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Rayment, Mark; He, Yufeng; Jones, Pippa J.

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1 A simple parameterisation of windbreak
2 effects on wind speed reduction and
3 resulting thermal benefits to sheep

4 Yufeng He*, Pippa J. Jones, Mark Rayment

5 School of Environment, Natural Resources and Geography, Bangor University, Bangor,
6 Gwynedd, LL57 2UW, UK.

7 *Corresponding author: Yufeng He (afp23e@bangor.ac.uk)

8

9 **Abstract**

10 It is well known that windbreaks can provide favourable conditions for livestock.
11 Determining the benefit of any given windbreak system first requires that the impact of the
12 windbreak on the wind microclimate is characterised, but in practice, modelling wind flow
13 around obstacles is complex and computationally intensive. We report a simple
14 parameterised model to estimate the wind speed reduction around a windbreak. Analytically,
15 model parameters showed close links to the real-world attributes that characterise windbreaks.
16 The model was validated with field measurements on a farmland in the UK; a Monte Carlo
17 simulation was used to measure model parameter uncertainties. Results showed that the
18 model produced an excellent fit to the relative wind speed (i.e. normalized by ambient wind
19 speed) with root-mean-square error of $4\% \pm 0.5\%$. The model was further applied to literature
20 data to characterise the dependence of the relative wind speed on windbreak porosity. A
21 field-scale simulation of a sheep grazing system, including an explicit description of wind-
22 chill effects, was conducted to estimate the net gain associated with including a windbreak in
23 sheep productivity. The maximum productivity gain (27%) was found at a porosity of 0.5 and
24 a wind speed of 12 m/s. Wind-chill effects were further simulated for lowland and upland
25 environments, and related to ovine-specific thermal tolerance limits. Results showed a
26 distinct response to reduced wind speeds between sites, indicating different levels of thermal
27 risk to livestock and different, microclimate-specific, windbreak benefits for each location.
28 The simplified models proposed in this study provides a generic framework for an efficient
29 and precise quantification of windbreak effects and optimising the design of windbreak
30 systems.

31 **Keywords:** windbreaks, wind speed reduction, livestock thermal benefits, wind-chill effects

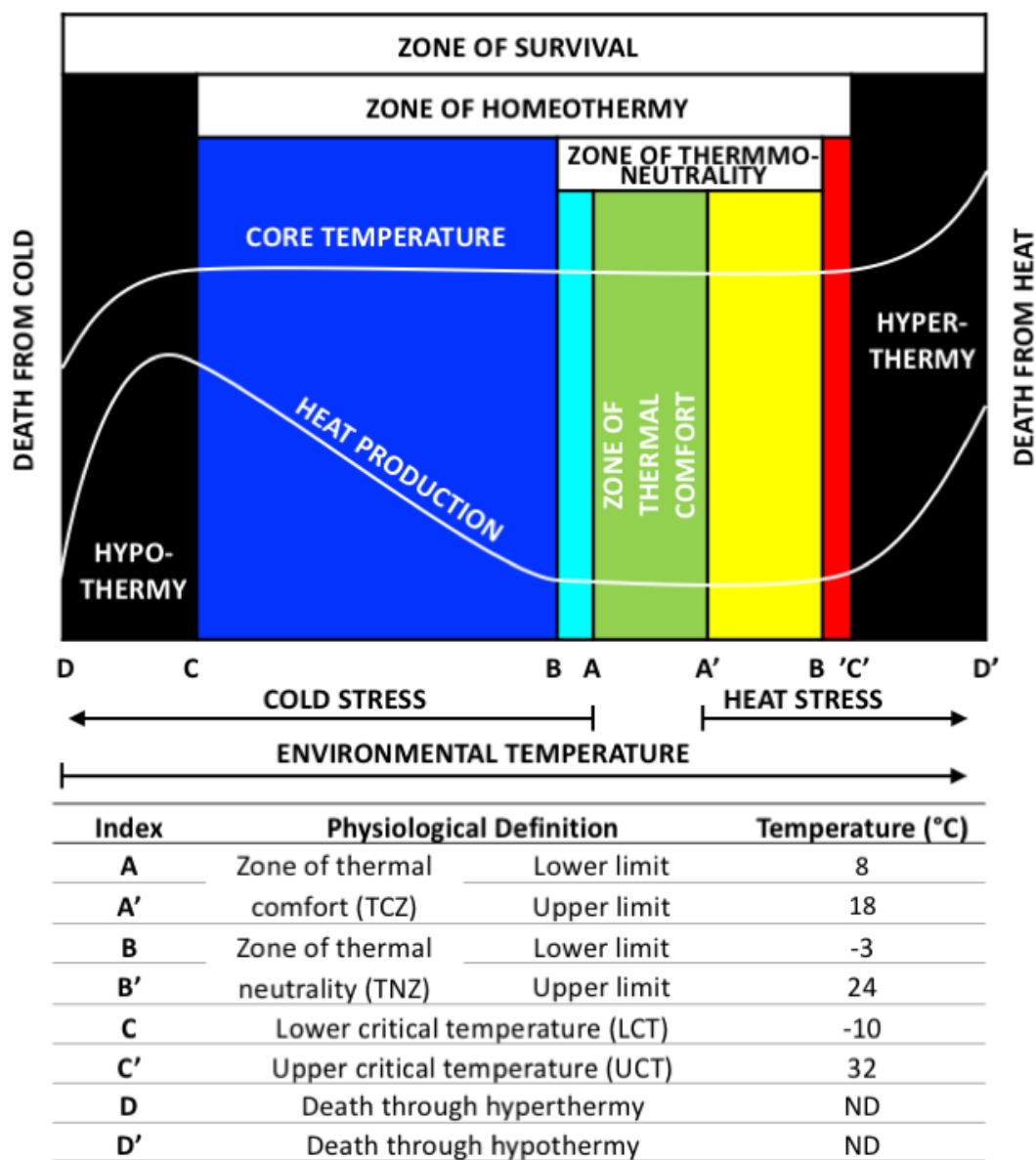
32 1 Introduction

33 Windbreaks or shelterbelts have been used in the agricultural landscape for centuries. In cold
34 and windy environments, where potential negative aspects such as drought and stagnant air
35 are insignificant, they are considered to have a generally positive effect on livestock
36 productivity (Brandle et al., 2004; Grace, 1988). Windbreaks afford direct physical protection
37 from a thermally stressful environment (Cleugh, 1998) as generated by high wind, sun and
38 precipitation. Crucially for livestock production, the immediate microclimatic conditions
39 determine energy balance and extent of energetic flux to the environment.

40 Energy generated by metabolism over and above requirements for vital processes, is, in
41 agricultural systems ideally apportioned to production (i.e. weight gain), but in cold
42 conditions is utilized in meeting the increased demands of thermoregulation (Bianca, 1976).
43 When exposed to a cold and windy environment, the insulating boundary layer formed by fur,
44 hair or fleece is diminished and convective heat loss from the body of the animal to the
45 surrounding environment is thus increased (McArthur and Monteith, 1980a; Mount and
46 Brown, 1982). The resulting decrease in temperature perceived by the organism as a result of
47 this additional heat loss is commonly known as the wind-chill effect, meaning that under
48 wind conditions, animals experience a colder condition than in still-air, and lower than the
49 ambient temperature. Low-wind microclimates provided by windbreaks reduce heat loss and
50 increase overall productivity (Ames and Insley, 1975; McArthur and Monteith, 1980b) as
51 well as lowering lamb mortality (Pollard, 2006).

