

1 **The Cost-Effectiveness of Bimodal Stimulation Compared to Unilateral and Bilateral**
2 **Cochlear Implant Use in Adults With Bilateral Severe to Profound Deafness**

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25 **Abstract**

26 **Objectives:** An increasing number of severe-profoundly deaf adult unilateral cochlear implant
27 (CI) users receive bimodal stimulation; that is, they use a conventional acoustic hearing aid
28 (HA) in their non-implanted ear. The combination of electric and contralateral acoustic hearing
29 provides additional benefits to hearing and also to general health-related quality of life
30 compared to unilateral CI use. Bilateral CI is a treatment alternative to both unilateral CI and
31 bimodal stimulation in some healthcare systems. The objective of this study was to conduct an
32 economic evaluation of bimodal stimulation compared to other management options for adults
33 with bilateral severe to profound deafness.

34 **Design:** The economic evaluation took the form of a cost-utility analysis and compared
35 bimodal stimulation (CI+HA) to two treatment alternatives: unilateral and bilateral CI. The
36 analysis used a public healthcare system perspective based on data from the United Kingdom
37 (UK) and the United States (US). Costs and health benefits were identified for both alternatives
38 and estimated across a patient's lifetime using Markov state transition models. Utilities were
39 based on Health Utilities Index (HUI3) estimates and health outcomes were expressed in
40 Quality Adjusted Life Years (QALYs). The results were presented using the Incremental Cost-
41 Effectiveness Ratio (ICER) and the Net Monetary Benefit approach to determine the cost-
42 effectiveness of bimodal stimulation. Probabilistic sensitivity analyses explored the degree of
43 overall uncertainty using Monte Carlo simulation. Deterministic sensitivity analyses and
44 Analysis of Covariance identified parameters to which the model was most sensitive; i.e. whose
45 values had a strong influence on the intervention that was determined to be most cost-effective.
46 A Value Of Information analysis was performed to determine the potential value to be gained
47 from additional research on bimodal stimulation.

48 **Results:** The base case model showed that bimodal stimulation was the most cost-effective
49 treatment option with a decision certainty of 72% and 67% in the UK and US, respectively.

50 Despite producing more QALYs than either unilateral CI or bimodal stimulation, bilateral CI
51 was found not to be cost-effective because it was associated with excessive costs. Compared
52 to unilateral CI, the increased costs of bimodal stimulation were outweighed by the gain in
53 quality of life. Bimodal stimulation was found to cost an extra £174 per person in the UK (\$937
54 in the US) and yielded an additional 0.114 QALYs compared to unilateral CI, resulting in an
55 ICER of £1,521 per QALY gained in the UK (\$8,192/QALY in the US). The most influential
56 variable was the utility gained from the simultaneous use of both devices (CI+HA) compared
57 to Unilateral CI. The value of further research was £4,383,922 at £20,000/QALY (\$86,955,460
58 at \$50,000/QALY in the US).

59 **Conclusions:** This study provides evidence of the most cost-effective treatment alternative for
60 adults with bilateral severe to profound deafness from publicly-funded healthcare perspectives
61 of the UK and US. Bimodal stimulation was found to be more cost-effective than unilateral
62 and bilateral CI across a wide range of willingness-to-pay thresholds. If there is scope for future
63 research, conducting interventional designs to obtain utilities for bimodal stimulation
64 compared to unilateral CI would reduce decision uncertainty considerably.

65

67 Cochlear Implants (CI) were formerly considered to be suitable for severe to profoundly deaf
68 patients who could not benefit from acoustic amplification via conventional hearing aids (Tyler
69 et al. 2002; Ching et al. 2004). In recent years, technology development and enhanced patient
70 outcomes have prompted relaxations in the audiometric criteria for cochlear implantation
71 (Neuman & Svirsky 2013; Siburt & Holmes 2015) and there is a growing population of
72 unilateral CI users with severe to profound deafness that have residual hearing in the non-
73 implanted ear (Potts et al. 2009; Dorman & Gifford 2010). Current evidence suggests that these
74 patients can now benefit from acoustic amplification in that ear and should therefore be
75 managed by combining the electric stimulation from their implant with acoustic stimulation
76 from a hearing aid (HA) fitted to the contralateral ear (Ching 2006; Scherf & Arnold 2014).
77 The concurrent use of both hearing devices (CI+HA) is variably referred to as ‘bimodal
78 stimulation’, ‘bimodal aiding’, or ‘electric and contralateral acoustic stimulation’ (Crew et al.
79 2015) and can offer important functional advantages compared to CI use alone in at least some
80 patients (Siburt & Holmes 2015).

81 There is a large amount of variability in estimates of the prevalence of bimodal stimulation
82 among adult CI recipients. Most studies report bimodal rates of only 10-32% (Syms et al. 2002;
83 Tyler et al. 2002; Fitzpatrick et al. 2009; Yamaguchi & Goffi-Gomez 2013; Scherf & Arnold,
84 2014; Devocht et al. 2015). However, there is a growing population of CI recipients with usable
85 residual hearing in the contralateral ear due to changes in candidacy criteria over time (Ching
86 2005), and more patients may now benefit from using a hearing aid in their non-implanted ear
87 than ever before (Fielden & Kitterick 2016; Neuman et al. 2017). A recent UK study suggested
88 that the proportion of patients aided bimodally since 2009 may be as high as 48% (Fielden et
89 al. 2016a).

90 Studies have suggested that bimodal stimulation significantly improves outcomes compared to
91 unilateral cochlear implant use alone in the domains of speech recognition and sound
92 localization (Potts et al. 2009; Crew et al. 2015), perception of music (Crew et al. 2015), sound
93 quality (Morera et al. 2012), quality of life (Farinetti et al. 2015), auditory stimulation (Zhang
94 et al. 2010; Farinetti et al. 2015) and functioning in real life environments (Ching et al. 2004).
95 Improvements in patients' hearing ability as a result of bimodal stimulation have been noted in
96 both quiet and noisy conditions (Harris & Hay-McCutcheon 2010; Farinetti et al. 2015).

97 Despite this evidence for the potential clinical effectiveness of bimodal stimulation, not every
98 patient will receive all of these benefits from the use of a contralateral HA. Evidence suggests
99 that the perceived health benefits of bimodal stimulation may vary due to sub-optimally fitted
100 hearing aids (Harris & MccCutcheon 2010). Yehudai et al. (2013) also reported that HAs were
101 found to be malfunctioning in a high proportion of bimodal recipients (81%) and Ching et al.
102 (2004) observed some level of degradation in speech perception with contralateral HA use.
103 Malfunction of the HA or the interference that it can cause between the two devices is reported
104 to be the main reason why many bimodal recipients discontinue use of acoustic amplification
105 in their non-implanted ear post-implantation (Fitzpatrick & Leblanc 2010; Scherf & Arnold
106 2014; Fielden & Kitterick 2016).

107 The decision faced by policy makers is whether managing adults with severe to profound
108 binaural hearing loss with bimodal stimulation is the most cost-effective option compared to
109 unilateral or bilateral CI; that is, do the additional health benefits that bimodal stimulation
110 generates justify the additional costs involved in its provision compared to the other available
111 alternatives. While the cost-effectiveness of unilateral cochlear implantation compared with
112 non-surgical management (i.e. HAs) in adults has been reported in many studies (United
113 Kingdom CI Study Group 2004; Bond et al. 2009; Turchetti et al. 2011), such studies have not
114 assessed bimodal stimulation as a distinct treatment alternative. For example, Bond et al.

115 (2009) conducted an economic evaluation that compared unilateral cochlear implantation
116 (assuming 70% of individuals used an acoustic hearing aid in their contralateral ear) to
117 conventional best practice (some patients used HAs and some did not). Although they did
118 account for the cost of the contralateral HA (£100 on average) and its replacement over time
119 (every 5 years), they were unable to identify reliable published estimates of the health benefits
120 ('utility gain') from bimodal stimulation and therefore only included the incurred HA costs in
121 their model.

122 Goman (2014) assessed the minimum utility gain required for bimodal stimulation to be cost-
123 effective compared to a unilateral CI in adults. The study considered the additional costs
124 associated with bimodal stimulation including hearing aid appointments (assessment, fitting
125 etc.) and rehabilitation (aftercare, repairs etc.). The study explored four different scenarios
126 varying the assumptions around the frequency of the hearing aid replacement and the
127 percentage of patients receiving rehabilitation. The author estimated a minimum required
128 utility gain of between 0.0022 and 0.0109 (depending on included costs) for bimodal
129 stimulation to be cost-effective at a willingness-to-pay (WTP) threshold of £20,000 per
130 Quality-Adjusted Life Year (QALY). These estimates represent the best-available evidence for
131 whether bimodal stimulation may be a cost-effective alternative to unilateral and bilateral CI.

132 There is an outstanding need to assess the cost-effectiveness of bimodal stimulation compared
133 to alternative management options. The key methodological issues to overcome are: (a) the
134 collection of information on the size of the health benefits from a more representative sample
135 of bimodally-aided, unilateral and bilateral cochlear implant users; and (b) obtaining such data
136 from a larger population than studied previously. Variability across clinical services in terms
137 of the fitting and management of hearing aids create the necessity to adjust the economic
138 evaluation to account for considerable differences across services in terms of both the costs
139 incurred and the benefits gained (Fielden & Kitterick 2016). The objectives of this study were

140 therefore to: (i) conduct a cost-utility analysis of bimodal stimulation compared to unilateral
141 and bilateral cochlear implantation; (ii) explore how small changes in the health benefits
142 associated with bimodal stimulation could impact the conclusions of the economic assessment;
143 and (iii) assess how sensitive the results are to assumptions around how long severe to
144 profoundly deaf adults will continue to use a contralateral HA after they receive their CI.

