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Rebuilding soil hydrological functioning after swidden agriculture in eastern Madagascar

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1 Rebuilding soil hydrological functioning after
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21

22

23 **Abstract**

24 Land-use change due to the widespread practice of swidden agriculture affects the supply
25 of ecosystem services. However, there is comparatively little understanding of how the
26 hydrological functioning of soils, which affects rainfall infiltration and therefore flood
27 risk, dry-season flows and surface erosion, is affected by repeated vegetation clearing and
28 burning, the extent to which this can recover following land abandonment and vegetation
29 regrowth, and whether active restoration speeds up recovery. We used interviews with
30 local land users and indicator plant species to reconstruct the land-use history of 19
31 different sites in upland eastern Madagascar that represent four different land-use
32 categories: semi-mature forests that were never burnt but were influenced by manual
33 logging until 15–20 years ago; fallows that were actively reforested 6–9 years ago; 2–10
34 year old naturally regenerating fallows; and highly degraded fire-climax grassland sites.
35 Surface- and near-surface (down to 30 cm depth) saturated soil hydraulic conductivities
36 (K_{sat}), as well as the dominant flow pathways for infiltration and percolation were
37 determined for each land-cover type. Surface K_{sat} in the forest sites was very high
38 (median: 724 mm h⁻¹) and infiltration was dominated by flow along roots and other
39 preferential flow pathways (macropores), whereas K_{sat} in the degraded land was low
40 (median: 45 mm h⁻¹) with infiltration being dominated by near-surface matrix flow. The
41 total area of blue-dye stains was inversely correlated to the K_{sat} . Both surface- and near-
42 surface K_{sat} had increased significantly after 6–9 years of forest regeneration (median
43 values of 203 and 161 mm h⁻¹ for reforestation and natural regeneration, respectively).
44 Additional observations are needed to more fully understand the rates at which soil
45 hydrological functioning can be rebuilt and whether active replanting decreases the time
46 required to restore soil hydrological functioning or not.

47 *Keywords:* forest regeneration, reforestation, preferential flow pathways, saturated
48 hydraulic conductivity, runoff generation, swidden agriculture.

49

50 **Introduction**

51 Large areas of the agricultural-forest frontier in tropical countries are dominated by
52 swidden cultivation (also known as shifting cultivation or slash-and-burn agriculture;
53 Brady, 1996; Van Vliet et al., 2012). Swidden agriculture typically results in a mosaic of
54 land uses, including naturally regenerating fallows. Where population pressure is high and
55 rotation cycles have shortened, it also results in extensive patches of highly degraded land
56 that are no longer included in the agricultural rotation (Kleinman et al., 1995; Malmer et
57 al., 2005, Bai et al., 2008). The ecological and soil fertility values of land in the various
58 phases of the swidden agricultural cycle, and the extent to which they improve during
59 forest regrowth, have received significant attention (Szott et al., 1999; Chazdon, 2014;
60 Mukul and Herbohn, 2016). However, despite the importance of water for rural
61 communities and ecosystems, our understanding of how the hydrological functioning of
62 tropical soils is impacted by repeated forest clearance and burning followed by vegetation
63 regrowth is still rather limited (e.g. Toky and Ramakrishnan, 1981; Gafur et al., 2003;
64 Ziegler et al., 2004). Also, evidence concerning the degree to which soil hydrological
65 functions may be restored by assisted regeneration (cf. Dugan, 2000) as opposed to full-
66 blown reforestation seems largely absent (Scott et al., 2005; Ilstedt et al., 2007).

67 The fire used in swidden agriculture can decrease soil organic carbon content, reduce
68 rooting density and depth, and decrease soil biotic activity (Fragoso et al., 1997; Lavelle et
69 al., 2001). Such changes can lead, in turn, to decreases in soil infiltration capacity and thus
70 increased surface runoff (Toky and Ramakrishnan, 1981; Ziegler et al., 2004). Excess

71 surface runoff generation in the case of advanced soil degradation may even impair soil-
72 and groundwater recharge, which can have negative impacts on dry-season streamflow
73 and community water resources (Bruijnzeel, 2004; Forsyth and Walker, 2008).

74 In response to such problems, and to promote carbon sequestration, biodiversity, and rural
75 livelihoods, several major international initiatives (e.g. the Global Partnership on Forest
76 Landscape Restoration/IUCN, 2011; UN, 2015; cf. Aronson and Alexander, 2013; Lamb,
77 2014) have committed to restoring large areas of the world's degraded and deforested
78 land. However, the exact hydrological implications of such efforts, especially with respect
79 to changes in the streamflow regime, are under debate (Jackson et al., 2005; Scott et al.,
80 2005; Malmer et al., 2009). There are indications that the water use of vigorously
81 regenerating vegetation can exceed that of old-growth forest (Giambelluca et al., 2000; cf.
82 Ford et al., 2011) causing a reduction in streamflow during at least part of the succession
83 (Swank et al., 2001; Lacombe et al., 2015). On the other hand, total streamflow and
84 streamflow responses to rainfall are also influenced by soil hydrological functioning
85 (Bonell, 2005), which has been shown to improve during natural forest regeneration
86 (Ziegler et al., 2004; Zimmermann et al., 2010; Hassler et al., 2011) and after tree planting
87 on degraded soils (Bonell et al., 2010; Benegas et al., 2014). This improvement is thought
88 to reflect increases in soil organic matter, rooting density and depth, and, especially, soil
89 faunal activity and the development of preferential flow pathways (macropores) during
90 vegetation maturation (Colloff et al., 2010). As such, the quantification of rainfall
91 infiltration and related soil hydrological characteristics, and changes therein during forest
92 regeneration are important for understanding the hydrological effects of tropical land-use
93 change.

