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# The effect of irradiation temperature on damage structures in proton-irradiated zirconium alloys

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# Abstract

A study into the effects of irradiation temperature on the damage structures that form during proton-irradiation has been carried out on two commercial Zr alloys in order to develop a more mechanistic understanding of the effect of niobium on dislocation loop evolution. The two Zr alloys (Zircaloy-2 and Low-Sn ZIRLO<sup>TM</sup>) were proton irradiated to a damage level of ~2 dpa at 280°C, 350°C and 450°C. Detailed dislocation analysis was carried out using on-axis bright-field scanning transmission electron microscopy combined with spectral imaging and synchrotron x-ray line profile analysis. The analysis revealed a significant difference in the effect of irradiation temperature on loop size between the two alloys. In the case of the Nb-free Zr-alloy (Zircaloy-2), an increase in irradiation temperature results in a marked increase in a-loop diameter, by a factor of ~7.5 from 280 to 450°C, and a stark decrease in the dislocation line density. In contrast, the Nb-containing Zr-alloy (Low-Sn ZIRLO<sup>TM</sup>) showed very little variation of loop size and line density over the same radiation temperature range. The STEMbased spectral imaging revealed irradiation-induced nano-clustering found throughout the matrix in Low-Sn ZIRLO<sup>TM</sup>, which is not present in the case of Zircaloy-2. Therefore, it is proposed that Nb plays a crucial role in the evolution of dislocation loops in Zr through the formation of irradiation precipitation throughout the matrix.

# **1.0 Introduction**

Zirconium alloys are used for structural components in fuel assemblies in reactor-cores due to their low thermal neutron capture cross-section and suitable structural and corrosion properties. However, one of the major life-limiting factors for these structural components is the phenomenon of irradiation induced growth (IIG). IIG is a dimensional change as a result of the introduction of line defects in the crystal structure via irradiation damage. Due to the texture of the Zr cladding, positive growth occurs in the axial direction and shrinkage occurs in the radial direction of the tubes [1]. This phenomenon is believed to be related to the nucleation of dislocation loops on different crystallographic planes, formed by the amalgamation of the point defects that are generated by the irradiation damage [2–4].

Two dominant types of dislocation loops form during irradiation in Zr alloys. The first type to form are known as a-loops, due to their  $\frac{1}{3}\langle 11\overline{2}0\rangle$  Burgers vector and first order prismatic habit plane [5]. These dislocations form at low neutron fluences and can be seen after ~3 x 10<sup>23</sup> neutron m<sup>-2</sup> in recrystallised Zircaloy-2 irradiated at 300°C [1]. The second type to form are known as c-loops and inhabit the basal plane with a Burgers vector of  $\frac{1}{6}\langle 20\overline{2}3\rangle$  [6]. Appearance of these loops coincides with *breakaway growth*, which is an accelerated growth regime occurring beyond ~5 x 10<sup>25</sup> n m<sup>-2</sup> in recrystallised Zircaloy-2 at ~565 K [2]. The present work focuses solely on a-loop density and morphology, as it is important for the understanding of the initial damage process, which dominates component lifetime.

Neutron-irradiation temperature has been shown to have a significant effect on IIG [7–10]. At low damage levels, where only a-loops are present, low temperature irradiation (i.e, irradiation temperature of ~77 °C) result in enhanced growth strain compared with higher irradiation temperatures [10]. Northwood and Gilbert demonstrated that an increased irradiation temperature raises a-loop size in Zr alloys under neutron-irradiation [11]; in Zircaloy-2 irradiated to 2 x  $10^{24}$  n m<sup>-2</sup> at 283°C, the average a-loop diameter was 8-10 nm, whereas in a similar Zr-1.15Cr-0.1Fe alloy irradiated to a fluence of 8.4 x  $10^{23}$  n m<sup>-2</sup> at ~500°C the average diameter was 40 nm [11]. An increase in a-loop diameter with increasing irradiation temperature was also observed in Zircaloy-2 irradiated up to a fluence of 1 x  $10^{25}$  n m<sup>-2</sup> and this a-loop diameter increase

was correlated with a decrease in the dislocation line density [12]. The effect of irradiation temperature has also been studied through the use of electron-irradiation [13–15]. Most recently, Gaumé et al. demonstrated that at elevated electron-irradiation temperatures, an increase in a-loop diameter was observed in Zircaloy-4 [13].

Due to the difficulty in obtaining in-reactor materials to carry out a systematic study of irradiation temperature on dislocation loop formation and morphology, proton, heavyion and electron-irradiations have been explored [16–19]. Previous work has also demonstrated the suitability of proton-irradiation to generate dislocation loop structures similar to those obtained during neutron-irradiation [17,20–23]. In order to compensate for accelerated dose rates during proton irradiation, proton irradiation temperatures are typically higher than in-reactor temperatures. In the case of Zr cladding, a 40°C temperature increase resulting in a proton irradiation temperature of 350°C has been proposed [24]. This temperature offset is currently used regardless of the specific Zr alloy.