145

MATERIALS AND METHODS

146 **Ethical Approval**

147 This research was granted ethical approval by the Office for Research Ethics Committees
148 Northern Ireland (ORECNI, REC reference 15/NI/0054). The research followed the principles
149 of the Declaration of Helsinki.

150

151 **Population**

152 The population under consideration were male and female adults with bilateral severe to
153 profound deafness which, in the UK, are defined as having pure-tone average thresholds >90
154 dB HL at 2 and 4 kHz. The study considered the mean age for implantation to be 50 years,
155 which is consistent with other economic evaluations and assessments of CI provision in the
156 UK (United Kingdom CI Study Group 2004; Bond et al. 2009; Goman 2014). Our analysis
157 extrapolated costs and health benefits over a lifetime horizon to reflect the intended duration
158 of CI use.

159

160 **Perspective**

161 The economic evaluation was assessed from a Public Healthcare Service (PHS) perspective to
162 determine the treatment alternative that maximises health benefits within a limited budget. The
163 treatment alternatives considered were unilateral cochlear implantation (CI), bimodal
164 stimulation (CI+HA) and simultaneous bilateral cochlear implantation (CI+CI). In our primary
165 analysis we used an NHS and PSS perspective (National Health Service and Personal Social
166 Services), which is United Kingdom (UK) centred. We also conducted a secondary analysis

167 using information from the United States (US) that accounted for differences in treatment and
168 hearing device costs between the two countries.

169

170 **Model Description**

171 A decision analytic model was constructed and was based on a decision tree (Fig. 1) and
172 Markov models using the cohort simulation approach, which follows a cohort of patients as a
173 whole through each of the possible model states over time. The structure of the model was
174 based on the clinical pathway that patients commonly follow after unilateral cochlear
175 implantation in the UK (Bond et al. 2009; NICE 2013).

176 All patients were first assessed for cochlear implant candidacy and a proportion were assumed
177 to be ineligible for implantation. Although the modelling of those patients who are not eligible
178 for implantation is identical across all the treatment alternatives considered in the current study,
179 their inclusion in the model is necessary as the proportion of patients in a population who can
180 access a treatment can affect how cost effective it is.

181 All patients eligible for CI underwent surgery to implant the electrode array ('internal
182 component'). These patients then entered a three-state Markov model (Fig. 2) including one
183 which denotes the use of CI(s) and two absorbent states (i.e. states from which they could not
184 return to being CI users) representing the non-use of CI(s) and death. Adults in the state
185 denoting use of CI(s) subsequently entered a second Markov model comprised of four states
186 that described the success of implantation surgery and function of the device.

187 The structure of the model was identical for all three treatment options but the model for
188 bilateral CI assumed patients were implanted simultaneously in both ears and thus included the
189 cost of two cochlear implants but one surgery. The model for bimodal stimulation accounted
190 for extra costs and benefits from the additional use of the contralateral HA over unilateral CI

191 but also assumed that not all patients will be willing or able to use a contralateral HA. The
192 proportion of bimodal users was taken from a large-scale cross-sectional UK survey of 359
193 unilateral cochlear implant recipients that reported a percentage of contralateral HA use of
194 45.4% (Fielden et al. 2016a, 2017).

195 The 'working' state assumed that the fitted CI(s) was functioning and there were no adverse
196 effects. It was assumed that all patients experiencing a failure of the sound processor (external
197 failure) needed a replacement processor. In the case of an internal failure or a major
198 complication, patients were assumed to require an operation for re-implantation, while a
199 proportion of those patients would have the implantable component extracted. For bilateral CI,
200 it was assumed that those who required an extraction continued as unilateral CI users with
201 associated benefits gained and costs incurred. The non-use state reflected the results of CI
202 extraction in the case of unilateral CI users and also voluntary permanent non-use.

203 The patients who were ineligible for CI entered a two-state Markov model with states 'alive'
204 and 'death'. The alive state represented the non-surgical management of severe to profound
205 deafness in which a proportion of adults were assumed to benefit from (and therefore use) HAs.
206 Of those, a proportion were assumed to use two HAs and the remainder a unilateral HA (Bond
207 et al. 2009). The death state was an absorbent state that represented death due to natural causes
208 (ONS, 2017).

209 A discount rate of 3.5% was applied for both costs and health outcomes, based on the HM
210 Treasury UK (HMS Treasury 2009; NICE 2013). A willingness-to-pay threshold of
211 £20,000/QALY and \$50,000/QALY was used for the UK and US analysis, respectively (NICE
212 2013; Claxton et al. 2015). A cycle length of 6 months was chosen to illustrate the complexity
213 of events the first two years after cochlear implantation. The analysis was conducted in
214 Microsoft Excel 2016.

215

216 **Parameter Values**

217 *Transition Probabilities*

218 The probabilities used in the model are listed in Table 1. The probabilities related to cochlear
219 implantation were obtained from the most up to date economic model of unilateral cochlear
220 implantation in adults in the UK (United Kingdom Cochlear Implant Study Group 2004; Bond
221 et al. 2009). The probabilities of external failure and major complications (from year 2
222 onwards) were assumed to be constant over time, whereas the probability of internal failure
223 was assumed to be time dependent. To estimate the annual probability of internal failure,
224 survival curves were generated using the latest Cumulative Survival Percentage (CSP) data
225 available from a major manufacturer of CIs (Cochlear Ltd. 2016) (see Supplemental Digital
226 Content 1, which demonstrates the survival curves and best model fits).

227 *Utilities*

228 A postal survey of unilateral CI users in the UK was conducted to determine the ‘utility
229 weights’ for unilateral CI and bimodal stimulation. Utility weights were estimated by assessing
230 the self-reported Health-Related Quality of Life (HRQoL) of adult CI users by administering
231 the Heath Utilities Index Mark 3 (HUI3) instrument (Feeny et al. 2002), a preference-based
232 measure of health that has been found to be sensitive to interventions that restore hearing (Yang
233 et al. 2013). The questionnaire was open to all adult unilateral CI users managed by two large
234 clinical services in the UK who met the following inclusion criteria: (i) they must be at least
235 18 years of age; (ii) they must have received their CI in the UK; (iii) they must have been
236 implanted unilaterally. Questionnaires were completed on paper, responses were anonymous,
237 and no identifying personal information was requested.

238 A total of 91 patients were confirmed to be eligible and completed the HUI3 questionnaire: 31
239 bimodal users and 60 unilateral CI users. Bimodal users were defined as those who not only

240 reported using a contralateral HA but using it at the same time as their CI. The incremental
241 utility gain associated with bimodal stimulation was evaluated by comparing the utility weights
242 of the bimodal group to the unilateral CI group using non-parametric analyses (Mann Whitney
243 U test) after accounting for differences in the time since implantation. Bias-corrected
244 confidence intervals for the mean utility weights for the unilateral and bimodal groups were
245 computed using bootstrapping (Davison & Hinkley, 1997).

246 Four studies were relevant for estimating the utility of bilateral CI. Summerfield et al. (2006)
247 reported a utility increment of 0.031 compared to unilateral CI based on the results from a
248 randomized controlled trial, which was the same incremental value found in an earlier study
249 that estimated utility values using a scenario-based approach (Summerfield et al., 2002).
250 Kuthubutheen et al (2016) reported an average utility increment of 0.035 when comparing the
251 values associated with health state descriptions of bilateral with unilateral CI by patients and
252 healthcare professionals. Finally, Smulders et al (2016) found a utility difference of between
253 0.02 and 0.04 depending on the measurement instrument used after randomizing patients to
254 unilateral or bilateral implantation. The utility increment associated with bilateral CI over
255 unilateral CI from Summerfield et al. (2002, 2006) was used (0.031) both because it was
256 observed using the HUI3, the same instrument used in the current study to estimate the
257 increment from bimodal stimulation, because it was found consistently across two studies using
258 contrasting estimation methods, and because it approximated the average value reported across
259 all four studies. The final utility weights are shown in Table 2.

260 *Resource Use*

261 Direct costs of the hearing aid and the cochlear implant were calculated using the most suitable
262 and up-to-date unit costs (Table 3). The costs related to unilateral CI were obtained from
263 published literature (United Kingdom CI Study Group 2004; Bond et al. 2009) and were
264 inflated using the appropriate inflation ratio from Hospital and Community Health Services

265 (HCHS) index (Curtis & Burns 2015). Warranty information of the cochlear implant is
266 presented as supplemental material (see Supplemental Digital Content 2).

267 Compared to unilateral CI, bimodal stimulation was associated with additional costs related to
268 the contralateral hearing aid. Although a proportion of the cohort of severe to profoundly deaf
269 adults were assumed to already use HAs before implantation (Fig. 1), the model assumed that
270 additional appointments were provided to each bimodally-aided patient following implantation
271 in order to ensure the two devices (CI+HA) were optimized to work together. It was assumed
272 that there was only one follow-up visit related to the HA given that these patients were not new
273 HA users. It was assumed that the HAs were replaced every 5 years (Bond et al. 2009;
274 Summerfield et al. 2010; Goman 2014). The costs related to the hearing aids were based on the
275 UK NHS reference costs 2015/2016 (Department of Health and Social Care, 2016).

