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#### **ORIGINAL CONTRIBUTIONS**



# Fractures in Adults After Weight Loss from Bariatric Surgery and Weight Management Programs for Obesity: Systematic Review and Meta-analysis

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#### **Abstract**

**Background** Weight loss interventions for obesity, such as bariatric surgery, are associated with reductions in bone mineral density and may increase the risk of fractures. We undertook a systematic review and meta-analysis of bariatric surgery and lifestyle weight management programs (WMPs) with fracture outcomes.

**Methods** We searched MEDLINE, Embase, the Cochrane Central Register of Controlled Trials from 1966 to 2018, and our trial registry of WMP randomized controlled trials (RCTs). We included RCTs, non-randomized trials, and observational studies of bariatric surgery, and RCTs of WMPs. Studies had follow-up  $\geq$  12 months, mean group body mass index  $\geq$  30 kg/m<sup>2</sup>. The primary outcome measure was incidence of any type of fracture in participants, and the secondary outcome was weight change. We used random effects meta-analysis for trial data.

**Results** Fifteen studies were included. Three small trials provided short-term evidence of the association between bariatric surgery and participants with any fracture (365 participants; RR 0.82; 95% CI 0.29 to 2.35). Four out of six observational studies of bariatric surgery demonstrated significantly increased fracture risk. Six RCTs of WMPs with 6214 participants, the longest follow-up 11.3 years, showed no clear effect on any type of fracture (RR 1.04; 95% CI 0.91 to 1.18), although authors of the largest RCT reported an increased risk of frailty fracture by their definition (RR 1.40; 95% CI 1.04 to 1.90).

**Conclusion** Bariatric surgery appears to increase the risk of any fracture; however, longer-term trial data are needed. The effect of lifestyle WMPs on the risk of any fracture is currently unclear.

**Keywords** Fractures · Bariatric surgery · Obesity · Weight loss

#### Introduction

While bariatric surgery for adults with obesity is effective for weight loss and reduces many obesity-related diseases, reports on long-term complications beyond mortality are currently limited [1]. Risk of malnutrition and malabsorption of fat-soluble vitamins including vitamin D, as well as increased bone turnover and reduced bone mineral density (BMD) after surgery [2, 3], could increase the risk of fracture. Lifestyle weight management programs (WMPs),

consisting of a variety of diets with or without exercise advice, are reported to be associated with a small reduction in total hip but not lumbar spine BMD measurements in observational data [4].

We undertook a systematic review of fracture outcome data from studies of bariatric surgery and lifestyle WMPs. Our aim was to examine whether weight loss increased the risk of participants sustaining any fracture, compared to adults with obesity who did not undergo bariatric surgery or undertake WMPs.

# **Materials and Methods**

We used a pre-specified protocol and followed PRISMA (Preferred Reporting Items for Systematic reviews and Meta-analyses) guidelines.

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### **Selection Criteria**

# **Bariatric Surgery Studies**

There are presently few randomized controlled trials (RCTs) reporting fracture data post-bariatric surgery compared to no surgery; therefore, we included non-randomized controlled trials and observational studies in adults ( $\geq 18$  years), with mean pre-surgery group body mass index (BMI)  $\geq 30$  kg/m<sup>2</sup>. Studies had a minimum follow-up  $\geq 1$  year.

#### Lifestyle Weight Management Programs

We included RCTs of WMPs of reducing diets with or without physical activity advice and/or programs to attend, versus usual care/no intervention. Studies had minimum follow-up  $\geq$  1 year, mean baseline group BMI  $\geq$  30 kg/m<sup>2</sup>, and mean group age  $\geq$  18 years.

#### **Outcomes**

Our primary outcome was participants with any fracture and our secondary outcome was weight change.

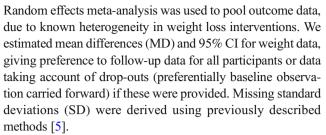
## Search Strategy

We searched full texts of trial reports in our database of long-term RCTs of lifestyle WMPs for adults, compiled from MEDLINE, Embase, and the Cochrane Central Register of Controlled Trials, from 1966 to 2016 [5, 6]. We performed an updated search from 2016 to July 2018 for WMP RCTs. Details of the search strategy (including for bariatric surgery) in MEDLINE can be found in Appendix A, which was adapted for other databases. We contacted the authors of eight WMP and bariatric surgery RCTs with bone mineral density data to request any additional unpublished fracture data.

# **Data Analysis**

AA and ADA/BRB independently confirmed study eligibility. ADA extracted data, which were checked by AA. AA and ADA independently assessed quality of RCTs and non-randomized trials using the Cochrane risk of bias tool [7] and for observational studies using the Newcastle-Ottawa Quality Assessment Scale [8]. All differences were resolved by discussion.

Owing to limited data, we combined data from RCTs and non-randomized controlled trials of bariatric surgery in metaanalyses, using Review Manager Software version 5.3. Risk ratios (RR) and 95% confidence intervals (95% CI) were calculated for dichotomous outcomes. Heterogeneity was assessed using the  $I^2$  test ( $I^2 > 50\%$  was considered significant heterogeneity) in conjunction with the chi-squared test.



Data from observational studies of bariatric surgery were not combined, but are discussed in a narrative review.

No external funding was provided. No ethical approval was required.

## **Results**

We screened 1174 full-text trial reports and 4153 titles and abstracts, as outlined in Fig. 1.

## **Quality Assessment**

#### **Bariatric Surgery Trials**

Appendix Table 3 provides our full risk of bias assessments for the three trials, two of which were RCTs. None were judged to be at low risk of bias for outcome assessment. We judged that there was a high risk of bias for incomplete outcome data due to high drop-out rates [9, 10], and the non-randomized controlled trial was potentially at a high risk of other bias due to the study being funded by industry [11].

All six observational studies of surgery (Appendix Table 4) were judged to be moderately representative of the average obese person in their communities. We judged the comparability of all of the studies in terms of controlling for factors associated with fractures to be acceptable; however, two of the studies failed to report numerical BMI data [12, 13].

