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Recrystallisation behaviour of a fully austenitic Nbstabilised stainless steel

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1	Recrystallisation behaviour of a fully austenitic Nb-stabilised stainless steel
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26 Summary:

27 We have performed an in-depth characterisation of the microstructure evolution of 28 20Cr-25Ni Nb-stabilised austenitic stainless steel during 1h isochronal annealing up to 29 1100°C using scanning electron microscopy. This steel grade is used as cladding 30 material in advanced gas-cooled fission reactors, due to its resistance to thermal creep 31 and oxidation. The initial deformed microstructure undergoes recrystallisation via a strain-induced boundary migration mechanism, attaining a fully recrystallised 32 33 microstructure at 850°C. A number of twins are observed in the vicinity of 34 deformation bands prior to the start of recrystallisation. New Nb(C,N) particles form gradually in the microstructure, and the particle dispersion presents a maximum 35 volume fraction of 2.7% at 930°C. At higher temperatures, the smaller particles 36 become unstable and gradually dissolve in the matrix. Consequently, the Zener 37 38 pinning pressure exerted on the grain boundaries is progressively released, triggering 39 the growth of the austenite grains up to an average size of $\sim 47 \mu m$ at 1100°C. The 40 observed temperature window for recrystallisation and grain growth can be predicted 41 by a unified model based primarily on the migration of high and low angle grain 42 boundaries.

43 Lay Description:

Austenitic stainless steel containing high percentage of chromium and nickel is currently used as fuel cladding material in the British Advanced Gas-cooled Reactors (AGR). This material has been chosen because of its high resistance to thermal creep and corrosion, both enhanced by the presence of a fine dispersion of carbo-nitrides precipitated during the cladding thermomechanical processing. During the time spent in the reactor core, few fuel cladding elements can become susceptible to local chromium depletion at grain boundaries, which is ascribed to the time evolution of the

51 microstructural damage caused by the neutron bombardment in the reactor core. This 52 depletion might increase the susceptibility of this steel to intergranular corrosion 53 attacks during medium-to-long term storage of spent fuel elements in water ponds. 54 The severity of the local chromium depletion depends not only on the irradiation conditions, but also on the grain boundary geometry. We have investigated the 55 56 recovery, recrystallisation and grain growth of AGR stainless steel during 1h annealing at selected temperatures relevant for the thermomechanical processing of 57 58 the steel claddings, focusing on the formation and evolution of grain boundaries and 59 second phases. These two features play a key role in the progression of the neutron 60 damage and the subsequent development of local chromium depletion during reactor 61 service operations. A deep understanding of the mechanisms and conditions behind 62 their formation during the thermomechanical processing of the cladding material and their interaction with each other constitutes the foundation to evaluate, and potentially 63 64 mitigate, the effect of irradiation on the cladding material.

65 Keywords: Austenitic stainless steel, advanced gas reactor, recrystallisation, niobium

66 carbo-nitrides, scanning electron microscopy.

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75 **1. Introduction**

Nb-stabilised stainless steel containing 20wt.%Cr and 25wt.%Ni is a dispersion-76 77 hardened alloy with a face-centered-cubic (fcc) austenitic matrix, used as cladding 78 material for the slightly enriched (up to 3.5% U-235) uranium dioxide-based fuel in 79 UK Advanced Gas-cooled fission Reactors (AGRs). The relatively high amount of Cr and Ni in this steel grade is aimed at increasing the material's resistance to oxidation 80 81 [Lobb, R. C., Evans, H.E. (1984)] and at stabilising the austenite phase in the 82 temperature range of the reactor core, i.e. 350-700°C [Taylor, C. (1986)], respectively. 83 Moreover, the formation of Nb carbo-nitrides prior to the cladding lifetime in the 84 reactor is meant to control the austenite grain size, increase the material's resistance to 85 thermal creep in the oxidising AGR core environment [Knowles, G. (1977)], and also trap carbon and nitrogen so as to prevent the formation of chromium-containing 86 87 carbides and nitrides at the grain boundaries of the matrix [Ramaswamy, V., West, D. 88 R. F (1970), Sourmail, T. (2001)]. The discharged fuel and the cladding are planned 89 to be stored upon removal from the reactor core in caustic-dosed water ponds for at 90 least 25 years [Whillock, G. O. H., Hands, B. J., Majchrowski, T. P., Hambley, D. I. 91 (2018)]. A reduction in the local Cr content below 12wt.% would increase the 92 susceptibility of this steel to intergranular corrosion attacks during medium-to-long 93 term storage in aqueous environments [Whillock, G. O. H., Hands, B. J., 94 Majchrowski, T. P., Hambley, D. I. (2018), Al-Shater, A., Engelberg, D., Lyon, S., 95 Donohoe, C., Walters, S., Whillock, G., Sherry, A. (2017)].

