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## 2 Hydroacoustics for the discovery and quantification of Nassau 3 grouper (*Epinephelus striatus*) spawning aggregations

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8 **Abstract** Fish spawning aggregations (FSAs) are vital  
9 life-history events that need to be monitored to determine  
10 the health of aggregating populations; this is especially true  
11 of the endangered Nassau grouper (*Epinephelus striatus*).  
12 Hydroacoustics were used to locate Nassau grouper FSAs  
13 at sites on the west end of Little Cayman (LCW), and east  
14 ends of Grand Cayman (GCE) and Cayman Brac (CBE).  
15 Fish abundance and biomass at each FSA were estimated  
16 via echo integration and FSA extent. Acoustic mean fish  
17 abundance estimates ( $\pm$ SE) on the FSA at LCW  
18 ( $893 \pm 459$ ) did not differ significantly from concurrent  
19 SCUBA estimates ( $1150 \pm 75$ ). Mean fish densities  
20 (number  $1000 \text{ m}^{-3}$ ) were significantly higher at LCW  
21 ( $33.13 \pm 5.62$ ) than at the other sites (GCE:  $7.01 \pm 2.1$ ,  
22 CBE:  $4.61 \pm 1.16$ ). We investigate different acoustic post-  
23 processing options to obtain target strength (TS), and we  
24 examine the different TS to total length (TL) formulas  
25 available. The SCUBA surveys also provided measures of  
26 TL through the use of laser callipers allowing development

of an in situ TS to TL formula for Nassau grouper at the 27  
LCW FSA. Application of this formula revealed mean fish 28  
TL was significantly higher at LCW ( $65.4 \pm 0.7 \text{ cm}$ ) than 29  
GCE ( $60.7 \pm 0.4 \text{ cm}$ ), but not CBE ( $61.1 \pm 2.5 \text{ cm}$ ). Use 30  
of the empirical TS to TL formula resulted in underesti- 31  
mation of fish length in comparison with diver measure- 32  
ments, highlighting the benefits of secondary length data 33  
and deriving specific TS to TL formulas for each popula- 34  
tion. FSA location examined with reference to seasonal 35  
marine protected areas (Designated Grouper Spawning 36  
Areas) showed FSAs were partially outside these areas at 37  
GCE and very close to the boundary at CBE. As FSAs 38  
often occur at the limits of safe diving operations, 39  
hydroacoustic technology provides an alternative method 40  
to monitor and inform future management of aggregating 41  
fish species. 42

**Keywords** Hydroacoustics · Nassau grouper (*Epinephelus* 44  
*striatus*) · Fish spawning aggregations (FSAs) · Echo 45  
integration 46

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A4 material, which is available to authorized users.

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### Introduction 47

Fish spawning aggregations (FSAs) are broadly defined as 48  
'a group of conspecific fish gathered for the purposes of 49  
spawning with fish densities significantly higher than are 50  
found during the non-reproductive periods' (Domeier and 51  
Colin 1997). This reproductive strategy creates temporary 52  
concentrations of fish (Johannes 1978; Kobara and Heyman 53  
2008) that are highly susceptible to overfishing (Nemeth 54  
2005; Starr et al. 2007; Sadovy de Mitcheson and Erisman 55  
2012). The health of a FSA is a good indicator of the health 56  
of the population as a whole (Gascoigne 2002), and any 57  
depletion of a FSA has serious consequences for the 58

59 reproductive output of that population (Sadovy and  
60 Domeier 2005; Sadovy de Mitcheson 2016). FSAs there-  
61 fore are important life-history phenomena that must be  
62 considered in any efforts to manage fisheries of aggregat-  
63 ing species (Sadovy and Colin 2012; Sadovy de Mitcheson  
64 2016). We use the term FSA for fish that are gathered  
65 together for the purpose of spawning. We acknowledge,  
66 however, that the aggregations of fish detected may not  
67 have been spawning per se at the specific times of the  
68 surveys.

69 One of the best known examples of the demise of a  
70 species due to FSA over fishing is that of the Nassau  
71 grouper (*Epinephelus striatus*) (Sadovy de Mitcheson et al.  
72 2008). These large top-level predators are an important  
73 species within Caribbean reef ecosystems (Stallings  
74 2008, 2009; Archer et al. 2012). Nassau grouper migrate to  
75 specific sites during periods of winter full moons to  
76 reproduce in FSAs (Sala et al. 2001; Whaylen et al. 2004;  
77 Starr et al. 2007) and were one of the first large-bodied  
78 tropical reef-fish species scientifically documented to do so  
79 (Smith 1972). It is estimated that 75% of all known Nassau  
80 grouper spawning aggregations have either been eradicated  
81 or reduced to negligible numbers (Sadovy de Mitcheson  
82 et al. 2008). Following over-exploitation, these aggrega-  
83 tions often fail to recover (Gibson 2007; Semmens et al.  
84 2007), although recent evidence suggests that effective  
85 management can lead to population increases (Kadison  
86 et al. 2010; Heppell et al. 2012). FSAs in the Cayman  
87 Islands have been reported on the eastern and southwest  
88 points of Grand Cayman, the northeast and southwest  
89 points of Little Cayman and the southwest point of Cayman  
90 Brac (Bush et al. 2006). These sites were protected by  
91 legislation in 2003 which prohibits fishing in these areas  
92 (Whaylen et al. 2006), and due to winter spawning, it is  
93 now forbidden to take a Nassau grouper from Cayman  
94 waters during the months of December to April (Cayman  
95 Islands Government 2016).

## 96 **Monitoring spawning aggregations**

97 **AQ1** Monitoring an FSA is an effective way to determine the  
98 health of an aggregating population, but adequately mon-  
99 itoring an FSA requires a clear understanding of its loca-  
100 tion, extent, and dynamics. In-water monitoring is fraught  
101 with difficulties including high temporal variability in fish  
102 numbers and variable distribution across multiple sites, the  
103 expense of underwater visual census (UVC) surveys and  
104 challenging underwater working conditions (including  
105 strong currents, poor visibility and FSA locations below  
106 safe diver depth limits) (Sadovy and Domeier 2005). This  
107 is especially true in the Cayman Islands where FSAs occur  
108 on the extreme tips of the islands at locations where cur-  
109 rents are strong and dives must occur at dawn and dusk to

coincide with periods of peak fish activity. Further, 110  
observer bias may be present in UVC surveys and fish may 111  
avoid divers (Colin 1992; Murphy and Jenkins 2010). 112

