# Metadata of the chapter that will be visualized online

Chapter Title	Modal Ω-Logic: Autor Realism7.	nata, Neo-Logicism, and Set-Theoretic	
Copyright Year	2018		
Copyright Holder	Springer Nature Switzerland AG		
Corresponding Author	Family Name	Khudairi	
	Particle		
	Given Name	Hasen	
	Suffix		
	Division	Arché Philosophical Research Centre	
	Organization	University of St Andrews	
	Address	St. Andrews, Scotland	
	Email	hasen.khudairi@gmail.com	
Abstract	This essay examines the philosophical significance of $\Omega$ -logic in Zermelo-Fraenkel set theory with choice (ZFC). The dual isomorphism between algebra and coalgebra permits Boolean-valued algebraic models of ZFC to be interpreted as coalgebras. The modal profile of $\Omega$ -logical validity can then be countenanced within a coalgebraic logic, and $\Omega$ -logical validity can be defined via deterministic automata. I argue that the philosophical significance of the foregoing is two-fold. First, because the epistemic and modal profiles of $\Omega$ -logical validity correspond to those of second-order logical consequence, $\Omega$ -logical validity is genuinely logical, and thus vindicates a neo-logicist conception of mathematical truth in the set-theoretic multiverse. Second, the foregoing provides a modal-computational account of the interpretation of mathematical vocabulary, adducing in favor of a realist conception of the cumulative hierarchy of sets.		
Keywords (separated by "-")	Modal Ω-logic - Ω-lo Neo-Logicism - Set-	gical Validity - Modal Coalgebraic Automata - theoretic Realism	

Chapter 4	
Modal Ω-Logic: Automata,	
Neo-Logicism, and Set-Theoretic Realism	

2

19

Hasen Khudairi 4

Abstract This essay examines the philosophical significance of  $\Omega$ -logic in 5 Zermelo-Fraenkel set theory with choice (ZFC). The dual isomorphism between 6 algebra and coalgebra permits Boolean-valued algebraic models of ZFC to be 7 interpreted as coalgebras. The modal profile of  $\Omega$ -logical validity can then be 8 countenanced within a coalgebraic logic, and  $\Omega$ -logical validity can be defined via 9 deterministic automata. I argue that the philosophical significance of the foregoing 10 is two-fold. First, because the epistemic and modal profiles of  $\Omega$ -logical validity 11 correspond to those of second-order logical consequence,  $\Omega$ -logical validity is 12 genuinely logical, and thus vindicates a neo-logicist conception of mathematical 13 truth in the set-theoretic multiverse. Second, the foregoing provides a modal-14 computational account of the interpretation of mathematical vocabulary, adducing 15 in favor of a realist conception of the cumulative hierarchy of sets.

**Keywords** Modal  $\Omega$ -logic ·  $\Omega$ -logical Validity · Modal Coalgebraic Automata · 17 Neo-Logicism · Set-theoretic Realism 18

#### 4.1 Introduction

This essay examines the philosophical significance of the consequence relation 20 defined in the  $\Omega$ -logic for set-theoretic languages. I argue that, as with second-21 order logic, the modal profile of validity in  $\Omega$ -Logic enables the property to be 22 epistemically tractable. Because of the dual isomorphism between algebras and 23 coalgebras, Boolean-valued models of set theory can be interpreted as coalgebras. 24

Forthcoming in the 'Proceedings of the 2016 Meeting of the International Association for Computing and Philosophy'.

H. Khudairi (⊠)

Arché Philosophical Research Centre, University of St Andrews, St. Andrews, Scotland

<sup>©</sup> Springer Nature Switzerland AG 2018

D. Berkich, M. V. d'Alfonso (eds.), *On the Cognitive, Ethical, and Scientific Dimensions of Artificial Intelligence*, Philosophical Studies Series 134, https://doi.org/10.1007/978-3-030-01800-9\_4

AO1

In Sect. 4.2, I demonstrate how the modal profile of  $\Omega$ -logical validity can be 25 countenanced within a coalgebraic logic, and how  $\Omega$ -logical validity can further 26 be defined via automata. In Sect. 4.3, I examine how models of epistemic modal 27 algebras to which modal coalgebraic automata are dually isomorphic are availed 28 of in the computational theory of mind. Finally, in Sect. 4.4, the philosophical 29 significance of the characterization of the modal profile of  $\Omega$ -logical validity for the 30 philosophy of mathematics is examined. I argue (i) that it vindicates a type of neologicism with regard to mathematical truth in the set-theoretic multiverse, and (ii) 32 that it provides a modal and computational account of formal grasp of the concept 36 of 'set', adducing in favor of a realist conception of the cumulative hierarchy of sets. 36 Section 4.5 provides concluding remarks.

4.2 Definitions 36

In this section, I define the axioms of Zermelo-Fraenkel set theory with choice. I  $_{37}$  define the mathematical properties of the large cardinal axioms to which ZFC can  $_{38}$  be adjoined, and I provide a detailed characterization of the properties of  $\Omega$ -logic for  $_{39}$  ZFC. Because Boolean-valued algebraic models of  $\Omega$ -logic are dually isomorphic to coalgebras, a category of coalgebraic logic is then characterized which models both  $_{41}$  modal logic and deterministic automata. Modal coalgebraic models of automata are then argued to provide a precise characterization of the modal and computational  $_{43}$  profiles of  $\Omega$ -logical validity.

### 4.2.1 Axioms<sup>1</sup>

• Empty set:	46
$\exists x \forall u (u \notin x)$	47
• Extensionality:	48
$x = y \iff \forall u(u \in x \iff u \in y)$	49
• Pairing:	50
$\exists x \forall u (u \in x \iff u = a \lor u = b)$	51
• Union:	52
$\exists x \forall u [u \in x \iff \exists v (u \in v \land v \in a)]$	53
• Separation:	54
$\exists x \forall u [u \in x \iff u \in a \land \phi(u)]$	55
• Power Set:	56
$\exists x \forall u(u \in x \iff u \subseteq a)$	57

<sup>&</sup>lt;sup>1</sup>For a standard presentation, see Jech (2003). For detailed, historical discussion, see Maddy (1988a).

4 Modal Ω-Logic: Automata, Neo-Logicism, and Set-Theoretic Realism

```
Infinity:
                                                                                                                                                         58
      \exists x \varnothing \in x \land \forall u (u \in x \rightarrow \{u\} \in x)
                                                                                                                                                         59
Replacement:
                                                                                                                                                         60
     \forall u \exists ! v \psi(u, v) \rightarrow \forall x \exists y (\forall u \in x) (\exists v \in y) \psi(u, v)
                                                                                                                                                         61
Choice:
                                                                                                                                                         62
     \forall u[u \in a \rightarrow \exists v(v \in u)] \land \forall u, x[u \in a \land x \in a \rightarrow \exists v(v \in u \iff
                                                                                                                                                         63
(x) \lor \neg v(v \in u \land v \in x) \rightarrow \exists x \forall u[u \in a \rightarrow \exists! v(v \in u \land u \in x)]
```

64

65

#### 4.2.2 Large Cardinals

Borel sets of reals are subsets of  $\omega^{\omega}$  or  $\mathbb{R}$ , closed under countable intersections and 66 unions.<sup>2</sup> For all ordinals, a, such that  $0 < a < \omega_1$ , and b < a,  $\Sigma_a^0$  denotes the open 67 subsets of  $\omega^{\omega}$  formed under countable unions of sets in  $\Pi_{b}^{0}$ , and  $\Pi_{a}^{0}$  denotes the 68 closed subsets of  $\omega^{\omega}$  formed under countable intersections of  $\Sigma_h^0$ .

Projective sets of reals are subsets of  $\omega^{\omega}$ , formed by complementations ( $\omega^{\omega}$  – u, 70 for  $u \subseteq \omega^{\omega}$ ) and projections  $[p(u) = \{\langle x_1, \dots, x_n \rangle \in \omega^{\omega} \mid \exists y \langle x_1, \dots, x_n, y \rangle \in u\}]$ . 71 For all ordinals a, such that  $0 < a < \omega$ ,  $\Pi_0^1$  denotes closed subsets of  $\omega^{\omega}$ ;  $\Pi_a^1$  is formed by taking complements of the open subsets of  $\omega^{\omega}$ ,  $\Sigma_a^1$ ; and  $\Sigma_{a+1}^1$  is formed 73 by taking projections of sets in  $\Pi_a^1$ .

