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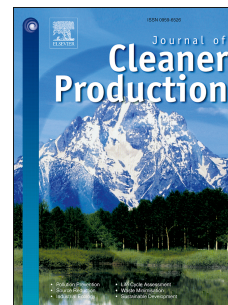
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Decreasing the carbon footprint of an intensive rice-based cropping system using conservation agriculture on the Eastern Gangetic Plains

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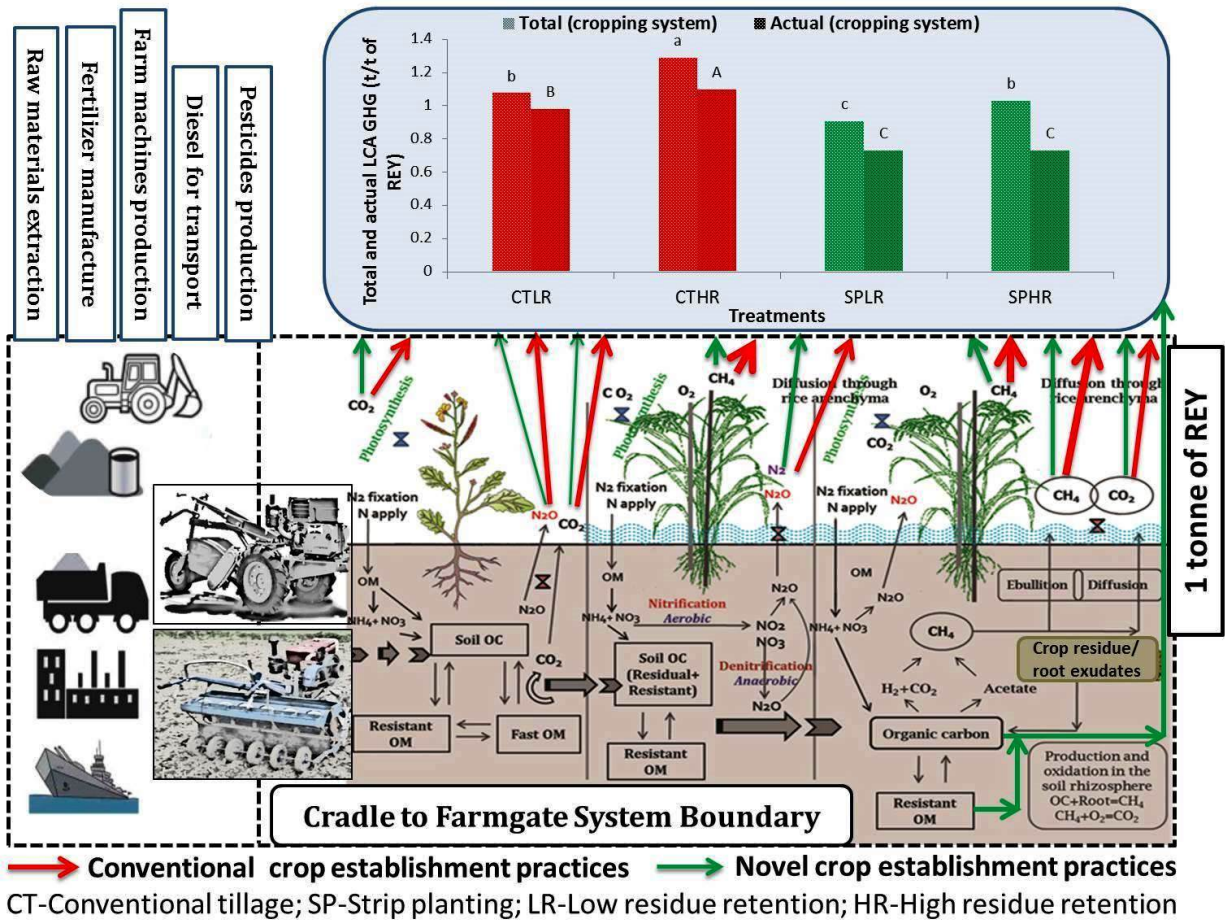
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1 **Decreasing the carbon footprint of an intensive rice-based cropping system using**
2 **conservation agriculture on the Eastern Gangetic Plains**

3
4 **Abstract**

5 Emerging conservation agriculture (CA) technologies are being applied in rice-upland
6 cropping systems and their potential to mitigate greenhouse gas emissions of the whole rice-
7 based cropping systems could be significant in South Asia especially if they increase soil
8 organic carbon (SOC) stocks. A streamlined life cycle assessment was conducted in the
9 Eastern Gangetic Plains (Bangladesh) to determine greenhouse gas emissions from
10 successive crops of monsoon rice (*Oryza sativa*), mustard (*Brassica juncea*) and irrigated rice
11 under CA practices in contrast with the conventional crop establishment practice while
12 accounting for changes in SOC. The life cycle greenhouse gas tonne⁻¹ rice equivalent yield
13 was assessed for four cropping practices: a) traditional crop establishment practices with
14 farmers' practice of minimal residue return, or b) CT with return of increased residues; c)
15 strip planting (for mustard)/ transplanting on non-puddled soils (for rice) with farmers'
16 practice of minimal residue return or; d) strip planting/non-puddled transplanting with
17 increased residue return. The global warming potential values for the 100-year timescale
18 were used to calculate CO₂eq emissions within the system boundary. The net life cycle
19 greenhouse gas emissions after allowing for changes in SOC sequestration varied from 0.73
20 to 1.12 tonne CO₂eq tonne⁻¹ rice equivalent yield. In the annual cropping system, methane
21 (CH₄) released from on-farm stage of the life cycle assessment, particularly from the rice
22 crops, represented the dominant contributor to life cycle greenhouse gas emissions. The
23 greenhouse gas emitted by machinery usage during the on-farm stage (irrigated rice), CO₂
24 emission from soil respiration (monsoon rice), and greenhouse gas related to manufacture of
25 inputs (mustard) were secondary sources of emission, in that order of priority. The non-

26 puddled transplanting of soil with low and increased residue retention were the most
27 effective greenhouse gas mitigation options when sequestered SOC was taken into account
28 (they avoided 35 % of the net life cycle footprints compared with current farmers' practice)
29 in footprints of component crops of the rice-upland cropping system. The CA approaches
30 being developed for the Eastern Gangetic Plains involving strip planting or non-puddled
31 transplanting of rice have potential to mitigate global warming potential of intensive rice-
32 based triple cropping systems but the life cycle assessment approach needs to be applied to a
33 more diverse range of rice-based cropping systems.

34

35 **Key words:** Crop establishment practices; labour requirement; life cycle GHG; non-puddled
36 transplanting; puddled transplanting; rice-upland triple cropping system; strip planting

37 **Abbreviations:**

38 ACIAR–Australian Centre for International Agricultural Research

39 ADB–Asian Development Bank

40 BBS–Bangladesh Bureau of Statistics

41 CA–Conservation agriculture

42 C–Carbon

43 CI– Carbon intensity

44 CH₄–Methane

45 CO₂–Carbon dioxide

46 CO₂eq–Carbon dioxide equivalent

47 CS LCA GHG – Cropping system life cycle assessment greenhouse gas

48 CT–Conventional puddling

49 DECC–Department of Energy and Climate Change

50 DEFRA–Department for Environment, Food and Rural Affairs

51 DSR–Direct-seeding of rice

- 52 Eh–Redox potential
- 53 EGP–Eastern Gangetic plains
- 54 GHG–Greenhouse gas
- 55 GoB–Government of Bangladesh
- 56 GWP–Global Warming Potential
- 57 ha–Hectare
- 58 HR–High residue retention
- 59 IEA–International Energy Agency
- 60 IFA–International Fertilizers Association
- 61 IPCC–Inter–Governmental Panel on Climate Change
- 62 ISO–International Organization of Standardization
- 63 LCA–Life Cycle Assessment
- 64 LCI–Life Cycle Inventory
- 65 LSD–Least significant difference
- 66 LR–Low residue retention
- 67 MOEF–Ministry of Environment and Forest, Peoples Republic of Bangladesh
- 68 MoP–Muriate of potash
- 69 N–Nitrogen
- 70 N₂O–Nitrous Oxide
- 71 SOC–Soil organic carbon
- 72 SPSS–Statistical Package for the Social Sciences
- 73 t–Tonne
- 74 TOC–Total organic carbon
- 75 UN-FCCC–United Nations Framework Convention on Climate Change

76 NP–Non-puddled transplanting of rice (A rice crop establishment practice that avoids wet-
77 tillage operations. It limits soil disturbance to narrow strips and is compliant with the FAO
78 conservation agriculture definition).

79 NT–No-tillage

80 US\$–United States Dollar

81 USA–United States of America

82

83 **1. Introduction**

189 In order to inform cleaner production technologies, energy balance (Iqbal, 2007; Abbas,
190 2011; Heidari and Omid, 2011; Lal et al., 2015) and greenhouse gas (GHG) fluxes have been
191 reported for various cropping and soil management practices in single crops in numerous
192 studies (Harada et al., 2007; Wang et al., 2010; Gan et al., 2012a; Gan et al., 2012b;
193 Thanawong et al., 2014; Vetter et al., 2017). Hokazono and Hayashi (2015) found that
194 diversifying crop rotations with non-rice/leguminous crops can mitigate life cycle GHGs.
195 Similarly pulse crops have low carbon (C) and water footprints relative to most field crops,
196 with GHG emissions of $0.27 \text{ kg CO}_2\text{eq kg}^{-1}$ and irrigation water use of $0.19 \text{ m}^3 \text{ kg}^{-1}$
197 (Gustafson and Yildiz, 2017). Liu et al. (2016) estimated C intensity (CI) of rice in corn-rice
198 system over three consecutive rice-growing cycles from year 2011 to 2013; the corn-straw
199 amendment at increased rate had a much higher CI of the following rice crop ($2.49 \text{ kg CO}_2\text{eq}$
200 kg^{-1} grain) than that of no-amendment ($0.88 \text{ kg CO}_2\text{eq kg}^{-1}$ grain), resulting from large soil
201 CH_4 emissions.

202 The rice-based intensive cropping systems which are critically important to food security in
203 the EGP warrant further study in terms of GWP mitigation, as there is limited information on
204 the C footprint of complete crop rotations (Alam et al., 2016). The hotspots contributing the
205 largest emissions to the life cycle GHGs are known to vary among crops due to differences in

206 irrigation, fertiliser rates, climate, fuel use for transportation and farm machinery use, varied
207 yield per unit area and harvesting techniques (AIJ, 2003; Lal, 2004; Brentrup, 2009). Since
208 farmers across South Asia grow diverse sequences of crops, it is important to determine the
209 GWP of complete annual crop rotations rather for single crops.

