



Nanoscale Near-Field Tomography of Surface States on $(\text{Bi}(0.5)\text{b}(0.5))_2\text{Te}_3$

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1 **Nanoscale near-field tomography of surface states on $(\text{Bi}_{0.5}\text{Sb}_{0.5})_2\text{Te}_3$**

2 *Fabian Mooshammer¹, Fabian Sandner¹, Markus A. Huber^{*1}, Martin Zizlsperger¹, Helena*
3 *Weigand¹, Markus Plankl¹, Christian Weyrich², Martin Lanius², Jörn Kampmeier², Gregor*
4 *Mussler², Detlev Grützmacher², Jessica L. Boland¹, Tyler L. Cocker³, Rupert Huber^{*1}*

5 ¹Department of Physics, University of Regensburg, 93040 Regensburg, Germany

6 ²Peter Grünberg Institut 9, Forschungszentrum Jülich & JARA Jülich-Aachen Research Alliance,
7 52425 Jülich, Germany

8 ³Department of Physics and Astronomy, Michigan State University, East Lansing, Michigan
9 48824, USA

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20 *E-Mail: markus.huber@ur.de, Fax: +49 941 943 4223

21 *E-Mail: rupert.huber@ur.de, Fax: +49 941 943 4223

22 ABSTRACT:

23
24 Three-dimensional topological insulators (TIs) have attracted tremendous interest for their possi-
25 bility to host massless Dirac fermions in topologically protected surface states (TSSs), which may
26 enable new kinds of high-speed electronics. However, recent reports have outlined the importance
27 of band bending effects within these materials, which results in an additional two-dimensional
28 electron gas (2DEG) with finite mass at the surface. TI surfaces are also known to be highly
29 inhomogeneous on the nanoscale, which is masked in conventional far-field studies. **Here**, we use
30 near-field microscopy in the mid-infrared spectral range to probe the local surface properties of
31 custom-tailored $(\text{Bi}_{0.5}\text{Sb}_{0.5})_2\text{Te}_3$ structures with nanometer precision in all three spatial dimensions.
32 **Applying nano-tomography and nano-spectroscopy, we reveal a few-nm-thick layer of high**
33 **surface conductivity and retrieve its local dielectric function, without assuming any model for the**
34 **spectral response. This allows** us to directly distinguish between different types of surface states.
35 An intersubband transition within the massive 2DEG formed by quantum confinement in the bent
36 conduction band manifests itself as a sharp surface-bound Lorentzian-shaped resonance. An
37 additional broadband background in the imaginary part of the dielectric function may be caused
38 by the TSS. Tracing the intersubband resonance with nanometer spatial precision, we observe
39 changes of its frequency, likely originating from local variations of doping or/and the mixing ratio
40 between Bi and Sb. Our results highlight the importance of studying the surfaces of these novel
41 materials on the nanoscale to directly access the local optical and electronic properties via the
42 dielectric function.

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44 **KEYWORDS:** Near-field microscopy, SNOM, tomography, topological insulator, surface state,
45 **band bending**

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50 TEXT:

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52 Three-dimensional (3D) topological insulators¹⁻⁵ (TIs) have emerged as a fascinating class of
53 solids due to their unique surface properties. Whereas the bulk material is insulating, topologically
54 protected, metallic states are found at the surface that host massless Dirac fermions. Owing to the
55 chiral spin texture of these surface states, time-reversal symmetry prevents backscattering at the
56 surface, providing hope for extremely high carrier mobilities that can be exploited in next-genera-
57 tion devices⁶. Such spin-momentum locking holds further promise for spintronic applications, as
58 the surface electric current is directly linked to a spin current. Control of these surface electrical
59 currents has already been reported, not only by varying the polarization of the incident light⁷, but
60 also on ultrafast timescales after photoexcitation of electrons⁸⁻¹¹. Furthermore, there is a variety of
61 exciting physical phenomena that can be explored in 3D TIs, including Floquet-Bloch states^{12,13},
62 Dirac surface plasmons¹⁴ or strong optical nonlinearities¹⁵.

63 Unfortunately, prototypical van der Waals-layered 3D TIs, such as Bi₂Se₃ or Bi₂Te₃, are inherently
64 heavily doped ($\sim 1 \times 10^{19} \text{ cm}^{-3}$), resulting in a finite conductivity of the bulk material. Recently,
65 ternary alloys of (Bi_{1-x}Sb_x)₂Te₃ ($x = 0 \dots 1$) have allowed full control of the Fermi level and even
66 the position of the Dirac point relative to the band edges^{16,17} via tuning of Sb concentration. Im-
67 portantly, the nature of the topologically protected surface states (TSSs) is retained over the entire
68 range of Sb concentrations, providing a means to minimize the bulk conductance due to inherent
69 doping in these materials¹⁸, which otherwise obscures the properties of these surface states.
70 Additionally, the surface of 3D TIs can be unintentionally doped either due to aging and degrada-
71 tion effects under ambient conditions¹⁹, or structural defects and impurities²⁰. This surface doping
72 induces band bending which can lead to quantum confinement of charge carriers at the surface.
73 Consequently, a two-dimensional electron gas^{20,21} (2DEG) forms, which gives rise to surface states
74 of massive electrons alongside the topologically protected ones. An experimental way of distin-
75 guishing these fundamentally different states is therefore essential for understanding the surface
76 properties of TIs and designing TI-based devices that are robust under ambient conditions.

