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**International Journal of Environmental Technology and Management**

DOI:

[10.1504/IJETM.2016.074799](https://doi.org/10.1504/IJETM.2016.074799)

Published: 01/01/2016

Peer reviewed version

[Cyswllt i'r cyhoeddiad / Link to publication](#)

*Dyfyniad o'r fersiwn a gyhoeddwyd / Citation for published version (APA):*

Gough, R., Cohen, Y., Fenner, N., Cannon, J., & Freeman, C. (2016). Relationships between reservoir water quality and catchment habitat type. *International Journal of Environmental Technology and Management*, 19(1), 16-39. <https://doi.org/10.1504/IJETM.2016.074799>

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# 1 Relationships between reservoir water quality and catchment 2 habitat type

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8

## 9 Abstract

10 Numerous catchment characteristics including topography, geology, soil and vegetation are reported  
11 to exert a strong influence on mean surface water properties. The present study employs a  
12 geographical information system (GIS) approach to examine, for the first time, the relationship  
13 between reservoir water quality (dissolved organic carbon (DOC) concentration, colour, nitrate  
14 concentration and pH) and catchment Phase 1 Habitat coverage. Analysis was conducted on 2  
15 occasions and at 2 different spatial scales. Numerous statistically significant correlations were  
16 identified, suggesting the use of Phase 1 Habitat data could help improve predictive models of  
17 surface water quality. The occurrence and strength of correlations varied seasonally in response, we  
18 argue, to temporal variations in hydrological regime and anthropogenic activity. The data also  
19 suggest that the proximity of habitat types to the reservoir is significant in affecting reservoir water  
20 quality. The findings are used to recommend suitable measures for drinking water companies to  
21 mitigate against water quality issues.

22 **Key words:** dissolved organic carbon; drinking water; catchment; Phase 1 Habitat; soil; geographical  
23 information system.

## 24 **1. Introduction**

25 The biogeochemical properties of surface waters are acquired, to a large extent, during the passage  
26 of water through the catchment due to the interaction of water with vegetation, soils and mineral  
27 layers. Various organic and inorganic compounds will be solubilised and transported downstream  
28 during runoff, influencing solute concentrations, pH and ionic strength (Stutter et al., 2006).

29 Although surface water quality exhibits temporal variations in response to weather events and  
30 seasonal drivers (Gergel et al., 1999; Scott et al., 1998; Soulsby et al., 2006), physical catchment  
31 characteristics including topography, geology, soil and vegetation type will, to a large extent,  
32 determine mean biogeochemical characteristics (Billett and Cresser, 1992; Clair et al., 1994; Holden  
33 et al., 2007; Hope et al., 1994; Sobek et al., 2007). Amongst these variables, soil type is widely  
34 considered to represent a dominant control on surface water composition and quality (Aitkenhead  
35 et al., 1999; Billett and Cresser, 1992; Hope et al., 1997; Soulsby et al., 2006; Stutter et al., 2006).

36 Though its development is strongly influenced by other catchment features including soil  
37 characteristics, habitat type may also be an important factor affecting surface water quality.  
38 Vegetation type influences catchment hydrology, primary production and organic matter inputs  
39 (Ordóñez et al., 2008; Zhang et al., 2011), which affect soil composition and chemistry and in turn,  
40 drainage water quality. Forested catchments for example, have been associated with the production  
41 of dissolved organic carbon (DOC)-rich drainage waters (Grayson et al., 2012; Hope et al., 1994) with  
42 differences in DOC concentration and flux also reported between different tree species (Chow et al.,  
43 2009; Fröberg et al., 2011; Gough et al., 2012). Wetland habitat coverage is also reported to be a  
44 strong predictor of surface water DOC concentration (Gergel et al., 1999; Hope et al., 1994).

45 Surface water characteristics can also be strongly affected by anthropogenic activity. For example,  
46 the application of agricultural fertilisers has been associated with significant leaching of nutrients  
47 (nitrates and phosphates) into surface waters (Badruzzaman et al., 2012). Elevated nutrient  
48 concentrations may in turn result in eutrophication and algal blooms (Correll, 1998; Freeman et al.,

49 2009; Hecky and Kilham, 1988; Vollenweider, 1968), which are particularly problematic in drinking  
50 water supplies (Smith, 1998). Liming of agricultural land has been associated with increased surface  
51 water pH (Hindar et al., 2003). Drainage of wetland habitats in an attempt to improve their  
52 economic value has also been linked to elevated colour and DOC concentrations in surface waters  
53 (Holden et al., 2004; Wallage et al., 2006).

54 The Phase 1 Habitat Survey of Wales, completed by the Countryside Council of Wales (CCW) in 1997,  
55 provides a record of habitat coverage and land use (Howe et al., 2005). In its digitised form, using  
56 geographical information system (GIS) software, the data offers a useful means of measuring the  
57 spatial extent of different habitat types within catchments. Since the classification scheme includes  
58 both natural habitats and anthropogenic features (e.g. arable land and improved grassland), the data  
59 holds significant potential for researchers concerned with investigating catchment influences on  
60 surface water quality. The characteristics of surface waters supplying drinking water treatment  
61 works (WTWs) is important for water companies which have a responsibility to provide a safe and  
62 reliable drinking water supply for their customers. The concentration of DOC in surface waters is  
63 particularly important, with the removal of DOC from drinking water supplies representing the single  
64 biggest treatment cost for the water treatment industry (Watts et al., 2001). Elevated DOC  
65 concentrations in raw water can inflate treatment costs by increasing the coagulant and disinfectant  
66 doses required (Chow et al., 2005; Edzwald, 1993) and the frequency of filter backwashes (Eikebrokk  
67 et al., 2004). DOC in finished water is problematic since it can cause undesirable colour, odour and  
68 taste (Davies et al., 2004; WHO, 2011), transports organic and inorganic micro-pollutants (Gao et al.,  
69 1998; Rothwell et al., 2007) and leads to bacterial regrowth in distribution systems (Prévost et al.,  
70 1998). Crucially, DOC also acts as a precursor to potentially harmful disinfection by-products (DBPs)  
71 including trihalomethanes (THMs). These are formed during chlorination, a treatment necessary to  
72 ensure that finished water meets microbiological safety standards (WHO, 2011).