210 Rice-based triple cropping systems have complex effects on GHG emissions due to variation
211 during the year in temperature and water regimes, varied duration of crop growth, as well as
212 differences in crop outputs (and yields), energy/feedstock use efficiencies, nutrient (fertilizer)
213 inputs, residue/carbon returns and other inputs influencing management activities and
214 production. Tillage practices, residue management, growing crops in rotation, chemical use
215 for pest and nutrient management control the global emissions of GHGs and eventually the
216 SOC sequestration also (Duxbury et al., 1993). Soil disturbance by tillage may decrease
217 topsoil OC, but inversion tillage may bury the C-enriched soils and organic materials that can
218 increase it in the deeper layers (Vanden Bygaart and Angers, 2006). By contrast, minimum
219 soil disturbance and residue retention might enhance accumulation of SOC by increasing
220 biomass yields and simultaneously by slowing down SOC loss (Lal et al., 1999; Alam et al.,
221 2018). So et al. (2001) showed that deployment of conservation tillage, in many situations,
222 can reduce CO₂ emissions from the soil by 4.3 Mt yr⁻¹, compared with emissions from soils
223 under conventional tillage. Soil disturbance has mixed effects on N₂O emissions (minimum
224 tillage accounted for higher N₂O emission (Ussiri et al., 2009), insignificant emission
225 (Jantalia et al., 2008) or lower N₂O emission (Steinbach and Alvarez, 2006) than emissions in
226 soils under zero tillage). In addition, incorporation of residues into the field may increase
227 sequestered SOC but enhance CH₄ fluxes (Sass, 2002). Lower CH₄ emission by mid-season
228 drying of rice soils due to drainage may be accompanied by increased emission of N₂O
229 (Towprayoon et al., 2005). Harada et al. (2007) reported the net life cycle GHG up to milling
230 (brown rice) for puddling, no-tilling and non-puddling were 0.94, 0.44 and 0.76 t CO₂eq t⁻¹

231 brown rice. The non-puddling practice adopted in the study of Harada et al. (2007) involved
232 conventional tillage and planting without puddling. By contrast, non-puddled transplanting of
233 rice following minimal disturbance of soil (strip tillage) in a rice-based triple cropping system
234 (where other upland crops are growing following strip planting) has performed well in both
235 biogenic GHG and life cycle GHG reduction under flooded, irrigated conditions (Alam et al.,
236 2016). However, the CA practices applied to all crops in the rice-based cropping system have
237 not been studied for estimating its contributions to life cycle GHG.

238 The variations in C addition to soils, level of disturbance of soils, and decomposition of
239 residue define whether there is a net storage of SOC or loss from soil. The cultivated crops
240 supply variable C inputs from the turnover of roots, return of crop residue and live roots, and
241 root exudates (Ghimire et al., 2017). Soil C inputs from aboveground biomass, belowground
242 root biomass, root exudates and rhizodeposits, microbial biomass and organic amendments
243 help increase SOC sequestration, while growing crops in rotation under minimum soil
244 disturbance and return of crop residues at increased rates enhance the processes of SOC gain
245 (Freibauer et al., 2004). In addition, recent development of non-puddled transplanting of rice
246 and strip planting for upland crops in rice-based cropping systems, has been reported to
247 increase SOC (0-10 cm) while reducing fuel use and life cycle GHGs per unit of irrigated rice
248 yield (Alam et al., 2016, 2018). But these studies only considered a single rice crop. Inclusion
249 of SOC sequestration data is also very important to estimate the net C footprint of crop
250 production alone or in a system (Alam et al., 2018; Alam et al., 2019). Lal (2004) stated that
251 SOC sequestration counterbalances fossil fuel emission of GHGs. The SOC sequestration can
252 offset the high CH₄ emission in part, while accounting for soil sequestered C in LCA study of
253 a long-term cropping system is critically important for finding actual/net life cycle GHGs for
254 any crop production practices (Goglio et al., 2015; Petersen et al., 2013). Soil carbon
255 sequestration accounting is necessary for estimating the net contribution of novel crop or soil

256 management practices that alter SOC over time otherwise there will be an overestimation of
257 GHG emissions (Marble et al., 2011).

258 Given the complexity of factors affecting growth of each crop of the rice-based triple
259 cropping system in a year, an accounting of net life cycle GHG fluxes together with C
260 sequestration in soil, is needed to evaluate strategies of GWP mitigation for rice-dominant
261 cropping which is a major contributor to the C footprint of global agriculture (Robertson and
262 Grace, 2004). The current research was conducted to:

- 263 1. develop a complete LCA of a mustard–irrigated rice-monsoon rice cropping system
264 practiced in the EGP by taking SOC into account
- 265 2. To highlight the hotspots in the cropping system life cycle GHG emissions, and
- 266 3. To determine the relative contributions of each crop to emissions of major GHGs
267 within the cradle to farmgate boundary of the cropping system LCA.

268

269 **2. Materials and methods**

270

271 **2.1 Details of experimental location and design**

272 Details of the study site and experimental design are available from Alam et al. (2016), Alam
273 et al. (2018) and Alam et al. (2019). Relevant details of the experimental site are given in
274 Table 1.

275 The field study covered three crops namely, mustard in the dry winter (*rabi* season from 18
276 November to the 18 February), irrigated pre-monsoon rice (kharif-I stretching from 7 March
277 to the end of 20 June) and rainfed monsoon rice (kharif-II stretching from July 19 to mid-
278 October 15). The experiments adopted conventionally puddled (CT) and non-puddled (NP)
279 rice establishment practices for rice (see Haque et al., 2016 for further details of non-puddled
280 transplanting), both with increased residue return (HR) and low residue return (LR) as
281 treatments (Table 2). Having been established in 2010, the long-term experiment continued

282 for five years with four replicates of each practice in a split plot design (Islam, 2017). The
283 low residue retention practices resembled practices followed in the region by farmers and
284 increased residue (HR) practice retained 50 % of standing rice residues by height. The HR
285 practice for all the previous mustard, mung bean (*Vigna mungo* L.) and lentil (*Lens culinaris*
286 L.) crops involved return of all residues of the crops to the respective sub plots as mulch. By
287 contrast, LR for mustard, lentil and mung bean involved complete removal of aboveground
288 biomass. Lentil, mung bean and monsoon rice were grown on the field in a sequence for the
289 first three years, whereas mustard, irrigated rice and monsoon rice were grown in a sequence
290 in the following three years on the same field. Fertilisers for crop nutrition and pesticides for
291 crop protection were characteristic of the practice followed in the locality (see Table 3). The
292 GHG emissions (CO₂, CH₄ and N₂O) from soil were measured with static chambers similar
293 to the studies of Naser (2005) and Alam et al. (2016). The gases were sampled from each
294 subplot with a static closed chamber during rice seasons. The samplings were repeated every
295 7 days throughout the growing period of each crop (Alam et al., 2016). The measurement
296 frequency for GHGs was increased to 2 or 3 days during application of split doses of N. The
297 gas samplings were done at 1, 7, 15, 22, 30, 45, 60, 75, 90 and 100 days for mustard. The gas
298 samples were analysed using gas chromatography for CO₂, CH₄ and N₂O with a CO₂
299 detector, hydrogen flame ionized detector and combined gas analyzer, respectively (Naser,
300 2005).

301

302 **Table 1.** Summary of the characteristics of the life cycle GHG study site

Characteristics of study site	Details
Location	Northwest Bangladesh at Alipur village, Durgapur upazilla, Rajshahi division
Texture class	Silty loam
Soil type	Calcareous Brown Flood Plain
Subgroup (US Soil Taxonomy)	<i>Aeric Eutrochrept</i>
Parent material	Ganges river alluvium
Location (Latitude and longitude)	24° North latitude, 88° East longitude.
Landform	Narrow terraced strips on the gently undulating slopes of the flood plain.
Altitude	8 m above sea level
Rainfall	1047 to 1693 mm; lower than other parts of Bangladesh; concentrated on monsoon season (June to September)
Dominant minerals	Mica–vermiculite–smectite (interstratified) and kaolinite–smectite (interstratified), Mica, Kaolinite (Moslehuddin et al., 2009)
Drainage	Moderate

303 mm=9illimeter; m=metre; USDA= United States Department of Agriculture

304

305

306 **Table 2.** The field treatments at the Alipur and Digram sites.

Crops	Soil management	Residue management
Mustard	• Conventional tillage (CT)	• Low residue retention (LR)
	• Strip tillage (SP)	• Increased residue retention (HR)
Irrigated rice	• Conventional puddling (CT)	• LR
	• Non-puddling (NP) followed by SP	• HR
Monsoon rice	• CT	• LR
	• NP	• HR

307

308 **Table 3.** Life Cycle Inventory of farm activities, inputs and outputs for the production of one
309 tonne of rice or rice equivalent yield (REY) on the Eastern Gangetic Plain

Treatments	CTLR ^a	CTHR ^b	NPLR ^c	NPHR ^d
a) Seeds and chemicals (kg tonne ⁻¹ of rice production or REY)				
1. Seeds	7.43	7.00	7.19	6.63
2. Nitrogen	22.41	20.80	21.72	19.74
3. Phosphorus	10.11	9.49	9.77	9.00
4. Potassium	15.39	14.44	14.87	13.73
5. Sulfur	4.94	4.64	4.78	4.40
6. Zinc	0.83	0.78	0.80	0.74
7. Boron	0.39	0.34	0.35	0.32
8. Fungicides	0.22	0.21	0.21	0.19
9. Herbicides	0.56	0.52	0.54	0.50
10. Insecticides	0.38	0.36	0.37	0.34

b) Transport (km for road + t-nm for sea) ¹				
1. Urea	65.3	61.3	63.1	58.2
2. Triple superphosphate	86.33+565.3	81.2+531	83.5+546.8	77+503.5
3. Muriate of potash	86.33+394.8	81.2+370.3	83.5+382	77+352.1
4. Gypsum	86.33+394.8	81.2+370.3	83.5+382	77+352.1
5. Zinc	86.33+394.8	81.2+370.3	83.5+382	77+352.1
6. Boric acid	86.33+275.3	81.2+259.2	83.5+266.6	77+245.8
7. Insecticides	68.9	64.7	66.6	61.4
8. Fungicides	63.6	60.3	63.6	60.3
9. Herbicides	86.3+179.7	81.2+168.5	83.5+173.8	77+160.2
c) Farm machinery (US\$ tonne ⁻¹ of REY production)				
1. Power tiller/VMP	0.104	0.103	0.048	0.048
2. Harvester	0.047	0.039	0.045	0.038
3. Irrigation pump	1.92	1.81	1.87	1.72
d) Farm machinery transport (km for road + t-nm for sea)				
1. Harvester	86.3+275.3	81.2+259.2	83.5+266.7	77+245.7
2. Power tiller	86.3+275.3	81.2+259.3	0	0
3. VMP	0	0	83.5+266.6	77+245.8
4. Irrigation pump	57.6+183.6	54.1+172.9	55.7+177.7	51.3+163.9
d) On-farm (litre tonne ⁻¹ of REY production)				
1. Rotary tiller/versatile Multicrop Planter (VMP)	2.48	2.39	0.99	0.95
2. Harvester	28.68	29.35	27.52	28.52
3. Irrigation pump	23.22	23.37	20.98	20.70

e) Soil emission (kg per tonne of REY production)				
CO ₂	188.7	248.0	160.0	193.7
CH ₄	23.6	30.1	19.3	23.3
N ₂ O	0.16	0.15	0.12	0.11
Soil C-sequestration (0-30 cm)	96.38	164.4	179.8	300.9
REY (t ha ⁻¹)	18.16	19.33	18.78	20.38

310 ¹t-nm=tonne-nautical mile; ^apuddled transplanting with low residue retention (CTLR);
 311 ^bpuddled transplanting with high residue retention (CTHR); ^cnon-puddled transplanting with
 312 low residue retention (NPLR) and ^dnon-puddled transplanting with high residue retention
 313 (NPHR).