77 In far-field studies, the most direct way to access surface states is angle-resolved photoemission
78 spectroscopy^{4,9,11-13,16-18,20-24} (ARPES). However, this technique averages over nanoscale
79 inhomogeneities, losing vital local information. TI surfaces possess inhomogeneity not only in
80 their morphology²⁵, but also their local electronic properties²⁶ and carrier density^{27,28}, all of which
81 influence the properties of the surface states locally. For this reason, it is crucial to study these
82 materials with nanometer precision to unravel the local composition of the surface states. Scanning
83 probe techniques readily achieve such nanometer-scale resolution laterally and are therefore
84 ideally suited to study local properties of TI surfaces²⁵⁻²⁷.

85 Here, we employ scattering-type scanning near-field optical microscopy²⁸⁻³⁴ (s-SNOM) to study
86 the surface states of (Bi_{0.5}Sb_{0.5})₂Te₃ on nanometer length scales. This technique also provides an
87 additional tomographic sensitivity^{30,34} in the third spatial dimension that enables selective probing
88 of the surface states. We identify a massive 2DEG and indications of the TSS. The surface states'
89 extension is found to be on the order of $\sim 5 \text{ nm}$ and their local properties are directly traced via the

90 dielectric function. These results represent crucial insights into the inhomogeneity of TI surfaces
91 on the nanoscale.

92 We investigate $(\text{Bi}_{0.5}\text{Sb}_{0.5})_2\text{Te}_3$ wedge-shaped structures³⁵ that were deposited by means of
93 molecular beam epitaxy on a pre-structured Si/SiO₂ substrate (see Supporting Information (SI)).
94 An Sb concentration of 50% was chosen, based on previously reported values¹⁸, to ensure a
95 maximal resistivity and a minimal density of free carriers within the bulk material, thereby
96 reducing the response of the bulk and isolating that of the TI surface. To achieve the deeply sub-
97 wavelength resolution^{28-34,36-47} required to observe this response on the nanoscale, the sharp
98 metallic tip of an atomic force microscope is placed above the sample and illuminated with
99 broadband mid-infrared (MIR) light pulses with a center frequency of 38 THz and a full width at
100 half maximum of 6 THz (Fig. 1a, bottom inset). Underneath the tip apex, strong evanescent near
101 fields emerge that locally probe the $(\text{Bi}_{0.5}\text{Sb}_{0.5})_2\text{Te}_3$ structure with a spatial resolution as good as
102 10 nm (ref. 30), overcoming the diffraction limit by orders of magnitude. The interacting tip-
103 sample system then scatters the MIR radiation back into the far field, where it is detected using a
104 mercury cadmium telluride semiconductor diode. By operating the metallic tip in tapping mode,
105 we can demodulate the detected (non-interferometric) intensity I_n at harmonic orders n of the
106 tapping frequency, to obtain background-free signals (see SI for experimental details)²⁸⁻³⁴.

107 By raster scanning the tip across the sample, the topography and the scattered intensity in the MIR
108 can be recorded simultaneously (Fig. 1a, top inset), as shown in Fig. 1b for one representative
109 region of a $(\text{Bi}_{0.5}\text{Sb}_{0.5})_2\text{Te}_3$ structure. Overall, the near-field intensity scattered over the TI is
110 increased compared to the substrate. Furthermore, the topography (Fig. 1b, top panel) clearly
111 shows inhomogeneities across the surface of the ternary alloy, distinguishing triangular pro-
112 trusions, which cannot be observed using far-field techniques. This nanoscale topography is also
113 clearly discernible in the scattered intensity I_3 (Fig. 1b, bottom panel), where the same triangular
114 features are observed and the intensity is enhanced in the topographic troughs. This behavior may
115 be caused either by locally enhanced near fields or by a modification of the surface states. A precise
116 distinction of these contributions will be reserved to future studies of extremely flat samples. The
117 scattering response in Fig. 1b, however, exhibits an additional larger-scale gradient, which is free
118 of such complications and points towards local changes of the dielectric function.

119 As the scattered radiation probes the dielectric function close to the material surface, the global
120 enhancement of near-field scattering suggests that the TI surface possesses a large conductivity.
121 We utilize nano-spectroscopy to identify the type of surface states contributing to this signal.
122 Therefore, the scattered radiation is superimposed with a MIR reference pulse to measure the
123 amplitude s_n and phase ϕ_n of the near field interferometrically (see SI for experimental details) and
124 retrieve their spectral shapes. We reveal the characteristic spectral signature of the surface state
125 locally by recording near-field spectra as a function of the tip position along a line across the TI
126 surface. The topography and scattered intensity of the TI region chosen for the scan are depicted
127 in Fig. 2a. Figure 2b shows a so-called hyperspectral map, where the spectral amplitude s_n is
128 plotted as a function of frequency and position (white dashed line in Fig. 2a). Here the scattered

129 near-field amplitude is normalized to the spectral response of a silicon reference sample. The
130 spectra measured on the Si/SiO₂ substrate (see vertical white line in Fig. 2b) exhibit a strong
131 phonon response at ~33.8 THz, which has been reported previously^{32,38,44}. The spectral amplitude
132 on the TI shows distinctly different spectral features. Two aspects are particularly noteworthy.
133 First, there is a dominant step-like increase of the scattering response for frequencies higher than
134 ~38 THz. Second, the overall amplitude of this feature changes slightly as a function of position,
135 in line with the variation of the scattered intensity in Fig. 2a. This corroborates that local probing
136 with near-field microscopy is crucial for revealing essential information about the local surface
137 properties.