The full power set operation defines the cumulative hierarchy of sets, V, such that 75  $V_0 = \varnothing$ ;  $V_{a+1} = P(V_0)$ ; and  $V_{\lambda} = \bigcup_{a < \lambda} V_a$ .

In the inner model program (cf. Woodin 2001, 2010, 2011; Kanamori 2012a,b), 77 the definable power set operation defines the constructible universe,  $L(\mathbb{R})$ , in the 78 universe of sets V, where the sets are transitive such that  $a \in C \iff a \subseteq C$ ; 79  $L(\mathbb{R}) = V_{\omega+1}; L_{a+1}(\mathbb{R}) = Def(L_a(\mathbb{R})); \text{ and } L_{\lambda}(\mathbb{R}) = \bigcup_{a \leq \lambda} (L_a(\mathbb{R})).$ 

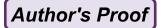
Via inner models, Gödel (1940) proves the consistency of the generalized 81 continuum hypothesis,  $\aleph_a^a = \aleph_{a+1}$ , as well as the axiom of choice, relative to the 82 axioms of ZFC. However, for a countable transitive set of ordinals, M, in a model 83 of ZF without choice, one can define a generic set, G, such that, for all formulas,  $\phi$ , 84 either  $\phi$  or  $\neg \phi$  is forced by a condition, f, in G. Let  $M[G] = \bigcup_{a < \kappa} M_a[G]$ , such that 85  $M_0[G] = \{G\}$ ; with  $\lambda < \kappa$ ,  $M_{\lambda}[G] = \bigcup_{a < \lambda} M_a[G]$ ; and  $M_{a+1}[G] = V_a \cap M_a[G]$ . G is a Cohen real over M, and comprises a set-forcing extension of M. The relation 87 of set-forcing,  $\vdash$ , can then be defined in the ground model, M, such that the forcing 88 condition, f, is a function from a finite subset of  $\omega$  into  $\{0,1\}$ , and  $f \Vdash u \in G$  if 89  $f(\mathbf{u}) = 1$  and  $f \Vdash \mathbf{u} \notin G$  if  $f(\mathbf{u}) = 0$ . The cardinalities of an open dense ground 90 model, M, and a generic extension, G, are identical, only if the countable chain 91 condition (c.c.c.) is satisfied, such that, given a chain – i.e., a linearly ordered subset 92 of a partially ordered (reflexive, antisymmetric, transitive) set – there is a countable, 93

AQ2

<sup>&</sup>lt;sup>2</sup>See Koellner (2013), for the presentation, and for further discussion, of the definitions in this and the subsequent paragraph.

<sup>&</sup>lt;sup>3</sup>See Kanamori (2012a: 2.1; 2012b: 4.1), for further discussion.

130



maximal antichain consisting of pairwise incompatible forcing conditions. Via set- 94 forcing extensions, Cohen (1963, 1964) constructs a model of ZF which negates 95 the generalized continuum hypothesis, and thus proves the independence thereof 96 relative to the axioms of ZF.<sup>4</sup>

Gödel (1946/1990: 1-2) proposes that the value of Orey sentences such as the 98 GCH might yet be decidable, if one avails of stronger theories to which new 99 axioms of infinity – i.e., large cardinal axioms – are adjoined. He writes that: 100 'In set theory, e.g., the successive extensions can be represented by stronger and 101 stronger axioms of infinity. It is certainly impossible to give a combinatorial and 102 decidable characterization of what an axiom of infinity is; but there might exist, 103 e.g., a characterization of the following sort: An axiom of infinity is a proposition 104 which has a certain (decidable) formal structure and which in addition is true. 105 Such a concept of demonstrability might have the required closure property, i.e. 106 the following could be true: Any proof for a set-theoretic theorem in the next higher 107 system above set theory . . . is replaceable by a proof from such an axiom of infinity. 108 It is not impossible that for such a concept of demonstrability some completeness 109 theorem would hold which would say that every proposition expressible in set theory 110 is decidable from present axioms plus some true assertion about the largeness of the 111 universe of sets'.

For cardinals, x,a,C,  $C \subseteq a$  is closed unbounded in a, if it is closed [if x < C and 113  $\bigcup (C \cap a) = a$ , then  $a \in C$  and unbounded  $\bigcup C = a$  (Kanamori, op. cit.: 360). 114 A cardinal, S, is stationary in a, if, for any closed unbounded  $C \subseteq a$ ,  $C \cap S \neq \emptyset$  115 (op. cit.). An ideal is a subset of a set closed under countable unions, whereas filters 116 are subsets closed under countable intersections (361). A cardinal  $\kappa$  is regular if the cofinality of  $\kappa$  – comprised of the unions of sets with cardinality less than  $\kappa$  – is 118 identical to  $\kappa$ . Uncountable regular limit cardinals are weakly inaccessible (op. cit.). 119 A strongly inaccessible cardinal is regular and has a strong limit, such that if  $\lambda < \kappa$ , 120 then  $2^{\lambda} < \kappa$  (op. cit.).

Large cardinal axioms are defined by elementary embeddings.<sup>6</sup> Elementary 122 embeddings can be defined thus. For models A,B, and conditions  $\phi$ , j: A  $\rightarrow$  B, 123  $\phi(a_1,\ldots,a_n)$  in A if and only if  $\phi(j(a_1),\ldots,j(a_n))$  in B (363). A measurable 124 cardinal is defined as the ordinal denoted by the critical point of j, crit(j) (Koellner 125 and Woodin 2010: 7). Measurable cardinals are inaccessible (Kanamori, op. cit.).

Let  $\kappa$  be a cardinal, and  $\eta > \kappa$  an ordinal.  $\kappa$  is then  $\eta$ -strong, if there is a transitive 127 class M and an elementary embedding, j: V  $\rightarrow$  M, such that crit(j) =  $\kappa$ , j( $\kappa$ ) >  $\eta$ , 128 and  $V_n \subseteq M$  (Koellner and Woodin, op. cit.).

 $\kappa$  is strong if and only if, for all  $\eta$ , it is  $\eta$ -strong (op. cit.).

<sup>&</sup>lt;sup>4</sup>See Kanamori (2008), for further discussion.

<sup>&</sup>lt;sup>5</sup>See Kanamori (2007), for further discussion. Kanamori (op. cit.: 154) notes that Gödel (1931/1986: fn48a) makes a similar appeal to higher-order languages, in his proofs of the incompleteness theorems. The incompleteness theorems are examined in further detail, in Sect. 4.4.2, below.

<sup>&</sup>lt;sup>6</sup>The definitions in the remainder of this subsection follow the presentations in Koellner and Woodin (2010) and Woodin (2010, 2011).