52 As endothermic homeotherms, ovines defend internal homeostasis, with a mean core thermal
53 set-point of 39°C (with a typical range of 37.9-39.8°C (Bligh et al., 1965)). Within a narrow
54 range of environmental temperature (thermo-comfort zone: TCZ, A-A' on Fig. 1), metabolic
55 heat production is sufficient to balance the still-air energetic flux between animal and
56 microclimate without requiring the initiation of additional thermoregulatory strategies. As
57 the thermal gradient between core body temperature and the environment increases, first
58 behavioural, and then physiological, responses must be initiated to maintain core temperature,
59 incurring an increased energetic cost. Animals experiencing temperatures outside the TCZ,
60 but within thermo-neutral zone (TNZ, B-B'; Fig. 1) cease feeding and seek shelter or shade.
61 Beyond the limits of TNZ, physiological changes to the animal's insulation properties and
62 intensification of metabolic heat production, catabolism of tissue and shivering
63 thermogenesis (cold temperature) or increase in evaporative heat loss through sweating or

64 panting (high temperature) occur to meet the energetic cost of thermal stress. Once outside
 65 lower or upper critical temperature limits (LCT, UCT), probability of death by hypo- or
 66 hyperthermia is a direct product of accumulated time and temperature. The thermal limits for
 67 an adult sheep are detailed in Fig. 1.



68
 69 *Figure 1 Zones of thermal comfort (TCZ), neutrality (TNZ) and critical thermal limits illustrated graphically with equivalent*
 70 *temperatures for a temperate acclimatised adult ewe on maintenance diet with 50mm of fleece shown below. Graph adapted*
 71 *from: (Bianca, 1968); Temperature source: (Bianca, 1971, 1968; Blaxter, 1962; CAgM report, 1989).*

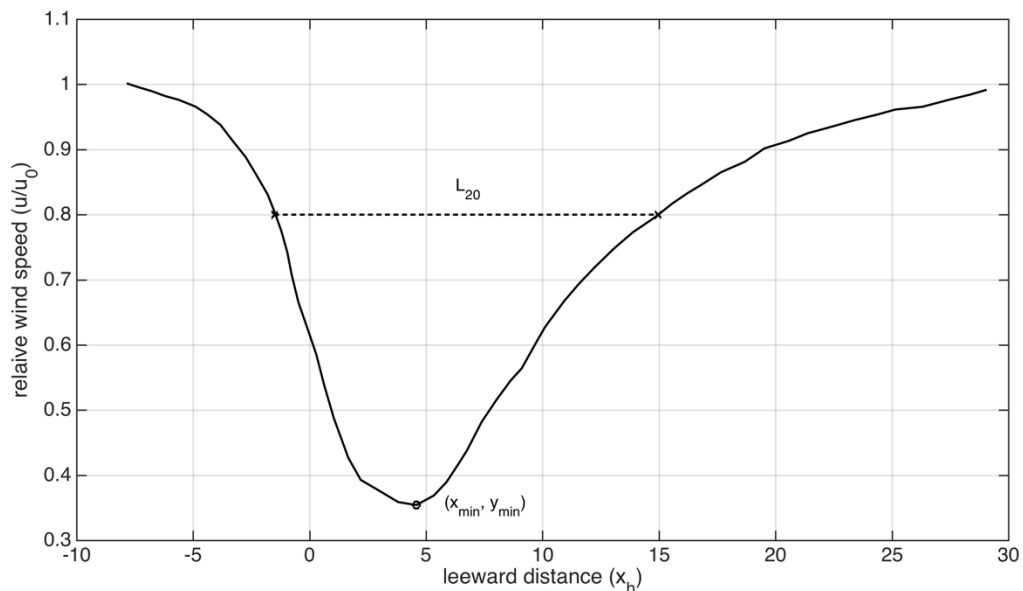
72 It is intuitive, therefore, that farm planning should be conducted with consideration of the
 73 influence of microclimate on energetic balance and production, and providing outdoor raised
 74 livestock with shelter, such as windbreaks. However, the positioning of sheltering ‘green
 75 infrastructure’ such as hedgerows, shelterbelts etc. in the UK is often done either on an ‘ad
 76 hoc’ basis, based on farmer experience, intuition or convenience, or by re-establishing

77 historical field boundaries. There is therefore a concern for scientific evidence-based advice
78 in optimising ‘weather-wise’ farm planning.

79 Prior to studying the thermal benefits to livestock created by windbreaks, it is fundamental to
80 have a quantitative evaluation of the windbreak impacts on microclimate such as wind field,
81 temperature and humidity. The impacts have been found to be significant in various
82 environmental conditions (McDonald et al., 2007; Nord, 1991; Středa et al., 2011), however,
83 this is generally a highly non-linear process that varies with inter-correlated environmental
84 drivers such as windbreak types, air flow, solar radiation and rainfall. The aerodynamic
85 properties of a windbreak determine its effectiveness in altering leeward microclimate, but
86 due consideration must also be given of the characteristics of the object to be projected
87 (Zhang et al., 1995). The aerodynamic properties of a living windbreak may also be affected
88 by seasonal variation in structure (e.g. deciduousness) (Koh et al., 2014).

89 In the scientific literature, there have been many attempts to grapple with numerical
90 simulations of the equations that govern windbreak aerodynamics (e.g. Bitog et al., 2012;
91 Speckart and Pardyjak, 2014; Torita and Satou, 2007; Wang and Takle, 1995; Yusaiyin and
92 Tanaka, 2009; Zhou et al., 2007, 2005). In addition to the technical problems of solving these
93 partial differential equations (e.g. how to discretize the equations and choose an appropriate
94 grid size), a fundamental obstacle to using these models in the field is that they are typically
95 derived from wind tunnel experiments that are necessarily simplified and unrealistic given
96 the complexity of a real windbreak (i.e. one made up of flexible and irregularly-shaped trees
97 and leaves). Moreover, the procedure of implementing such simulations is computational
98 intensive and is cumbersome to apply to any real-world scenario. In short, there is a need for
99 a simple parameterized model, based on real-world observations, that can provide not only a
100 computationally-efficient estimation of the wind speed reduction around a real windbreak,
101 but also the follow-up quantification of the effects of that windbreak on livestock
102 productivity. Several previous researchers have tried to build and/or apply a parameterized
103 model to estimating the wind speed reduction around a windbreak. Vigiak et al. (2003) used a
104 function with five parameters (analogous to the sum of two normal distributions) and
105 Stredova et al. (2012) suggested a quadratic polynomial with six parameters, to describe the
106 wind speed reduction against distance and optical porosity. In both of these cases, however,
107 crucial information is missing in terms of how, or whether, these parameters have any
108 physical meaning or any relation to attributes of windbreaks that might be measured in the
109 field.

110 Critically, only three parameters are required to characterize relative wind speed reduction
 111 around a windbreak (Heisler and Dewalle, 1988; Wang and Takle, 1997; Yusaiyin and
 112 Tanaka, 2009). These are illustrated in Fig. 2; L_{20} , x_{min} and y_{min} , where L_{20} is the distance
 113 between which the wind speed reduction is 20% (i.e. wind speed is 80% of ambient wind
 114 speed), x_{min} is the distance downwind of the windbreak at which wind speed is at its lowest,
 115 and y_{min} is the minimum wind speed (i.e. the wind speed at x_{min}). Consequently, a simple
 116 parameterisation of the wind speed around a windbreak is achievable in principle because 1)
 117 just three parameters should be sufficient to uniquely determine the trend of wind speed
 118 around a windbreak; 2) further downwind of the windbreak, the wind speed asymptotically
 119 approaches the ambient wind speed (i.e. zero reduction).