138 To investigate the depth dependence of this scattering response, we perform nano-tomogra-
139 phy^{30,34,39-42}. By either changing the tapping amplitude of the tip or by studying different demodu-
140 lation orders, respectively^{30,34}, the probing volume can be altered (see SI, section 4): a large tapping
141 amplitude probes the sample response deep within the bulk of the TI material, whereas a small
142 tapping amplitude only interrogates the response from a shallow surface layer³⁰. Similarly, by
143 investigating different demodulation orders, depth sensitivity can be achieved: higher demodula-
144 tion orders n (for example, $n = 3$) provide shallower probing volumes as compared to smaller
145 demodulation orders ($n = 2$)³⁴.

146 Representative relative amplitude and phase spectra recorded on the TI are shown in Figs. 2c and
147 d, respectively. Interestingly, the amplitude of the step-like feature increases for more surface-
148 sensitive probing ($n = 3$ compared to $n = 2$). Nevertheless, a direct interpretation of these spectra
149 is quite challenging, as the scattered near fields of the coupled tip-sample system depend non-
150 trivially on the dielectric response of the sample. In the literature, several models have been
151 proposed to theoretically describe the near-field response. However, these models are typically
152 employed to calculate the scattering response starting with a complex-valued dielectric function
153 known *a priori*. We have developed a numerical inversion algorithm (similar to previously
154 demonstrated algorithms^{34,45}; see SI, section 3) to invert the process and directly convert the
155 relative amplitude and phase (Figs. 2c,d) of the experimentally measured scattered near-field
156 response into the corresponding real and imaginary part of the local dielectric function of the
157 material (Figs. 2e,f), respectively. Our algorithm uses the finite-dipole model⁴⁷ to describe the tip-
158 sample interaction. We verified that the qualitative features discussed in the following are also
159 found for other models established in the literature for describing the interaction between the tip
160 and a bulk sample (from now on referred to as “bulk models”), such as the point-dipole model⁴⁴
161 and the lightning-rod model⁴⁵.

162 The dielectric function of the TI sample retrieved in this way is depicted in Figs. 2e and f. The
163 imaginary part of the local dielectric function (Fig. 2f), $\bar{\epsilon}_2(\omega)$, features a sharp resonance with a
164 Lorentzian line shape while the corresponding dispersive shape is observed in the real part, $\bar{\epsilon}_1(\omega)$
165 (Fig. 2e). Yet, the analysis with bulk models is inherently challenged since the evaluation of
166 experimental data taken at different harmonic orders yields distinctly different dielectric functions.
167 As seen in Figs. 2e and f, the more surface-selective probing with $n = 3$ results in a stronger

168 Lorentzian resonance as compared to $n = 2$. This inconsistency suggests that the relevant oscillator
169 may be located at the sample surface and a more sophisticated retrieval model inherently
170 accounting for a depth-dependent dielectric response is necessary.

171 In order to disentangle the dielectric response from the bulk and the surface, we extend our
172 description of the scattering response by combining the finite-dipole approach with a multilayer
173 model for up to five layers, as reported by Hauer *et al.* (ref. 37). We implement this concept in our
174 inversion algorithm, for the first time, to extract the local dielectric function of the surface layer
175 alone. Figure 3a depicts a sketch of the layer structure of our sample encompassing air, the surface
176 state under study, the bulk TI, silicon, and silicon dioxide. The scattering response of the bulk TI
177 is described by a Drude gas of electrons with density $n_{\text{Drude}} = 6 \times 10^{18} \text{ cm}^{-3}$ and damping constant
178 $\gamma_{\text{Drude}} = 25 \text{ THz}$ (see SI, section 4), taken from previous data of similar structures¹⁸. For the silicon
179 and silicon dioxide layers underneath, we use literature values for the dielectric functions ϵ_{Si} and
180 ϵ_{SiO_2} (see SI). The tip radius was determined to be 36 nm by scanning electron microscopy and
181 consequently set to this value for all simulations. This radius of curvature also describes the
182 measured retraction scans with the best agreement (see SI). We make no assumptions about the
183 dielectric properties of the surface layer under study.

184 In contrast to the previously employed bulk model, the multilayer approach should consistently
185 describe the experimental scattering response for different demodulation orders (i.e. probing
186 depths, see SI) with a single dielectric function. Yet, this ideal situation is only possible if the
187 correct thickness d of the surface states is used. In turn, the requirement to describe all experimental
188 data with only one set of parameters limits the acceptable values of d . In fact, we find that the new
189 multilayer model consistently retrieves the same dielectric function for all demodulation orders
190 and tapping amplitudes if and only if the surface layer is assumed to be only a few nanometers
191 thick (see SI, section 4). In Figs. 3b,c the real and imaginary parts of the retrieved dielectric
192 function of the surface states are shown for $d = 5 \text{ nm}$. In qualitative similarity to the dielectric
193 functions obtained with the bulk models (Figs. 2e,f), the exact dielectric function of the surface
194 states is dominated by a Lorentzian resonance. Yet, the magnitudes of the real and imaginary parts
195 of the dielectric functions (ϵ_1, ϵ_2) are larger for the realistic multilayer model than for the bulk
196 approximation, since the bulk model provides only an averaged response ($\bar{\epsilon}_1, \bar{\epsilon}_2$) of the bulk and
197 the surface.