94 A large proportion of Madagascar's renowned rain forest biome is now covered by a
95 mosaic of land uses representing different stages of the swidden agricultural cycle,
96 including highly degraded grasslands (Styger et al., 2007; Harper et al., 2007). Both
97 governmental and conservation organisations have attempted to slow or stop the practice
98 of swidden agriculture (Scales, 2014) and there have been occasional attempts at active
99 reforestation (Portela et al., 2012; Busch et al., 2012). Recently, the Malagasy Government
100 made a commitment to the United Nations Framework Convention of Climate Change to
101 reforest 270,000 ha with native species, and greatly reduce the national rate of
102 deforestation (Government of Madagascar, 2015). There have been claims that forest
103 restoration is important in terms of hydrological regulation (Portela et al., 2012) but
104 empirical studies of the effects of deforestation, forest regeneration or reforestation on soil
105 hydrological functioning in Madagascar are scarce and concern observations made more
106 than half a century ago (Bailly et al., 1974).

107 This study is part of a larger effort investigating the net hydrological impacts and
108 ecosystem services of various land-cover types associated with swidden agriculture and
109 forest regeneration in upland eastern Madagascar. The study region has experienced a long
110 history of swidden cultivation, as well as various conservation interventions aimed at
111 reducing forest clearance and burning, and, since 2005, active reforestation (Portela et al.,
112 2012). Interviews with local people, and plant indicator species were used to identify
113 19 sites, which represented four widely occurring land-cover types (semi-mature forest,
114 actively reforested fallows, young naturally regenerating fallows, and degraded grass- and
115 shrub land). At these sites we investigated: (i) the differences in top-soil infiltration rates
116 and associated soil physical properties, and (ii) differences in preferential flow pathways
117 in order to determine how soil hydrological functioning is affected by land cover and

118 whether active reforestation using native tree species results in a faster recovery of soil
119 hydrological functioning after land abandonment than natural forest regrowth.

120 **Materials and Methods**

121 *Research area*

122 The research was carried out in two communities (Andasibe and Ambatovola) in the
123 southern part of the Ankeniheny Zahamena Corridor (CAZ), which is a newly established
124 protected area and REDD+ pilot project area. The CAZ is widely recognised for its
125 extraordinary biodiversity (Le Saout et al., 2013). Swidden agriculture, in a system locally
126 known as *tavy*, has been practiced in the region for many generations and is considered a
127 major driver of deforestation (Styger et al., 2007; Clausen et al., 2013). The cutting and
128 burning of forest (primary or secondary) is typically followed by one or two seasons of
129 rice cultivation and a root crop the next season. The land is then left for natural fallow
130 regrowth, until the recovering vegetation is cleared again (Styger et al., 2007). Due to
131 rapid population growth, the length of the fallow cycle has decreased from 8–15 years in
132 the 1970's to as little as 3–5 years, resulting in degraded areas dominated by shrubs, ferns
133 and grasses (Styger et al., 2007). Some of the degraded fallows in the research area were
134 actively replanted with native tree species as part of the TAMS (Tetik Asa Mampody
135 Savoka) reforestation project, which started in 2005 and planted more than 120 native
136 species in more than 300 ha of degraded agricultural and forest land (Conservation
137 International, 2011). The reforested sites did not receive regular follow-up maintenance
138 (e.g. weeding of invasive species), and in most sites the trees are still relatively small
139 (<5 m height) and do not yet provide closed canopy conditions.

140 The study area is characterized by steep slopes (>20°) and broad valleys; elevations range
141 between 300 and 1800 m a.s.l. The area is underlain by Precambrian metamorphic and

142 igneous basement rocks (granites, migmatites and schists) in which Oxisols and Ultisols
143 have developed (Hervieu and Randrianaridra, 1956; Du Puy and Moat, 1996). Based on
144 soil textural data down to 100 cm depth from the study area (Andriamananjara et al.,
145 2016), the soils in our study sites are classified as Tropudults and typically show an
146 increase in clay content at a depth of 60–70 cm. The climate is tropical monsoonal
147 (Köppen-type Am) with an average temperature at an elevation of 950 m a.s.l. (site
148 number 8) of 15°C during the dry season (April to October) and 22°C during the wet
149 season (November to March). Mean annual rainfall at Andasibe (990 m a.s.l.) was
150 1625 mm yr⁻¹ for the 1983–2013 period (Météo Madagascar, unpublished data, 2013).
151 Total rainfall measured with a tipping-bucket rain gauge (Rain Collector II, Davis
152 Instruments, Hayward, USA; 0.2 mm per tip) near Andasibe at site number 8 (Figure 1)
153 between October 2014 and September 2015 was 1650 mm. The median, 95th percentile
154 and maximum 5-min rainfall intensities during this period were 3.0, 20 and 150 mm h⁻¹,
155 respectively, with corresponding values of 1.0, 8.6, and 95 mm h⁻¹ for the 15-min rainfall
156 intensities. Almost a third of the annual rainfall (531 mm) fell at a 5-min intensity > 20
157 mm h⁻¹, while 41% (677 mm) occurred at a 15-min intensity > 8.6 mm h⁻¹.