In the present work we investigate the combined effect of alloy chemistry and varying irradiation temperature on a-loop morphology in proton-irradiated Zr alloys. We accomplish this by comparing two alloys, Zircaloy-2 and Low-Sn ZIRLO<sup>TM</sup>, protonirradiated to ~2 displacements per atom (dpa) at temperatures of 280, 350 or 450 °C. These two commercial alloys were chosen because Low-Sn ZIRLO<sup>TM</sup> contains niobium (Nb), whereas Zircaloy-2 does not. This is of interest as Nb containing alloys have been shown to exhibit less growth strain for the equivalent neutron fluence compared to non Nb-containing alloys [25–27]. A detailed quantitative characterization of the irradiation-induced defect structure has been performed using a range of transmission electron microscopy (TEM) techniques and line profile analysis (LPA) of synchrotron x-ray diffraction (SXRD) profiles. By using proton-irradiation in the present study it has been possible to carefully control and maintain the experimental conditions. For example, the two alloys were irradiated to the same damage level at a similar dose rate, based on calculations for pure Zr, which makes up ~98% of Zircaloy-2 and ~99% of Low-Sn ZIRLO<sup>TM</sup>. Here the only major variable was the irradiation temperature; obtaining a comparable matrix of samples from a commercial reactor, in which the temperature and neutron flux profile vary with position in the fuel pile, would be extremely difficult.

# **2.0 Experimental Methods**

Recrystallised Zircaloy-2 (Zr-1.5Sn-0.1Fe-0.1Cr-0.06Ni [28]) and Low-Sn ZIRLO<sup>TM</sup> (Zr-0.1Nb-0.7Sn-0.1Fe [29]) were irradiated with 2 MeV protons at the University of Manchester's Dalton Cumbrian Facility, UK and at The University of Michigan Ion Beam Laboratory. Both alloys were irradiated to a proton density of 2.134 x10<sup>19</sup> protons cm<sup>-2</sup> (equivalent to ~2 dpa at 40% of the max dose depth) at three different irradiation temperatures. The temperatures were monitored during the irradiation via a thermal imaging camera and were maintained within  $\pm 9$  °C of the target temperature. The samples were first polished to a mirror finish in order to provide a flat surface free of deformation before undertaking the proton irradiation. The details of the irradiation experiment can be seen in Table 1. The vast majority of protons implanted in the material will stop at the Bragg peak (Figure 1) and it is assumed that due to the temperature gradient caused by the non-irradiated region of the sample, the hydrogen will diffuse further from the irradiated surface.

Table 1. Experimental parameters for proton irradiations carried out at Dalton Cumbrian Facility (DCF) and Michigan Ion Beam Laboratory (MIBL). The sample irradiated at MIBL has been previously studied in detail [18,21,22].

Facility	Sample(s)	Irradiation Temperatures (°C)	Area Irradiated (mm²)	Average Current (µA)	Irradiation Time (hours)	Damage Rate (dpa s <sup>-1</sup> )
DCF	-Low-Sn ZIRLO <sup>™</sup> -Zircaloy-2	280 450	100	28	34	1.47 x 10 <sup>-5</sup>
MIBL	-Low-Sn ZIRLO <sup>™</sup> -Zircaloy-2	350	160	32	46	1.09 x 10 <sup>-5</sup>

The dose calculations were performed using the SRIM software package with the "Quick" Kinchin and Pease damage calculation [30], in pure Zr with a displacement threshold energy of 40 eV. As the damage rate varies with depth (see profile in Figure 1) all TEM specimens were taken ~12  $\mu$ m beneath the irradiated surface from the flat region providing the above quoted dpa level obtained at 40% of the depth of the predicted Bragg peak. The TEM foils were prepared via twinjet electropolishing using an electrolyte of 10% perchloric acid in methanol at -40 °C. To study the plateau region of the damage profile, ~12  $\mu$ m was first electropolished from the irradiated surface. This was confirmed using a Keyence VK-X200K 3D Laser Scanning Microscope, after

which the irradiated face was protected with acid-resistant lacquer before polishing the samples to perforation from the non-irradiated face.

To estimate the level of irradiation hardening, micro-hardness testing was carried out on a Struers Duramin with a Vickers indenter tip. Due to limitations in sample size, 15 indents were carried out on each specimen. The sample irradiated at 350°C could not be included in this analysis as the irradiated bars had already been turned into TEM foils for another study. All hardness tests were carried out at room temperature. A load of 980.7 N was selected, resulting in an indent depth of ~4.7  $\mu$ m. Due to the plastic zone that forms under the tip it is estimated that there will be a contribution to the hardness up to a depth of ~ 15  $\mu$ m [31–33], which is still within the plateau region of the damage profile, see Figure 1. In this context it should be noted that both alloys displayed a similar grain size of ~10  $\mu$ m for Zircaloy-2 and ~8  $\mu$ m for Low-Sn ZIRLO<sup>TM</sup>.

