

# Development and use of a typology of mapping tools to assess their fitness for supporting management of ecosystem service provision Pagella, T.F.; Sinclair, F.L.

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**RESEARCH ARTICLE** 

# Development and use of a typology of mapping tools to assess their fitness for supporting management of ecosystem service provision

Timothy F. Pagella · Fergus L. Sinclair

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Abstract The importance of land use in affecting a range of ecosystem services (ES) provided from rural landscapes is increasingly recognised, creating an imperative for tools to assist in managing impacts of land use on ES provision. Many stakeholders, at a range of scales, are involved, including policy makers and implementers, land users and people receiving the services. Here, we develop a new and comprehensive typology of ES maps by expanding the basic stockflow-receptor concept to create a set of map categories that embraces requirements for management of ES provision. We then use this typology as a framework for assessment of approaches to mapping ES. Most approaches have considered natural capital stocks of few services, at large scales (>1,000 km<sup>2</sup>) and coarse resolution (>100  $\text{m}^2$ ). Emphasis has been on areas of ES generation, with little attention to flows, limiting the extent to which reception of services, interactions amongst services, and impacts on different stakeholders are considered. Most approaches focused on a bounded watershed or administrative unit, with little attention to landscape evolution, or to the definition of system boundaries that encompass flows from source

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F. L. Sinclair ICRAF, World Agroforestry Centre, Nairobi, Kenya to reception for different services. Although uncertainty is inherent in both input data and the services that are mapped, this is rarely acknowledged, quantified or presented. These features of current mapping approaches constrain their usefulness for informing the management of ES provision from rural landscapes. Key areas for future development are (1) maps at scales and resolutions that connect field scale management options to local landscape impacts; (2) mapping flows, and defining landscape boundaries, that include complete pathways, from source to reception; (3) calculating and presenting information on synergies and trade-offs amongst services; and (4) incorporating stakeholder knowledge and perspectives in the generation and interpretation of maps to bound and communicate uncertainty and improve their legitimacy.

**Keywords** Landscape · Stakeholder engagement · System boundaries · Synergies · Trade-off · Local knowledge

# Introduction

Ecosystem services (ES) are the benefits that people derive from ecosystems (MA 2005) and it is becoming widely recognised that rural land use affects the provision of a range of ES, generated and received by different stakeholders, creating concerns about the balance of their supply and demand (Crossman et al.

2013). Whilst an ES approach has now been widely accepted as a useful framework to guide policy (Fisher et al. 2008) the concept has yet to be structurally integrated within environmental planning and management (Cowling et al. 2008a; Daily et al. 2009; Groot et al. 2010). There is an increasingly important imperative to close the implementation gap between theory and practice (Cook and Spray 2012).

Changes to ES provision globally, are strongly associated with change in land use, particularly the widespread conversion of natural ecosystems to agroecosystems (Bruinsma 2003; MA 2005). Much of the opportunity for improving ES provision now rests in specifically managing farmland, forests and woodland. Often, changes on the ground are made by farmers, acting autonomously or in circumstances where their decisions are influenced by policy, either through regulations or incentives, particularly payments for environmental services (PES) and certification schemes (Wynne-Jones 2013). Given the requirement for interdisciplinary and participatory approaches envisioned by an ES approach (Cowling et al. 2008b), maps provide an intuitive, visual means of communicating information amongst stakeholders. For an ES approach to influence rural land use, there is a need for mapping tools that operate at scales fine enough to incorporate impacts of alternative land management options at field and farm scales on the livelihoods of land users, at the same time as showing impacts on ES that can manifest at larger landscape scales. This cross-scale integration is a minimum requirement for enabling land users to take into consideration the broad spectrum of ES potentially affected by their management decisions, as well as for guiding the implementation of agri-environmental policy at the scale at which land use change occurs. Tools that map ES provision need to represent where services are generated and how they then flow across landscapes to where they are received Reviews of mapping tools and approaches to date have either focussed only on their supply (Martinez-Harms and Balvanera 2012), on the indicators used to map services (Egoh et al. 2012) or with a view to standardising methods and models used or how they are reported (Seppelta et al. 2012; Crossman et al. 2013).

In policy contexts, where different agencies have responsibility for different land uses (e.g. forestry and agriculture) and ES (e.g. water regulation, biodiversity conservation and production), the mapping of ES could facilitate the cross-sector collaboration required for joined up decision making at the range of scales necessary to manage ES provision (Groot et al. 2010; Pettit et al. 2011). This is increasingly acknowledged, and a number of studies have suggested the need for more spatially explicit ES typologies (see Boumans and Costanza 2007; Fisher et al. 2009) but methods for doing this based on mapping of ES flows are still in their infancy (Morse-Jones et al. 2011).

Our present research aims to develop a typology of maps required to inform the management of ES provision from rural landscapes, by expanding the stock-flow-receptor model of Haines-Young and Potschin (2009). The expansion encompasses maps required to evaluate historical, current and future options of ES flows, in relation to the various stakeholders involved. The resulting typology is then used as a framework to assess published approaches to mapping ES in terms of their usefulness for managing ES provision. The results are interpreted in relation to how current approaches to mapping constrain their use for managing ES provision and suggestions are made for future developments to fill these implementation gaps.