314

315 2.2 Soil sampling method and soil C sequestration estimation

316 The carbon sequestered in soils due to the continual application of the above treatments was
 317 included in the carbon accounting. Soils at 0-30 cm depth from each treatment were
 318 collected, assessed for bulk density and analysed for SOC content. In this study, C
 319 sequestration estimation at 0-30 cm depth only used data from crop 15 to crop 18 to represent
 320 recent trends because the rate of SOC accumulation during the initial years of CA
 321 establishment and after three years may not be the same. The organic carbon content
 322 measured by wet oxidation method (Jackson, 1973) was used to calculate total organic
 323 carbon (TOC) content (Ellert and Bettany, 1995; Alam et al., 2018).

324 The increments in TOC over three years were then divided by the number of crops to
 325 approximate the C accumulated over a single crop growing season as well as over the
 326 cropping system.

327

328 2.3 GHGs measurement and gas flux calculations

329 A detailed description of gas sample collection for measuring CO₂, CH₄ and N₂O emissions
330 under irrigated rice was reported in Alam et al. (2016). The following variations were used
331 for monsoon rice (Alam et al., 2018). For measuring CH₄ and N₂O, triplicate 5 mm thick
332 transparent chambers constructed with acrylic material sheets (plexiglas) were installed in
333 each plot with the dimension of 60 cm length × 30 cm width × 100 cm height. The
334 measurement of soil CO₂ efflux as a product of heterotrophic respiration were done with the
335 chamber of dimensions 30 cm length × 30 cm width × 60 cm height made with acrylic
336 materials (3 mm thick sheets) (Hutchinson and Livingston, 1993).

337 For heterotrophic respiration measurement in soil under the mustard crop, circular chambers
338 with the dimension 100 cm height × 20 cm diameter were established in each plot
339 (triplicated). Vials containing 35 mL of 0.5 M NaOH were used to trap evolved CO₂. The
340 replacement of vials was repeated at intervals of 2-3 days up to 15 days after placement of
341 chambers. With the decreased rate of CO₂ evolution after 15 days, the frequency of
342 replacement of vials was reduced to an interval of 5-7 days. In addition, the amount and
343 concentration of NaOH were reduced to 30 mL of 0.25 M. The CO₂ entrapped with NaOH
344 was measured according to Anderson (1982) (10% w/v BaCl₂). According to Alam et al.
345 (2018), CO₂ from the control treatment was trapped and measured with 35 ml of 0.5 M
346 NaOH solution in a chamber without soil and this value was subtracted from CO₂ released
347 from soils of each practice (treatment). For mustard crops, N₂O emission induced by N
348 fertilizer (organic or synthetic) was estimated following the IPCC (2006) recommendation,
349 i.e. 0.01 t N₂O-N t⁻¹ fertiliser-N or N in organic amendment (or any source), for dry
350 cropland.

351 The calculation of gas flux over the crop growing season was done in line with Yagi et al.
352 (1991). It was assumed that GHG emissions fluctuated linearly during the periods between

353 gas sampling times. Consequently, the total GHG fluxes over the growing seasons were
354 summed from the average gas emissions as done by Alam et al. (2016).

355

356 **2.4 Life cycle GHG emissions of the irrigated rice-monsoon rice-mustard crop rotation**

357 The LCA approach was used to estimate GWP with the inclusion of GHG emissions only
358 (Alam et al., 2016). Also called a streamlined LCA analysis, the study took into account
359 GHGs emanating from cradle-to-farm gate stages of each crop of the cropping system (ISO,
360 2006). According to ISO 14040–44, the four steps of the streamlined LCA approach, namely
361 defining goal and scoping, preparing life cycle inventory (LCI), assessing impact and
362 interpreting results, were considered for estimation of the GHG emissions of each crop. Like
363 Finkbeiner et al (2011), this study can also be considered a limited focus LCA that considered
364 one impact category only, i.e. global warming impact (GWI). The LCA method and
365 principles can be applied to estimate GWI in terms of GHG emissions, as evidenced by other
366 recent literature that estimated the GHG emissions of agricultural products (Biswas et al.
367 2008; Alam et al. 2016; Denham et al. 2016).

368 Net life cycle GHGs were calculated by subtracting the CO₂-eq for SOC sequestered during
369 the rice crop from the total carbon footprint of the product.

370

371 **2.4.1 Goal setting and scope definition**

372 The emissions of GHGs associated with the production of component crops for the rice-based
373 cropping system were calculated based on the cropping practices as shown in Table 2.

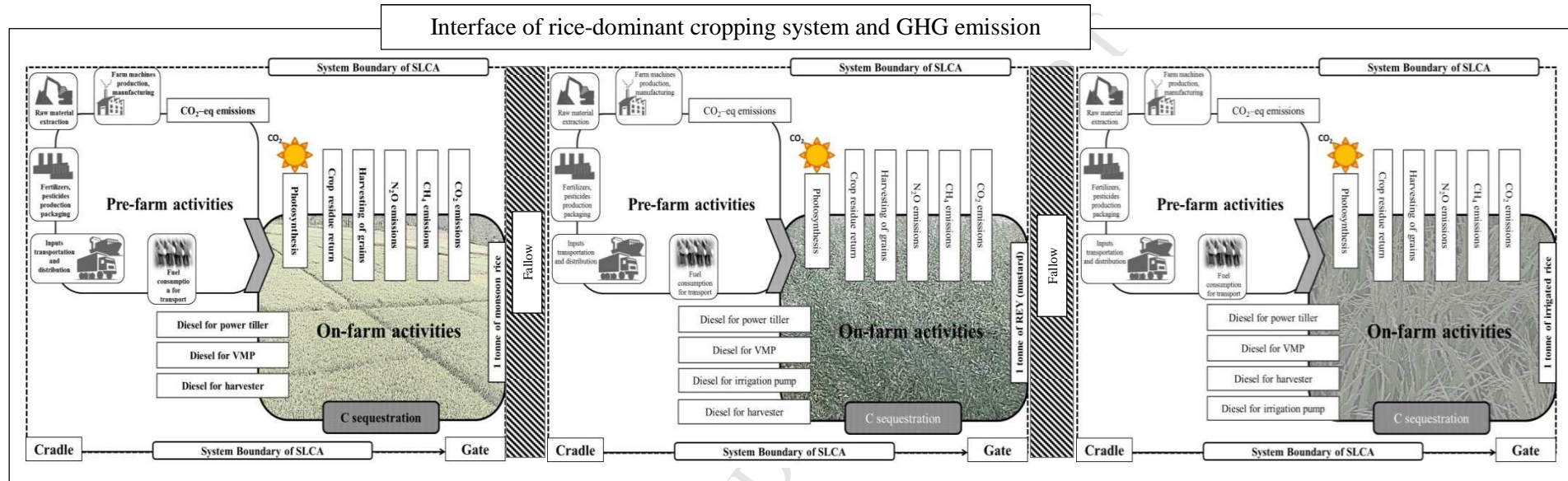
374 The system boundary for each crop of the cropping system of the study was determined up to
375 farm-gate (pre-farm and on-farm stages). The functional unit of the LCA is one tonne of rice
376 or rice equivalent yield (REY) of the cropping system (Figure 1). When yield of one crop is
377 converted into equivalent yield of rice crop, it is called rice equivalent yield. Rice equivalent

378 yield of different crop sequence is calculated by multiplying the grain yield of crops with
379 their respective price unit⁻¹ of land (ha). The REY of mustard in the cropping system was
380 calculated as follows (Anjeneyul et al., 1982):

$$\begin{aligned} & 381 \\ & 382 \text{ REY} = \text{Rice yield} + \frac{\text{Mustard crop yield} \times \text{Market price of mustard crop}}{\text{Market price of rice}} \\ & 383 \\ & 384 \end{aligned}$$

385 A mass balance approach was also used to estimate the inputs and outputs per tonne
386 production of REY within the system boundary (up to farmgate), which is known as a life
387 cycle inventory. The GHGs associated with the pre-farm activities were estimated by
388 multiplying the emission factors (EF) with the amount of inputs required for their production
389 and transportation to the field of the current study, while GHGs emanated by on-farm
390 activities are outputs associated with operating farm machinery and applying chemicals. The
391 total GHG emission from the production of one tonne of REY was calculated by adding
392 emissions from both the stages (pre- and on-farm) of each crop (Figure 1).
393

394
395
396



397

398

399 **Figure 1. System boundaries of a rice-based triple cropping system and input-output relationship adopted in the streamlined life cycle**

400 **analysis (SLCA).** Here, VMP-Versatile Multi-crop Planter, CO_2 -Carbon dioxide, CH_4 -Methane, N_2O -Nitrous oxide.

401 **2.4.2 Life cycle inventory**

402 A LCI was formed by using the factors related to the production of each tonne of REY (e.g.,
403 chemicals for crop nutrition and crop protection, machinery) for estimating the GHGs for the
404 manufacturing, transport and use of inputs and outputs. Soil emissions (CO_2 , CH_4 and N_2O)
405 are positive outputs and SOC-sequestration is a negative output (Table 3) of REY within the
406 system boundary of this study.

407 **2.4.2.1 Pre-farm emissions**

408 Greenhouse gas emissions of activities related to input production and their delivery up to
409 farm were estimated. Based on the LCA study conducted for irrigated rice production,
410 indirect emissions from manufacturing of farm machinery were calculated by following the
411 database of input/output of USA (Suh, 2004) as described by Alam et al. (2016). The EF of
412 farm machinery production ($0.15 \text{ kg CO}_2\text{eq US}\$^{-1}$) was multiplied by the cost of machinery
413 manufacture for each functional unit determined according to 1998 US\$ value (WB, 2014).

414
415 The chemicals used for mustard and rice production following the establishment practices
416 under study were recorded per tonne of rice or REY production. These EFs were sourced
417 from Alam et al. (2016) as they represent the general condition in Northwest Bangladesh. The
418 EFs of crop nutrients used from Alam et al. (2016) were for fertilizers (urea, triple
419 superphosphate), crop protection insecticides (MalathionTM, SumithionTM), fungicides
420 (AmistarTM and TiltTM) and herbicides (RefitTM and glyphosate). For the insecticide, Wonder
421 5WG (Emamectin Benzoate), and fungicide, Rovral 50WP (Ipridione), the local EF was
422 determined by multiplying EFs of local level production of energy with the embodied energy
423 consumption (RMIT, 2007; DEFRA, 2008) of these chemicals. The GHG EFs of urea,
424 superphosphate and pesticide production were sourced from the work of Alam et al. (2016)
425 who considered the EF for electricity generation was $0.64 \text{ kg CO}_2\text{eq kWh}^{-1}$ following UN-

426 FCCC (2017). The source countries of imported inputs were collected from Bangladesh
427 Business News (2013) and BBS (2013), while the EFs of the inputs imported to Bangladesh
428 (urea, triple superphosphate, muriate of potash (MoP), gypsum, zinc sulphate monohydrate
429 and boric acid) were obtained from Alam et al. (2016) as they represent the overall situation
430 of the study area.