4 Modal Ω-Logic: Automata, Neo-Logicism, and Set-Theoretic Realism

If A is a class, $\kappa$ is $\eta$ -A-strong, if there is a j : V $\rightarrow$ M, such that $\kappa$ is $\eta$ -strong	131
and $j(A \cap V_{\kappa}) \cap V_{\eta} = A \cap V_{\eta}$ (op. cit.).	132
$\kappa$ is a Woodin cardinal, if $\kappa$ is strongly inaccessible, and for all $A \subseteq V_{\kappa}$ , there is a	133
cardinal $\kappa_A < \kappa$ , such that $\kappa_A$ is $\eta$ -A-strong, for all $\eta$ such that $\kappa_{\eta}$ , $\eta < \kappa$ (Koellner	134
and Woodin, op. cit.: 8).	135
$\kappa$ is superstrong, if $j: V \to M$ , such that $crit(j) = \kappa$ and $V_{j(\kappa)} \subseteq M$ , which	136
entails that there are arbitrarily large Woodin cardinals below $\kappa$ (op. cit.).	137
Large cardinal axioms can then be defined as follows.	138
$\exists x \Phi$ is a large cardinal axiom, because:	139
(i) $\Phi x$ is a $\Sigma_2$ -formula;	140
(ii) if $\kappa$ is a cardinal, such that $V \models \Phi(\kappa)$ , then $\kappa$ is strongly inaccessible; and	141
(iii) for all generic partial orders $\mathbb{P} \in V_{\kappa}$ , $V^{\mathbb{P}} \models \Phi(\kappa)$ ; $I_{NS}$ is a non-stationary	142
ideal; $A^G$ is the canonical representation of reals in $L(\mathbb{R})$ , i.e. the interpretation	143
of A in M[G]; $H(\kappa)$ is comprised of all of the sets whose transitive closure is	144
$<\kappa$ (cf. Rittberg 2015); and $L(\mathbb{R})^{\mathbb{P}max} \models \langle H(\omega_2), \in, I_{NS}, A^G \rangle \models '\phi'$ . $\mathbb{P}$ is a	145
homogeneous partial order in $L(\mathbb{R})$ , such that the generic extension of $L(\mathbb{R})^{\mathbb{P}}$	146
inherits the generic invariance, i.e., the absoluteness, of L( $\mathbb{R}$ ). Thus, L( $\mathbb{R}$ ) $^{\mathbb{P}max}$	147
is (i) effectively complete, i.e. invariant under set-forcing extensions; and (ii)	148
maximal, i.e. satisfies all $\Pi_2$ -sentences and is thus consistent by set-forcing	149
over ground models (Woodin (ms): 28).	150
Assume ZFC and that there is a proper class of Woodin cardinals; $A \in \mathbb{P}(\mathbb{R}) \cap$	151
$L(\mathbb{R})$ ; $\phi$ is a $\Pi_2$ -sentence; and $V(G)$ , s.t. $\langle H(\omega_2), \in, I_{NS}, A^G \rangle \models '\phi'$ : Then, it can	
The Co	153
$\Gamma^{\infty}(\mathrm{H}(\omega_1),\in,\mathrm{A})\models\psi.$	154
The axiom of determinacy (AD) states that every set of reals, $a \subseteq \omega^{\omega}$ is	155
determined, where $\kappa$ is determined if it is decidable.	156
Woodin's (1999) Axiom (*) can be thus countenanced:	157
$AD^{L(\mathbb{R})}$ and $L[(\mathbb{P}\omega_1)]$ is a $\mathbb{P}$ max-generic extension of $L(\mathbb{R})$ ,	158
from which it can be derived that $2^{\aleph_0} = \aleph_2$ . Thus, $\neg \text{CH}$ ; and so CH is absolutely	159
decidable.	160
4.2.3 Ω-Logic	10.
Tiens we logic	161

For partial orders,  $\mathbb{P}$ , let  $V^{\mathbb{P}} = V^{\mathbb{B}}$ , where  $\mathbb{B}$  is the regular open completion of  $(\mathbb{P})$ .<sup>7</sup>  $M_a = (V_a)^M$  and  $M_a^{\mathbb{B}} = (V_a^{\mathbb{B}})^M = (V_a^{M^{\mathbb{B}}})$ . Sent denotes a set of sentences in 163 a first-order language of set theory.  $T \cup \{\phi\}$  is a set of sentences extending ZFC. 164 c.t.m abbreviates the notion of a countable transitive  $\in$ -model. c.B.a. abbreviates 165 the notion of a complete Boolean algebra.

<sup>&</sup>lt;sup>7</sup>The definitions in this section follow the presentation in Bagaria et al. (2006).

195

198

209

Define a c.B.a. in V, such that  $V^{\mathbb{B}}$ . Let  $V_0^{\mathbb{B}} = \emptyset$ ;  $V_{\lambda}^{\mathbb{B}} = \bigcup_{b < \lambda} V_b^{\mathbb{B}}$ , with  $\lambda$  a limit 167 ordinal;  $V_{a+1}^{\mathbb{B}} = \{f : X \to \mathbb{B} | X \subseteq V_a^{\mathbb{B}} \}; \text{ and } V^{\mathbb{B}} = \bigcup_{a \in O_n}^{\infty} V_a^{\mathbb{B}}.$  $\phi$  is true in  $V^{\mathbb{B}}$ , if its Boolean-value is  $1^{\mathbb{B}}$ , if and only if 169  $V^{\mathbb{B}} \models \phi \text{ iff } \llbracket \phi \rrbracket^{\mathbb{B}} = 1^{\mathbb{B}}.$ 170 Thus, for all ordinals, a, and every  $c.B.a.\mathbb{B}$ ,  $V_a^{\mathbb{B}} \equiv (V_a)^{V^{\mathbb{B}}}$  iff for all  $x \in V^{\mathbb{B}}$ ,  $\exists y \in V^{\mathbb{B}}[x = y]^{\mathbb{B}} = 1^{\mathbb{B}} \text{ iff } [x \in V^{\mathbb{B}}]^{\mathbb{B}} = 1^{\mathbb{B}}.$ 172 Then,  $V_a^{\mathbb{B}} \models \phi$  iff  $V^{\mathbb{B}} \models {}^{\iota}V_a \models \phi'$ . 173  $\Omega$ -logical validity can then be defined as follows: 174 For  $T \cup \{\phi\} \subseteq Sent$ , 175  $T \models_{\Omega} \phi$ , if for all ordinals, a, and  $c.B.a.\mathbb{B}$ , if  $V_a^{\mathbb{B}} \models T$ , then  $V_a^{\mathbb{B}} \models \phi$ . 176 Supposing that there exists a proper class of Woodin cardinals and if  $T \cup \{\phi\} \subseteq$ 177 *Sent*, then for all set-forcing conditions,  $\mathbb{P}$ : 178  $T \models_{\Omega} \phi \text{ iff } V^T \models `T \models_{\Omega} \phi `,$ 179 where  $T \models_{\Omega} \phi \equiv \emptyset \models T \models_{\Omega} \phi$ . 180 The Ω-Conjecture states that  $V \models_{\Omega} \phi$  iff  $V^{\mathbb{B}} \models_{\Omega} \phi$  (Woodin ms). Thus, Ωlogical validity is invariant in all set-forcing extensions of ground models in the 182 set-theoretic multiverse. 183 The soundness of  $\Omega$ -Logic is defined by universally Baire sets of reals. For a 184

cardinal, e, let a set A be e-universally Baire, if for all partial orders  $\mathbb{P}$  of cardinality e, there exist trees, S and T on  $\omega$  X  $\lambda$ , such that A = p[T] and if G  $\subseteq \mathbb{P}$  is generic, then  $p[T]^G = \mathbb{R}^G - p[S]^G$  (Koellner 2013). A is universally Baire, if it 187 is e-universally Baire for all e (op. cit.).

 $\Omega$ -Logic is sound, such that  $V \vdash_{\Omega} \phi \to V \models_{\Omega} \phi$ . However, the completeness 189 of  $\Omega$ -Logic has yet to be resolved.

Finally, in category theory, a category C is comprised of a class Ob(C) of objects 191 a family of arrows for each pair of objects C(A,B) (Venema 2007: 421). A functor from a category C to a category D, E: C  $\rightarrow$  D, is an operation mapping objects and 193 arrows of C to objects and arrows of D (422). An endofunctor on C is a functor, E: 194  $C \rightarrow C$  (op. cit.).

A E-coalgebra is a pair  $\mathbb{A} = (A, \mu)$ , with A an object of C referred to as the carrier of A, and  $\mu: A \to \mathbf{E}(A)$  is an arrow in C, referred to as the transition map of A (390).

 $\mathbb{A} = \langle A, \mu : A \to \mathbf{E}(A) \rangle$  is dually isomorphic to the category of algebras over the functor  $\mu$  (417–418). If  $\mu$  is a functor on categories of sets, then Boolean-algebraic 200 models of  $\Omega$ -logical validity are isomorphic to coalgebraic models.

The significance of the foregoing is that coalgebraic models may themselves be 202 availed of in order to define modal logic and automata theory. Coalgebras provide 203 therefore a setting in which the Boolean-valued models of set theory, the modal 204 profile of  $\Omega$ -logical validity, and automata can be interdefined. In what follows,  $\mathbb{A}$  205 will comprise the coalgebraic model – dually isomorphic to the complete Boolean- 206 valued algebras defined in the  $\Omega$ -Logic of ZFC – in which modal similarity types 207 and automata are definable. As a coalgebraic model of modal logic, A can be defined 208 as follows (407):

4 Modal Ω-Logic: Automata, Neo-Logicism, and Set-Theoretic Realism

For a set of formulas,  $\Phi$ , let  $\nabla \Phi := \Box \vee \Phi \wedge \wedge \Diamond \Phi$ , where  $\Diamond \Phi$  denotes the set 210  $\{ \diamond \phi \mid \phi \in \Phi \text{ (op. cit.)}. \text{ Then,}$  $\diamond \phi \equiv \nabla \{\phi, T\},$ 212  $\Box \phi \equiv \nabla \varnothing \vee \nabla \phi \text{ (op. cit.)}.$ 213

Let an E-coalgebraic modal model,  $\mathbb{A} = \langle S, \lambda, R[.] \rangle$ , such that  $\mathbb{S}, s \Vdash \nabla \Phi$  if and 214 only if, for all (some) successors  $\sigma$  of  $s \in S$ ,  $[\Phi, \sigma(s) \in \mathbf{E}(\Vdash_{\mathbb{A}})]$  (op. cit.).