198 Note that the Lorentzian resonance cannot originate from a conventional surface plasmon since
199 the rather low electron mobilities in bulk TIs at room temperature (ref. 18) are at odds with the
200 extremely narrow linewidth seen in Figs. 3b,c. Instead, we will show next that the sharp absorption
201 line as well as the transition dipole orientation (parallel to the near-field orientation and
202 perpendicular to the surface) are characteristic of intersubband radiative transitions within a
203 massive electron gas formed at the sample surface. Since the curvatures of subbands originating
204 from the same conduction band are identical, optical dipole transitions between them feature a
205 single, well-defined energy difference. Indeed, band bending, and quantum confinement of a
206 massive 2DEG at the TI surface has already been predicted^{21,22}. For a quantitative picture, we

207 calculate the effect of band bending in our particular TI alloy using a self-consistent Schrödinger-
208 Poisson solver⁴⁸. The resulting quantum confinement of conduction band electrons perpendicular
209 to the surface results in quantized envelope wavefunctions (Fig. 3d) while the in-plane-momentum
210 remains continuous, forming two-dimensional subbands. Transitions between the two subbands
211 require the envelope wavefunctions of Fig. 3d to change, which is associated with an out-of-plane
212 dipole moment, as observed in our experiment. These excitations cannot easily be observed in far-
213 field experiments, as they lack the required out-of-plane electric field component and require
214 evanescent near fields or grating structures to efficiently couple to the electromagnetic field.

215 From our numerical simulations, we find a transition energy of 157 meV (~38 THz) by fitting the
216 barrier height to 0.38 eV. All remaining physical quantities are chosen according to literature
217 values for our TI alloy (see SI). Furthermore, we compute an effective thickness for the 2DEG
218 surface layer of 4.3 nm (see highlighted region in Figs. 3d,e), which is very close to the thickness
219 ($d = 5$ nm) employed in the retrieval of the dielectric function with the multilayer model in
220 Figs. 3b,c. Extensions of similar magnitude have previously been reported for massive surface
221 states on the related material system Bi₂Se₃²¹, highlighting the potential of our technique in
222 extracting the thickness of 2DEG or TSS layers in TI materials. The numerical calculation of the
223 band bending also allows us to extract a maximum carrier density (Fig. 3e) of $n_{3D} = 1.5 \times 10^{19}$
224 cm⁻³ or a respective sheet density of $n_{2D} = 2.9 \times 10^{12}$ cm⁻², at the surface. This suggests that these
225 surface states are highly conductive and contribute to the increase in scattered intensity at the
226 surface observed experimentally.

227 Beside the experimentally and theoretically observed strong spectral resonance, there is a further
228 noteworthy feature in the dielectric function. The Lorentzian line shape exhibits a nearly constant
229 background (Figs. 2f and 3c, blue shaded area). The offset in the imaginary part, $\epsilon_2(\omega)$, of the
230 dielectric function is of particular interest, as it suggests an additional broadband absorption over
231 the entire accessible spectral range. This absorption cannot stem from a direct interband transition
232 in the TSS, as most states within the Dirac cone are occupied (Fig. 3f). Instead, we suggest that
233 the broadband absorption originates from transitions between the TSS and the Rashba-split
234 subbands^{20,21} (Fig. 3f, orange arrows). As shown in the SI, the qualitatively different dispersion of
235 TSS and Rashba-split subbands enables transition energies in a broad frequency range covering
236 the probe spectrum of our experiment.

237 In order to harness the TSSs or 2DEG states for applications, it is imperative to investigate the
238 effect of inhomogeneity on key parameters, such as the carrier density. With this aim, we utilize
239 our multilayer algorithm to extract the local dielectric function at seven positions of another wedge
240 structure on the same sample (Fig. 4a, top panel). This should allow us, in principle, to retrieve
241 key information about carrier densities, chemical composition in alloys, and band bending, on the
242 nanoscale. The bottom panel in Fig. 4a shows the line cut of the scattered intensity along these
243 positions, as marked in the corresponding near-field image of the TI (top panel). For comparison,
244 the obtained spectra are translated into an intensity value via spectral integration. The results are
245 plotted alongside the line cuts in the bottom panel of Fig. 4a, where the spectral data are normalized

246 and supplemented with error bars to account for both the lateral drift of the sample and the drift of
247 the probe power during data acquisition.