276 In the US analysis, the costs for the hearing aids were gathered from Wertz et al. (2017) and
277 for the cochlear implantation from Semenov et al. (2013). Costs were inflated to 2017 levels
278 using the Consumer Price Index (CPI) for the Medical Care system. These parameters are
279 presented in the supplemental material (see Supplemental Digital Content 3, which
280 demonstrates the costs used in the US analysis).

281 **Decision making**

282 The final decision of an economic analysis can be presented in the form of Incremental Cost-
283 Effectiveness Ratios (ICERs) or using the Net Monetary Benefit (NMB) approach. The ICER
284 is defined by the difference in costs between two alternatives divided by the difference in their
285 health effect. The cost-effectiveness decision is whether this ratio between the incremental
286 costs and benefits (also referred to as the ‘cost per QALY’) is below the willingness-to-pay
287 threshold.

288 Under the NMB method, the health benefits produced by the interventions under consideration
289 are expressed in monetary terms using the threshold, and the monetary value of the additional
290 health benefits is compared to the generated additional costs. An intervention is cost-effective
291 if it generates higher net monetary benefits compared to the net monetary benefits produced by
292 the other alternatives; i.e. the difference between the NMBs of an intervention and the next best
293 alternative (the Incremental NMB, INMB) is greater than zero.

294 *Scenario Analysis*

295 In the primary analysis, it was assumed that all bimodal recipients continue wearing the HA
296 over a lifetime horizon. However, various studies have suggested that a substantial proportion
297 of bimodal users may cease using their contralateral HA at some point after implantation
298 (Cowan and Chin-Lenn 2004; Devoncht et al. 2015; Fielden & Kitterick 2016; Fitzpatrick and
299 LeBlanc, 2010; Neuman et al. 2017). The scenario analysis used the weighted average of this
300 proportion across several published studies (see Supplemental Digital Content 4, which
301 demonstrates the proportion of adult bimodal users that discontinue hearing aid use) and
302 assumed that 39% of users would cease HA use after five years.

303 There is also published evidence supporting the use of age-adjusted utilities as the utility of a
304 normal-hearing person diminishes over time (Bond et al. 2009). Therefore, a secondary
305 analysis was conducted using age-dependent utilities to prevent the overestimation of quality
306 of life for which a scaling factor that reduced utilities as a function of age was extracted from
307 Bond et al. (2009).

308 *Uncertainty*

309 A univariate sensitivity analysis was conducted by varying the value of each parameter over a
310 plausible range while all other parameters were held constant (Claxton 2008). Each parameter
311 was varied between the 2.5% and 97.5% percentile values derived using its confidence interval

312 or standard error (Tables 1–3). The results were visualised using a tornado plot in which the
313 effect of varying each parameter on the main output of the model (the INMB value) were
314 plotted for each parameter.

315 The overall level of uncertainty in the model was quantified by conducting a Probabilistic
316 Sensitivity Analysis (PSA) (Claxton et al. 2005). Instead of each parameter being represented
317 by a single value, each parameter was represented by a probability distribution that expressed
318 the likely range of values the parameter could take. Parameters that referred to probabilities
319 were represented by beta distributions (Table 1), and both utilities (Table 2) and costs (Table
320 3) were represented by gamma distributions¹. Monte Carlo Simulation was used to run the
321 model 3000 times and generate pairs of incremental costs and QALYs for each alternative by
322 random sampling. The results are presented on a Cost-Effectiveness Acceptability Frontier
323 (CEAF), a form of graph that illustrates the uncertainty associated with the optimal treatment
324 (i.e. the treatment with the highest expected NMB) for different values of the willingness-to-
325 pay threshold (Fenwick et al. 2001; Barton et al. 2008). The PSA was conducted with Visual
326 Basic for Applications (VBA) in Microsoft Excel 2016.

327 In addition to capturing overall uncertainty, the results of the PSA can be analysed to assess
328 the relative effect of each parameter on the total amount of uncertainty. In other words, it is
329 possible to identify the parameters that explain the most uncertainty (i.e. variance) in the model
330 outputs (Campbell et al. 2015). Parameters of interest were identified by analysing the output

¹ A challenge with utilities is they are constrained in the interval $(-\infty, 1]$ and no distribution fits well. This challenge was overcome by transforming the utilities into disutilities (1-Utility), which are bounded between $[0, +\infty)$ and can be represented by a gamma distribution.

331 of the 3000 simulation runs of the PSA using Analysis of Covariance (ANCOVA) (Briggs et
332 al. 2006). The ANCOVA analysis was conducted in SPSS Statistics 24.

333

334 **Value Of Information (VOI)**

335 When policy makers use the results of an economic analysis to inform their decision making,
336 they can choose either to approve/reject a new intervention given the current level of
337 uncertainty around the decision, or alternatively they can choose to wait until further (more
338 precise) evidence is obtained from additional research (Briggs et al. 2006). Value Of
339 Information (VOI) analysis quantifies the value that can be gained from resolving uncertainty;
340 that is, it estimates the value of conducting additional research. The Expected Value of Perfect
341 Information (EVPI) is calculated and represents the upper bound of the expected per-patient
342 benefits of further research. The EVPI can also be estimated at a population level (pop EVPI)
343 by incorporating information about the size of the relevant patient population.

344 The size of the patient population was estimated using the prevalence of adults with profound
345 deafness who are considered likely to access CI services in the UK and US. Figures from the
346 British Cochlear Implant Group (BCIG) indicate that on average 800 adults have been
347 implanted unilaterally each year between 2011 and 2017. The VOI analysis assumed that the
348 total population of adults who are likely to avail of cochlear implantation in the UK is equal to
349 800 per year over the next 10 years, which after discounting over that period equated to a
350 population of 6,886 adults. For the US, the number of adults implanted has increased from
351 41,000 in 2010 (NIH, 2010) to 58,000 in 2012 (NIH, 2016), a growth of 5,667 per year,
352 resulting in a 10-year discounted population estimate of 48,780. The discounting period of 10
353 years was chosen as a time horizon over which the benefits of an optimal decision could be
354 expected to accrue, but not so long as to mean that some of the treatment options or assumptions
355 in the model may no longer be applicable.

RESULTS

356

357 Base case results are summarized in Table 4. Bimodal stimulation generates an ICER of £1,521
358 per QALY compared to unilateral cochlear implantation. This ICER is below the £20,000
359 threshold adopted by NICE in the UK, suggesting that bimodal stimulation is more cost-
360 effective than unilateral CI. Bilateral CI is deemed not to be cost-effective as it generates an
361 ICER of £219,900/QALY compared to bimodal stimulation, and is even less cost-effective
362 when compared to unilateral CI. The economic evaluation looking at costs from a US
363 perspective arrived at similar results. Bimodal stimulation generates an ICER of \$8,192/QALY
364 over unilateral CI, while bilateral CI is again not cost-effective compared to the other two
365 alternatives.

366 Under the Net Monetary Benefit framework, the INMB from bimodal stimulation compared to
367 unilateral CI was positive for both the UK (£2114) and US (\$4784) perspectives, and is
368 therefore the preferred alternative among the three treatment options considered. For the UK,
369 the individual QALY gain (+0.11 years) from offering bimodal stimulation compared to
370 unilateral CI was reached at an additional cost of £174 per person. The accrual of incremental
371 NMB at a threshold of £20,000 per QALY over a lifetime horizon is shown in Figure 3 ('base
372 case'). Bimodal stimulation offers increasingly more benefits throughout the years compared
373 to unilateral CI use and starts to generate additional monetary benefits over unilateral CI by the
374 end of the 1st year after cochlear implantation.

375 The first scenario analysis re-considered the cost-effectiveness of bimodal stimulation
376 assuming that approximately 39% of bimodal users would discontinue the use of the HA
377 voluntarily (Fig. 3, 'Stop being bimodal'). The incremental NMB was smaller than the base
378 case but still positive (£1511), and still identified bimodal stimulation as the most cost-effective
379 alternative. Having less bimodal recipients reduced the expected costs by 0.11% and the
380 amount of QALYs by an even greater extent (drop of 0.53%) compared to the base case. In

381 other words, the reduced health benefits gained from having fewer patients aided bimodally
382 still outweighed the cost savings arising from having fewer contralateral hearing aid users to
383 support. The same conclusion can be derived from the US analysis.

384 The second scenario analysis used utilities that diminished over the patient's lifetime to reflect
385 aging-related changes in health. Diminishing utilities generated less NMBs making bimodal
386 stimulation not cost-effective compared to unilateral CI with a negative INMB of -£1392. A
387 similar pattern is illustrated in the US analysis. While bimodal stimulation does generate
388 positive incremental net monetary benefits for the first three decades following implantation,
389 the utility gained from bimodal use is outweighed by the additional costs once the average age
390 of the cohort reaches 79 (Fig. 3, 'Diminishing Utilities'). The cost-effectiveness of bimodal
391 stimulation under diminishing utilities will therefore be dependent on the assumed average age
392 at implantation (50 years in the current study), the number of years lived following CI surgery
393 (23 on average in the current study based on the observed average life expectancy in the cohort
394 of 83 years), and the time horizon adopted for the analysis (a lifetime horizon was adopted
395 following the approach taken by NICE when formulating guidance on cochlear implants).