A coalgebraic model of deterministic automata can be thus defined (391). An 216 automaton is a tuple,  $\mathbb{A} = \langle A, a_I, C, \delta, F \rangle$ , such that A is the state space of 217 the automaton  $\mathbb{A}$ ;  $a_I \in A$  is the automaton's initial state; C is the coding for the 218 automaton's alphabet, mapping numerals to properties of the natural numbers;  $\delta$ : 219 A X C  $\rightarrow$  A is a transition function, and F  $\subseteq$  A is the collection of admissible 220 states, where F maps A to  $\{1,0\}$ , such that F: A  $\rightarrow$  1 if a  $\in$  F and A  $\rightarrow$  0 if a  $\notin$  F 221 (op. cit.). The determinacy of coalgebraic automata, the category of which is dually 222 isomorphic to the Set category satisfying  $\Omega$ -logical consequence, is secured by the 223 existence of Woodin cardinals: Assuming ZFC, that  $\lambda$  is a limit of Woodin cardinals. 224 that there is a generic, set-forcing extension  $G \subseteq$  the collapse of  $\omega < \lambda$ , and that 225  $\mathbb{R}^* = \bigcup \{ \mathbb{R}^G [a] | a < \lambda \}$ , then  $\mathbb{R}^* \models$  the axiom of determinacy (AD) (Koellner and 226) Woodin, op. cit.: 10).

Finally,  $\mathbb{A} = \langle A, \alpha : A \to \mathbf{E}(A) \rangle$  is dually isomorphic to the category of algebras 228 over the functor  $\alpha$  (417–418). For a category C, object A, and endofunctor E, 229 define a new arrow,  $\alpha$ , s.t.  $\alpha$ :EA  $\rightarrow$  A. A homomorphism, f, can further be 230 defined between algebras  $\langle A, \alpha \rangle$ , and  $\langle B, \beta \rangle$ . Then, for the category of algebras, the following commutative square can be defined: (i) EA  $\rightarrow$  EB (E f); (ii) EA  $\rightarrow$ A  $(\alpha)$ ; (iii) EB  $\rightarrow$  B  $(\beta)$ ; and (iv) A  $\rightarrow$  B (f) (cf. Hughes, 2001: 7–8). The same 233 commutative square holds for the category of coalgebras, such that the latter are 234 defined by inverting the direction of the morphisms in both (ii)  $[A \to EA (\alpha)]$ , and 235 (iii)  $[B \rightarrow EB (\beta)]$  (op. cit.). 236

227

239

240

241

Thus, A is the coalgebraic category for modal, deterministic automata, dually 237 isomorphic to the complete Boolean-valued algebraic models of  $\Omega$ -logical validity, 238 as defined in the category of sets.

## **Epistemic Modal Algebras and the Computational** Theory of Mind

Beyond the remit of Boolean-valued models of set-theoretic languages, models of 242 epistemic modal algebras are availed of by a number of paradigms in contemporary 243 empirical theorizing, including the computational theory of mind and the theory 244 of quantum computability. In Epistemic Modal Algebra, the topological boolean 245 algebra, A, can be formed by taking the powerset of the topological space, X, defined 246 above; i.e., A = P(X). The domain of A is comprised of formula-terms – eliding 247 propositions with names – assigned to elements of P(X), where the proposition- 248 letters are interpreted as encoding states of information. The top element of the 249

289

algebra is denoted '1' and the bottom element is denoted '0'. We interpret modal 250 operators, f(x), – i.e., intensional functions in the algebra – as both concerning 251 topological interiority, as well as reflecting epistemic possibilities. An Epistemic 252 Modal-valued Algebraic structure has the form,  $F = \langle A, D_{P(X)}, \rho \rangle$ , where  $\rho$  is 253 a mapping from points in the topological space to elements or regions of the 254 algebraic structure; i.e.,  $\rho: D_{P(X)} \times D_{P(X)} \to A$ . A model over the Epistemic-Modal Topological Boolean Algebraic structure has the form  $M = \langle F, V \rangle$ , where 256  $V(a) < \rho(a)$  and  $V(a,b) \wedge \rho(a,b) < V(b)$ . For all  $x_x/a \phi, y \in A$ :

```
f(1) = 1:
                                                                                                             258
f(x) \leq x;
                                                                                                             259
f(x \wedge y) = f(x) \wedge f(y);
                                                                                                             260
f[f(x)] = f(x);
                                                                                                             261
V(a, a) > 0;
                                                                                                             262
V(a, a) = 1;
                                                                                                             263
V(a, b) = V(b, a);
                                                                                                             264
V(a, b) \wedge V(b, c) \leq V(a, c);
                                                                                                             265
V(a = a) = \rho(a, a);
                                                                                                             266
V(a, b) < f[V(a, b)];
                                                                                                             267
V(\neg \phi) = \rho(\neg \phi) - f(\phi);
                                                                                                             268
V(\diamond \phi) = \rho \phi - f[-V(\phi)];
                                                                                                             269
V(\Box \phi) = f[V(\phi)] (cf. Lando, op. cit.).
                                                                                                             270
```

Marcus (2001) argues that mental representations can be treated as algebraic 271 rules characterizing the computation of operations on variables, where the values 272 of a target domain for the variables are universally quantified over and the function 273 is one-one, mapping a number of inputs to an equivalent number of outputs (35–36). 274 Models of the above algebraic rules can be defined in both classical and weighted, 275 connectionist systems: Both a single and multiple nodes can serve to represent the 276 variables for a target domain (42–45). Temporal synchrony or dynamic variable- 277 bindings are stored in short-term working memory (56-57), while information 278 relevant to long-term variable-bindings are stored in registers (54–56). Examples 279 of the foregoing algebraic rules on variable-binding include both the syntactic 280 concatenation of morphemes and noun phrase reduplication in linguistics (37-39, 281 70–72), as well as learning algorithms (45–48). Conditions on variable-binding 282 are further examined, including treating the binding relation between variables 283 and values as tensor products - i.e., an application of a multiplicative axiom 284 for variables and their values treated as vectors (53-54, 105-106). In order to 285 account for recursively formed, complex representations, which he refers to as 286 structured propositions, Marcus argues instead that the syntax and semantics of such 287 representations can be modeled via an ordered set of registers, which he refers to as 'treelets' (108).

<sup>&</sup>lt;sup>8</sup>See Lando (2015), McKinsey (1944) and Rasiowa (1963), for further details.

<sup>&</sup>lt;sup>9</sup>Note that, in cases of Boolean-valued epistemic topological algebras, models of corresponding coalgebras will be topological (cf. Takeuchi 1985 for further discussion).

4 Modal Ω-Logic: Automata, Neo-Logicism, and Set-Theoretic Realism

A strengthened version of the algebraic rules on variable-binding can be accommodated in models of epistemic modal algebras, when the latter are augmented 291 by cylindrifications, i.e., operators on the algebra simulating the treatment of 292 quantification, and diagonal elements. 10 By contrast to Boolean Algebras with 293 Operators, which are propositional, cylindric algebras define first-order logics. 294 Intuitively, valuation assignments for first-order variables are, in cylindric modal 295 logics, treated as possible worlds of the model, while existential and universal 296 quantifiers are replaced by, respectively, possibility and necessity operators (\$\phi\$ and 297  $\square$ ) (Venema 2013: 249). For first-order variables,  $\{v_i \mid i < \alpha\}$  with  $\alpha$  an arbitrary, fixed ordinal,  $v_i = v_j$  is replaced by a modal constant  $\mathbf{d}_{i,j}$  (op. cit: 250). The following clauses are valid, then, for a model, M, of cylindric modal logic, with  $E_{i,j}$  a monadic predicate and  $T_i$  for i, j <  $\alpha$  a dyadic predicate:

```
M, w \Vdash p \iff w \in V(p);
                                                                                                                            302
M, w \Vdash \mathbf{d}_{i,j} \iff w \in E_{i,j};
                                                                                                                            303
M, w \Vdash \diamond_i \psi \iff \text{there is a v with } wT_i v \text{ and } M, v \vdash \psi (252).
                                                                                                                            304
```

301

Finally, a cylindric modal algebra of dimension  $\alpha$  is an algebra,  $\mathbb{A} = \langle A, +, \bullet, \cdot \rangle$ -, 0, 1,  $\diamond_i$ ,  $\mathbf{d}_{ij}\rangle_{i,j<\alpha}$ , where  $\diamond_i$  is a unary operator which is normal ( $\diamond_i 0 = 0$ ) and 306 additive  $[\diamond_i(x + y) = \diamond_i x + \diamond_i y)]$  (257). 307

The philosophical interest of cylindric modal algebras to Marcus' cognitive 308 models of algebraic variable-binding is that variable substitution is treated in the 309 modal algebras as a modal relation, while universal quantification is interpreted as 310 necessitation. The interest of translating universal generalization into operations of 311 epistemic necessitation is, finally, that - by identifying epistemic necessity with 312 apriority – both the algebraic rules for variable-binding and the recursive formation 313 of structured propositions can be seen as operations, the implicit knowledge of 314 which is apriori. 315

In quantum information theory, let a constructor be a computation defined over 316 physical systems. Constructors entrain nomologically possible transformations from 317 admissible input states to output states (cf. Deutsch 2013). On this approach, 318

<sup>&</sup>lt;sup>10</sup>See Henkin et al (op. cit.: 162–163) for the introduction of cylindric algebras, and for the axioms governing the cylindrification operators.