431

432 The data of emissions regarding transportation of materials for rice and mustard production
433 were sourced from available databases (INFRAS, 2010; WRI and WBCSD, 2013 and
434 HBFEA, 2014). The modes employed for transportation include the transportation by sea
435 (trans-oceanic bulk cargo carrier) and trucks (3–7 tonnes) for road transport. The emission of
436 GHGs for input deliveries from factory to crop field are expressed in terms of tonne-
437 kilometres (tkm) travelled by road and tonne-nautical miles (t-nm) travelled by sea. The
438 distance between the paddy field and its source was multiplied by the weight of input to
439 determine 'tkm' (Lal, 2004; Alam et al., 2016 and Zhang et al., 2017).

440

441 **2.4.2.2 On-farm emissions**

442 On-farm greenhouse gas emissions started with land preparation for establishment of each the
443 three crops. The emissions further include soil emissions after application of chemicals for
444 crop nutrition and protection and intercultural operations and finally fuel use for harvesting.

445

446 *Farm machinery*—In the case of the conventional system, a rotary tiller was used for land
447 preparation for the establishment of rice crops following puddling of soil, and a strip planter
448 was used to prepare strips for transplanting rice into non-puddled soil and for sowing mustard
449 seeds (Haque et al., 2016). A harvester of 9 kW was used for harvesting rice. Fuel
450 consumption in terms of litres per hectare by the farm machinery was measured during

451 farming operations and was dependent on area of land, operating width of machinery (tiller
452 and harvester) and the number of machinery passes across the land (Alam et al., 2016). The
453 EFs of fuel combustion for the usage of light machinery ($\leq 500\text{kW}$) were collected from
454 RMIT (2007), INFRAS (2010) and HBEFA (2014) and these values were used to calculate
455 GHG emissions. The light machineries considered for this experiment are commonly used in
456 this EGP region. The fuel use (litres ha^{-1}) was based on machinery usage in standard
457 machinery terms of the region (for Versatile Multi-crop Planter 1.25, for rotary tiller 3.22 to
458 3.32 and for harvester 1.82 to 2.11 L t^{-1}).

459
460 *Soil* – The major GHGs (CO_2 , CH_4 and N_2O) emitted directly from soil of the experimental
461 site were measured as detailed in the GHGs measurement and gas flux calculations section
462 above. The emissions of N_2O that occur indirectly via volatilization of ammonia and leaching
463 of nitrate were excluded from the study owing partly to lack of data. In addition, for this soil,
464 occurrence of a hard pan beneath the plough layer (Islam, 2017) restricts leaching loss of N to
465 the deep soil layers (Patil and Das, 2013). Moreover, there was continuous standing water in
466 the field for rice production (Alam et al., 2016 and Alam et al., 2019) which lowers the level
467 of nitrate and therefore the risk of synthesis of N_2O via denitrification during rice (Dobbie
468 and Smith, 2006).

469 **2.4.3 Impact assessment**

470 The input and output data in the inventory (Table 3) were multiplied by the corresponding
471 EFs (Table 4) in order to estimate GHG emissions associated with the production of 1 tonne
472 of rice or its equivalent. These GHG emissions were then converted to CO_2 equivalent GHG
473 emissions or GWI using an IPCC method.

474

475

476 Table 4. Different inputs required for growing three crops in irrigated rice-monsoon rice-
 477 mustard cropping system, their emission factors and sources of data

Input	Emission factor	Comment/References
Fertilizer		
Urea-N	5.5 kg CO ₂ /kg N	Alam et al., 2016; IFA, 2009; IEA, 2012
TSP-P	0.34 kg CO ₂ /kg P	Alam et al. 2016; IFA, 2009; IEA, 2012
MoP-K	0.58 kg CO ₂ /kg K	Alam et al. 2016; IFA, 2009; IEA, 2012
Gypsum-S	0.3 kg CO ₂ /kg S	Wells, 2001; Saunders et al., 2006
Herbicides		
Glyphosate	33.4 kg CO ₂ /kg a.i.	DEFRA, 2008; Bosch and Kuenen, 2009; Brander et al., 2011
Refit 50EC	16.1 kg CO ₂ /kg a.i.	DEFRA, 2008; Bosch and Kuenen, 2009; Brander et al., 2011
Fungicides		
Amistar 250EC (Propiconazole)	17.5 kg CO ₂ /kg a.i.	Lal, 2004; Brander et al., 2011
Tilt 250EC (Propiconazole)	17.3 kg CO ₂ /kg a.i.	Lal, 2004; Brander et al., 2011
Rovral 50WP (Ipridione)	16.9 kg CO ₂ /kg a.i.	RMIT, 2007; DEFRA, 2008
Insecticides		
Malathion (Organophosphorus)	17.7 kg CO ₂ /kg a.i.	Alam et al., 2016; Brander et al., 2011
Sumithion (Organophosphorus)	17.7 kg CO ₂ /kg a.i.	Alam et al., 2016; Brander et al., 2011
Wonder 5WG (Emamectin Benzoate)	17.7 kg CO ₂ /kg a.i.	Alam et al., 2016; Brander et al., 2011
Vehicle	Light-duty diesel truck	2.85 kg CO ₂ /L
	Trans-oceanic freighter	14.5 g CO ₂ /t-nm
Electricity	Electricity Generation	0.64 kg CO ₂ eq kWh ⁻¹
Machinery	Farm machinery production	0.15 kg CO ₂ eq US\$ ⁻¹
Fuel	Fuel use (Diesel)	3.1 kg CO ₂ /L

478

479 A GWI value for the 100-year time horizon was used to estimate the CO₂ equivalent GHG
480 emissions for the production of each functional unit (1 tonne of rice or REY) of each crop of
481 the cropping system. The conversion factors used for converting CH₄ and N₂O to the baseline
482 unit, CO₂, were 25 and 298 (IPCC, 2013). The total CO₂eq emission (kg CO₂eq ha⁻¹) was
483 summed for all the studied cropping seasons covering the span of one year, excluding the
484 fallow periods between crops. The net life cycle GHGs for production of each unit (one
485 tonne) of REY were then calculated by subtracting the sequestered SOC over the year of
486 study (three seasons) from the total life cycle GHGs.

487

488 **2.5 Formulae adopted to determine the net C footprint of each crop of the rice-based** 489 **triple cropping production system:**

490 Net life cycle GHG = Total life cycle GHG – SOCSR

491 Net life cycle GHG (kg C-eq ha⁻¹) is the emissions of GHG (carbon equivalent) from
492 producing crop in a unit of land (ha⁻¹), SOCSR denotes sequestered C from the same unit of
493 land (ha⁻¹) at 0-30 cm depth by the production of crop (kg ha⁻¹).

494

495 **2.6 Statistical analysis**

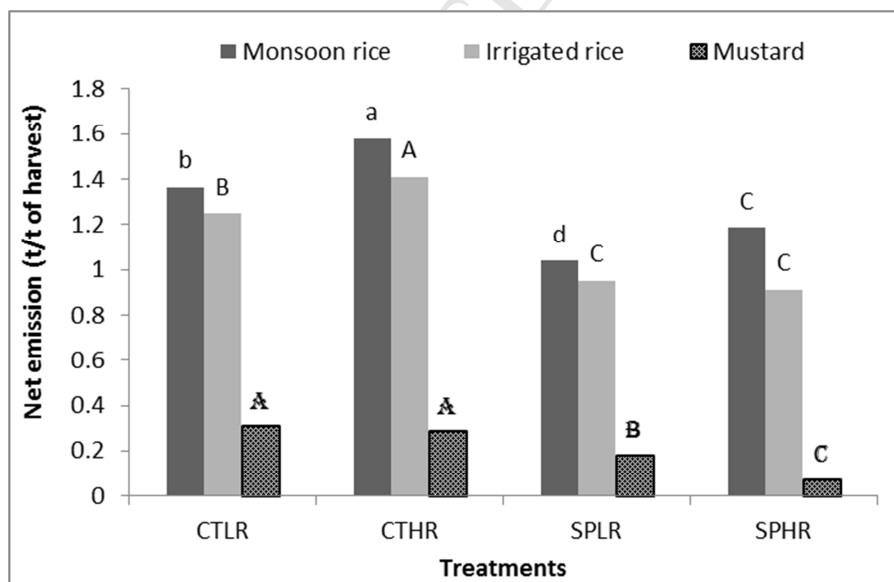
496 The effects of soil disturbance for crop establishment and residue return on the CO₂eq
497 emission from pre-farm, on-farm and total and net life cycle GHG emissions and sequestered
498 SOC were statistically analysed with a two-factor split plot analysis of variance by using
499 SPSS software v21 (SPSS Inc., Chicago, IL, USA). Least significant difference (LSD) value
500 was adopted in comparing means at a 5 % significance level.

501

502 **3. Results**

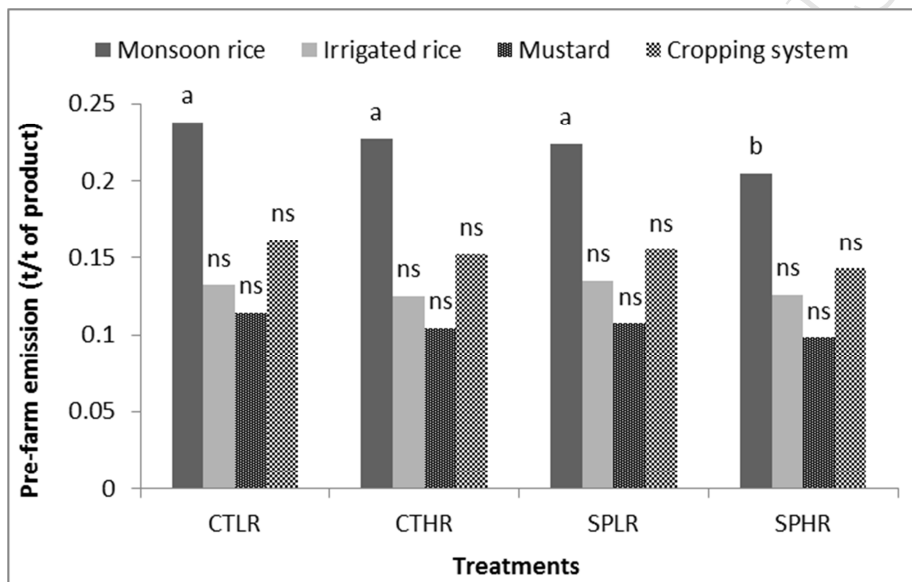
503 **3.1 GHG emission for rice-based triple cropping system**

