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1	A novel solar multifunctional PV/T/D system for green building roofs
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23

#### 24 **0 Introductions**

25 Research on PV/T/D (Photovoltaic/Thermal/Day lighting) system is a promising topic

in solar energy applications, and many studies have been done on conventional solar 26 concentrators. Kern [1] proposed the basic idea of PV/T (Photovoltaic/Thermal) utilization 27 28 of solar energy in 1978, the method was that arranging the flow path at the back of PV cell, 29 the thermal energy can be taken away by the flowing fluid and the collected energy could 30 be used for forward thermal application. This method can not only cool the PV cell and increase the photoelectric efficiency, but also can fulfill the comprehensive utilization of 31 solar energy[2-4]. The solar energy comprehensive use ratio can reach 60%-80% for PV/T 32 system[5-7], Li M [8], Tan L [9] and Ibrahim A[10] also tested the performance of PV/T 33 34 system and taken some optimization design.

Combining the PV/T system with building is the developing tendency of green building in the future; it can increase the usage ratio of solar energy. When the CPV/T (Concentrate Photovoltaic/Thermal) technology was used in building, a higher concentrate ratio is expected in order to generate higher temperature for thermal energy. The high temperature heat source will expand its application field, such as solar heater, solar air-condition and solar dehumidification. It will increase the contribution of solar energy in the field of building energy conservation.

High efficiency solar concentrator has its shortcuts such as it requires high-cost accurate optical material, and the tracking system has many complicated moving parts, so it is difficult to combine it with building. In addition, the performance of normal PV cell cannot improve obviously[11]. On the contrast, the CPC (Compound Parabolic Concentrator) with lower concentration ratio is more feasible to combine with the building. Garg [12] and Brogren[13] reached the CPC-PV/T system with the concentrate ratio of 3

and 4, studies showed that the thermal and electric output of a PV/T system increases with 48 increasing collector length, air mass flow rate and cell density, and decreases with enlarged 49 50 in duct depth. Adsten M et al [14] evaluated the CPC collector for roof or wall application, Finally, a concentrating solar collector for wall mounting was evaluated with an estimated 51 annual output of 194 MJ/m<sup>2</sup> at operating temperature of 75°C. Li G Q[15,16] and Pei G[17] 52 taken some research about the efficiency of CPC-PV/T with different concentrate ratio, the 53 thermal efficiency of CPC-type solar water heater system can be above 49.0% (attaining 95°C 54 water temperature). Recently, Li G [18] presents a novel static incorporated compound 55 56 parabolic concentrator with photovoltaic/thermal system, the average value of optical efficiency within the half acceptance angle of  $35^{\circ}$  can achieve 83.0%. 57

Modern architecture structure design pays more attention on novel lighting and energy 58 59 conservation, conventional CPC PV/T system will prevent the light into the building when it is used for the solar energy, this defect restricts the application field of the device. If the 60 CPC PV/T system was made by transparent material, it will expand the application field 61 62 and integrate with building easily. This transparent CPC PV/T system using in building can 63 increase the visual comfort for building occupants. In the summer at noon, the sun light would transmit through the roof directly, transparent roof would cause overheating and 64 dazzling in the building. In order to solve this problem, a novel transparent roof which is 65 made of solid CPC PV/T/D system is presented. It integrates the solar energy PV/T/D 66 system with building design. The PV/T/D system can control lights well at summer noon 67 68 and adjust the thermal environment in the building; as a result, high efficiency utilization of solar energy is achieved in modern architecture. 69