120
 121 *Figure 2 Characteristic trend of wind speed reduction around a windbreak and parameters required to define this.*

122 In this study we use a simple parameterized model based on the form of the probability
 123 density function of a single logarithmic normal distribution with three parameters, the
 124 physical meanings of which can be explicitly expressed in terms of L_{20} , x_{min} and y_{min} . The
 125 estimation error and parameter uncertainty are analysed thoroughly using field measurements
 126 and we further extended this model to literature datasets so that the dependence of windbreak
 127 effect on windbreak porosity can be estimated and analysed. The wind-chill temperature
 128 (WCT) is modelled by using a sigmoid function fitted to a published dataset relating to adult
 129 sheep (3-6cm fleece depth). Last but by no means least, we simulate the response of the
 130 thermal benefits of wind speed reduction by using historical climate datasets measured at a
 131 lowland and an upland site.

132 **2 Data and Method**

133 **2.1 Site description and measurements of wind speed**

134 Field measurements were made at the Bangor University Research farm at Henfaes
 135 (53°14'13.2"N 4°00'58.3"W) in Llanfairfechan, Wales, UK. Five sonic anemometers (four
 136 Gill WindSonic 2D and one Campbell CSAT3 3D) were positioned along a transect running
 137 perpendicular to a linear tree barrier forming a windbreak. The anemometers were placed at
 138 about 1.5m above the underlying ground surface, slightly above sheep height. The windbreak
 139 was of mixed deciduous species composition in two rows, including sycamore, alder, hazel
 140 and oak. Physically, the windbreak had an average height (H) of 10m and ran in a southeast –
 141 northwest orientation, such that the prevailing wind (from the southwest) meant that the
 142 anemometers were situated in the downwind region for most of time. Fig. 3 shows the
 143 distance (in H) of each anemometer downwind of the windbreak, namely 1H, 2.5H, 5H, 7.5H
 144 and 15H.



145
 146 *Figure 3 Site map at Henfaes and downwind locations (in barrier height H) of the five sonic anemometers. Photo taken by Y.*
 147 *He on 2 Aug. 2016, reproduced by Y. Xuan. Map credit: Google Earth.*

148 The 2D and 3D anemometers sampled at 1Hz and 10Hz respectively. The 10-min averages
 149 were then calculated from the valid high frequency samples (i.e. non-nans samples). In total,
 150 fourteen days of 10-min averages were collected between 8-22 August 2016. Only data when
 151 wind direction was from the southwest sector (180°-270°) were included in the simulation.
 152 Because southwest is the dominant wind direction for this region, 1353 samples out of 2031
 153 (67%) were included.

154 We assumed that the wind speed measured by the furthest anemometer at 15H was the
 155 reference wind speed and the relative wind speed at each position downwind was normalized
 156 by expressing it as a proportion of the wind speed at 15H. Calculating the proportion at each
 157 data point exacerbated noise resulting from stochastic events, because the fraction can be
 158 significantly impacted by a small change in the numerator and/or denominator, especially
 159 when their values are small. For example, an error of 0.1 in the numerator contributes much
 160 more to a fraction of 0.5/1 (i.e. 50% attenuation) than 5/10 (again 50% attenuation).
 161 Therefore, to minimize such errors/uncertainties, the proportion was estimated by taking the
 162 slope of the linear regression between wind speed measured by paired anemometers.

163 2.2 Model development and error estimation

164 Previous attempts to approximate the wind speed reduction around a windbreak have used a
 165 single, or the sum of two, normal distributions (Hipsey, 2003; Schwartz et al., 1995; Vigiak
 166 et al., 2003). In this study, we modified the density function of a single normal distribution by
 167 taking the logarithm of the downwind distance. The relative wind speed (u/u_0) at any
 168 distance from a windbreak (i.e. from $-10h$ windward and up to $40h$ leeward) can thus be
 169 calculated as:

$$170 \quad y = \frac{u}{u_0} = 1 - a * e^{-b*(\ln(x_h+10)-c)^2} \quad (1)$$

171 where x_h is the distance from the barrier normalized by the barrier's height. u is the wind
 172 speed at x_h and u_0 is the incoming ambient wind speed. Fig. 2 shows a typical picture of the
 173 relative wind speed around a windbreak. The general characteristics of this curve can be
 174 expressed by the following, 1) It is asymptotic towards 1 at both ends; 2) It has a single
 175 minimum point; 3) The shelter distance (L_{20}) is defined as the distance between which the
 176 wind speed reduction is at least 20%. Coefficients a, b, c in Eq. (1) are closely related to the
 177 minimum point and L_{20} ,

$$178 \quad x_{min} = e^c - 10 \quad (2)$$

$$179 \quad y_{min} = 1 - a \quad (3)$$

$$180 \quad L_{20} = e^c * (e^{\sqrt{\frac{\ln(\frac{a}{0.2})}{b}}} - e^{-\sqrt{\frac{\ln(\frac{a}{0.2})}{b}}}) = 2 * e^c * \sinh(\sqrt{\frac{\ln(\frac{a}{0.2})}{b}}) \quad (4)$$

181 where x_{min} represents the downwind location where the minimum wind speed (y_{min}) is reached.
 182 This formulation clearly points out the potential physical meanings of the coefficients in Eq.
 183 (1). a is related to the maximum wind speed reduction, b is related to the initial deceleration
 184 and acceleration of airflow and c is related to the downwind position of x_{min} . They are all
 185 dimensionless quantities. In the discussion below, we speculate on how these parameters are
 186 related to the physical characteristics of the windbreak.

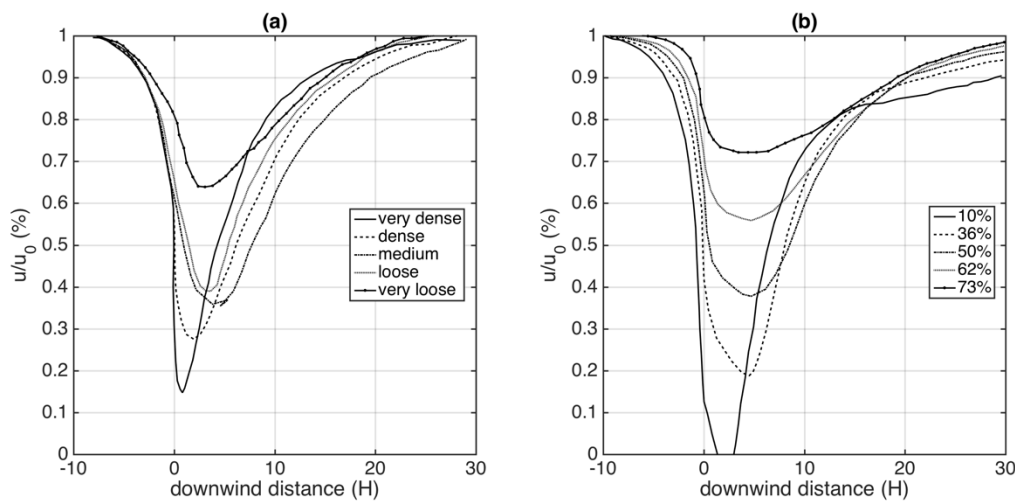
187 2.3 Model error estimation

188 In order to determine the robustness of the model, we quantified parameter errors by splitting
 189 our dataset randomly into two parts; a training set (70%) and a validation set (30%). The
 190 training set was used to estimate the parameters in Eq. (1) and the validation set was used to
 191 calculate model error that was evaluated by the root mean square error (RMSE). This process
 192 was repeated 500 times using a Monte Carlo method to generate independent training and
 193 validation sets so that all variation (uncertainty) in the estimations of the coefficients was
 194 captured. Note that here we do not require a cross-validation set and test set as used to test an
 195 artificial neural network (ANN) procedure. ANNs optimise parameters by iteration and
 196 require evaluations on independent cross-validation sets to update coefficient estimates in
 197 real time. Our goal, however, is simply to measure the model prediction error through Monte
 198 Carlo sampling. In fact, statistically the confidence interval (CI) estimated by this method is
 199 more reliable than that associated with an ANN because even poor parameter estimations will
 200 be included in the CI estimates.