# Lifestyle Intervention Studies

Three of the RCTs (see Appendix Table 3) were judged to be at low risk of bias for outcome assessment [14–16]. Three trials were also at low risk for both incomplete outcome data and selective reporting [15–17]. Two trials were judged to be potentially at high risk of bias due to premature termination [15] and industry sponsorship [18].

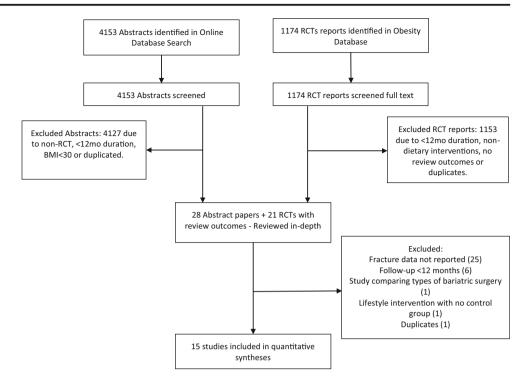
## **Study Characteristics**

#### **Bariatric Surgery Trials**

Two RCTs (Table 1) were from the USA [9, 10] and one non-randomized controlled trial was from Norway [11],



Fig. 1 Study selection



involving a total of 365 adults, mostly women (see Table 1). Roux-en-Y gastric bypass (RYGB) was used in all three trials, laparoscopic adjustable gastric banding (LAGB) in one [9] and laparoscopic sleeve gastrectomy (LSG) in one [10]. Both of the RCTs included participants with type 2 diabetes and associated comorbidities, and 27% of participants in the non-randomized trial had type 2 diabetes. At baseline, prior to bariatric surgical intervention, mean group ages ranged from 42.8–50.0 years and mean group BMI ranged from 35.3–46.7 kg/m². The maximum follow-up was only 2 years.

#### **Bariatric Surgery Observational Studies**

Table 2 provides details of the observational studies of bariatric surgery. There were 1872 fractures in 59,930 patients who underwent bariatric surgery versus 5408 fractures in 223,110 control patients, from the UK, Taiwan, and North America in one case-control study [13] and five cohort studies [7, 12, 20–23]. Each of the studies included patients undergoing a variety of restrictive and malabsorptive procedures, with the exception of one study of gastric bypass surgery only [20]. Trial participants had a wide range of comorbidities and were predominantly female. Where reported, group mean BMI was  $\geq$  40 kg/m² and group mean age < 50 years before surgery.

## Lifestyle WMP RCTs

Table 1 provides details of the six WMP RCTs involving 6214 adult participants [14–19]. The Look AHEAD trial

[15] was the largest study by far, with 5145 participants with type 2 diabetes followed for 11.3 years.

Five RCTs provided both diet and exercise advice (≥ 150 min of moderate physical activity per week) [14–17, 19] and one diet advice only [18]. Three trials [15–17] provided exercise programs for participants to attend. Two RCTs prescribed a calorie restriction of 1200–1800 kcal/day, which lasted between 27 and 115 months [15, 18]; two RCTs a calorie deficit of 500–1000 kcal/day [17, 19]; and two RCTs were unclear as to the calorie content prescribed [14, 16].

All six trials recruited participants with pre-existing comorbidities, with one trial enrolling participants with uncontrolled asthma where 32.1% of patients reported systemic corticosteroid use [19]. Five RCTs were conducted in the USA [14–17, 19] and one in Germany [18]. Two trials at baseline had group mean BMI  $\leq$  35 kg/m² [14, 18]. The studies recruited predominantly middle-aged adults, with the exception of Villareal and colleagues who recruited older adults (mean group age 69–70 years) [17]. Follow-up was usually  $\leq$  2 years, with the exception of the Look AHEAD trial with follow-up of 11.3 years [15]. The mean drop-out rate ranged from 3.4 to 38.0%, with the highest drop-out rate reported in the trial from Ditschuneit and colleagues [18].

#### **Data Analyses**

Appendix Table 5 provides details of the fractures reported and definitions of osteoporotic or frailty fractures, as defined by the investigators.