Despite the formation of Nb(C, N) particles during the steel processing, yielding a reduction in free interstitials in the matrix available to bind with Cr under reactor service conditions, significant Cr depletions have been detected in specific fuel cladding pins (i) at the (sub-)surface due to the C intake from the CO₂-based coolant

100 leading to the formation of Cr carbides, and (ii) through the cladding wall thickness 101 triggered by the evolution in time of the microstructural damage caused by the neutron 102 bombardment in the reactor core [Taylor, C. (1986)]. As a consequence of the latter 103 process, the steel cladding can become susceptible to intergranular corrosion attacks 104 and stress corrosion cracking in storage water ponds containing a concentration of \geq 0.2 mg/L Cl⁻ [Whillock, G. O. H., Hands, B. J., Majchrowski, T. P., Hambley, D. I. 105 106 (2018)]. This phenomenon, termed radiation-induced sensitisation [Kenik, E. A., 107 Inazumi, T., Bell, G. E. C. (1991)], is understood to be a consequence of the evolution of self-interstitials and vacancies after the heat spike of the radiation-induced 108 109 displacement cascade, together with the coupling of point defect diffusion with a 110 biased flux of Cr atoms away from grain boundaries, and concomitantly of Ni, Si and 111 P towards grain boundaries [Was., G. (2007), Ardell, A. J., Bellon, P. (2016)]. Radiation-induced solute redistribution and the consequent sensitisation affects the 112 AGR fuel cladding pins that operate at 350-520°C, with a peak effect at ~420°C 113 114 [Taylor, C. (1986)]. Recent work on 304 stainless steel revealed that a high-density 115 twin network can inhibit the Cr depletion and carbide formation at grain boundaries, 116 consequently yielding a higher corrosion potential, a wider passivation range and a 117 lower corrosion rate [Chen, A. Y. et al. (2017)].

An in-depth understanding of the mechanisms and conditions behind the formation of grain boundaries (GB) during the thermomechanical processing of the cladding material and the GB interaction with the fine Nb(C,N) particle dispersion, constitutes the foundation to evaluate, and potentially mitigate, the effect of irradiation on the AGR cladding material. In this study, we have investigated the recovery, recrystallisation and grain growth of AGR stainless steel during 1h annealing at selected temperatures up to 1100°C. We have monitored the evolution of the grain 125 boundaries and the second phase particles, both of which play a key role during the in-126 reactor lifetime of the AGR claddings. Numerous models for recovery [Nes, E. 127 (1995), Huang, Y., Humphreys, F. J. (2000)], recrystallization [Johnson, W. A., Mehl, 128 R. F. (1939), Avrami, M. (1939), Avrami, M. (1940), Avrami, M. (1941), Kolmogorov, A. N. (1937), Fanfoni, M., Tomellini, M. (1998), Burke, D. T. J. (1952)] 129 130 and grain growth [Burke, D. T. J. (1952), Smith. C. S. (1948), Andersen, I., Grong, Ø., 131 Ryum, N. (1995b)] have been proposed for metallic materials throughout the years. 132 However, they are often applied to high purity metals or model alloys, where the 133 effects of single parameters can be isolated unequivocally. In this study, we have used 134 the unified model proposed by Humphreys [Humphreys, F. J. (1997a), Humphreys, 135 F.J. (1997b)] to evaluate the observed annealing behaviour of 20Cr-25Ni Nb-N.C 136 stabilised stainless steel.

137 2. Experimental

The as-received material, whose composition is reported in Table 1, was in the form 138 139 of a $100 \times 50 \text{ mm}^2$ plate with a thickness of 0.5mm and had been produced to meet the 140 current cladding requirements for UK AGRs. Additional information regarding the production route of AGR claddings is reported in [Al-Shater, A., Engelberg, D., Lyon, 141 142 S., Donohoe, C., Walters, S., Whillock, G., Sherry, A. (2017), Powell, D. J., 143 Pilkington, R., Miller, D. A. (1985), Barcellini, C. Dumbill, S. Jimenez-Melero E. 144 (2018)] In this work, samples with dimensions of $5 \times 5 \text{ mm}^2$ were cut and heat treated for 1h at selected temperatures up to 1100°C in inert atmosphere, and subsequently 145 146 water quenched to room temperature. Afterwards, those samples were mechanically 147 ground and polished with a $0.25 \ \mu m$ colloidal silica suspension. Vickers hardness 148 measurements were taken using a load of 1kg. The hardness value reported for each 149 sample corresponds to the mean value of ten indentations. Chemical etching with an 150 oxalic acid 10 vol.% solution was performed at 6V for 25-30s prior to optical 151 microscopy, in order to reveal the grain boundaries of the austenitic matrix. The 152 average austenite grain size was calculated using the linear intercept method from 153 optical micrographs. The average value for each sample was derived using ten 154 measurements excluding twin boundaries.