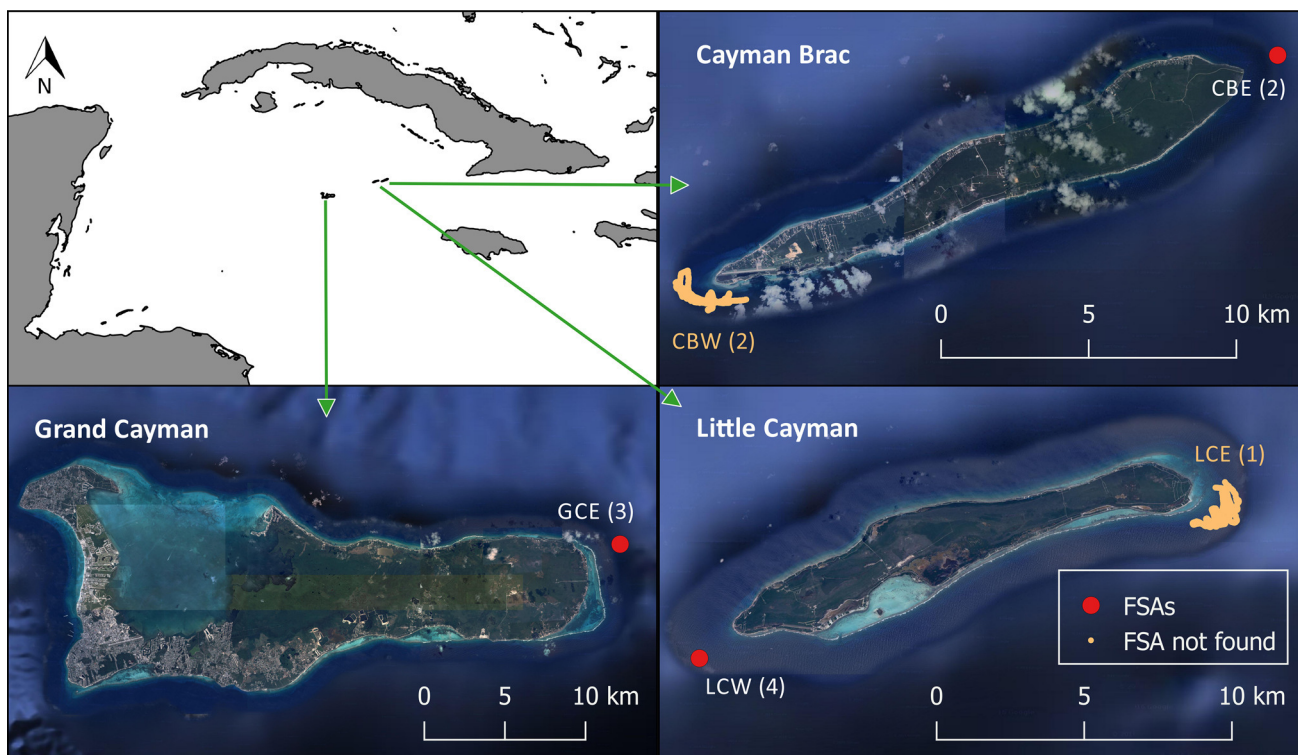
Hydroacoustics may be useful for assessing aggregating 113  
reef fishes that are otherwise difficult to count (Johannes 114  
et al. 1999). One of the main advantages of hydroacoustics 115  
is the ability to collect large volumes of information in a 116  
short amount of time (Trenkel et al. 2011; Jones et al. 117  
2012). Further, unlike video or UVC, the acoustic techni- 118  
que is unaffected by underwater visibility (Gledhill et al. 119  
1996) nor are the fish influenced by the presence of a diver. 120  
To date there has been limited use of hydroacoustics to 121  
monitor spawning aggregations (e.g. Johnston et al. 2006; 122  
Taylor et al. 2006; Ehrhardt and Deleveaux 2007) and 123  
Taylor et al. (2006) noted the technology can provide an 124  
accurate estimate of overall fish abundance and spatial 125  
extent in comparison with diver visual counts. Studies 126  
comparing hydroacoustics and UVC are sparse, however. 127  
Taylor et al. (2006) reported similar acoustic density and 128  
diver estimates over their entire survey region, although 129  
total abundances differed likely due to differences in area 130  
covered by the two methods and the patchy distribution of 131  
the fish. Although hydroacoustic techniques hold great 132  
promise, many authors highlight that ground-truthing is 133  
required to identify the fish to species level (Simmonds and 134  
MacLennan 2005; Ryan et al. 2009). 135

The International Union for the Conservation of Nature 136  
(IUCN) lists the Nassau grouper as endangered and rec- 137  
ommends annual monitoring at as many traditional aggre- 138  
gation sites as possible, including adjacent areas where 139  
aggregations have not previously been reported and as part 140  
of the assessment of the effectiveness of protected areas 141  
(Carpenter et al. 2015). Given the need to develop effective 142  
monitoring techniques that can rapidly, effectively, and 143  
quantitatively assess FSA status, we investigated the 144  
capacity of hydroacoustics to address these recommenda- 145  
tions. We examined FSA locations in relation to protected 146  
zones in the Cayman Islands and compared acoustic data 147  
with diver-collected data. Further, we evaluated the dif- 148  
ferent acoustic processing methods available to estimate 149  
the sizes of fish within FSAs. 150

## 151 **Materials and methods**

### 152 **Survey sites**

The sites chosen in this study are all within the Designated 153  
Grouper Spawning Areas (DGSA) of the Cayman Islands. 154  
Surveys were focussed on the likely areas of the FSA, 155  
based on site geomorphology and from local knowledge 156  
via the Department of Environment (DoE) (Fig. 1). Most 157  
survey effort was concentrated on the FSA located at the 158



**Fig. 1** Areas in the Cayman Islands surveyed by hydroacoustics and in-water assessment techniques. The numbers at each site represent the total number of hydroacoustic surveys undertaken at each location.

Red dots show located fish spawning aggregations (FSAs); peach colour shows survey tracks that did not locate FSAs. Map data ©2016 Google

**Table 1** Dates and times of the surveys conducted, with the number of days elapsed since the February full moon

Survey name	Date	Start time	Stop time	Days after full moon
GCE1	14/02/2014	12:40:43	15:19:39	0
LCE1	15/02/2014	17:48:01	19:33:54	1
LCW1	16/02/2014	12:04:38	12:52:39	2
LCW2	16/02/2014	17:38:42	17:51:19	2
LCW3	16/02/2014	18:38:18	19:12:52	2
LCW4	17/02/2014	13:24:40	13:55:05	3
CBW	17/02/2014	17:05:56	18:25:45	3
CBE	18/02/2014	17:44:52	19:00:25	4
CBW2	18/02/2014	10:43:05	13:04:03	4
CBE2	19/02/2014	07:43:09	08:48:04	5
GCE2	19/02/2014	17:13:11	18:28:32	5
GCE3	20/02/2014	08:13:58	09:41:08	6

Times are in Easter Standard Time (EST) (UTC/GMT -5 h)

159 west end of Little Cayman (LCW) as this is known to be  
 160 the most active of the FSAs, and for which concurrent fish  
 161 abundance and size data obtained via SCUBA were pro-  
 162 vided by the Grouper Moon project ([http://www.reef.org/  
 163 groupermoonproject](http://www.reef.org/groupermoonproject)). Surveys were also conducted at  
 164 Little Cayman East (LCE), Grand Cayman East (GCE) and  
 165 Cayman Brac West (CBW) and East (CBE). The field  
 166 surveys in Cayman occurred between 14 and 20 February  
 167 2014 (Table 1).