<sup>&</sup>lt;sup>11</sup>Cylindric frames need further to satisfy the following axioms (op. cit.: 254):

<sup>1.</sup>  $p \rightarrow \diamond_i p$ 

<sup>2.</sup>  $p \rightarrow \Box_i \diamond_i p$ 

<sup>3.</sup>  $\diamond_i \diamond_i p \rightarrow \diamond_i p$ 

<sup>4.</sup>  $\diamond_i \diamond_i p \rightarrow \diamond_i \diamond_i p$ 

<sup>5.</sup>  $\mathbf{d}_{i,i}$ 

<sup>6.</sup>  $\diamond_i(\mathbf{d}_{i,j} \wedge \mathbf{p}) \rightarrow \Box_i(\mathbf{d}_{i,j} \rightarrow \mathbf{p})$ 

<sup>[</sup>Translating the diagonal element and cylindric (modal) operator into, respectively, monadic and dyadic predicates and universal quantification:  $\forall xyz[(T_ixy \land E_{i,j}y \land T_ixz \land E_{i,j}z) \rightarrow y = z]$ (op. cit.)]

<sup>7.</sup>  $\mathbf{d}_{i,j} \iff \diamond_k(\mathbf{d}_{i,k}], \wedge \mathbf{d}_{k,j}).$ 

344

345

information is defined in terms of constructors, i.e., intensional computational 319 properties. The foregoing transformations, as induced by constructors, are referred 320 to as tasks. Because constructors encode the counterfactual to the effect that, were 321 an initial state to be computed over, then the output state would result, modal 322 notions are thus constitutive of the definition of the tasks at issue. There are, further, 323 both topological and algebraic aspects of the foregoing modal approach to quantum 324 computation. 12 The composition of tasks is formed by taking their union, where the 325 union of tasks can be satisfiable while its component tasks might not be. Suppose. 326 e.g., that the information states at issue concern the spin of a particle. A spin-state 327 vector will be the sum of the probabilities that the particle is spinning either upward 328 or downward. Suppose that there are two particles which can be spinning either 329 upward or downward. Both particles can be spinning upward; spinning downward; 330 particle-1 can be spinning upward while particle-2 spins downward; and vice versa. 331 The state vector, V which records the foregoing possibilities – i.e., the superposition 332 of the states – will be equal to the product of the spin-state of particle-1 and the 333 spin-state of particle-2. If the particles are both spinning upward or both spinning 334 downward, then V will be .5. However – relative to the value of each particle 335 vector, referred to as its eigenvalue – the probability that particle-1 will be spinning 336 upward is .5 and the probability that particle-2 will be spinning downward is .5, 337 such that the probability that both will be spinning upward or downward =  $.5 \times .5$  338 = .25. Considered as the superposition of the two states, V will thus be unequal to 339 the product of their eigenvalues, and is said to be entangled. If the indeterminacy 340 evinced by entangled states is interpreted as inconsistency, then the computational 341 properties at issue might further have to be defined on a distribution of epistemic 342 possibilities which permit of hyperintensional distinctions. <sup>13</sup>

#### Modal Coalgebraic Automata and the Philosophy of 4.4 **Mathematics**

This section examines the philosophical significance of the Boolean-valued models 346 of set-theoretic languages and the modal coalgebraic automata to which they are 347 dually isomorphic. I argue that, similarly to second-order logical consequence, 348 (i) the 'mathematical entanglement' of  $\Omega$ -logical validity does not undermine its 349 status as a relation of pure logic; and (ii) both the modal profile and model- 350 theoretic characterization of Ω-logical consequence provide a guide to its epistemic 351

<sup>&</sup>lt;sup>12</sup>For an examination of the interaction between topos theory and an S4 modal axiomatization of computable functions, see Awodey et al. (2000).

<sup>&</sup>lt;sup>13</sup>The nature of the indeterminacy in question is examined in Saunders and Wallace (2008), Deutsch (2010), Hawthorne (2010), Wilson (2011), Wallace (2012: 287–289), Lewis (2016: 277– 278), and Khudairi (ms). For a thorough examination of approaches to the ontology of quantum mechanics, see Arntzenius (2012: ch. 3).

4 Modal Ω-Logic: Automata, Neo-Logicism, and Set-Theoretic Realism

tractability.  $^{14}$  I argue, then, that there are several considerations adducing in favor of the claim that the interpretation of the concept of set constitutively involves modal notions. The role of the category of modal coalegebraic deterministic automata in (i) characterizing the modal profile of  $\Omega$ -logical consequence, and (ii) being seconstitutive of the formal understanding-conditions for the concept of set, provides, sethen, support for a realist conception of the cumulative hierarchy.

### 4.4.1 Neo-Logicism

Frege's (1884/1980; 1893/2013) proposal – that cardinal numbers can be explained by specifying an equivalence relation, expressible in the signature of second-order logic and identity, on lower-order representatives for higher-order entities – is the first attempt to provide a foundation for mathematics on the basis of logical axioms rather than rational or empirical intuition. In Frege (1884/1980. cit.: 68) and Wright (1983: 104–105), the number of the concept, **A**, is argued to be identical to the number of the concept, **B**, if and only if there is a one-to-one correspondence between **A** and **B**, i.e., there is a bijective mapping, **R**, from **A** to **B**. With Nx: a numerical term-forming operator,

358

•  $\forall \mathbf{A} \forall \mathbf{B} \exists \mathbf{R}[[\mathbf{N}x: \mathbf{A} = \mathbf{N}x: \mathbf{B} \equiv \exists \mathbf{R}[\forall x[\mathbf{A}x \rightarrow \exists y(\mathbf{B}y \land \mathbf{R}xy \land \forall z(\mathbf{B}z \land \mathbf{R}xz \rightarrow y \ 368 \ = z))] \land \forall y[\mathbf{B}y \rightarrow \exists x(\mathbf{A}x \land \mathbf{R}xy \land \forall z(\mathbf{A}z \land \mathbf{R}zy \rightarrow x = z))]]].$  369

Frege's Theorem states that the Dedekind-Peano axioms for the language of 370 arithmetic can be derived from the foregoing abstraction principle, as augmented 371 to the signature of second-order logic and identity. Thus, if second-order logic 372 may be counted as pure logic, despite that domains of second-order models are 373 definable via power set operations, then one aspect of the philosophical significance 374 of the abstractionist program consists in its provision of a foundation for classical 375 mathematics on the basis of pure logic as augmented with non-logical implicit 376 definitions expressed by abstraction principles.

There are at least three reasons for which a logic defined in ZFC might not 378 undermine the status of its consequence relation as being logical. The first reason for 379 which the mathematical entanglement of  $\Omega$ -logical validity might be innocuous is 380 that, as Shapiro (1991: 5.1.4) notes, many mathematical properties cannot be defined 381 within first-order logic, and instead require the expressive resources of second-order 382 logic. For example, the notion of well-foundedness cannot be expressed in a first-order framework, as evinced by considerations of compactness. Let E be a binary 384 relation. Let E be a well-founded model, if there is no infinite sequence, E0, . . . , 385

<sup>&</sup>lt;sup>14</sup>The phrase, 'mathematical entanglement', is owing to Koellner (2010: 2).