## 70 **1 Structure and working principle of PV/T/D system**

71	The structure of a novel PV/T/D system is shown in Fig.1. It is consist of upper cover,
72	lower cover and side plate, all of them are made by PMMA (Polymethyl methacrylate). The
73	upper cover is composed by solid CPC. The PV cell was pasted on the undersurface of solid
74	CPC. The light transmittance varies in accordance to the changing light incidence angles.
75	The thickness of lower cover of PV/T/D device is 3mm, and there are 900 holes in this
76	plate. The length and width of PV/T/D device are all 300mm, other sizes are marked in
77	Fig.1, and unit is mm.
78	
79	Fig.1 The working principle of the PV/T/D device
80	
81	The working principle of a novel PV/T/D system is expressed briefly: sun light
82	penetrates into the system from upper cover plate, light will be concentrated to the PV cell
83	when the incidence angle is small; when the incidence angle is large, the light will escapes
84	from the lateral wall of a solid CPC and provides daylighting to the building. The detailed
85	optical principle of solid CPC was expressed by Yu Xu[19]. The PV cell could generates
86	electrical energy as well as sufficient thermal energy, those thermal energy could heat the
87	air between the upper and bottom plate, so the temperature of air is raised up. When the
88	suction pump is working, the air would flow through the PV/T/D device and take away the
89	warmed air for forward thermal application. This method can obtain additional thermal
90	energy and fulfill the combination of PV and thermal energy, which raises the
91	comprehensive utilization ratio of solar energy.

In the current study, the geometrical concentration ratio of solid CPC is 4, the right and
left parabola equation of CPC is expressed by formula 1 and 2.

94 
$$0.968y + 0.2504x + 75.1 = \frac{(0.2504y - 0.968x + 72.6)^2}{275}$$
 (1)

$$0.968y - 0.2504x + 75.1 = \frac{\left(0.968x + 0.2504y + 72.6\right)^2}{375}$$
(2)

96 where x and y are coordinate in the Descartes, unit is m.

When the light irradiates the solid CPC in different incidence angles, it will be
reflected and refracted by the different surface of solid CPC, the light path is shown in
Fig.2.

100

101

#### Fig.2 Entity CPC optical path diagram

102

103 Fig. 2 illustrates two representative light paths within a solid CPC. One is effective electricity generating light, like the ray 1; it will be concentrated on the PV cell which is 104 pasted on the base of a solid CPC. The incidence angle of ray 1 is  $\alpha$ , the ray 1 is refracted 105 106 into the solid CPC through the upper surface and then fully reflected by the lateral wall, and finally it will reach the edge between lateral wall and base of solid CPC. At the incidence 107 108 point A, when the incidence angle is smaller than  $\alpha$ , the ray will be concentrated to the base of CPC. Another type of incidence light is effective lighting ray, like the ray 2. The 109 incidence angle of ray 2 is  $\beta$ , which is larger than  $\alpha$ , so the ray 2 will escape from the lower 110 side of lateral wall of CPC. It will be used to light the building. 111

#### 112 **2 Optical simulation**

In order to analyze the light path in the entity CPC cover of PV/T/D device, lights with 113 different incidence angles are tracked by the optical simulation software Light Tools. 114 Assuming the incident light is parallel light, the entity CPC material is PMMA and it has 115 116 high transparency, its refraction index is 1.5. The simulation result of light path in the entity CPC with different incidence angle is shown in Fig.3. 117 118 Fig.3 Optical path diagram of different incidence angles 119 120 It can be known from Fig.3, when the incidence angle is  $0^{\circ}$ , a part of light reach the 121 122 surface on cell directly, another part of light reach the CPC surface and concentrated on the cell surface. All light achieve to the lower surface of solid CPC and none of them penetrate 123 124 the solid CPC cover. With the increase of incidence angle, light begin to penetrate the solid CPC cover gradually. The lager the incidence angle is, the higher the transmittance is. 125 When the incidence angle is  $20^{\circ}$ , the transmittance is 25%; when the incidence angle is 126  $40^{\circ}$ , the transmittance can reach 77%; when the incidence angle is  $60^{\circ}$  or lager, all the 127 128 light pass through the solid CPC and none of them can be received by PV cell. Analyzing the light path when the incidence angle is  $0^{\circ}$ , it can be discovered that not all the lights 129 can reach the PV cell, some of them take place the full reflection in the lower surface of 130 131 solid CPC and cannot received by cell. In order to avoid this phenomenon, the anti-reflection coating was used between the lower surface of solid CPC and the PV cell in 132 the experiment device. 133