 Table 1
 Summary of bariatric surgery and weight loss trials

1200–1800  Based on meal plans  NR  Exercise a min of 3-4 times per week and to focus on weight-bearing, aerobic activity  NR  Moderate caloric restriction based on DASH diet  1200–1500 Balanced diet 1200–1500 NR 788–908 3-6 weeks preceding surgery NR  1200–1800  Pathony Lifestyle Balance Based on guidelines of the ADA and National Cholesterol Education program NR Group Lifestyle Balance Program <sup>TM</sup> Sol0–1000 kcal/d reductions, but daily total calories no less than 1200 kcal  NR	Author year location		Number	Intervention	Diet; calories kcal/day	Exercise minutes per/ week + intensity	% drop-out at the end of study	Follow-up months
Intervention 46 Rouxers Vigastric Passaric NR Exercise a min of 3-4 physics surgery NR Exercise a min of 3-4 physics surgery NR Exercise a min of 3-4 physics surgery Nath characteric beneficially with characteric propers not related to control of counciling and individual moderate caloric physical activity classes activity classes 1200-1500 — exercise physical activity classes 1200-1500 physica	Courcoulas et al. 2014 Dittehumb 118 A [0]	Control	23	Lifestyle weight loss	1200–1800 Based on most plans	300 min of moderate	26.1	12
Laptoresopic and Laptor	r iusouigu, OsA [9]	Intervention	46	Roux-en-Y gastric	Dascu on meat pians	Exercise a min of 3–4	17.4	12
control 147 Group health classes NR arrobic activity quarterly with topics not related to weight loss toweight and individual counseling and activity classes counseling and activity classes 1200–1500 - Control 50 Conventional foods Balanced diet activity classes 1200–1500 - Lifesyle modification NR and Control 2575 Diabetes support and Rabanced diet classes bypass surgery				bypass surgery Laparoscopic		times per week and to focus on		
Control 147 Group health classes NR – equarterly with optios not related to weight loss counseling and activity classes conscious and activity classes Control 50 Conventional foods 1200–1500 – exercise Lifestyle modification NR – exercise Control 2575 Diabetes support and NR – exercise Control 60 Coach lead exercise Group Lifestyle Balance Control 81 Usual care NR – education cereticien and self-directed Programmy Physical activity physical activity classes and self-directed Control 160 Coach lead exercise Group Lifestyle Balance 2150 min noderate physical activity control 165 Usual care NR – education program 160 Coach lead exercise Group Lifestyle Balance 2150 min moderate ceretise Group Lifestyle Balance 165 Usual care NR – education program 165 Weight loss 500–1000 keal/d 2150 min moderate physical activity total carlot of the physical activity total care NR – education not classification and self-directed Programmy Control 165 Weight loss 500–1000 keal/d 2150 min moderate intervention 165 Weight loss 500–1000 keal/d 2150 min moderate through healthy total carlories no less than 1200 keal				adjustable gastric banding		weignt-bearing, aerobic activity		
Intervention 144 Group and individual Moderate caloric 2 150 min/week of vegith loss counseling and counseling and counseling and counseling and activity classes activity classes 1200–1500 — activity classes 1200–1500 — conventional foods 1200–1500 — control 66 Lifestyle modification 788–908 — control 66 Lifestyle modification 788–908 — chareation physical control 2575 Diabetes support and bypass surgery surger	Daumit et al. 2013 Baltimore, USA [16]	Control	147	Group health classes quarterly with topics not related to	N.R.	I	3.4	18
vergitl loss counseling and counseling and group physical activity classes  9 Control 50 Conventional foods 1200–1500 –  Control 66 Lifesyle modification NR 1200–1500 –  Intervention 80 Roux-en-Y gastry 3-6 weeks preceding 5-755 Diabetes support and Roux-en-Y gastry 3-6 weeks preceding 5-755 Diabetes support and Roux-en-Y gastry 3-6 weeks preceding 5-755 Diabetes support and Roux-en-Y gastry 3-6 weeks preceding 6-7575 Diabetes support and Roux-en-Y gastry 3-6 weeks preceding 6-7575 Diabetes support and Roux-en-Y gastry 3-6 weeks preceding 6-7575 Diabetes support and Roux-en-Y gastry 3-6 weeks preceding 6-7575 Diabetes support and Roux-en-Y gastry 3-6 weeks preceding 6-7575 Diabetes support and Roux-en-Y gastry 3-6 weeks preceding 6-7575 Diabetes support and Roux-en-Y gastry 3-6 weeks preceding 6-7575 Diabetes 8-7575 Diabetes 8-7575 Diabetes 9-7575 Diabetes Programm 7-8 physical activity 6-758 Diabetes 8-759 Diabetes 8-759 Diabetes 9-759 Diabetes 1200–1800 Physical activity 6-758 Diabetes 1200 Diabetes 1200–1800 Physical activities 6-758 Diabetes 1200 Diabetes 1200–1800 Physical activities 6-758 Diabetes 1200 Diabetes 1200 Diabetes 1200–1800 Physical activity 6-758 Diabetes 1200 Diabetes		Intervention	144	Group and individual	Moderate caloric	$\geq 150 \text{ min/week of}$	4.9	18
group physical activity classes 1200–1500 –  Intervention 50 Conventional foods Balanced diet 1200–1500 –  Control 66 Lifestyle modification NR –  Intervention 80 Roux-en-Y gastric 788–308 –  Control 2575 Diabetes support and Rousen preceding surgery activity of minervention 2570 Caloric restriction and Rousen Passed on guidelines of physical activity the ADA and National Cholesterol Education program Control 81 Usual care NR Rought loss Control 165 Usual care Rough Lifestyle Balance Program <sup>TM</sup> exercise Group Lifestyle Balance Physical activities and self-directed Program <sup>TM</sup> exercise Control 165 Usual care NR Control 165 Usual Care				weignt loss counseling and	restriction based on DASH diet	moderate physical activity		
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Control   2575   Diabetes support and   NR	Hofso et al 2010	Intervention	99	z meal replacements T ifective modification	1200-1300 NR	1	36.0 4.5	12
Sontrol 2575 Diabetes support and surgery  Control 2570 Caloric restriction and education  Control 81 Usual care and self-directed Program <sup>TM</sup> Control 160 Coach lead exercise Group Lifestyle Balance and self-directed Program <sup>TM</sup> Control 165 Usual care Program <sup>TM</sup> Control 165 Usual care Control 165 Weight loss 500–1000 kcal/d physical activities eating control 165 Weight loss 500–1000 kcal/d physical activity total calories no less than 1200 kcal  Control 2570 Caloric restriction and self-directed Program <sup>TM</sup> Control 165 Usual care NR  Intervention 165 Weight loss 500–1000 kcal/d physical activity total calories no less than 1200 kcal  Control 50 Intensive medical NR  Han 1200 kcal  Control 50 Intensive medical NR  Control Control NR  Control Control Control NR  Control Control NR  Control Control NR  Con	Tonsberg, Norway	Intervention	80	Roux-en-Y gastric	788–908	I	5.0	12
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Control   2575   Diabetes support and education   Control   2570   Calori restriction and   1200–1800   2175 min of moderate exercise   Based on guidelines of physical activity   Control   81   Usual care   National Cholesterol   Education program   Control   160   Coach lead exercise   Croup Lifestyle Balance   2150 min of moderate   Program <sup>TM</sup>   Physical activities   Control   165   Usual care   NR   Control   165   Weight loss   500–1000 kcal/d   2150 min moderate   intervention   165   Weight loss   500–1000 kcal/d   2150 min moderate   intervention   165   Intensive medical   NR   Control   50   Intensive medical   NR   Control   50   Intensive medical   NR   Control   Control   165			į	,	surgery		1	,
Intervention 2570 Calorie restriction and exercise Based on guidelines of the ADA and National Cholesterol Education program Control 81 Usual care and self-directed Program.  Control 160 Coach lead exercise Group Lifestyle Balance 2150 min of moderate physical activities exercise exercise Lose 7% of weight through healthy eating NR Control 165 Weight loss 500–1000 kcal/d 2150 min moderate intervention 165 Weight loss 500–1000 kcal/d 2150 min moderate reductions, but daily physical activity total calories no less than 1200 kcal ABB ABB ABB ABB ABB ABB ABB ABB ABB AB	Look AHEAD (Johnson et al. 2017)	Control	2575	Diabetes support and education	NR NR	I	11.7	115
Control 81 Usual care NR Education program  Control 81 Usual care NR Coach lead exercise Group Lifestyle Balance 2 150 min of moderate and self-directed Program <sup>TM</sup> physical activities carties Lose 7% of weight through healthy eating  Control 165 Usual care NR Control 165 Weight loss 500–1000 kcal/d 2 150 min moderate intervention reductions, but daily physical activity total calories no less than 1200 kcal NR Control 50 Intensive medical NR Control 50 Intensive medical NR NR Control 50 Intensive medical 50 Intensive medical 50 Intensive medical 50 Intensive Manual 50 Int	16 clinical sites across	Intervention	2570	Calorie restriction and	1200-1800	> 175 min of moderate	10.1	115
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Control 165 Usual care NR – 150 min moderate Intervention 165 Weight loss 500–1000 kcal/d ≥ 150 min moderate intervention reductions, but daily physical activity total calories no less than 1200 kcal Control 50 Intensive medical NR – therapy for diabetes	California, USA [14]	Intervention	160	Coach lead exercise and self-directed exercise	Group Lifestyle Balance Program <sup>TM</sup> Lose 7% of weight through healthy	> 150 min of moderate physical activities	19.5	15
Intervention 165 Weight loss 500–1000 kcal/d ≥ 150 min moderate intervention reductions, but daily physical activity total calories no less than 1200 kcal Control 50 Intensive medical NR - therapy for diabetes	Ma et al. 2015	Control	165	Usual care	Saung NR	ı	10.9	12
than 1200 kcal  Control 50 Intensive medical NR – therapy for diabetes	California, USA [19]	Intervention	165	Weight loss intervention	500–1000 kcal/d reductions, but daily total calories no less	≥ 150 min moderate physical activity	13.9	12
Control 50 Intensive medical NR – therapy for diabetes		,	i		than 1200 kcal		,	į
	Maghrabi et al. 2015 Ohio, USA [10]	Control	20	Intensive medical therapy for diabetes	NK	I	15.0	24