158

159 <<Figure 1>>

160

161 *Site selection*

162 We wanted to sample sites that represented the four focal land-cover categories: (i) semi-
163 mature forests that experienced heavy manual in the past but were never totally cleared
164 and burned. These forests contain mostly small trees with a few larger trees (diameter at
165 breast height ≥ 20 cm). It is likely that the latter represent remnant individuals that were

166 considered too small to be harvested at the time of the latest harvesting (F); (ii) reforested
167 shrub/tree fallows (RF), where endemic trees were actively replanted between 2005 and
168 2013 as part of the TAMS project; (iii) natural fallows (NF) dominated by shrubs and/or
169 trees of natural succession on abandoned agricultural land; and (iv) highly degraded
170 abandoned agricultural fields (DL) covered by scattered shrubs and grasses (fire-climax).
171 Undisturbed mature forests that have not been influenced by illegal logging, and older
172 fallows (>15 years) do not exist in the study area. We used a combination of previous
173 work that describes the plant species composition associated with different land-cover
174 stages (see Table 1), shape files showing reforested areas, and interviews with local
175 leaders and land users to identify the areas that represented our four focal land-cover
176 types. Within each land-cover category, we selected sites that were at least 120 m long and
177 75 m wide, without any major visual changes in vegetation or slope to minimise edge
178 effects. A total of 19 sites were selected (Figure 1 and Supplementary Material 1). At each
179 site measurements were taken at five locations at 15 m intervals along a 60 m transect.
180 The transects were located along the hillslope gradient to avoid bias by only including
181 upslope or downslope measurements (cf. Sobieraj et al., 2004; Ghimire et al., 2013).

182

183 <<Table 1>>

184

185 *Field measurements*

186 Soil physical characteristics

187 Soil cores (100 cm³) were taken at two depths (12.5–17.5 cm and 22.5–27.5 cm) at each
188 measurement point along a transect to determine porosity, moisture content at field

189 capacity and bulk density. The moisture content at field capacity was defined as the
190 volumetric moisture content after three days of gravity drainage rather than following the
191 strict definition of the moisture content at a suction of 333 hPa (Koorevaar et al., 1983).
192 The samples were saturated for 5 days, weighted, left to drain for 3 days and weighted
193 again at the Andasibe field station. The samples were oven dried (24 h at 105 °C) at the
194 Laboratoire des Radio Isotopes (University of Antananarivo) and weighted again. Porosity
195 was determined following Klute (1986) by comparing the saturated weight and oven-dried
196 weight of the samples. Samples for soil textural analysis were taken at the same depths as
197 the cores and combined into one bulk sample per depth per transect. Particle size
198 distributions were analysed at the VU University in Amsterdam using a QUIXEL Helium-
199 Neon Laser Optical System (Sympatec GmbH, Clausthal-Zellerfeld, Germany). To ensure
200 that there were no significant changes in soil texture along a transect, soil texture was
201 determined at each measurement location in the field following Rowell (1994).

202 Saturated hydraulic conductivity

203 The saturated soil hydraulic conductivity (K_{sat}) describes the rate of steady-state
204 infiltration (at the surface) or percolation (at depth). K_{sat} was measured at the soil surface,
205 at 10–20 cm and at 20–30 cm depths. These measurement depths correspond with the
206 main soil horizons in the study area and allow comparison of the results with other studies
207 (e.g. Godsey and Elsenbeer, 2002; Zimmermann et al., 2006, 2010). Steady-state surface
208 infiltration rates were determined using a portable double-ring infiltrometer (15 cm inner
209 diameter, 21 cm outer diameter) that was inserted 9 ± 3 cm into the soil, maintaining a
210 constant head of 10 ± 3 cm). These surface measurements were considered to represent the
211 0–10 cm layer. Values of sub-soil K_{sat} were measured using a constant-head field

212 permeameter (Amoozegar, 1989). The diameter of the auger hole was 6.0 ± 0.5 cm at 10–
213 20 cm depth and 5.6 ± 0.5 cm at 20–30 cm. The applied constant head was 17.5 ± 1.5 cm.

214 Dye tracer experiments

215 Dye tracer experiments were carried out to characterise the soils of the investigated land-
216 cover types in terms of their dominant infiltration and percolation patterns, i.e. matrix flow
217 (through soil pores) vs. preferential pathways (along roots and through macropores)
218 (Beven and Germann, 1982). Water with 2 g L^{-1} Brilliant Blue Dye (FCF C.I. 42090) was
219 sprayed on a 1 m^2 plot at an average intensity of 20 mm h^{-1} in the middle of the transect at
220 six study sites (forest, $n=2$; reforestation, $n=1$; natural fallow, $n=1$; and degraded land,
221 $n=2$). Each plot was divided into two parts: the upper half received 20 mm of dye, the
222 lower half received 40 mm. The irrigated plots were covered with a plastic sheet and the
223 soil was excavated the next day. Six sections were excavated per plot (three per
224 application rate), described qualitatively in the field and photographed for subsequent
225 analysis (cf. Weiler and Fluhler, 2004), to determine: (i) the so-called volume density (i.e.,
226 the fraction of soil that contained blue dye, representing the fraction of the soil where
227 water infiltrated), (ii) the fraction of blue stains narrower than 2 cm (indicating the
228 dominance of preferential flow pathways with little interaction with the matrix), and (iii)
229 the fraction of stains that were wider than 20 cm (indicating the dominance of preferential
230 flow pathways with high interaction with the matrix or homogeneous matrix flow).

231 *Data analysis*

232 Differences in bulk density, porosity, soil moisture content at field capacity, sand, silt, and
233 clay contents, as well as differences in K_{sat} between the respective land-cover types were
234 tested for statistical significance by applying the Kruskal-Wallis analysis of ranks with
235 Dunn's method (Kruskal-Wallis, 1952). Differences were taken to be significant for

236 values of $p < 0.05$. Spearman rank correlation (r_s) analysis was used to determine the
237 correlation between K_{sat} and the other soil physical characteristics.

238 Median surface and subsurface K_{sat} -values for the different land-cover types were
239 compared to the 95th percentiles of the 5-min and 15-min rainfall intensities as measured
240 at Andasibe to infer the dominant runoff pathways (i.e. infiltration-excess overland flow
241 occurrence, vertical percolation, lateral subsurface flow or saturated overland flow; cf.
242 Bonell et al., 2010; Ghimire et al., 2014).