396 The results of the univariate sensitivity analyses are presented in the left panels of Figure 4.
397 The key parameters shown in the tornado plot were identified using ANCOVA, which
398 indicated that the utility parameters had the highest impact on the variance of the model outputs,
399 contributing to 84% (98% for US) of the overall uncertainty in the INMB. The uncertainty in
400 the bimodal utility explained 54% (64% for US) of the variance in INMB. A drop in the
401 bimodal utility of more than 0.029 (0.027 for US) would lead to a negative incremental NMB,
402 making unilateral cochlear implantation more cost-effective than bimodal stimulation. On the
403 other hand, a small increase in the bimodal utility resulted in high incremental NMBs; e.g. an
404 increase of only 6% in the bimodal utility doubled the incremental NMB. Bilateral CI is not
405 cost-effective compared to either bimodal stimulation and unilateral CI even when using the

406 highest reported utility value (an increment of 0.04 compared to unilateral CI) (Smulders et al,
407 2016).

408 The model outputs were insensitive to the cost of the acoustic hearing aid in both countries.
409 Similarly, the appointment costs related to hearing aids (assessment, fitting & follow-up) did
410 not influence the economic outputs to the extent needed to affect the conclusions of the base
411 case analysis (Fig. 4, left panels). The univariate sensitivity analysis suggested that the cost
412 parameters in the US analysis were slightly more influential than the UK analysis, although the
413 cost-effectiveness of bimodal stimulation remained robust; i.e. no plausible value examined for
414 the costs related to hearing aids resulted in negative INMB values. The INMB remained
415 positive for HA device prices up to \$6,000. Another influential parameter was the proportion
416 of patients receiving bimodal stimulation, which explained 13% and 27% of the output variance
417 in the UK and US analysis, respectively. The relationship between bimodal use and NMB was
418 positive such that increasing the proportion of bimodally-aided patients resulted in a higher
419 INMB in both analyses (UK & US). A rise in the number of bimodal users led to greater
420 increases in health benefits than in costs.

421 The right panels of Figure 4 also demonstrates the differences in QALYs and costs from the
422 PSA on a cost-effectiveness plane (scatter plot). Overall, there was little decision uncertainty
423 surrounding the optimal strategy; the majority of simulation runs were gathered around a tight
424 cluster below the threshold (i.e. produced a positive INMB) offering robustness to the base
425 case results. In the UK analysis, the simulations spread more horizontally (across the
426 incremental QALYs axis), while there was little variance in the incremental costs. This pattern
427 is compatible with the results of the univariate sensitivity analysis that identified the utility
428 weights as the key parameters causing uncertainty in the model. In the US analysis, cost
429 parameters have a bigger role in the overall uncertainty and thus the simulations show a greater

430 degree of spread vertically along the incremental cost axis, reflecting the plausible ranges of
431 costs obtained from the published literature.

432 Figure 5 plots the Cost-Effectiveness Acceptability Frontier (CEAF) that illustrates both the
433 optimal decision (the treatment alternative that generates the highest net monetary benefits),
434 the probability of the decision being correct (the amount of uncertainty around the decision),
435 and the population-level EVPI (the value of conducting further research) as a function of
436 willingness-to-pay threshold. The CEAF shows that bimodal stimulation has a high probability
437 of being cost-effective ($p \approx 0.7$) across most thresholds compared to the other two alternatives.
438 For willingness-to-pay thresholds of £20,000/QALY and £30,000/QALY the probability of
439 bimodal stimulation being the most cost-effective alternative was 72% and 73%, respectively.
440 In the US analysis, bimodal stimulation was cost-effective with a 67% and 59% certainty at a
441 \$50,000/QALY and \$100,000/QALY threshold, respectively (Table 4).

442 Figure 5 also shows that the EVPI in the UK analysis reached an initial peak where uncertainty
443 between unilateral CI and bimodal was the highest; i.e. around the threshold value of
444 £1,900/QALY. The continuous increase in the population EVPI at thresholds above that point
445 indicates that there is more decision uncertainty around higher thresholds, mostly likely
446 because the probability of bilateral CI becoming cost-effective increases. At a £20,000/QALY
447 threshold (\$50,000/QALY in the US analysis), the estimated value of reducing uncertainty
448 through further research is £637 (\$1783 in the US) per patient, leading to a population EVPI
449 estimate of £4,383,922 (\$86,955,460 in the US).

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DISCUSSION

452 This economic evaluation found that bimodal stimulation is more cost-effective than unilateral
453 or bilateral cochlear implantation for adults with bilateral severe to profound sensorineural
454 deafness in both the UK and the US from a public health service perspective. With an ICER of
455 £1,521/QALY over unilateral CI, bimodal stimulation would be considered highly cost-
456 effective as it is well below the lowest limit of the cost-effectiveness threshold range adopted
457 by NICE (£20,000/QALY). Similar results are derived from the US analysis, where bimodal
458 use generated an ICER of \$8,192 compared to unilateral CI, well below the \$50,000/QALY
459 threshold. Bimodal stimulation generated greater health benefits than unilateral cochlear
460 implantation, and on average those benefits outweighed the extra cost burden related to the
461 maintaining of the contralateral acoustic hearing aids. Although bilateral CI produces more
462 health benefits (QALYs) than the other treatment alternatives, the excessive costs associated
463 with providing two cochlear implants means that it is highly unlikely to be the most cost-
464 effective alternative.

465 The decision on the cost-effectiveness of bimodal stimulation is not sensitive to the choice of
466 WTP threshold as it is only at thresholds lower than £1,900/QALY that costs of the additional
467 hearing aids outweigh the benefits they provide to adult CI users. The robustness of the UK
468 model output is demonstrated by the high level of decision certainty after accounting for the
469 joint uncertainty in all parameters; i.e. bimodal stimulation was the most cost-effective
470 alternative in 72% of the model runs at a threshold of £20,000/QALY. An examination of the
471 proportion of simulations (28%) for which bimodal stimulation was not the most cost-effective
472 option (in which unilateral CI was more cost-effective) indicated that those results were mainly
473 driven by bimodal stimulation generating less health than unilateral CI. This situation is not
474 implausible as there have been reports of poorer outcomes due to interference between
475 electrical and acoustic inputs and the perceptual differences between the two inputs (Scherf &

476 Arnold 2014; Yehudai et al. 2013). This possibility was incorporated in the model by adjusting
477 the standard errors of the two utility parameters in such a way as to allow for the possibility
478 that bimodal stimulation could generate less health benefits than unilateral CI use. If improving
479 the fitting of both hearing devices in bimodal stimulation decreased the possibility of a
480 reduction in health benefits compared to unilateral CI, decision uncertainty would be reduced
481 even further, although even without such assumptions decision uncertainty with current
482 information is low.

483 The only previous study that has considered the cost-effectiveness of bimodal stimulation
484 estimated that a utility gain of at least 0.0109 was required to make bimodal stimulation cost-
485 effective. The current study obtained HUI3 data from 91 adults managed at two large CI
486 services in the UK and estimated a utility gain of 0.032 by comparing a bimodally-aided group
487 with a unilateral CI group while controlling for years of experience with CI use (Table 2,
488 unilateral 0.478 vs bimodal 0.510). The size of this utility gain was sufficient to outweigh the
489 extra costs of maintaining the contralateral HAs if utilities did not diminish over time, and even
490 if it was assumed a proportion of patients stopped using their contralateral HAs. When utilities
491 diminished over time under the assumption of an age-related decline in health, bimodal
492 stimulation only ceased being cost-effective after the patients reached ~80 years of age; i.e.
493 after approximately 30 years of device use. Thus, even under the assumption that utilities
494 diminish with age, bimodally-aided adults will get benefit well into their working life. The
495 basic implication from the age-adjusted utilities analysis is that as patients get to an advanced
496 age their health looks increasingly similar regardless of the hearing devices they use; i.e.
497 unilateral CI or CI+HA.

498 The utility gain for bimodal stimulation used in the present analysis was obtained from UK
499 patients who had received no rehabilitation specific to bimodal stimulation as it is not routinely
500 provided in the UK (Fielden & Kitterick 2016). This fact underpinned the assumption that the

501 only additional costs for bimodal stimulation associated with that utility gain were the costs
502 required to ensure the hearing aid was up to date and maintained over time, which is also in
503 line with the assumptions made by Bond et al. (2009). Even if we used higher cost estimates
504 as Goman (2014) reported, who assumed a greater level of extra rehabilitation and aftercare in
505 the bimodal group, the final decision on the cost-effectiveness of bimodal stimulation remains
506 the same. The overall costs for bimodal stimulation were also higher in the US vs the UK model
507 in the present evaluation, resulting in an additional cost of \$937 per person for bimodal users,
508 but bimodal stimulation still remained cost-effective. Thus, the current results suggest that
509 bimodal stimulation would be affordable under a public healthcare system in the US. The
510 provision of bimodal stimulation in the US not only has the potential to increase patient benefit,
511 but also reduce inequalities within the healthcare system as contralateral acoustic HAs are not
512 provided through the public health system in the US, but instead are purchased privately.
513 Bainbridge and Ramachandran (2014) reported that the prevalence of hearing aid use among
514 older adults was 28% to 66% higher in those with higher incomes compared to the adults on
515 the lower end of the income-to-poverty distribution. It is possible that similar differences could
516 arise in contralateral HA use among adult CI users in the US.