504 Crop establishment practices and residue retention levels changed life cycle GHG emissions
 505 of the rice-dominant cropping system (CS LCA GHG-the carbon footprint estimated for a
 506 cropping system per tonne of rice or REY) comprising irrigated rice, monsoon rice and an
 507 upland mustard crop in the rotation ($p < 0.05$; Figure 2, 3 & 4). Irrespective of the crops and
 508 growing seasons, NP/SPHR emitted the lowest cropping system life cycle GHG t^{-1} of rice (or
 509 REY) production, followed by NP/SPLR and CTRLR, respectively. The performance of
 510 NP/SPHR and NP/SPLR was more similar when sequestered C over five years of CA
 511 cropping was accounted for: these practices saved 34.5 % and 50.8 % and 34.4 % and 50.7 %
 512 cropping system life cycle GHG compared to CTRLR and CTHR, respectively. In case of
 513 mustard, SPHR practice had 76 %, 69 % and 35 % lower net life cycle GHG emissions than
 514 life cycle GHG recorded under CTRLR, CTHR and SPLR practices, respectively, whereas, for
 515 irrigated rice, the NPHR had 36.8 % and 54.6 % and 4.4 % lower net life cycle GHG than
 516 those recorded under CTRLR, CTHR and NPLR practices, respectively (Figure 2. In case of
 517 monsoon rice, NPLR saved 31.4 %, 51.9 % and 14.2 % net life cycle GHG than those under
 518 CTRLR, CTHR and NPHR practices, respectively (Figure 2)



519
 520
 521

522 **Figure 2.** Net life cycle GHG emissions per season for the production of one tonne of
 523 component crops (irrigated rice, monsoon rice and mustard: in the case of mustard, rice
 524 equivalent yield was used) as influenced by crop establishment techniques and residue
 525 retention ($p < 0.05$). Bars with the same letter above them for a specific crop are not
 526 significantly different at $p < 0.05$. Comparisons are made among emissions converted to
 527 $\text{CO}_2\text{-eq}$ according to global warming potentials of CO_2 , CH_4 and N_2O over 100-year time
 528 horizons. [Legend: CT–Conventional puddled transplanting of rice; NP–Non-puddled
 529 transplanting of rice; LR–Low residue retention level; HR–Increased residue retention level]
 530

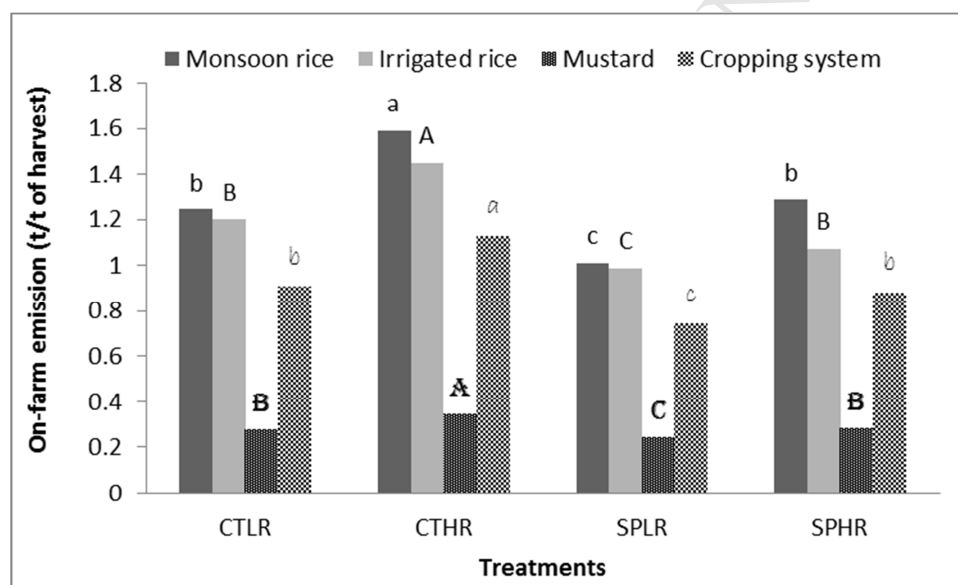


531
 532 **Figure 3.** Pre-farm life cycle greenhouse gas emissions produced per season for one tonne of
 533 rice, mustard and cropping system production (the mustard and cropping system as rice
 534 equivalent yield) as influenced by crop establishment techniques and residue retention
 535 ($p < 0.05$). For a particular species or the cropping system, bars with the same letter above
 536 them are not significantly different at $p < 0.05$. [Legend: CT–Conventional puddled
 537 transplanting of rice; NP–Non-puddled transplanting of rice; LR–Low residue retention level;
 538 HR–Increased residue retention level]
 539

540 The life cycle GHG emitted by the winter mustard crop (after conversion to REY) under any
 541 of the crop establishment practices was lower than life cycle GHGs emitted during wetland
 542 crops under the same practice. As for example, life cycle GHGs of mustard cultivation under
 543 SPLR were 71 % and 69 % lower than life cycle GHGs of monsoon rice and irrigated rice,
 544 respectively.

545 In irrigated rice and mustard grain production, the emissions associated with different crop
 546 establishment and residue retention practices were not statistically different during the pre-
 547 farm activities ($p > 0.05$). In contrast, for monsoon rice, the pre-farm emission in NPHR,
 548 CTHR and CTRLR was similar ($p > 0.05$) but NPHR had 17 % lower emissions than CTRLR (p
 549 < 0.05) (Figure 4).

550



551

552

553 **Figure 4.** On-farm life cycle greenhouse gas emissions produced per season for one tonne of
 554 rice, or rice equivalent yield (REY) for mustard and for the cropping system production as
 555 influenced by crop establishment techniques and residue retention ($p < 0.05$). Bars with the
 556 same letter above them are not significantly different at $p < 0.05$. [Legend: CT–Conventional
 557 puddled transplanting of rice; NP–Non-puddled transplanting of rice; LR–Low residue
 558 retention level; HR–Increased residue retention level]

559

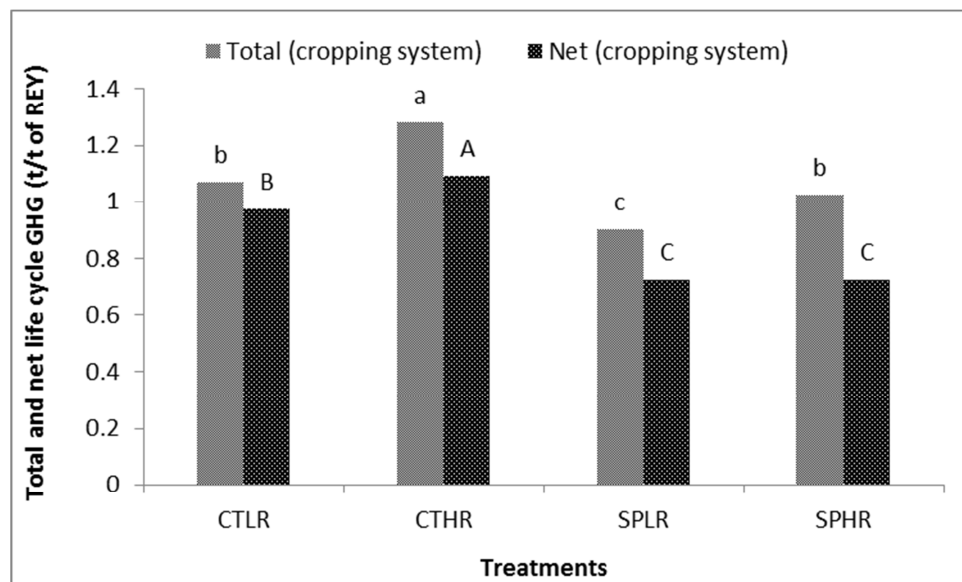
560 Overall, the pre-farm emissions of the cropping system life cycle GHG were very much
561 lower ($p < 0.05$) than given off during the on-farm stage and comprised only 15.1, 11.9, 17.2
562 and 14.0 % of the cropping system life cycle GHG for CTRL, CTHR, NPLR and NPHR,
563 respectively. Pre-farm emissions for mustard comprised twice as much relatively as the total
564 on-farm emissions (29.8 % - 43.6 %) for monsoon rice (14-22 %) and four times as much
565 (7.5-11.4 %) as for irrigated rice (Figure 3).

566 Irrespective of the component crops, NP/SP with LR and HR avoided 11 – 47 % of cropping
567 system life cycle GHG emissions relative to CTRL and CTHR, respectively. To be specific,
568 CA crop establishment practices with return of minimal residue (SPLR) cut down the on-
569 farm emissions by the highest amount largely because it had the lowest emission of on-farm
570 CH₄ emission. Specifically, SPLR reduced 51 %, 22 % and 18 % of on-farm emissions
571 relative to CTHR, CTRL and SPHR, respectively, while SPHR reduced them by 28 %
572 relative to CTHR and 3% relative to CTRL.

573 The on-farm emissions t⁻¹ of grain production of rice were higher than the on-farm life cycle
574 GHG t⁻¹ of cropping system REY, while the on-farm emission t⁻¹ of REY of mustard
575 production was lower than the on-farm life cycle GHG t⁻¹ of REY of cropping system.
576 Among the crop establishment techniques, CTHR led the total cropping system life cycle
577 GHG emissions from 1 tonne of REY production (Figure 4). However, NPLR categorically
578 reduced CH₄ and thereby on-farm emissions. In both cases of rice production, total life cycle
579 GHG emissions per tonne of rice production in NPHR exceeded NPLR even though NPHR
580 outperformed NPLR in terms of yield. This is mainly because the greater CH₄ emissions in
581 NPHR outbalanced the yield benefits of the increased residue retention. In a similar way,
582 GHG emission for mustard cultivation showed that SPLR was the lowest contributor of GHG

583 to the life cycle GHG (28 % and 11 % lower than CTHR and CTLR, respectively) for the
 584 production of a unit of REY of mustard ($p < 0.05$), followed by SPHR (Figure 4 and 5).

585



586

587 **Figure 5.** Average total and net life cycle greenhouse gas emitted for the production of one
 588 tonne of rice or rice equivalent yield in the rice-dominant cropping system as influenced by
 589 crop establishment techniques and residue retention. Net life cycle greenhouse gases emitted
 590 were calculated after subtracting the soil organic C sequestration from the total. Comparisons
 591 are made among emissions converted to CO₂eq according to global warming potentials of
 592 CO₂, CH₄ and N₂O over 100-year time horizons. [Legend: CT–Conventional puddled
 593 transplanting of rice; NP–Non-puddled transplanting of rice; LR–Low residue retention level;
 594 HR–Increased residue retention level]

595

596 3.2 GHG emissions within the LCA system boundary

597 *Emission at pre-farm stage:* On average, the pre-farm emissions tonne⁻¹ REY of the rice-
 598 based cropping system were 0.161, 0.152, 0.156 and 0.143 tonne of CO₂eq for CTLR, CTHR,
 599 SPLR and SPHR, respectively ($p < 0.05$). In contrast, for mustard crop cultivation, the life
 600 cycle GHG emissions associated with pre-farm logistics were 0.114, 0.104, 0.107 and 0.098

601 tonnes tonne^{-1} REY under CTLR, CTHR, SPLR and SPHR, respectively ($p>0.05$).
602 Considering the cropping system life cycle GHG, monsoon rice, irrigated rice and mustard
603 contributed 49 %, 28 % and 23 %, respectively of the pre-farm stage emissions. The relative
604 contributions of treatments up to pre-farm stage were similar for monsoon rice, irrigated rice
605 and mustard (Figure 4).