73 Rising surface water DOC concentrations have been observed in many areas of central and northern  
74 Europe and North America in the past couple of decades (Freeman et al., 2001; Hejzlar et al., 2003;  
75 Monteith et al., 2007; Skjelkvåle et al., 2005; Stoddard et al., 2003; Worrall et al., 2003). In the UK,  
76 measurements undertaken at 22 upland sites showed a mean increase in DOC concentration of 91%  
77 between 1988 and 2003 (Evans et al., 2005). DOC concentrations tend to be highest, and rising most  
78 rapidly in peat-dominated, upland catchments (Freeman et al., 2001), which in the UK, supply over  
79 70% of drinking water (Watts et al., 2001). In this context of declining surface water quality,  
80 developing a better understanding of catchment influences is crucial for the drinking water industry.  
81 The importance of catchment characteristics in affecting the quality of drinking water supplies is  
82 recognised by the UK drinking water regulator, the drinking water inspectorate (DWI) who  
83 recommend that “*catchment and raw water source protection*” is included in the drinking water  
84 safety plans of drinking water providers (DWI, 2005).

85 A GIS approach, which can offer an effective means of visualising and measuring landscape features  
86 is increasingly being used in the study of catchment influences on hydrochemistry. GIS software has  
87 become an important tool in the modelling of hydrological processes and its use in developing  
88 predictive models for various water quality parameters within catchments based on land use and  
89 other catchment characteristics is particularly relevant for water treatment companies. For example  
90 Foster and McDonald (2000) used GIS and spatially referenced data on pastoral farming intensity to  
91 model and display sources of cryptosporidium risk in drinking water catchments. Lake et al. (2003)  
92 developed a nitrate leaching model using GIS and information on a number of physical catchment  
93 characteristics. This was used to identify areas of groundwater vulnerable to nitrate pollution.  
94 Recently, Grayson et al. (2012) used a GIS approach, and ITE land cover data (similar to Phase 1  
95 Habitat data) to identify correlations between drinking water reservoir colour and the spatial extent  
96 of different land cover classes. A multicriteria evaluation approach was then used to develop a  
97 predictive model for water colour production potential in the catchments and create a colorimetric,

98 risk-based map from the data. However, as yet, the use of Phase 1 Habitat data for predicting  
99 catchment water quality has not been explored.

100 This study investigates potential relationships between Phase 1 Habitat classes and reservoir water  
101 quality (DOC concentration, colour, nitrate concentration and pH). GIS mapping was used to  
102 measure the spatial extent of Phase 1 Habitat types in 16 drinking water reservoir catchments in  
103 north Wales. Correlation analysis was then used to identify statistically significant relationships  
104 between these land cover classes and reservoir water quality in spring and autumn. Analyses were  
105 carried out both at a whole-catchment scale, and in a 250 m buffer zone surrounding the reservoirs  
106 in order to assess the importance of proximity in the occurrence and strength of the correlations.  
107 Such research is important for informing future catchment management practices. Identifying  
108 problematic land cover will also help water treatment companies target monitoring programmes  
109 and mitigation strategies, and improved understanding of seasonality in raw water quality will also  
110 enable better optimization of treatment processes.

## 111 **2. Methods**

### 112 *2.1. Study sites and sampling regime*

113 Water samples were collected on 2 occasions (in September 2007 and March 2008) from the raw  
114 water (i.e. pre-treatment) supply of 16 WTWs in north Wales. Where the raw water supply was  
115 derived from more than 1 reservoir, composite samples were collected, and Phase 1 Habitat data  
116 was also combined. The timing of sampling was chosen to correspond with the seasonal maximum  
117 (autumn) and minimum (spring) in reservoir DOC concentration. 14 of the WTWs included in this  
118 study are located in upland catchments, with the remaining 2 situated in lowland, agricultural areas.  
119 Uplands are defined as areas more than 250 m above sea level (Mitchell, 1991). These areas are  
120 typically characterised by high rainfall, low mean temperatures and acidic soils (Foster and  
121 McDonald, 2000).

122 *2.2. Hydrochemical analysis*

123 pH was measured on un-filtered samples using a Mettler Toledo S20 pH meter (Mettler Toledo,  
124 Leicester, UK), calibrated daily with pH 4 and pH 7 reference standards (Sigma-Aldrich, Dorset, UK).

125 Colour measurements (Hazen) were obtained from WTW data at the time of sample collection. One  
126 degree Hazen ( $1 \text{ mg L}^{-1} \text{ Pt/Co}$ ) is defined as the colour produced by  $1 \text{ mg L}^{-1} \text{ Pt}$  (as  $\text{K}_2\text{PtCl}_6$ ) in the  
127 presence of  $2 \text{ mg L}^{-1}$  cobalt (II) chloride hexahydrate (Mitchell and McDonald, 1992).

128 Before DOC measurement, samples were passed through a  $0.45 \text{ }\mu\text{m}$  cellulose acetate filter to  
129 remove particulate organic carbon, as per the operational definition of DOC (Thurman, 1985). DOC  
130 concentrations were determined using a Shimadzu Total Organic Carbon 5000 analyser (Shimadzu,  
131 Milton Keynes, UK), with a carrier gas of high purity air at a flow rate of  $150 \text{ mL min}^{-1}$  and a  $33 \text{ }\mu\text{L}$   
132 injection volume. Calibration was performed with a one point calibration, using a  $100 \text{ mg L}^{-1} \text{ KO}_4\text{H}_5\text{C}_8$   
133 solution (total organic carbon – TOC) and a  $100 \text{ mg L}^{-1} \text{ Na}_2\text{CO}_3/100 \text{ mg L}^{-1} \text{ NaHCO}_3$  solution (inorganic  
134 carbon – IC). DOC concentrations were calculated by subtracting IC values from TOC values. Analysis  
135 of TOC and IC standard solutions at  $10 \text{ mg L}^{-1}$  intervals demonstrated that the analyser performed  
136 linearly from 0 to  $200 \text{ mg L}^{-1}$ , with  $r^2$  values  $> 0.9$ . All reagents were supplied by Sigma-Aldrich,  
137 Dorset, UK.