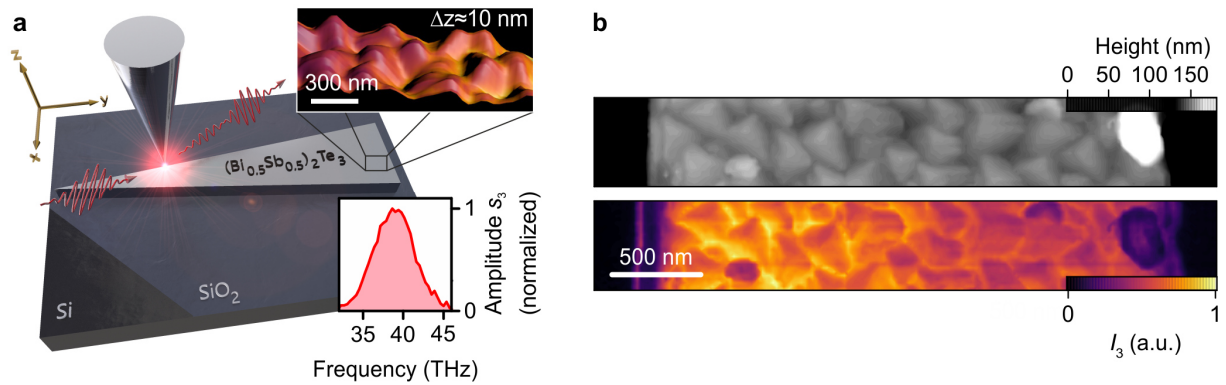
248 The relative amplitude and phase spectra for each position (see SI) are then converted to their
249 corresponding local dielectric functions, analogous to the procedure described in Figs. 3c-f. Three
250 **typical** dielectric functions, split into real (top panels) and imaginary (bottom panels) part, are
251 shown in Fig. 4b. Again, the sharp resonance is prominent and can be fitted with a Lorentzian line
252 shape including a dielectric background. Strikingly, we observe a shift in resonance frequency
253 from ~ 36 THz to ~ 38 THz as the tip position is varied, which is accompanied by a change in the
254 background observed in the real part of the dielectric function. These variations can also be clearly
255 seen in the measured spectra of the scattering response before inversion (see SI) and serve to show
256 the degree of inhomogeneity across the sample. The extracted values of the resonance frequency,
257 **the oscillator strength**, and the dielectric background are plotted in Fig. 4c for all measured spectra
258 as a function of the tip position and clearly highlight the variation of the dielectric function across
259 the sample. This local variation of the intersubband resonance within the massive 2DEG at the TI
260 surface could originate from a variety of factors. First, local fluctuations of the ratio between Bi
261 and Sb in the ternary compound will influence the band offsets and therefore the depth of the
262 confinement potential at the surface. Secondly, the dielectric constants of Bi_2Te_3 and Sb_2Te_3 differ,
263 so the effect of band bending will vary according to alloy concentration. Furthermore, local
264 variations of the Fermi level²⁷ and defects⁴⁹ will also play a significant role in the local band
265 bending and thereby the local surface carrier density.

266 Interestingly, both the resonance frequency and the dielectric background scale almost linearly
267 with $(1-I_3)$, **whereas we find a direct proportionality for the oscillator strength** (see Fig. 4c). The
268 resonance frequency determines the position of the step-like feature in the scattered amplitude,
269 whereas the dielectric background **and oscillator strength** govern its overall magnitude (for details
270 see SI). Since the scattered intensity I_3 comprises of the scattering response and the probe spectrum
271 (Fig. 4c, insets), it shows a strong dependence on, for example, the resonance frequency. Hence,
272 the spectrally integrated image in Fig. 4a also reflects the spatial dependence of the local
273 parameters of the Lorentzian-shaped resonance. Consequently, the gradient observed in the
274 scattered intensity across the TI structure (Figs. 1b, 4a) can be attributed to locally varying
275 properties of the intersubband resonance (Fig. 4c). This might open the way towards a conversion
276 of the scan shown in the top panel in Fig. 4a into a two-dimensional map of the local resonance
277 frequency, for example, from which information about local carrier densities, Bi or Sb
278 concentrations, Fermi level, and many more quantities could be deduced. Combining our
279 experiments with ARPES and investigating different samples with passivated surfaces, thereby
280 intentionally modifying the band bending, could help to unambiguously identify the TSS with
281 SNOM.

282 In conclusion, we have employed near-field microscopy and tomography in the MIR to image the
283 lateral surface inhomogeneity of selectively grown $(\text{Bi}_{0.5}\text{Sb}_{0.5})_2\text{Te}_3$ structures with nanoscale
284 precision. A dominant contribution to the scattered intensity originates from a region close to the

285 surface (5 nm thickness). By directly extracting the complex-valued dielectric function, we reveal
286 a strong Lorentzian-shaped resonance at ~ 38 THz caused by an intersubband transition within the
287 massive 2DEG, whereas an additional broadband absorption background might be an indication
288 of the TSS. On the nanoscale, we observe local variations of the intersubband resonance, indicating
289 lateral variations of band bending, due to local fluctuations of the Bi or Sb concentration, the Fermi
290 level or defects. We foresee that such a nanoscale extraction of the dielectric function using near-
291 field microscopy will find a wide range of applications for many novel materials. In our
292 experiments, we utilize coherent broadband spectra in the form of ultrashort pulses⁵⁰⁻⁵², setting the
293 stage for nanoscale pump-probe experiments on topological insulators. In the future, femtosecond
294 photoexcitation⁵³⁻⁵⁵ in combination with nano-tomographic probing of the subsequent ultrafast
295 dynamics should allow **the interplay between various surface and bulk states to be distinguished.**
296 Complementary ultrafast nanoscale photoemission spectroscopy⁵⁶ measurements could provide
297 additional insights into the local carrier dynamics. The improved microscopic understanding will
298 aid the development of optimized three-dimensional topological insulators with yet larger
299 mobilities. Thus, **MIR** Dirac plasmons on the surfaces of TIs **may** ultimately be resolved and
300 controlled in real space using ultrafast SNOM.