517 The EVPI depicts the upper price that a healthcare system should be willing to spend for
518 obtaining additional evidence. Performing more trials and conducting further research provides
519 more accuracy around the input parameters and resolves part of the uncertainty around the final
520 outcome of the model. It would appear feasible for randomised controlled trials or other types
521 of formal clinical evaluations to be conducted within these funding limits (£4,383,922 at
522 £20,000/QALY; \$86,955,460 at \$50,000/QALY). If there is scope for further investment, it
523 should be where the uncertainty is highest. Results from the sensitivity analyses suggest utility
524 weights as the key driver of parameter uncertainty. Future research could address this issue by
525 conducting interventional designs (e.g. randomized controlled trials) to obtain utility weights
526 for bimodal stimulation compared to unilateral CI, rather than rely on data from observational
23

527 studies on which the current utility weights are based. The lack of health utility data in the field
528 of cochlear implantation in general is an important evidence gap to address given the important
529 role that economic evaluations play in determining whether such a low-volume high-cost
530 intervention is good value for money from the payer's perspective.

531 Economic evaluations typically use generic health instruments to obtain data on health-related
532 quality of life; that is, instruments that are by design relevant to a wide range of health
533 conditions. Although the EQ-5D (Brooks, 1996; The Euroqol Group, 1990) and Health Utilities
534 Index Mark 3 (HUI3) (Boyle et al. 1995; Feeny et al. 2002) are both standardized instruments
535 used in a wide range of health conditions, both are limited in detecting differences between
536 degrees of hearing loss and changes due to hearing-related interventions. The EQ-5D has been
537 found to perform poorly in hearing-related conditions in terms of its sensitivity to change
538 (Barton et al. 2005; Grutters et al. 2007; Longworth et al. 2014). The HUI3 has been found to
539 be more sensitive although largely to the comparison between 'no hearing' to 'some hearing'
540 rather than to different degrees of hearing (or to bilateral versus unilateral hearing) (Lovett et
541 al. 2009; Goman 2014). Although the EQ-5D is the preferred instrument of NICE (NICE,
542 2013), it has accepted evidence of the effectiveness of cochlear implantation based on HUI3
543 data when forming its guidance on who should be able to access cochlear implantation in the
544 UK (NICE, 2009). This fact and the availability of HUI3 data on the utility gain from bilateral
545 implantation led to the use of the HUI3 in the current study. However, it is possible that this
546 choice of instrument was suboptimal given that it's dimensions do not explicitly cover aspects
547 of hearing that are contingent on binaural hearing (or at least bilateral access to sound). The
548 lack of well-validated preference-based measures of health-related quality of life that are
549 sensitive to hearing and hearing-related interventions but also whose use is suitable for
550 informing economic evaluations poses an ongoing challenge for the application of health
551 economics to hearing healthcare.

552 The scenario analyses indicated that the incremental net monetary benefits from bimodal
553 stimulation compared to unilateral CI were reduced substantially if it was assumed that a
554 proportion of bimodally-aided patients ceased use of their HA after a period of time. Scherf
555 and Arnold (2014) reported that the main reason for rejecting the hearing aid was the absence
556 of any perceived benefits. Poor provision and management of bimodal stimulation could
557 potentially lead to bad synchronisation and malfunctioning of the two devices, reduce benefit,
558 and ultimately lead to non-use. Differences have been observed across clinical practices in the
559 procedures used to fit and tune the HA after implantation (Scherf & Arnold 2014) and a
560 majority of practices in the UK do not have an agreed protocol on the best approach of fitting
561 both devices simultaneously (Fielden & Kitterick 2016). Surveys of cochlear implant
562 audiologists have suggested that clinicians need guidelines around issues of bimodal candidacy
563 and management (Scherf & Arnold 2014; Siburt & Holmes 2015; Fielden & Kitterick, 2016).
564 Such guidelines could help reduce non-use of the HA by optimizing bimodal fitting procedures
565 to maximise patient benefit or by ensuring that only patients likely to benefit are aided
566 bimodally.

567 The current economic model suggests that a higher number of bimodal users as a proportion of
568 all CI recipients would lead to even greater net benefits. An increase in bimodal usage in the
569 UK of approximately 34% that occurred around 2009 has been attributed to the change in
570 guidance on candidacy criteria in the UK that permitted candidates to have greater access to
571 residual hearing (Fielden et al. 2016a). Bimodal usage could increase further if the candidacy
572 criteria for cochlear implantation are expanded to those with even greater levels of residual
573 hearing. Such an eventuality would seem possible as the candidacy criteria adopted in UK are
574 some of the most restrictive in the world (Vickers et al. 2016). In the US, providing HAs
575 through the public healthcare system might increase the rate of contralateral HA use among CI
576 users without bimodal stimulation necessarily being offered as a distinct treatment option.
577 Although any increase in bimodal usage would render bimodal stimulation even more cost-

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578 effective than it currently appears to be, it would necessitate audiologists having to manage an
579 even greater number of bimodally-aided patients in the future. This growing trend places
580 additional emphasis on the need to develop guidance around maintaining both devices
581 simultaneously, to develop enhanced fitting procedures, and to identify which patients have the
582 capacity to derive benefit from a contralateral HA.

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756

757

FIGURE CAPTIONS

758 **Figure 1.** The decision tree and Markov models used for evaluating costs and health-related
759 outcomes of combining electric and contralateral acoustic stimulation (CI+HA, ‘Bimodal
760 stimulation’) compared to electric stimulation alone (unilateral and bilateral CI).

761 **Figure 2.** Schematic representation of the Markov Model for use of one or two CIs. Ellipses
762 indicate distinct health states and arrows show the permitted directions in which the simulated
763 cohort could move from one state to another. The states reflect the possible post-operative
764 outcomes following unilateral or bilateral cochlear implantation and two absorbent states of
765 non-use and death due to natural causes.

766 **Figure 3.** Base-case and scenario analysis results of the comparison between bimodal
767 stimulation and unilateral CI at a willingness-to-pay threshold of £20,000/QALY. Results from
768 the US analysis are not displayed because they are similar to the UK analysis.

769 **Figure 4.** Tornado plots (left) of the one-way sensitivity analyses of bimodal stimulation versus
770 unilateral CI for the UK (top) and US (bottom) summarizing the uncertainty attached to each
771 individual parameter. Key parameters were identified using analysis of covariance. Each
772 parameter was varied between the 2.5% and 97.5% percentile. Scatter plots (right) of
773 incremental costs and incremental quality-adjusted life years of bimodal stimulation versus
774 unilateral CI. The majority of simulation runs (UK 72%; US 67%) were observed to lie below
775 the willingness-to-pay threshold.

776 **Figure 5.** Cost-Effectiveness Acceptability Frontier (CEAF) representing the results from the
777 probabilistic sensitivity analysis. The graph illustrates the management option with the highest
778 expected net monetary benefits (NMB), the probability that this intervention is cost-effective,
779 and the population Expected Value of Perfect Information (pop EVPI) across a range of
780 willingness-to-pay thresholds. All three treatment alternatives were considered (unilateral CI,

781 bimodal stimulation and bilateral CI) although no part of the graph relates to bilateral CI as it
782 was not the optimal choice at any of the WTP threshold values considered. Results from the
783 US analysis are not displayed because they are similar to the UK analyses.