138 Nitrate concentration was determined using a Dionex DX-120 ion chromatograph equipped with an  
139 IonPac AS14A anion analytical column (both Thermo Scientific, Hertfordshire, UK). The eluent was a  
140  $1.0 \text{ mM Na}_2\text{HCO}_3/8.0 \text{ mM NaCO}_3$  solution (reagents supplied by Sigma-Aldrich, Dorset, UK) made  
141 with Milli Q water and the flow rate,  $1 \text{ mL min}^{-1}$ . Concentrations were determined using a five point  
142 calibration with standard Dionex solutions.

143 *2.3. Geographical information systems (GIS) analysis*

144 Version 9.2 of the ArcGIS package (ESRI, Buckinghamshire, UK) was used to display and quantify the  
145 spatial extent of habitat types within each reservoir catchment. First, the watersheds associated



146 with each reservoir were mapped. This was achieved using the *Hydrology* functions in the *Spatial*  
147 *Analyst* extension and a digital elevation model downloaded from Digimap (EDINA, 2014) (10 m  
148 resolution). Defined watersheds were then clipped to other GIS layers displaying habitat type.  
149 Habitat information was displayed using digitised version of the Phase 1 Habitat Survey of Wales  
150 (Howe et al., 2005). In addition to this whole-catchment analysis, habitat coverage was also  
151 measured in a 250 m-wide zone around the perimeter of each reservoir.

#### 152 *2.4. Statistical analysis*

153 For statistical analysis, Phase 1 Habitat categories were organized into more generalised groupings  
154 (Table 1). Statistical analysis was performed using version 20 of the SPSS statistical package (IBM,  
155 New York, USA). Depending on the conditions satisfied by the data, Pearson's correlation and  
156 Spearman's correlation analyses was employed to test for significant correlations between Phase 1  
157 habitat type coverage and reservoir water quality. This analysis was also performed using the subset  
158 of Phase 1 Habitat data covering a zone of 250 m directly adjacent to the reservoir.

### 159 **3. Results and discussion**

#### 160 *3.1. DOC and colour*

161 The absence of any statistically significant correlations between catchment woodland and scrub  
162 coverage and reservoir DOC concentration and colour (Table 2 and 3) is surprising given that  
163 previous research indicates a strong positive relationship between forest coverage and DOC  
164 concentration (Grayson et al., 2012; Hope et al., 1994). High DOC flux from forested catchments is  
165 partly due to high DOC loading as rainwater passes through above ground biomass (Kawasaki et al.,  
166 2005; Stevens et al., 1989) as well as the large source of leachable carbon in the litter layer (Hongve,  
167 1999). However, DOC concentrations are also reported to vary significantly between different tree  
168 species (Gough et al., 2012). Our habitat categories did not account for this potential variation,  
169 which may explain the absence of any statistically significant correlations in this study.

170 A moderate negative correlation was observed between unimproved grassland and spring DOC  
171 concentration at the whole catchment scale ( $p < 0.05$ ; Table 2) and no correlations between  
172 unimproved grassland and DOC or colour in the 250 m buffer zone analysis (Table 3). The negative  
173 correlation corroborates the findings of previous studies. For example, Grayson et al. (2012) report a  
174 significant negative correlation between water colour and moorland grass coverage across 18  
175 drinking water catchments in Yorkshire. In a UK-wide study, Armstrong et al. (2007) found that  
176 heather dominated, drained catchments produced the highest water colour followed by mixed  
177 vegetation and grass dominated catchments. Van den Berg et al. (2012) also reported lowest mean  
178 pore water DOC concentration in grassland sites compared with other vegetation categories  
179 (woodlands, heathlands and moorlands) in their survey of 41 UK sites. This association may relate to  
180 solubility controls since colour release in temperate grasslands is reported to be suppressed by  
181 acidic conditions (Hopkins et al., 1990; Miller, 2008). However, our unimproved grassland category  
182 included neutral and calcareous grassland and no correlations were identified in the present study  
183 between pH and unimproved grassland coverage.

184 Negative correlations were identified between tall herb and fern habitat coverage and autumn DOC  
185 concentration and colour at the whole catchment scale (both  $p < 0.05$ ; Table 2) and between tall  
186 herb and fern coverage and autumn colour in the 250 m buffer zone analysis ( $p < 0.05$ ; Table 3). At  
187 first this result seems unexpected since bracken coverage, which was dominant in this habitat class,  
188 has been associated with high primary productivity and the accumulation of large amounts of litter,  
189 forming a large pool of organic matter (Marrs et al., 2000). However, Potthast et al. (2012) observed  
190 that, compared with pasture land (*Setaria* grass) the litter present in bracken habitat showed a  
191 significantly lower rate of decay. In addition, they found a significant decrease in microbial biomass  
192 and activity when pasture land was invaded by bracken. Therefore, if bracken coverage tends to  
193 replace improved grassland habitats in drinking water catchments, its presence may reduce DOC  
194 production. The occurrence of these correlations in autumn may relate to this being the litter fall

195 period, when the leaching of DOC from decomposing litter would normally contribute significantly to  
196 DOC export (Kalbitz et al., 2000).

197 Negative correlations between heathland coverage and DOC concentration and colour occurred at  
198 both spatial scales. In the whole catchment analysis, heathland coverage displayed a moderate  
199 negative correlation with autumn DOC concentration and colour (both  $p < 0.05$ ) and a strong  
200 negative correlation with spring colour ( $p < 0.01$ ; Table 2). In the 250 m buffer analysis a moderate  
201 negative correlation was identified with autumn DOC concentration and colour (both  $p < 0.05$ ), a  
202 moderate negative correlation with spring DOC concentration ( $p < 0.05$ ) and a strong negative  
203 correlation with spring colour ( $p < 0.01$ ; Table 3). These negative relationships were surprising given  
204 that *Calluna*, a common species in heath habitats, has been reported to produce highly-coloured  
205 drainage water (Grayson et al., 2012). This has been attributed to their relatively dry soil conditions  
206 which confer high rates of aerobic microbial decomposition (Clutterbuck and Yallop, 2010). Many  
207 heath habitats were also formed as a result of peatland drainage. This former status is likely to  
208 further enhance DOC and colour release due to the large carbon stocks associated with peat  
209 substrate (Fenner et al., 2009). Conversely however, moisture constraints in heath habitats are  
210 reported to inhibit phenol oxidase activity (Toberman et al., 2008). According to the enzymic latch  
211 theory, this can suppress DOC production by causing an accumulation of phenolic compounds which  
212 inhibit the activity of hydrolase enzymes (Freeman et al., 2001). This may explain the negative  
213 correlations between heathland coverage and reservoir DOC concentration and colour observed in  
214 the present study. Overall, the relationship appeared stronger at the 250 m buffer scale, suggesting  
215 that proximity to the reservoir affected the degree to which this habitat influenced reservoir water  
216 quality.