To analyze the concentration effect of solid CPC, the Light Tools was used to simulate 134 the light distribution in different incidence angles. The changing of transmittance, 135 136 reflectivity and receiving rate of cell with different incidence angle are shown in Fig.4. There, transmittance is the proportion of that lights escape from the lateral wall of CPC 137 accounts for total incident lights. Reflectivity is the proportion of that lights escape from 138 the upper surface of CPC (because of some lights take place the full reflection in the lower 139 surface of CPC) accounts for total incident lights. Receiving rate of cell is the proportion of 140 that lights received by cell accounts for total incident lights. 141

- 142
- 143

#### Fig.4 Lights distribution of different incidence angles

144

It can be known from Fig.4 that the transmittance rises with the increasing of the 145 incidence angle; while the reflectivity and receiving rate of cell are decreasing. When the 146 incidence angle is small, a lot of lights were full reflected by the lower surface of solid CPC, 147 there are about 50% of light full reflected with the  $0^{\circ}$  incidence angle. When the incidence 148 angle is larger than  $20^{\circ}$ , the reflectivity decrease obviously, the reflectivity tends to 149 become 0 when the incidence angle is larger than  $30^{\circ}$ . With the increase of the incidence 150 angle, receiving rate of cell is decreasing and their relationship is near the linear. The 151 change of the transmittance curve presents a trend which is rapid before becoming slow. 152 The turning point of transmittance curve appears at the  $30^{\circ}$  incidence angle. At this 153 154 incidence angle, the transmittance can reach 70%.

#### **3 Thermal characteristic analysis of PV/T/D system**

156	3.1 Thermal characteristic simulation
157	Firstly, in order to get the air heating efficiency of PV/T/D system in different air flow
158	rates and different heating power, the CFD (Computational Fluid Dynamics) software has
159	been used to simulate the thermal characteristic. The heat loss condition can be obtained at
160	the same time. Simulation field of CFD model contain a part outer space of PV/T/D system,
161	in this case, the air flow condition before inlet can be analyzed; the heat exchange between
162	the wall of PV/T/D device and environment can be obtained.
163	In order to simplify the simulation model, the following are the assumptions made in
164	the physical model.
165	1, the air flow is uncompressible and laminar in device;
166	2, heat generation only occurs in the PV cell;
167	3. The air flow rate is zero in environment, nature convection is the only way of
168	heat-exchange on the exterior surface of device.
169	4, the properties of material are isotropic;
170	5. The working temperature is not very high and the difference in temperature is small,
171	so the heat radiation is ignored in the simulation model.
172	The boundary condition of CFD simulation was set as follow:
173	a. Air inlet: inlet vent, temperature is 290K;
174	b. Air outlet: pressure outlet, the pressure is 2000 Pa;
175	c. The boundary condition of solar cell: wall, heat flux, assuming that the solar
176	irradiance is 1000 w/m <sup>2</sup> , the geometrical concentration ratios is 4, if the heating efficiency

177	was 80%, so the heat flux can be calculated and was $3200$ w/m <sup>2</sup> .
178	d. Solid CPC was defined as solid and its material was PMMA. The density is
179	1200kg/m <sup>3</sup> , specific heat capacity is 1500 KJ/kg·K, heat transfer rate is 0.2W/m·K. The
180	common boundary between solid and air was defined as wall, the boundary type was
181	coupled.
182	e. the number of grids was 650,000.
183	f. Convergence criteria: Residuals of energy equation is $10^{-6}$ , others are $10^{-4}$ .
184	3.1.1 Temperature distribution
185	When the environment temperature is 290K, outlet static pressure is 2000Pa, the flow
186	and heat transfer in calculate unit was shown in the fig.5, the same as the temperature
187	distribution of lower surface, upper surface and cell surface.
188	
189	Fig.5 Temperature distribution
190	
190 191	It can be known from the temperature distribution of Fig.5 that when the distance from
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198 heat exchange of upper surface mainly depend on nature convection and it should have