Table 1 (continued)								
	Intervention	100	RYGB plus intensive medical therapy an laparoscopic sleeve gastrectomy plus intensive medical therapy	(GB plus intensive medical therapy and laparoscopic sleeve gastrectomy plus intensive medical therapy	ZR T	1	7.5	24
Villareal et al. 2011 St Louis, USA [17]	Control	53	Usual care and exercise	pun	1500 mg/day calcium and 1000 ID/day of	270 min 65% of their peak	15.1	12
	Intervention	54	Weight loss and weight loss with exercise	and ss with	Supplements as per controls. Energy deficit of 500 to 750 kcal/day. 1 g of high-quality protein/kg weight	270 min 65% of their peak heart rate	11.1	12
Author year location	Comorbidities/ medications		Age (years) mean (SD)	% female	% ethnicity	BMI kg/m² mean (SD)	Weight change (kg) (SD)	Fractures
Courcoulas et al. 2014	Type 2 diabetes		48.3 (4.7)	82.6	Black = 17.4	35.7 (3.3)	-10.3 (11.8)	0
Pittsburgh, USA [9]	Hypertension		46.8 (7.0)	80.5	Black = $23.5$	35.5 (3.0)	-22.2 (10.3)	1
Daumit et al. 2013 Baltimore, USA [16]	Schizophrenia Schizo-affective disorder		44.1 (11.0)	49.0	White = $81.0$ Black = $59.0$ Other = $7.0$	36.5 (7.3)	- 0.2 (9.1)	4
	Bipolar disorder Major depression		46.6 (11.5)	51.4	White = 82.0 $Black = 52.0$ $Other = 10.0$	36.0 (7.2)	-3.4 (7.8)	7
Ditschuneit et al. 1999	Absence of endocrine	rine	46.6 (11.2)	82.0		33.8 (3.2)	-5.9 (5)	1
Ulm, Germany [18]	or psychiatric		44.8 (9.7)	76.0	ı	32.4 (4.2)	-11.3 (6.8)	-
Hofso et al. 2010	Type 2 diabetes		47.0 (11.0)	2.99	White $= 92.4$	43.3 (5.0)	-10.7 (12.0)	1
Tonsberg, Norway [11]	Hypertension Metabolic syndrome Albuminuria Left ventricular hypertrophy	ne	42.8 (10.5)	66.3	White = 92.5	46.7 (5.7)	-41.3 (13.1)	-
Look AHEAD (Johnson et al. 2017) 16 clinical sites across the ITSA ITSA	Cotonary near uisease Diabetes	edse	58.9 (6.9)	59.7	Black = $15.7$ White = $63.3$ Hispanic = $13.2$	36.0 (5.8)	-4.8 (7.3)	358
			58.6 (6.8)	59.4	Black = 15.6 White = 63.1 Hispanic = 13.2	35.9 (6.0)	- 7.4 (8)	373
Ma et al. 2013			52.5 (10.9)	45.7	White = 77.8	32.4 (6.3)	-2.4 (8.1)	0