**Equipment**

A Biosonics DTX split-beam echosounder with a 200-kHz  
 transducer (beam opening angle of 6.8°), pole mounted  
 over the side of the survey vessel, was used for the surveys.  
 Data were collected with Biosonics visual acquisition  
 software (Biosonics Inc., Seattle, WA). Pulse duration was  
 0.4 ms, and the specified ping rate was 10 s<sup>-1</sup>. Survey  
 speed was kept to approximately 4 kn and sea state was

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176 calm (Beaufort scale 3 or under) on all surveys. The  
 177 echosounder was calibrated before the start of the surveys  
 178 on 13 February 2014 using a tungsten carbide 36-mm  
 179 standard calibration sphere, following the standard meth-  
 180 ods (Foote et al. 1987; Demer et al. 2015). The acoustic  
 181 return from the sphere was within acceptable tolerance to  
 182 the expected value given for the local environmental set-  
 183 tings (TS =  $-39.6$  vs.  $-39.8$  dB, respectively (Biosonics  
 184 2004), with speed of sound calculated as  $1521.54$  m s $^{-1}$ ).  
 185 Where diver observations were not available for species  
 186 ground-truthing, underwater video was used (Thomas and  
 187 Thorne 2003; Doray et al. 2007; Jones et al. 2012). This  
 188 consisted of a Sony 37CSHR camera with a live surface  
 189 feed mounted on an aluminium wing. Both the acoustic  
 190 data and the video data were time-stamped allowing  
 191 syncing of the visual and acoustic records in post-  
 192 processing.

### 193 Data processing

194 Potential Nassau grouper FSAs were initially identified  
 195 through their stronger backscattering properties and school  
 196 morphology (Fig. 2) than aggregations of other species  
 197 (e.g. horse-eye jack, *Caranx latus*) and then verified by  
 198 visual observation either by the use of the pelagic tow  
 199 camera or through confirmation by the dive team at LCW.

200 Data were processed with the software package Sonar5-  
 201 Pro (Balk and Lindem 2006), following the software-gui-  
 202 ded analysis routine (see Parker-Stetter et al. 2009 for  
 203 details). The analysis was based upon echo integration  
 204 (also known as Sv/TS scaling) which divides the average  
 205 reflection from all fish over a segment (the volume  
 206 backscattering coefficient, Sv) by the average target  
 207 strength (TS) from individual fish (Winfield et al. 2011).  
 208 TS is defined as  $TS = m\text{Log}L + b$  where  $m$  and  $b$  are  
 209 constants for a given species and frequency, respectively,  
 210 and  $L$  = length as total length (TL), (Simmonds and  
 211 MacLennan 2005). Initially, a threshold of  $-60$  dB was  
 212 applied to the echograms to distinguish fishes from other  
 213 particulate targets such as plankton. This is a typical  
 214 threshold applied for the detection of pelagic schooling  
 215 fishes (Reid 2000). Any noise due to issues such as bubbles  
 216 in the water column from wave action was removed by eye.  
 217 Sonar5 applies a time-varied gain correction of  $40\text{log}(R)$   
 218 for TS values and  $20\text{log}(R)$  for Sv values (Balk  
 219 and Lindem 2006). A bottom exclusion layer of 1 m was  
 220 applied, and data from within this layer were not included  
 221 in the analysis due to the ‘acoustic dead zone’ (Ona and  
 222 Mitson 1996). For echo integration methodology, there are  
 223 two main options to obtain TS: using tracked fish as a  
 224 source or using ‘single echoes detected’ (SED) as source.  
 225 We used tracked fish as source to derive abundance esti-  
 226 mates but examined the efficacy of both options to derive

227 TS. We used the following criteria to track fish within the  
 228 FSAs: a minimum track length of three pings; a maximum  
 229 ping gap of two pings; a gating range of 0.3 m; a maximum  
 230 mean echo threshold of  $-25$  dB; and a minimum mean  
 231 echo threshold of  $-40$  dB. Due to difficulties in obtaining  
 232 sufficient numbers of tracks from within FSAs (likely due  
 233 to high fish density and low signal-to-noise ratios in dense  
 234 areas of the aggregation), tracks were extracted and stored  
 235 from all passes of the FSAs per survey and then the tracked  
 236 fish were used to provide the survey-specific abundance  
 237 estimates. As tilt angle of fish can have a significant  
 238 bearing on TS, extreme tilt angles were filtered out of the  
 239 data following Gauthier and Horne (2004), so that any fish  
 240 with an aspect  $\pm 40^\circ$  from horizontal (dorsal aspect) were  
 241 removed from the analysis. We examined both the mean  
 242 TS of fish echoes in each track (calculated in the linear  
 243 domain) and the 75th percentile of TSs of each track. For  
 244 fish TS estimates using SED as source, SED were extracted  
 245 for each pass of an FSA and mean TS values subsequently  
 246 determined for the FSA from each survey. To assess  
 247 whether fish near the top of a school were shadowing those  
 248 beneath them, data were checked to ensure that echo  
 249 energy was consistent from the top to the bottom of the  
 250 school following Knudsen et al. (2009) (see electronic  
 251 supplementary information, ESM, Fig. S1).