201 2.4 Literature data and windbreak porosity

202 Neglecting atmospheric stability, the three parameters (i.e. x_{min} , y_{min} and L_{20}) uniquely define
 203 airflow modified by any given windbreak. Despite the fact that a windbreak has a plethora of
 204 characteristics (e.g. tree species, leaf shape, density and distribution), optical porosity alone
 205 has often been used to describe windbreak aerodynamics and distinguish between windbreak
 206 type (e.g. Stredova et al., 2012; Vigiak et al., 2003; Wang and Takle, 1997). In order to build
 207 a function of porosity against the parameters in Eq. (1), we applied the model to two
 208 published data sets as shown in Fig. 4. For the sake of simplicity, we call the dataset

209 extracted from Heisler and Dewalle (1988) dataset 1 and that extracted from Wang and Takle
 210 (1997) dataset 2. Dataset 1 was obtained from field observations of five types of windbreak
 211 (Fig. 4a) and dataset 2 was the result from numerical simulations of a boundary-layer
 212 turbulence model (Fig. 4b). By fitting Eq. (1) to each data set, we estimated the parameters
 213 which could then be correlated to reported values of porosity. It should be noted, however,
 214 that dataset 1 did not represent porosity numerically, so for the sake of this simulation we
 215 assigned values of 0.2, 0.36, 0.5, 0.62 and 0.73 to the data reported for very dense, dense,
 216 medium, loose and very loose respectively.



217
 218 Figure 4 Digitized data extracted from (a) Fig. 2a in (Heisler and Dewalle, 1988); (b) Fig. 2 in (Wang and Takle, 1997).

219 2.5 Wind-chill effects and heat loss from sheep

220 Barnes (1974) measured the wind-chill temperature (WCT) for sheep with three types of
 221 fleece: shorn, medium (3-6 cm) and full (>6 cm). In the experimental setting, wind speed
 222 varied from 0 m/s up to 18 m/s, and temperature varied from -15 °C to 20 °C. The equation
 223 developed by Osczevski and Bluestein (2005) for wind chill effect in humans, $WCT =$
 224 $35.74 + 0.6215 * T - 35.75 * V^{0.16} + 0.4275 * TV^{0.16}$, is unsuitable for the purposes of
 225 this study physiologically: the insulation properties and physical proportions of ovines are
 226 somewhat different to those of humans. Instead, we used a sigmoid function to fit the data of
 227 medium fleece sheep as follows,

$$228 \quad WCT = -39 + T + \frac{39}{1 + e^{0.28 * (V - 12.12)}} \quad (5)$$

229 where WCT is the wind-chill temperature. T and V signify ambient temperature and ambient
 230 wind speed respectively. The goodness of fit was great with $R^2 = 0.98$ ($p < 0.01$) and
 231 RMSE=2.44. The value 39 represents sheep core body temperature and the other two values
 232 were obtained by curve fitting: 0.28 shows the heat conductance rate and 12.12 is the wind

233 speed above which the wind-chill effect starts to slow down asymptotically. Heat loss (in
234 W/m^2) was determined from the WCT (see below).

235 When ambient temperature is below the lower limits of TNZ, metabolic heat production
236 increases linearly with decreasing ambient temperature (Alexander, 1974) (until outside
237 critical limits and suffering hypothermia), i.e. $\Delta Q = k * \Delta T$. Thus, the reduction of heat loss
238 (P_Q) due to reduced wind-chill effects was calculated as,

$$239 \quad P_Q = 1 - \frac{k*(T-WCT)}{k*(T-WCT_0)} = 1 - \frac{T-WCT}{T-WCT_0} \quad (6)$$

240 where T is ambient temperature. WCT and WCT_0 are the wind-chill temperature with and
241 without windbreak effects. P_Q is always positive as $WCT \leq T$.

242 2.6 Historical climate data

243 In order to simulate real-world environments, we used historical datasets from two
244 meteorological stations in North Wales, namely the Llanberis station (53.1180° N , 4.1275° W)
245 and the Clogwyn station (53.0642° N , 4.0864° W). The former site is located in a lowland
246 area with an elevation of about 130m and the latter in an upland area with an elevation of
247 about 700m. Therefore, the climatic condition at Clogwyn is generally more extreme (i.e.
248 higher wind speed and wider temperature range) than Llanberis. Hourly wind speed and
249 temperature datasets were directly retrieved from data archives:

250 (<http://www.fhc.co.uk/weather/archive/main.asp>). Data availability from both sites covered
251 more than 10 years, i.e. from July 1998 to April 2011 for Clogwyn and from July 1999 to
252 September 2015 for Llanberis.

253 Hourly data were plotted on a graph of wind speed and ambient temperature and a boundary,
254 shown by a polygon, was then drawn to include all data points (excluding obvious data
255 errors). This represents the environmental envelope experienced by livestock at these sites.
256 Please see results, Fig. 9 for graphical details.

257 2.7 The metric for the total benefit

258 Because our goal is to measure the impact of windbreaks on the heat loss from sheep (P_Q), a
259 single metric representing the total benefit spatially is helpful. We propose the following
260 equation to estimate the total benefit (B), which is simply the average of the integration of P_Q
261 over the leeward distance,

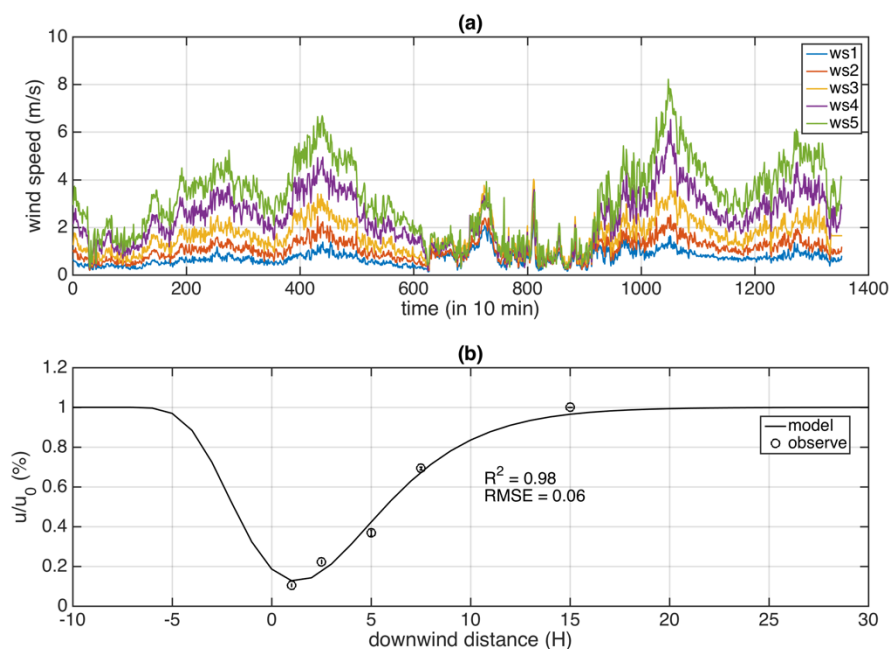
$$262 \quad B = \frac{1}{x_1 - x_0} \int_{x_0}^{x_1} P_Q dx \quad (7)$$

263 where x_1 and x_0 are the start and end points for the integration.

