell, J. L., S. V Ollinger, G. N. Flerchinger, H. Wicklein, K. Hayhoe, and A. S. Bailey
 (2010), Past and projected future changes in snowpack and soil frost at the Hubbard Brook

- Experimental Forest, New Hampshire, USA, *Hydrol. Process.*, 24(17), 2465–2480,
 doi:10.1002/hyp.7666.
- 407 Crawford, R. C., C. B. Chappell, C. C. Thompson, and F. J. Rocchio (2009), *Vegetation*
- 408 classification of Mount Rainier, North Cascades, and Olympic National Parks. Plant
- 409 *association descriptions and identification keys*, Natural Resource Technical Report
- 410 NPS/NCCN/NRTR—2009/D-586. US Department of the Interior, National Park Service,
 411 Natural Resource Program Centre, Fort Collins, CO, US.
- 412 Dale, V. H. (1997), The Relationship Between Land- Use Change and Climate Change, *Ecol.*413 *Appl.*, 7(3), 753–769.
- 414 DeFries, R. S., and J. C.-W. Chan (2000), Multiple Criteria for Evaluating Machine Learning
 415 Algorithms for Land Cover Classification from Satellite Data, *Remote Sens. Environ.*,
 416 74(3), 503–515, doi:http://dx.doi.org/10.1016/S0034-4257(00)00142-5.
- 417 Domingos, P. (2012), A Few Useful Things to Know About Machine Learning, *Commun. ACM*,
 418 55(10), 78–87, doi:10.1145/2347736.2347755.
- Everett, R. L., R. Schellhaas, D. Keenum, D. Spurbeck, and P. Ohlson (2000), Fire history in the
 ponderosa pine/Douglas-fir forests on the east slope of the Washington Cascades, *For. Ecol. Manage.*, *129*(1–3), 207–225, doi:http://dx.doi.org/10.1016/S0378-1127(99)00168-1.
- Floyd, M. L., T. L. Fleischner, D. Hanna, and P. Whitefield (2003), Effects of Historic Livestock
 Grazing on Vegetation at Chaco Culture National Historic Park, New Mexico, *Conserv. Biol.*, *17*(6), 1703–1711, doi:10.1111/j.1523-1739.2003.00227.x.
- Foster, J. L. (1989), The Significance of the Date of Snow Disappearance on the Arctic Tundra
 as a Possible Indicator of Climate Change, *Arct. Alp. Res.*, 21(1), 60–70,
 doi:10.2307/1551517.
- Foster, J. L., J. W. Winchester, and E. G. Dutton (1992), The date of snow disappearance on the
 Arctic tundra as determined from satellite, meteorological station and radiometric in situ
 observations, *Geosci. Remote Sensing, IEEE Trans.*, 30(4), 793–798,
- doi:10.1109/36.158874.
- Friedl, M. A., and C. E. Brodley (1997), Decision tree classification of land cover from remotely
 sensed data, *Remote Sens. Environ.*, *61*(3), 399–409, doi:http://dx.doi.org/10.1016/S00344257(97)00049-7.
- Groisman, P. Y., T. R. Karl, and R. W. Knight (1994), Observed Impact of Snow Cover on the
 Heat Balance and the Rise of Continental Spring Temperatures, *Sci.*, 263(5144), 198–200,
 doi:10.1126/science.263.5144.198.
- Guisan, A., and N. E. Zimmermann (2000), Predictive habitat distribution models in ecology,
 Ecol. Modell., 135(2–3), 147–186, doi:http://dx.doi.org/10.1016/S0304-3800(00)00354-9.
- Guisan, A., A. Lehmann, S. Ferrier, M. Austin, J. M. C. C. Overton, R. Aspinall, and T. Hastie
 (2006), Making better biogeographical predictions of species' distributions, *J. Appl. Ecol.*,
 442 43(3), 386–392, doi:10.1111/j.1365-2664.2006.01164.x.
- Hall, D. K., C. J. Crawford, N. E. DiGirolamo, G. A. Riggs, and J. L. Foster (2015), Detection of
 earlier snowmelt in the Wind River Range, Wyoming, using Landsat imagery, 1972–2013, *Remote Sens. Environ.*, *162*, 45–54, doi:http://dx.doi.org/10.1016/j.rse.2015.01.032.