243 **Results**

244 *Soil physical characteristics*

245 Soil texture was either clay, clay loam, or sandy clay loam. Overall clay content at the
246 various study sites varied between 23 and 66%, silt between 12 and 34%, and sand
247 between 8 and 65%. Although clay contents were highest (and sand contents lowest) for
248 the degraded land sites, the differences in sand, silt or clay contents between the land-
249 cover types were not significant (Table 2). Sand, silt and clay contents did not differ
250 significantly between the two depths intervals (12.5–17.5 cm and 22.5–27.5 cm) either.

251 Bulk density at 12.5–17.5 cm depth was significantly lower for the forest sites than for any
252 of the other land-cover types, but the differences at a depth of 22.5–27.5 cm between the
253 land cover types were small and not significant (Supplementary Materials 2 and 3a).
254 Likewise, differences in porosity (for either depth interval) between the different land
255 cover types were small and not significant. Although the differences in moisture content at
256 field capacity between land cover types were larger than those for porosity, they were also
257 not significant (Supplementary Materials 2, 3b and 4a). Drainable porosity (i.e., total
258 porosity minus moisture content at field capacity) at 12.5–17.5 cm did not differ
259 significantly between the different land cover types but at 22.5–27.5 cm the median value

260 for the forest sites was significantly smaller than that for the degraded land sites
261 (Supplementary Materials 2 and 4b). The results for drainable porosity as a fraction of
262 total porosity were similar.

263 *Saturated hydraulic conductivity*

264 Values of saturated hydraulic conductivity (K_{sat}) were generally higher for the forest sites
265 than for any other land cover (for all three measurement depths). However, the scatter in
266 the individual measurements was such that only the difference between the median K_{sat} of
267 the relatively undisturbed forest soils (724 mm h^{-1}) and that of the degraded land
268 (45 mm h^{-1}) was statistically significant (Figure 2a and Table 2). At a depth of 10–20 cm,
269 the median K_{sat} for the forest sites (87 mm h^{-1}) and reforestation sites (56 mm h^{-1}) were
270 significantly higher than those for the natural fallows (14 mm h^{-1}) and the heavily
271 degraded sites (20 mm h^{-1}) (Figure 2b and Table 2). At 20–30 cm depth, only the median
272 K_{sat} of the forest (4.3 mm h^{-1}) and that for the soil at the degraded land sites (0.8 mm h^{-1})
273 differed significantly from each other (Figure 2c and Table 2).

274

275 <<Table 2>>

276

277 K_{sat} decreased quickly with depth at all sites (Figure 2 and Table 2). While the median
278 surface K_{sat} exceeded the 95th percentiles of the 5- and 15-min rainfall intensities for all
279 four land cover types (Figure 2a), K_{sat} -values at 20–30 cm depth were well below these
280 intensities, regardless of land-cover type (Figure 2c). Median K_{sat} at 10–20 cm depth
281 beneath the forest sites and reforestation sites (87 and 56 mm h^{-1} , respectively) was much
282 larger than the 95th percentile of 5-min rainfall intensity (20 mm h^{-1}), while the median K_{sat}

283 for the young natural fallow sites (14 mm h^{-1}) and degraded land (20 mm h^{-1}) was similar
284 to the 95th percentile of the 5-min rainfall intensity.

285 Median K_{sat} per transect at 10–20 and at 20–30 cm was not significantly correlated with
286 the sand or clay contents. K_{sat} at 10–20 and at 20–30 cm was not significantly correlated
287 with the bulk density or porosity either (not known for 0–10 cm). The K_{sat} at 10–20 cm
288 depth was significantly correlated with moisture content at field capacity ($r_s = 0.23$),
289 drainable porosity ($r_s = -0.40$), and the ratio of moisture content at field capacity and
290 porosity ($r_s = 0.40$) at 12.5–17.5 cm depth. There was also a weak but statistically
291 significant correlation between K_{sat} at 20–30 cm depth and the drainable porosity at 22.5–
292 27.5 cm ($r_s = -0.20$). Taking the data for the reforestation and natural fallow sites together
293 (Figure 3), surface K_{sat} appeared to increase with time since agricultural abandonment,
294 although the relationship was not particularly strong ($r_s = 0.42$). The correlation improved
295 ($r_s = 0.68$) when only considering the reforestation sites but was not significant for the
296 natural fallow sites. Values of K_{sat} at 10–20 cm or 20–30 cm depth were not correlated
297 with time since abandonment.

298

299 <<Figure 2>>

300

301 <<Figure 3>>

302

303 *Dye infiltration patterns*

304 There were no significant differences in the dye patterns or the maximum depth of dye
305 infiltration between the 20 and 40 mm applications. Therefore, results for the two

306 applications were analysed together for each land-cover type. For the semi-mature forest,
307 as well as the reforestation/natural fallow sites, the infiltrated dye was located mainly
308 along larger macropores (Figure 4a and 4b). The forest soils were mostly characterized by
309 macropore flow with mixed interaction with the soil matrix (Figure 4a). The excavated
310 soil sections of the degraded land plots showed a more or less homogeneously stained top
311 layer (0–15 cm) where the fine roots were concentrated. The associated blue dye patterns
312 were mainly characterized by matrix flow and occasional ‘fingering’ (Figure 4). Where
313 macropore flow reached greater depth, it mainly occurred through worm holes or along
314 old roots and was characterised by relatively limited interaction with the soil matrix.
315 Infiltration patterns in the reforestation and young natural fallow sites varied considerably
316 between sections and could not be characterized by a single dominant flow type. Because
317 of this large variability and the small number of blue dye experiments, these sites were
318 further analysed as one land-cover category (RF/NF).