The bright-field scanning transmission electron microscopy (BF-STEM) imaging was performed using an FEI Talos X-FEG 80-200 KeV microscope, operated at 200 kV with a probe current of 590 pA. This microscope is fitted with the FEI ChemiSTEM<sup>TM</sup> system, which allows for fast, high-resolution spectral imaging. The STEM imaging was carried out at the  $\langle 11\overline{2}0 \rangle$  zone axis and all defects that became invisible at  $\mathbf{g} = 0002$  were counted and measured as a-loops. Thickness measurements were performed using convergent beam electron diffraction (CBED) patterns and the 10% error associated with this measurement technique has been considered. For Zircaloy-2 proton-irradiated at 280°C, 350°C and 450°C the number of dislocations loops (images) studied were 526(5), 487(5) and 300(8), respectively. For the Low-Sn ZIRLO<sup>TM</sup> alloy proton-irradiated at 280°C, 350°C and 450°C the number of dislocations (images) studied were 608(5), 587(5), 623(5), respectively. These images were taken from 2-3 grains in each sample.

SXRD measurements were performed at the Diamond Light Source, UK, beamline I11 [34]. I11 is a high-resolution beamline with an angular resolution of 0.001°, a highly parallel beam, small energy spread, high flux and low background. I11 has a fast and high resolution data acquisition capacity due to the multi-analyzer crystal (MAC) detector system consisting of 5 MAC blocks, each comprising 9 detectors positioned behind individual analyzer crystals [35]. The experiment was carried out using a beam

energy of ~15 keV (wavelength = 0.0825860 nm). Due to the uneven damage profile caused by the proton-irradiation it is important to study a similar damage depth as that at which the TEM analysis was carried out. To limit the diffraction signal to this region, a reflection geometry was selected with a fixed tilt of 5° resulting in a maximum penetration depth of ~13  $\mu$ m, across the 20 range, 15°-55°. It should be noted that the data collected from this set up represents material from the entire penetrated depth, with maximum signal coming from ~5  $\mu$ m (~1.5 dpa), in contrast to the TEM analysis only carried out at a particular depth, i.e. 12  $\mu$ m from the surface. An x-ray beam size of 1 mm x 100  $\mu$ m, which resulted in an illuminated sample surface area of 1 x 1 mm<sup>2</sup>, was achieved through the use of slits. The samples were spun at 1200 rpm during acquisition to improve sampling statistics.

Line profile analysis (LPA) was used to calculate dislocation densities and the arrangement of dislocations. This was carried out using the extended Convolutional Multiple Whole Profile (CMWP) software package [36–40]. CMWP has been used previously to study dislocation densities in neutron- and proton-irradiated zirconium alloys [18,41–43] using the Krivoglaz-Wilkens model [44–46], for the strain contribution to peak profiles,  $I_{hkl}^{D}$ :

$$FT(I_{hkl}^{D}) = A_{L}^{D} = \exp\left[-\rho\left(\frac{\pi b^{2}}{2}\right)L^{2}f(\eta)g^{2}C\right]$$
(1)

where  $\rho$  and **b** are the dislocation density and Burgers vector, respectively. C is the *hkl* dependent contrast factor of the dislocations, and **g** is the diffraction vector, **g**=2sin $\theta_B/\lambda$ , where  $\theta_B$  is the Bragg angle. L is the Fourier variable and f( $\eta$ ) is the strain function derived by Wilkens [46]. In this function  $\eta$ =L/R<sub>e</sub> and R<sub>e</sub> is the effective outer cut-off radius of the dislocations. Wilkens also introduced the dimensionless parameter, M.

$$M = R_e \sqrt{\rho} \tag{2}$$

M is also known as the Wilkens arrangement parameter and it describes the dipole character of the dislocation network.

# **3.0 Results**

The micro-hardness data of the samples before and after irradiation are displayed in Figure 2. For Zircaloy-2, irradiation to ~2 dpa at 280°C resulted in a hardness increase

of almost 40 HV0.1. When irradiated to the same dpa level but at 450°C, the hardness increase was slightly less, about 30 HV0.1. In the case of Low-Sn ZIRLO<sup>TM</sup> both irradiation temperatures resulted in a hardness increase of about 30 HV0.1. This highlights a difference between the two alloys in terms of their irradiation hardening behavior and its dependence on irradiation temperature.

Figure 3 shows the a-loop dislocation structure in Zircaloy-2 irradiated at 280°C (a),  $350^{\circ}$ C (b) and  $450^{\circ}$ C (c). The BF-STEM images were taken at the same magnification and diffraction conditions, at the  $\langle 10\overline{1}0 \rangle$  zone axis, normal to the loop habit plane. It is noticeable that at the lowest temperature (Figure 3 (a)) the a-loops are smaller and more aligned along the trace of the basal plane than at higher temperature (Figures 3(b) and (c)) with the mean diameter increasing from  $9.0 \pm 1.1$  nm to  $14.9 \pm 8.0$  nm to  $66.9 \pm 14.2$  nm as the irradiation temperature increases; the quoted ranges are the standard deviations of the loop sizes. It should be noted that the mean diameter is in respect of the major axis of the elliptical a-loops. Line defects can also be seen in the sample irradiated at  $450^{\circ}$ C. These line defects were not included in the dislocation analysis.

Figure 4 is a series of BF-STEM images of Low-Sn ZIRLO<sup>TM</sup> proton-irradiated at (a) 280°C, (b) 350°C and (c) 450°C respectively. Images were again taken at the  $\langle 10\overline{1}0 \rangle$  zone axis and are at the same magnification. The size of the a-loops changes less than in the Zircaloy-2 (Figure 3). However, there is still a slight increase in loop diameter with irradiation temperature from (a)  $5.9 \pm 1.0$  nm to (c)  $8.2 \pm 0.6$  nm, with the errors representing the standard deviation of the loop sizes.