- Hansen, M. C., R. S. Defries, J. R. G. Townshend, and R. Sohlberg (2000), Global land cover
 classification at 1 km spatial resolution using a classification tree approach, *Int. J. Remote Sens.*, 21(6–7), 1331–1364, doi:10.1080/014311600210209.
- Hickler, T. et al. (2012), Projecting the future distribution of European potential natural
 vegetation zones with a generalized, tree species-based dynamic vegetation model, *Glob. Ecol. Biogeogr.*, 21(1), 50–63, doi:10.1111/j.1466-8238.2010.00613.x.
- Hijmans, R. J., S. E. Cameron, J. L. Parra, P. G. Jones, and A. Jarvis (2005), Very high
 resolution interpolated climate surfaces for global land areas, *Int. J. Climatol.*, 25(15),
 1965–1978, doi:10.1002/joc.1276.
- Homer, C. G., G. Xian, C. L. Aldridge, D. K. Meyer, T. R. Loveland, and M. S. O'Donnell
 (2015), Forecasting sagebrush ecosystem components and greater sage-grouse habitat for
 2050: Learning from past climate patterns and Landsat imagery to predict the future, *Ecol. Indic.*, 55, 131–145, doi:http://dx.doi.org/10.1016/j.ecolind.2015.03.002.
- Im, J., and J. R. Jensen (2005), A change detection model based on neighborhood correlation
 image analysis and decision tree classification, *Remote Sens. Environ.*, 99(3), 326–340,
 doi:http://dx.doi.org/10.1016/j.rse.2005.09.008.
- 462 IPCC (2013), Climate Change 2013: The Physical Science Basis. Contribution of Working
 463 Group I to the Fifth Assessment Report of the Intergovern mental Panel on Climate
 464 Change, Cambridge, United Kingdom and New York, NY, USA.
- Kangur, A., H. Korjus, K. Jõgiste, and A. Kiviste (2005), A conceptual model of forest stand
 development based on permanent sample-plot data in Estonia, *Scand. J. For. Res.*, 20(S6),
 94–101.
- Kelly, A. E., and M. L. Goulden (2008), Rapid shifts in plant distribution with recent climate
 change, *Proc. Natl. Acad. Sci.*, 105(33), 11823–11826, doi:10.1073/pnas.0802891105.
- Kerr, J. T., and M. Ostrovsky (2003), From space to species: ecological applications for remote
 sensing, *Trends Ecol. Evol.*, *18*(6), 299–305, doi:10.1016/S0169-5347(03)00071-5.
- Klein, I., U. Gessner, and C. Kuenzer (2012), Regional land cover mapping and change detection
 in Central Asia using MODIS time-series, *Appl. Geogr.*, *35*(1–2), 219–234,
 doi:http://dx.doi.org/10.1016/j.apgeog.2012.06.016.
- Knight, J. E., and G. Kvaran (2014), Landsat-8 Operational Land Imager Design,
 Characterization and Performance, *Remote Sens.*, 6(11), doi:10.3390/rs61110286.
- Kotsiantis, S. B., I. Zaharakis, and P. Pintelas (2007), Supervised machine learning: A review of
 classification techniques,
- Krinner, G., N. Viovy, N. de Noblet-Ducoudré, J. Ogée, J. Polcher, P. Friedlingstein, P. Ciais, S.
 Sitch, and I. C. Prentice (2005), A dynamic global vegetation model for studies of the
 coupled atmosphere-biosphere system, *Global Biogeochem. Cycles*, *19*(1), n/a-n/a,
 doi:10.1029/2003GB002199.
- Lenoir, J., J. C. Gégout, P. A. Marquet, P. de Ruffray, and H. Brisse (2008), A Significant
- 484 Upward Shift in Plant Species Optimum Elevation During the 20th Century, *Sci.*,
 485 *320*(5884), 1768–1771, doi:10.1126/science.1156831.
- Loarie, S. R., P. B. Duffy, H. Hamilton, G. P. Asner, C. B. Field, and D. D. Ackerly (2009), The