301 FIGURES:

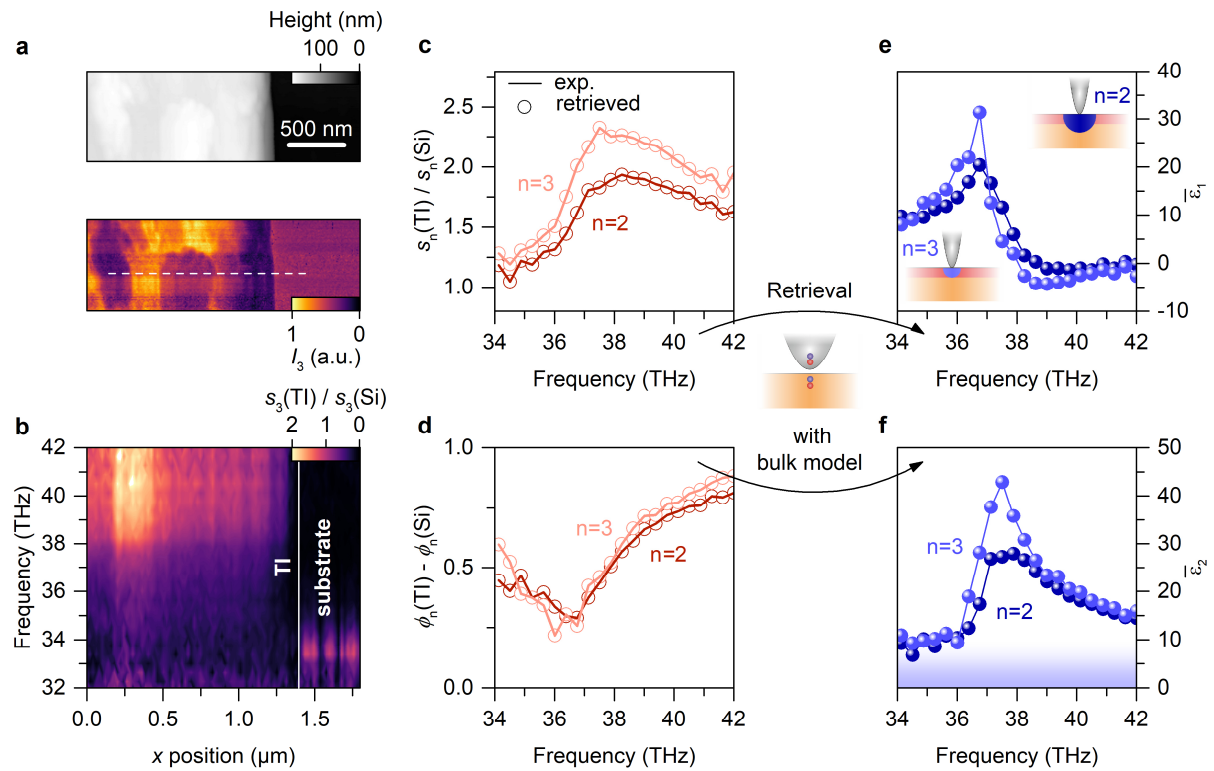


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303 **Figure 1.** Schematic of near-field microscopy and the surface inhomogeneity of $(\text{Bi}_{0.5}\text{Sb}_{0.5})_2\text{Te}_3$ structures.
304 (a) Schematic of the experimental setup depicting a mid-infrared pulse (red waveform) that illuminates the
305 sharp metallic tip. Subsequently, it is scattered back into the far field (longer, red waveform). The near
306 fields underneath the tip apex (red shining area) probe the sample and allow the $(\text{Bi}_{0.5}\text{Sb}_{0.5})_2\text{Te}_3$ wedge-
307 shaped structure to be studied on the nanoscale. The topological insulator was selectively grown on a
308 Si/SiO₂ substrate with a nominal height of ~80 nm. The top inset illustrates the inhomogeneity of a typical
309 structure showing the topography as height profile with the color-coded scattering response superimposed.
310 The amplitude spectrum of the MIR probe pulses scattered from the near field over a silicon reference and
311 measured interferometrically (Fig. 1a, see SI for details) is shown in the bottom inset (center frequency:
312 38 THz; full width at half maximum: 6 THz). (b) Topography (top panel) and simultaneously acquired
313 scattered mid-infrared intensity I_3 (bottom panel) of a representative region of a $(\text{Bi}_{0.5}\text{Sb}_{0.5})_2\text{Te}_3$ structure,
314 highlighting that the inhomogeneity is not only structural, but also dominant in the local scattering response.