X-ray spectral imaging was carried out on the irradiated samples to investigate any possible chemical differences associated with the a-loop morphology in the two alloys. The Fe map of the Zircaloy-2 sample irradiated at 450°C, taken at the diffraction condition  $\mathbf{g} = 0002$ , is displayed in Figure 5(a). Rod-like Fe segregation can be seen to the left of the secondary phase particle (SPP), with a length of about 43 nm. No Chemical segregation was observed in the matrix for the Zircaloy-2 sample irradiated at 280°C. Figure 5(b) and (c) are Nb chemical maps taken from Low-Sn ZIRLO<sup>TM</sup> irradiated at 280°C, respectively, taken from the  $\langle 10\overline{1}0 \rangle$  zone axis. In Figure 5(b), Low-Sn ZIRLO<sup>TM</sup> irradiated at 280°C, it can be seen that there are clusters of Nb throughout the matrix and the clusters have a mean diameter of  $1.9 \pm 1.0$  nm, which were not observed prior to irradiation. These clusters do not appear to be associated

with a-loops. In Figure 5(c), Low-Sn ZIRLO<sup>TM</sup> irradiated at 450°C, again Nb clusters are observed throughout the matrix but this time the mean diameter is about  $2.5 \pm 0.9$  nm. In comparison with these Nb clusters, the rod-like features observed in Zircaloy-2 are much less uniformly distributed and only associated with SPPs [47]. The chemical maps showing the absence of any segregation in the non-irradiated samples are provided as supplementary materials A.

# 3.1 Quantitative characterization of dislocation loops

A SXRD profile for the Low-Sn ZIRLO<sup>TM</sup> sample proton-irradiated at 280°C is shown in Figure 6 as an example of the CMWP analysis. The full pattern analysed can be seen in Figure 6(a) with the experimental data in black and the fit generated by CMWP in red. Significant peak broadening is observed for the  $(10\overline{1}1)$  peak, while no significant peak broadening but asymmetries and shoulders are observed on the (0002) peak, in the irradiated sample (black profile) when viewed in a log scale, Figure 6(b). The blue profile is from a non-irradiated Low-Sn ZIRLO<sup>TM</sup> specimen where no asymmetry is detectable. The absence of (0002) peak broadening suggest no presence of c-loops. The shoulders, particularly obvious for the (0002) reflection, have been previously observed in irradiated Zr alloys [48] and have been treated as a separate phase. The source of these shoulder peaks is currently not clear, but they have been investigated as part of a different study [42]. They may be a result of Huang scattering from small point defect clusters, as seen in irradiated vanadium alloys [49], however further investigations into the origin of these shoulders is currently underway.

The quantitative analysis of the a-loop diameter and line density in Zircaloy-2 and Low-Sn ZIRLO<sup>TM</sup> are presented in Figure 7(a) and (b), respectively. Dislocation size, characterized by the major axis of the elliptical a-loops, was obtained from microscopy investigations and loop line densities from both microscopy and from CMWP. It can be seen from Figure 7(a) that the size of the a-loops increases dramatically in Zircaloy-2 with increasing irradiation temperature. In contrast, Low-Sn ZIRLO<sup>TM</sup> only shows a slight increase in size with irradiation temperature. The standard deviation in the measurements also increases with irradiation temperature for Zircaloy-2 indicating that the dislocation size becomes less uniform at 450°C.

Figure 7(b) shows the a-loop density calculated for both Zircaloy-2 and Low-Sn ZIRLO<sup>TM</sup> at the various irradiation temperatures. Zircaloy-2 exhibits a dramatic

decrease in a-loop line density with increased irradiation temperature. This trend is observed both in the TEM and using CMWP. However, in Low-Sn ZIRLO<sup>TM</sup> a slight decrease in the line density was observed via TEM analysis and no definitive trend was seen from the analysis carried out using CMWP. From the TEM analysis the a-loop line density of Zircaloy-2 drops from  $1.23 \times 10^{15} \text{ m}^{-2}$  to  $0.09 \times 10^{15} \text{ m}^{-2}$  when increasing the irradiation temperature from 280°C to 450°C, whereas Low-Sn ZIRLO<sup>TM</sup> only drops from  $1.48 \times 10^{15} \text{ m}^{-2}$  to  $0.94 \times 10^{15} \text{ m}^{-2}$ . It should be noted that CMWP provides higher line density compared to TEM, up until the a-loops have reached a size larger than approximately 15 nm.

In addition to dislocation density, CMWP can provide information about the dipole character of dislocations, represented as the Wilkens arrangement parameter, M. The M value is very sensitive to the shape on the diffraction peak tails. Figure 8(a) shows how the tails of the (103) peak are affected by the different a-loop structures that develop in Zircaloy-2 when irradiated to ~2 dpa at 280°C and 450°C. The larger tails associated with the sample irradiated at 280°C indicate a strong dipole character. In contrast, the reduced tails associated with the sample irradiated at 450°C indicate a weaker dipole character. Figure 8(b) depicts the value of the Wilkens arrangement parameter provided by CMWP as a function of irradiation temperature. A clear rise of M can be seen for Zircaloy-2 with increasing irradiation temperature while M seems to slightly decrease with increasing irradiation temperature for Low-Sn ZIRLO<sup>TM</sup>.