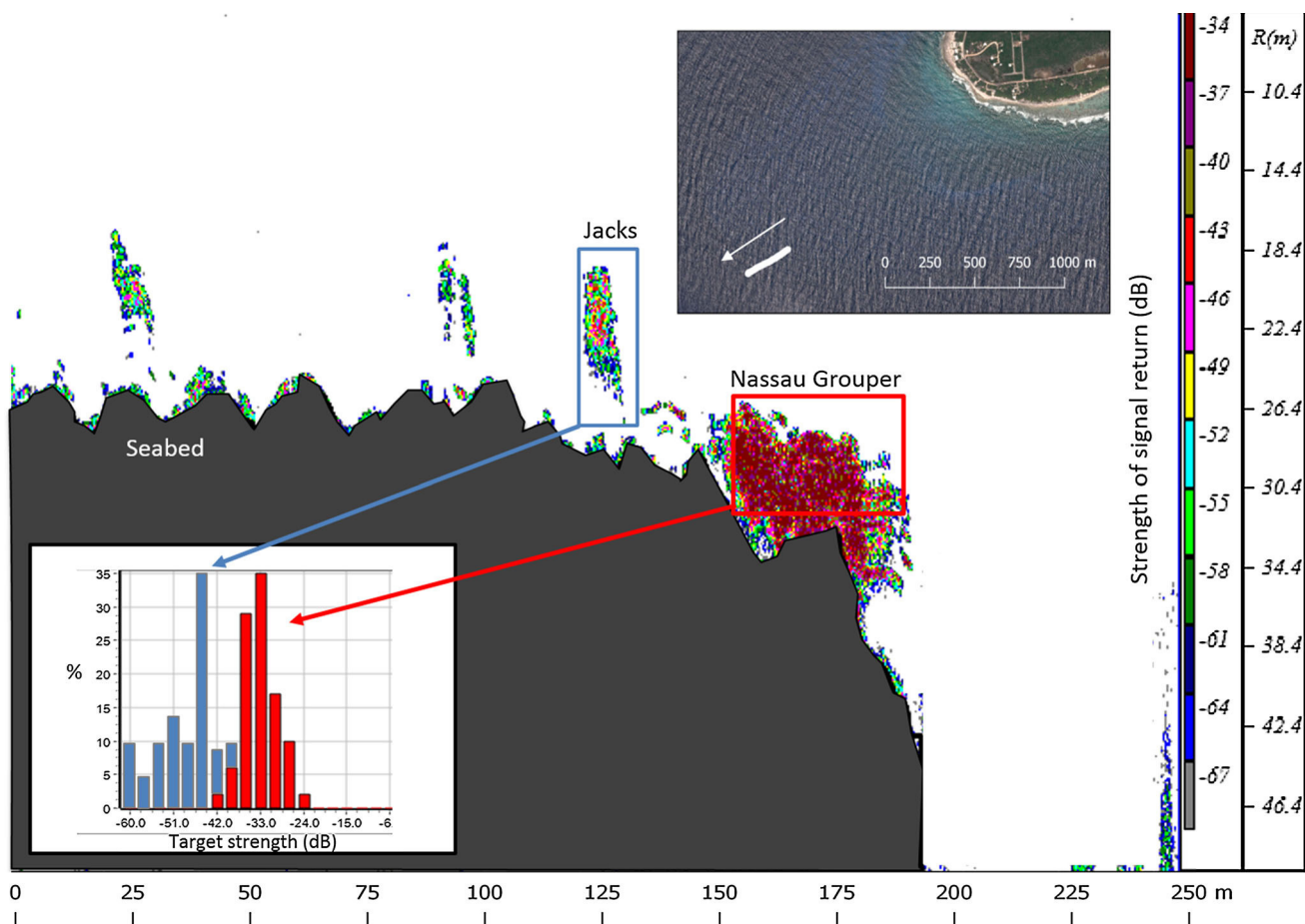
252 Three main equations were examined to convert TS to  
 253 fish TL by applying our mean TSs values (Table 2). Fur-  
 254 ther, we scaled diver fish length (TL) measurements (taken  
 255 using a laser calliper system; Heppell et al. 2012) by our  
 256 mean TS data from tracked fish for the LCW FSA, by  
 257 sorting both datasets by increasing value and then plotting  
 258 one against the other to determine a survey-specific TS–TL  
 259 formula (see ESM Fig. S2) resulting in Formula 4 in  
 260 Table 2.

261 TL–weight regressions specific to the Nassau <sup>AQ2</sup> grouper  
 262 were used to calculate weight at TL for biomass  
 263 estimates using the formula  $W = aLb$  where  $W$  = weight  
 264 (g),  $L$  = TL (cm),  $a = 0.01122$ ,  $b = 3.05$  (Froese and  
 265 Pauly 2016).

266 Applying the TS–TL formula and then using the specific  
 267 TL-to-weight relationship for the Nassau grouper (Froese  
 268 and Pauly 2016) give the mean weight of fish in each FSA.  
 269 This number was then multiplied by the number of fish  
 270 estimated in each FSA to provide total biomass estimates  
 271 for each FSA surveyed.

### 272 Spatial extents

273 Once the FSA was located using preliminary acoustic  
 274 transects, the aggregation was surveyed from different  
 275 angles to corroborate its extent. This approach follows  
 276 Doonan et al. (2003), who noted the advantages of a star-  
 277 shaped survey track in hydroacoustic surveys over



**Fig. 2** An example echogram of the analysis of fish echoes resulting from a Nassau grouper fish spawning aggregation (FSA) (red) and those from an aggregation of horse-eye jacks (blue). The inset shows that grouper had a higher percentage of stronger echoes. Transect distance is shown along the x-axis, while depth [R(m)] and strength of

signal return (colour strip) are shown on the y-axis. The satellite image shows the location of the transect of the Little Cayman west (LCW) 1 survey, and the arrow shows the direction of travel. Map data ©2016 Google

**Table 2** Target strength (TS) to length (L) formulae examined in this study

	Formula TS to L	Formula L to TS	Reference	Species	Frequency (kHz)
1	$TS = 19.1 \log_{10}(L) - 64.07$	$L = (2261.8) * \text{EXP}[0.1206 * (TS)]$	Love (1971)	Multi species	200
2	$TS = 0.7091 * L - 89.136$	$L = (TS / 0.7091) + 89.136$	Ehrhardt and Deleveaux (2007)	<i>Epinephelus striatus</i>	200
3a	$TS = 19.2 \log_{10}(L) - 64.05$	$L = (2165) * \text{EXP}[0.12 * (TS)]$	Rivera et al. (2010)	<i>Epinephelus guttatus</i>	120
3b <sup>a</sup>	$TS = 19.2 \log_{10}(L) - 64.25$	$L = (2220) * \text{EXP}[0.1199 * (TS)]$	Rivera et al. (2010)	<i>Epinephelus guttatus</i>	200
4	$TS = 27.6 \log_{10}(L) - 147.32$	$L = (207.06) * \text{EXP}[0.0362 * (TS)]$	This study	<i>Epinephelus striatus</i>	200

Length is total length in cm

<sup>a</sup> 3b is 3a reformulated for 200 kHz

278 schooling fishes. Alongside fish abundance values, the  
 279 geographical extents were also extracted, but these are  
 280 given in only two dimensions (height and length). Where  
 281 survey tracks crossed the FSA from different angles, the full  
 282 three-dimensional extent of the FSA was estimated by  
 283 drawing a polygon (Fig. 3) as per the arithmetic extrap-  
 284 olation method used by Taylor et al. (2006) and Ehrhardt and

Deleveaux (2007). When the track crossed the FSA from  
 only one angle, it was assumed that the aggregation was  
 circular unless nearby pings showed no fish were present,  
 in which case the halfway point between the positive (FSA  
 detected) and negative (FSA not detected) pings was taken  
 to demarcate the FSA extent. If the FSA represented two or  
 more clear densities, separate polygons were drawn for each

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292 density class present. Once a polygon was drawn, fish  
 293 abundance was calculated by multiplying the mean number  
 294 of fish  $\text{ha}^{-1}$  by the area of the polygon. When there were  
 295 multiple polygons of differing abundances, the result of  
 296 each was summed to give a total number of fish.