Figure 1

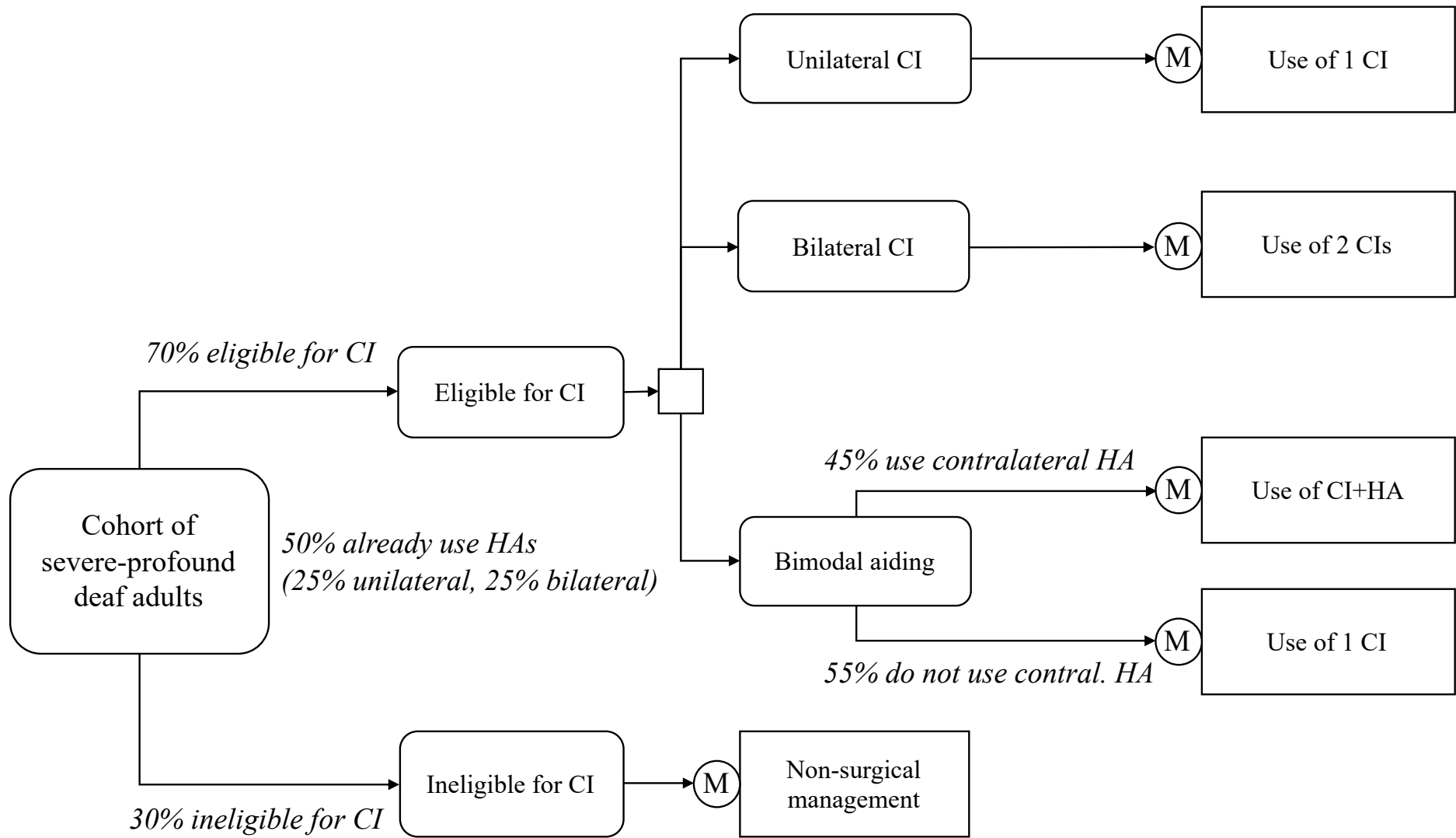


Figure 2

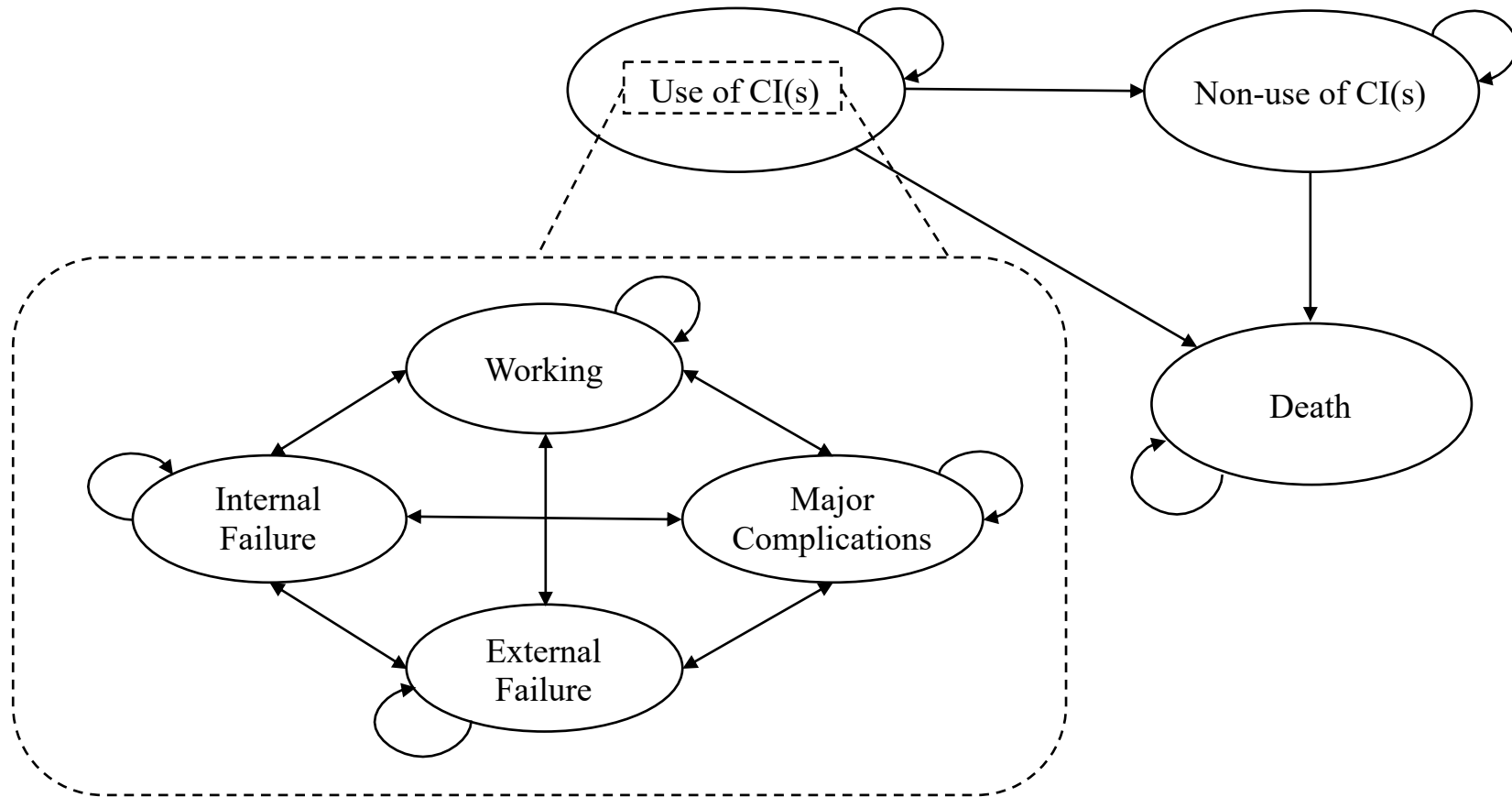


Figure 3

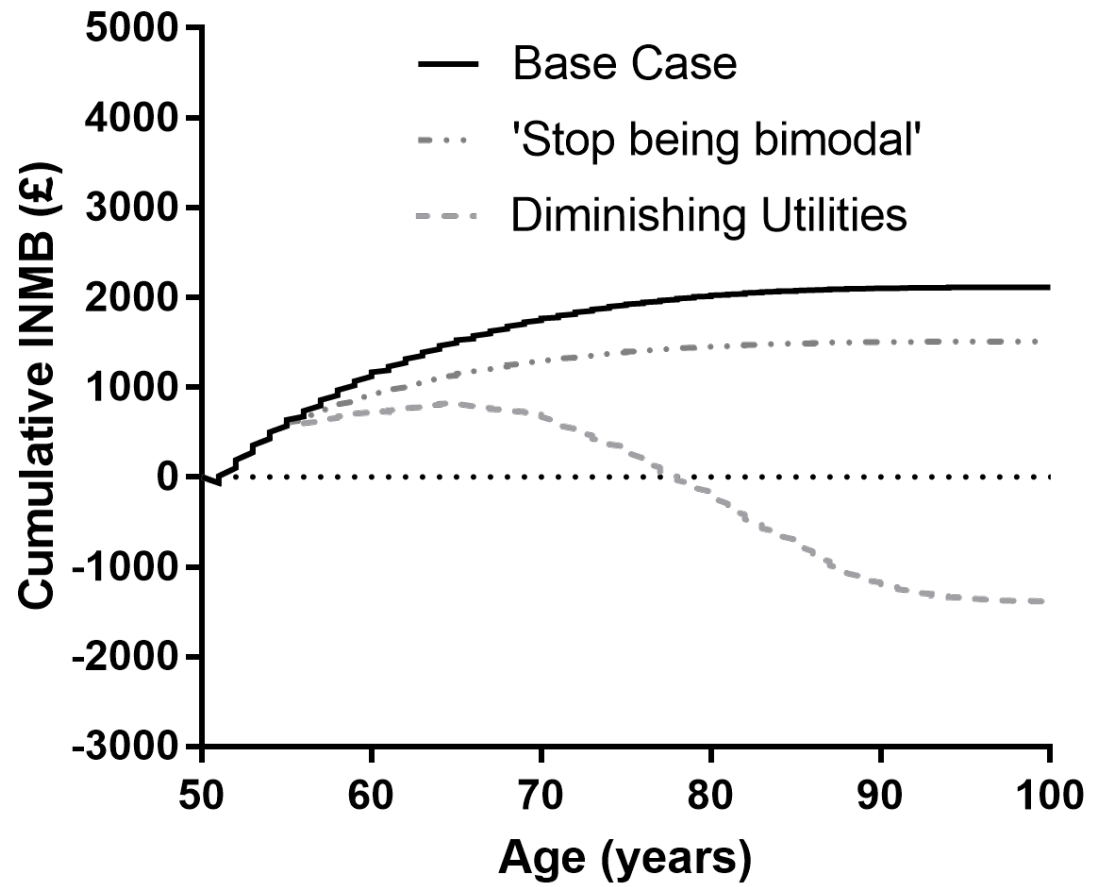


Figure 4

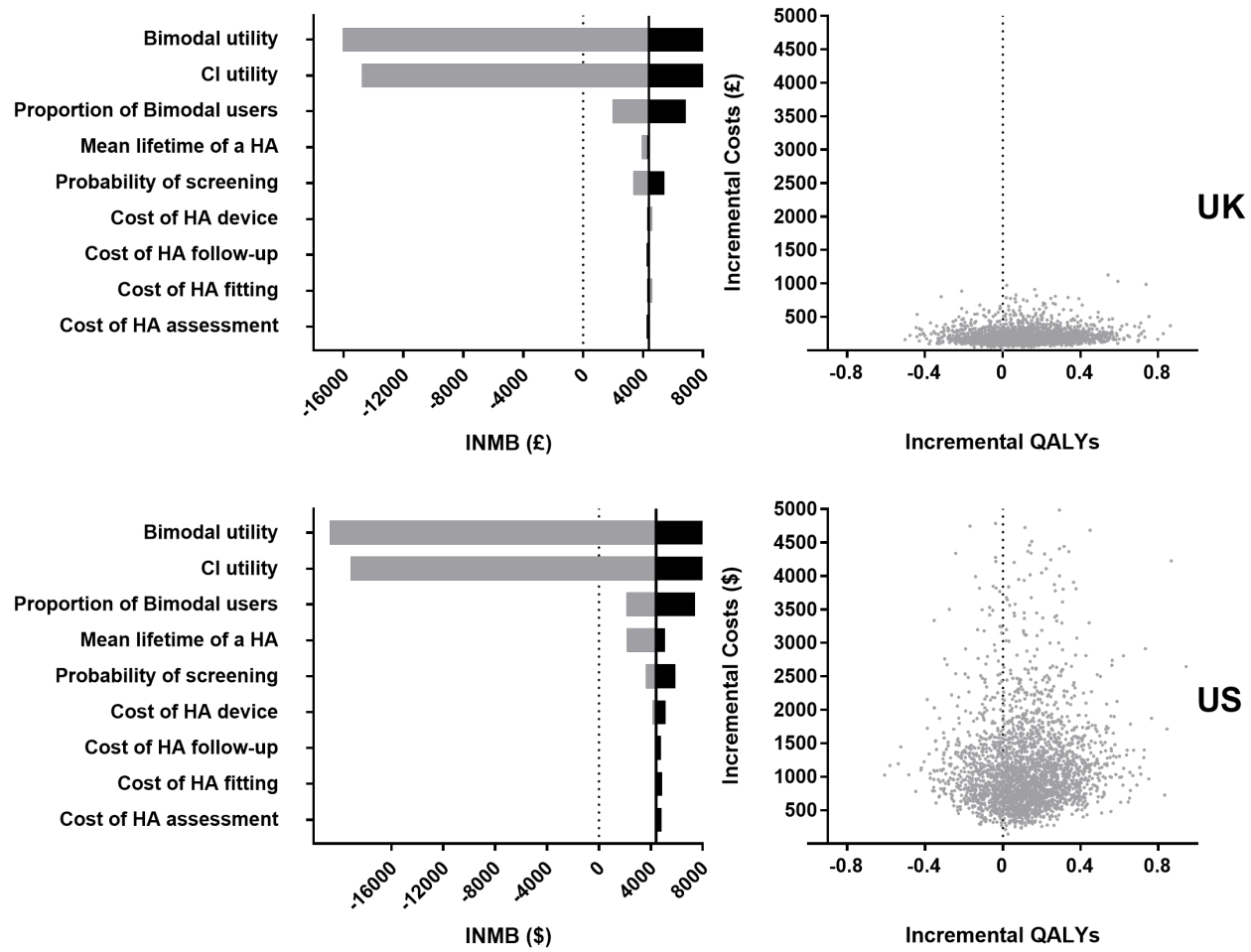


Figure 5

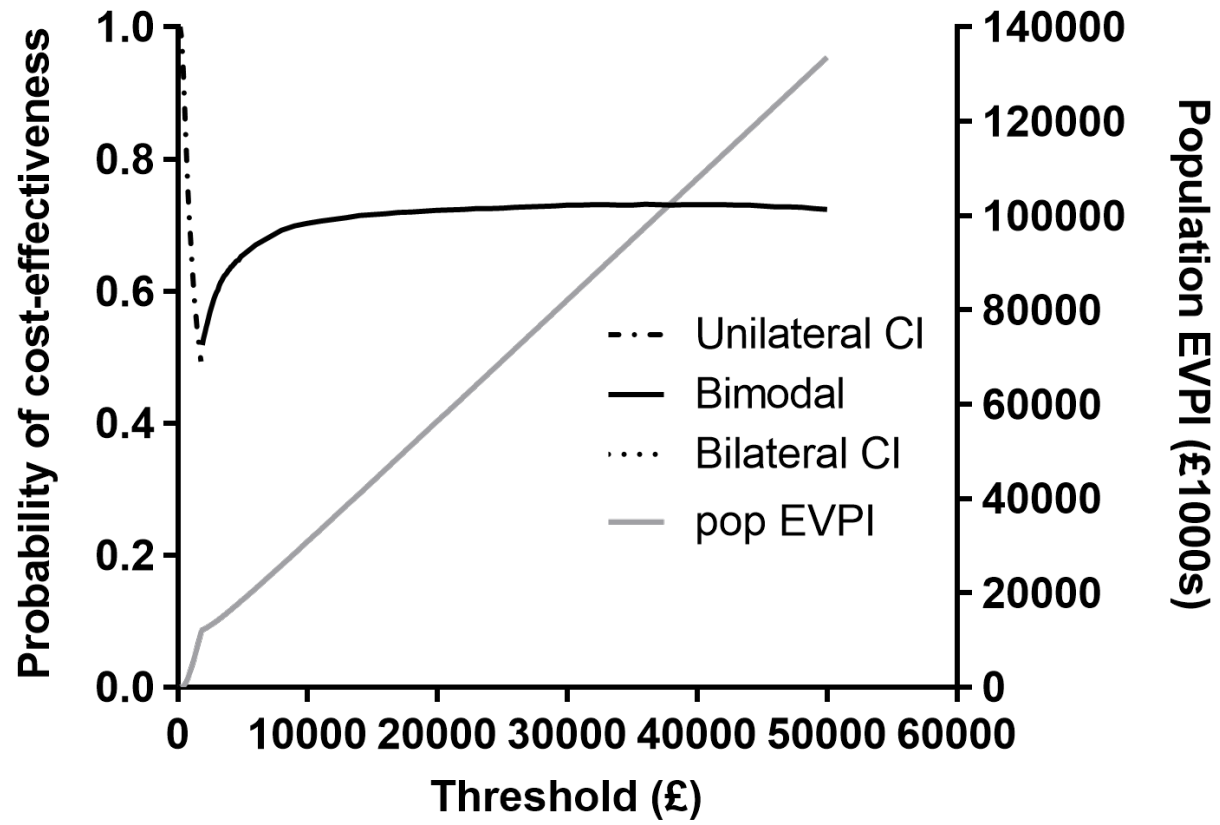


Table 1. Probability parameters of the Markov state transition models. The means and standard errors (SEs) were used to define beta distributions for the probabilistic sensitivity analyses.

Parameter Name	Mean	SE	Source	Description
Screening	0.3	0.075	Bond 2009	Proportion of initial referrals not undergoing an operation to fit a CI (i.e. who receive non-surgical management)
Bimodal users	0.454	0.1135	Fielden 2016, 2017	Proportion of CI recipients who use a contralateral HA
Surgical Death	0	N/A	Bond 2009	Probability of death from cochlear implant surgery
External failure*	0.062	0.0155	Bond 2009	6-month probability of external component (sound processor) failure
Internal failure*	Time-dependent	N/A	Cochlear Europe submission, Conboy and Gibbin 2004	6-month probability of internal component (receiver-stimulator & electrode array) failure
Major complications yr 1*	0.02	0.005	Bond 2009	6-month probability of major complication† in year 1
Major complications yr 2+*	0.002	0.0005	Bond 2009	6-month probability of major complication† in year 2+
CI extraction	0.115	0.0049	Bond 2009	Probability of non-reimplantation of a cochlear implant due to internal failure or major complications