## **4.0 Discussion**

The overall effect of increasing the proton irradiation temperature is an increase in aloop size and decrease in the a-loop dislocation density in Zircaloy-2 but not so much in Low-Sn ZIRLO<sup>TM</sup>. Buckley and Manthorpe also studied irradiation temperature effects on Zircaloy-2 and Zr-2.5Nb alloys but using electron irradiation [50]. They showed a similar trend in that both Zircaloy-2 and Zr-2.5Nb decrease in a-loop number density with increasing electron-irradiation temperature. They later showed that the aloops were larger in Zircaloy-2 than in Zr-2.5Nb, when irradiated at 500°C [50], in support of the present work. In the results presented here we have used two complementary techniques to study samples irradiated in carefully controlled conditions. The results have shown a systematic evolution of the dislocation structure and we can see that the size varies when comparing samples of the same damage level but irradiated at different temperatures.

# 4.1 Irradiation temperature dependent loop size and line density

The trend of the a-loops in Zircaloy-2 becoming larger with a higher irradiation temperature has been seen previously in neutron-irradiated (<1 MeV) samples to fluences of  $3.1 \times 10^{24}$  n m<sup>-2</sup> and  $1 \times 10^{25}$  n m<sup>-2</sup> at 250°C and 400°C, respectively [12]. This "Round-Robin" study found that there was an increase in the average a-loop size from 8.6 nm to 20.1 nm with the increased irradiation temperature. However, there was some variation in the size and densities calculated between each participant in the study and so an average of the results has been taken for discussion here.

The most dramatic difference in the a-loop morphology we observed was in Zircaloy-2 when comparing the samples proton-irradiated at 280°C and 450°C, Figure 3. However, this large increase in a-loop size is not observed in Low-Sn ZIRLO<sup>TM</sup>, as shown in Figure 4. The main difference between these two alloys is the presence of Nb in Low-Sn ZIRLO<sup>TM</sup>, as the experimental conditions were identical for both. It should be noted that Zircaloy-2 contains more Sn than Low-Sn ZIRLO<sup>TM</sup> and it has been shown that Sn segregates in the matrix during both proton- and neutron-irradiation [21,51]. However, in a 2.3 dpa Zircaloy-2 sample proton-irradiated at 350°C, the Sn is only weakly segregated [21] and therefore is considered not to have a significant effect at the low doses studied in the present work.

Spectral imaging was conducted on the Zircaloy-2 and Low-Sn ZIRLO<sup>TM</sup> samples proton-irradiated at 280°C and 450°C. As it can be seen in Figure 5, small Nb clusters were observed throughout the entire matrix in the ~2 dpa Low-Sn ZIRLO<sup>TM</sup> samples. However, in Zircaloy-2, Fe clustering was heterogeneous throughout the matrix (associated with SPPs) and in the form of large rods with the longest length being ~42 nm in the case of proton-irradiation at 450°C. We have observed similar Fe rich, rod-like precipitation in Zircaloy-2 samples proton-irradiated at 350°C [21,47]. These rods were much smaller, at ~20 nm, than the Fe clusters observed in the Zircaloy-2 sample irradiated here at 450°C.

Through these observations, we propose that the Nb nano-clusters present in irradiated Low-Sn ZIRLO<sup>TM</sup> act as barriers for a-loop migration and thereby prevent the loops

and point defect clusters from coalescing and coarsening. This is in contrast to Zircaloy-2 (no Nb) where irradiation only leads to some heterogeneous formation of nano-rods in the vicinity of SPPs, which are less effective obstacles for a-loop migration and coalescence.

Figure 7 shows that the effect of increasing irradiation temperature is to lower the dislocation line density. This trend can be seen from both TEM and CMWP analysis. It should be noted that the Zircaloy-2 sample proton-irradiated at  $350^{\circ}$ C has been investigated in a previous publication [22], where dislocation loop densities were also calculated. Harte et al. [22] stated the dpa of the sample studied in reference to the expected dose at 60% of depth of the Bragg peak, resulting in a quoted damage level of ~2.3 dpa. However, upon further analysis, since only 12-13 µm was removed from the irradiated sample surface during sample preparation, the region studied by TEM would be expected to represent dose of ~2 dpa.

The quantification of dislocation loop densities for that study was also carried out in a slightly different manner to the approach used here. While similar BF-STEM imaging was also used to study the a-loops present (also imaged from the  $(10\overline{1}0)$  zone axis) loops were only counted if a clear loop nature was observed. This resulted in an a-loop line density of 0.788 x 10<sup>14</sup> m<sup>-2</sup>. However, in the work presented here all of the defects that diffracted from the  $(10\overline{1}0)$  zone axis and turned invisible at  $\mathbf{g} = 0002$  and were circular or had a component elongated in the c-direction were counted. This means that small defects were not discounted when a clear change in contrast within the center of the loop could not be resolved. This approach resulted in a higher a-loop line density of 3.21 x 10<sup>14</sup> m<sup>-2</sup>. This discrepancy in quantifying dislocation density for the same sample highlights the subjectivity of counting dislocation loops by TEM, where variation between experimentalists is inevitable. To minimize this variability, it is extremely important to state clearly how dislocations have been counted and analysed, allowing for clear comparisons to be made with other published work. It is likely that the densities reported by Harte et al. [22] are underestimations and those in the present work are overestimations of the true value. This variation of dislocation analysis between different microscope operators, counting the same sample, is also highlighted in a blind Round-Robin experiment [12], where variations up to a factor of  $\sim$ 5.6 were reported.