319

320 <<Figure 4 >>

321

322 The difference in median maximum volume density between the forest sites and RF/NF
323 plots was significant, with the median value recorded for the forest (0.72) being much
324 larger than the median for the younger regrowth (0.23; Table 3). The fraction of stains
325 with a width larger than 20 cm was also greatest for the forest sites (median: 0.22) but the
326 difference with the other land cover types was not statistically significant (median of 0.00
327 for the RF/NF sites vs. 0.10 for the degraded land). The fraction of stains smaller than
328 2 cm was lower for the forest soil sections (median: 0.37) than for the RF/NF (median:
329 0.49) and degraded land sections (median: 0.63). Even though these differences were not

330 statistically significant, they do suggest a trend towards more and larger macropores and
331 especially increased interaction with the soil matrix as vegetation regrows and the soil
332 recovers (Table 3). Further support for the increased importance of preferential flow
333 pathways in the sites with more mature vegetation comes from the fact that top-soil K_{sat}
334 (0–10 cm) was inversely correlated ($r^2 = 0.72$) with the total blue-stained area (median of
335 the 6 sections) per site; sites dominated by matrix flow had the largest blue dye stained
336 area in the upper soil layers (Figure 5 and Supplementary Material 5).

337

338 <<Table 3>>

339

340 <<Figure 5>>

341

342 **Discussion**

343 *Limitations of the space-for-time substitution approach*

344 Although the limitations of using space-for-time substitutions are well recognised (Pickett,
345 1989), very few studies of changes in saturated soil hydraulic conductivity (K_{sat}) during
346 tropical vegetation regrowth on degraded soils have taken measurements in (near-) real
347 time after abandonment of agricultural land for cropping or grazing, or after tree planting
348 (e.g. Zimmermann et al., 2010; Patin et al., 2012; Ghimire et al., 2014). Like we did here,
349 the overwhelming majority of studies employed a space-for-time substitution (vegetation
350 chrono-sequences) approach for practical reasons (e.g. Gilmour et al., 1987; Deuchars et
351 al., 1999; Ziegler et al., 2004; Zimmermann et al., 2006; Hassler et al., 2011). An
352 important challenge in using chrono-sequences when investigating the impact of land-

353 cover change over time is to eliminate the influence of inherent differences in soil
354 characteristics between sites and to reconstruct the land-use history at a particular location.
355 The first obstacle can be largely overcome by carefully selecting sites that have the same
356 soil type (Zimmermann et al. 2006). In this study, all plots were on the same metamorphic
357 rock type, had a similar soil type and sub-soil textural differences between land-cover
358 types were not statistically different. Further, K_{sat} was not strongly correlated with soil
359 physical characteristics like bulk density or porosity, which suggests that differences in
360 soil type or texture did not affect K_{sat} as much as land-cover type and that there was
361 sufficient initial pedological homogeneity to allow the respective sites to be compared.
362 Precise land-use history in the study area varies at a very fine scale across the landscape
363 and finding sites with a truly identical history to group together is difficult or impossible.
364 However, by using a combination of available secondary data (i.e. shape files from the
365 TAMS reforestation project; Conservation International, 2011), indicator plant species,
366 and key informant interviews we were able to identify sites falling into general categories
367 of past land use. Detailed interviews with local people at each site gave additional
368 information (such as time since abandonment), which allowed us to explore the impact of
369 land-use history on K_{sat} .

370 *Effect of land-cover type on saturated soil hydraulic conductivity*

371 We observed much lower K_{sat} -values in the natural fallow sites and, especially, the
372 degraded land sites than in the forest sites (Table 2 and Figure 2). The differences in K_{sat}
373 between the degraded sites and the forest sites persisted to 30 cm depth. This suggests that
374 repeated burning and cropping cycles, combined with shortening recovery periods (Styger
375 et al., 2007), has a negative impact on soil hydrological properties down to a depth of at
376 least 30 cm. This finding is similar to that of Ziegler et al. (2004), who found K_{sat} at 40–

377 70 cm depth beneath recently abandoned swidden fields and up to ~20 year old
378 regenerating vegetation in northern Vietnam to be much lower than in the (disturbed)
379 forest (median values of 15–45, 35–50, and 80–85, mm h⁻¹, respectively). Zimmermann et
380 al. (2006), working in SW Brazil, found that clearance for swidden agriculture followed
381 by a single season of cropping and 15 years of regrowth caused a hydrologically
382 insignificant decrease in soil infiltration capacity (from 1690 to 940 mm h⁻¹, i.e. well
383 above maximum rainfall intensities), but they found a more pronounced effect when
384 clearance was followed by two years of cultivation and 20 years of grazed pasture (median
385 K_{sat} of 113 mm h⁻¹). These effects were noticeable to at least 20 cm depth, although the
386 magnitude of change diminished rapidly with depth (Zimmermann et al., 2006).

387 Our median K_{sat} -values for the top-soil are similar to those of Bailly et al. (1974), who
388 worked in our study area during the 1960s and early 1970s (724 mm h⁻¹ vs. 720 mm h⁻¹ for
389 the forest sites and 161 mm h⁻¹ vs. 115 mm h⁻¹ for the young fallows/‘old bush’ sites).
390 However, literature values of median infiltrabilities for relatively young regenerating
391 forests (<10 years) replacing grazed pasture or swidden agriculture elsewhere in the
392 tropics are generally much lower (32–38 mm h⁻¹; Ziegler et al., 2004; Hassler et al., 2011)
393 than we recorded for the 6–9 year-old reforestation sites (203 mm h⁻¹, Table 2).
394 Corresponding published values for slightly older (12–20 years) successional vegetation
395 range from approximately 65 mm h⁻¹ (Ziegler et al., 2004) through 160 mm h⁻¹ (Hassler et
396 al., 2011) to 495–945 mm h⁻¹ (Deuchars et al., 1999; Zimmermann et al., 2006). Our
397 results for the semi-mature forest sites, which contain many trees that are 15-20 years old
398 fall also in the higher range of these values, although they cannot be direct compared
399 because they were never burned or cultivated. These differences in the median K_{sat} -values
400 for our study sites and the literature values for other tropical areas likely reflect differences
401 in the intensity of the disturbance regime and initial K_{sat} upon land abandonment (i.e. level

402 of past soil degradation), as well as climatic (notably seasonality and length of dry season)
403 and inherent edaphic factors (soil fertility) that affect the rate of regrowth and
404 development of soil biological activity (Deuchars et al., 1999; Hairiah et al., 2006; Colloff
405 et al., 2010).

