Crucial words for abelian powers*

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Amy Glen (Combinatorics Group @ RU) Crucial words for abelian powers

Outline

Background

- Repetitions & patterns in words
- Crucial words & abelian powers

Minimal crucial words avoiding abelian cubes Upper bound for length Lower bound for length

Minimal crucial words avoiding abelian k-th powers
 Upper bound for length

Lower bound for length

Further research

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 $\{a, b, c, ab, bc, ca, abc, bca, abca\}.$

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 $a \rightarrow b \rightarrow ca \rightarrow cbab \rightarrow cbacabca \rightarrow cbacabcbabcacbab \rightarrow \dots$ gives (in the limit) the infinite word

cbacabcbabcacbacabcacbabcbacabca . . .

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• Now called the *Thue-Morse word* as it was rediscovered by Morse in 1921 (in the context of symbolic dynamics).

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- Answer: YES. Existence was established for alphabets of size:
 - 25 and improved to 7 (A. Evdokimov, 1968 & 1971);
 - 5 (P.A.B. Pleasants, 1970);
 - 4 (Keränen, 1992), the **optimal result** (such a word does not exist over a 3-letter alphabet).

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Problems of this type arose as questions in algebra: Bean *et al.* (1979); Zimin (1984).

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• We are interested in a particular problem in relation to words avoiding abelian powers.

Abelian powers

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• Examples:

- V contains the abelian square 43232 32324.
- 123 312 213 is an abelian cube.

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- The *k*-generalised Zimin word $Z_n^k = X_n$ is defined as

$$X_1 = 1^{k-1} = 11 \dots 1, \ X_n = (X_{n-1}n)^{k-1} X_{n-1} = X_{n-1}n X_{n-1}n \dots n X_{n-1}$$

where the number of 1's, as well as the number of n's, is k - 1.

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Much less is known in the case of <u>abelian</u> k-th powers ...

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- Now we extend the study of crucial abelian k-power-free words to the case of k > 2.
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 - And we conjecture a solution in the general case.

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 - And we conjecture a solution in the general case.
- Let l_k(n) denote the length of a minimal crucial word over A_n avoiding abelian k-th powers.

Outline

1 Background

- Repetitions & patterns in words
- Crucial words & abelian powers

2 Minimal crucial words avoiding abelian cubes • Upper bound for length • Lower bound for length

Minimal crucial words avoiding abelian k-th powers
Upper bound for length

• Lower bound for length

4 Further research

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Sketch Proof: "Greedy" construction of a crucial abelian cube-free word $X = X_n$ over A_n , defined recursively as follows:

$$X_1 = 11$$
 and $X_n = \phi_1(\sigma(X_{n-1}))1$ for $n \ge 2$,

where $\sigma : x \mapsto x + 1$ and $\phi_1 : x \mapsto x1$ for all letters x.

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That is: Set $X_1 = 11$ and assume X_{n-1} has been constructed. Then:

1 Increase all letters of X_{n-1} by 1 to obtain X'_{n-1} .

2 Insert the letter 1 to the right of each letter of X'_{n-1} and adjoin one extra 1 to the right of the resulting word to obtain X_n .

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 $X_1 = 11$

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3

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In general:

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• Hence
$$\ell_3(n) \le 3 \cdot 2^{n-1} - 1$$
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A construction of crucial abelian cube-free words over A_n for $n \ge 4$:

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 Basis: Minimal crucial abelian square-free words W_n = W_{n,2} given by Evdokimov & Kitaev (2004). For n = 4, 5, 6, 7:

 $W_{4,2} = 34231 \ 3231,$

 $W_{5,2} = 4534231 \ 432341,$

 $W_{6,2} = 56453423154323451$,

 $W_{7,2} = 67564534231 \ 6543234561$, where spaces separate the blocks.

General construction of $W_{n,2} = \Omega_{n,1}\Omega'_{n,2}$ for $n \ge 4$:

1st block Ω_{n,1}: adjoin the factors i(i + 1) for i = n - 1, n - 2, ..., 2, followed by the letter 1.