Table 1 (continued)							
California, USA [14]	Pre-diabetes mellitus or metabolic syndrome			Asian/Pacific Islander = 17.3 Latino/Hispanic = 4.9			
		53.2 (10.5)	46.9	White = 78.1 Asian/Pacific Islander = 16.9 Latino/Hispanic = 3.8	31.7 (4.9)	- 5.4 (8.1)	ю
Ma et al. 2015 California, USA [19]	Asthma	47.7 (12.1)	70.9	White = 49.7 Black = 19.4 Asian/Pacific Islander = 8.5 Hispanic/Latino =	37.6 (5.7)	-2.1 (10.3)	0
		47.5 (12.6)	70.3	White = 49.7  White = 49.7  Black = 20.6  Asian/Pacific  Islander = 7.9  Hispanic/Latino = 20.0	37.4 (6.0)	- 4.0 (10.3)	-
Maghrabi et al. 2015 Ohio, USA [10]	Diabetes Dyslipidemia	50.0 (8.4) 47.7 (9.7)	47.1 64.3	Caucasian = $82.4$ Caucasian = $67.4$	35.8 (3.0) 36.3 (2.9)	- 0.5 (4.1) - 23.9 (9.54)	4 9
Villareal et al. 2011 St Louis, USA [17]	rrypet tension Chronic disease	69.5 (4.0)	64.5	White = 81 Black = 15 Other = 4	37.1 (5.0)	- 0.3 (3.52)	3
		70.0 (4.0)	61.0	White = 88.5 Black = 11.5 Other = 0	37.0 (4.9)	- 9.1 (4.6)	0



 Table 2
 Summary of bariatric surgery cohort and case-control studies by characteristics and fracture results

Author, year Location		Number	Number Intervention	Follow-up months	Comorbidities/ medications	Age (years) mean (SD)	% female	BMI (kg/m <sup>2</sup> ) mean (SD)	Fractures	Results (95% confidence interval)
Axelsson et al. 2018 Sweden [20]	Control  Bariatric  surgery	38,971	Usual Care A variety of bariatric surgical interventions	37.2 (median) 37.2 (median)	Comprehensive list of comorbidities, including the following: Diabetes Thyroid diseases Malnutrition Bone diseases Liver disease Renal diseases Bisphosphonates Hormone replacement	40.9 (11.2)	75.0	NA 42.4 (5.5)	1019	Hazard ratio- Reference category Adjusted hazard ratio for any fracture Patients with diabetes 1.26 (1.05-1.53) Patients without diabetes 1.32 (1.18-1.47) Adjusted for propensity score, age, sex, weight (only for patients with diabetes), height (only for patients with diabetes), height (only for patients with diabetes), height only for patients with diabetes), height only for patients with diabetes), height forly for patients with diabetes), height forly for patients with diabetes), height only for patients with diacture, previous suchool-related diseases, fracture, previous fall injury without fracture, previous stell injury without fracture, previous secondary osteoporosis, previous glucocorticoids (≥ 5 mg of prednisolone equivalents per day more than 3 months), previous calcium and viramin D, Charlson comorbidity index
Douglas et al. 2015	Control	3882	Usual care	36 (median)	T2DM, hypertension, coronary heart	45 (11)	81.6	42.1 (6.5)	32	Hazard ratio- Reference category
UK [21]	Bariatric	3882	Gastric band, gastric bypass or sleeve gastrectomy	36 (median)	disease, cerebrovascular disease, peripheral vascular disease, other atheroma, smoking status, alcohol consumption, and use of insulin, OADs, and statins	45 (11)	80.5	44.7 (8.8)	39	Hazard ratio Any fracture 1.26 (0.79–2.01) All patients in the bariatric surgery group were propensity matched with the non-surgery patients with the closest propensity score when considering the following factors: age (within 2.5 years), sex, general practice, and presence in the CPRD on the date bariatric surgery was recorded
Lalmohamed et al. 2012 UK [22]	Control Bariatric surgery	10,442	Usual care A variety of bariatric	28 (mean) 26 (mean)	Rheumatoid arthritis Cerebrovascular disease Smoking	44.9 (11.2)	85.3	40.8 (6.4)	207	Relative risk- Reference category Adjusted relative risk for any fracture 0.89 (0.60–1.33)



Table 2   (continued)	ned)									
Author, year Location		Number	Number Intervention	Follow-up months	Comorbidities/ medications	Age (years) mean (SD)	% female	BMI (kg/m <sup>2</sup> ) mean (SD)	Fractures	Results (95% confidence interval)
			surgical interventions							Adjusted for age, sex, and most recent record of body mass index before the index date; a history of fracture, inflammatory bowel disease, and cerebrovascular disease ever before; a history of falls in the previous 6–12 months; and use of glucocorticoids, calcium or vitamin D supplements, anti-obesity drugs, antihypertensive drugs, loop diuretics, organic nitrates, antidepressants, anxiolytics or hypnotics, bisphosphonates, opioids (tramadol or stronger), and proton pump inhibitors in the previous 6 months
Lu et al. 2015 Taiwan [12]	Control	5027	Usual care	59 (mean)	Diabetes Hypertension	31.9 (9.9)	63.7	I	374	Adjusted hazard ratio- Reference category
	Bariatric	2064	A variety of bariatric surgical interventions	57 (mean)	Hyperlipidemia	31.8 (9.2)	4.4	1	183	Adjusted hazard ratio for any fracture 1.21 (1.01–1.44) Adjusted for duration of follow-up, material and social deprivation, area of residence, history of fractures (analysis for period after index date only), and number of comorbidities in the previous 5 years
Nakamura et al. 2014	Control	I	I	ı	I	ı	I	ı	ı	Standardized Incidence Ratio- Reference category
Minnesota, USA [23]	Bariatric surgery	258	A variety of bariatric surgical interventions	107 (mean)		43.6 (9.9)	82.2	49.0 (8.4)	79	Standardized incidence ratio for any fracture 2.3 (1.8–2.8) Expected numbers were derived by applying age and sex-specific fracture incidence rates in the general population of this community to the age specific person-vears of follow-up
Rousseau et al. 2016	Control	126,760	126,760 Non-obese	53 (mean)	Cardiovascular disease Hypertension	42.6 (11)	72.3	ı	3008	Adjusted relative risk- Reference category