#### Methods

A set of published articles was assembled from an ISI Web of Knowledge search using the search string:('spatial' or 'mapping' or 'spatial modelling' or 'visualisation') and 'ecosystem services'. Given its prevalence within policy documents and its high profile, the Millennium Ecosystem Assessment (2005), provided the baseline classification of ES and nomenclature that we use in the present assessment. The assessment was confined to articles produced after the publication of the Millennium Ecosystem Assessment, that is, between January 2005 and July 2011 (when the search was processed). The initial search returned 207 articles covering both terrestrial and marine ecosystems. From this list, articles were retained that contained maps that could be used to inform decisions about management of ES generated in rural landscapes, that is, they fell into one of the categories in the ES map typology that was developed (see below). Where appropriate, other maps cited in these articles were also accessed and added to the set evaluated. Where more than one article was

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<b>Table 1</b> Types of maps (following the typology in Fig. 1) presented in each of the cases reviewed in this article ( $n = 50$ )
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Study	Ecosystem services mapped	Ecosystem Production			Flow pathways			Service reception				Historic			Future/alternate			
		1A	1B	1C	2A	2B	2C	3A	3B	3C	3D	4A	4B	4C	5A	5B	5C	5D
Scale: National																		
Luck et al. (2009)	3	×																
Naidoo et al. (2008)	4	×	×	×		×												
Anderson et al. (2009)	3		×			×												
Kienast et al. (2009)	15	×	×															
Lorz et al. (2010)	1	×	×				×	×										
Maes et al. (2011)	13	×	×	×														
Metzger et al. (2006)	5	×	×															
Bateman et al. (2011)	1	×	×					×							×	×		
CCW (2010)	8	×	×				×											
Egoh et al. (2008)	5	×	×	×											×			
Eigenbrod et al. (2010b)	4	×	×															
Locatelli et al. (2011)	3	×	×	×														
Mehaffey et al. (2011)	2	×																
Ruiz-Frau et al. (2011)	12		×	×														
Wendland et al. (2010)	3	×		×		×												
van Wijnen et al. (2011)	1	×									×							
Scale: Regional																		
Bai et al. (2011)	6	×	×	×														
Burkhard et al. (2010)	5	×	×										×	×				
Beier et al. (2008)	4	×	×						×									
Bryan et al. (2011a)	31					×	×				×							
Chen et al. (2009)	6	×	×	×														
Costanza et al. (2002)	1	×	×					×	×		×							
Gimona and Horst (2007)	3	×	×	×														
He et al. (2011)	3		×										×	×				
Krishnaswamy et al. (2009)	2	×	×	×														
Liu et al. (2010)	12	×	×															
Maynard et al. (2010)	28	×	×	×														
Naidoo and Ricketts (2006)	5	×	×	×			×			×								
O'Farrell et al. (2010)	3	×	x	×														
Raymond et al. (2009)	31	×	×	×														
Reyers et al. (2009)	5	×						×					×					
Sherrouse et al. (2011)	6	×	×			×		×	х									
Simonit and Perrings (2011)	3	×	x		×	x	х	x	~		×							
Swetnam et al. (2011)	1	x	~		~	~	~	×			x				×			×
Nelson et al. (2009)	8	x	x	x				~			~				x	×		~
Troy and Bagstad (2009)	10	x	x	~											x	~		×
Willemen et al. (2010)	8	x	×												~			~
Zhang et al. (2011)	10	x	x										×	×				
Birch et al. $(2010)$	5	~	×										~	~	×			×
Chen et al. (2009)	3	×	×	×											^			^
Ditt et al. $(2010)$	4	×	×	~				×										
Gret-Regamey et al. (2008)	4	×	×		×	×	×	^										
Klug and Jenewein (2010)	4	×	^		^	×	^	×			×							
Nedkov and Burkhard (2012)	10	×	×		×	^		×			×							

Study	Ecosystem services mapped	Ecosystem Production			Flow pathways			Service reception				Historic			Future/alternate			
		1A	1B	1C	2A	2B	2C	3A	3B	3C	3D	4A	4B	4C	5A	5B	5C	5D
Wang et al. (2009)	3	х																
Wang et al. (2009)	2	×						×							×	×		
Scale: Local																		
Kozak et al. (2011)	7	×			×			×			×							
Lavorel et al. (2011)	5	×	×			×												
O'Higgins et al. (2010b)	2	×									×							
Troy and Wilson (2006)	12	×	×															

Table 1 continued

linked to the same location, the articles were grouped together as one case (e.g., Egoh et al. 2008, 2009, 2011). This resulted in a final set of 50 cases (Table 1) that were evaluated against the typology and associated dimensions of scale, resolution, number and types of ES, uncertainty and stakeholder engagement.

Map typology and framework for assessment of mapping approaches

A typology of ES maps was created by expanding the stock-flow-receptor model of Haines-Young and Potschin (2009), to encompass an evaluation of historical, current and future options of ES provision, in relation to the various stakeholders involved. The typology was developed by logical expansion of map categories to include the types of map needed to inform management of ES from rural landscapes as shown below. The typology was then applied across the selected set of 50 cases from the literature, to evaluate the relevance of maps produced for planning and managing ES generated in rural areas. The criteria used for evaluation were: the extent to which the maps were useful for local level decision makers determined by appropriate scale and resolution; which ecosystem services were considered; uncertainty; and, the usefulness of the maps for different stakeholders. The typology is fully presented in the results (Fig. 1) but the evaluation criteria and associated terminology used to organise and assess the maps, are further elaborated here.