606
607 The NPHR had 17 %, 11 %, 9 % lower pre-farm emissions than CTLR, CTHR and NPHR,
608 respectively (Figure 3). In case of monsoon rice production, the pesticide and fertilizer
609 manufacturing accounted for 13 %, 10 %, 15 % and 11 % to the CO_2eq emissions of total
610 GHG during the pre-farm stage for CTLR, CTHR, NPLR and NPHR, respectively. The
611 production of inputs contributed 13 % in CTLR, 11 % in CTHR, 15 % in NPLR and 12 % in
612 NPHR to the net life cycle GHG emissions within the pre-farm boundary (Figure 3). Again,
613 for irrigated rice production, the pesticide and fertilizer manufacturing alone contributed 8%
614 in NPLR, 6% in NPHR, 6% in CTHR and 6% in CTLR to the CO_2eq emissions of total
615 GHG. On the whole, among the different activities, the manufacture and transport of inputs to
616 paddock contributed the major shares, respectively. And among the different inputs, fertilizer
617 provision up to paddock comprised the highest portion of the pre-farm emissions (Figure 3).

618
619 *On-farm stage:* Overall, on-farm processes of the rice-based cropping system comprised 83–
620 88 % of the cropping system life cycle GHG, having the lowest portion with NPLR and the
621 biggest portion with CTHR (Figure 4). Monsoon rice, irrigated rice and mustard crop
622 growing under CT with LR and HR contributed 46 %, 44 % and 10 %, respectively, of the
623 cropping system life cycle on-farm GHG, while the crops growing under SPLR and SPHR
624 contributed 45, 44 and 11 % and 49, 40 and 11 %, respectively, for the three crops.

625 The greenhouse gas emissions from biogenic sources and farm machinery use ranged from 89
626 at NPLR to 93 % at CTHR and 78 at NPLR to 86 % at CTHR of GHG emissions during

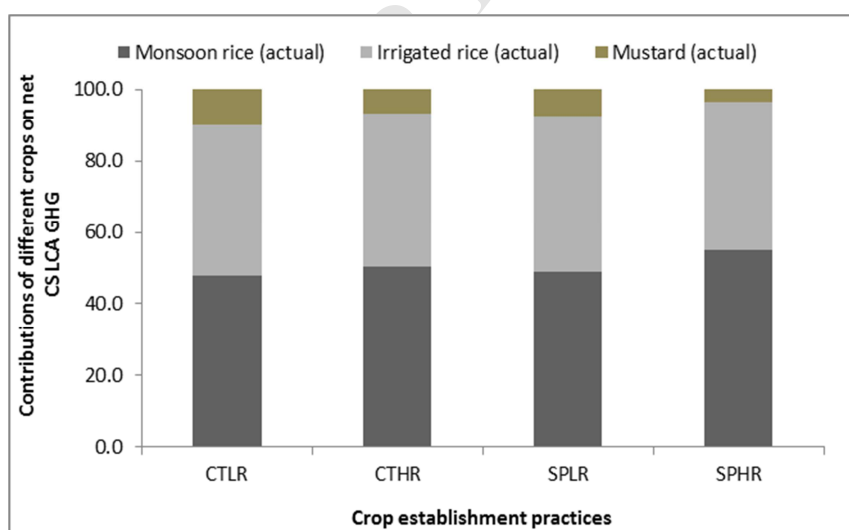
627 irrigated and monsoon rice production, respectively, while the emissions from RE mustard
 628 yield at on-farm stage were 70 % at CTLR to 77 % at CTHR. The GHGs emitted by CTLR
 629 practice were not different from NPHR/ SPHR ($p > 0.05$) for mustard and monsoon rice, in
 630 spite of keeping increased residue in the field (Figure 4). Conventional puddling with HR
 631 comprised the highest emissions during on-farm stage of irrigated rice production (Figure 4).
 632 In contrast, the SP/NPLR was most effective in saving GHG emissions compared to other
 633 tillage and residue retention combinations.

634

635 3.3 Overall GHG emissions

636 For the production of 1 tonne of irrigated rice, monsoon rice and mustard after accounting for
 637 soil sequestered C, net life cycle GHG emissions followed the sequence of NPLR/SPLR <
 638 NPHR/SPHR < CTLR < CTHR practices. Production of 1 tonne of REY of the rice-based
 639 cropping system caused 0.72, 0.72, 0.97 and 1.09 tonne of net CO₂eq life cycle GHG
 640 emission estimated under limited focused GWPI category, while the total life cycle GHGs for
 641 production of 1 tonne of REY were 0.9, 1.07, 1.11 and 1.3 t CO₂eq in SPLR, SPHR, CTLR
 642 and CTHR, respectively (Figures 2 & 5).

643



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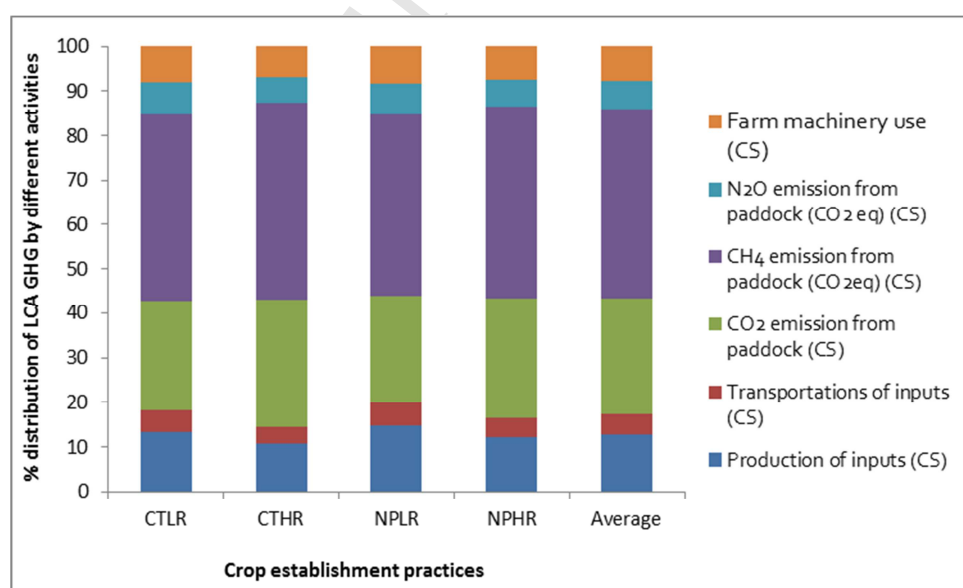
646 **Figure 6.** Relative contributions of component crops (%) to net cropping system life cycle
 647 greenhouse gas emission. [Legend: CT–Conventional puddled transplanting of rice; NP–Non-
 648 puddled transplanting of rice; LR–Low residue retention level; HR–Increased residue
 649 retention level]

650

651 3.4 Contributions of component crops to the CS LCA GHG

652 Relative contributions of component crops to the life cycle GHG of the rice-based cropping
 653 system varied due to different crop establishment and residue retention practices. Irrespective
 654 of crop establishment practices, the monsoon rice contributed the highest portion of the net
 655 cropping system life cycle GHG, followed by irrigated rice. For net cropping system life
 656 cycle GHG, monsoon rice accounted for 47.7-55.1 % of the estimated for rice-based cropping
 657 system of which the lowest portion was contributed by SPLR and the highest portion was by
 658 CTHR. The relative contribution of the irrigated rice was 41.4-43.7 % of the net cropping
 659 system life cycle GHG, while REY of mustard contributed 3.5-9.7 % of the net cropping
 660 system life cycle GHG. For mustard, SPHR contributed the lowest cropping system life cycle
 661 GHG and CTRL the highest GHG (Figure 6).

662



663

664 **Figure 7.** Greenhouse gas emissions (GHG) (%) based on life cycle analysis GHG
665 contributed by different activities under different crop establishment and residue retention
666 practices. [Legend: CT–Conventional puddled transplanting of rice; NP–Non-puddled
667 transplanting of rice; LR–Low residue retention level; HR–Increased residue retention level]

668

669

670 **3.5 Hotspots of the CS LCA GHG**

671 The CH₄ emitted from both irrigated and monsoon seasons was the largest share of CO₂eq
672 emissions by each practice, contributing 40.9 to 44.4 % to the total cropping system life cycle
673 GHG under limited focus GWI estimation. The CO₂ emission from soil during the period of
674 crop growth contributed 24.1 to 28.6% life cycle GHG emission in monsoon rice. The
675 relative contribution of production of inputs comprised 10.6 to 14.9 % of the total cropping
676 system life cycle GHG, followed by the emission by farm machinery use (6.8 to 8.2 %). The
677 N₂O emissions made up only 5.8 to 7.2 % of the total life cycle GHGs (Figure 7). Transport
678 of inputs contributed the lowest portion to the total cropping system life cycle GHG (3.8 to 5
679 % of the total emissions) (Figure 7).

680

681 By contrast with rice crops, the CO₂ emissions from upland mustard soil comprised the major
682 portion (41 at SPLR to 66 % at CTHR) of life cycle GHG recorded under the four scenarios,
683 while production of inputs (17.5–20.4 %) and N₂O emission from soil (14–15.7 %) were also
684 important sources (Figure 7). Farm machinery use and transport of inputs accounted for 6.5–
685 8.3% and 7.2–8.3%, respectively, of the total emissions from upland mustard soil (Figure 7).

686

687 **4. Discussion**

688 **4.1 Cropping system GHG emissions of a rice-based intensive triple cropping system** 689 **(CS LCA GHG)**

690 Net life cycle GHG emissions estimated under the single impact GWI allocation for the rice-
691 dominant cropping system were suppressed by minimum soil disturbance regardless of
692 residue retention level. By contrast, minimum soil disturbance (SP or NP) with LR had the
693 lowest total life cycle greenhouse gas (LCA GHGs) emissions for the rice-dominant cropping
694 system (for one tonne of rice equivalent yield of the system) (Figure 5). Hence, for the
695 cropping systems, as for a single crop (Alam et al. 2018), net life cycle GHG emissions need
696 to be calculated because total life cycle GHG can give misleading conclusions about the
697 overall carbon footprint as well as the impact of specific soil management approaches.
698 Adopting less disturbance of soil for establishment of all three crops allowed up to 65 %
699 greater SOC accumulation over five years of CA (Alam et al., 2018). The effect of the soil
700 management technologies on SOC accumulation take several years to become manifest hence
701 life cycle GHG for the cropping system is best calculated after the impacts of soil and crop
702 management technologies have reached an equilibrium with the new level of SOC.