Elective non-use of CI	0.0236	0.0059	Bond 2009	Probability of voluntary non-use of implants (applied once at the end of 2nd year of implant use)
Use of 1 HA	0.25	0.125	Bond 2009	Proportion of candidates for CI who use 1 HA
Use of 2 HAs	0.25	0.125	Bond 2009	Proportion of candidates for CI who use 2 HAs

*Probability is doubled for bilateral cochlear implantation. †Event requiring any form of operation not related to a device failure.

Table 2. Utility parameters of the Markov state transition models. All utilities were based on data obtained using the HUI3 instrument. Lower and Upper 95% Confidence Intervals (LCI, UCI) were calculated by bootstrapping, which was also used as the sampling method for these parameters in the probabilistic sensitivity analyses.

Parameter Name	Mean	LCI	UCI	Source	Description
Absolute utilities					
Non-surgical management	0.433	0.411	0.455	Bond 2009	Utility of profoundly deaf adults with access to HAs
Unilateral CI	0.478	0.420	0.536	Current study	Utility of unilateral cochlear implant use
Bimodal	0.510	0.428	0.592	Current study	Utility of bimodal use
Incremental utilities					
Bilateral CI	0.031	0.018	0.042	Summerfield 2010	Incremental utility gain from using bilateral cochlear implants over a unilateral implant

Table 3. Cost parameters of the Markov state transition models measured in Pound Sterling (£). The means and Lower and Upper 95% Confidence Intervals (LCI, UCI) or standard errors (SEs) were used to define gamma distributions for the probabilistic sensitivity analyses.