217 At the whole catchment scale a moderate positive correlation was identified between fen/ mire  
218 habitat and autumn DOC concentration ( $p < 0.05$ ; Table 2). This habitat also correlated positively  
219 with autumn and spring DOC concentration in the 250 m buffer analysis (both  $p < 0.05$ ; Table 3).

220 Positive correlations between swamp coverage and reservoir DOC concentration and colour were  
221 also identified and were striking in terms of the strength of the correlations observed and their  
222 occurrence at both spatial scales and both sampling times (Table 2 and 3); all were strong positive  
223 correlations ( $p < 0.01$ ) except for the swamp/ autumn DOC concentration correlation at the whole  
224 catchment scale which was a moderate positive trend ( $p < 0.05$ ). These positive relationships are  
225 likely to be linked to the wetland status of these habitats. Percentage wetland coverage has been  
226 identified as an important predictor of stream water DOC concentration (Eckhardt and Moore, 1990;  
227 Gergel et al., 1999; Hope et al., 1994). A combination of high primary productivity and low  
228 decomposition rates causes the accumulation of deep layers of peat in wetland environments  
229 (Mitsch and Gosselink, 2000). The considerable depth of organic material in such environments  
230 provides a large pool of available carbon (Thurman, 1985) and the inhibitory effect of anaerobic  
231 conditions on microbial metabolism promotes the formation of DOC end products (Fenner et al.,  
232 2009). In addition, in wetland systems, the depth of the organic horizon limits contact between  
233 drainage waters and the adsorption sites within the mineral soil horizon, which also contributes to  
234 high DOC loading (Tipping et al., 1999). However, our data also show an absence of statistically  
235 significant correlations between DOC concentration/ colour and other habitat categories which are,  
236 or include, wetlands (marsh/ marshy grassland, bog, flush and spring). This suggests that the type of  
237 wetland present may be an important determinant of drainage water DOC concentration. It may be  
238 significant that, of these wetland habitat types, swamp and fen/ mire habitats tend to be more  
239 nutrient-rich than the other wetland habitats (Mitsch and Gosselink, 2000) which may support  
240 higher rates of primary productivity and thus a larger pool of organic carbon.

241 Strong positive correlations between arable coverage and DOC concentration were observed at the  
242 whole catchment scale in autumn and spring (both  $p < 0.01$ ; Table 2). Moderate positive correlations  
243 were also identified with colour at both sampling times (both  $p < 0.05$ ). The 250 m buffer zone could  
244 not be included in this analysis since there was only 1 catchment where arable land was present in  
245 this zone (Figure 1). The interpretation of these positive correlations is not straightforward since in

246 previous studies arable land use has been associated with lower carbon content than other land use  
247 types. For example, soil solution carbon concentrations for soils in northern Saskatchewan, Canada,  
248 decreased in the following order: aspen forest > recently cleared forest > wheat/ fallow field (McFee  
249 and Kelly, 1995). Similarly, in their review article, Chantigny (2003) reports that dissolved organic  
250 matter concentrations vary as follows: forest soils > grassland soils > arable soils. This variation, it is  
251 suggested, is partly due to differences in vegetation type (e.g. tree vs. herbaceous plant) (Chantigny,  
252 2003) as well as the lower carbon content associated with arable soils (Zsolnay, 1996). In addition,  
253 aerobic conditions, which tend to occur in arable soils encourage the complete mineralisation of  
254 organic matter to CO<sub>2</sub>, as opposed to DOC and CO<sub>2</sub> end products in anaerobic decomposition (Boddy  
255 et al., 2008; Fenner et al., 2009). However, water soluble carbon content in arable soils is also  
256 reported to vary depending on crop plants used (Zsolnay, 1996) and temporally, during crop cycles  
257 (Campbell et al., 1999) and with successive cultivations (Delprat et al., 1997). In addition, application  
258 of organic fertilisers on agricultural soils is reported to substantially increase the concentration of  
259 soluble organic carbon (Gregorich et al., 1998). Although it is not possible to isolate the cause of the  
260 positive correlations observed here between arable land use and DOC concentration/ colour, it is  
261 notable that the correlations occurred despite this land use being virtually absent in the reservoir  
262 250 m buffer zone.

263 At the whole catchment scale moderate positive correlations were identified between buildings  
264 coverage and autumn DOC concentration ( $p < 0.05$ ) and between the “other” category and autumn  
265 DOC concentration ( $p < 0.05$ ) and spring colour ( $p < 0.05$ ; Table 2). A moderate positive correlation  
266 was also found between buildings coverage and autumn DOC concentration in the 250 m buffer  
267 zone analysis ( $p < 0.05$ ; Table 3). Given the rural location of the catchments in the present study it is  
268 likely that farm buildings will account for a significant proportion of the buildings category. Indeed, a  
269 strong positive correlation between buildings and arable coverage was identified in the whole  
270 catchment data ( $r_s = 0.803$ ,  $p < 0.01$ ). This correlation may therefore explain the relationship  
271 between buildings and DOC concentration, though the reason for this being confined to the autumn

272 analysis is unclear. The correlations between the “other” category and DOC concentration and  
273 colour at the whole catchment scale are also difficult to interpret since this category includes  
274 unknown habitat classes (“not accessed” land and “illegible” data inputs). It may be significant  
275 however, that bare ground (J.4; Table 1) is included in this category, which may provide a source of  
276 readily-leachable organic matter.