155 An FEI Magellan HR FEG-SEM (resolution of ~2nm using an accelerating 156 voltage of 5kV and a beam current of 0.8nA [Young, R., Henstra, S., Chmelik, J., Dingle, T., Mangnus, A., Van Veen, G., Gestmann, I. (2009), Roussel, L. Y., Stokes, 157 158 D. J., Gestmann, I., Darus, M., Young, R. J. (2009)]) equipped with both a concentric 159 backscattered (CBS) detector and an electron backscattered diffraction (EBSD) detector was used to collect high-resolution back-scattered electron (BSE) 160 161 micrographs and EBSD maps covering a total area of $145 \times 65 \mu m^2$ with a step size of 162 0.15µm. The EBSD data processing was performed using the MTEX software [Bachmann, F., Hielscher, R., Schaeben, H. (2010)]. The derived EBSD maps were 163 164 used to analyze the grain boundary characteristics and their evolution with 165 temperature. The observed grain boundaries were classified into low-angle grain 166 boundaries (LAGB) presenting a misorientation angle between 2 and 15° [Humphreys, 167 F. J. (2001), Humphreys, F. J. (1999)], coincidence site lattice boundaries (CSL) 168 [Kronberg, M. L., Wilson, F. H. (1949), Randle, V., Brown, A. (1989)] and high-angle 169 grain boundaries (HAGB). A high-angle grain boundary was classified as a CSL 170 boundary of the type $\Sigma 3$, $\Sigma 5$, $\Sigma 9$ or $\Sigma 11$ when Brandon's criterion was satisfied 171 [Brandon, D. G. (1996)]. Other types of CSL boundaries were not considered in this 172 study, since they were not observed in significant numbers. The length fraction of a 173 given type of GB was calculated as the ratio between the length of that type of 174 boundary and the total length of grain boundaries observed in the EBSD map of a

175 given sample. The EBSD data were also used to obtain the recrystallisation fraction (176 f_{RX}) as a function of annealing temperature and the average sub-grain dimension. The 177 first was calculated as the change in HAGB length fraction, including CSL 178 boundaries, according to the expression [Jazaeri, H., Humphreys, F. J. (2004)]:

179
$$f_{RX} = \frac{HAGB_T - HAGB_D}{HAGB_R - HAGB_D}$$
(1)

180 where $HAGB_D$ corresponds to the length fraction of HAGB prior to recrystallisation, 181 $HAGB_R$ after complete recrystallisation, and $HAGB_T$ at a temperature *T*. The latter 182 was obtained using the linear intercept method from EBSD maps considering only 183 interception with LAGB [Humphreys, F. J. (2001)]. After grain reconstruction, the 184 orientation distribution function was derived using the Bunge convention for the three 185 Euler angles [30], and thereupon inverse pole figure colour maps were obtained.

Furthermore, the average diameter of the Nb(C,N) particles was measured 186 using the BSE micrographs, and their volume fraction (f_v) was estimated as the area 187 188 occupied by the particles over the total area of the micrograph [Humphreys, F. J., Hatherly, M. (2012)]. Five BSE micrographs, each covering an area of $\sim 138 \mu m^2$, were 189 190 used for each specimen in order to estimate the volume fraction, average diameter and 191 the standard deviation of the measurements. A FEI Tecnai G2 20 TEM microscope 192 equipped with a Gatan CCD camera was used to assess the presence of nanometric carbide particles in the microstructure, whereas a FEI Talos F200A TEM/STEM 193 194 microscope was used to investigate the chemistry of those second phase particles.

195 **3. Results**

196 *3.1. Matrix evolution during isochronal annealing*

197 Fig. 1 shows the evolution of the microstructure of 20Cr-25Ni Nb-stabilized 198 stainless steel after annealing for 1h at selected temperatures. The material has been 199 received in a deformed condition, which resembles the microstructure reported for an 200 equivalent steel grade that had undergone 25% cold work at room temperature [Jones, 201 A. R., Howell, P. R., Ralph, B. (1977)]. Elongated sub-grains (see Fig 2a), shear bands 202 (see Fig 1a and b) and deformation twins (see Fig 2a) characteristic of cold worked 203 austenitic stainless steel are still visible at 400°C and 600°C. Fig. 2a and b display 204 illustrative examples of inverse pole figures and grain boundary misorientation maps, respectively, of the same region of interest for each selected temperature, whereas the 205 206 change in the average micro-hardness and austenite grain size with temperature is 207 shown in Fig. 3, together with the evolution of the GB length fraction. The principal 208 parameters that characterise the annealing behavior of this steel at the studied 209 temperatures are collected in Table 2. Even though no recrystallised grains are observed in the microstructure up to 600°C, the increase in the average GB 210 misorientation from $\sim 15^{\circ}$ in the as-received condition to $\sim 23^{\circ}$ at 600°C suggests that 211 rearrangements of the GB dislocations are already taking place at that temperature. 212 213 Between the as-received condition and 600°C the LAGB fraction decreases while the 214 length fraction of CSL boundaries, especially Σ 3, increases by approx. four times. The 215 new twins are formed mainly at deformation bands, as illustrated in Fig. 2b for the 216 annealing temperature of 600°C. This GB rearrangement of the boundaries does not 217 cause a significant change in the average hardness value of the material, see Fig. 3a.