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 $W_{4,2} = 34231 \ 3231 \longrightarrow \underline{34231} \ \underline{34231} \ 3231$

Duplicate 1st block

For $n \ge 4$, we obtain crucial abelian cube-free words $W_{n,3}$ from $W_{n,2}$... Construction of $W_{n,3} = \Omega_{n,1}\Omega_{n,2}\Omega'_{n,3}$:

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Append 134 to 2nd block

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Insert 4 before leftmost 1 in 3rd block

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 $W_{5,2} = 4534231 \ 432341$

For $n \ge 4$, we obtain crucial abelian cube-free words $W_{n,3}$ from $W_{n,2}$... Construction of $W_{n,3} = \Omega_{n,1}\Omega_{n,2}\Omega'_{n,3}$:

 $W_{4,2} = 34231 \ 3231 \longrightarrow \ 34423311 \ 34231134 \ 3233411 = W_{4,3}$

 $W_{5,2} = 4534231 \ 432341$

 $\longrightarrow 4534231 4534231 432341$

Duplicate 1st block

For $n \ge 4$, we obtain crucial abelian cube-free words $W_{n,3}$ from $W_{n,2}$... Construction of $W_{n,3} = \Omega_{n,1}\Omega_{n,2}\Omega'_{n,3}$:

 $W_{4,2} = 34231 \ 3231 \longrightarrow \ 34423311 \ 34231134 \ 3233411 = W_{4,3}$

 $W_{5,2} = 4534231 \ 432341$

 \longrightarrow 4534231 4534231 $\underline{1345}$ 432341

Append 1345 to 2nd block

For $n \ge 4$, we obtain crucial abelian cube-free words $W_{n,3}$ from $W_{n,2}$... Construction of $W_{n,3} = \Omega_{n,1}\Omega_{n,2}\Omega'_{n,3}$:

 $W_{4,2} = 34231 \ 3231 \longrightarrow \ 34423311 \ 34231134 \ 3233411 = W_{4,3}$

 $W_{5,2} = 4534231 \ 432341$

 $\longrightarrow 45\underline{5}34231 \ 45342311345 \ 432341$

For $n \ge 4$, we obtain crucial abelian cube-free words $W_{n,3}$ from $W_{n,2}$... Construction of $W_{n,3} = \Omega_{n,1}\Omega_{n,2}\Omega'_{n,3}$:

 $W_{4,2} = 34231 \ 3231 \longrightarrow \ 34423311 \ 34231134 \ 3233411 = W_{4,3}$

 $W_{5,2} = 4534231 \ 432341$

 \longrightarrow 45534<u>4</u>231 45342311345 432341

For $n \ge 4$, we obtain crucial abelian cube-free words $W_{n,3}$ from $W_{n,2}$... Construction of $W_{n,3} = \Omega_{n,1}\Omega_{n,2}\Omega'_{n,3}$:

 $W_{4,2} = 34231 \ 3231 \longrightarrow \ 34423311 \ 34231134 \ 3233411 = W_{4,3}$

 $W_{5,2} = 4534231 \ 432341$

 \longrightarrow 45534423<u>3</u>1 45342311345 432341

For $n \ge 4$, we obtain crucial abelian cube-free words $W_{n,3}$ from $W_{n,2}$... Construction of $W_{n,3} = \Omega_{n,1}\Omega_{n,2}\Omega'_{n,3}$:

 $W_{4,2} = 34231 \ 3231 \longrightarrow \ 34423311 \ 34231134 \ 3233411 = W_{4,3}$

 $W_{5,2} = 4534231 \ 432341$

 \longrightarrow 4553442331<u>1</u> 45342311345 432341

For $n \ge 4$, we obtain crucial abelian cube-free words $W_{n,3}$ from $W_{n,2}$... Construction of $W_{n,3} = \Omega_{n,1}\Omega_{n,2}\Omega'_{n,3}$:

 $W_{4,2} = 34231 \ 3231 \longrightarrow \ 34423311 \ 34231134 \ 3233411 = W_{4,3}$

 $W_{5,2} = 4534231 \ 432341$

 \longrightarrow 45534423311 45342311345 4323 $\underline{3}$ 41

For $n \ge 4$, we obtain crucial abelian cube-free words $W_{n,3}$ from $W_{n,2}$... Construction of $W_{n,3} = \Omega_{n,1}\Omega_{n,2}\Omega'_{n,3}$:

 $W_{4,2} = 34231 \ 3231 \longrightarrow \ 34423311 \ 34231134 \ 3233411 = W_{4,3}$

 $W_{5,2} = 4534231 \ 432341$

 \longrightarrow 45534423311 45342311345 432334<u>4</u>1

For $n \ge 4$, we obtain crucial abelian cube-free words $W_{n,3}$ from $W_{n,2}$... Construction of $W_{n,3} = \Omega_{n,1}\Omega_{n,2}\Omega'_{n,3}$:

 $W_{4,2} = 34231 \ 3231 \longrightarrow \ 34423311 \ 34231134 \ 3233411 = W_{4,3}$

 $W_{5,2} = 4534231 \ 432341$

 \longrightarrow 45534423311 45342311345 43233441<u>1</u>

For $n \ge 4$, we obtain crucial abelian cube-free words $W_{n,3}$ from $W_{n,2}$... Construction of $W_{n,3} = \Omega_{n,1}\Omega_{n,2}\Omega'_{n,3}$:

 $W_{4,2} = 34231 \ 3231 \longrightarrow \ 34423311 \ 34231134 \ 3233411 = W_{4,3}$

 $W_{5,2} = 4534231 \ 432341$

 \longrightarrow 45534423311 45342311345 4323344<u>5</u>11

Insert 5 before leftmost 1 in 3rd block

For $n \ge 4$, we obtain crucial abelian cube-free words $W_{n,3}$ from $W_{n,2}$... Construction of $W_{n,3} = \Omega_{n,1}\Omega_{n,2}\Omega'_{n,3}$:

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 $W_{5,2} = 4534231 \ 432341$

 \longrightarrow 45534423311 45342311345 4323344511 = $W_{5,3}$

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 \longrightarrow 45534423311 45342311345 4323344511 = $W_{5,3}$

 $W_{6,2} = 56453423154323451$

For $n \ge 4$, we obtain crucial abelian cube-free words $W_{n,3}$ from $W_{n,2}$... Construction of $W_{n,3} = \Omega_{n,1}\Omega_{n,2}\Omega'_{n,3}$:

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 $W_{5,2} = 4534231 \ 432341$

 \longrightarrow 45534423311 45342311345 4323344511 = $W_{5,3}$

 $W_{6,2} = 56453423154323451$

 $\longrightarrow 564534231 564534231 54323451$

Duplicate 1st block

For $n \ge 4$, we obtain crucial abelian cube-free words $W_{n,3}$ from $W_{n,2}$... Construction of $W_{n,3} = \Omega_{n,1}\Omega_{n,2}\Omega'_{n,3}$:

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 \longrightarrow 45534423311 45342311345 4323344511 = $W_{5,3}$

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 $\longrightarrow 564534231 \ 564534231 \ \underline{13456} \ 54323451$

Append 13456 to 2nd block

For $n \ge 4$, we obtain crucial abelian cube-free words $W_{n,3}$ from $W_{n,2}$... Construction of $W_{n,3} = \Omega_{n,1}\Omega_{n,2}\Omega'_{n,3}$:

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 $W_{6,2} = 56453423154323451$

 $\longrightarrow 56\underline{6}45\underline{5}344\underline{4}23\underline{3}1\underline{1} \ 56453423113456 \ 54323\underline{3}4\underline{4}5\underline{5}1\underline{1}$

For $n \ge 4$, we obtain crucial abelian cube-free words $W_{n,3}$ from $W_{n,2}$... Construction of $W_{n,3} = \Omega_{n,1}\Omega_{n,2}\Omega'_{n,3}$:

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 $W_{5,2} = 4534231 \ 432341$

 \longrightarrow 45534423311 45342311345 4323344511 = $W_{5,3}$

 $W_{6,2} = 56453423154323451$

 $\longrightarrow 56645534423311 \ 56453423113456 \ 5432334455\underline{6}11$

Insert 6 before leftmost 1 in 3rd block

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For $n \ge 4$, we obtain crucial abelian cube-free words $W_{n,3}$ from $W_{n,2}$... Construction of $W_{n,3} = \Omega_{n,1}\Omega_{n,2}\Omega'_{n,3}$:

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 $W_{5,2} = 4534231 \ 432341$

 \longrightarrow 45534423311 45342311345 4323344511 = $W_{5,3}$

 $W_{6,2} = 56453423154323451$

 \longrightarrow 56645534423311 56453423113456 5432334455611 = $W_{6,3}$

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 \longrightarrow 45534423311 45342311345 4323344511 = $W_{5,3}$

 $W_{6,2} = 56453423154323451$

 \longrightarrow 56645534423311 56453423113456 5432334455611 = $W_{6,3}$

 $W_{7,2} = 67564534231 \ 6543234561$

For $n \ge 4$, we obtain crucial abelian cube-free words $W_{n,3}$ from $W_{n,2}$... Construction of $W_{n,3} = \Omega_{n,1}\Omega_{n,2}\Omega'_{n,3}$:

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 \longrightarrow 45534423311 45342311345 4323344511 = $W_{5,3}$

 $W_{6,2} = 56453423154323451$

 \longrightarrow 56645534423311 56453423113456 5432334455611 = $W_{6,3}$

 $W_{7,2} = 67564534231 \ 6543234561$

 $\longrightarrow 67564534231 67564534231 6543234561$

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 $\longrightarrow 67\underline{7}56\underline{6}45\underline{5}344\underline{4}23\underline{3}1\underline{1}\ 67564534231134567\ 654323\underline{3}4\underline{4}5\underline{5}6\underline{6}1\underline{1}$

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 \longrightarrow 56645534423311 56453423113456 5432334455611 = $W_{6,3}$

 $W_{7,2} = 67564534231 \ 6543234561$

 $\longrightarrow 67756645534423311\ 67564534231134567\ 6543233445566 \frac{7}{11}$

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Note: $W_{n,3} = \underbrace{(n-1)nn\Omega_{n-1,1}}_{(n-1)n\Omega_{n-1,2}n} \underbrace{(n-1)\Omega'_{n-1,3}}_{(n-1)n11} \cdot \underbrace{(n-1)n11}_{(n-1)n11} \cdot \underbrace{(n-1)n11}_{$ $\Omega_{n,1}$ $\Omega_{n,2}$ January 2009 19 / 30

Amy Glen (Combinatorics Group @ RU) Crucial words for abelian powers

For $n \ge 4$, we obtain crucial abelian cube-free words $W_{n,3}$ from $W_{n,2}$... Construction of $W_{n,3} = \Omega_{n,1}\Omega_{n,2}\Omega'_{n,3}$:

 $W_{4,2} = 34231 \ 3231 \longrightarrow \ \underline{344}23311 \ \underline{34}23113 \underline{4} \ \underline{3}23 \underline{34}11 = W_{4,3}$

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 $\longrightarrow 45534423311 45342311345 4323344511 = W_{5,3}$

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 $\longrightarrow \underline{677}56645534423311 \ \underline{67}56453423113456\underline{7} \ \underline{6}54323344556\underline{67}11$

Note: $W_{n,3} = \underbrace{(n-1)nn\Omega_{n-1,1}}_{\Omega_{n,1}} \underbrace{(n-1)n\Omega_{n-1,2}n}_{\Omega_{n,2}} \underbrace{(n-1)\Omega'_{n-1,3}[11]^{-1}(n-1)n11}_{\Omega_{n,3}}.$ Any Glen (Combinatorics Group @ RU) Crucial words for abelian powers January 2009 19 / 30

• By construction:

$$W_{n,3} = \Omega_{n,1}\Omega_{n,2}\Omega'_{n,3}$$

where $\Omega_{n,3} = \Omega'_{n,3}n$ and each $\Omega_{n,i}$ contains two 1's, one 2, two n's, and three x's for x = 3, ..., n - 1.

• By construction:

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• Hence, $|W_{n,3}| = 3(3(n-3)+2\cdot 2+1)-1 = 9n-13.$

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- Hence, $|W_{n,3}| = 3(3(n-3)+2\cdot 2+1)-1 = 9n-13.$
- Moreover, $W_{n,3}$ is crucial with respect to abelian cubes. For instance: it is easy to check that

 $W_{4,3} = 34423311 \ 34231134 \ 3233411$

is an abelian cube-free crucial word on 4 letters. Use same arguments for n > 4.

• By construction:

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where $\Omega_{n,3} = \Omega'_{n,3}n$ and each $\Omega_{n,i}$ contains two 1's, one 2, two n's, and three x's for x = 3, ..., n - 1.

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- Thus, a minimal crucial word avoiding abelian cubes has length at most 9n − 13 for n ≥ 4.

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Theorem (G.-Halldórsson-Kitaev, 2008)

For $n \ge 4$, we have $\ell_3(n) \le 9n - 13$.

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Theorem (G.-Halldórsson-Kitaev, 2008)

For $n \ge 4$, we have $\ell_3(n) \le 9n - 13$.

This upper bound is optimal ...

Let X be a crucial abelian cube-free word over A_n such that Xn is an abelian cube.