# Scale

The concept of a 'landscape' scale appears frequently in the ES literature (Schellhorn et al. 2008; Groot et al. 2010) but is often not defined (Jackson et al. 2007). We start from the premise that a landscape is a contiguous land area comprising a number of functional units such as woodlands, arable fields and wetlands, that may have different properties with respect to ES provision. It is a fluid concept that can be applied at a range of scales. Given the uncertainty associated the term 'landscape', we explore how the scale used in ES maps and the rationale given for defining system boundaries, enable us to refine our understanding of an operational concept of 'landscape' in the context of managing ES provision. We differentiate between three scales at which decision making about ES provision are likely to be made.

*Local scale* this is the scale at which ground level decisions about change in land use are made. The main actors at this scale are farmers, forest managers or other land users. It encompasses fields and farms up to an immediate landscape scale of  $10-1,000 \text{ km}^2$  at which ES initially manifest (e.g. sub-catchments or habitat networks), and may be managed, through farmer co-operatives or other collectives covering a contiguous land area. Maps generated at these scales are expected to allow farmers to see their land in a recognisable context and thus require fine resolution datasets.

*Regional scale* is defined here as the scale between local and national. This is the scale at which many policy decisions relating to ES provision are currently made and is generally over 1,000 km<sup>2</sup> but subnational. The resolution required to support regional decisions is generally quite coarse.

*National scale* is defined here as the scale at which strategic decisions about ES are made. This encompasses supranational transboundary contexts in some locations (e.g. some major lakes and protected area networks). Assessments at national scale tend to use

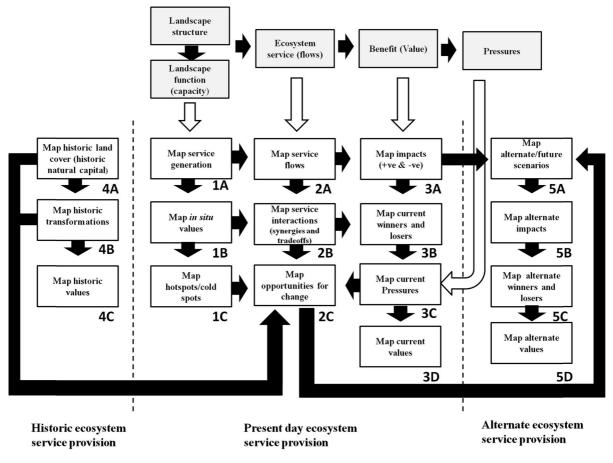


Fig. 1 Typology of mapped output for assessing ecosystem service provision, expanded from the stock-flow-receptor conceptual framework (*shaded nodes*) developed by Haines-Young and Potschin (2009). Unshaded nodes indicate forms of

aggregated national datasets, which are generally very coarse in their spatial resolution.

In practice, different landscape units can be defined for different purposes, such as watersheds, habitat networks or administrative districts and there will be different boundaries relevant to different ES and management units. Even though landscapes can be broken down hierarchically into smaller functional units, interactions amongst adjacent land uses, makes the process of scaling up non trivial (Costanza et al. 2002). Moving between scales requires some degree of simplification of spatial datasets (Seppelt et al. 2011).

# Resolution

Land use datasets play a fundamental role in mapping ES. Resolution, refers to the amount of

mapped output, *filled arrows* show major instances where one form of mapped output is used in the development of another and *unfilled arrows* show connections between the stock-flow-receptor framework and mapped output

detail present in a dataset or map. Scientists have tended to use indicators, derived from land use or land cover as a proxy for the provision of ES (Marion 2009; Nelson et al. 2009). The ability to map, for example, tree cover in an area, may be used to infer water regulation. These relationships remain largely untested for most ES (Naidoo et al. 2008; Bennett et al. 2009). Despite this, mapping the areal extent and the position of these features, is fundamental for understanding their role in ES provision. The resolution of these data will have a significant impact on our ability to model ES provision. For example, datasets that identify individual trees and hedgerows, provide opportunities for much finer scale modelling of water flows than datasets that can only register large areas of woodland (Jackson et al. 2008). The evaluation of impacts of land use change on multiple ES simultaneously, requires integration of several spatially referenced datasets that may have different resolutions. In order to use maps in decision making the features have to be mapped at resolutions appropriate for the type of decision being made. Maps produced at national or regional scales may indicate where opportunities for changes in provision are required; but may offer only limited utility for ecosystem managers, who often require fine resolution information about how land use change will impact ES provision (Wynne-Jones 2013). The resolution of maps was assessed in our assessment by considering both the resolution of contributing datasets and that of the maps produced from them.

#### Type and number of ecosystem services

A fundamental requirement for adopting an ES approach to management is that a broad suite of ES are considered. The ability to map impacts of land use change on multiple ES simultaneously is a prerequisite for identification of synergies and trade-offs amongst them and hence for integrated planning. The extent to which the mapping approaches used in the 50 selected cases were able to accommodate requirements for integrated planning was assessed in terms of the types and number of ES mapped. The degree to which services were defined consistently across cases was also assessed because this has implications for comparative analysis (Haines-Young and Potschin 2011).