<sup>&</sup>lt;sup>15</sup>Cf. Dedekend (1888/1963) and Peano (1889/1967). See Wright (1983: 154–169) for a proof sketch of Frege's theorem; Boolos (1987) for the formal proof thereof; and Parsons (1964) for an incipient conjecture of the theorem's validity.

409

414

422

 $a_i$ , such that  $Ea_0, \ldots, Ea_{i+1}$  are all true. If m is well-founded, then there are no infinite-descending E-chains. Suppose that T is a first-order theory containing m, and that, for all natural numbers, n, there is a T with n + 1 elements,  $a_0, \ldots, a_n$ , such that  $\langle a_0, a_1 \rangle, \ldots, \langle a_n, a_{n-1} \rangle$  are in the extension of E. By compactness, there is an infinite sequence such that  $a_0 \dots a_i$ , s.t.  $Ea_0, \dots, Ea_{i+1}$  are all true. So, m is 390 not well-founded.

By contrast, however, well-foundedness can be expressed in a second-order 392 framework:

 $\forall X[\exists x Xx \rightarrow \exists x[Xx \land \forall y(Xy \rightarrow \neg Eyx)]]$ , such that m is well-founded iff 394 every non-empty subset X has an element x, s.t. nothing in X bears E to x.

One aspect of the philosophical significance of well-foundedness is that it 396 provides a distinctively second-order constraint on when the membership relation in 397 a given model is intended. This contrasts with Putnam's (1980) claim, that first-order 398 models mod can be intended, if every set s of reals in mod is such that an  $\omega$ -model in 399 mod contains s and is constructible, such that – given the Downward Lowenheim- 400 Skolem theorem $^{16}$  – if mod is non-constructible but has a submodel satisfying 's 401 is constructible', then the model is non-well-founded and yet must be intended. 402 The claim depends on the assumption that general understanding-conditions and 403 conditions on intendedness must be co-extensive, to which I will return in Sect. 4.4.2 404

A second reason for which Ω-logic's mathematical entanglement might not 405 be pernicious, such that the consequence relation specified in the Ω-logic might 406 be genuinely logical, may again be appreciated by its comparison with second- 407 order logic. Shapiro (1998) defines the model-theoretic characterization of logical 408 consequence as follows:

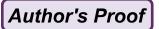
'(10)  $\Phi$  is a logical consequence of [a model]  $\Gamma$  if  $\Phi$  holds in all possibilities 410 under every interpretation of the nonlogical terminology which holds in  $\Gamma$ ' (148).

A condition on the foregoing is referred to as the 'isomorphism property', 412 according to which 'if two models M, M' are isomorphic vis-a-vis the nonlogical 413 items in a formula  $\Phi$ , then M satisfies  $\Phi$  if and only if M' satisfies  $\Phi$ ' (151).

Shapiro argues, then, that the consequence relation specified using second-order 415 resources is logical, because of its modal and epistemic profiles. The epistemic 416 tractability of second-order validity consists in 'typical soundness theorems, where 417 one shows that a given deductive system is 'truth-preserving' (154). He writes that: 418 '[I]f we know that a model is a good mathematical model of logical consequence 419 (10), then we know that we won't go wrong using a sound deductive system. Also, 420 we can know that an argument is a logical consequence . . . via a set-theoretic proof 421 in the metatheory' (154–155).

The modal profile of second-order validity provides a second means of accounting for the property's epistemic tractability. Shapiro argues, e.g., that: 'If the 424 isomorphism property holds, then in evaluating sentences and arguments, the only 425 'possibility' we need to 'vary' is the size of the universe. If enough sizes are 426

<sup>&</sup>lt;sup>16</sup>For any first-order model M, M has a submodel M' whose domain is at most denumerably infinite, s.t. for all assignments s on, and formulas  $\phi(x)$  in, M', M,  $s \Vdash \phi(x) \iff M'$ ,  $s \Vdash \phi(x)$ .



4 Modal Ω-Logic: Automata, Neo-Logicism, and Set-Theoretic Realism

represented in the universe of models, then the modal nature of logical consequence 427 will be registered ... [T]he only 'modality' we keep is 'possible size', which is 428 relegated to the set-theoretic metatheory' (152).

Shapiro's remarks about the considerations adducing in favor of the logicality 430 of non-effective, second-order validity generalize to  $\Omega$ -logical validity. In the 431 previous section, the modal profile of  $\Omega$ -logical validity was codified by the dual 432 isomorphism between complete Boolean-valued algebraic models of Ω-logic and 433 the category, A, of coalgebraic modal logics. As with Shapiro's definition of logical 434 consequence, where  $\Phi$  holds in all possibilities in the universe of models and 435 the possibilities concern the 'possible size' in the set-theoretic metatheory, the  $\Omega$ - 436 Conjecture states that  $V \models_{\Omega} \phi$  iff  $V^{\mathbb{B}} \models_{\Omega} \phi$ , such that  $\Omega$ -logical validity is invariant 437 in all set-forcing extensions of ground models in the set-theoretic multiverse.

Finally, the epistemic tractability of  $\Omega$ -logical validity is secured, both – as on 439 Shapiro's account of second-order logical consequence – by its soundness, but also 440 by its isomorphism to the coalgebraic category of deterministic automata, where the 441 determinacy thereof is again secured by the existence of Woodin cardinals.

#### 4.4.2 Set-Theoretic Realism

In this section, I argue, finally, that the modal profile of  $\Omega$ -logic can be availed of in order to account for the understanding-conditions of the concept of set, and thus 445 crucially serve as part of the argument for set-theoretic realism. 446

Putnam (op. cit.: 473–474) argues that defining models of first-order theories is 447 sufficient for both understanding and specifying an intended interpretation of the 448 latter. Wright (1985: 124-125) argues, by contrast, that understanding-conditions 449 for mathematical concepts cannot be exhausted by the axioms for the theories 450 thereof, even on the intended interpretations of the theories. He suggests, e.g., that: 451

'[I]f there really were uncountable sets, their existence would surely have to flow 452 from the concept of set, as intuitively satisfactorily explained. Here, there is, as it 453 seems to me, no assumption that the content of the ZF-axioms cannot exceed what is 454 invariant under all their classical models. [Benacerraf] writes, e.g., that: 'It is granted 455 that they are to have their 'intended interpretation': 'e' is to mean set-membership. 456 Even so, and conceived as encoding the intuitive concept of set, they fail to entail 457 the existence of uncountable sets. So how can it be true that there are such sets? 458 Benacerraf's reply is that the ZF-axioms are indeed faithful to the relevant informal 459 notions only if, in addition to ensuring that 'E' means set-membership, we interpret 460 them so as to observe the constraint that 'the universal quantifier has to mean all or at 461 least all sets' (p. 103). It follows, of course, that if the concept of set does determine 462 a background against which Cantor's theorem, under its intended interpretation, is 463 sound, there is more to the concept of set that can be explained by communication of 464 the intended sense of 'e' and the stipulation that the ZF-axioms are to hold. And the 465 residue is contained, presumably, in the informal explanations to which, Benacerraf 466 reminds us, Zermelo intended his formalization to answer. At least, this must be so if 467

443

442

438

482

483

484

487

490

491

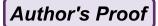
492

493

494

495

107



the 'intuitive concept of set' is capable of being explained at all. Yet it is notable that 468 Benacerraf nowhere ventures to supply the missing informal explanation – the story 469 which will pack enough into the extension of 'all sets' to yield Cantor's theorem. 470 under its intended interpretation, as a highly non-trivial corollary' (op. cit).

In order to provide the foregoing explanation in virtue of which the concept of set 472 can be shown to be associated with a realistic notion of the cumulative hierarchy, I 473 will argue that there are several points in the model theory and epistemology of set-474 theoretic languages at which the interpretation of the concept of set constitutively 475 involves modal notions. The aim of the section will thus be to provide a modal 476 foundation for mathematical platonism.

One point is in the coding of the signature of the theory, T, in which Gödel's 478 incompleteness theorems are proved (cf. Halbach and Visser 2014). Relative to,

- (i) a choice of coding for an  $\omega$ -complete, recursively axiomatizable language, L, of T – i.e. a mapping between properties of numbers and properties of terms and formulas in L:
- (ii) a predicate, phi; and
- (iii) a fixed-point construction:

Let phi express the property of 'being provable', and define (iii) such that, 485 for all consistent theories T of L, there are sentences,  $p_{phi}$ , corresponding to 486 each formula, phi(x), in T, s.t. for 'm' :=  $p_{phi}$ ,

 $\vdash_T p_{phi}$  iff phi(m).