Table 2 (continued)									
Author, year Location	Number	Number Intervention	Follow-up months	Comorbidities/ medications	Age (years) mean (SD)	% female	BMI (kg/m²) mean (SD)	Fractures	Fractures Results (95% confidence interval)
Quebec, Control	38,028	38,028 Obese without bariatric surgical intervention		Chronic pulmonary disease Diabetes Hypothyroidism Renal fäilure Depression Osteoporosis	42.7 (11)			1013	Adjusted relative risk- 1.04 (0.96 to 1.12) Adjusting for duration of follow-up, social deprivation, area of residence, history of fractures (analysis for period after index date only), and number of comorbidities in the previous 5 years, using multivariate conditional Poisson regression model
Bariatric surgery group		12,676 A variety of barriatric surgical interventions			42.6 (11)			514	Adjusted relative risk- 1.44 (1.29 to 1.59)

#### **Bariatric Surgery RCTs**

For our primary outcome, the results of our meta-analysis of trials revealed no significant association between bariatric surgery and participants developing any fracture (n = 3 trials; 13 events; RR 0.82; 95% CI 0.29 to 2.35;  $I^2 = 0\%$ ) (Fig. 2). For our secondary outcome, bariatric surgery led to marked weight loss, with high heterogeneity between studies (n = 3 trials; MD -22.2 kg; 95% CI -31.6 to -12.8;  $I^2 = 93\%$ ) (Fig. 3).

## **Bariatric Surgery Observational Studies**

Four out of the six observational studies reported a statistically significant association between bariatric surgery and an increased likelihood of fracture (Table 2). The studies which reported an association between bariatric surgery and fracture incidence had longer periods of observation than the 3 years follow-up of the studies which reported no association. All studies adjusted for risk factors associated with fractures, such as fracture history, comorbidities, and age. However, Lalmohamed and colleagues, who observed no association between bariatric surgery and fracture, adjusted for a broader range of confounders, such as inflammatory bowel disease, glucocorticoids, proton pump inhibitors, and calcium and vitamin D supplementation [22].

Axelsson and colleagues [20] reported an increased risk of osteoporotic and hip fractures post-bariatric surgery. Lu and colleagues [12] had fewer events and did not find a statistically significant increase in osteoporotic or hip fractures. The increased risk post-surgery in the study by Rousseau and colleagues appeared to mainly relate to biliopancreatic diversion [13], which is rarely used today. Nakamura and colleagues reported an increased risk of fractures at traditional osteoporotic sites compared to community controls, matched for age and sex but not BMI [23].

## Lifestyle WMP RCTs

NA not available

In the lifestyle WMP RCTs, our meta-analysis showed no significant association between WMPs and participants developing any fracture (n = 6 trials; 746 events; RR 1.04; 95% CI 0.91 to 1.19;  $I^2 = 0\%$ ) (Fig. 2). However, the largest trial, with follow-up of 5145 participants with diabetes, reported an increased risk of frailty fractures, a composite of hip, pelvis, upper arm, and shoulder fractures (hazard ratio 1.39; 95% CI 1.02 to 1.89). Weight loss at final follow-up showed high heterogeneity (n = 6 trials; MD -4.15; 95% CI -6.41 to -1.89;  $I^2 = 92\%$ ).



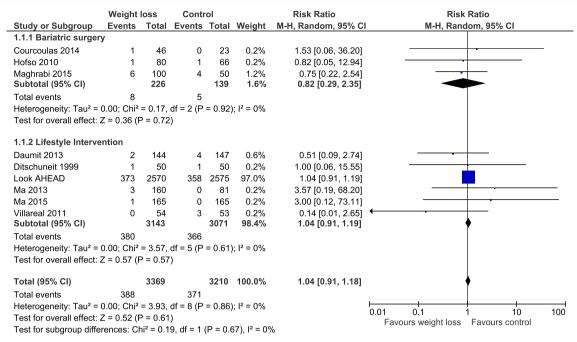


Fig. 2 Meta-analysis of weight loss intervention and incidence of fractures

## Discussion

We found that bariatric surgery, predominantly malabsorptive in nature [12, 20], was associated with an increased risk of fracture compared to people of similar starting weight who did not undergo surgery. However, it is unclear whether the risk of fracture for adults post-bariatric surgery at their lower weight exceeds people of similar weight in the general population. Lifestyle WMPs were not associated with an increased risk of any fracture. However, there was some evidence from the Look AHEAD trial [15] to suggest that the risk of frailty fractures might be increased, but this trial did not report vertebral fractures and only around half of frailty fractures

appeared to be related to low trauma. In the Look AHEAD trial, frailty fractures related to a composite of the first occurrence of a hip, upper arm, or shoulder fracture [15].

Weight loss programs, with or without bariatric surgery, are generally associated with advice to increase physical activity with or without exercise programs to attend. Thus, the effects of weight reduction on fracture risk cannot be separated in our studies from the possibility that a sudden increase in physical activity alone may have resulted in an increased propensity for injury.

There have been a number of systematic reviews and metaanalyses reporting on the association between bariatric surgery, particularly malabsorptive surgery, and significant

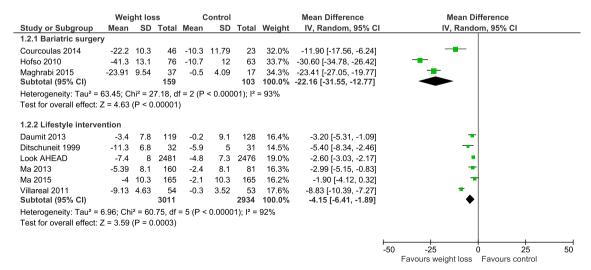


Fig. 3 Meta-analysis of weight loss intervention and sustained weight loss



BMD loss at the hip with less consistent results for the lumbar spine [2, 24–26]. However, the studies were sometimes without comparator groups and are difficult to interpret due to imaging limitations in severe obesity [27]. In a meta-analysis of five cohort studies and one RCT, Zhang and colleagues reported that bariatric surgery was associated with fractures at non-vertebral sites, especially upper limb fractures [28]. In contrast, in our meta-analysis of trials alone, the fractures reported were predominantly lower limb fractures such as tarsal and metatarsal fractures, but also included phalangeal fractures, suggesting short-term fractures secondary to physical activity [9–11]. Lu and colleagues in particular reported an increased risk of foot fractures, along with other sites not normally associated with osteoporosis [12].