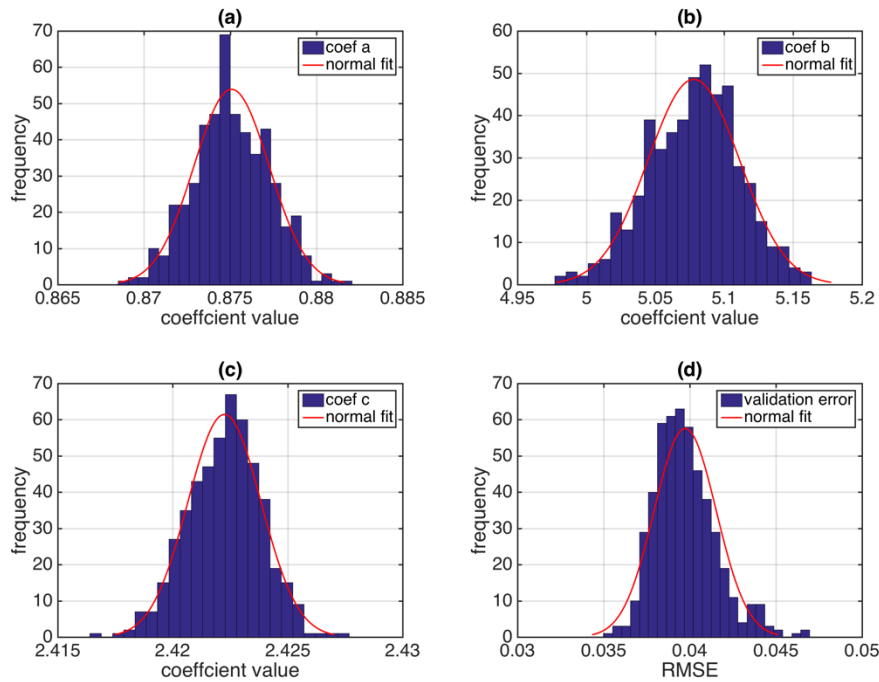
264 3 Results

265 3.1 Model uncertainty of wind speed reduction

266 The time series of our measurements showed clear and consistent separations among, but
 267 good correlation between, the five anemometers (Fig. 5a). As expected, wind speed increased
 268 further away from the windbreak. Fig. 5b shows the model fit against the observations
 269 located at five downwind positions (i.e. 1H, 2.5H, 5H, 7.5H and 15H). It is clear that the log-
 270 normal function (Eq. 1) captured the trend of wind speed at downwind locations, with only
 271 small discrepancies (RMSE = 0.06). The model uncertainty including parameter variation and
 272 validation error was further estimated by the 500-repetition Monte Carlo simulation (Fig. 6).
 273 The variations in the three parameters of Eq. (1) were almost negligible with standard
 274 deviations less than 1% of the respective mean values for all three parameters (Fig. 6a,
 275 6b&6c). Similarly, the validation error (RMSE) was between 3.5% and 4.5%, that is to say,
 276 the estimation by the model of the relative wind speed (u/u_0) had an average error of 4%. In
 277 summary, despite its simple form, the proposed model was capable of capturing most
 278 variation in wind speed downwind of the windbreak.



279
 280 *Figure 5 (a) Time series of wind speed observed by five anemometers downwind and (b) modelled wind speed reduction*
 281 *against the observations.*



282
283
284

Figure 6 Distributions of the estimation of the coefficients and the model error (RMSE) estimated on the 500 validation datasets generated by the Monte Carlo method.

285 3.2 Modelling literature data and porosity dependence

286 By applying a similar method to the two literature datasets, a sensitivity analysis was
 287 conducted to determine how windbreak porosity affected model parameters and RMSE
 288 (Table 1). Model performance was consistently good with R^2 values over 0.92 for all cases,
 289 once again illustrating the robustness of this simple model. RMSE values ranged from 0.01 to
 290 0.08, meaning that the average estimation error of u/u_0 was between 1% and 8%. There was a
 291 simple dependence of RMSE on porosity: as porosity increased, RMSE decreased, suggesting
 292 that the model resulted in smaller uncertainties for sparser windbreaks. This result can also be
 293 observed in the dependence of the estimation of coefficients a and b on porosity where the
 294 error bars tended to decrease in size as porosity increased. Uncertainties of the coefficient c ,
 295 however, were constantly small for all cases, with a standard deviation of 0.02.

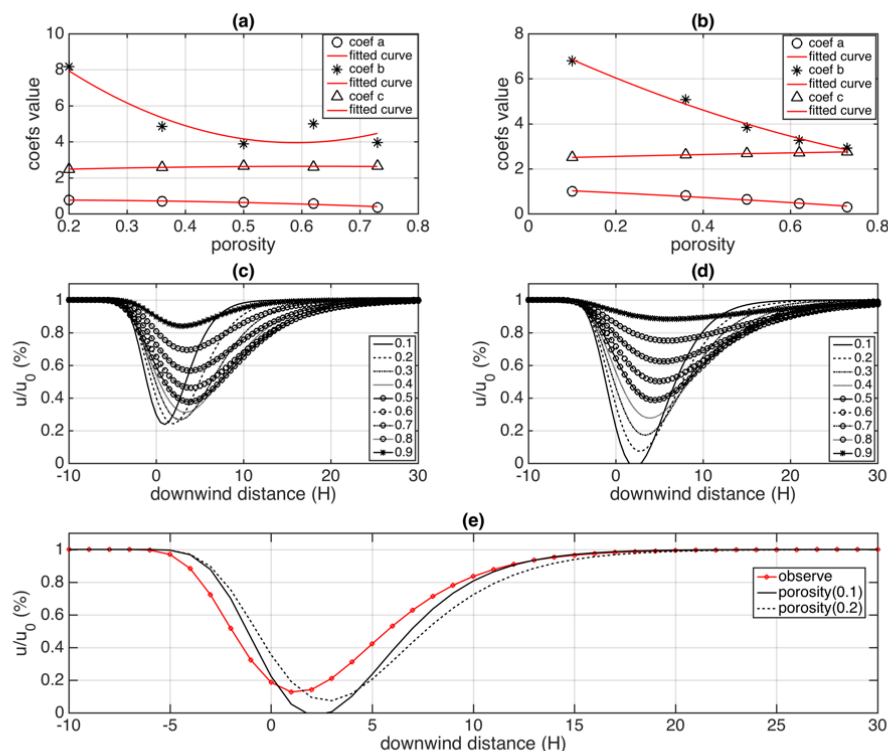
296 The relationships between porosity and the coefficients themselves was built empirically by
 297 fitting the quadratic function ($y = mx^2 + nx + l$, where x is porosity and y is a coefficient)
 298 as shown in Fig. 7. The fit performance was generally good with R^2 over 0.85 for all cases
 299 (Fig. 7a & 7b). Relative wind speed was estimated for windbreaks of different porosity as
 300 shown in Fig. 7c & 7d. As porosity increased, the wind attenuation effects of the windbreak
 301 diminished and the point of minimum wind speed tended to move downwind. Although the
 302 wind speed curves agreed well between the two literature datasets at a medium porosity of

Modelling windbreak effects

303 0.5, the two estimations of wind speed differed significantly for other porosities, especially so
 304 for the lowest porosity. The windbreak used in our field experiments was clearly very dense
 305 (see photos in Fig. 3). Fig. 7e showed that the wind speed curve estimated from our
 306 measurements was close to the 0.1 and 0.2 porosity curves from dataset 2, suggesting that the
 307 porosity of the experimental windbreak observed was between 0.1 and 0.2 as defined in
 308 dataset 2.