### 277 3.2. Nitrate and pH

278 Strong positive correlations were observed between arable coverage and nitrate concentration in  
279 the whole catchment analysis in autumn and spring (both  $p < 0.01$ ; Table 2). This is likely to be  
280 caused by the leaching of organic or inorganic fertiliser (Neill, 1989). The stronger correlation in the  
281 spring analysis may be due to the timing of fertiliser application, which for arable crops tends to  
282 occur in late winter/spring (MAFF, 2000; Trudgill et al., 1991). As mentioned earlier, the 250 m  
283 buffer zone could not be included in the analysis due to there being only 1 catchment where arable  
284 was present in this zone. It is interesting therefore that strong correlations exist at the whole  
285 catchment scale despite arable coverage being virtually absent in the 250 m buffer zone. The  
286 application of fertiliser may also explain the strong positive correlations between improved  
287 grassland coverage and nitrate concentration in both the whole catchment analysis ( $p < 0.01$  in  
288 autumn and spring; Table 2), and the 250 m buffer zone analysis ( $p < 0.05$  and  $p < 0.01$  in autumn  
289 and spring, respectively; Table 3). Again, stronger correlations in the spring analysis at both spatial  
290 scales are likely to be due to the timing of fertiliser application. The strong positive correlations  
291 between woodland and scrub coverage and spring nitrate concentrations at both spatial scales (both  
292  $p < 0.01$ ; Table 2 and 3) may be explained by the application of fertiliser prior to tree planting in  
293 commercial forestry plantations (Drinan et al., 2013).

294 Moderate positive correlations were identified between fen/ mire coverage and reservoir nitrate  
295 concentration in spring sampling at the whole catchment scale ( $p < 0.05$ ; Table 2) and in both  
296 autumn and spring in the 250 m buffer analysis (both  $p < 0.05$ ; Table 3). These correlations are likely

297 to relate to the nutrient status of this habitat; fen systems are typically associated with relatively  
298 high nutrient concentrations due to their being supplied by drainage water from surrounding  
299 mineral soil (Mitsch and Gosselink, 2000).

300 The positive correlation between buildings and nitrate concentration at the whole catchment scale  
301 in spring ( $p < 0.05$ ; Table 2) may be due to the positive correlation mentioned earlier between  
302 buildings and arable coverage. In addition, the urine and droppings of mammals and birds has been  
303 identified as an important non-agricultural source of ammonia (DEFRA, 2002). Nitrifying bacteria in  
304 the soil may then convert ammonia to nitrate. Therefore, assuming that a significant proportion of  
305 the buildings in this category are farms, then the leaching of ammonia from domestic animals may  
306 also account for this correlation. The leaching of nitrates from septic tanks and fertiliser stores may  
307 also explain this association.

308 A moderate positive correlation was observed between marsh/ marshy grassland coverage and  
309 reservoir nitrate concentration but only in the 250 m buffer analysis in spring ( $p < 0.05$ ; Table 3). The  
310 reason for this is not clear but may be an artefact of the positive association between marsh/  
311 marshy grassland and other habitat types displaying a positive correlation with nitrate. For example,  
312 in the 250 m buffer zone analysis, marsh/ marshy grassland coverage correlates positively with  
313 woodland and scrub ( $r_s = 0.646, p < 0.01$ ), improved grassland ( $r_s = 0.771, p < 0.01$ ) and fen/ mire  
314 habitat ( $r_s = 0.560, p < 0.05$ ), all of which show a positive correlation with spring nitrate  
315 concentration at this spatial scale.

316 The supply of nitrate and phosphate is critical in determining the growth rates of phytoplankton in  
317 freshwater systems with elevated concentrations resulting in eutrophication in some cases (Hecky  
318 and Kilham, 1988). In drinking water sources algal blooms can lead to a number of treatment issues  
319 including taste and odour problems, elevated TOC levels, increased coagulant and chlorine demand,  
320 membrane fouling and an increase in DBPs (Bernhardt et al., 1991; Li et al., 2012; Nguyen et al.,  
321 2005). Elevated reservoir nitrate concentrations may also increase the formation of nitrogenous

322 DBPs (NDBPs), produced during the disinfection stage of water treatment either directly, or  
323 indirectly *via* increased algal biomass and consequently increased concentrations of dissolved  
324 organic nitrogen in the raw water (Ritson et al., 2014).

325 The negative correlation between heathland coverage and reservoir pH in the 250 m buffer analysis  
326 ( $p < 0.05$ ; Table 3) is likely to be related to the preference of heath vegetation for acidic soils (Holden  
327 et al., 2007) which has a corresponding effect on drainage water pH (Cresser and Edwards, 1987). A  
328 positive relationship has been reported between DOC solubility and pH (Lumsdon et al., 2005). Thus  
329 solubility controls may also help to explain the negative correlations between heathland coverage  
330 and reservoir DOC concentration and colour.

331 The absence of correlations between pH and some of the other habitat types which tend to be  
332 associated with peat substrates (bare peat, bog, fen/ mire, flush and spring and swamp) is surprising  
333 given that peatlands tend to produce acidic drainage waters. This is reported to result from the  
334 accumulation of organic acids, the enhanced activity of sulphur-metabolising bacteria under  
335 waterlogged conditions and high cation exchange capacity (Clymo, 1964; Urban et al., 1995).  
336 Coniferous forest stands, which represent a large proportion of forest coverage in north Wales, are  
337 also associated with acidic drainage waters (Eisalou et al., 2013; Gough et al., 2012). A significant  
338 decrease in pH has been reported as rainwater passes through coniferous canopies and litter  
339 (Eisalou et al., 2013), due to the high exchangeable acidity of coniferous foliage and litter and the  
340 fact that coniferous litter is readily leached of organic acids (Alfredsson et al., 1998; Nykvist, 1963).

### 341 *3.3. Temporal and spatial variations in correlations*

342 At the whole catchment scale, more associations between Phase 1 Habitat categories and DOC  
343 concentration were identified in autumn than in spring. A difference in hydrological regime due to  
344 higher rainfall in September than March may explain this contrast. Higher rainfall will result in a  
345 larger contribution of surface runoff to discharge water (Horton, 1933) which is likely to enhance the



346 influence of surface characteristics such as vegetation/ litter characteristics. The influence of habitat  
347 may also be enhanced by higher above ground biomass following the growing season.