One can then construct a sentence, 'm' :=  $\neg phi(m)$ , such that L is incomplete (the first incompleteness theorem).

Moreover, L cannot prove its own consistency:

If:

 $\vdash_T$  'm' iff  $\neg phi(m)$ ,

Then:

I-T C  $\rightarrow$  m

Thus, L is consistent only if L is inconsistent (the second incompleteness 496 theorem).

In the foregoing, the choice of coding bridges the numerals in the language 498 with the properties of the target numbers. The choice of coding is therefore 499 intensional, and has been marshalled in order to argue that the very notion of 500 syntactic computability – via the equivalence class of partial recursive functions, 501  $\lambda$ -definable terms, and the transition functions of discrete-state automata such as 502 Turing machines – is constitutively semantic (cf. Rescorla 2015). Further points 503 at which intensionality can be witnessed in the phenomenon of self-reference in 504 arithmetic are introduced by Reinhardt (1986). Reinhardt (op. cit.: 470-472) argues 505 that the provability predicate can be defined relative to the minds of particular agents 506 - similarly to Quine's (1968) and Lewis' (1979) suggestion that possible worlds can 507 be centered by defining them relative to parameters ranging over tuples of spacetime 508 coordinates or agents and locations – and that a theoretical identity statement can be 509 established for the concept of the foregoing minds and the concept of a computable 510 system.

4 Modal Ω-Logic: Automata, Neo-Logicism, and Set-Theoretic Realism

In the previous section, intensional computational properties were defined via 512 modal coalgebraic deterministic automata, where the coalgebraic categories are 513 dually isomorphic to the category of sets in which  $\Omega$ -logical validity was defined. 514 Coalgebraic modal logic was shown to elucidate the modal profile of  $\Omega$ -logical consequence in the Boolean-valued algebraic models of set theory. The intensionality 516 witnessed by the choice of coding may therefore be further witnessed by the modal 517 automata specified in the foregoing coalgebraic logic. 518

A second point at which understanding-conditions may be shown to be constitutively modal can be witnessed by the conditions on the epistemic entitlement to assume that the language in which Gödel's second incompleteness theorem is proved is consistent (cf. Dummett 1963/1978; Wright 1985). Wright (op. cit.: 91, 522 fn.9) suggests that '[T]o treat [a] proof as establishing consistency is implicitly to exclude any doubt ... about the consistency of first-order number theory'. 524 Wright's elaboration of the notion of epistemic entitlement, appeals to a notion of rational 'trust', which he argues is recorded by the calculation of 'expected epistemic utility' in the setting of decision theory (2004; 2014: 226, 241). Wright notes that the rational trust subserving epistemic entitlement will be pragmatic, sand makes the intriguing point that 'pragmatic reasons are not a special genre of reason, to be contrasted with e.g. epistemic, prudential, and moral reasons' (2012: 530 484). Crucially, however, the very idea of expected epistemic utility in the setting of decision theory makes implicit appeal to the notion of possible worlds, where the sal latter can again be determined by the coalgebraic logic for modal automata.

A third consideration adducing in favor of the thought that grasp of the concept of set might constitutively possess a modal profile is that the concept can be defined as an intension – i.e., a function from possible worlds to extensions. The modal similarity types in the coalgebraic modal logic may then be interpreted as dynamic-interpretational modalities, where the dynamic-interpretational modal operator has been argued to entrain the possible reinterpretations both of the domains of the theory's quantifiers (cf. Fine 2005, 2006), as well as of the intensions of non-logical concepts, such as the membership relation (cf. Uzquiano 2015). 17

The fourth consideration avails directly of the modal profile of  $\Omega$ -logical 542 consequence. While the above dynamic-interpretational modality will suffice for 543

<sup>&</sup>lt;sup>17</sup>For an examination of the philosophical significance of modal coalgebraic automata beyond the philosophy of mathematics, see Baltag (2003). Baltag (op. cit.) proffers a colagebraic semantics for dynamic-epistemic logic, where coalgebraic functors are intended to record the informational dynamics of single- and multi-agent systems. For an algebraic characterization of dynamic-epistemic logic, see Kurz and Palmigiano (2013). For further discussion, see Khudairi (ms). The latter proceeds by examining undecidable sentences via the epistemic interpretation of multi-dimensional intensional semantics. See Reinhardt (1974), for a similar epistemic interpretation of set-theoretic languages, in order to examine the reduction of the incompleteness of undecidable sentences on the counterfactual supposition that the language is augmented by stronger axioms of infinity; and Maddy (1988b), for critical discussion. Chihara (2004) argues, as well, that conceptual possibilities can be treated as imaginary situations with regard to the construction of open-sentence tokens, where the latter can then be availed of in order to define nominalistically adequate arithmetic properties.

possible reinterpretations of mathematical terms, the absoluteness and generic 544 invariance of the consequence relation is such that, if the  $\Omega$ -conjecture is true, then 545  $\Omega$ -logical validity is invariant in all possible set-forcing extensions of ground models 546 in the set-theoretic multiverse. The truth of the  $\Omega$ -conjecture would thereby place 547 an indefeasible necessary condition on a formal understanding of the intension for 548 the concept of set. 549

### 4.5 Concluding Remarks

In this essay I have examined the philosophical significance of the isomorphism between Boolean-valued algebraic models of modal  $\Omega$ -logic and modal coalgebraic models of automata. I argued that – as with the property of validity in second-order logic –  $\Omega$ -logical validity is genuinely logical, and thus entails a type of neo-logicism in the foundations of mathematics. I argued, then, that modal coalgebraic deterministic automata, which characterize the modal profile of  $\Omega$ -logical consequence, are constitutive of the interpretation of mathematical concepts such as the membership relation. The philosophical significance of modal  $\Omega$ -logic is thus that it can be availed of to vindicate both a neo-logicist foundation for set theory and a realist interpretation of the cumulative hierarchy of sets.

References 561

Arntzenius, F. 2012. Space, Time, and Stuff. Oxford: Oxford University Press.	562
Awodey, S., L. Birkedal, and D. Scott. 2000. Local Realizability Toposes and a Modal Logic for	563
Computability. Technical Report No. CMU-PHIL-99.	564
Bagaria, J., N. Castells, and P. Larson. 2006. An Ω-logic Primer. Trends in Mathematics: Set	565
Theory. Basel: Birkhäuser Verlag.	566
Baltag, A. 2003. A coalgebraic semantics for epistemic programs. <i>Electronic Notes in Theoretical</i>	567
Computer Science 82: 1.	568
Boolos, G. 1987. The Consistency of Frege's Foundations of Arithmetic. In On Being and Saying,	569
ed. J.J. Thomson. MIT Press.	570
Chihara, C. 2004. A Structural Account of Mathematics. Oxford: Oxford University Press.	571
Dedekend, R. 1888/1963. Was sind und was sollen die Zahlen? In Essays on the Theory of	572
Numbers. Trans. and ed. W. Beman. New York: Dover.	573
Deutsch, D. 2010. Apart from Universes. In Many Worlds? Everett, Quantum Theory, and Reality,	574
ed. S. Saunders, J. Barrett, A. Kent, and D. Wallace. Oxford: Oxford University Press.	575
Deutsch, D. 2013. Constructor theory. Synthese 190: 4331–4359.	576
Dummett, M. 1963/1978. The Philosophical Significance of Gödel's Theorem. In Truth and Other	577
Enigmas, ed. M. Dummett. Cambridge: Harvard University Press.	578
Fine, K. 2005. Our Knowledge of Mathematical Objects. In Oxford Studies in Epistemology, vol. 1,	579
ed. T. Gendler and J. Hawthorne. Oxford: Oxford University Press.	580
Fine, K. 2006. Relatively Unrestricted Quantification. In <i>Absolute Generality</i> , ed. A. Rayo and G.	581
Uzquiano. Oxford: Oxford University Press.	582
Frege, G. 1884/1980. The Foundations of Arithmetic, 2nd ed. Trans. J.L. Austin. Northwestern	583
University Press	584