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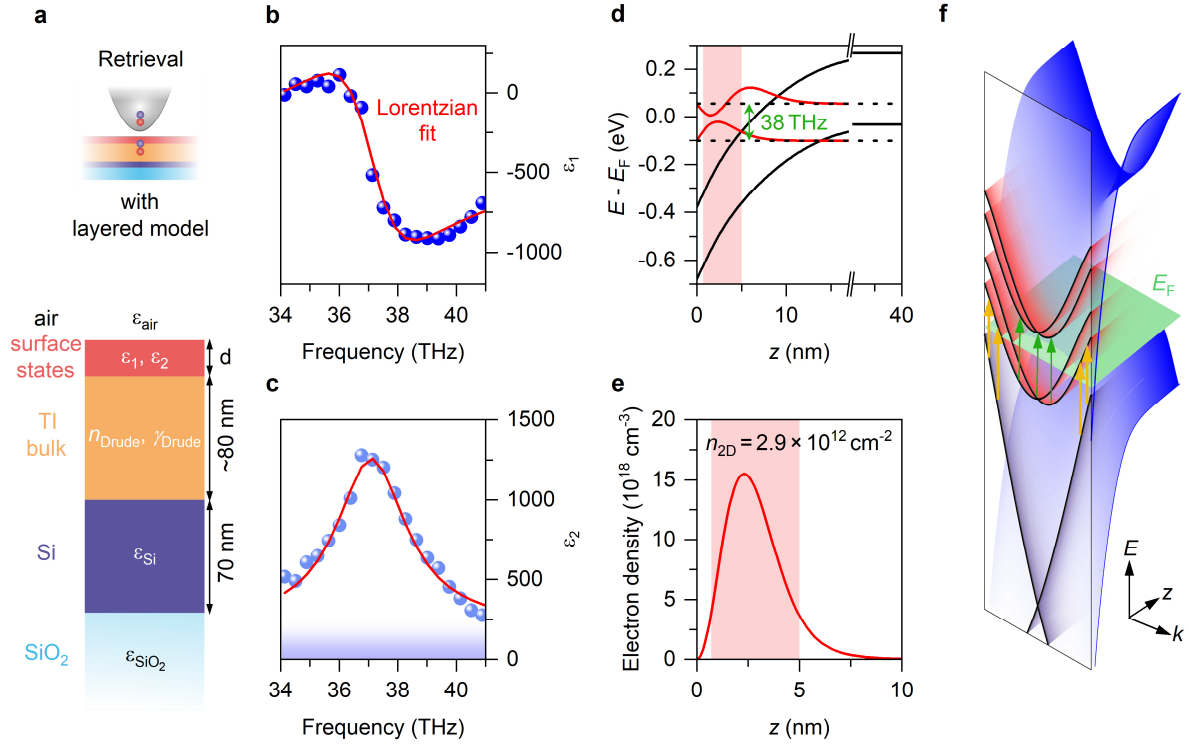
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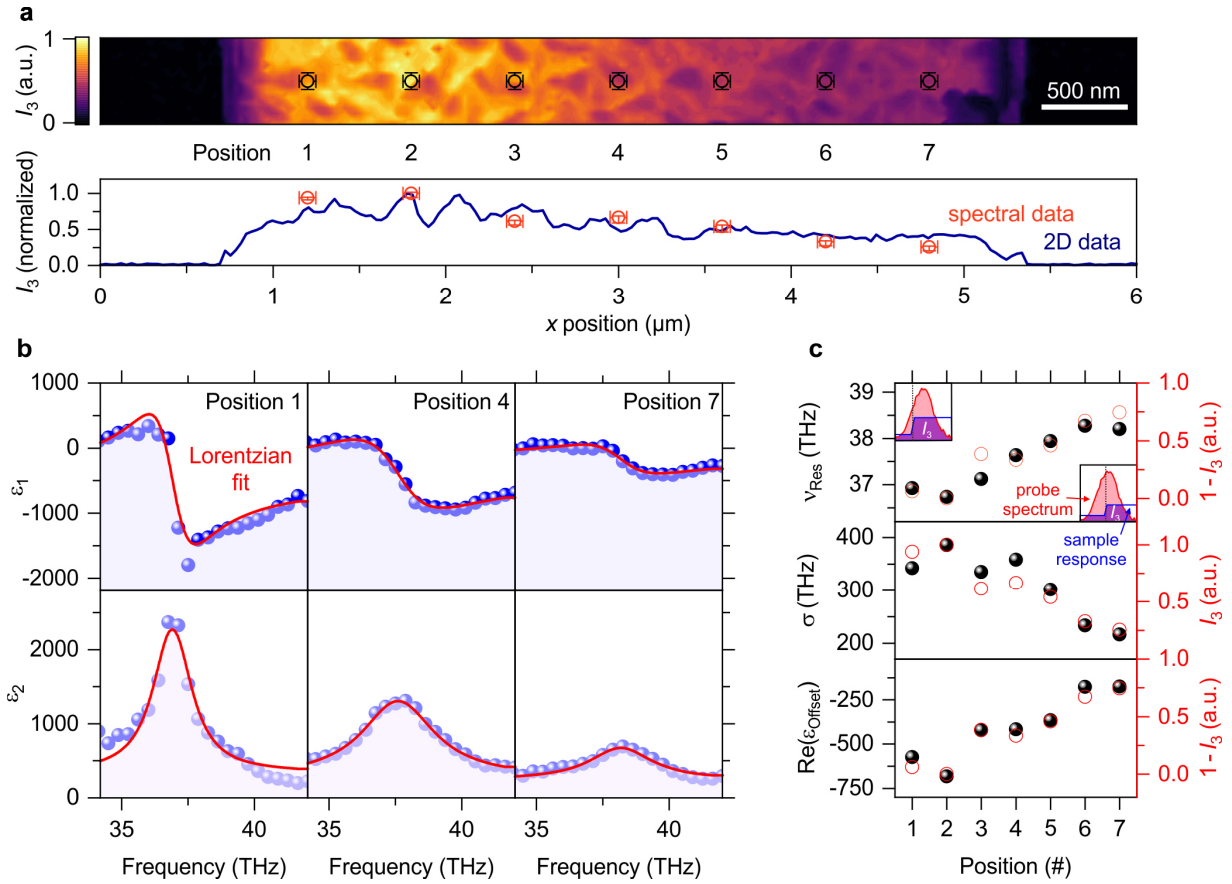
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 319 **Figure 2.** Spectral response of the surface state and extraction of the dielectric function. (a) Topography
 320 (top panel) and scattered intensity I_3 (bottom panel) near the edge of a TI wedge. The white dashed line
 321 indicates the path over which the tip is scanned to take the data in (b). (b) Hyperspectral measurement along
 322 the white dashed line in (a). The interferometrically measured spectral amplitude s_3 (see SI for details)
 323 normalized to the response from a silicon reference sample $s_3(\text{Si})$ is color-coded as a function of the tip
 324 position x and the probe frequency. (c), (d) Scattered amplitude $s_n(\text{TI})$ and phase $\phi_n(\text{TI})$ of the topological
 325 insulator normalized to a silicon reference sample for demodulation orders $n = 2, 3$ (solid lines). The circles
 326 indicate data points that were retrieved by the inversion algorithm with a bulk model to extract the complex-
 327 valued dielectric function. (e), (f) Real ($\bar{\epsilon}_1$) and imaginary parts ($\bar{\epsilon}_2$) of the local dielectric functions
 328 corresponding to the circles in (c) and (d) obtained via inversion of the finite-dipole model on a bulk
 329 medium.