The potential mechanisms underlying reductions in bone density and strength from weight loss which may precipitate bone fracture include mechanical, hormonal changes, and malabsorptive factors [27]. The reduction in force placed upon bones due to weight loss leads to higher levels of sclerostin, which inhibits osteoblastic activity and bone formation [27], while markers of bone turnover are considerably increased [4, 27]. Furthermore, estrogen and androgen status may decline particularly in postmenopausal women after bariatric surgery [29]. Bariatric procedures such as Roux-en-Y may lead to malabsorption of micronutrients required to maintain BMD [30]. Malabsorption of micronutrients including vitamin D, protein, and calcium, particularly after certain bypass procedures, may therefore require supplementation, e.g., vitamin D supplementation, to prevent secondary hyperparathyroidism [31]. Despite recommendations for patients post-bariatric surgery to take additional nutrient supplementation [31, 32], adherence is poor, e.g., vitamin D supplementation has been reported to be as low as 33% at 1 year [33], with factors such as male sex and working full-time associated with poor concordance [34]. BMD loss due to these factors, when additionally compounded by a sudden rise in physical activity in a previously sedentary adult, may place the bone under increased stress while also increasing the opportunities for the bone to fracture.

We attempted to identify all studies of WMPs and surgical RCTs which reported fractures, including contacting authors who had published BMD data to seek additional fracture data. However, the fracture data in the trials were often only reported as adverse events, and it is likely that fracture outcome data in other trials are unreported in the literature. Trials were often underpowered with short follow-up periods, such that it would be unlikely for changes in BMD to manifest as fractures.

In order for trials to meaningfully assess the long-term risk of fractures in bariatric patients, results from observational studies suggest that it is imperative that follow-up periods are sufficiently long [12, 13, 23], for example, Nakamura and colleagues reported that the median time to first fracture was 13 years [23]. It is important to acknowledge the difficulty maintaining prolonged follow-up in this patient group, but

routine data collection through health records would allow evaluation. In a nationwide cohort study of 16,620 patients, Thereaux and colleagues observed that follow-up rates at 1 year and 5 years decreased from 87.1 to 29.6% [33]. Factors such as male sex and younger age were predictors of poor 5-year follow-up [33].

There is growing evidence to suggest that very large weight losses produced by bariatric surgery are associated with an increased risk of fracture. High rates of loss to follow-up in this patient group may hinder accurate evaluation; nevertheless, there remains a concerning lack of reporting on this adverse outcome. We suggest that bariatric surgery studies habitually report the presence (or absence) of fractures during long-term follow-up, including information on patient characteristics and types of fractures.

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## **Compliance with Ethical Standards**

**Conflict of Interest** The authors declare that they have no competing interests.

# Appendix A. Search Strategy in MEDLINE

- 1. exp Obesity/
- 2. weight loss/ or overweight/
- 3. obes\$.tw.
- 4. (weight adj1 (los\$ or reduc\$ or maint\$ or control)).tw.
- 5. 1 or 2 or 3 or 4
- 6. diet therapy/ or caloric restriction/ or diet, carbohydrate-restricted/ or diet, fat-restricted/ or diet, reducing/
- 7. diet\$.tw.
- 8. ((calori\$ or fat or carbohydrate) adj3 (reduc\$ or restrict\$ or limit\$)).tw.
- 9. surger\$.ti
- 10. 6 or 7 or 8 or 9
- 11. Bone Density/
- 12. exp Fractures, Bone/
- Osteoporosis/
- 14. (bone adj3 (density or loss or reduc\$)).tw.
- 15. Osteopor\$.tw.
- 16. Postoperative Complications/
- 17. 11 or 12 or 13 or 14 or 15 or 16
- 18. 5 and 10 and 17



 Table 3
 Cochrane risk of bias

	Villareal <i>et al.</i> , 2011 [17]	Maghrabi <i>et al.</i> , 2015 [10]	Ma et al., 2015 [23]	Ma et al., 2013 [14]	Look AHEAD (Johnson et al., 2017) [15]	Hofso et al., 2010 [11]	Ditschuneit <i>et al.</i> , 1999 [18]	Daumit <i>et al.</i> , 2013 [16]	Courcoulas et al., 2014 [9]
Random sequence generation (Selection bias)									
Allocation concealment (Selection bias)									•
Blinding of participants and personnel (Performance bias)	•		•	•				•	•
Blinding of outcome assessment (Detection bias)						•			•
Incomplete outcome data (Attrition bias)					•				•
Selective reporting (Reporting bias)									
Other bias									

= low risk of bias, = unclear risk of bias, = high risk of bias



 Table 4
 Newcastle-Ottawa Scale assessment for included case-control and cohort studies

Study	Selection <sup>a</sup>				$Comparability^{b} \\$	Outcomes <sup>a</sup>			Total
	Representative of exposed cohort	Selection of non- exposed cohort	Ascertainment of exposure	Outcome not present at the start of the study		Assessment of outcomes	Length of follow- up	Adequacy of follow- up	
Axelsson et al. 2018 [20]	*	*	*		**		*	*	7
Douglas et al. 2015 [21]	*	*	*	*	**		*	*	8
Lalmohmed et al. 2012 [22]	*	*	*		**			*	6
Lu et al. 2015 [12]	*	*	*	*	*		*	*	7
Nakamura et al. 2014 [23]	*		*	*	**		*	*	7
Rousseau et al. 2016 [13]	*	*	*		*		*	*	6

<sup>&</sup>lt;sup>a</sup> A study can be awarded a maximum of one star for each numbered item within the Selection and Outcome categories

Table 5 Summary of bariatric surgery and weight loss study description of fracture type and osteoporotic/fragility fracture definition