AQ3



AQ4

AQ5

4 Modal  $\Omega$ -Logic: Automata, Neo-Logicism, and Set-Theoretic Realism

	Frege, G. 1893/2013. <i>Basic Laws of Arithmetic</i> , vol. I–II. Trans. and ed. P. Ebert, M. Rossberg, C. Wright, and R. Cook. Oxford: Oxford University Press.	585 586
	Gödel, K. 1931/1986. On Formally Undecidable Propositions of <i>Principia Mathematica</i> and	
	Related Systems I. In <i>Collected Works</i> , vol. I, ed. S. Feferman, J. Dawson, S. Kleene, G. Moore,	588
	R. Solovay, and J. van Heijenoort. Oxford University Press.	589
	Gödel, K. 1946/1990. Remarks before the Princeton Bicentennial Conference on Problems in	590
	Mathematics. In Collected Works, vol. II, ed. S. Feferman, J. Dawson, S. Kleene, G. Moore, R.	591
	Solovay, and J. van Heijenoort. Oxford University Press.	592
	Halbach, V., and A. Visser. 2014. Self-reference in arithmetic I. Review of Symbolic Logic 7: 4.	593
	Hawthorne, J. 2010. A Metaphysician Looks at the Everett Interpretation. In Many Worlds? Everett,	594
	Quantum Theory, and Reality, ed. S. Saunders, J. Barrett, A. Kent, and D. Wallace. Oxford:	595
	Oxford University Press.	596
	Henkin, L., J.D. Monk, and A. Tarski. 1971. Cylindric Algebras, Part I. Amsterdam: North-	597
	Holland.	598
	Jech, T. 2003. Set Theory, 3rd Millennium ed. Berlin/Heidelberg: Springer.	599
	Kanamori, A. 2007. Gödel and set theory. Bulletin of Symbolic Logic 13: 2.	600
	Kanamori, A. 2008. Cohen and set theory. Bulletin of Symbolic Logic 14: 3.	601
	Kanamori, A. 2012a. Large Cardinals with Forcing. In Handbook of the History of Logic: Sets and	602
	Extensions in the Twentieth Century, ed. D. Gabbay, A. Kanamori, and J. Woods. Amsterdam:	603
	Elsevier.	604
	Kanamori, A. 2012b. Set theory from Cantor to Cohen. In <i>Handbook of the History of Logic:</i>	605
	Sets and Extensions in the Twentieth Century, ed. D. Gabbay, A. Kanamori, and J. Woods.	606
	Amsterdam: Elsevier.	607
	Koellner, P. 2010. On strong logics of first and second order. <i>Bulletin of Symbolic Logic</i> 16: 1.	608
	Koellner, P. 2013. Large Cardinals and Determinacy. In <i>Stanford Encyclopedia of Philosophy</i> . Koellner, P., and W.H. Woodin. 2010. Large Cardinals from Determinacy. In <i>Handbook of Set</i>	609
	Theory, vol. 3, ed. M. Foreman and A. Kanamori. Dordrecht/Heidelberg: Springer.	611
	Kurz, A., and A. Palmigiano. 2013. Epistemic updates on algebras. <i>Logical Methods in Computer</i>	612
	Science 9(4): 17.	613
	Lando, T. 2015. First order S4 and its measure-theoretic semantics. <i>Annals of Pure and Applied</i>	
	Logic 166: 187–218.	615
	Lewis, D. 1979. Attitudes De Dicto and De Se. Philosophical Review 88: 4.	616
	Lewis, P. 2016. Quantum Ontology. New York: Oxford University Press.	617
	Maddy, P. 1988a. Believing the axioms I. Journal of Symbolic Logic 53: 2.	618
	Maddy, P. 1988b. Believing the axioms II. Journal of Symbolic Logic 53: 3.	619
	Marcus, G. 2001. The Algebraic Mind: Integrating Connectionism and Cognitive Science.	620
	Cambridge: MIT Press.	621
	McKinsey, J., and A. Tarski. 1944. The algebra of topology. The Annals of Mathematics, Second	622
	Series 45: 1.	623
	Peano, G. 1889/1967. The Principles of Arithmetic, Presented by a New Method (Trans. J. van	
	Heijenoort). In J. van Heijenoort (1967).	625
	Putnam, H. 1980. Models and reality. <i>Journal of Symbolic Logic</i> 45: 3.	626
7	Quine, W.V. 1968. Propositional objects. <i>Crítica</i> 2: 5. Rasiowa, H. 1963. On modal theories. <i>Acta Philosophica Fennica</i> 16: 123–136.	627
	Reinhardt, W. 1974. Remarks on Reflection Principles, Large Cardinals, and Elementary Embed-	628
	dings. In Proceedings of Symposia in Pure Mathematics, Vol. 13, Part 2: Axiomatic Set Theory,	
	ed. T. Jech. American Mathematical Society.	631
	Reinhardt, W. 1986. Epistemic theories and the interpretation of Gödel's incompleteness theorems.	
	Journal of Philosophical Logic 15: 4.	633
	Rescorla, M. 2015. The representational foundations of computation. <i>Philosophia Mathematica</i> .	634
	https://doi.org/10.1093/philmat/nkv009	635
	Rittberg, C. 2015. How woodin changed his mind: new thoughts on the continuum hypothesis.	636
	Archive for History of Exact Sciences 69: 2.	637

Saunders, S., and D. Wallace. 2008. Branching and uncertainty. <i>British Journal for the Philosophy of Science</i> 59: 293–305.	638 639
Shapiro, S. 1991. Foundations Without Foundationalism. Oxford: Oxford University Press.	640
Shapiro, S. 1998. Logical Consequence: Models and Modality. In <i>The Philosophy of Mathematics</i>	641
Today, ed. M. Schirn. Oxford: Oxford University Press.	642
Takeuchi, M. 1985. Topological coalgebras. <i>Journal of Algebra</i> 97: 505–539.	643
Uzquiano, G. 2015. Varieties of indefinite extensibility. <i>Notre Dame Journal of Formal Logic</i> 58:	644
1.	645
Venema, Y. 2007. Algebras and coalgebras. In Handbook of Modal Logic, ed. P. Blackburn, J. van	646
Benthem, and F. Wolter. Amsterdam: Elsevier.	647
Venema, Y. 2013. Cylindric Modal Logic. In Cylindric-Like Algebras and Algebraic Logic,	648
ed. H. Andráka, M. Ferenczi, and I. Németi. Berlin/Heidelberg: János Bolyai Mathematical	649
Society/Springer.	650
Wallace, D. 2012. The Emergent Multiverse. Oxford: Oxford University Press.	651
Wilson, A. 2011. Macroscopic ontology in everettian quantum mechanics. <i>Philosophical Quarterly</i>	652
61: 243.	653
Woodin, W.H. 1999. The Axiom of Determinacy, Forcing Axioms, and the Nonstationary Ideal.	654
Berlin/New York, de Gruyter.	655
Woodin, W.H. 2010. Strong Axioms of Infinity and the Search for V. In Proceedings of the	656
International Congress of Mathematicians.	657
Woodin, W.H. 2011. The Realm of the Infinite. In Infinity: New Research Frontiers, ed. M. Heller	658
and W.H. Woodin. Cambridge: Cambridge University Press.	659
Woodin, W.H. ms. The $\Omega$ Conjecture.	660
Wright, C. 1983. Frege's Conception of Numbers as Objects. Aberdeen: Aberdeen University	661
Press.	662
Wright, C. 1985. Skolem and the sceptic. Proceedings of the Aristotelian Society, Supplementary	663
<i>Volume</i> 59: 85–138.	664
Wright, C. 2004. Warrant for nothing (and foundations for free)? Proceedings of the Aristotelian	665
Society, Supplementary Volume 78: 1.	666
Wright, C. 2012. Replies, Part IV: Warrant Transmission and Entitlement. In Mind, Meaning and	667
Knowledge, ed. A. Coliva. Oxford: Oxford University Press.	668
Wright, C. 2014. On Epistemic Entitlement II. In Scepticism and Perceptual Justification, ed. D.	669
Dodd and E. Zardini. New York: Oxford University Press.	670

AQ6

#### **AUTHOR QUERIES**

- AQ1. We have retain the "Footnote 1" in the section. Please check if okay.
- AQ2. Please provide details of "Woodin (2001), Gödel (1940), Cohen (1963, 1964), Hughes (2001) and Parsons (1964)" in reference list.
- AQ3. Please provide publisher location for "Boolos (1987), Reinhardt (1974), Frege (1884/1980), Gödel (1931/1986), and Gödel (1946/1990)".
- AQ4. Please cite "Henkin et al. (1971), Wright (2004), Wright (2012), and Wright (2014)" in text.
- AQ5. Please provide publisher details for "Koellner (2013) and Peano (1889/1967)".
- AQ6. Please provide conference location for "Woodin (2010)".