703
704 The CA practices caused less CH₄ emission under submerged rice soils and lower N₂O
705 emission (Alam et al., 2016) during both rice and mustard seasons. The decreased soil
706 disturbance may maintain lower soil microbial activities under upland mustard soil condition
707 that limits heterotrophic microbial respiration (CO₂) and N₂O emissions. The decreased soil
708 disturbance and non-puddled paddy field preparation for rice seedling establishment
709 maintains higher redox potential under NP relative to puddling of soils following several
710 tillage practices, which helps to reduce CH₄ emissions from rice growing soils (Alam et al.,
711 2016; Alam et al., 2018). Lower standing water depth was also found under soils of non-
712 puddled transplanting of rice (Alam et al., 2019). The Eh values ranged from around -200
713 mV to 300 mV at CT with LR and HR, while NP with LR and HR had a range of Eh values

714 from -150 mV to -250 mV in soils under submerged condition (Alam et al., 2016 and Alam
715 et al., 2019). The higher Eh values under CT with LR and HR might decrease CH_4 production
716 by bacteria or increase CH_4 oxidation, resulting in diminished emission of CH_4 from
717 submerged soil-rice arenchyma system (le Mer and Roger, 2001). Apart from these, the input
718 requirements for all crops grown in the study under NP/SPLR and NP/SPHR were also lower
719 relative to conventional practices. Soil temperatures are also generally lower under HR (Alam
720 et al., 2018). Collectively, these reasons probably explain reduced emissions of life cycle
721 GHGs from the rice-based cropping system under CA practices. On the other hand, the higher
722 total and net life cycle GHGs under CTHR and CTLR practices can be attributed to heavy
723 disturbance of soils by tillage (6 or more times per year) followed by puddling of soil (two
724 times per year) which exacerbates the anaerobic conditions and resulted in a lower redox
725 potential of soil (Alam et al., 2016). The anaerobic, saturated rice soil conditions created very
726 rapidly after submergence (within hours) (Adhya et al., 2000; Bodelier, 2003) favour the
727 increase of methanogenic bacteria populations and production of the by-product CH_4 through
728 the anaerobic microbial respiration. The increased residue incorporation under CT of soils
729 facilitates supply of substrate to methanogens and also stimulates the organisms to grow
730 luxuriantly. Neue (1993) and Minamikawa et al. (2006) also reported that the application of
731 carbon-rich straw helps methanogens survive and lowers redox potential in soils.

732 The sequestration of C was more in soils under SPHR over five years of CA cropping than
733 other treatments (Alam et al., 2018). The increase in SOC can be attributed to: retention of
734 residues as cover after each crop as well as the increase in C addition to the soil due to
735 increase in biomass yield; minimal disturbance of SOM and plant root biomass; decreased
736 CO_2 emissions and; the diversity of crops grown each producing different qualities of
737 residues (Baldock, 2007; Alam et al., 2018). Hence, the lower methane emissions coupled
738 with C sequestered in soils are primary reasons for the lower cropping system life cycle

739 GHGs (both total and net) for 1 tonne of REY under NP/SPLR and NP/SPHR practices
740 (Figure 2, 4, 5 and 7).

741 Breiling et al. (1999) and Brodt et al. (2014) had also estimated only the GWI of rice paddy
742 production, while Blengini and Busto (2009), Kasmaprapruet et al. (2009), Hokazono and
743 Hayashi (2012) and Hokazono et al. (2009) prepared a multiple-impact life cycle GHG of
744 paddy rice production. However, reports on the life cycle GHG for a complete cropping cycle
745 are few, let alone estimates of the multiple-effects of environmental burdens.

746 **4.2 Contributions of component crops to the CS LCA GHG**

747 Though rice crops comprised the major part of pre-farm emissions of cropping system life
748 cycle GHG, the emissions at the pre-farm stage of production for crops of the rice-dominant
749 cropping system were significantly lower than similar LCA studies involving single crops of
750 rice (Wang et al., 2010; Thanawong et al., 2014; Alam et al., 2016; Yadav et al., 2018).
751 However, estimation of GHG emissions through the LCA approach in a rice-upland cropping
752 system has not been reported until now. The present study grew high yielding varieties with
753 low rates of fertilisers (because of the adequate soil nutrient status) and used very minimum
754 levels of fungicides, insecticides and herbicides (as crop species apart from monsoon rice
755 were changed every 2-3 years to break disease and weed cycles) which collectively explain
756 the low pre-farm life cycle GHG emissions. More importantly, use of low GHG emitting raw
757 material (feed-stock) (i.e. natural gas) for producing urea fertiliser and generating electricity
758 and light vehicle use for input transport to crop fields in the experimental region (Alipur,
759 EGP) are behind the low pre-farm cropping system life cycle GHG emissions (Alam et al.,
760 2016; Alam et al., 2019). In addition, the improvement of soil fertility status due to adopting
761 crop rotation and practicing CA over five years created co-benefits in terms of yield increase
762 and SOM increase (Hokazono and Hayashi, 2015; Alam et al., 2018).

763

764 The relatively lower contribution of mustard (after conversion to REY) relative to rice crops
765 can be attributed to lower input requirements especially N which minimises the N₂O
766 emissions (Figure 5). Methane, the major GHG emitted during rice cultivation under wetland
767 condition was absent under upland mustard condition. Among the component crops, the
768 mustard crop requires only supplemental irrigation twice in a season as the variety selected
769 for the study was a very short-duration crop (mustard, *cv.* BARI mustard-14) (Azad et al.,
770 2017).

771
772 The higher relative contribution of monsoon rice (49 % vs 28 and 23 % for irrigated rice and
773 mustard, respectively) to cropping system life cycle GHG can be attributed to its low yield. In
774 the present study, yields of irrigated rice ranged from 6.2 in CTLR to 6.7 t ha⁻¹ in NPHR,
775 whereas, in monsoon season, the yield ranged from 4.6 in CTLR to 5.3 t ha⁻¹ in NPHR (Table
776 1; Alam et al., 2018). On the other hand, the REY of mustard ranged from 7.32 in CTLR to
777 8.42 t ha⁻¹ in NPHR (Table 1). The production of REY of mustard used very low amounts of
778 inputs but had high REY when compared with monsoon rice production which needed more
779 carbon intensive inputs for lower yields. Furthermore, the life cycle GHG in the pre-farm
780 stage in mustard cultivation was 45-48% lower than pre-farm emission of monsoon rice
781 production per tonne. Brodt et al. (2014) reported rice grain yield of 9.3 Mt ha⁻¹, whereas
782 Wang et al. (2010) reported rice yield (8.8 Mt ha⁻¹), but the pre-farm emission was lower in
783 the former case because the latter case was input-intensive and used more than double the
784 inputs. Fusi et al. (2014) also reported that input (mostly fertilisers), distribution and input
785 usage t⁻¹ harvest amounted to 30–40 % of the life cycle GHG. In studies of sunflower and
786 rapeseed oil production, Badey et al. (2013) found pre-farm emission shared more than 50 %
787 of the emission up to seed production. In the current study, the pre-farm emissions for inputs
788 of rice crop production in both irrigated and monsoon season were similar to studies of Badey

789 et al. (2013), Xu et al. (2013) and Blengini and Busto (2009). But the pre-farm emissions for
790 rice production in the current study are higher than the study of Thanawong et al. (2014) and
791 Wang et al. (2010). The economically valuable crop mustard in the rice-based system
792 comprised only 4-11 % of net cropping system life cycle GHG. The mustard crop contributed
793 only 23% to the pre-farm emissions per tonne of REY production which reduced the cropping
794 system life cycle GHG to a great extent (Figure 5). From studies conducted on grain crops
795 under upland conditions, Nemecek et al. (2015) and Gan et al. (2011) found that growing
796 diversified crops in rotations can assist in reducing the average C footprint per crop. The
797 component crops in the rotation determine not only the crop and environmental
798 performances, but also inputs of fertilizer (especially N), provision of mechanisation and use
799 of other chemicals (Crozat and Fustec, 2004; Deike et al., 2008). Nemecek et al. (2015) also
800 found that diverse crops in the cropping systems with reduced chemicals use (fertilizer,
801 pesticide etc.) are promising means to curb the environmental impacts of intensive arable
802 cropping systems. Lemke et al. (2007) suggested that inclusion of pulses in cereals-based
803 cropping systems in the Northern Great Plains (NGP) region influenced the balance of the
804 systems' net GHG as the multi-crop systems required variable pesticides and fertilizers and
805 had residues of varied quality and quantity compared with cereals only cropping systems. On
806 the other hand, Burton et al. (2008) found that increased fertilizer N application as required
807 for potato and single time of application commonly increases N₂O emissions (Ruser et al.,
808 2001; Zebarth et al., 2008) and, that, N₂O production increases non-linearly with increased N
809 fertilizer (McSwiney and Robertson, 2005).

810 Alam et al. (2016) found that life cycle GHG generated by consumed fuel during preparing
811 and irrigating land and harvesting made up 14 to 19 % of the on-farm stage life cycle GHG of
812 irrigated rice. That irrigating rice crop shared major part of energy required for on-farm
813 activities is confirmed by other studies of Islam et al. (2013) and Khan et al. (2009). On the

814 contrary, the monsoon rice in the present study did not require any irrigation application and
815 saved those life cycle GHGs. Selecting suitable crops and growing them in rotation can have
816 favourable effects on GHG emissions (Dukes, 2003).

817 The present study contrasted with the study by Thanawong et al. (2014) who recorded
818 increased on-farm GHG emission from soils of irrigated rice, relative to rain-fed rice. They
819 attributed this increased on-farm emissions to augmented synthesis and release of CH₄ from
820 continuous submerged soil of irrigated rice (the CH₄ synthesised and emitted in irrigated rice
821 soil was twice the amount of CH₄ emitted from rain-fed rice soil). The continuous ponding of
822 water in the irrigated rice field might have create more reduced soils and thereby caused
823 increase CH₄ emission. Irrigation system installation and irrigating crops also accounted for
824 additional emissions to the total emissions.

825

826 **4.3 Identification of hotspots**

827 In the rice-upland rotation, on-farm CH₄ emissions contributed the highest overall emissions,
828 followed by CO₂ emissions from soils due to heterotrophic respiration, input manufacturing
829 and delivery, the emission by farm machinery use and N₂O emissions from fields (Figure 7).
830 By contrast, N₂O dominated GHGs recorded in C footprint studies of upland (arable) crops
831 (Grant and Beer, 2008; Gan et al. 2012b; Eshun et al., 2013; Weller et al., 2014). Episodes of
832 alternative aerobic and anaerobic (waterlogging) conditions increase N₂O emission (Flessa
833 and Beese, 1995), while CH₄ becomes oxidised by microbes under aerobic conditions of soil,
834 resulting in negative emission of CH₄ (Gilbert and Frenzel, 1998; Ettwig et al., 2010). The
835 experiment results observed by Kritee et al. (2018) suggested that the Indian subcontinent's
836 N₂O emissions from intermittently flooded rice fields could be 30–45 times higher than
837 reported under continuous flooding. On the other hand, the present study had two rice crops;
838 one was maintained with continuous flooding by irrigation, the other was rainfed but

839 continuously flooded by natural rainfall events (Alam et al., 2019). Under the present
840 conditions, little of the fertilizer-derived $\text{NH}_4\text{-N}$ would be oxidized biologically to $\text{NO}_3\text{-N}$ in
841 anaerobic soil conditions which would lower the risk of $\text{NO}_3\text{-N}$ leaching and N_2O production
842 due to denitrification (Savant and De Datta, 1982). Zhang et al. (2017) surveyed the C
843 footprints of maize, wheat and rice across China and concluded that the use of N containing
844 fertilisers, straw burning, energy in farm machinery use and irrigation, and CH_4 emanating
845 from wetland rice soils are the factors contributing to total C-eq: their shares range from 8 to
846 49 %, 0 to 70 %, 6 to 40 %, 0 to 44 % and 15 to 73 %, respectively. Alam et al. (2016) in
847 their LCA of irrigated rice in the EGP–Bangladesh and Blengini and Busto (2009) who
848 studied the C footprint of rice production through a LCA approach in Italy identified similar
849 hotspots for the production of rice crops (CH_4 emissions from anaerobic soil, emissions from
850 fuel use for machinery operation, and provision of fertilisers). A hotspot from CO_2 emissions
851 from soils under mustard can be attributed to heterotrophic respiration in the aerobic soil
852 during the cool-dry season. As the soil had improved nutrient status, input of fertilizers for
853 mustard was minimal. Hence, CO_2 emission was the main emitter of life cycle GHGs for
854 mustard. In a study of monsoon rice LCA (Alam et al., 2019), CH_4 emission from on-farm
855 stage production comprised the majority of the life cycle GHGs.