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Figure 3. Retrieval of the dielectric function with a multilayer model. (a) Sketch of the layered sample structure and input parameters for the retrieval algorithm. (b,c) Real (ϵ_1) and imaginary parts (ϵ_2) of the local dielectric function of the surface state, obtained via numerical inversion of the finite-dipole model on a five-layer structure. The analysis was performed for $d = 5$ nm. (d) Numerical simulation of the band bending of the conduction and valence band based on a self-consistent solution of the Schrödinger-Poisson equation (the simulation parameters are given in the SI). A massive, two-dimensional electron gas forms at the surface of the TI due to this quantum confinement. Green arrow: transition between the two lowest subbands, visualized via their respective wavefunctions (red curves). (e) Electron density close to the TI surface based on the results of the numerical band bending calculation in (d). The shaded regions in (d,e) indicate the determined extension of the surface states. (f) Sketch of the bandstructure of the sample as a function of wavevector and vertical direction into the material. The TSS (black lines) lies at the surface (vertical plane). Due to band bending of the valence and conduction bands (blue surfaces), subbands (red shaded lines) emerge at the surface. Green arrows: intersubband transitions corresponding to the measured Lorentzian-shaped dielectric function. Furthermore, transitions from the TSS to the lowest subband (orange arrows) may explain the broadband background in the dielectric function (shaded regions in Figs. 2f and 3c).



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Figure 4. Tracing the nanoscale inhomogeneity of the dielectric response of the surface. (a) Top panel: Color-coded, scattered intensity I_3 of a $(\text{Bi}_{0.5}\text{Sb}_{0.5})_2\text{Te}_3$ structure showing nanoscale variations combined with a micron-scale gradient. Positions 1 through 7 indicate where amplitude and phase spectra are recorded. Bottom panel: Line cut of the scattered intensity I_3 taken at the vertical position in the top panel where the spectral data was acquired. For comparison, the measured amplitude spectra (at positions 1-7) can be spectrally integrated and squared. The resulting values are overlaid over the intensity curve (red circles). The error bars have been extracted from stability measurements. (b) Three representative dielectric functions of the surface states split into real (ϵ_1 , top panels) and imaginary (ϵ_2 , bottom panels) parts, extracted from the spectra recorded at positions 1, 4 and 7 (blue dots). The retrieval algorithm for these measurements is equal to the one in Fig. 3. Vertically shifted Lorentzian fit curves (red curves) show excellent agreement with the data. A pronounced shift of the resonance frequency and the dielectric background is observed as a function of position. Quantitatively, the resonance frequency ν_{Res} , the oscillator strength σ , and the real part of the dielectric background $\text{Re}(\epsilon_{\text{Offset}})$ can be extracted from the fits, which are shown in (c) as filled spheres. Interestingly, the resonance frequency and the dielectric background (from (b)) depend linearly on $(1-I_3)$, where the scattered intensity from the bottom panel of (a) was used. For the oscillator strength, we find a direct proportionality to the scattered intensity I_3 . The insets illustrate the origin of this dependence of the scattered intensity I_3 (purple) being the local step-like sample response (blue) and the probe spectrum (red). In principle, this allows us to convert the full two-dimensional scan in (a), for example, into a map of local carrier density or Bi-Sb ratio as discussed in the main text.

373 ASSOCIATED CONTENT:

374 **Supporting Information**

375 The Supporting Information is available free of charge on the ACS Publications website at DOI:

376 [Selective growth of the \(Bi_{0.5}Sb_{0.5}\)₂Te₃ wedge structures, Near-field microscopy, Inversion](#)
377 [algorithm to retrieve the nanoscale dielectric function, Details of the multilayer scattering model,](#)
378 [Retrieved surface dielectric functions across the wedge, Numerical simulations of the band](#)
379 [bending at the TI surface, Origin of the broadband dielectric background \(PDF\)](#)

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381 AUTHOR INFORMATION

382 Corresponding Author

383 *E-Mail: markus.huber@ur.de, Fax: +49 941 943 4223

384 *E-Mail: rupert.huber@ur.de, Fax: +49 941 943 4223

385 Author Contributions

386 F.M., M.A.H., F.S., M.Z., H.W., M.P., J.L.B, T.L.C and R.H. performed the experiments. C.W.,

387 M.L., J.K., G.M and D.G. designed, grew and characterized the topological insulator structures.

388 The numerical simulations of the band bending were done by F.M., M.A.H., F.S. and J.L.B..

389 F.M. and M.A.H. implemented the algorithm for the retrieval of the dielectric function. All

390 authors contributed to the discussions of the results. The manuscript was written by F.M.,

391 M.A.H, F.S., J.L.B., T.L.C., and R.H. with input from all authors.

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394 Notes

395 The authors declare no competing financial interest.

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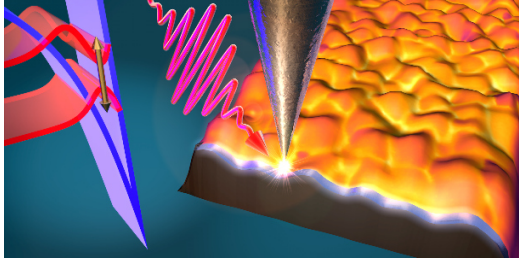
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