406 *Recovery of soil hydrological functioning*

407 We found that surface K_{sat} increased with time since agricultural abandonment when the
408 results for the natural fallow and reforestation sites were combined but the trend was not
409 significant when considering the NF sites only. Median values of K_{sat} at 20–30 cm depth
410 did not differ significantly for the natural fallow and reforestation sites either (Table 2).
411 Therefore, it is not clear from the measurements whether active reforestation decreases the
412 time needed for hydrological restoration of the soil compared to natural regeneration. This
413 could be due to the limited number of measurements ($n = 30$ for NF and $n = 20$ for RF) or
414 that any difference is masked by differences in land-use history and the degree of
415 degradation prior to agricultural abandonment. In addition, the time since planting (6–9
416 years) or land abandonment (2–10 years) was likely too short to distinguish between the
417 two regenerative pathways. Unfortunately, older regenerating sites and reforested sites
418 cannot be found in the area. We, therefore, suggest that our measurements should be
419 repeated in the future (e.g. five and ten years and even further after the current
420 measurements) as the effects of active reforestation versus natural regeneration may take
421 longer to manifest than the age of sites available in this study.

422 We found no significant differences in K_{sat} at 10–20 cm or 20–30 cm below the surface
423 between the natural fallow sites and the degraded grassland sites. Nor did we find a
424 significant increase in sub-soil K_{sat} with time since land abandonment (Table 2). This
425 suggests that the subsurface K_{sat} requires a (much) longer time to recover than surface K_{sat} .

426 Similar findings have been reported by Ziegler et al. (2004), Zimmermann et al. (2006),
427 and Hassler et al. (2011).

428 An increase in top-soil saturated hydraulic conductivity with time following the cessation
429 of agricultural activity has been reported in several other tropical studies (e.g., Deuchars et
430 al., 1999; Ziegler et al., 2004) but certainly not by all (e.g. de Moraes et al., 2006;
431 Zimmermann and Elsenbeer, 2008; Zimmermann et al., 2010). Patin et al. (2012) observed
432 a nearly eight-fold increase in surface K_{sat} under fallow vegetation (no age given) during
433 annual repeated measurements over a period of six years (from 23 to 176 mm h⁻¹) in Laos.
434 Similarly, large contrasts in the recovery of surface K_{sat} have been reported after
435 abandonment of grazing land. Hassler et al. (2011) found an initial improvement in
436 median infiltrability after eight years of forest regeneration in Panamá (from 23 to 38
437 mm h⁻¹) followed by a rapid increase to 160 mm h⁻¹ for 12–15 year-old regrowth,
438 compared to 235 mm h⁻¹ under old-growth forest. On the other hand, Zimmerman et al.
439 (2010) measured infiltrability and near-surface K_{sat} for seven consecutive years during
440 natural succession on an abandoned pasture in SW Brazil and found a slight but non-
441 significant recovery during this period. A similar lack of change in surface K_{sat} has been
442 reported by Zimmermann and Elsenbeer (2008) for 10-year-old regrowth in the
443 Ecuadorian Andes. They attributed this to arrested regeneration because succession was
444 dominated by bracken that prevented the establishment of pioneer tree species. While
445 Colloff et al. (2010) showed a steady increase in surface K_{sat} and macropores with age of
446 plantations of eucalypts and *Acacias*, the difference with nearby pastures only became
447 significant after more than 11 years of growth.

448 Ilstedt et al. (2007) suggested in their review of the scant tropical literature that a three-
449 fold increase in surface K_{sat} may be achieved, although they were hesitant to attach a time

450 frame given the paucity of good-quality data. Ziegler et al. (2004) considered a natural
451 succession period of at least 25 years to be necessary to recover most of the surface K_{sat}
452 after 2–4 years of swidden cultivation in upland Vietnam. Extrapolation of the initial
453 changes in infiltrability measured by Hassler et al. (2011) in Panamá suggests that full soil
454 physical recovery there might be achieved within ca. 20 years. Ghimire et al. (2014),
455 however, cautioned that reforestation *per se* does not guarantee an increase in K_{sat} and the
456 restoration of the hydrological system if a site is not properly managed (e.g. fire
457 disturbance or repeated harvesting of litter and branches for animal bedding and
458 fuelwood). In such cases, surface K_{sat} -values may actually decrease again with time
459 (Ghimire et al., 2014; Lacombe et al., 2015).

460 *Effect of land use on preferential flow pathways*

461 The infiltration capacity of clayey and silty soils is primarily affected by their organic
462 matter content and the abundance and connectivity of preferential flow pathways
463 (Deuchars et al., 1999; Zhou et al., 2008). The blue dye patterns observed in this study
464 suggest that preferential flow caused the infiltration rates to be highest for the semi-mature
465 forest sites and lowest for the degraded land. Generally, the infiltration pattern was more
466 uniform in the higher-conductivity top layer which is relatively rich in organic matter,
467 while percolation in the lower-conductivity and more clayey layer at around 7.5–15 cm
468 depth, occurred mainly along preferential flow pathways (Figure 4). The preferential flow
469 pathways were most abundant in the forest sites and less abundant in the reforestation,
470 natural fallows and degraded land sites. There were fewer preferential flow pathways in
471 the degraded sites, but where they occurred, they allowed water to move deeper than in the
472 forest sites, in part because of the lower interaction with the matrix. While the dye
473 experiments were useful to visualize the differences in the infiltration and percolation

474 pathways, the number of experiments was too small to determine any statistically
475 significant differences in the dye patterns between the land cover types. Additional
476 experiments are thus needed to see if there are differences in the maximum depth of the
477 dye or the volume density. These measurements could be combined with the device
478 advanced by Mendoza and Steenhuis (2002) that allows the separate measurement of
479 vertical and lateral fluxes.