As well as irradiation temperature having an effect on the dislocation density it also has an effect on the size and arrangement of the a-loops. We have information regarding these changes from the TEM but also from the line profile analysis. CMWP was used to obtain information about the dipole character of the dislocation loops. The Wilkens arrangement parameter, M, is a component in the equation used to calculate the dislocation density (Eq. 1), and gives information about the screening effects of dislocations. If M<1 the dislocations have a strong dipole character and when M>1 they have a weak dipole character. This information can be seen in the tails of the Bragg peak, for example if M<1 the tails will decay slower than if M>1. Figure 8(a) shows the difference in the tails of the (103) peak in Zircaloy-2 proton irradiated at 280°C, 350°C and 450°C. It can be seen that the tails in the 280°C sample are the largest, suggesting a stronger dipole character. This difference in dipole character between the three samples arises from changes in the loop diameter. In support of this, it has been shown using BF-STEM imaging (Figure 3) that the a-loops are smaller in Zircaloy-2 irradiated at 280°C than that irradiated at 450°C. The tails observed in Low-Sn ZIRLO<sup>TM</sup>, irradiated at 280°C and 450°C, do not show any significant difference. This correlates well with the observation that the a-loop size and density do not vary much between the highest and lowest irradiation temperatures investigated (Figure 4).

# 4.2 Comparison between BF-STEM and CMWP dislocation analysis

One of the enticing features of using LPA to quantify dislocation density is a reduction in subjectivity involved with counting dislocation loops in the TEM. However, care must be taken that the peak fitting stage of LPA is done with precision. The line density calculated by CMWP is  $3.70 \times 10^{14} \text{ m}^{-2}$  for the Zircaloy-2 sample proton-irradiated ~2 dpa at 350°C. It has been observed in this work, Figure 7, that when the dislocation loops are  $\geq 15$  nm in diameter the line density values between TEM and CMWP agree well. However, it can also be seen that when the dislocation loops are smaller than the difference in line density between the two measurement techniques can be up to a factor of 8. This may be due to the difficulty in resolving very small loops in the TEM [52], or it could be an artifact of small loops having a very strong dipole character, which is not appropriately taken into account during the CMWP analysis. As a diffraction-based technique, CMWP will also be affected by any features that produce strain in the lattice. Therefore it may be picking up additional features compared to the loop analysis carried out in TEM [48]. However, this may provide a more representative view of changes to the material due to irradiation. It should be noted that the trends produced by both techniques are always comparable.

Another benefit of LPA is a larger sampling volume so that more grains are analysed than in TEM work. Commercial Zr alloys are heavily textured, with a split basal texture parallel to the normal of the sample surface [53]. This makes it difficult to find a suitable grain for a-loop analysis when using BF-STEM, where it is necessary for the a-axis to be parallel with the normal of the sample surface, and the TEM foil is extracted in a conventional way, i.e. in-plane. This severely limits the number of grains available for study using STEM. Little is known regarding grain-to-grain variation in dislocation populations within a single sample or orientation dependency. Jostsons et al. stated that there is significant variation in the ratio of vacancy and interstitial a-loops between grains [5]. Therefore, sampling more grains improves the statistics and averages out potential grain-to-grain variation.

# 4.3 Irradiation hardening

There have been many studies carried out in order to assess the hardening effects of irradiation damage in Zr alloys [54–58]. Micro-hardness tests have been performed in this work to observe the effect of irradiation temperature on irradiation-induced hardening. The irradiated Low-Sn ZIRLO<sup>TM</sup> samples do not show much variation in their hardness, which is correlated with only a slight change in a-loop line density. A much bigger change in the hardness can be seen in Zircaloy-2 at the different irradiation temperatures, which also shows a dramatic difference in a-loop density and morphology. It has been discussed previously that a-loops act as obstacles to dislocation glide [59] and therefore can be thought of in a similar way to the dispersed barrier hardening theory [55,58–61]. It is possible to estimate the expected hardness increase due to the observed dislocation densities by using the formula:

$$\Delta \tau = \alpha G \boldsymbol{b} (Nd)^{0.5} \tag{3}$$

Where  $\Delta \tau$  is the change in critical resolved shear stress,  $\alpha$  is a dimensionless constant proportional to the pinning strength of dislocations (0.5 [24]), G is the shear modulus (33 GPa [62]), **b** is the magnitude of the a-loop Burgers vector, N is the number density of the dislocation loops (generated from the TEM analysis) and d is the length of the major axis of the loops [54]. The predicted  $\Delta \tau$  from the non-irradiated sample to the one irradiated at 280°C is 91.8 MPa whereas the  $\Delta \tau$  for the non-irradiated sample to the one irradiated at 450°C is 21.1 MPa. The large difference comes from the increased size and spacing of the loops with increasing irradiation temperature. This results in a ratio of the  $\Delta \tau (450 \ C)$ :  $\Delta \tau (280 \ C)$  to be ~1:4, which is similar to the ratio of change in hardness observed experimentally due to the different irradiation temperature, ~1:3. This verifies the connection between hardness changes and a-loop densities, with the samples irradiated to the same dose level.