309 *Table 1 Model fit to two literature datasets. The codes for dataset 1, XD, D, M, L and XL, represent very dense, dense,*
 310 *medium, loose and very loose respectively. The last column with porosity 1 represents an open area without windbreak,*
 311 *simply used as a boundary condition for parameter a (i.e. a=0 when porosity=1). In the absence of a windbreak parameters*
 312 *b and c are undefined (ND).*

	Porosity	XD/0.10	D/0.36	M/0.5	L/0.62	XL/0.73	O/1
Dataset 1	RMSE	0.080	0.047	0.018	0.025	0.014	ND
	<i>a</i>	0.76±0.05	0.69±0.05	0.63±0.01	0.57±0.02	0.35±0.01	0
	<i>b</i>	8.19±1.53	4.85±0.56	3.89±0.16	5.00±0.38	3.95±0.26	ND
	<i>c</i>	2.48±0.02	2.57±0.02	2.65±0.01	2.59±0.01	2.64±0.01	ND
	R ²	0.92	0.96	0.99	0.99	0.99	ND
Dataset 2	RMSE	0.084	0.046	0.030	0.022	0.018	ND
	<i>a</i>	1.00±0.05	0.82±0.04	0.63±0.03	0.45±0.01	0.29±0.01	0
	<i>b</i>	6.81±1.04	5.07±0.54	3.84±0.35	3.27±0.28	2.92±0.28	ND
	<i>c</i>	2.50±0.02	2.62±0.02	2.67±0.02	2.71±0.02	2.75±0.02	ND
	R ²	0.94	0.97	0.98	0.98	0.97	ND



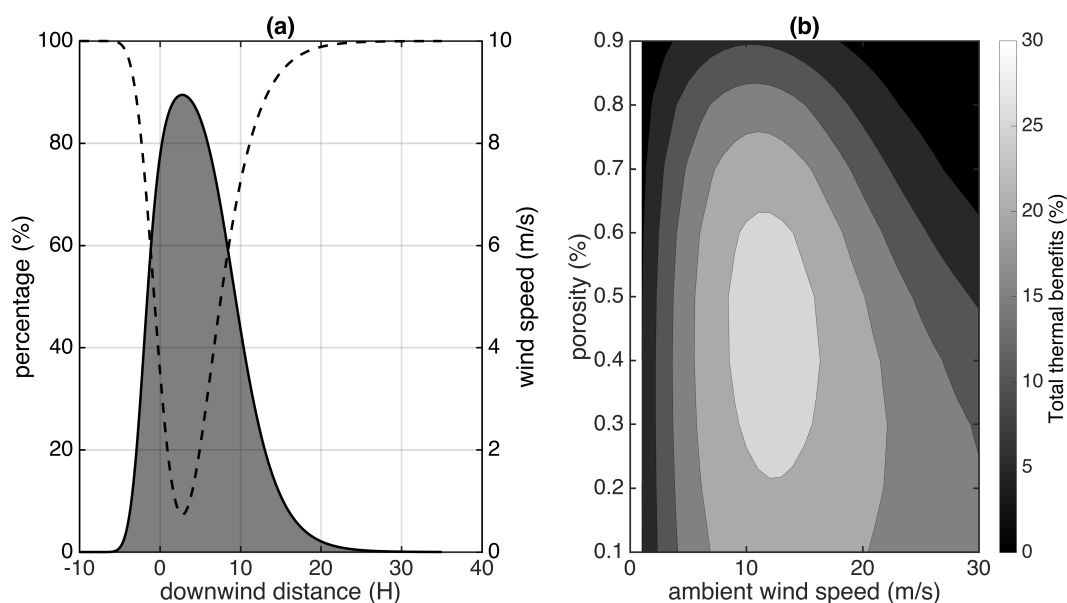
313
 314 *Figure 7 Fitted model parameters and porosity and the curve of relative wind speed for porosity values ranging from 0.1-0.9.*
 315 *(a, c) From dataset 1; (b, d) Dataset 2. (e) Field measurements compared with curves for porosity of 0.1 and 0.2 from*
 316 *dataset 2.*

317 3.3 Estimated benefits in reducing heat loss from sheep

318 Building upon the above results and combining equations (5-7), it was possible to apply the
 319 wind speed model to estimate potential climatic benefits due to reduced heat loss from sheep.
 320 Fig. 8a shows heat loss reduction under a fixed ambient wind speed of 10 m/s, an ambient
 321 temperature of 5 °C and a windbreak porosity of 0.2. Heat loss decreased significantly at the
 322 locations near the windbreak because of decreased wind speed and lower wind-chill. In fact,
 323 for a given ambient temperature (e.g. 5 °C here), the reduction in heat loss is highly
 324 correlated with the wind speed reduction through Eq. (6).

325 Combining the benefits on heat loss reduction using Eq. (7), we implemented a sensitivity
 326 analysis of the total productivity gain against a range of porosities from 0.1-0.9 and ambient
 327 wind speed from 1-30 m/s. This relationship is shown as a 2-D contour plot in Fig. 8b. When
 328 the air is nearly still (i.e. wind speed close to zero), the total gain is nearly null because of the
 329 absence of wind chill. As wind becomes stronger, reduced heat loss gradually increases,
 330 adding to the total productivity benefit, suggesting that greater advantages are conferred in
 331 windier conditions. The total benefit increased as the ambient wind speed increased for all
 332 porosities, but dependence on porosity was not monotonic. The total benefit starts to increase

333 as porosity increases above zero, reaches a peak benefit of +27% at a porosity of 0.5 and a
 334 wind speed of 12 m/s, and then starts to fall as porosity approaches 1. As wind speed
 335 increases above 12 m/s, the total benefit to productivity conferred by the windbreak
 336 asymptotically approached a constant because of diminishing wind-chill effects determined
 337 by Eq. (5). In physical terms, this can be understood as the gradual erosion of the surface
 338 boundary layer as the fleece is penetrated by high winds, leading ultimately to a point where
 339 conduction of heat through the endodermis, rather than through the surface boundary layer,
 340 limits heat loss.



341
 342 *Figure 8 (a) Effects of windbreak on wind speed (dashed line) and percentage of heat loss (solid line). The shaded area*
 343 *represents the total reduced heat loss from the animal. (b) The integrated total benefit against a range of porosity (0.1-0.9)*
 344 *and ambient wind speed (1-30 m/s).*

345 3.4 Wind-chill effects on a habitable thermal condition

346 Based on historical climate data for two sites representative of upland and lowland
 347 environments inhabited by sheep, we related simulated wind-chill to sheep-specific limits of
 348 thermal comfort, neutrality and critical tolerance to determine the impact of a chilling wind
 349 on the physiology of livestock, and importantly, the influence of reduced wind speed to the
 350 physiological response of livestock to the warmer temperature experienced.

351 Eq. (5) summarises the wind-chill temperature (WCT) as a function of ambient temperature
 352 and wind speed. We split the value range of WCT into seven sectors denoted by six
 353 physiologically significant temperature points for sheep (-10°C, -3°C, 8°C, 18°C, 24°C, 32°C)
 354 in terms of temperature experienced, rather than ambient temperature (see details in Fig. 1)
 355 Each sector was assigned to a colour (indicated in Fig. 1) and the relation between critical

Modelling windbreak effects

356 temperature limits and ambient temperature and wind speed are illustrated by filled contour
357 plots (Fig. 9a&9b), hereafter simply denoted by the term wind-chill thermal tolerance (WTT)
358 plot. The ambient temperature scale from -40°C to 50°C and wind speed from 0 to 50 m/s
359 represents a generic environment inclusive of most natural microclimates. Any individual
360 location will experience only a sub-area of the WTT plot, corresponding to the environmental
361 conditions experienced over any given time period.