### 297 Statistical analyses

298 Welch's ANOVAs (equal variances were not assumed) were  
 299 used to compare fish densities (number of fish  $1000 \text{ m}^{-3}$ , log  
 300 transformed) among sites and surveys at LCW, and a two-  
 301 sample  $t$  test was used to compare densities at GCE surveys.  
 302 Diver fish abundance estimates were compared to the  
 303 acoustic abundance estimates by using a two-sample  $t$  test.  
 304 The TS values from the different acoustic processing meth-  
 305 ods were compared for each site with two-sample  $t$  tests.  
 306 Values of fish TL gained from applying tracked fish mean TS  
 307 data coupled with our in situ formula were compared among  
 308 the different surveys and sites with Welch's ANOVA, and  
 309 Games-Howell pairwise comparisons were used to test  
 310 where the differences among sites existed.

## 311 Results

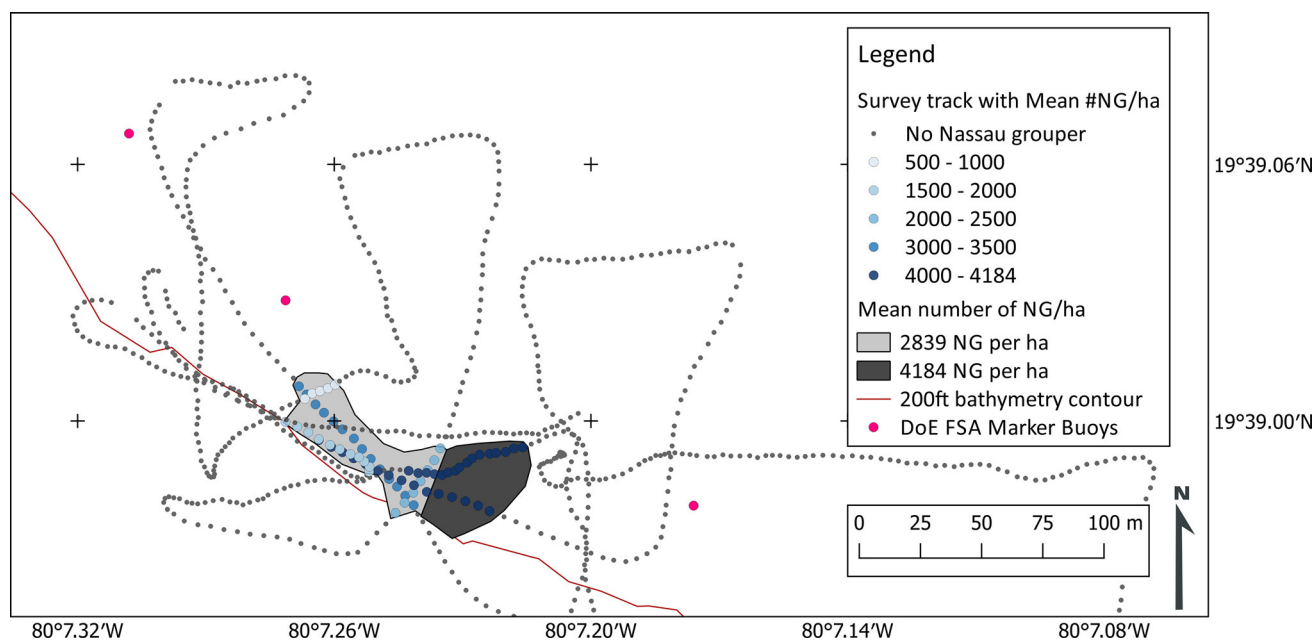
### 312 Numbers of fish in each FSA

313 FSAs were identified at LCW (all four surveys), GCE (two  
 314 of three surveys) and CBE (one of two surveys). No FSAs

were detected in the surveys of CBW or LCE. Visual 315  
 confirmation that the targets were Nassau grouper was 316  
 provided by the Grouper Moon dive team at LCW and at 317  
 GCE by the towed camera system. We did not achieve 318  
 visual confirmation of species present at CBE; however, 319  
 mean TS's and FSA morphology at that location were 320  
 similar to those at the verified Nassau grouper FSA sites. 321  
 The highest acoustically measured fish abundance was 322  
 detected at LCW with a maximum abundance of 2194 fish 323  
 in the aggregation (survey LCW1) 2 d after the full moon 324  
 on 16 February 2014. Fish density was significantly greater 325  
 at LCW FSA than at the other two sites ( $F_2 = 25.49$ , 326  
 $p = 0.000$ ) which did not differ significantly from each 327  
 other. Fish densities did not differ significantly among 328  
 individual surveys at the LCW FSA ( $F_3 = 1.35$ , 329  
 $p = 0.319$ ) or the GCE FSA ( $T_8 = 1$ ,  $p = 0.349$ ) 330  
 (Table 3). 331

### 332 Comparison between acoustic and diver abundance 333 data

Diver-estimated numbers of fish at the LCW FSA were 334  
 made concurrent with acoustic surveys LCW2, LCW3 and 335  
 LCW4 (Table 3). Diver confirmation of species also 336  
 occurred during LCW1, although numbers could not be 337  
 recorded. No significant difference was detected at the 95% 338  
 confidence level between diver estimates and acoustics 339  
 ( $T_3 = 0.55$ ,  $p = 0.619$ ). 340



**Fig. 3** Example of fish spawning aggregation (FSA) polygon determination in the arithmetic extrapolation method during the Little Cayman west (LCW) 4 survey. NG Nassau grouper. Department of

Environment Little Cayman FSA location marker buoys shown in pink and the 200 ft bathymetry contour shown in brown. Crosses indicate where latitude and longitude intersect

341 **Fish TS**

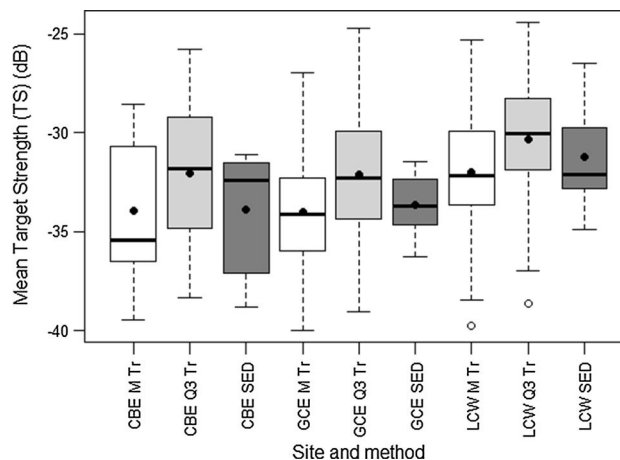
342 Mean fish TS gained through tracked fish was compared  
 343 with mean fish TS via SED for each site (Fig. 4). There  
 344 was no significant difference in mean TS values at any site  
 345 (CBE:  $T_{12} = 0.03$ ,  $p = 0.98$ , LCW:  $T_{47} = 1.44$ ,  $p =$   
 346  $0.157$ , GCE:  $T_{28} = 0.59$ ,  $p = 0.557$ ). The TS values from  
 347 the 75th percentile of echoes in a fish track were signifi-  
 348 cantly higher than the mean TS at LCW ( $T_{192} = 3.78$ ,  
 349  $p = 0.000$ ) and GCE ( $T_{429} = 6.91$ ,  $p = 0.000$ ), but not at  
 350 CBE ( $T_{19} = 1.13$ ,  $p = 0.273$ ) presumably due to the  
 351 smaller number of observations reducing statistical power.