Author, year Location		Intervention	Number of all fractures reported	Fracture type	Number of osteoporotic fractures reported as defined by authors	Description of osteoporotic/fragility fracture
Axelsson et al. 2018 Sweden [20]	Control	Usual care	774	Hip, upper limb, lower leg, hip/vertebra/wrist/surgical neck of humerus fractures	193	Major osteoporotic fracture defined as hip, vertebra, wrist, or surgical neck of
Sweden [20]	Intervention	A variety of bariatric surgical interventions	1019	Hip, upper limb, lower leg, hip/vertebra/wrist/surgical neck of humerus fractures	333	the humerus fracture
Courcoulas et al. 2014	Control	Lifestyle weight loss intervention	-	-	-	_
Pittsburgh, USA [9]	Intervention	Roux-en-Y gastric bypass surgery Laparoscopic adjustable gastric banding	1	Traumatic foot fracture due to a kick injury	-	
Daumit et al. 2013 Baltimore, USA [16]	Control	Group health classes quarterly with topics not related to weight	4	No description available, author contacted with no response	-	_
	Intervention	Group and individual weight loss counseling and group physical activity classes	2	No description available, author contacted with no response	-	
Ditschuneit et al. 1999 Ulm, Germany	Control	Conventional foods	1	Malleolar fracture due to falling while downhill skiing	_	_
[18]	Intervention	2 meal replacements	1	Partial rib fracture due to falling while wrestling	-	
Douglas et al. 2015	Control	Usual care	32	Any, hip, wrist, spine fractures.	_	-



<sup>&</sup>lt;sup>b</sup> A maximum of two stars can be given for comparability

# Table 5 (continued)

Author, year Location		Intervention	Number of all fractures reported	Fracture type	Number of osteoporotic fractures reported as defined by authors	Description of osteoporotic/fragility fracture
UK	Intervention	Gastric band, gastric bypass or sleeve gastrectomy	39	Any, hip, wrist, spine fractures	-	
Hofso et al. 2010 Tonsberg,	Control	Lifestyle modification	_	_	-	-
Norway [11]	Intervention	Roux-en-Y gastric bypass surgery	1	Fifth right proximal phalange fracture	_	
Look AHEAD (Johnson et al. 2017) [15]	Control	Diabetes support and education	358	Hand (not fingers), lower arm or wrist, elbow, upper arm (humerus), shoulder, or clavicle, vertebrae (thoracic or lumbar), tailbone, pelvis, hip, upper leg (not hip), knee (patella), lower leg or ankle, foot (not toes) fractures	70	Frailty fracture was classified as a composite of hip, pelvis, or upper arm/shoulder fracture
	Intervention	Calorie restriction and exercise	373	Hand (not fingers), lower arm or wrist, elbow, upper arm (humerus), shoulder, or clavicle, vertebrae (thoracic or lumbar), tailbone, pelvis, hip, upper leg (not hip), knee (patella), lower leg or ankle, foot (not toes) fractures	98	
Lalmohamed	Control	Usual care	207	A breakdown of the fracture	Did not report	Osteoporotic fractures
et al. 2012 UK [22]	Intervention	A variety of bariatric surgical interventions	38	types was not provided. A breakdown of the fracture types was not provided	13	defined as spine, hip, forearm, or humerus
Lu et al. 2015 Taiwan [12]	Control	Usual care	374	Skull/face, hands/fingers, distal forearm, proximal humerus, clavicle/scapula/sternum, ribs, thoracic lumbar vertebrae, cervical vertebrae, pelvis, proximal, other leg, feet/toe fractures	Did not report	Osteoporotic fractures defined as fractures of the vertebral column, humerus, radius/ulnar, carpal bones, neck of femur
		Bariatric surgery	183	Skull/face, hands/fingers, distal forearm, proximal humerus, clavicle/scapula/sternum, ribs, thoracic lumbar vertebrae, cervical vertebrae, pelvis, proximal, other leg, feet/toe fractures	Did not report	
Ma et al. 2013 California, USA [14]	Control Intervention	Usual care Coach lead exercise and self-directed exercise	0 3	No description available,     author contacted with no     response	_ _	_
Ma et al. 2015 California, USA	Control Intervention	Usual care	0 1	Wrist fracture due to a fall	_ _	_
[19]	Control	intervention	4	while walking	_	_



# Table 5 (continued)

Author, year Location		Intervention	Number of all fractures reported	Fracture type	Number of osteoporotic fractures reported as defined by authors	Description of osteoporotic/fragility fracture
Maghrabi et al. 2015 Ohio,		Intensive medical therapy for diabetes		Tarsal/metatarsal, arm, ankle fractures		
USA [10]	Intervention	RYGB plus intensive medical therapy and laparoscopic sleeve gastrectomy plus intensive medical therapy	6	Tarsal/metatarsal fractures	_	
Nakamura et al.	Control	A cominter of the mintuin	- 70	- Clustifes as the adolf a cons	_	_
2014 Minnesota, USA [23]	intervention	A variety of bariatric surgical interventions	19	Skull/face, hands/fingers, distal forearm, proximal humerus, other arm, clavicle/scapula/sternum, ribs, thoracic/lumbar vertebrae, pelvis, proximal femur, other leg, feet/toe fractures	_	
Rousseau et al. 2016 Quebec, Canada [13]	Control	Non-obese	3008	Distal lower limb (knee, foot, ankle, and tibia/fibula), clinical spine, pelvis, hip, femur, upper limb (shoulder, humerus, elbow, forearm, and wrist) fractures	_	-
	Control	Obese without bariatric surgical intervention	1013			
	Intervention	A variety of bariatric surgical interventions	514	Distal lower limb (knee, foot, ankle, and tibia/fibula), clinical spine, pelvis, hip, femur, upper limb (shoulder, humerus, elbow, forearm, and wrist) fractures	_	
Villareal et al. 2011 St Louis, USA [17]	Control	Usual care and exercise	3	Humeral fracture due to fall while traveling abroad, ankle fracture due to fall during physical function test and wrist fracture due to falling on the ice	_	-
	Intervention	Weight loss and weight loss with exercise	0	is aming on the fee	_	



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