199	same convective heat transfer coefficient for the entire surface, the temperature difference
200	of upper surface could be explained by the air flow in within the PV/T/D device, it could
201	absorb the thermal energy from PV cell and bring it to the outlet, where the temperature is
202	relatively higher, as a result, the warmed air heats the upper cover plate and causes the
203	upper cover plate temperature near the outlet being higher than the another side .
204	Additionally, it could also be observed from Figure 5b that temperature can reach 350K in
205	the cell surface away from outlet. If the common PV cell is working in this temperature, it
206	will largely reduce the electric generating efficiency.

207 3.1.2 Air heating efficiency

When the heat flux of cell surface is  $3200 \text{ w/m}^2$ , the total heating power of the device is 72W, the air heating efficiency and thermal characteristic of PV/T/D system can be obtained by simulation. Under the different air flow speeds, the air heat utilization efficiency and losses of the device are shown in table 1.

212

```
    Table 1 The heat utilization efficiency and losses under different air flow speeds of the
    device
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It can be known from Table 1 that under the same heating power, air heating efficiency increases with air flow rate increase. It is because that the growing air flow rate will increase the heat exchange rate between air and hot solar cell, it will lead to the reduced PV/T/D device temperature, as the heat exchange rate would be lower under lower temperature, the heat loss of the whole device would therefore be reduced. From the

221	simulation results it can also be known that the heat loss on the lower surface is 1.5 times
222	more than the upper surface, the heat loss on the lateral surface of device is very small.
223	3.2 Steady state experiment
224	Because the solar irradiance changes with the time, it cannot obtain the thermal
225	characteristic of PV/T/D system in constant power. So the steady state experiment was
226 227	taken in the room. The indoor experiment system is shown in Fig.6.
228	Fig.6 the photo of the indoor experimental system
229	
230	Fig.6 is the photo of the indoor experimental system. The system consists of $PV/T$
231	concentrator, ventilator, air pump, thermometer and anemometer.
232	The thermo-signal of the device was given by the K type thermocouples and the
233	temperature value was read from a multiplex thermo-meter. 15 thermocouples were
234	arranged as in Fig.7. The wind velocity was measured by a KA22 thermo-ball type
235	wind-meter whose relative error is $\pm 2\%$ .
236	
237	Fig.7 The arrange diagram of the thermo-couples in the device.
238	
239	The experiment was completed indoor in which the electricity heater was used to
240	simulate the heat energy produced on the PV panels by sunlight through CPC concentration.
241	On each undersurfaces of the CPC, the electricity heater piece was pasted to produce the
242	heat to represent that of sunlight by CPC concentration. The resistant of the electricity

heater piece is  $3480\Omega$ . Connected heater pieces in parallel, the total resistant turned to 243  $232\Omega$ . From this value, the electricity voltage can be calculated to be 144V when the heat 244 power is 90W. 245

3.2.1 Temperature increase 246

In the experiment, the given power was put into system, analyzing the temperature 247 distribution inside the device point by point under a certain wind speed. Apparently, both 248 249 temperature distribution in the system and air-heating state would change when input power is different. Fig.8 shows that the temperature curve of 16 measurement points (there, 250 thermocouple 16 shows the environment temperature) of the system from the beginning to 251 temperature reached stabilization when given power was 90W (the area of device's upper 252 surface is  $0.09 \text{ m}^2$ , it corresponds to be put under solar irradiance about  $1000 \text{W/m}^2$ ). At this 253 254 time, 900 holes were distributed on ventilation gate of the device's backplane whose size 255 was 30cm×30cm, and the diameter of each hole was 3mm. In this circumstance, the wind mass flow rate passing through the system was 21.9 kg/h. 256

257

258

## Fig. 8 The variation of the temperature in different measuring points with the 259

#### operation time

260