352 **Converting TS to TL**

353 Mean TS measurements from tracked fish were scaled by  
 354 the diver LCW FSA diver length data. This resulted in:  
 355  $TS = 27.6 \log_{10}(L) - 147.32$  ( $R^2 = 0.98$ ; ESM Fig. S2).  
 356 The results from applying this formula to TS data are  
 357 plotted for the LCW dataset alongside the alternative  
 358 equations given in Table 2 (Fig. 5).

359 The results of applying our in situ formula to the  
 360 acoustic TS data are plotted per individual survey (Fig. 6a)  
 361 and as mean values per site (Fig. 6b).

362 There was a significant difference in mean fish TL  
 363 calculated from mean TS of tracked fish between the sites  
 364 ( $F_2 = 15.08$ ,  $p = 0.000$ ), with significantly larger fish at  
 365 LCW than at GCE but not CBE, which did not differ from  
 366 each other. Using the von Bertalanffy growth curve for the  
 367 Nassau grouper sampled from aggregations in the Cayman  
 368 Islands 1987–1992 (Bush et al. 2006), the estimated mean  
 369 fish TL of  $65.4 \pm 0.7$  cm seen at the LCW FSA corre-  
 370 sponds to an age of 10 yr. The estimated mean sizes of fish



**Fig. 4** Mean fish target strength (TS) found in fish spawning aggregations during each survey, per site and for each of the acoustic processing methods. CBE Grand Cayman Brac, GCE Grand Cayman east, LCW Little Cayman west, *Tr M* mean echo of tracked fish, *Q3 Tr* 75th percentile of echoes from tracked fish, *SED* single echoes detected. Box plots show mean values (black circle), median values (solid horizontal line), and the lower and upper ends of the box are the 25 and 75% quartiles, respectively. The whiskers indicate 1.5 times the inter-quartile range, and points beyond this range are shown by empty circles

at the GCE FSA ( $60.7 \pm 0.4$  cm) and CBE 371  
 ( $61.1 \pm 2.5$  cm) correspond to those of 8-year-old fish. 372

**FSA location relative to Cayman Islands DoE 373**  
**Designated Grouper Spawning Areas 374**

The extent of the FSA located on Grand Cayman fell on the 375  
 extreme northern limit of the DGSA boundary on the 376

**Table 3** Estimates of mean TS, mean lengths, weights, fish numbers and subsequent biomass values per survey where a FSA was identified as derived from mean TS from tracked fish

Survey name	Mean TS (dB)	Mean length (cm)	Mean weight (g)	Fish number	Biomass (kg)	Verification method	Fish density (#/1000 m <sup>3</sup> )	Fish number/isonified volume (Nv)	Mean depth (m)
LCW1	-31.98 (0.86)	65.22 (2.06)	3900.03 (390.9)	2194	8556.67	D (NP)	46.89 (24.60)	0.095 (0.05)	28.0 (1.4)
LCW2	-32.89 (1.43)	63.60 (3.30)	3782.35 (598.3)	398	1505.37	D (1225)	24.69 (12.76)	0.051 (0.024)	28.9 (2.1)
LCW3	-32.62 (1.25)	63.94 (2.97)	3746.54 (559.0)	122	457.08	D (1225)	18.20 (5.29)	0.031 (0.007)	26.2 (2.6)
LCW4	-30.50 (0.84)	68.86 (2.11)	4615.64 (443.8)	857	3955.60	D (1000)	32.87 (21.50)	0.072 (0.046)	29.0 (2.6)
LCW all	-32.01 (0.61)	65.40 (1.44)	4018.20 (268.1)	893	3588.25	D	33.13 (11.02)	0.067 (0.023)	28.1 (1.1)
CBE1	-33.95 (2.26)	61.12 (5.08)	3327.10 (849.2)	58	192.97	NP	4.61 (2.27)	0.009 (0.005)	30.4 (1.9)
GCE2	-33.95 (0.55)	60.90 (1.2)	3208.22 (191.6)	49	157.20	TC	4.01 (2.24)	0.0198 (0.011)	43.7 (2.2)
GCE3	-34.07 (0.48)	60.61 (1.08)	3162.43 (181.7)	40	126.50	TC	8.37 (5.82)	0.042 (0.028)	46.1 (1.1)
GCE all	-34.01 (0.36)	60.74 (0.8)	3183.32 (131.6)	45	143.25	TC	7.01 (4.12)	0.035 (0.019)	45.2 (1.1)

Fish density is number of fish per 1000 m<sup>3</sup>. Nv is number of fish per volume isonified (Sawada et al. 1993). Verification method shows how the fish were identified *D* diver (number in brackets), *NP* not possible, *TC* towed camera. Mean depth is the mean fish depth at each FSA. Numbers in brackets are 95% confidence levels

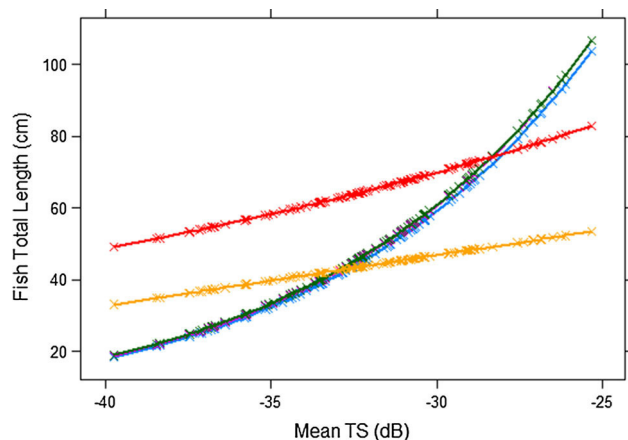


377 GCE2 survey and just outside the boundary during the  
 378 GCE3 survey. At CBE, the FSA was just within the  
 379 boundary close to its northern limit. The LCW FSA was  
 380 within the associated protection zone (Fig. 7).