#### Uncertainty

ES provision is often embedded within complex, nonlinear, multi-component systems, resulting in considerable uncertainty associated with scale-dependencies, scale-interactions and temporal dynamics represented on maps (Kremen and Ostfeld 2005). Lack of data is a key constraint for understanding ecosystem processes (Kremen and Ostfeld 2005; Carpenter et al. 2009) and proxies are often used to represent ES, despite reservations about their reliability at different scales (Eigenbrod et al. 2010a). The extent to which uncertainty was represented on maps was evaluated for each case by inspection of maps and the text relating to them.

#### Stakeholder engagement

ES are explicitly linked to human wellbeing (MA 2005) and their management at local scales, is likely to require interactions amongst multiple stakeholders, with potentially divergent knowledge systems and priorities (Fabricius et al. 2006; Vanclay et al. 2006). One way of addressing this issue is to explicitly incorporate local knowledge in ES assessments (Sinclair and Walker 1998; Cerdan et al. 2012). The extent to which local people had been involved in developing, validating and utilising maps was evaluated for each case by inspecting the methods and results sections to ascertain local involvement together with any information provided on map validation.

For the purposes of this assessment we recognise three broad stakeholder groups involved in ES management: ES providers, ES receivers, and intermediaries. These groupings are derived from the literature on payments for ES (Swallow et al. 2007). ES providers are defined here as entities (an individual, family, group, corporation or community) whose actions directly modify the quantity or quality of ES being generated, either positively or negatively. ES receivers are interested and affected parties who are impacted by the ES. Intermediaries are the diverse set of entities (including policy makers, non-governmental organizations, the scientific community and community organizations) that directly or indirectly shape interactions among ES providers, receivers, and the ecosystem itself. These groupings are not mutually exclusive, and it is entirely possible for an actor to belong to more than one group.

# Results

A typology was developed that classified ES maps into 17 broad categories (the unshaded nodes in Fig. 1). This drew on various recent studies (Cowling et al. 2008b; Fisher et al. 2009; Groot et al. 2010; Morse-Jones et al. 2011) to augment the basic stock-flowreceptor model of Haines-Young and Potschin (2009). The types of maps are arranged sequentially creating a framework that shows how some maps are used in developing others. This framework incorporates a temporal dimension and explicitly explores where synergies and trade-offs amongst services can be mapped. It is explained below and then used to evaluate ES maps found in the collection of cases derived from published literature.

# Types of map

The 17 types of map and the interactions amongst them are explained below, referring to the unshaded nodes in Fig. 1 by their alphanumeric coding.

### Stocks of natural capital

At the place where ES are generated, the spatial arrangement, quantity and composition of functional units within a landscape have a strong influence on the ES generated (node 1A). As all landscapes exhibit some heterogeneity in their composition, understanding spatial variation in the location of similar types of functional unit is a key requirement for assessments of their capability to generate ES and for making decisions associated with their management. By associating features with ES, in situ values (node 1B) can be assigned, using, for example, benefit transfer approaches, where values are assigned to objects with specific characteristics and later used to assign values for objects with similar properties in other systems (see Troy and Wilson 2006; Lautenbach et al. 2011). Acknowledging the potential roles of keystone landscape features, for which a small change in areal extent can have large impacts on one or more ES, allows identification of hotspot and coldspot areas (node 1C). Synergies and trade-offs can exist amongst ES where they are generated (node 2B). For example, there are synergies where a functional unit is associated with the provision of multiple services, such as woodlands on marginal land providing a broad range of provisioning, regulating and cultural services, but trade-offs where, a wetland, provides multiple benefits for water quality and regulation, but limits agricultural productivity. These in situ valuations, focus solely on the place of generation and do not consider variation in reception.

#### Flows of ecosystem services

Ecosystem functions become 'ecosystem services' when humans benefit either directly or indirectly from them and so there is a need to map service flows from where they are generated to where they are received (node 2A). The area of effect associated with an ES may range from in situ benefits (such as the provision

of shelter) that have no flow component, to benefits realised at a global scale (such as mitigation of climate change through increased carbon storage). Where flow pathways exist, the value of services may vary in relation to the location of recipients. As we move away from where the service was generated, the value received may be influenced by bio-physical factors, such as topography, or, social factors, such as variation in the number of uses and users of a service, and its scarcity or abundance within receptor populations (Morse-Jones et al. 2011; Crossman et al. 2013). There may also be interactions amongst ES along their flow pathways, and at the places where they are received, influenced by the medium of delivery. Potential water quality benefits delivered by woodlands, for example, may be diluted by inputs from intensive agriculture further downstream that breach a quality threshold. Explicitly identifying synergies and trade-offs amongst ES (node 2B) is fundamental to managing them for broader societal benefits. Once stocks, flow pathways, and, synergies or trade-offs amongst ES, have been identified, it is then possible to identify opportunities for interventions to improve ES provision (node 2C). This involves mapping the areas where modifying land use or cover has the greatest likelihood of impacting ES in relation to management objectives for a given landscape, taking into account impacts on services and stakeholders likely to be affected by the interventions.