261 According to the Fig.8, even though the thermal inertia was a little low, it will take about 2000 seconds to make the device to reach the basic equilibrium. Concerning the 262 device was in a cooling state, if the device was in a warming state, it would reach the basic 263 equilibrium within a much shorter time. We can also find that, in the device, the 264

temperature was distributed in an uneven way, which was increased overall from the 265 ventilation gate to all directions. However, on the edge of the device, the temperature was 266 267 decreased due to heat loss. T<sub>1</sub> was the highest temperature, reaching 46.2 °C; T2 was the lowest temperature, which is 34.7 °C. The average temperature from measuring points 268 269 inside the device was 41.3 °C, closing to  $T_8$  and  $T_{13}$ . However, the average temperature of the device's surface was 25.9°C, closed to T<sub>4</sub>. Thus, temperature difference of the air 270 passing in and out was around 10°C. It can be explained that the temperature state inside 271 the device can be changed by improving the thermal conductivity (like adding insulation 272 273 layer to increase the average temperature inside the device and vent air's temperature) or adjusting holes' density and distribution to change the air-flowing situation in the device. 274 275 According to the condition of steady state experiment, the CFD simulation boundary 276 conditions were set follow the experiment condition. Getting the temperature of test points from simulation results and comparing them with experiment results, the temperature and 277 error are shown in Table 2. 278 279 280 Table2 the temperature comparing between simulation result and test result

281

From the experiment result in Table 2, it can be known that the distance with outlet is further; the temperature in the cell surface is higher. On the upper surface, the temperature of upper surface near the outlet is higher than another side, but the temperature difference is very little. The experiment results of temperature distribution were in good agreement with simulation results. From the table 2, it can be seen that, on the cell surface, the test point temperature of experiment result is lower than simulation result. This result may be because the radiation is ignored in the simulation model, so the simulation heat exchange rate of cell surface is lower than the real situation and the simulation temperature on the cell surface is higher. On the upper surface, the test point temperature of experiment result is higher than simulation result. Because the radiation is ignored in the simulation model, heats receive of upper cover in simulation is lower than the real situation, so the simulation result of temperature on upper surface is lower than the experiment result.

3.2.2 Experiments at different heating power

In order to understand the device's heat dissipation and air heat receiving condition accurately, the experimental system heat receiving and heat loss are calculated. In the case of different heating power, the changes of temperature difference between import and export are shown in Fig.9. Air inlet and outlet temperature difference reflects the heat receiving efficiency of air. As can be seen from the Fig.9, the bigger the heating power is, the greater the temperature difference between the air inlet and outlet become, and basically reflects the actual situation.

302

# Fig.9 Variation of the Temperature difference between output and input and efficiency under steady state condition with the air heating power

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As can be seen from Fig.9, with the increasing of heat power, the inlet and outlets' wind temperature of steady-state is rising, the useful power increases; meanwhile, the heat loss is rising. So the air heating efficiency of the device is decreasing with the increase of heating power, and it is resulted from the device's high inner temperature, causing too much radiation heat exchange to surroundings so that the heat loss is enhanced when increasingthe heating power.

312 3.2.3 Experiments at different air flow rates

Changing the ventilation rate and remaining other conditions unchanged, the distribution of measuring points' temperature could be obtained with the same heating power under different air flow rates. Still assuming that solar irradiance is about 1000W/m<sup>2</sup>, and heating power is selected as 90W.