Small recrystallised grains are observed at 700°C at the edge of shear bands (Fig. 1c), together with a significant softening of the material. The mean GB misorientation increases to $\sim 29^{\circ}$ at this annealing temperature, and the increase in the length fraction of CSL boundaries is higher than that of HAGB. The estimated 222 recrystallisation fraction is 36%. In Fig. 1d a recrystallisation front consuming a 223 deformed region of the microstructure can be observed at 800°C. At this temperature, the recrystallisation fraction amounts to 89%. The fraction of HAGB and CSL is 224 225 similar, i.e. ~45% for each of them. At 850°C, the recrystallisation process is 226 completed and the average austenite grain size is ~3.8µm. Between 850°C and 950°C, 227 the austenitic matrix does not change significantly, with a grain size of $\sim 4\mu m$ and only 228 minor changes in the GB characteristics. Beyond 950°C, the austenite grains adopt a polygonal shape and their average size increases up to a value of ~47µm at 1100°C. 229 230 together with a gradual reduction in sample hardness.

231 *3.2. Second phase particles*

The presence of second phase particles in the microstructure during annealing 232 233 can be observed in Fig 2c, the temperature dependence of the average particle diameter and volume fraction is shown in Fig 3c. The chemical composition of the 234 235 second phase particles detected was determined with STEM/EDX microanalysis. They 236 have been found to be enriched in Nb, and have been identified as Nb(C,N). Only 237 after 1h of annealing at 930°C, clear signs of Ni and Si enrichment were observed in 238 those particles with a diameter larger than ~50nm [Barcellini, C., Dumbill, S., 239 Jimenez-Melero E. (2018)].

A particle distribution with an average diameter of 161nm was already present in the as-received material. We have observed an overall decreasing trend in the average particle diameter with increasing temperature up to 930°C, and concomitantly an increase in the particle volume fraction, in the temperature region where recrystallisation takes place in the austenitic matrix. The maximum volume fraction was observed at 930°C and amounted to 2.66%. These observations indicate the 246 formation of new smaller carbides with increasing temperature up to 930°C. The 247 particle distribution is not homogeneous and they tend to be located close to grain boundaries, as can be observed in the BSE image of the microstructure at 930°C, see 248 249 Fig. 2c. In contrast, the opposite trend occurs in the region between 930°C at 1100°C, where the particles become bigger progressively, reaching an average diameter of 250 251 156nm that lies very close to its value in the as received condition. The trend in the particle volume fraction is also reverted, falling rapidly down to ~ 0.09 % at 1100°C. 252 These facts reveal that the smaller Nb(C,N) particles are not stable above 930°C and 253 254 dissolve gradually with increasing temperature.

255 **4. Discussion**

256 20Cr-25Ni Nb-stabilized steel can be modelled as a two-phase alloy. The 257 predominant phase is fcc austenite, whereas the dispersion of Nb(C,N) particles 258 constitutes the second or minority phase. Those two phases evolve together in the 259 temperature range investigated in this study. We have used the unified model proposed by Humphreys [Humphreys, F. J. (1997a), Humphreys, F. J. (1997b)], 260 261 according to which the evolution of a given cellular microstructure during annealing 262 occurs primarily by the migration of high and low angle grain boundaries. The 263 austenite matrix can be described as an assembly of equiaxed grains or subgrains, 264 characterized by an average radius \overline{R} and a set of average grain boundary properties, namely the misorientation $\overline{\theta}$, mobility \overline{M} and energy $\overline{\gamma}$. We can then consider the 265 behavior of a particular austenite grain or subgrain R with boundary properties (θ , M, 266 γ). The spherical Nb(C,N) particle dispersion can be characterized at varying 267 268 annealing temperatures by its volume fraction f_v and its average particle diameter d. Those Nb(C,N) particles exert an average Zener pinning pressure \overline{P}_z on the migrating 269 austenite boundary of energy y [Nes, E., Ryum, N., Hunderi, O. (1979), Humphreys, 270

F.J. (1979)]. The condition for the instability leading to abnormal or discontinuous
grain growth of a particular austenite grain of radius *R* is expressed by the following
inequality [Humphreys, F. J. (1997a), Thompson, C. V., Frost, H. J., Spaepen, F.
(1987)]:

275
$$\overline{R}\frac{dR}{dt} - R\frac{d\overline{R}}{dt} > 0$$
(3)

where *t* represents the annealing time. This expression can be re-written usingmicrostructural parameters as follows:

278
$$\overline{R}M\left(\frac{\overline{\gamma}}{R} - \frac{\gamma}{R} - \frac{Z\gamma}{\overline{R}}\right) - \frac{R\overline{M}}{\overline{R}}\overline{\gamma}\left(\frac{1}{4} - Z\right) > 0 \tag{4}$$

where *Z* is a dimensionless parameter which contains in this case the properties of theNb(C,N) dispersion, according to:

281
$$Z = \frac{\overline{R}}{\overline{\gamma}} \overline{P_z} = \frac{3f_v \overline{R}}{d}$$
(5)

The *Z* values as a function of annealing temperature are given in Table 2. The austenite grain boundary mobility, *M*, and energy, γ , can both be expressed as a function of the boundary misorientation θ , whose value can be derived from the collected EBSD maps. The mobility can be expressed as a sigmoidal dependence on the boundary misorientation, according to [Humphreys, F. J., Hatherly, M. (2012), Gottstein, G., Shvindlerman, L. S. (1992),]:

288
$$M = M_m \left[1 - e^{-B \left(\frac{\theta}{\theta_m}\right)^n} \right]$$
(6)

whereas the boundary energy adopts the Read-Shockley relationship [38]:

290
$$\gamma = \gamma_m \frac{\theta}{\theta_m} \left(1 - ln \frac{\theta}{\theta_m} \right) \tag{7}$$

The constants M_m , θ_m and γ_m represent the mobility, misorientation and energy of a HAGB, whereas the parameters *n* and *B* take the values 4 and 5, respectively [Humphreys, F.J. (1997b)]. Eq. 4 can be solved for the different annealing phenomena, such as recovery, recrystallisation and grain growth, and can be used to predict which of those mechanisms dominates for a given assembly of grains or subgrains and a selected annealing temperature.

297 We have applied this model to the observed annealing behavior of 20Cr-25Ni 298 Nb-stabilized steel, using as input the experimental data contained in the blue 299 (recovery), green (recrystallisation) and red (grain growth) regions of Fig. 3. The 300 solutions of Eq. 4 are shown in Fig. 4a for recovery and recrystallisation, and in 4b in 301 the case of grain growth. The black curves in Fig. 4a have been obtained assuming 302 that the maximum austenite grain diameter is 2.5 times the average grain diameter of 303 the particle size distribution. This hypothesis relies on the fact that the austenite matrix 304 is characterized by a log-normal size distribution, and only very few grains do not 305 satisfy the aforementioned hypothesis. The blue curve has, by contrast, been obtained 306 by removing this hypothesis and allowing grains of any size $(R=\infty)$ [Humphreys, F. J. 307 (1997a), Humphreys, F. J. (1997b)]. In Fig. 4b, the minimum and maximum values of the austenite radius ratio R/\overline{R} for abnormal grain growth are show as a function of the 308 Z parameter. All the R/\overline{R} values between those two limits are solutions of Eq. 4. The 309 310 maximum size ratio which can be achieved by abnormal growth is less than 5 if Z < 0.1. 311 Below that Z value a broadening of the grain size distribution is predicted to occur, 312 instead of abnormal grain growth, and normal grain growth for the limit case of Z = 0313 [Humphreys, F.J. (1997b)].

314 Fig. 4a represents the $Z-\overline{\theta}$ map that predicts under what conditions either 315 discontinuous subgrain growth or recrystallisation is expected to occur, together with

the data points for selected annealing temperatures ≤800°C. The boundary between 316 317 both processes depends on the misorientation angle θ . The as-received microstructure 318 consists of an assembly of subgrains with a mean diameter of 1.2µm and mean boundary misorientation of 5.3°. Recrystallisation for such an assembly is inhibited in 319 320 the case of Z \geq 0.72 [Humphreys, F.J. (1997b)]. Since Z is 0.072, we would expect to 321 observe upon heating evidence of recrystallised grains. However, no obvious indication of recrystallisation has been detected after 1h annealing at either 400°C 322 323 $(Z=0.016\pm0.009)$ or 600°C (Z=0.035±0.024). The lack of clear signs of 324 recrystallisation in the blue region of Fig 3 might be due to a combination of low temperatures and a relatively short annealing time. In the as-received material and in 325 326 the specimens heat treated at 400°C and 600°C, more than 60% of the boundaries 327 observed in the austenitic matrix are LAGB, whose mobility is a function of the misorientation angle and of the temperature [Sutton, A. P., Balluffi. R. W. (1996), 328 329 Gottstein, G., Shvindlerman, L. S. (1992)]. The activation energy of LAGB migration 330 is significantly higher than that of HAGB [Humphreys, F. J., Hatherly, M. (2012)]. Alternatively, the microstructure could undergo a recovery process termed 331 332 discontinuous subgrain growth [Vandermeer, R. A. (1995)]. At 600°C the average 333 misorientation of the subgrain structure decreases to 4.5° , therefore the Z value 334 inhibiting recrystallisation reduces to $Z \ge 0.66$. Since Z is only 0.035, one can expect 335 that such a microstructure lowers its internal energy instead via recrystallisation. However, annealing the as-received microstructure up to 600°C induced instead a 336 337 rearrangement of the grain boundary dislocations, and the formation of a significant 338 number of twins in the regions of maximum local strains such as deformation bands. 339 Twins are understood to form in deformed fcc metals by lateral growth of very thin 340 deformation twins in the early stage of annealing, and they might form every time the

341 free energy of a certain boundary and its twin is less than that of the boundary and its 342 neighbors [Carpenter, H. C. H., Tamura, S. (1962),] Fullman, R. L., Fisher, J.C. 343 (1951)]. The discontinuities in mobility [Sutton, A. P., Balluffi. R. W. (1996),] and 344 energy [Rohrer, G. S. (2011)] of twin and special CSL boundaries are not fullydescribed in this model, which considers those quantities to be a continuous function 345 346 of the misorientation angle [Humphreys, F. J. (1997a), Humphreys, F. J. (1997b)]. 347 Special boundaries are known to have a lower activation energy for migration than 348 LAGB and randomly-oriented HAGB [Gottstein, G., Shvindlerman, L. S. (1992)], and 349 their activation energy is not highly affected by impurities [Fridman, E. M., Kopetskji, 350 C. V., Shvindlerman, L. S. (1975), Gottstein, G., Shvindlerman, L. S. (1992)].