480 A clear increase in preferential flow pathways (relative to those in adjacent pastures and
481 young tree plantations) was noted by Colloff et al. (2010) for 11–20-year-old tree
482 plantations, with most of the macropores attributed to the activity of soil invertebrates like
483 ants and termites. Hanson et al. (2004) showed for a site in Honduras that high surface
484 infiltration rates and well-connected preferential flow channels in an aggregated clayey
485 soil beneath primary forest resulted in rapid vertical infiltration to a depth of 35 cm.
486 However, in a nearby degraded grassland site that had been subject to repeated slash and
487 burn activity, infiltration rates were very low and excessive lateral flow occurred at and
488 just beneath the surface and very little water infiltrated below 10 cm, even though
489 macropores were present below this depth. These findings were explained in terms of
490 blocking of near-surface macropores by fine sediment that was washed in from upslope
491 (Hanson et al., 2004). Benegas et al. (2014) reported that in a mixed land-use setting (tree
492 clumps within grazed pasture) in Costa Rica, preferential flow was only dominant close to
493 mature trees, while matrix flow increased with distance from the trees. This effect was
494 attributed to the combined action of tree root- and soil faunal activity beneath and in the
495 vicinity of trees (Benegas et al., 2014). Bachmair et al. (2009) studied dye infiltration
496 patterns in Germany in tilled and untilled farmland, pasture and deciduous forest and
497 found large differences in maximum infiltration depth for the different land uses. They
498 also found that larger rainfall applications resulted in deeper infiltration, except under

499 forest. We did not find significant differences in the maximum depth of infiltration (or any
500 other parameter describing the blue dye patterns) for the 20 and 40 mm applications
501 (Table 3). Instead, the blue dye patterns and K_{sat} profiles with depth suggest that most of
502 the infiltrated water stays in the top 30 cm of the soil or results in shallow lateral flow with
503 very little water percolating through the denser clay layers below.

504 *Implications for runoff generation processes*

505 Whether rainfall infiltrates into a soil or flows along the surface depends largely on the
506 magnitude of the surface K_{sat} relative to the prevailing rainfall intensity, and, in addition,
507 on the change in K_{sat} with depth (Elsenbeer, 2001; Bonell, 2005). The relatively high
508 surface K_{sat} -values exceed most rainfall intensities observed in the study area, suggesting
509 that infiltration-excess overland flow is a rather rare phenomenon. However, K_{sat}
510 decreased sharply with depth for all land-cover types (Figure 2 and Table 2), as was also
511 reported for many other tropical studies (Godsey and Elsenbeer, 2002; Ziegler et al., 2004;
512 Zimmermann et al., 2006, 2010; Hassler et al., 2011). Rain water will thus percolate
513 vertically through the soil profile until meeting the first layer that has a lower K_{sat} than the
514 incident precipitation rate, and will then start to accumulate above this layer (Figure 6).
515 Depending on the magnitude of the lateral K_{sat} and the slope gradient, water will either
516 flow laterally above this impeding layer or saturate the soil layers above it. For large
517 rainfall events coinciding with high antecedent soil moisture conditions, this can lead to
518 saturation-excess overland flow (Elsenbeer, 2001; Bonell, 2005). Because of the relatively
519 low K_{sat} -values observed already at 10–20 cm depth in the young natural fallow sites and
520 the degraded sites (Table 2), less water will be needed there to fully saturate the soil and
521 generate saturation-excess overland flow at these sites than at the forest sites (Figure 6).
522 This difference can reflect the removal of the top layer in the more degraded sites by

523 surface erosion during past cultivation periods (cf. Ziegler et al., 2004). In fact, surface
524 runoff was observed after 30 minutes of application of the blue dye at site number 15
525 (degraded land).

526

527 <<Figure 6>>

528

529 Enhanced surface runoff in the form of saturation- or infiltration-excess can lead to higher
530 peak flows, more soil erosion and subsequent declines in soil fertility and water quality
531 (Ziegler et al., 2009). It can also lead to decreased groundwater recharge and potentially
532 lower streamflow during the dry season (Bruijnzeel, 2004). Bailly et al. (1974) conducted
533 long-term catchment and erosion studies across Madagascar, including several sites
534 located near the current field sites. The catchment of Bailly et al. (1974) that was
535 classified as being under naturally regenerating vegetation (*'brousse'*, no age given but
536 presumably less than 10 years old) was characterized by greater volumes of surface runoff
537 and higher peak flows compared to a nearby closed-canopy forest catchment. However,
538 Lacombe et al. (2015) reported gradually diminishing streamflow during 12 years of
539 natural regeneration in an area previously under swidden cultivation in Vietnam. Flows
540 declined both during the wet and the dry season due to a combination of better infiltration
541 and higher vegetation water use as the area under secondary forest expanded and matured
542 over time. Conversely, Beck et al. (2013) did not find any statistically significant trends in
543 long-term streamflow characteristics (high flows or low flows) when combining the
544 results for twelve meso-scale catchments in Puerto Rico undergoing major changes in
545 secondary forest cover. Different results were obtained for individual catchments,
546 suggesting significant spatial heterogeneity and highlighting the importance of including

547 multiple sites when analysing land-cover impacts on hydrological functioning of tropical
548 catchments.