### Impacts

To link ecosystem functions and benefits to human wellbeing requires explicit acknowledgement of where the benefits of ES manifest (node 3A). Understanding the linkages between areas where ES are generated and where they are received is important for policy development, because, decisions by 'upstream' stakeholders to meet local requirements, may lead to positive or negative consequences from the perspective of 'downstream' stakeholders, at larger scales (Hein et al. 2006). Once receptor areas have been identified, then stakeholders who benefit ('winners') and those who either do not receive services ('neutrals') or who see a decrease in service supply ('losers') can be identified (node 3B). This approach facilitates needs analysis that can inform strategic decision making, often complicated because stakeholders may be winners within the context of some ES and losers with respect to others, especially when evaluated over long time horizons. For example, instances where unsustainable land use strategies provide short term benefits but degrade the system over the long term. The spatially explicit identification of winners and losers allows identification of strategies for equitable modification to ES provision (node 3C). This can be fed back into opportunity mapping (node 2C) to support an iterative decision making process. Finally, values (nodes 3D, 4C and 5D) can be assigned and mapped for past, present, future or alternative scenarios of ES provision. These values focus on the place of reception for beneficiaries and may differ from those arising from a focus on the place of generation and hence on providers (node 1B).

# Time

Provision of ES is dynamic through time as well as space, so that developing a spatially explicit understanding of trends in ES supply is required for their management. Mapping the impacts of historic land use change helps to explain variation in current ES supply and may help identify interventions to address shortfalls in delivery where reversion of land use change is appropriate. Mapping both historic land cover (node 4A) and historic transformations (node 4B) can provide valuable insight into both current and future ES delivery (see Revers et al. 2009 for an example) and feed into identification of opportunities for interventions (node 2C). In a similar way, consideration of future drivers of land use change (e.g. the effects of climate change) or exploration of alternative scenarios for land use, requires development of future or alternate land use maps (node 5A), that can be used to inform models of ES flows. These can then be used to map potential impacts (node 5B) both in terms of winners and losers (node 5C).

#### Evaluation of maps

In this section we evaluate the ES maps from the collection of 50 cases, in relation to the typology developed in the previous section.

### Flow pathways

Perrings 2011; Nedkov and Burkhard (2012). These involved ES with clear flow pathways, such as water or avalanches.

Whilst many cases mapped spatially distributed values for ES, they did not represent flows, or fully incorporate flow pathways in the calculation of values displayed (see Troy and Wilson 2006; Chen et al. 2009; Tallis and Polasky 2009; Swetnam et al. 2011). Where multiple ES were mapped, representing flow pathways became complex because a range of scales was often required to encompass all flows. The absence of flow mapping is a significant gap in current mapping methodologies and makes it difficult to evaluate interactions amongst ES as you move away from where they were generated. Frequently (32 % of cases) hotspots were mapped, showing functional units that deliver multiple benefits (Gimona and Horst 2007; Crossman and Bryan 2009; O'Farrell et al. 2010; Bai et al. 2011) but the maps were not explicit about where the benefits were manifest or who benefited.

Without flow pathways it is impossible to explicitly identify receptor areas (and thus winners and losers) associated with any change to ES provision. The exception to this is where the value of a service is realised in situ (Sherrouse et al. 2011). A number of cases used scenario approaches that showed changes in ES across a landscape, for example, using the InVEST tool (Nelson et al. 2009; Bai et al. 2011). They did not identify clear links to final recipients of the services, nor any form of needs analysis to identify where changes in provision were most desirable. The importance of developing appropriate methodologies to link service generation to receptors was demonstrated in Illinois where the value calculated for two wetlands ranged from around \$28 thousand to \$2.5 billion in one case and, from nearly \$532 thousand to over \$216 million in the other, depending on the spatial discounting method used (Kozak et al. 2011).

Similarly, less than 20 % of cases identified synergies and/or trade-offs amongst ES at locations beyond the area where they were generated. Most often, where they were considered at all, trade-offs were implicit in presentation of two or more maps that could be compared (Bai et al. 2011). Valuing or bundling ES at the point of generation was common (>30 % of cases), but may be misleading because receptor areas for each of the bundled services may be located far apart (Raudsepp-Hearne et al. 2010).

# Scale and resolution

There was considerable variation in the scales used to map ES provision. Most cases (60 %) were at regional scales, that is >1,000 km<sup>2</sup> but sub-national (Table 1). Only 8 % of cases produced output at local scales below 1,000 km<sup>2</sup>. The resolution of many datasets was not explicitly stated and for those cases where it was, several datasets of different resolution were often involved (Fig. 2). The finest resolution of datasets in more than 50 % of cases, for which it could be determined, were 100 m<sup>2</sup> or coarser and only two cases were at 10 m<sup>2</sup> or finer. The coarse resolution of these mapping approaches will limit their utility to ES providers, in terms of evaluating impacts of field scale interventions on ES provision.

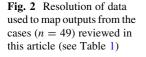
There was a five fold order of magnitude range in the size of units described as a landscape amongst cases (Fig. 3), with several mapping areas over one million hectares. A third of the studies focussed on national level ES provision and 6 % were international (Kienast et al. 2009; Luck et al. 2009; Maes et al. 2011). Only 4 % of cases incorporated nested approaches, mapping ES provision at a range of scales (Troy and Wilson 2006; O'Higgins et al. 2010a).