Firstly, comparing the air heating efficiency of simulation result and experiment resultin different air flow rates, the result is shown in Fig.10.

319

## Fig.10 the air heating efficiency comparing between simulation result and experiment result

322

It can be observed from Fig.10 that the air heating efficiency increase with the air flow 323 rate increase, the change of the air heating efficiency curve presents a trend which is rapid 324 before becoming slow. The tendency of experimental and simulation results match well. 325 The differences between experimental and simulation results are due to the reason that 326 ignoring the heat radiation of device in simulation model which may lead to the increasing 327 328 of air heating efficiency. The differences decrease with the air flow rate increase. It may be because the high air flow rate will decrease the average temperature of the PV/T/D device 329 and decrease the temperature difference with environment, so the heat radiation in 330 331 experiment becomes small. The experiment curve is closed to the simulation curve when 332 the air flow rate is large.

#### **4 Experiment under the real sky**

Outdoor experiment was undertaken under real sky conditions. The system was arranged on the sunlight directly in which the major target is to investigate the temperature distribution of the PV/T/D system, the efficiency of PV cell, light transmittance and the air temperature increasing when the sunlight is in different incident angles.

The experiment system was built as the Fig.11, it mainly consist of an integral box 338 (using the integrating sphere principle), PV/T/D system, K type thermocouples, 339 temperature collect recorder, KA22 type wind speed meter, a light meter, a voltmeter, an 340 ammeter, air pump, and so forth. The transmittance measurement was based on use of a 341 photometric integrating box. The photometric integrating box is a cubic box with its 342 343 internal surface painted matt white so that light can be diffusely reflected to the internal sensor. On the cover of the box, there is PV/T/D system and it admits the light into the box. 344 345 An internal illuminance sensor is attached to the panel and points downward; the reason for pointing downwards is that it can avoid direct light and measure the reflected light only. 346 Meanwhile another external sensor is placed upward near the PV/T/D system to measure 347 the outside illuminance, which stands for the amount of light penetrating through the 348 349 PV/T/D system. A concept of transmittance can be defined, which is expressed by Equation 3. 350

351 Transmittance = 
$$\frac{Lx_2}{Lx_1} \times 100\%$$
 (3)

where  $Lx_1$  and  $Lx_2$  are the external and internal illuminance at measuring point.

353 The PV cell used in experiment is the normal thin film cell, the photoelectricity

efficiency is about 8%. The outdoor experiments were conducted in Beijing, China  $(39^{\circ})$ 

355 51′ 45″ N, 116° 18′ 49″ E). Test date is 2013-11-04, sunny.

Test method: 1) Put the test device on horizontal ground and make sure that the front edge of the cover is vertical in the south direction; 2) Raise the back of the cover and make sure that the angle between the normal of the cover and ground is the biggest solar elevation angle (33.8°) on the test day, and ensure that the incidence angle is 0° at noon. Fix the devices and the test is beginning without tracked system

Environment temperature, solar irradiance and integral box illumination is shown in fig.12, PV efficiency, transmittance and air heating power of the system is shown in fig.13. The PV efficiency  $\eta$  is calculated by output electric power and input total solar energy, it is shown in Equation 4. Air heating power is evaluated by Equation 5.

$$\eta = \frac{V \times I}{S \times R} \times 100\%$$
(4)

366  $P_{h e a t i \overline{n}_g} C m_p (T_{u t l e \overline{t}} T_i)$ (5)

where *V* is voltage across the load, V; *I* is current, A; *S* is area of device,  $m^2$ ; *R* is solar irradiation, w/m<sup>2</sup>; *P* is air heating power, W;  $C_p$  is specific heat capacity, J/g.K; *m* is mass flow rate of air, g/s; *T* is temperature, K;

- 370Fig.11 Experiment system