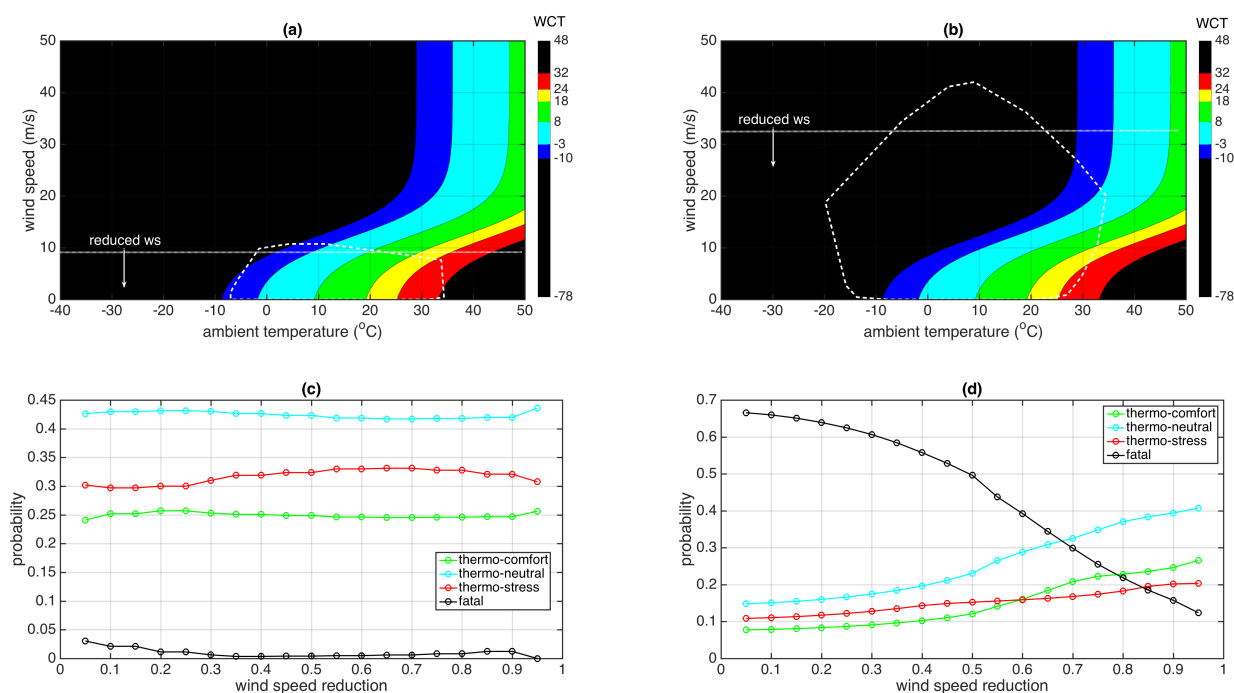
362 The areas enclosed by the dotted white lines in Fig. 9a and Fig. 9b represented the
363 environmental envelope at Llanberis and Clogwyn stations respectively. As expected, the
364 WTT plot suggested a more physiologically-stressful thermal environment at the upland in
365 Clogwyn, with a large black area indicating the range of WCT temperatures in which a
366 sheep's environmental temperature falls below LCT and the sheep would eventually suffer
367 fatal hypothermia.

368 Without wind, the boundaries of each monochromatic area on the WTT plot would be
369 mutually parallel (i.e. no dependence on wind speed), but because of the presence of wind-
370 chill effects, these boundaries bend towards higher temperatures at greater wind speed,
371 creating a larger cold zone and a smaller warm zone. Consequently, the areas representing
372 optimum conditions for livestock health and productivity denoted by the green 'thermo-
373 comfort' zone (8-18°C, green area on Fig. 9a&9b) and the wider, sub-optimal but 'thermo-
374 neutral' zones (indicated by light blue and yellow areas) become a smaller part of the total
375 micro-climatic environment represented on the graph. As the animal's insulating boundary
376 layer and fleece become compromised, further increases in wind lead to smaller and smaller
377 increases in wind chill, until a point is reached at a wind speed of about 20m/s where the
378 boundaries become parallel and vertical.

379 The introduction of a windbreak, and the reduction in winds speed and chilling can be
380 visualized on the WTT plot. Here, the probability of experiencing a given thermal
381 environment can be estimated by the proportion of the area it represents (e.g. the proportion
382 of green area at a given wind speed shows the probability of having a thermo-comfortable
383 temperature). Therefore, reducing ambient wind speed by a certain amount (e.g. moving the
384 dashed horizontal lines in Fig. 9a&9b downwards), reduces the relative area of
385 hypo/hyperthermy (black) and increases the relative areas of thermocomfort and
386 thermoneutrality (green, yellow, light blue).

Modelling windbreak effects

387 We used the historical climate data to constrain our simulation to a real-world scenario (i.e.
 388 only the area within the polygon representing the actual climatic envelope was considered in
 389 the computation). The four coloured lines in Fig. 9c&9d represent the changed probability of
 390 experiencing thermocomfort (green), thermoneutral (light blue) and thermostress (red)
 391 conditions when wind speeds were reduced by 5 to 95% for the Llanberis and Clogwyn sites
 392 respectively. As expected, the impact of reduced wind speeds differed significantly between
 393 sites. At Llanberis (Fig. 9c), the relative proportion of different thermal conditions remained
 394 nearly constant, suggesting that there is little benefit obtained by reducing wind speed. This is
 395 unsurprising because conditions at Llanberis are naturally above critical limits (i.e. little
 396 black area was initially included). At Clogwyn (Fig. 9d), however, the probabilities of
 397 experiencing thermo-comfortable (green line) or thermo-neutral (blue line) conditions both
 398 increased significantly as the wind speed decreased. The probability of a thermally stressful
 399 condition (i.e. conditions requiring increased thermogenic compensation for heat loss) (red
 400 line) also increased but with a slighter gradient. Consequently, the probability of
 401 experiencing fatal (black line) conditions decreased greatly as wind speed decreased. Given a
 402 wind speed reduction of 60%, for instance, we can reduce the chance of experiencing fatal
 403 thermal conditions by 27%, whilst increasing the probability by 8% and 14% respectively of
 404 experiencing a thermo-comfortable (optimum for production) or thermo-neutral condition.



405
 406 *Figure 9 (a, b) Contour plots of wind-chill thermal tolerance (WTT plot) for sheep. Wind-chill temperature (WCT) was*
 407 *grouped according to the thermal categories shown in Fig. 1. (c, d) The probability of experiencing a given thermal*

408 condition against wind speed reduction. Line colour meaning: Green: thermo-comfort; Blue: thermo-neutral; Red: thermo-
409 stress; Black: fatal.

410 4 Discussions

411 Eq. (1) was found to provide a good approximation to the two literature reports of wind speed
412 reduction around windbreaks, and characterization was achieved using three model
413 parameters with explicit relations to real-world parameters: downwind location of minimum
414 wind speed (x_{min} , coefficient c), maximal percentage of wind speed reduction (y_{min} ,
415 coefficient a), and the distance over which 20% wind speed reduction is achieved (L_{20} , see
416 further discussion below), as given by Eqs. (2-4) respectively. Although coefficient b was
417 found to relate to L_{20} through Eq. (4), the form of this equation was not clear enough to
418 suggest an obvious physical meaning of b . In fact, the right-hand side of the formula also
419 incorporates coefficients a and c , making the interpretation of this parameter even more
420 difficult. The hyperbolic function shown in Eq. (4), however, may suggest some deep
421 relationship between the coefficient b or L_{20} with some fundamental aerodynamic process
422 (e.g. an analytical solution of the Navier-Stokes equation under certain conditions). It is well
423 known that the solutions to some equations that describe ocean waves can be represented by
424 hyperbolic functions (Majda, 2003). Further analytical exploration of Eq. (4) and its links to
425 fluid dynamics may be a fertile area to follow-up. This simple yet accurate three parameter
426 characterization of wind reduction has been similarly achieved by other authors (Heisler and
427 Dewalle, 1988; Wang and Takle, 1997; Yusaiyin and Tanaka, 2009), and the economy of the
428 model will be pivotal in the generation of a computationally efficient tool for application to
429 geospatial contexts in real-world farm planning.