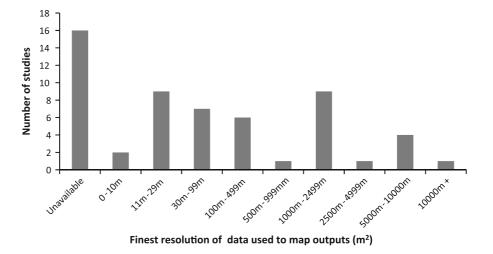
Looking across the 50 cases, it was evident that what constitutes a functional unit for the supply of ES was determined partially by the requirements of the observer and partially by the resolution with which the landscape was observed. These considerations can have a strong influence on how landscape functions are perceived and measured. For example, woodland blocks were a frequently encountered functional unit, where the assemblage of organisms (trees and associated biota) combined to provide a distinct set of services which differed from those of neighbouring functional units (such as arable fields). For carbon sequestration it is relatively straightforward to distinguish between functional units such as woodland blocks and arable fields, with respect to their timeaveraged carbon storage. However, finer resolution features, like individual trees and hedgerows also sequester carbon, in varying amounts but they are generally too small in extent to be represented individually in land cover datasets. As a result, the isolated tree and linear hedgerow features, are not often mapped and their collective impact is not taken into consideration, although it may be significant when aggregated at a landscape scale. Where decisions are being made at scales at which these features make a significant impact, then there is a clear requirement for the resolution of datasets to be high enough to represent them. It was difficult to determine the resolution for most datasets in the literature as they were seldom stated and often, different layers were mapped at different resolutions, making the interpretation of merged datasets problematic (Chen et al. 2009).

In all cases a single boundary was used to define the extent of ES delivery and in most, with the exception of those exploring flood risk and water regulation, 'catchment areas' that encompassed the entire flow of services from source to reception were not defined. In most cases, maps used socio-political boundaries or water catchment boundaries, despite some of the ES that were mapped not being associated with either water or received within the administrative boundary used (see, for example, Bai et al. 2011). Whilst this was clearly pragmatic from a governance or management perspective for ES supply, it does not permit understanding of all ES equally. For example, appropriate boundaries for a habitat network, necessary to understand biodiversity conservation, a farmer cooperative, important from a livelihood and management perspective, and a stream catchment, may all differ, but each is important for understanding how land use change may impact the ES in question.

#### Type and number of ecosystem services

There was a large range in the number of ES mapped (from 1 to 31) for each case (Fig. 5). More than 50 % of cases mapped four or fewer services, with a mode of three. Cases that mapped only a few ES were less useful for managing ES provision than more comprehensive approaches because of interactions amongst services (Everard 2009). Many of the maps were showing ES generation rather than provision but, even where some recipients were clearly identified, such as those affected by increased flood risk (Nedkov and Burkhard 2012; Batker et al. 2010), approaches were not comprehensive in terms of considering winners and losers. So, while it was relatively easy to document stakeholders who had been flooded, there were no attempts to identify potential beneficiaries of floods, such as owners or users of farmland receiving nutrients from seasonal flooding. The 8 % of cases with the most comprehensive mapping of ES





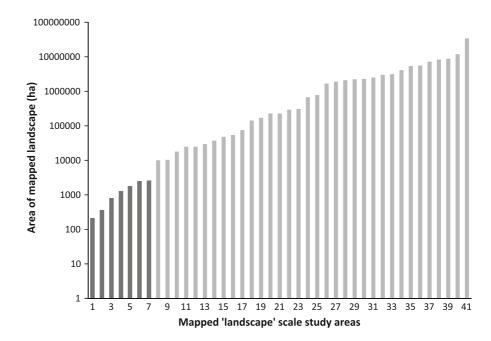


Fig. 3 Area of mapped units (ha) described as being at a landscape scale in local (grey bars) and regional (black bars) cases (n = 34) reviewed in this article (see Table 1). Some cases included mapped units of more than one size

provision, used participatory mapping approaches based on interviews with local stakeholders (Raymond et al. 2009; Bryan et al. 2010; Maynard et al. 2010; Bryan et al. 2011a). These were all large regional scale studies from Australia.

The most commonly mapped services were regulating and provisioning services (Fig. 4). Supporting services were not mapped, except in the Australian participatory mapping cases mentioned above. There was considerable inconsistency in how ES were defined and classified amongst cases. Whilst many articles referenced the MA (2005), many of them used classifications that ignored, expanded or heavily modified the MA categorisation. A number of cases created composite services that combined two or more MA services under one metric, for example, cultural value (Troy and Wilson 2006; Liu et al. 2010) or regulation (Sherrouse et al. 2011). Figure 5 gives an indication of the number of ES mapped against the MA classification, but in many instances the mapped categories did not directly correspond to the MA classes, for example, farmer livelihood and energy production (Metzger et al. 2006) or extraction of raw materials from marine organisms (Ruiz-Frau et al. 2011) and so could not be counted. Conversely, for other ES, the MA uses broad categories, such as climate regulation, whereas many of the maps reviewed here, showed constituent elements of climate regulation such as carbon sequestration.