856 Globally, the IPCC (2007) attributed 55 % of agriculture-generated global CH_4 flux to
857 wetland rice. Alam et al. (2016), Bacenetti et al. (2015), Harada et al. (2007) and Pathak et al.
858 (2005) estimated contributions of CH_4 to the life cycle GHGs of rice crop to be the principal
859 hotspot which made up around 60 % of total rice life cycle GHG. Similarly, Fumoto et al.
860 (2008), Hokazono and Hayashi (2012) and Hacho et al. (2012) assessed rice in waterlogged
861 soil in Japan and concluded that CH_4 was the main contributor to life cycle GHG. A life cycle
862 GHG of rice in France also identified CH_4 as the topmost contributor to GWP (Drocourt et
863 al., 2012). Though the share of biogenic CH_4 from production of rice under wetland condition

864 in Italy (Milano, Pavia, Vercelli and Novara areas) represented only 40 % of the life cycle
865 GHG, the CH₄ attributed to organic matter decomposition was the highest contributor to
866 GWP (Fusi et al., 2014). The present study identified CH₄ as the major hotspot for GHG
867 emissions both in rice crops and in the cropping system, but showed that the minimum soil
868 disturbance practice, including non-puddled transplanting, was effective in decreasing
869 methane emission although this gain was offset to some extent by the higher residue
870 retention. This suggests that CA practices have the potential to decrease the C footprint of
871 cropping systems based on wetland rice. There may be further opportunities to modify N
872 fertilising tactics and increase N use efficiency to reduce the net emissions and C footprint of
873 wetland rice crops by almost one-third to half in CA production technologies for rice-based
874 cropping.

875

876 **4.4 Overall emissions of GHG**

877 After accounting for sequestered C in soil, net life cycle GHGs produced t⁻¹ of REY by the
878 cropping system under the GHG intensity allocation amounted to 0.73, 0.74, 0.98 and 1.12
879 tonne for CTRLR, CTHR, SPLR and SPHR, respectively. By contrast, in China, GHGs
880 produced were 5.9 t CO₂eq t⁻¹ rice versus 1.76 t CO₂eq t⁻¹ maize and 2.75 t CO₂eq t⁻¹ wheat
881 (Zhang et al., 2017). The footprints in the current study for production of upland mustard or
882 wetland rice either by irrigation or by monsoon rain are lower than footprints found in China
883 (Zhang et al., 2017). Hokazono and others (2009) on puddled rice soil in Japan found life
884 cycle GHG emissions within the cradle to farm-gate boundary were 1.62 t CO₂eq in organic
885 farming, 1.34 t CO₂eq in sustainable farming and 1.51 t CO₂eq in conventional farming t⁻¹
886 production of rice, respectively. The life cycle GHG estimated by Farag et al. (2013) from
887 cradle to farm-gate boundary was 1.9 t CO₂eq t⁻¹ rice, while the puddled transplanted rice
888 accounted for 2.21 t life cycle GHG (CO₂eq t⁻¹ rice) within the system boundary up to farm-

889 gate boundary (Ryu et al., 2013). Hokazono and Hayashi (2015) found that growing non rice
890 crops or legume crops (capable of N fixation) requiring less inputs in place of continuous rice
891 tended to be efficient in mitigating life cycle GHGs.

892
893 In addition to the emissions recorded for the cultivation of mustard, irrigated rice and
894 monsoon rice in rotation in the EGP during their growing cycle, the fallow periods during the
895 transition from upland crops to wetland rice and from wetland crops to upland winter crops
896 might cause additional emissions of GHGs (CH_4 , CO_2 and N_2O). Sander et al. (2018) found
897 during drying of soils, that emission of N_2O started following the accumulation of $\text{NO}_3\text{-N}$. On
898 the other hand, when $\text{NO}_3\text{-N}$ started disappearing during flooding of soils during land
899 preparation, $\text{NH}_4\text{-N}$ started accumulating with the mineralised N. However, among the
900 GHGs, N_2O is emitted through nitrification and denitrification processes during the periods
901 of transition (Sander et al., 2018). The emissions of CH_4 and CO_2 occur throughout the
902 growing season and in the periods of fallow between crops. Hence the present findings
903 should be extended to LCA studies that include emissions during the fallow periods between
904 crops (Martínez-Eixarch et al., 2018).

905
906

907 **4.5 Implications for cleaner production**

908 The LCA estimated in the present research suggests a GHG mitigation and cleaner
909 production strategy for production of crops in rice-based cropping systems. Out of five
910 cleaner production strategies, including input substitution, good housekeeping, product
911 modification, technology modification and on-site recycling, the present research considered
912 technology modification (e.g. strip tillage for upland crops and non-puddling of rice crops)
913 and good housekeeping (e.g. residue retention) strategies to reduce GHG mitigation. In rice-
914 based systems of the EGP, a range of upland crops are grown in the cool-dry season (from

915 mid-October to middle March). Some crops (e.g. potato) require high inputs which may lead
916 to increased GHG emissions from N containing fertilisers, irrigation etc. The LCA for GHG
917 emissions of rice crops need to be combined with those for the upland crops to complete
918 LCAs of the cropping systems with diversified crops that are typical of the EGP. Indeed, the
919 present study highlights the importance of regional factors such as the light machinery use
920 and gas generation of electricity, both of which are relevant to Bangladesh and the EGP, and
921 distinguish the life cycle GHG for this region from other regions. The inventory and EFs
922 developed in the present study will be useful for further life cycle GHG studies in the crop
923 production sector in the EGP. Conservation agricultural practices have been reported to
924 increase SOC in some studies (Alam et al. 2018; Salahin, 2017; West and Post, 2002), but not
925 in others (Powlson et al., 2016). Where soil and crop management practices increase
926 sequestered soil C, inclusion of the SOC gains in the LCA inventory will improve accuracy
927 of the LCA tool for determining the net GHG values per functional unit of REY production.
928 This would enable policy makers to accurately predict the benefits of CA practices for GWP
929 mitigation. The present study which estimated C footprints of a rice-based cropping system
930 can inform policy development by Governments in the EGP since wetland rice is the
931 dominant crop in the region and a major contributor to national carbon accounts. The
932 methodology followed for estimating C footprints of CA cropping could be used for countries
933 growing crops following CA principles.

934

935 **5. Conclusions**

936 The C footprint of intensive rice-based cropping system has been estimated under crop
937 establishment practices following CA principles for all three component crops in rice-based
938 cropping system in the EGP. Strip planting for upland crops and non-puddling of rice crops
939 with minimal soil disturbance and increased residue retention were identified as two

940 alternative crop management practices for cleaner production strategies than the conventional
941 production system of crops in rice-intensive systems. The CA cropping techniques save fuel,
942 improve productivity, reduce GHG emissions and thereby offers environmental benefits. The
943 estimation of the C footprint of grain production in the EGP has been improved by
944 accounting for SOC-sequestration in the life cycle GHG analysis. Since SOC varies with
945 cropping and soil management practices as well as among regions, accounting for SOC can
946 increase the accuracy of carbon footprint accounting or GWI calculation.

947 The minimum soil disturbance crop establishment practice for upland crop (SP) and for
948 irrigated and monsoon rice (NP) with current or increased residue inputs offer significant
949 GHG savings at both pre-farm and on-farm stages of component crop production of the rice
950 dominant cropping system relative to conventional methods of rice crop establishment in the
951 EGP. Increased SOC sequestration and the reduced GHG emission by following minimum
952 disturbance of soil, residue return management and crop rotation, the key principles of CA,
953 collectively reduced life cycle GHG of the cropping system compared to conventional tillage.
954 For net life cycle GHGs, strip planting/non-puddled transplanting with minimal or high
955 residue return was equally efficient choice of GWP mitigation. The CTRLR and CTHR
956 accounted for 0.73 and 0.74 tonne net life cycle GHGs for one tonne REY of the rice-
957 dominant cropping system. The savings with the best mitigation practice (NP/SPLR and
958 NP/SPHR) for net life cycle GHGs were 0.37 and 0.25 t emissions t⁻¹ of rice production
959 relative to CTHR and CTRLR, respectively.

960 The on-farm stage of the rice-based cropping system contributed 83 (SPLR)–88% (CTHR) of
961 the cropping system life cycle GHG, while for monsoon rice it contributed 78 % (NPLR) to
962 86 % (CTHR) of the life cycle GHG emissions. For RE mustard yield, the on-farm stage
963 contributed 70 % (CTRLR) to 77 % at CTHR of the total. These on-farm emissions were due
964 predominantly to emission of greenhouse gases from cropped soil and to emissions from fuel

965 use for usage of machineries. Regardless of crop establishment practices and residue return,
966 CH₄ was the dominant GHG emitted t⁻¹ of irrigated and monsoon rice production in the EGP
967 due to soil submergence (anaerobic) maintained for rice crop production, while for mustard,
968 CO₂-eq emission from on-farm stage was the predominant GHG. Carbon dioxide emission
969 from soil, emissions associated with fuel use for on-farm machineries as well as production
970 of inputs were significant contributors to life cycle GHGs of monsoon rice production,
971 irrigated rice production and REY of mustard, respectively. Total life cycle GHG emissions
972 overestimate the C footprint in the long term when significant SOC differences emerge
973 among cropping and soil management practices: net life cycle GHG which account for
974 changes in soil sequestered C should be determined in these cases. Further modifications of
975 the management practices for component crops of the intensive triple-crop system that lead to
976 yield increase or decreased inputs could further improve the net life cycle GHG performances
977 of the CA practice.

978

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987

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ACCEPTED MANUSCRIPT

Highlights

- We investigate the life cycle greenhouse gas emissions of a rice-based cropping system
- Minimum soil disturbance with current or increased residue retention reduced GHGs
- Irrigated or rainfed rice were dominant contributors to cropping system life cycle GHG
- On-farm CH₄ was the hotspot of GHG emissions