## 4.4 Significance for irradiation-induced growth:

Irradiation temperature has been shown to greatly influence irradiation-induced growth [7–10]. In the early stage of IIG, the initial growth strain reaches the saturation point more quickly at lower temperature, while at higher temperature the plateau region occurs at a higher growth strain. The observation in the present work of a higher a-loop density at low irradiation temperatures correlates well with this initial higher growth strain assuming that it is the formation of a-loops which correlates with the initial growth. The plateau region of a standard growth curve lasts longer at lower temperatures and breakaway growth is delayed in relation to higher irradiation temperatures. Similarly, Griffiths et al. showed that increasing the irradiation temperature leads to the onset of breakaway growth at lower fluences and that this breakaway growth phenomenon is correlated to the appearance of c-loops [7]. There has also been work that shows c-loops forming earlier at higher irradiation temperatures [63] and it has been suggested that this can be attributed to an increase in alloying elements in the matrix or a reduction in the stacking fault energy at higher temperatures [64].

From the a-loop densities and hardness increases presented in this work, comparisons can be drawn with the available growth data. In Zircaloy-2 breakaway growth is not predicted at ~2 dpa for either the 280°C, 350°C or the 450°C irradiation temperature and can therefore be compared to the initial growth behavior. The highest a-loop line density and hardness increase, in the Zircaloy-2 samples examined, was seen for the sample irradiated at 280°C. This high density can be coupled with the higher growth strain observed at low irradiation temperatures for the initial growth regime [10]. As no higher damage levels have been studied here it is not possible to comment on the

formation of c-loops and therefore the effect of proton-irradiation temperature on breakaway growth.

When comparing the growth data of Zircaloy-2 (no-Nb) with Nb-containg alloys it is clear that the addition of Nb results in lower growth strains [25–27]. Based on the observations made during this work the authors propose that one of the key factors behind this observation is the evenly distributed Nb-rich precipitates formed during irradiation. It is proposed that these nano-sized precipitates act as effective barriers for the diffusion of point defect clusters and a-loops, analogous to the mechanism suggested for the irradiation resistance of ODS steels [65–67].

# 4.5 Temperature shift during proton-irradiation

Overall it is clear that irradiation temperature has a significant effect on the irradiationinduced microstructure and mechanical properties. Proton irradiation has proven to be an extremely useful way to systematically assess the irradiation temperature sensitivity of different alloys. Furthermore, the establishment of an objective diffraction-based method of quantifying dislocations promises to be a useful tool to complement traditional TEM studies. The proton irradiations performed here in Zircaloy-2 at 350°C result in a similar microstructure to that seen in neutron-irradiated Zircaloy-2 [22]. Zircaloy-2 appears to be particularly sensitive to irradiation temperature and 450°C produces dislocations much larger than those seen under reactor conditions. The results suggest that while 350°C is an appropriate temperature for proton-irradiations with the dose rate applied here and the aim of imitating dislocation loops in neutron irradiation in Zircaloy-2, great care should be taken in selecting irradiation temperatures for other compositions. Also, it may be desirable in some cases to adjust irradiation temperatures to better recreate other microstructural features such as chemical segregation or SPP amorphisation. In such cases the effect on dislocation structures may be very much dependent on the alloy studied.

# **5.0** Conclusion

Proton-irradiation has been performed on Zircaloy-2 and Low-Sn ZIRLO<sup>TM</sup> at 280°C, 350°C and 450°C. For each sample, a region reaching a dose of ~2 dpa has been studied to assess the effect of irradiation temperature on a-loop formation. The following conclusions can be made:

- Increasing irradiation temperature results in an increase in a-loop size and a decrease in a-loop line density in Zircaloy-2, whereas Low-Sn ZIRLO<sup>TM</sup> exhibits only a slight dependence on proton irradiation temperature. This has been shown using BF-STEM imaging and XRD line profile analysis.
- The increase in a-loop size with increase irradiation temperature is dramatic in Zircaloy-2 and negligible in Low-Sn ZIRLO<sup>TM</sup>. An increase from ~7 nm to ~86 nm in a-loop diameter is observed between 280°C and 450°C in Zircaloy-2 compared with ~6 nm to ~9 nm in Low-Sn ZIRLO<sup>TM</sup>.
- We propose that the difference in temperature sensitivity for a-loop formation between the two alloys is due to the presence of Nb in Low-Sn ZIRLO<sup>TM</sup>. The homogenous distribution of irradiation-induced clustering only observed in Low-Sn ZIRLO<sup>TM</sup> provides barriers for point defect clusters and a-loops to coalesce and coarsen.
- Irradiation-induced hardening has been observed and a significant difference has been recorded in the hardness of Zircaloy-2 irradiated at 280°C and 450°C. This change is believed to be a result of the change in dislocation size and density. This hardness change is similar to that calculated based on the Orowan hardening model, which takes account of the size and average spacing of the loops.
- The observation of a higher line density at lower proton irradiation temperatures correlates well with a higher growth strain observed in the literature for low temperature neutron-irradiations during the initial growth regime.