- 487 velocity of climate change, *Nature*, *462*(7276), 1052–1055.
- McIver, D. K., and M. A. Friedl (2002), Using prior probabilities in decision-tree classification
 of remotely sensed data, *Remote Sens. Environ.*, 81(2–3), 253–261,
 doi:http://dx.doi.org/10.1016/S0034-4257(02)00003-2.
- 491 Mitchell, T. M. (1997), *Machine learning*, McGraw Hill, Burr Ridge, IL.
- Mote, P. W. (2003), Trends in snow water equivalent in the Pacific Northwest and their climatic causes, *Geophys. Res. Lett.*, *30*(12), n/a-n/a, doi:10.1029/2003GL017258.
- Parker, D. C., S. M. Manson, M. A. Janssen, M. J. Hoffmann, and P. Deadman (2003), MultiAgent Systems for the Simulation of Land-Use and Land-Cover Change: A Review, *Ann. Assoc. Am. Geogr.*, *93*(2), 314–337, doi:10.1111/1467-8306.9302004.
- Pauleit, S., R. Ennos, and Y. Golding (2005), Modeling the environmental impacts of urban land
 use and land cover change—a study in Merseyside, UK, *Landsc. Urban Plan.*, 71(2–4),
 295–310, doi:http://dx.doi.org/10.1016/j.landurbplan.2004.03.009.
- Pearson, R. G., and T. P. Dawson (2003), Predicting the impacts of climate change on the
 distribution of species: are bioclimate envelope models useful?, *Glob. Ecol. Biogeogr.*,
 12(5), 361–371, doi:10.1046/j.1466-822X.2003.00042.x.
- Pearson, R. G., S. J. Phillips, M. M. Loranty, P. S. A. Beck, T. Damoulas, S. J. Knight, and S. J.
 Goetz (2013), Shifts in Arctic vegetation and associated feedbacks under climate change,
 Nat. Clim. Chang., 3(7), 673–677.
- Pedregosa, F. et al. (2011), Scikit-learn: Machine Learning in {P}ython, J. Mach. Learn. Res.,
 12, 2825–2830.
- Pettorelli, N., J. O. Vik, A. Mysterud, J.-M. Gaillard, C. J. Tucker, and N. C. Stenseth (2005),
 Using the satellite-derived NDVI to assess ecological responses to environmental change,
 Trends Ecol. Evol., 20(9), 503–510, doi:10.1016/j.tree.2005.05.011.
- Pitman, A. J. et al. (2009), Uncertainties in climate responses to past land cover change: First
 results from the LUCID intercomparison study, *Geophys. Res. Lett.*, 36(14), n/a-n/a,
 doi:10.1029/2009GL039076.
- Qian, Y., W. Zhou, J. Yan, W. Li, and L. Han (2015), Comparing Machine Learning Classifiers
 for Object-Based Land Cover Classification Using Very High Resolution Imagery, *Remote Sens.*, 7(1), doi:10.3390/rs70100153.
- Rogan, J., J. Franklin, D. Stow, J. Miller, C. Woodcock, and D. Roberts (2008), Mapping landcover modifications over large areas: A comparison of machine learning algorithms, *Remote Sens. Environ.*, 112(5), 2272–2283, doi:http://dx.doi.org/10.1016/j.rse.2007.10.004.
- Root, T. L., J. T. Price, K. R. Hall, S. H. Schneider, C. Rosenzweig, and J. A. Pounds (2003),
 Fingerprints of global warming on wild animals and plants, *Nature*, 421(6918), 57–60.
- Roughgarden, J., S. W. Running, and P. A. Matson (1991), What Does Remote Sensing Do For
 Ecology?, *Ecology*, 72(6), 1918–1922, doi:10.2307/1941546.
- Roy, D. P. et al. (2014), Landsat-8: Science and product vision for terrestrial global change
 research, *Remote Sens. Environ.*, *145*, 154–172,
- 526 doi:http://dx.doi.org/10.1016/j.rse.2014.02.001.
- 527 Schneider, A. (2012), Monitoring land cover change in urban and peri-urban areas using dense