For temperatures lower than 700°C (blue region of Fig. 3) no precipitation of 351 352 additional Nb(C,N) particles was observed, probably due to the relatively short 353 annealing time used in this study. According to the time-temperature-precipitation 354 diagram of Powell and co-workers, the optimum temperature for Nb carbo-nitrides lies between 650 and 700°C for longer annealing times (>10²h) [Powell, D. J., 355 356 Pilkington, R., Miller, D. A. (1988)]. The precipitation kinetics and nucleation sites of 357 Nb(C,N) particles in Nb-stabilised austenitic stainless steel depend on the 358 recrystallisation fraction and on the supersaturation of C and N in the matrix prior 359 annealing. However at least 10h of annealing at 650°C are needed to precipitate 360 significant amounts of nano-sized Nb(C,N) [Dewey, M. P. A., Sumner, G., Brammar, 361 I. S. (1965)]. This is in agreement with our EM observations at temperatures $\leq 600^{\circ}$ C 362 within the experimental uncertainty of the measurements. According to the reported 363 TTP diagram, temperatures \geq 750°C are required in order to precipitate this second 364 phase during shorter heat treatments, [Powell, D. J., Pilkington, R., Miller, D. A. (1988)]. Moreover, pre-existing Nb(C,N) particles are expected to be stable in this 365

366 low-temperature range for short annealing times [Vujic, S., Sandstrom, R.,367 Sommitsch, C. (2015)].

The green region in Fig. 3 is the one in which recrystallisation takes place to 368 369 full completion, attaining a microstructure that contains a relatively large number of 370 HAGB and CSL boundaries and a fine dispersion of Nb(C,N) particles. The data 371 points in Fig. 4a for 700°C and 800°C fall in the region in the Z- $\overline{\theta}$ map where 372 discontinuous subgrain growth is predicted by the model if $R = 2.5\overline{R}$ is supposed. This 373 process has rarely been observed experimentally [Huang, Y., Humphreys, F. J. 374 (2000)], because its occurrence critically depends on the misorientation of a particular 375 subgrain relative to the subgrain assembly mean misorientation [Humphreys, F.J. (1997b)]. Only those subgrains with a misorientation θ between $\sim 0.7\overline{\theta}$ and $1.5\overline{\theta}$ are 376 likely to undergo this process [Humphreys, F.J. (1997b)]. In the case of the specimen 377 heat treated at 700°C the probability that a subgrain has a misorientation in that range 378 is $2 \cdot 10^{-1}$, whereas at 800°C the probability decreases to $5 \cdot 10^{-2}$. The model used 379 380 predicts a wider range of conditions for discontinuous subgrain growth to occur also 381 because of the hypothesis on the maximum subgrain size $(R = 2.5\overline{R})$. If we do not 382 impose it and suppose that subgrains of larger size may exist in the microstructure (R= 383 ∞), the specimens heat treated at either 700 or 800°C fall in the region in the Z- $\overline{\theta}$ map 384 where recrystallisation is predicted (see the blue curve in Fig. 5a). Our experimental data reveals clear signs of recrystallisation at 700 and 800°C, see Fig. 1c and 1d. Once 385 386 the microstructure is fully recrystallised at 850°C, it does not change significantly 387 until 950°C. Recrystallisation originates in regions in the microstructure characterized 388 by a high misorientation gradient such as deformation bands [Huang, Y., Humphreys, 389 F. J. (2000)], where either sufficient large subgrains close to pre-existing HAGBs are 390 already present after plastic deformation [Huang, Y., Humphreys, F. J. (2000)], or can

391 form through the transition from LAGB into HAGB structure during the early stages 392 of annealing via the re-arrangement of extrinsic GB dislocations [Fullman, R. L., 393 Fisher, J.C. (1951)]. Recrystallisation then proceeds through strain induced boundary migration (SIBM), where the mobile HAGBs migrate to regions of higher stored 394 energy, i.e. higher local misorientation [Lobb, R. C., Evans, H.E. (1984)], leaving a 395 396 region behind with a relatively low dislocation density [Huang, Y., Humphreys, F. J. 397 (2000)]. Fig. 5 shows an illustrative example of small recrystallised grains formed 398 close to a deformation band, where the bulging boundaries of the recrystallisation 399 front which surround the non-recrystallised deformation band are clearly visible.