348 Overall there were fewer statistically significant correlations identified between habitat types and  
349 reservoir nitrate concentration in autumn compared with spring (Table 2 and 3). We have already  
350 suggested that fertiliser application may be significant in explaining a number of correlations  
351 between habitat type and reservoir nitrate concentration (Neill, 1989), and that its timing may  
352 explain the greater number and strength of correlations in spring (MAFF, 2000; Trudgill et al., 1991).  
353 However, the drivers of seasonal variations in surface water nitrate concentration are known to be  
354 complex, comprising numerous biogeochemical and hydrological processes (Martin et al., 2004).  
355 Stream nitrate concentrations tend to exhibit a summer minima and a winter maxima (Neill, 1989;  
356 Reynolds et al., 1992). This is explained in part by variations in the supply of nitrogen. For example,  
357 in the summer, the availability of leachable nitrate in the soil is limited by lower atmospheric inputs  
358 and plant uptake and in streams by macrophyte uptake (Cooke and Cooper, 1988) and denitrification  
359 (Hill, 1979). In winter on the other hand, plant uptake decreases, atmospheric inputs increase and in-  
360 stream losses decrease due to lower primary productivity (Reynolds et al., 1992). Surface water  
361 nitrate levels may also be transport-limited, with a strong association reported with precipitation  
362 and discharge (Neill, 1989; Trudgill et al., 1991). However, given that lower rainfall totals were  
363 recorded in March than in September, it is more likely that reservoir nitrate levels in spring (which  
364 were higher than in autumn), were supply-limited.

365 It is difficult to interpret the overall effect of spatial scale on relationships between habitat classes  
366 and reservoir water quality since at the 250 m buffer scale, a number of habitat classes are present  
367 in only a few catchments, or are absent altogether. For example, there was only 1 catchment where  
368 arable land was identified in the 250 m buffer zone. However, there was an obvious similarity in the  
369 occurrence of significant correlations at the 2 spatial scales. Although there was no clear difference  
370 in the strength of the correlations between the 2 spatial scales, this similarity would suggest that

371 Phase 1 Habitat coverage in the 250 m buffer zone was more important than in the wider catchment  
372 in affecting reservoir water quality. Previous studies have reported improved regressions between  
373 land use and surface water quality parameters when the riparian area was included, or weighted  
374 more heavily than other areas (Levine and Jones, 1990; Osborne and Wiley, 1988).

375 The relationship between reservoir water quality and catchment characteristics at different spatial  
376 scales may also vary temporally. For example, Gergel et al. (1999), in their study of wetland influence  
377 on DOC concentrations in Wisconsin lakes and rivers, found that in autumn, wetland coverage in the  
378 whole catchment was the best predictor of lake water DOC concentration whereas in summer,  
379 wetland coverage within 50 m of lakes was the best predictor. This could relate to seasonal  
380 hydrological changes since the timing of sampling in autumn and spring corresponded with base  
381 flow and peak flow conditions, respectively (Hurley et al., 1995).

382 In this study we noted the absence of a number correlations between Phase 1 Habitat classes and  
383 surface water parameters that would typically be expected. For example the lack of positive  
384 correlations between woodland and scrub habitat and a number of wetland habitats and DOC  
385 concentration/ colour was unexpected. This may be due to the influence of various other catchment  
386 features not included in the present study, but which previous studies have reported to influence  
387 surface water chemistry. For example, slope will mediate the relationship between catchment  
388 characteristics and surface water quality due to its influence on surface runoff (Rochelle et al., 1989)  
389 as well as being a predictor of soil organic horizon depth (Rasmussen et al., 1989) and wetland  
390 abundance (Eckhardt and Moore, 1990). The development of a particular soil type reflects a number  
391 of factors including climate, parent material, topography and vegetation and is reported to be a  
392 crucial factor in determining surface water composition and quality (Aitkenhead et al., 1999; Billett  
393 and Cresser, 1992; Hope et al., 1997). Indeed information on soil chemical characteristics has formed  
394 the basis of a number of predictive models for stream water solute concentrations (Billett and  
395 Cresser, 1992; Christophersen and Wright, 1981; Cosby et al., 1985). Soil type influences spatial

396 patterns of water flow and storage (Grayson and Western, 2001; Weiler and Naef, 2003). In addition,  
397 adsorption processes in mineral soils regulate the transport of organic carbon and the soil organic  
398 pool is reported to be the main factor controlling DOC flux in streams (Aitkenhead et al., 1999). It  
399 should also be noted that the topographic watershed does not necessarily correspond with  
400 groundwater influence, which may also strongly impact on reservoir water quality (Garrison et al.,  
401 1987). In addition, the Phase 1 Habitat Survey of Wales was conducted between 1987 and 1997 and  
402 it is likely that a number of land use changes have occurred in this time (Stevens et al., 2004).  
403 Nonetheless, the present study has demonstrated that the data continues to be relevant to the  
404 study of surface water quality and the extent of its coverage represents a significant benefit for  
405 drinking water companies.

406 Various processes occurring in the water body will also affect surface water chemistry. For example,  
407 as mentioned earlier, seasonal variations in the uptake of nitrate in surface waters influence nitrate  
408 concentrations (Cooke and Cooper, 1988). DOC loss from reservoirs is reported to occur as a result  
409 of sedimentation and mineralisation processes (Algesten et al., 2004), with precipitation also  
410 affecting DOC concentrations *via* a dilution effect (Engstrom, 1987). Conversely, DOC may be  
411 produced within the water body (autochthonous DOC), potentially suppressing the relationship  
412 between DOC concentration and colour and terrestrial drivers. Nonetheless, a substantial number of  
413 correlations were identified between Phase 1 Habitat data and reservoir water characteristics in the  
414 present study. This, we suggest, relates both to the direct influence of vegetation/ land cover on  
415 runoff and drainage water quality and also to habitat classes being predictors of other physical  
416 characteristics such as peat soils or certain management practices.

#### 417 *3.4. Implications for potable water treatment*

418 Though previous research has cited the relationship between catchment wetland coverage and  
419 surface water DOC and colour loading (Eckhardt and Moore, 1990; Gergel et al., 1999; Hope et al.,  
420 1994), our data suggest that wetland type may significantly affect the magnitude of this relationship.