549 **Conclusions**

550 Swidden agriculture continues to be an important land-use practice in many tropical forest
551 areas. Understanding its influences on important soil- and water-related ecosystem
552 services is therefore important. Our study in eastern Madagascar shows that land
553 degradation, which can arise from swidden agriculture with short fallow cycles, changes
554 soil functioning in ways that reduce rainfall infiltration. Infiltration into the forest soil was
555 dominated by preferential flow with a high interaction with the soil matrix, while
556 infiltration in the degraded land was mainly due to matrix flow in the top soil layers. We
557 found a sharp decline in soil hydraulic conductivity with depth and a low hydraulic
558 conductivity relative to the prevailing rainfall intensities in the degraded sites, which
559 suggest that saturated overland flow in the degraded land is common. Enhanced overland
560 flow occurrence can result in progressive soil erosion and degradation and diminished
561 rates of soil water- and groundwater recharge, which may ultimately impact dry-season
562 flows in streams and rivers.

563 Our results, further, suggest that saturated soil hydraulic conductivity at the surface
564 increased after several years of land abandonment and forest regrowth. However, we
565 found no significant differences at 20–30 cm depth. Full hydrological recovery of
566 degraded sites with vegetation regrowth may, therefore, take several decades. Due to
567 differences in soil degradation before reforestation or natural regrowth, and the short time
568 span since reforestation (< 10 years), it remains unclear whether active replanting
569 decreases the time required for soil hydrological restoration. Given the interest in active
570 forest restoration in Madagascar, as in many other areas of the tropics, further work is

571 needed to more fully understand the rates at which soil hydrological functioning can be
572 rebuilt and to quantify the extent to which active replanting, rather than passive
573 regeneration, can contribute to more rapid rebuilding of soil- and water-related ecosystem
574 services.

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591 Research ethics

592 The research was approved under the Bangor University Research Ethics framework. Soil
593 pits were backfilled to minimise damage. Field work was conducted under permits
594 provided by the Madagascar Ministry of Environment, Ecology, Sea and Forests

595 (050/14/MEF/SG/DGF/DCB.SAP/SCB) and with permission from the local authorities
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598

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801

802 Table 1: Indicator species and fallow succession stages based on Styger et al. (2007),
 803 Klanderud et al. (2010), and Schatz (2005).

Species	Family	Fallow stage	Cropping / fallow cycle
<i>Solanum mauritianum</i> Scop.	Solanaceae (tree)	Tree fallow	1
<i>Clidemia hirta</i> (L.) D. Don	Melastomataceae (tree/shrub)	Shrub fallow (not dominant)	-
<i>Cryptocarya</i> R. Br.	Lauraceae (tree)	-	-
<i>Croton</i> L. sp.	Euphorbiaceae (tree)	Tree/shrub fallow	1 – 2
<i>Tambourissa</i> Sonn.	Monimiaceae (tree)	Tree/shrub fallow	1 – 2
<i>Trema orientalis</i> (Blume)	Ulmaceae (tree)	Tree fallow	1 – 2
<i>Harungana madagascariensis</i> Lam. Ex Poir	Clusiaceae (tree/shrub)	Tree/shrub fallow	1 – 5
<i>Psiadia altissima</i>	Asteraceae (shrub/tree)	Tree/shrub fallow	1 – 6
<i>Aframomum angustifolium</i> (Sonn.) K. Schum	Zingiberaceae (shrub, perennial herbaceous)	Shrub fallow (not dominant)	2 – 6
<i>Lantana camara</i> L. (invasive)	Verbenaceae (shrub)	Shrub fallow	2 – 6
<i>Rubus moluccanus</i> L. (invasive)	Rosaceae (shrub)	Shrub fallow	2 – 6
<i>Imperata cylindrica</i> (L.) Raeusch.	Poaceae (herb/grass)	Shrub fallow / grassland	> 3
<i>Pteridium aquilinum</i> (L.) Kuhn	Dennstaedtiaceae (herbaceous, fern)	Shrub fallow	3 – 7
<i>Sticherus flagellaris</i> (Bory ex Willd.) Ching	Gleicheniaceae (fern)	Shrub fallow	3 – 7
<i>Aristida similis</i> Steud	Poaceae (herb/grass)	Shrub fallow / grassland	> 6
<i>Hyparrhenia rufa</i> (Nees) Stapf	Poaceae (herb/grass)	Shrub fallow / grassland	> 6
<i>Psorospermum Spach</i>	Clusiaceae (shrub)	Shrub fallow / grassland	> 6

804 Table 2: Median soil hydraulic conductivity (K_{sat}) and sand, silt and clay fractions, per
 805 land-cover type. Different superscript letters denote statistically significant differences
 806 between the land cover types.

	F	RF	NF	DL
K_{sat} 0–10 cm [mm h ⁻¹]	724 ^a	203 ^{ab}	161 ^{ab}	45 ^b
K_{sat} 10–20 cm [mm h ⁻¹]	87 ^a	56 ^a	14 ^b	20 ^b
K_{sat} 20–30 cm [mm h ⁻¹]	4.3 ^a	0.9 ^{ab}	0.9 ^{ab}	0.8 ^b
Sand [%]*	29.2	31.6	30.6	19.8
Silt [%]*	27.2	26.7	21.3	26.0
Clay [%]*	43.7	40.5	45.8	53.3

807 *No statistically significant differences found between land covers. Number of soil texture samples: F: 4;
 808 RF: 6; NF: 11; DL: 8.

809

810 Table 3: Median values for the characteristics describing dye tracer patterns. Different
 811 superscript letters denote statistically significant differences between land-cover types.

	F	RF/NF	DL
Maximum depth of infiltrated blue dye [cm]	31 ^a	25 ^a	35 ^b
Maximum dye volume density [-]	0.72 ^a	0.23 ^b	0.53 ^{ab}
Fraction of stains smaller than 2 cm [-]	0.37 ^a	0.49 ^a	0.63 ^a
Fraction of stains larger than 20 cm [-]	0.22 ^a	0.0 ^a	0.10 ^a
Size of the stained area [cm ²]	364 ^{ab}	114 ^a	567 ^b

812