Only 8 % of cases identified temporal variation in ES provision (Burkhard et al. 2010; He et al. 2011; Bateman et al. 2011; Zhang et al. 2011) and a mere 2 % mapped historic land disturbance in relation to impacts on current ES provision (Reyers et al. 2009). Lack of consideration of the historical context within which ES are currently evaluated ignores the evolution of landscape structure and function and may constrain decisions about ES management. Farmers, for example, often understand impacts of land use change on ES provision through having observed changes in their landscape over time, such as flashiness of streams and rivers associated with increasing stocking densities in upland pastures (Sinclair and Pagella, in review).

#### Uncertainty

Uncertainty was handled in a variety of ways in the 50 cases. In 2 % of cases it was the principal focus (Kozak et al. 2011), but more often, maps were presented with no acknowledgement of underlying uncertainty. In 4 % of cases where alternate scenarios were presented, some uncertainly was implicit (Wang et al. 2009; Bateman et al. 2011). While not addressed visually, uncertainty was explicitly discussed in relation to underlying data in 6 % of cases (Metzger et al. 2006; Liu et al. 2010; Willemen et al. 2010) but largely ignored in others. Perhaps surprisingly, given that the ES paradigm is essentially anthropocentric, there were no cases that drew explicitly on local knowledge to ground proof maps, although 6 % of cases did look at stakeholder values associated with areas of ES provision (Raymond et al. 2009; Pettit et al. 2011; Sherrouse et al. 2011).

#### Stakeholder engagement

Only 10 % of cases encompassed direct interaction with stakeholders (Raymond et al. 2009; Maynard et al. 2010; Swetnam et al. 2011; Ruiz-Frau et al. 2011; Bryan et al. 2011b). For these, the methods used to select stakeholders were not clear but they did not include ES providers, such as farmers, living in areas associated with ES generation, nor stakeholders explicitly identified as recipients. Intermediaries were documented in 10 % of cases.

### Discussion

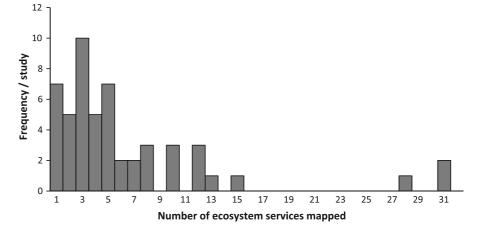
A new and comprehensive typology of ES maps was presented and proved useful as the framework for the assessment of approaches to mapping ES from rural landscapes in relation to their management. This revealed that mapping approaches so far published have emphasised natural capital stocks of few services (mode of three), at large scales (>1,000 km<sup>2</sup>) and coarse resolution (>100 m<sup>2</sup>).

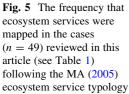
Often, the emphasis has been on areas of ES generation with little attention paid to flow pathways, severely limiting the extent to which reception of services, interactions amongst services, and impacts on different stakeholders are considered. The ARIES toolkit<sup>1</sup> is described as being capable of mapping flows, but this capacity was not demonstrated in the published output that we evaluated. The need to balance supply and demand for ES has recently been highlighted (Crossman et al. 2013). In practice, ES are only 'provided', when they benefit stakeholders (Hein et al. 2006), and so, without tracking services from their source through to their reception, it is not possible to fully evaluate them. It is dangerous to assume that ES are primarily received close to their source, or only in relation to topographical routing. For example, protein produced in rural landscapes in Wales is primarily exported (80 % of livestock products enter international trade) and vast quantities of water (equivalent to the daily requirement of the entire Welsh population) are extracted and transported through canal systems to provide water for Birmingham in England (Russell et al. 2011).

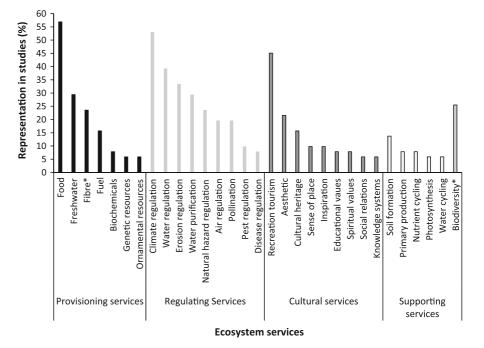
In general, approaches to ES mapping focused on the present and possible future states of ES generation from a bounded watershed or administrative unit. There is increasing interest in the cultural heritage associated with landscapes, as embodied in the principles enshrined in the European Landscape Convention (Council of Europe 2000). In many cases, it is likely to be important to place current options for managing ES provision within the context of the past

<sup>&</sup>lt;sup>1</sup> http://esd.uvm.edu/uploads/media/ARIES.pdf.

Fig. 4 Histogram of the number of ecosystem services mapped per case (n = 50) reviewed in this article (see Table 1). One study (He et al. 2011) did not clearly indicate the number of ecosystem services mapped







evolution of ecosystem function, both to understand the likely future impact of options, and to be cognisant of landscape heritage. There is clearly scope for the use of trend analyses and dynamic visualisations to augment what can be achieved with static maps, particularly given the increasing availability of satellite land cover data (Burkhard et al. 2012).

Although considerable uncertainty is inherent in both input data and mapped output, this is rarely acknowledged, quantified or presented on maps. Given the widely acknowledged gaps in data about ecosystem processes, the degree to which maps present uncertainty is an important consideration. There is an increasing requirement for explicit acknowledgement and communication of uncertainty when negotiating land use management (Morss et al. 2005).