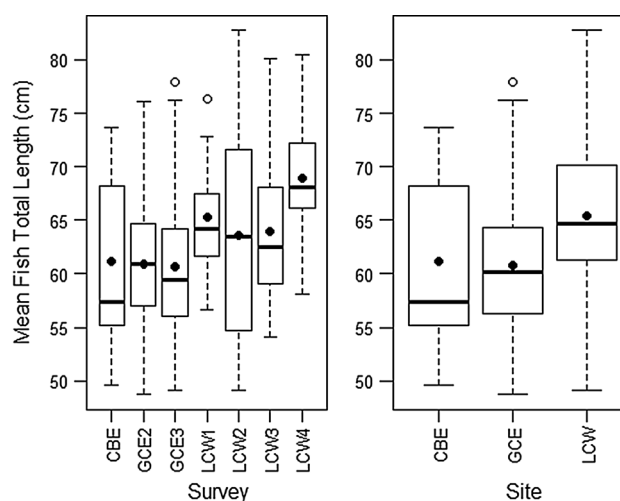
## 381 Discussion

382 The greatest fish abundances and densities were recorded at  
 383 the LCW FSA. This is as expected as this particular FSA is  
 384 well known throughout the Caribbean for the high numbers  
 385 of fish present there during spawning periods (Whaylen  
 386 et al. 2004). It should be noted that these surveys occurred  
 387 closest to the full moon (2–3 d after the full moon), when  
 388 Nassau grouper FSAs are most active (Starr et al. 2007).  
 389 The surveys LCW1 and LCW4 both yielded very similar  
 390 patterns of fish distribution and had the highest abundance  
 391 estimates. These surveys occurred at similar times near the  
 392 middle of the day, while surveys LCW2 and LCW3, both  
 393 occurring near dusk, recorded lower abundances. Other  
 394 studies have found that groupers were more densely  
 395 aggregated at sunrise and sunset (Whaylen et al. 2006), and  
 396 it is possible that the main aggregation may therefore have  
 397 been missed by surveys LCW2 and LCW3, or that abun-  
 398 dance estimates are more robust when fish are more dis-  
 399 persed as has been seen in other studies (Rudstam et al.  
 400 2003).

401 At any given time in the LCW FSA, some proportion of  
 402 the fish are located on the plateau and across a wider area  
 403 than is represented by the main aggregation at the reef crest  
 404 (Whaylen et al. 2006); it is possible that the acoustics may  
 405 not have detected these individuals. In addition, as fish



**Fig. 5** Target Strength (TS) data from the Little Cayman west (LCW) surveys and corresponding fish total length using the following empirical formulas:  $TS = 19.2 \log_{10}(L) - 64.05$  (blue; Rivera et al. 2010);  $TS = 19.1 \log_{10}(L) - 64.07$  (pink, partially hidden due to similar values as green; Love 1971);  $TS = 0.7091 * L - 89.136$  (yellow; Erhardt and Deleveaux 2007),  $TS = 27.6 \log_{10}(L) - 147.32$  (red, this study)

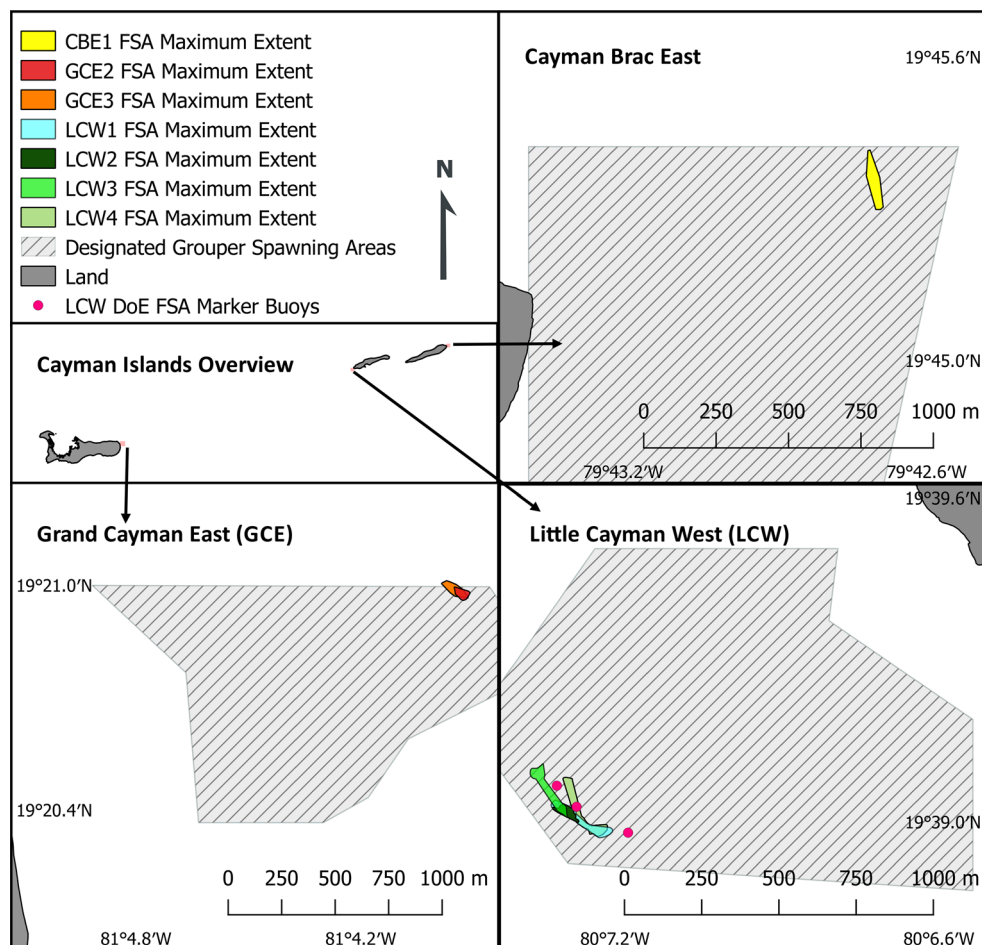


**Fig. 6** Mean fish total length (TL) as calculated by applying our in situ formula **a** during each survey and **b** as grouped data per site. Box plots show median values (solid horizontal line), and the lower and upper ends of the box are the 25 and 75% quartiles, respectively. The whiskers indicate the inter-quartile range and points beyond this range are shown by empty circles

406 within 1 m of the seabed were not included in the study,  
 407 acoustic abundance estimates are best considered an index  
 408 of abundance rather than an absolute abundance and are  
 409 likely to be conservative compared to the total number of  
 410 all spawning fish. The LCW FSA was most active the day  
 411 before the acoustic surveys (15 February, 1 d after the full  
 412 moon) with 4000 fish estimated by the dive team. Our peak  
 413 number of fish was detected the following day. The CBE  
 414 FSA was surveyed 4 d after the full moon, and the FSA at  
 415 GCE surveyed 5 and 6 d after the full moon; only small  
 416 numbers of fish were found at either location. It is likely  
 417 that the acoustics results underestimate the total abun-  
 418 dances of individuals in these FSAs as they do not account  
 419 for the most active times, i.e. closer to the full moon.  
 420 Therefore, we recommend that to fully evaluate a given  
 421 FSA, acoustic surveys should be conducted both over  
 422 several days and at multiple times per day to increase the  
 423 probability of capturing peak abundance at any given FSA.  
 424 Note that we assumed that all echoes from within a FSA  
 425 were Nassau grouper, but it is possible that relatively low  
 426 numbers of other fish species were also present.