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The raw data required to reproduce these findings are available to download from [link to be added once manuscript is accepted]. The data has been linked to this submission to the Journal of Nuclear Materials.

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Figure 1. Damage profiles generated using SRIM for pure Zr calculated using the "Quick" method. The simulation was carried out using 2 MeV protons and a displacement threshold energy of 40 eV for Zr. The calculation of dpa was carried out assuming a constant current density of  $0.1875 \,\mu\text{A} \,\text{mm}^{-2}$  with a damage rate of  $1.3 \,x \, 10^{-5} \,\text{dpa} \,\text{s}^{-1}$ . The red vertical line represents the depth at which samples were prepared for TEM studies (~12  $\mu$ m from the sample surface), and the blue hatched area represents the depth sampled via SXRD. The maximum penetration of the x-rays and the depth from which the maximum intensities of diffracted x-rays originate are indicated.



Figure 2. Vickers hardness of Zircaloy-2 and Low-Sn ZIRLOTM proton-irradiated to ~2 dpa at various irradiation temperatures. Green represents 0 dpa, blue irradiated at 280°C and red irradiated at 450°C. The block colours represent Zircaloy-2 whilst the hatched pattern represents Low-Sn ZIRLO<sup>TM</sup>. Error bars represent the standard deviation of the measurements.



Figure 3. BF-STEM images of ~2 dpa Zircaloy-2 taken at the  $< 10\overline{10} >$  zone axis at different irradiation temperatures. a) 280°C b) 350°C (A hydride can be seen in the middle of the image along the trace of the basal plane) c) 450°C.



Figure 4. BF-STEM images of ~2 dpa Low-Sn ZIRLO<sup>TM</sup> taken at the  $< 10\overline{10} >$  zone axis at different irradiation temperatures. a) 280°C b) 350°C c) 450°C.



Figure 5. a) Fe Chemical map taken of a Zr-Fe-Cr SPP in the ~2 dpa Zircaloy-2 sample irradiated at 450°C. An Fe rich rod-like structure can be seen to the left of the SPP, highlighted with an arrow. b) Nb Chemical map taken from the ~2 dpa Low-Sn ZIRLO<sup>TM</sup> sample, irradiated at 280°C, taken from the  $\langle 10\bar{1}0 \rangle$  zone axis. c) Nb chemical map of the ~2 dpa Low-Sn ZIRLO<sup>TM</sup> sample, irradiated at 450°C.



Figure 6. The SXRD profile for the ~2 dpa Low-Sn ZIRLO<sup>TM</sup> sample proton-irradiated at 280°C analysed by CMWP. The black open circles represent the experimental data and the red line is the simulated fit generated by CMWP. a) The full 20 range analysed is displayed. b) The intensity of the (0002) and ( $10\overline{1}1$ ) peaks are displayed on a log scale. The blue profile represents a non-irradiated recrystallised Low-Sn ZIRLO<sup>TM</sup> sample. The black profile is from the 1.8 dpa Low-Sn ZIRLO<sup>TM</sup> sample proton-irradiated at 280°C Shoulder peaks can be seen at the base of the (0002) peak in the irradiated sample.



Figure 7. In both graphs blue circles represent Zircaloy-2 and red squares represent Low-Sn ZIRLO<sup>TM</sup>, analysed using STEM. Error bars represent standard deviation in measurements. Error bars smaller than the symbol size are not shown. a) a-loop diameter vs irradiation temperature. b) a-loop line density vs irradiation temperature. Blue triangles represent Zircaloy-2 and the red inverse triangles represent ZIRLO<sup>TM</sup> analysed using CMWP.



Figure 8. Effect of the dipole character of the a-loops found in Zircaloy-2 proton-irradiated at  $280^{\circ}$ C,  $350^{\circ}$ C and  $450^{\circ}$ C. a) Half of the (103) peak can be seen for all three irradiation temperatures. The peaks have been normalised to allow for a comparison of the tails. The blue line represents the  $280^{\circ}$ C sample, the red line represents the  $350^{\circ}$ C sample and the black line represents the  $450^{\circ}$ C sample. b) Plot of the Wilkens arrangement parameter calculated using CMWP. Open blue circles represent Zircaloy-2, open red squares represent Low-Sn ZIRLO<sup>TM</sup>. When M >10 the dipole character is so weak no changes in the analysis are observed. Error bars represent the standard deviation of the measurements.



Supplementary materials A. a-c) Chemical maps of non-irradiated Zircaloy-2. No chemical segregation is observed. a) Fe Chemical map (raw data). b) Quantified Fe map. c) Quantified Zr map. d-f) Chemical maps of non-irradiated Low-Sn ZIRLO<sup>TM</sup>. No chemical segregation is observed. d) Nb Chemical map (raw data). e) Quantified Nb map. f) Quantified Zr map.