400 During the recrystallisation of the austenite matrix, there is also a steady 401 increase in the volume fraction of the second phase particles, which reaches its 402 maximum at 930°C, coupled with an overall trend of decreasing particle size. Once 403 the recrystallisation is completed, carbides are often found at HAGB and CSL 404 boundaries of the matrix, see Fig. 6, hindering the migration of GBs and therefore 405 delaying grain growth. The microstructure at 930°C is characterized by a mean grain misorientation of θ -49° and a Z value of ~1.9. Eq.4 predicts that grain growth is 406 inhibited for Z≥1. Our previous investigation of the microstructural evolution at 407 408 930°C as a function of annealing time showed that the grain boundary migration 409 destabilises a number of small Nb(C,N) particles, which dissolve and subsequently re-410 precipitate at longer annealing times [Barcellini, C., Dumbill, S., Jimenez-Melero E. 411 (2018)]. At this annealing temperature, larger Nb(C,N) particles become enriched in 412 Si and Ni at the phase boundaries, hinting towards a transition to G-phase [Barcellini, 413 C., Dumbill, S., Jimenez-Melero E. (2018)]. The increase in annealing temperature 414 above 950°C causes a reduction in the volume fraction of Nb(C,N) particles, together 415 with an increase in the average particle size. As a consequence of the dissolution of

the smaller particles, the austenite grain size increases and the material hardness reduces gradually. According to the model, abnormal grain growth is expected to occur for $0.25 \le Z \le 1$, see Fig. 4b.

The minimum R/\overline{R} value for abnormal grain growth increases with the Z value 419 up to Z~1. The data point for 1000°C in Fig. 4b lies just on the $(R/\overline{R})min$ curve, 420 421 whereas Z decreases to 0.42 at 1100°C and approaches the region where normal & 422 abnormal grain growth are predicted to occur. At the latter temperature, we have observed a significant grain growth in the austenite matrix, but we have not detected 423 424 any austenite grain whose sizes is at least five times larger than the average grain size, 425 so as to be classified as abnormal grain growth [Humphreys, F.J. (1997b)]. The 426 approach of annealing 20Cr-25Ni Nb-stabilized stainless steel at 930°C therefore 427 ensures the maximum fraction of HAGB and CSL boundaries, esp. twin boundaries, a 428 refined austenite grain size and the maximum volume fraction of fine Nb(C,N) 429 particles dispersed in the austenite matrix, and therefore provides the cladding 430 material with enhanced resistance to water corrosion and thermal creep.

431 **5.** Conclusions

432 We have performed an in-depth characterization of the microstructure evolution of 433 20Cr-25Ni Nb-stabilized austenitic stainless steel during 1h isochronal annealing using scanning electron microscopy. The initial deformed microstructure undergoes 434 435 recrystallisation via a strain-induced boundary migration mechanism, attaining a fully 436 recrystallised microstructure at 850°C. Prior to the start of recrystallisation, a number 437 of twins are formed close to the deformation bands present in the plastically deformed microstructure. A further increase in the annealing temperature does not modify 438 439 significantly the austenite matrix until 950°C is reached. However, the volume 440 fraction of Nb(C,N) particles increases during recrystallisation and attains a maximum

441 at 930°C. At higher annealing temperatures, the smaller particles are thermally 442 unstable and dissolve in the matrix. This particle dissolution gradually releases the 443 Zener pinning pressure on the austenite grain boundaries, and consequently triggers 444 the grain growth in the matrix of the material. The evolution of the microstructure 445 upon 1h isothermal annealing up to 1100°C can be predicted using a unified model of 446 cellular microstructures, based on the migration of high and low angle grain 447 boundaries.

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583 Figure

Fig. 1. BSE micrographs of 20Cr-25Ni Nb-stabilised stainless steel after 1h of annealing at selected temperatures. 'As Rec.' denotes the as-received microstructure as a reference prior to annealing.

587 Fig. 2. (a) Inverse pole figure colour map (b) grain boundary misorientation map of 588 the same region of interest in the microstructure, together with (c) BSE images of the 589 microstructure at selected annealing temperatures.

Fig. 3. Temperature dependence of (a) Vickers hardness and average austenite grain diameter, (b) grain boundary length fraction, and (c) mean Nb(C, N) particle diameter and volume fraction. 'LAGB' and 'HAGB' denotes low-angle and high-angle grain boundaries, whereas 'CSL' stands for special coincidence site lattice boundaries, see Section 2. The background colour highlights the transition with increasing annealing temperature from deformed microstructure (blue) to a regime where recrystallization (green) and grain growth (light red) occurs.

597 Fig. 4. Solution of Eq. 4 for (a) recovery and recrystallization assuming $R=2.5\overline{R}$ 598 (black lines) and $R=\infty$ (blue line), and for (b) grain growth, see text.

Fig. 5. Kernel average misorientation map showing an example of strain inducedboundary migration in the microstructure after annealing at 800°C for 1h. HAGBs and

- 601 CSL boundaries are coloured in black, whereas LAGBs are displayed in magenta.
- 602 **Fig 6.** BSE micrographs showing the distribution of Nb(C, N) particles in the 603 microstructure after 1h annealing heat treatment at 930°C. LAGBs are coloured in

- black, HAGBs in blue, CSL Σ 3 in red, CSL Σ 9 in cyan and CSL Σ 11 in magenta
- 605 respectively.
- 606 Additional Figure. TEM BF micrograph showing the microstructure of (a) the as
- 607 received condition, and also after annealing for 1h at (b) 400°C and (c) 930 °C.