421 The identification of positive associations between swamp and, to a lesser extent, fen/ mire habitats  
422 and DOC concentration/ colour, possibly the result of their nutrient supply (Mitsch and Gosselink,  
423 2000), may justify monitoring the quality of drainage waters in these areas. Given that these habitat  
424 types also occupy very small proportions of the catchments included in this study (Figure 2), it may  
425 be that diverting drainage water from these areas would be a cost-effective strategy for improving  
426 reservoir water quality. Monitoring of drainage waters and diversion of water courses may also be  
427 appropriate for areas of arable land use which arguably exerted the strongest influence on surface  
428 water quality. This land use class correlated with DOC, colour and nitrate concentrations at both  
429 sampling times despite being virtually absent from the 250 m buffer zone of the reservoirs. The  
430 apparent impact of arable land on reservoir water quality highlights the importance of excluding this  
431 activity from areas close to the reservoir. In cases where the diversion of problematic drainage  
432 waters is not possible, it may be appropriate to blend reservoir water with water from another  
433 catchment, as has been employed previously as a strategy to reduce water discolouration from peat  
434 (Grayson et al., 2012).

435 Allowing the expansion of habitat types whose coverage correlates negatively with DOC/ colour may  
436 be a suitable strategy in some cases. However, the potential benefits to surface water quality may  
437 be outweighed by other detrimental impacts. For example, tall herb and fern coverage, which in the  
438 present study was dominated by bracken, correlates negatively with DOC and colour but bracken  
439 habitat has no economic value and is associated with the leaching of carcinogenic compounds such  
440 as ptaquiloside (Rasmussen et al., 2003). Heathland coverage also correlated negatively with DOC  
441 concentration and colour in the present study, but this we argue, may relate to site-specific factors  
442 such as soil moisture constraints since *Calluna* vegetation is typically associated with highly-coloured  
443 waters (Grayson et al., 2012).

444 Given the number of statistically significant correlations identified in the present study, and the  
445 national scale of Phase 1 Habitat data, we suggest that future research should explore integrating

446 Phase 1 Habitat data into predictive models for reservoir water quality. The present study has also  
447 highlights the fact that correlations between catchment characteristics and surface water quality  
448 may vary on a seasonal basis; an important consideration as researchers seek to develop more  
449 sophisticated predictive models.

#### 450 **4. Conclusions**

451 This study has considered, for the first time, the use of catchment Phase 1 Habitat data for  
452 predicting reservoir water quality. Our analysis was conducted at two different spatial and temporal  
453 scales, to investigate the effect of season and the proximity of habitat types to the reservoir in  
454 affecting potential associations between habitat type and water quality parameters.

455 Numerous statistically significant correlations were observed between Phase 1 Habitat classes and  
456 reservoir water quality. These could be explained either by the direct impact of vegetation on  
457 drainage water or its association with other physical catchment characteristics or land management  
458 practices. Arable land cover appeared to have the most substantial impact on reservoir water  
459 quality, correlating strongly with DOC concentration, colour and nitrate concentration at both  
460 sampling times. This was despite arable land being virtually absent from the 250 m buffer zone.

461 The degree to which habitat classes affected reservoir water quality appeared to vary on a seasonal  
462 basis, with more correlations between habitat classes and DOC concentration in autumn, and  
463 between habitat classes and nitrate concentration in spring. However, a striking similarity was  
464 observed between correlations at the whole catchment scale and within the 250 m buffer zone. We  
465 therefore suggest that in general, the influence of habitat coverage on reservoir water quality  
466 parameters increases with proximity to the reservoir.

467 Although previous research has identified a link between wetland abundance and surface water  
468 DOC/ colour loading, our findings suggest that the type of wetland habitat present is also important.  
469 We found that swamp and fen/ mire habitats were the only wetland types which correlated with

470 reservoir DOC or colour. This specificity, we suggest, may relate to the high nutrient levels in these  
471 habitats which may support higher rates of primary production than other wetland types.

472 Based on the number and strength of correlations observed, we suggest that predictive models for  
473 surface water characteristics based on catchment characteristics could be improved by incorporating  
474 Phase 1 Habitat data. The findings of this study are important for drinking water companies  
475 concerned with maintaining finished water quality and may be of use in targeting monitoring of  
476 drainage water in catchments and selecting appropriate mitigation strategies such as diverting or  
477 blending water.

## 478 **5. Acknowledgements**

479 This research was part-funded by the European Social Fund (ESF) through the European Union's  
480 Convergence programme administered by the Welsh Government. Match funding and access to water  
481 samples and water quality data was provided by Dŵr Cymru Welsh Water (DCWW). Christopher  
482 Freeman and Nathalie Fenner acknowledge funding from NERC under the first EU ERA-EnvHealth call  
483 (FP7-ENV-2007- CSA-1.2.3-01) and the large NERC grant, *Characterisation of the nature, origins and*  
484 *ecological significance of dissolved organic matter in freshwater ecosystems* (NE/K010689/1).

485

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773 **7. Tables and Figures**

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Table 1. Categorisation of Phase 1 habitat types.

Category used in present study	Phase 1 Habitat classification	Category used in present study	Phase 1 Habitat classification
Woodland and scrub	A.1 A.2	Fen/ mire	E.3
Recently-felled woodland	A.4	Bare peat	E.4
Unimproved grassland	B.1.1 B.3.1	Swamp	F.1 F.2
Improved grassland	B.1.2 B.2.2 B.4	Water	G.1 G.2
Marsh/ marshy grassland	B.5	Rock/ scree/ quarry	I.1 I.2
Tall herb and fern	C.1 C.2 C.3	Arable	J.1.1
Heathland	D.1 D.2 D.3 D.5 D.6	Caravan site	J.3.4
Bog	E.1	Buildings	J.3.6
Flush and spring	E.2	Other	J.1.2 J.4 Not accessed Illegible

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Table 2. Correlation coefficients (*r*) for statistically significant correlations between percentage Phase 1 Habitat coverage and reservoir water quality (whole-catchment analysis).