Parameter Name	Mean	LCI-UCI (SE)	Source	Description
Costs of HA				
Hearing Aid	86	65-108	NHS Reference Costs 2015-2016	Cost of a hearing aid
Assessment	53	40-66	NHS Reference Costs 2015-2016	Cost of a hearing aid assessment
Fitting	71	53-89	NHS Reference Costs 2015-2016	Cost of fitting a hearing aid
Follow-up	53	40-67	NHS Reference Costs 2015-2016	Cost of follow-up after hearing aid fitting
Costs of a CI				
Candidacy	4945	3907-5587	Bond 2009*	Presurgical candidacy costs
CI surgery (Unilateral)	3469	1144-7528	Bond 2009*	Unilateral implantation costs (excluding system costs)
CI surgery (Bilateral)	5204	(1041†)	Bond 2009*	Bilateral implantation costs (excluding system costs)
CI device (Unilateral)	14900	(3603†)	NICE 2009	Mean cost of unilateral cochlear implant system
CI device (Bilateral)	23840	(4768†)	NICE 2009	Mean cost of bilateral cochlear implant system, assuming a 40% discount for the second implant (NICE, 2009)
Maintenance yr1 (Unilateral)	6164	5425-6534	Bond 2009*	Tuning and maintenance costs in year 1
Maintenance yr2	984	757-1436	Bond 2009*	Maintenance costs in year 2
Maintenance yr3	932	392-1435	Bond 2009*	Maintenance costs in year 3
Maintenance yr4+	735	391-1079	Bond 2009*	Maintenance costs in years 4+
Upgrade (Unilateral)	5072	(101†)	Bond 2009*	Processor upgrade
Major Complications (Unilateral)	9588	9004-10519	Bond 2009*	Medical event requiring surgery not related to device failure

*Inflated to 2015/16 prices. †Variance of costs set to 1/5 of the mean value following Summerfield et al. (2010).

Table 4. Results of the cost-effectiveness analyses for the base case.

Treatment Alternative	Expected QALYS	Expected Costs	ICER*	NMB	INMB*	Probability of being cost-effective†	
						£20,000/QALY	£30,000/QALY
<i>United Kingdom (WTP threshold £20,000/QALY)</i>							
Unilateral CI	5.87	£33,227	-	£84,050	-	28%	27%
Bimodal	5.98	£33,401	£1,521/QALY	£86,165	£2,114	72%	73%
Bilateral CI	6.11	£62,688	£219,900/QALY	£59,542	-£26,623	0%	0%
<i>United States (WTP threshold \$50,000/QALY)</i>						\$50,000/QALY	\$100,000/QALY
Unilateral CI	5.87	\$46,229	-	\$246,964	-	30%	24%
Bimodal	5.98	\$47,166	\$8,192/QALY	\$251,748	\$4,784	67%	59%
Bilateral CI	6.11	\$79,120	\$239,926/QALY	\$226,453	-\$25,295	3%	17%

*Incremental values calculated by comparing adjacent rows; i.e. Bimodal aiding to Unilateral CI and Bilateral CI to Bimodal aiding. †Probabilities represent the proportion of simulations for which each treatment alternative generated the greatest net monetary benefits.

APPENDICES

Survival Curves to inform the probability of failure of the internal component of a single cochlear implant.

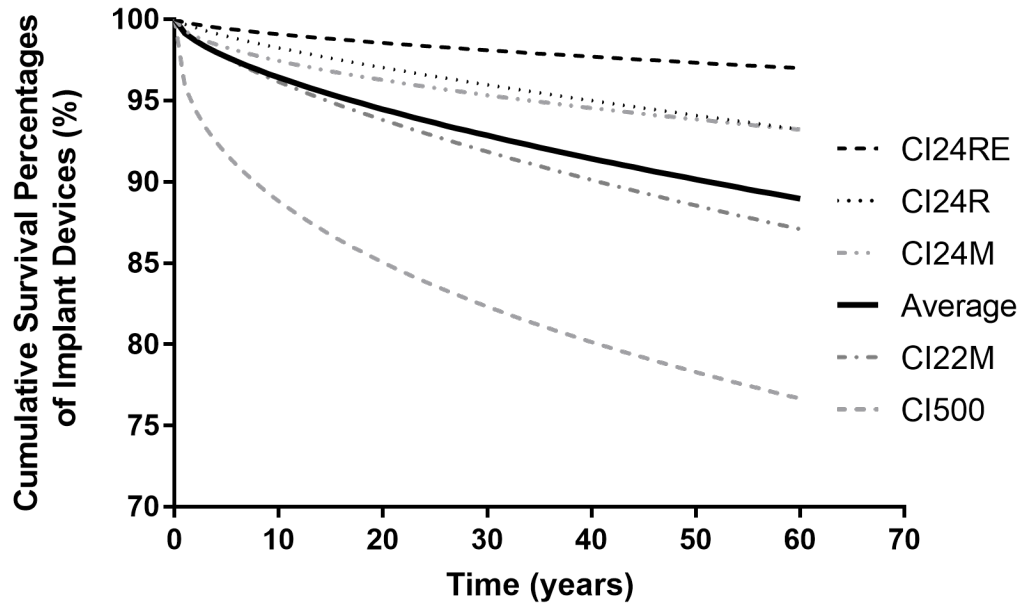


Table A. Warranty and lifetime parameters for the hearing devices considered in the Markov models.

Parameter	Mean	SE	Source	Description
Proportions in warranty				
Proportion of internal failures	0.007		Bond 2009	Proportion of internal component failures occurring during warranty period
Proportion of external failures	0.318		Bond 2009	Proportion of external component failures occurring during warranty period
Warranty costs (£)				
Internal Failure (during warranty)	3469	694†	Bond 2009*	Cost of internal component failure (during warranty period)
Internal Failure (after warranty)	21483	4297†	Bond 2009*	Cost of internal component failure (in years after warranty period)
External Failure (during warranty)	0	19†	Bond 2009*	Cost of external component failure (during warranty period)
External Failure (after warranty)	5072	1014†	Bond 2009*	Cost of external component failure (in years after warranty period)
Lifetime in years				
Lifetime of an HA	5		Bond 2009 Summerfield 2010 Goman 2014	The number of years after which an acoustic hearing aid is likely to be upgraded in routine clinical practice.
Warranty of CI (internal)	10		Bond 2009	The warranty period of the internal part of a CI
Warranty of CI (external)	3		Bond 2009	The warranty period of the external part of a CI

*Inflated to 2015/16 prices. †Variance of costs set to 1/5 of the mean value following Summerfield et al. (2010).

Table B. Cost parameters of the Markov state transition models. Measures in US Dollars (\$)*

Parameter Name	Mean	SE†	Source	Description
Costs of HA				
Hearing Aid	700	140	Wertz et al. 2017	Cost of a hearing aid
Assessment	234	47	Wertz et al. 2017	Cost of assessment of a hearing aid
Fitting	197	39	Wertz et al. 2017	Cost of fitting of a hearing aid
Follow-up	197	39	Wertz et al. 2017	Cost of follow-up of a hearing aid
Costs of CI				
Candidacy	1650	330	Semenov et al. 2013	Presurgical candidacy costs
CI surgery (Unilateral)	6010	1202	Semenov et al. 2013	Unilateral implantation costs (excluding system costs)
CI surgery (Bilateral)	9015	1803	Bond et al. 2009	Bilateral implantation costs (excluding system costs)
CI (Unilateral)	36162	7232	Semenov et al. 2013	Mean cost of unilateral cochlear implant system
CI (Bilateral)	57859	11572	Bond et al. 2009	Mean cost of bilateral cochlear implant system, assuming a 40% discount for the second implant
Maintenance yr1-3	1997	399	Semenov et al. 2013	Annual tuning and maintenance costs in year 1-3 including sound processor insurance
Maintenance yr4+	1579	316	Semenov et al. 2013	Maintenance costs in years 4+ including sound processor insurance
Upgrade	2976	595	Semenov et al. 2013	Processor update every 10 years
Major Complications	6259	1252	Semenov et al. 2013	Cost of major complications (unilateral)
Warranty costs				
Replacement of external component	-	-	Semenov et al. 2013	Cost of external component replacement is covered by insurance
Replacement of internal component (during warranty)	6010	1202	Semenov et al. 2013	Cost of internal component replacement

*Inflated to 2017 prices, based on the Consumer Price Index (Bureau of Labor Statistics)

†Variance of costs set to 1/5 of its mean value following Summerfield et al. (2010).

Table C. Estimates of the proportion of adult bimodal users that discontinue hearing aid use.

Study	Proportion of sample stopping HA use (%)	Sample size	Follow up	Source
Fielden et al. (2016)	59	38	5 years	Estimates from CI audiologists, UK
Neuman et al. (2017)	15	94	3 months	Unilateral CI adults, New York
Devocht et al. (2015)	36	77	1 year	Unilateral CI adults, Netherlands
Yamaguchi et al. (2013)	85	82	Not specified	Unilateral CI adults, Brazil
Fitzpatrick and Leblanc (2010)	51	96	6 months	Unilateral CI adults, Canada
Fitzpatrick et al. (2009)	21	24	6 months	Unilateral CI adults, Canada
Cowan and Chin-Lenn (2004)	21	71	Not specified	Unilateral CI adults, Australia