608

for Review Only

Lay Description:

Austenitic stainless steel containing high percentage of chromium and nickel is currently used as fuel cladding material in the British Advanced Gas-cooled Reactors (AGR). This material has been chosen because of its high resistance to thermal creep and corrosion, both enhanced by the presence of a fine dispersion of carbo-nitrides precipitated during the cladding thermomechanical processing. During the time spent in the reactor core, few fuel cladding elements can become susceptible to local chromium depletion at grain boundaries, which is ascribed to the time evolution of the microstructural damage caused by the neutron bombardment in the reactor core. This depletion might increase the susceptibility of this steel to intergranular corrosion attacks during medium-to-long term storage of spent fuel elements in water ponds. The severity of the local chromium depletion depends not only on the irradiation conditions, but also on the grain boundary geometry. We have investigated the recovery, recrystallisation and grain growth of AGR stainless steel during 1h annealing at selected temperatures relevant for the thermomechanical processing of the steel claddings, focusing on the formation and evolution of grain boundaries and second phases. These two features play a key role in the progression of the neutron damage and the subsequent development of local chromium depletion during reactor service operations. A deep understanding of the mechanisms and conditions behind their formation during the thermomechanical processing of the cladding material and their interaction with each other constitutes the foundation to evaluate, and potentially mitigate, the effect of irradiation on the cladding material

Table 1. Chemical composition (wt.%) of the Nb-stabilised austenitic steel used in this

study.

С	Mn	Si	S	Р	Cu	Ni	Cr	Mo	Nb	V	Al	Ti	N	Fe
0.058	0.59	0.58	0.002	< 0.003	< 0.01	23.98	19.12	< 0.01	0.57	<0.01	0.017	0.01	0.009	Bal.

For Review Only

Table 2. Microstructural parameters relevant for the description of the annealing behaviour of 20Cr 25Ni Nb-stabilised stainless steel. The austenite matrix is characterised by the average grain (*D*) and subgrain (D_{sub}) diameter, together with the average grain ($\overline{\theta}$) and subgrain ($\overline{\theta_{sub}}$) misorientation angle. The Nb(C,N) particle distribution is defined by its average grain diameter (*d*), volume fraction (f_v) and Z

parameter. 'As Rec.' denotes the as-received microstructure.

T (°C)	D(µm)	D _{sub} (µm)	<u>θ</u> (°)	$\overline{\theta_{sub}}(^{\circ})$	d(nm)	f _v (%)	Z
As Rec.	7.5	1.2	14.6	5.3	161	0.64	0.072*
400	16.3	3.7	23.6	4.4	131	0.04	0.016*
600	13.2	1.9	22.8	4.5	140	0.18	0.035*
700	8.9	2.7	29.0	5.0	94	0.77	0.331*
800	4.0	3.4	48.5	6.4	103	1.39	0.691*
930	4.4	-	48.5	-	95	2.66	1.839**
1000	20.2	-	-	-	125	0.32	0.780**
1100	47.1	-	45.5	-	156	0.09	0.421**

Calculated using (*) the subgrain diameter or (**) the grain diameter.



Fig. 1. BSE micrographs of 20Cr-25Ni Nb-stabilised stainless steel after 1h of annealing at selected temperatures. 'As Rec.' denotes the as-received microstructure as a reference prior to annealing.

43x18mm (300 x 300 DPI)



Fig. 3. Temperature dependence of (a) Vickers hardness and average austenite grain diameter, (b) grain boundary length fraction, and (c) mean Nb(C, N) particle diameter and volume fraction. 'LAGB' and 'HAGB' denotes low-angle and high-angle grain boundaries, whereas 'CSL' stands for special coincidence site lattice boundaries, see Section 2. The background colour highlights the transition with increasing annealing temperature from deformed microstructure (blue) to a regime where recrystallization (green) and grain growth (light red) occurs.

159x357mm (300 x 300 DPI)



Fig. 2. (a) Inverse pole figure colour map (b) grain boundary misorientation map of the same region of interest in the microstructure, together with (c) BSE images of the microstructure at selected annealing temperatures.

48x43mm (300 x 300 DPI)



Fig. 4. Solution of Eq. 4 for (a) recovery and recrystallization assuming R=2.5R (black lines) and R= ∞ (blue line), and for (b) grain growth, see text.

192x356mm (300 x 300 DPI)



Fig. 5. Kernel average misorientation map showing an example of strain induced boundary migration in the microstructure after annealing at 800°C for 1h. HAGBs and CSL boundaries are coloured in black, whereas LAGBs are displayed in magenta.

42x32mm (300 x 300 DPI)



Fig 6. BSE micrographs showing the distribution of Nb(C, N) particles in the microstructure after 1h annealing heat treatment at 930°C. LAGBs are coloured in black, HAGBs in blue, CSL Σ 3 in red, CSL Σ 9 in cyan and CSL Σ 11 in magenta respectively.

43x23mm (300 x 300 DPI)



Additional material

54x15mm (300 x 300 DPI)