- 371

#### 372 Fig.12 Environment temperature, solar irradiance and integral box illumination

373

From Fig.12, the greatest solar irradiance appears at about 12:00; the changing trend of the integral box illumination in one day is hump-shaped; and that peak values appear at

376	9:00 AM and 14:30 PM, respectively. When the irradiance is strongest, at noon, the
377	illumination in the box is low. The reason is that the incidence angle is small at noon, so
378	most incident light was received by PV cell and it reduced the transmittance. After 14:30
379	PM, the illumination in the box decrease with the solar irradiance decrease.

380

#### 381

#### Fig.13 PV efficiency, transmittance and air heating power of the system

382

383 From Fig.13, it can be seen that the photoelectricity and air heating efficiency is the 384 highest at noon. The solar energy utilization efficiency is best at noon. Experimental results show that the lowest transmittance is only 31%, and appears when there is strong sunshine 385 386 at noon; while in the morning and afternoon, the transmittance of PV/T/D system can reach 387 60%. When the transmittance is lower (10:00-14:00), PV efficiency and air heating power are higher. The biggest efficiency of PV occurs at noon and the value is higher than 7%. 388 Furthermore, the maximum air heating power is 55W, also occurs at noon. During the test 389 period in one day, the solar energy received by experiment device is 1833 kJ; thermal 390 energy collected by flow air is 677kJ, electricity generated by PV cell is 97 kJ. Although the 391 PV/T/D system does not have obvious influence on the light intensity entering a room in 392 the morning and afternoon, while substantially reduces light intensity at noon, it can 393 improve the status of uneven illumination in a room in one day. Meanwhile, PV/T/D system 394 can generate electricity and hot air by surplus light. 395

Furthermore, based on the configuration of experiment device, the cost has been analyzed. PMMA plates and PV cells are the mainly cost of this device. The cost of this roof is about 210 dollar/m<sup>2</sup>, in which the price of PMMA is about 110 dollar/m<sup>2</sup> and flexible solar cell price is about 100 dollar/m<sup>2</sup>. Recently, the price of roof made by glass curtain wall is about 160~320 dollar/m<sup>2</sup>. Comparing with the conventional glass roof, the cost of novel roof presented here is in the price range of the glass roof. So the novel roof is better than the conventional glass roof.

#### 403 **5** Conclusion

Combining the solar energy PV/T/D system with green building design, a novel 404 transparent roof which is made by solid CPC PV/T/D system is presented. The novel 405 building-integrated PV/T/D roofing design can achieve excellent light control at noon and 406 improve the thermal environment in the building. Higher efficiency of solar energy 407 utilization could be achieved in modern architecture with the new roofing technologies. If 408 the users mainly concern about the interior temperature adjust during summer and winter, 409 the roof should be placed in the way of that the axis of solid CPC is vertical with the east 410 direction. In summer, solar elevation angle is large at noon and the novel roof can prevent 411 412 sunlight into room; in the morning and afternoon, solar elevation angle is small and roof has high transmittance. In winter, solar elevation angle is small all the day, so the novel 413 roofs can maintain high transmittance and let sunlight into the house. 414

415 Optical simulation software is used to track the light path in different incidence angles. 416 With the increase of the incidence angle, receiving rate of cell is decreasing and their 417 relationship is almost linear. The change of the transmittance curve presents a trend which 418 is rapid before becoming slow. The turning point of transmittance curve appears at the 30° 419 incidence angle. At this incidence angle, the transmittance can reach 70%.