• Sort in non-decreasing order the # of occurrences of the letters $1, 2, \ldots, n-1$ in X to obtain the sequence $(a_1 \le a_2 \le \ldots \le a_{n-1})$.

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Note:

• $|X| = \sum_{i=0}^{n-1} a_i$.

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• $a_0 \equiv 2 \pmod{3}$ and $a_i \equiv 0 \pmod{3}$ for all $i = 1, 2, \dots, n-1$.

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- The crucial word $W_{n,3}$ of length 9n 13 has sequence: $(a_0, a_1, \dots, a_{n-1}) = (5, 3, 6, 9, \dots, 9).$

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$$(a_0, a_1, \ldots, a_{n-1}) = (5, 3, 6, 9, \ldots, 9).$$

We prove that for $n \ge 5$ this sequence **cannot** be "improved" by decreasing one or more of its terms, no matter how the crucial word is constructed.

That is, for a crucial abelian cube-free word X over A_n :

- $(a_1, a_2) \neq (3, 3);$
- the sequence of a_i 's cannot contain 6, 6, 6;
- the sequence of a_i 's cannot contain 3, 6, 6;
- $(a_0, a_1, a_2, a_3, a_4) \neq (2, 3, 6, 9, 9).$

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Consequently:

Theorem (G.-Halldórsson-Kitaev, 2008)

For $n \geq 5$, we have $\ell_3(n) \geq 9n - 13$.

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Corollary (G.-Halldórsson-Kitaev, 2008)

For $n \geq 5$, we have $\ell_3(n) = 9n - 13$.

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Corollary (G.-Halldórsson-Kitaev, 2008)

For $n \ge 5$, we have $\ell_3(n) = 9n - 13$.

Note: $\ell_3(n) = 2, 5, 11, 20$ for n = 1, 2, 3, 4, respectively.

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For $n \ge 5$, we have $\ell_3(n) \ge 9n - 13$.

Corollary (G.-Halldórsson-Kitaev, 2008)

For $n \ge 5$, we have $\ell_3(n) = 9n - 13$.

Note: $\ell_3(n) = 2, 5, 11, 20$ for n = 1, 2, 3, 4, respectively. For example: 11, 21211, 11231321211, 42131214231211321211.

Outline

Background

- Repetitions & patterns in words
- Crucial words & abelian powers

2 Minimal crucial words avoiding abelian cubes • Upper bound for length • Lower bound for length

Minimal crucial words avoiding abelian k-th powers
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4 Further research

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For $k \ge 3$, we have $\ell_k(n) \le k \cdot (k-1)^{n-1} - 1$.

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Note:

• $|W_{n,2}| = 4n - 7$ and $|W_{n,3}| = 9n - 13 \longrightarrow W_{n,2}$ and $W_{n,3}$ are minimal crucial words over A_n avoiding abelian squares and abelian cubes, respectively.

Note:

- $|W_{n,2}| = 4n 7$ and $|W_{n,3}| = 9n 13 \longrightarrow W_{n,2}$ and $W_{n,3}$ are minimal crucial words over A_n avoiding abelian squares and abelian cubes, respectively.
- In the case of $k \ge 4$, we make the following conjecture.

Conjecture (G.-Halldórsson-Kitaev, 2008)

For $k \ge 4$ and sufficiently large n, the length of a minimal crucial word over A_n avoiding abelian k-th powers is given by $k^2(n-1) - k - 1$.

 Trivial lower bound: ℓ_k(n) ≥ nk − 1 as all letters except n must occur at least k times, whereas n must occur at least k − 1 times.

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- A slight improvement using results in the case of abelian cubes

Theorem (G.-Halldórsson-Kitaev, 2008)

For $n \ge 5$ and $k \ge 4$, we have $\ell_k(n) \ge k(3n-4) - 1$.

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 A word W over A_n is maximal with respect to a given set of prohibitions if W avoids the prohibitions, but xW and Wx do not avoid the prohibitions for any letter x ∈ A_n.

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- A word W over A_n is maximal with respect to a given set of prohibitions if W avoids the prohibitions, but xW and Wx do not avoid the prohibitions for any letter x ∈ A_n.
- Example: 323121 is a maximal abelian square-free word over {1,2,3} of minimal length.
- The length of a minimal crucial word gives a lower bound for the length of a shortest maximal word.
Korn (2003): the length ℓ(n) of a shortest maximal abelian square-free word over A_n satisfies

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Question: Can our approach improve Bullock's result or can it provide an alternative solution?



Takk Fyrir!

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