	Autumn [DOC]	Spring [DOC]	Autumn Colour (Hazen)	Spring Colour (Hazen)	Autumn [NO <sub>3</sub> ]	Spring [NO <sub>3</sub> ]	Autumn pH	Spring pH
Woodland and scrub						0.743**		
Recently-felled woodland								
Improved grassland					0.636**	0.677**		
Unimproved grassland		-0.512*						
Marsh/ marshy grassland								
Tall herb and fern	-0.499*		-0.588*					
Heathland	-0.543*		-0.543*	-0.649**				
Bog								
Flush and spring								
Fen/ mire	0.564*					0.577*		
Bare peat								
Swamp	0.612*	0.690**	0.624**	0.636**				
Water								
Rock/ scree/ quarry								
Arable	0.734**	0.651**	0.508*	0.549*	0.632**	0.721**		
Caravan site								
Buildings	0.596*					0.580*		
Other	0.548*			0.539*				

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\* indicates  $p < 0.05$  and \*\* indicates  $p < 0.01$ . All results shown relate to Spearman's correlation analysis.

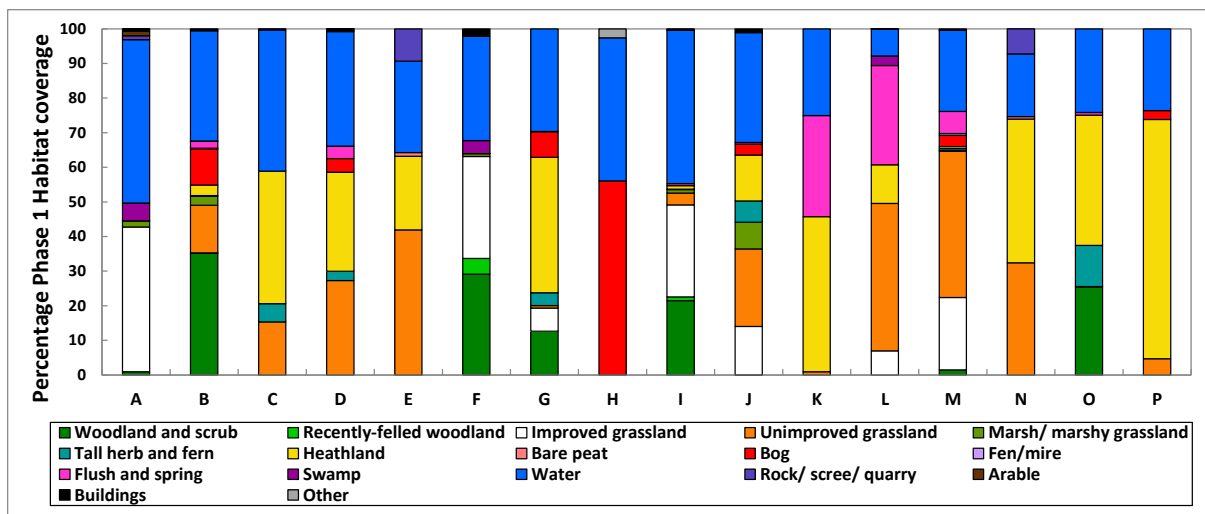


781 Table 3. Correlation coefficients (*r*) for statistically significant correlations between percentage Phase  
 782 1 Habitat coverage and reservoir water quality (250 m buffer zone analysis).

	Autumn [DOC]	Spring [DOC]	Autumn Colour (Hazen)	Spring Colour (Hazen)	Autumn [NO <sub>3</sub> ]	Spring [NO <sub>3</sub> ]	Autumn pH	Spring pH
Woodland and scrub						0.774**		
Recently-felled woodland								
Improved grassland					0.617*	0.655**		
Unimproved grassland								
Marsh/ marshy grassland						0.502*		
Tall herb and fern			-0.559*					
Heathland	-0.560*	<u>-0.517*</u>	-0.499*	-0.652**			-0.558*	
Bog								
Flush and spring								
Fen/ mire	0.600*	0.513*			0.587*	0.578*		
Bare peat								
Swamp	0.647**	0.709**	0.624**	0.636**				
Water								
Rock/ scree/ quarry								
Arable								
Buildings	0.499*							
Other								

783 \* indicates  $p < 0.05$  and \*\* indicates  $p < 0.01$ . Underlined result relates to Pearson's correlation  
 784 analysis and the remainder to Spearman's correlation analysis.

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Figure 1. Percentage Phase 1 Habitat coverage in 250 m reservoir buffer zone.

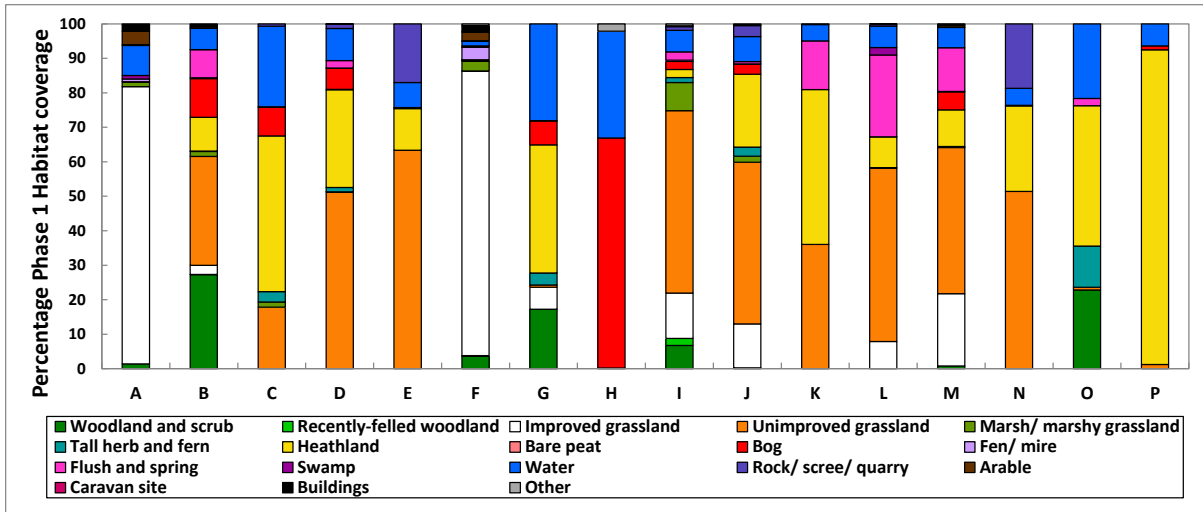


Figure 2. Percentage Phase 1 Habitat coverage in whole reservoir catchments.

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