430 In our ambition to develop a simple and transferrable model, we have endeavoured to
431 correlate the parameters with a single driving variable. The concept of windbreak porosity
432 has been frequently used in the literature as an intuitive structural feature to characterise a
433 windbreak (Heisler and Dewalle, 1988; Torita and Satou, 2007; Wang and Takle, 1995; Zhou
434 et al., 2005). However, empirical data is always required to determine the model parameters
435 for any specific windbreak, and the differences depicted by the two literature datasets suggest
436 that porosity alone is not able to unify these two datasets. Furthermore, as an index to
437 describe how much wind resistance different windbreaks introduce, porosity or aerodynamic
438 porosity has not, to our knowledge, been properly mathematically defined and is thus not a
439 very useful term to apply computationally. Optical porosity may be well defined and can be
440 calculated conveniently, however it may only be justifiable for 2-D windbreaks and may not

441 work for 3-D situations (Torita and Satou, 2007; Zhou et al., 2005). Physically, porosity may
442 represent a combination of several characteristics that reflect the complexity of a windbreak,
443 such as tree and branch flexibility, leaf size, tortuosity, arrangements, etc. In aerodynamics,
444 drag force is often used to describe a windbreak (Guan et al., 2003; Wang and Takle, 1997),
445 but similarly to porosity, this quantity is neither conveniently calculated nor measured. Future
446 development of the model described herein will seek to determine a parsimonious and
447 ecologically sound variable which may be used to more explicitly characterise the 3-
448 dimensional structure of a windbreak.

449 The wind reduction data collected to parameterise our model apply to a deciduous windbreak
450 in full foliage. It is important to note here, the considerable variability in shelter belt
451 properties which are associated with species composition and seasonality of deciduous
452 vegetation (Koh et al., 2014). These factors give further weight to the need for a unifying
453 property that can be used to comprehensively define the 3D structure of windbreaks of
454 varying phenology and species, and model potential wind speed reduction.

455 The effects of wind-chill on thermal tolerance limits of sheep, as demonstrated in Fig. 9,
456 concur with observations elsewhere in the literature: Alexander (1974) observed the effect of
457 wind upon critical temperature limits, noting that the critical temperature limits appeared to
458 increase as wind speed increased. Whilst the animal's thermal tolerance does not alter (so
459 long as insulation and physical properties remain constant), change in heat loss is
460 proportional to both ambient temperature and wind speed (i.e. wind chill) (Mount and Brown,
461 1983) and thus with increasing wind speed, thermal limits are reached at effectively higher
462 ambient temperatures. Calculations for convective heat loss in sheep reported in the literature
463 vary according to means of measurement (deduced from oxygen consumption, radiative
464 surface temperature, or power required to maintain internal heat of an electrical replica) and
465 microclimatic factors affecting the experimental space (e.g. turbulence)(McArthur and
466 Monteith, 1980a). However, the shape of the curve denoting each thermal boundary
467 according to ambient temperature and wind speed presented in Fig. 9 reflects the step-wise
468 breakdown of first boundary layer and then fleece structure, as observed by (Ames and Insley,
469 1975). It should be noted that the specific wind-chill model described here apply solely to the
470 insulation and proportions of an adult medium-fleeced sheep. For example, the lower surface
471 area: volume ratio and thinner fleece of a lamb would create more thermally stressful
472 condition in a given thermal environment than experienced by an adult sheep, and thus the
473 gains offered by sheltering windbreaks will be greater (Alexander et al., 1980; Pollard, 2006).

474 The wind-chill effect estimated in this study represented the heat loss from sheep through
475 convection only, and a fuller description of the energetics of the endotherm body requires that
476 consideration is also given to energy gained from the environment by radiation (most
477 significantly direct solar) and the influence of precipitation (Brown and Mount, 1987;
478 Clapperton et al., 1965; Matzarakis et al., 2010; McArthur, 1991). Here incoming and
479 outgoing radiation should be considered in the model given the fact that windbreaks can
480 normally provide shade from sunlight. This shading effect may be positive during hot
481 conditions or negative when solar gain may exceed wind-chill in still, cold conditions. The
482 data utilised to construct the wind-chill model presented in this paper were conducted in a
483 laboratory with fixed radiative heating (Barnes, 1974), thus the validity of this model in
484 assessing wind-chill effects remains. However, in addition to the spatial integration shown in
485 this study, a temporal integration of positive heat flux (net benefit), over the full range of
486 conditions experienced, should be made to obtain the total benefit over time. A companion
487 paper focusing on the measurement and modelling of tree shading effects on animal heat loss
488 is expected soon.

489 The WTT plot (Fig. 9) provides an intuitive visualisation for analysis of the wind-chill effects
490 on the thermal stress or comfort experienced by a given organism in a given micro-climate.
491 Generally, the climate conditions actually experienced at a particular location for a given
492 time period are a sub area of the WTT plot. Results above indicate the greater gain in thermal
493 stress reduction for livestock resulting from inclusion of shelter in the colder and windier
494 Clogwyn thermal condition compared to that at Llanberis. The information to be extracted
495 from this result is inspired: despite the benefits of windbreak practise in general, its
496 effectiveness is dependent on micro-climate. Micro-climatic conditions which invoke a
497 greater thermal stress as a result of being frequently beyond thermo-neutral and critical
498 physiological limits (e.g. uplands) will gain greater benefit from incorporation of windbreaks.
499 For illustrative purposes here, we are comparing regions, however similar comparisons could
500 be made at farm scale to evaluate shelter options for different fields (of different elevation,
501 aspect etc.) according to prevailing microclimate. Geospatial modelling of energetics,
502 vegetation and meteorological has been used to predict range and survivorship of wild
503 animals at landscape scale (Natori and Porter, 2007; Parker and Gillingham, 1990; Porter et
504 al., 2002), and this model could form the basis of a similar approach, but with the aim of
505 optimising the farmland landscape for production. Traditional hill farms in North Wales

506 incorporate grazing sites from lowland to mountain top, so such a tool would be of great
507 utility in cost: benefit assessments for investing in shelter provision across the farm landscape.
508 Further development of the WTT plot will provide more accurate quantification of the
509 benefits of establishing a windbreak at a given location, by weighting each pair of wind speed
510 and ambient temperature conditions by its frequency of occurrence rather than considered
511 equally probable. Seasonal weather and extreme storm events are also likely to impact
512 differently on animal thermal balance and welfare; thus, modelling of these meteorological
513 scenarios separately may best inform effective shelter provision and weather-wise farm
514 planning. Nevertheless, the thermal/wind envelope of a particular location, superimposed on
515 the WTT plot for a given organism, provides a useful and convenient means of illustrating
516 the response of livestock to wind-chill and to the effects introducing a windbreak and has
517 been an effective tool for discussion of these subjects with non-experts (such as farmers). A
518 follow-up study will focus on a spatial and temporal integration of the thermal benefits by
519 combining the WTT plot and the windbreak model at a farm and landscape scale.

520 5 Conclusions

521 The models proposed in this paper, whilst simple, are effective in capturing real-world
522 meteorological conditions and the resulting impacts of these on the thermal stress
523 experienced by sheep. Wind chill has the potential to compromise farm productivity and
524 animal welfare; windbreaks offer a mitigation of this by reducing local wind speed and
525 resulting heat loss from livestock via convection. An organism-specific WTT plot may be
526 used in a cost-benefit analysis of introducing windbreaks into real-world meteorological
527 situations and may form the basis of an efficient and precise quantification of windbreak
528 effects on animal productivity. The economy of the models described here offer significant
529 potential for scaling up in computationally-efficient, spatially-explicit, applications for
530 optimizing green infrastructure and scientifically-informed ‘weather-wise’ farm planning.

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