The features of current mapping approaches highlighted above, constrain their utility for informing the management of ES provision from rural landscapes. The four major gaps between what current mapping approaches are able to do and what is required to

inform the management of ES provision are elaborated below.

Connecting land management decisions to impacts on ecosystem service generation

Mapping is fundamental to ES management and implementation of policy that promotes it, but our evaluation has shown that current approaches to producing maps are not at an appropriate scale and resolution to relate field level management decisions to impacts on ES at the local landscape scales at which they first manifest and can, therefore, be managed. This is a major gap in allowing farmers and other land managers to comprehend the impact of their decisions on ES provision. It equally constrains policy makers and implementers from assessing the value of different land management options for meeting objectives in ES generation and hence rewarding desirable management action. What is required are approaches that map landscapes with resolutions fine enough to capture field scale land management options that affect ES generation (circa 10 m) while representing their aggregate impact at local landscape scales of 10-1,000 km<sup>2</sup>.

Mapping flow pathways and boundary definition

The management of ES provision implies consideration of service flows from their source to where they are received but most approaches only consider the source area and essentially map the value of its natural capital stock without explicit consideration of flows. This engenders three major limits to the extent to which maps are useful for management, relating to: interactions amongst ES, stakeholder engagement and, boundary definition. A more comprehensive mapping approach would start by defining boundaries based upon significant flows for each service of interest. The boundaries for different services may differ (for example watersheds, habitat networks and administrative units), drawing in different receiving stakeholders some of whom may be remote from the source landscape. Even if the focus remains on the contribution of the source landscape to ES provision, this can be seen and evaluated in the broader context within which ES provision occurs, including the consideration of interactions amongst services, and management interventions, along flow pathways.

Trade-offs and synergies amongst ecosystem services

A feature of most management interventions that affect ES provision from rural landscapes, such as changes in land use, is that they will affect multiple services simultaneously and, therefore, their management requires consideration of synergies and tradeoffs amongst these impacts. Few mapping approaches have gone much beyond presenting a series maps for the services of interest. Sometimes services are bundled at source, but this may obscure the effect of management options if the bundled services have different flow pathways. A more comprehensive approach would consider impacts of interventions along flow pathways for each service and then present an analysis of synergies and trade-offs amongst them.

#### Stakeholder engagement

Mapping approaches rarely incorporated knowledge or perspectives from the three principal stakeholder groups involved in ES management: ES providers, ES receivers and intermediaries. Where stakeholders were involved, the focus was on intermediaries. Lack of information about stakeholder preferences and priorities constrains development of targeted management strategies for ES provision and misses opportunities to engage ES providers in groundtruthing data and negotiating land management to meet ES management objectives. Given the utilitarian nature of ES there needs to be clear identification of where beneficiaries are in relation to service provision and also where services are not reaching intended recipients, to balance supply and demand (Crossman et al. 2013). It is also likely that many stakeholders are not fully aware of the role their environment is playing in their own well-being. This makes it critical for spatial tools to cater for non-expert engagement with their output. The outputs produced need to be understood by a range of different stakeholders so that they facilitate exchange of information. There is a legitimate tension emerging between attempts to standardise ES classification and nomenclature to facilitate comparative analysis across contexts (Haines-Young and Potschin 2011; Seppelta et al. 2012; Crossman et al. 2013) and more flexible approaches to local definition of ES by stakeholders to facilitate their management at local scales (Sinclair and Pagella, in review). Overall, there is clearly scope for greater stakeholder engagement in the generation and interpretation of ES maps. Involving stakeholders right at the outset through groundtruthing land cover and other baseline datasets assists both in bounding and communicating uncertainty, as well as, creating greater local legitimacy for ES maps and hence the management interventions developed from them.

# Conclusion

A new and comprehensive typology of ES maps, developed by logical expansion of the basic stockflow-receptor concept, resulted in a set of map categories and interactions that embraces requirements for management of ES provision from rural landscapes. The typology was a useful framework for assessing published approaches to mapping ES in relation to their fitness for supporting ES management in a multi-stakeholder context. The assessment revealed that in general, maps of natural capital stocks rather than ES flows were produced, most often for few services (mode of three), at large scales  $(>1,000 \text{ km}^2)$  and coarse resolution  $(>100 \text{ m}^2)$ . The scant attention to mapping flows of ES limits the extent to which reception of services, interactions amongst services, and impacts on different stakeholders can be considered. The approaches generally mapped a bounded watershed or administrative unit, with little attention to landscape evolution, or to the definition of system boundaries that encompass flows from source to reception for different ES. Although uncertainty was inherent in both input data and the approaches to estimating ES values, this was rarely acknowledged, quantified or presented. These features of current mapping approaches constrain their usefulness for supporting the management of ES provision. Key areas for future development are: (1) production of maps at scales and resolutions that connect field scale management options to local landscape impacts at which they can be collectively managed; (2) approaches to mapping flows, and defining landscape boundaries, that include complete pathways, from source to reception; (3) calculating and presenting information on synergies and trade-offs amongst services; and (4) incorporating stakeholder knowledge and perspectives in the generation and interpretation of maps.

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