- time stacks of Landsat satellite data and a data mining approach, *Remote Sens. Environ.*,
 124, 689–704, doi:http://dx.doi.org/10.1016/j.rse.2012.06.006.
- Sitch, S. et al. (2003), Evaluation of ecosystem dynamics, plant geography and terrestrial carbon
 cycling in the LPJ dynamic global vegetation model, *Glob. Chang. Biol.*, 9(2), 161–185,
 doi:10.1046/j.1365-2486.2003.00569.x.
- Sitch, S. et al. (2008), Evaluation of the terrestrial carbon cycle, future plant geography and
 climate-carbon cycle feedbacks using five Dynamic Global Vegetation Models (DGVMs), *Glob. Chang. Biol.*, 14(9), 2015–2039, doi:10.1111/j.1365-2486.2008.01626.x.
- Song, Y., and Y. Lu (2015), Decision tree methods: applications for classification and prediction,
 Shanghai Arch. Psychiatry, 27(2), 130–135, doi:10.11919/j.issn.1002-0829.215044.
- Soudzilovskaia, N. A., T. G. Elumeeva, V. G. Onipchenko, I. I. Shidakov, F. S. Salpagarova, A.
 B. Khubiev, D. K. Tekeev, and J. H. C. Cornelissen (2013), Functional traits predict
 relationship between plant abundance dynamic and long-term climate warming, *Proc. Natl. Acad. Sci.*, *110*(45), 18180–18184.
- Stone, R. S., E. G. Dutton, J. M. Harris, and D. Longenecker (2002), Earlier spring snowmelt in
 northern Alaska as an indicator of climate change, *J. Geophys. Res. Atmos.*, 107(D10), ACL
 10-1-ACL 10-13, doi:10.1029/2000JD000286.
- Stow, D. A. et al. (2004), Remote sensing of vegetation and land-cover change in Arctic Tundra
 Ecosystems, *Remote Sens. Environ.*, 89(3), 281–308,
 doi:http://dx.doi.org/10.1016/j.rse.2003.10.018.
- Tague, C., L. Seaby, and A. Hope (2009), Modeling the eco-hydrologic response of a
 Mediterranean type ecosystem to the combined impacts of projected climate change and
 altered fire frequencies, *Clim. Change*, *93*(1–2), 137–155, doi:10.1007/s10584-008-9497-7.
- VanDerWal, J., H. T. Murphy, A. S. Kutt, G. C. Perkins, B. L. Bateman, J. J. Perry, and A. E.
 Reside (2013), Focus on poleward shifts in species' distribution underestimates the
 fingerprint of climate change, *Nat. Clim. Chang.*, *3*(3), 239–243.
- Vapnik, V. N. (1999), An overview of statistical learning theory, *Neural Networks, IEEE Trans.*,
 10(5), 988–999, doi:10.1109/72.788640.
- Verburg, P. H., K. Neumann, and L. Nol (2011), Challenges in using land use and land cover
 data for global change studies, *Glob. Chang. Biol.*, *17*(2), 974–989, doi:10.1111/j.13652486.2010.02307.x.
- Walther, G.-R., E. Post, P. Convey, A. Menzel, C. Parmesan, T. J. C. Beebee, J.-M. Fromentin,
 O. Hoegh-Guldberg, and F. Bairlein (2002), Ecological responses to recent climate change, *Nature*, 416(6879), 389–395.
- Witten, I. H., and E. Frank (2005), *Data Mining: Practical machine learning tools and techniques*, Morgan Kaufmann.
- Witten, I. H., S. J. Cunningham, G. Holmes, R. McQueen, and L. Smith (1993), *Practical machine learning and its application to problems in agriculture*, University of Waikato,
 Department of Computer Science.
- Wolock, D. M. (1997), STATSGO soil characteristics for the conterminous United States, US
 Geological Survey.

Tables

Attribute	Source	Resolution
Elevation	USGS National Elevation Dataset	30 m
Aspect	Calculated from Elevation data	30 m
Slope	Calculated from Elevation data	30 m
Historical mean	Worldclim – Normal 1950-2000 period [Hijmans et al.,	1000 m
annual	2005]	
temperature and		
precipitation		
Future mean	Worldclim – Downscaled GISS E2 2061-2080 period	1000 m
annual	[Hijmans et al., 2005]	
temperature and		
precipitation		
Landsat 7	For New Mexico: 16 October 1999 – 17 November 1999	30 m
reflectance	For Washington: 12 July 2001 – 13 August 2001	
imagery		

Table 1: Summary information of all the input data used for training the machine learning model

Figures



Figure 1: Location map of the two study sites.



Figure 2: Comparison of the original Landsat 7 images and the RF model trained true colour photorealistic images for the two study sites.



Figure 3: Comparison of the NDVI values between the original historical images (derived from Landsdat 7 using Equation 1) and the RF model trained images for the two study sites.



Figure 4: Spatial distribution of the error in RGB band values calculated for each pixel at (*a*) New Mexico site, and (*b*) Washington site. Also shown are the error histograms using the data from all the pixels at (*c*) New Mexico site, and (*d*) Washington site.



Figure 5: Spatial distribution of the error in NDVI values calculated for each pixel at (a) New Mexico site, and (b) Washington site. Also shown are the error histograms using the data from all the pixels at (c) New Mexico site, and (d) Washington site.



Figure 6: Comparison of the historical (RF model trained) and future (RF model predicted for RCP 8.5 scenario) true colour photorealistic images for the two study sites.



Figure 7: Comparison of the NDVI values between the historical (RF model trained) and future (RF model predicted for RCP 8.5 scenario) images for the two study sites.