CFD simulation and steady state experiment have been done to investigate the thermal 420 421 characteristic of PV/T/D device. The results showed that the air heating efficiency of the device is increasing with the increase of air flow rate and the decrease of heating power. 422 423 Under real sky conditions, PV efficiency, transmittance and air heating power of the system 424 are tested. The lowest transmittance is only 31%, and appears when there is strong sunshine at noon; while in the morning and afternoon, the transmittance of PV/T/D system can reach 425 426 60%. When the transmittance is lower (10:00-14:00), PV efficiency and air heating power 427 are higher. The biggest efficiency of PV occurs at noon and the value is higher than 7%. Furthermore, the maximum air heating power is 55W, also occurs at noon. Although the 428 429 PV/T/D system has no obvious influence on the light intensity entering a room in the 430 morning and afternoon, while substantially reducing light intensity at noon, it can improve the status of uneven illumination in a room in one day. Meanwhile, PV/T/D system can 431 generate electricity and hot air by surplus light. 432

Also, comparing with the conventional glass roof, the cost of novel roof is in the price
range of the glass roof. So the novel roof is better than the conventional glass roof, it will
has broad application prospects.

436

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507	Captions
508	Figure captions:
509	Fig.1 The working principle of the PV/T/D device
510	Fig.2 Entity CPC optical path diagram
511	Fig.3 Optical path diagram of different incidence angles
512	Fig.4 Lights distribution of different incidence angles
513	Fig.5 Temperature distribution
514	Fig.6 the photo of the indoor experimental system
515	Fig.7 The arrange diagram of the thermo-couples in the device.
516	Fig. 8 The variation of the temperature in different measuring points with the operation
517	time
518	Fig.9 Variation of the Temperature difference between output and input and efficiency
519	under steady state condition with the air heating power
520	Fig.10 the air heating efficiency comparing between simulation result and experiment result
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522	Fig.12 Environment temperature, solar irradiance and integral box illumination
523	Fig.13 PV efficiency, transmittance and air heating power of the system
524	Table captions:
525	Table 1 The heat utilization efficiency and losses under different air flow speeds of the
526	device
527	Table 2 The temperature comparing between simulation result and test result

#### Table 1

Table 1 The heat utilization efficiency and losses under different air flow speeds of the device

Outlet air flow	Air absorbs	Losses on upper	Losses on lower	Losses on lateral	Air heating
rate / kg/h heat/W		surface/W	surface/W	surface /W	efficiency
20.16 58.22		4.66	7.55	1.89	81%
16.92	56	5.22	8.55	2.22	78%
15.12	54.89	5.62	9.05	2.34	76%
11.16	51	6.83	10.68	2.45	71%

#### Table 2

Table2 the temperature comparing between simulation result and test result

Test point	T1	T2	Т3	T4	Т5	T6	T7	Т8	Т9	T10	T11	T12	T13	T14	T15
Simulation result / K	326.3	324.1	321.1	296.3	295.1	298.1	297.3	318.2	311.3	318.1	315.3	295.9	316.7	317.6	300.7
Experiment result / K	319.4	318.8	314.0	299.8	296.3	301.2	301.2	314.0	309.3	317.5	315.3	299.7	314.0	307.9	299.3
Error / %	2.1	1.6	2.2	-1.2	-0.4	-1.0	-1.3	1.3	0.6	0.2	0.0	-1.3	0.9	3.1	0.5



(a) 2D sketch map



1. Effective generates electricity light; 2. Effective lighting light;

3. Anti-reflection coating; 4.PV cell; 5.Upper cover plate; 6.bottom cover plate

(b) 3D sketch map

Fig.1 The working principle of the PV/T/D device



1. Effective generates electricity light; 2. Effective lighting light; 3. Half maximum acceptance angle of entity CPC; 4. Critical angle of total reflection; 5. Surface normal; A. Incidence point;  $\alpha,\beta$ .

Incidence angle/ $^{\circ}$ 





Fig.3

Fig.3 Optical path diagram of different incidence angles



Fig.4 Lights distribution of different incidence angles

Fig.5



(c) Upper surface

Fig.5 Temperature distribution



Fig.6 the photo of the indoor experimental system











Fig. 8 The variation of the temperature in different measuring points with the operation time



Fig.9 Variation of the Temperature difference between output and input and efficiency under steady state condition with the air heating power



Fig.10 the air heating efficiency comparing between simulation result and experiment result



Fig.11 Experiment system

Fig.12



Fig.12 Environment temperature, solar irradiance and integral box illumination



Fig.13 PV efficiency, transmittance and air heating power of the system