427 We evaluated the possibility of acoustic shadowing  
 428 leading to the differences between diver estimates and  
 429 acoustic estimates of fish numbers. No decrease in echo  
 430 energy from the top of the FSAs to the bottom was found,  
 431 indicating that the acoustic technique can be used to  
 432 accurately quantify fish in FSAs (Knudsen et al. 2009).  
 433 However, this is contrary to some other studies which have  
 434 reported a shadowing effect in dense schools of marine  
 435 fishes (Zhao and Ona 2003; Utne and Ona 2006; Løland  
 436 et al. 2007).

**Fig. 7** Fish spawning aggregation locations and maximum extents detected via hydroacoustics in the Cayman Islands in relation to the positions of the Designated Grouper Spawning Areas (hatched area)



43 **AQ3** We examined three different methods in the acoustic  
 438 post-processing to extract TS values, and it is interesting to  
 439 note that mean TS with SED as source did not differ sign-  
 440 ificantly from the mean TS of tracked fish. When fish are  
 441 tilted further from the horizontal, TS is reduced so max TS  
 442 may be a better estimator than mean TS (Balk and Lindem  
 443 2006). However, to remove any effect of ‘flash echoes’  
 444 (Lilja et al. 2004) and also the potential exaggerating  
 445 effects on mean TS of multiple echoes (Soule et al. 1995;  
 446 Rudstam et al. 2003), a 75th percentile of the TS along a  
 447 tracked fish was also examined and unsurprisingly yielded  
 448 higher values overall than the other two methods. How-  
 449 ever, we used the mean TS for subsequent calculations as  
 450 this method is most common in the literature (e.g. Guillard  
 451 et al. 2004; Rose 2009).

452 TS varies with tilt angle (Nielsen and Lundgren 1999),  
 453 and among fish species due to anatomical differences in the  
 454 size of the swim bladder (Simmonds and MacLennan  
 455 2005). Therefore, an empirical TS–TL relationship is  
 456 needed to convert TS to fish TL, which is known for many  
 457 species (Kracker 2007). Ideally, TS data should be  
 458 obtained from fish that are typical of the population to be  
 459 surveyed (Simmonds and MacLennan 2005). The LCW

FSA presented a rare opportunity to do this as the fish  
 species (almost entirely Nassau grouper) could be deter-  
 mined by divers who were also able to provide accurate  
 length measurements. By scaling our TS values by the  
 diver measurements, we derived an alternative in situ TS–  
 TL equation allowing comparison to the other equations  
 examined. Application of either the Love (1971) or Rivera  
 et al. (2010) formula results in a significant underestima-  
 tion of fish size in comparison with the diver data.  
 Although our equation contains a log function, it is more  
 similar to the Erhardt and Deleveaux (Erhardt and Deleveaux  
 2007) than the other equations. This is likely to be  
 due to the relatively narrow range of fish sizes in both their  
 and our studies, as these are the lengths of reproductively  
 active fish. While applying our equation matches diver  
 lengths at LCW, we are hesitant to suggest without further  
 evaluation that it should be used in preference to other  
 equations in future studies due to a number of reasons.  
 First, there was a relatively narrow range of fish lengths  
 present in the FSA as seen by divers, and applying our  
 formula may have the effect of overestimating the size of  
 smaller fish and underestimating the size of larger fish  
 beyond the range experienced here. Second, there are

- difficulties in extracting tracked fish TS data from the centre of FSAs and it may be the case that the tracked fish, more commonly located on the periphery of the aggregation, may be of a different size or orientation than those in the centre (Starr et al. 1995). Third, tracking fish is difficult in vertical marine applications (Guillard et al. 2004), and although we experienced calm sea states, vessel movement is likely to have reduced the number of possible tracks and increased variation in TS. We recommend further examination of the TS–TL relationship for Nassau grouper and that caged fish experiments, or similar, should be conducted across a larger range of fish sizes to obtain more empirical data points from which a potentially more robust equation can be determined. Future research examining the novel combination of hydroacoustics and laser callipers could prove useful for FSA monitoring and other assessments of fish populations. The effect of reproductive state on TS of Nassau grouper would also be worthy of examination, since the relationship of gonad size to swim bladder volume of spawning sardines is as important as the relationship of the swim bladder volume to fish length (Machias and Tsimenidis 1995). Mean fish TL was significantly larger at LCW than at GCE, but not CBE. As younger fish tend to be smaller, a recovering population may have a larger proportion of smaller fish (Heppell et al. 2012). Our results could indicate that the FSAs on GCE and CBE may be recovering from previous exploitation (Bush et al. 2006) or that the generally smaller fish at those locations are a result of larger fish being removed by fishing.
- Hydroacoustics allowed us to determine the location of FSAs in three-dimensional space. Spawning aggregations were consistently found just off the reef crest at around 30 m depth at LCW as has been described previously by direct observation (Whaylen et al. 2004). The depths of FSAs will be influenced by a number of factors such as diurnal time of survey or lunar phase (Starr et al. 2007); however, knowing the depths from our surveys may assist managers in determining optimum future survey strategies. The relatively deep FSA of GCE was also noted by Kobara and Heyman (2008) and is most likely due to the spawning suitability of the local geomorphologic characteristics at the site. The depth at which this FSA occurs highlights the difficulty of visual census approaches using SCUBA. FSAs can move between repeat surveys within the same lunar period, and some wider movement not detected in this study could reasonably be expected. We recommend including line fishing in the one-mile-radius restrictive buffers around DGSA or increasing the size of the DGSA as a further precautionary measure. If fishing occurs at the edge of the protected areas, as is common practice following closures to fishing (Kellner et al. 2007), it is possible that these FSAs, which may be recovering, could still be at risk.
- Hydroacoustics has proven capable of locating FSAs in historic areas where it was unknown whether fish were still aggregating. This also means that acoustics can be used to search for aggregations in new locations and used in situations when diving surveys are impractical or hazardous. We have shown that surveying FSAs with hydroacoustics produces fish count information comparable to that from diver estimates, and it provides additional information such as fish size when ground-truthing is also provided, although further work is needed in this area. Repeating hydroacoustics surveys could yield much information on how exploited FSAs are recovering and could assist with the vital monitoring of endangered aggregating populations.
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