A Clay Mask Depiction from Göbekli Tepe

Oliver Dietrich, Laura Dietrich, and Jens Notroff

Long before the extensive use of clay in households for the production of vessels and other items of daily use, clay was, in sundried or burned form, an important material to produce figurines. Anthropomorphic and zoomorphic clay figurines are a common occurrence in Pre-Pottery Neolithic (PPN) sites of the Near East (Morsch 2002, 2017; Hansen 2007: 57-94, 2014; Meskell 2007; Kuijt 2017). The find of an anthropomorphic figurine from Hayonim could hint at an even much longer tradition, reaching back into the Epipalaeolithic (Valla 2000: 25, Fig. 11). The quantity of figurine finds in PPN sites differs however, and this may not always be explainable by the size of the excavated areas or the state of research. Two extensively excavated sites sharing several similarities in their architectural features may serve to illustrate these differences. From PPNB Nevalı Çori in southeastern Turkey, 665 figurines are known (Morsch 2017: 189), of which 90% depict men and women (animals or abstract forms are rare). From Göbekli Tepe, which is well-known for its abundant and predominantly male imagery in the relief decorations of architectural features and in the form of stone sculptures, no clay figurines have been published so far.

Fig. 1 Enclosure D under excavation in 2001, a limestone mask depiction was found at the level of the fox reliefs, the clay mask depiction discussed here, 10 cm deeper in the filling. (Photo: K. Schmidt, © DAI)

The late excavator of the site, Klaus Schmidt (2016: 125-127), has repeatedly emphasized this dichotomy. He assumed that the exclusive presence of limestone sculptures and figurines at Göbekli Tepe could hint at different meanings of the two materials – clay and stone - for PPN people. Clay would be relevant to the living and their rituals, while stone belonged to the sphere of the dead, to which he attributed the monumental buildings with T-shaped limestone pillars discovered at Göbekli Tepe¹. One of his main arguments regarded the peculiar spatial distribution of clay figurines at Nevalı Çori. There, figurines have been found in nearly all domestic areas of Layers III and IV (Morsch 2017: 198). They are however absent from the so-called cult building that features pillars similar to those found at Göbekli Tepe (Hauptmann 1993). Inside the cult building on the other hand, nearly all of the limestone sculptures known from Nevalı Çori have been found (Hauptmann 2011: 95-100). This could hint at distinctions between public and household cult activities. But even with this evidence, Schmidt's interpretation can be discussed controversially. The question whether clay figurines always must be attributed to the cultic

realm still is, and probably will remain, under discussion (compare the very diverse and partly controversial approaches to figurines in Insoll 2017). The current contribution however has another aim, and that is to discuss an exception from the clay-stone antagonism at Göbekli Tepe.

A Clay Mask Depiction from Enclosure D

During the 2001 excavation season, Enclosure D was in the focus of research (Fig. 1). Excavations in area L9-78 soon revealed Pillar 18, the eastern central pillar of the building, as well as some of the pillars in the encircling enclosure wall. At the level at which the fox relief on Pillar 18 was reached, about 2.20 m deep within the enclosure's filling, a small stone object was retrieved in the pillar's vicinity the miniature representation of a mask made from limestone (Fig. 3b; Dietrich et al. 2018: 8, Fig. 5). But the next 10 cm of excavated sediment (i.e. Locus 4.7 in excavation area L9-78) held another surprise: a second mask, but this time made from clay (Fig. 2). This find has never been published and has also not been included in a recent article on mask representations from Göbekli Tepe and other early Neolithic sites (Dietrich et al. 2018). During work on this find group a closer examination of the

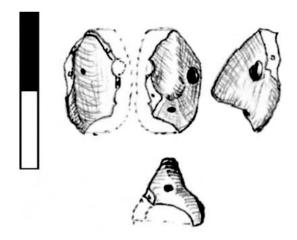


Fig. 2 Clay mask depiction from Göbekli Tepe. (Drawing: K. Schmidt, © DAI)

piece has shown that the interpretation as another mask representation is more likely.

The fragmentarily preserved object was originally ovaloid in form, the back is concave. It features a very prominent nose and large, nearly open-worked eyes. An indention supposedly depicting the mouth is rather small, on the other hand, and not very deep. The mask measures just 1.3 x 0.7 cm. The surface is darkened-greyish, which indicates burning in reducing conditions. Whether the mask was intentionally burned remains uncertain; a future scientific examination of the object could resolve this issue.

Dating the mask accurately is not easy, as the still ongoing stratigraphic evaluation is revealing a multifaceted history of backfilling through erosion and intentional events for Enclosure D (for a summary see Pöllath et al. 2018). The monumental round buildings had long biographies of use, and radiocarbon data may indicate a partial chronological overlap with the younger phase of site use, the rectangular buildings of the so-called Layer II (Dietrich 2011). Within excavation area L9-78, where the mask was found, Locus 4 marks the uppermost layer of the at least in modern times undisturbed Neolithic backfill inside Enclosure D. It was divided by a loamy layer with numerous small stones from the superposing unit, reddish in colour, with fist-sized stones and numerous lithic finds. The excavators described it as "heterogenous", which may indicate a complex formation process. This layer can possibly be interpreted as the youngest event of the refilling of the enclosure, because below this locus, color and composition of the sediment changed, probably indicating another, older backfilling. Thus, the mask would have been deposited during the last stages of backfilling. Its provenience therefore does not give a clear evidence about its use. However, we consider a date for its deposition in the backfill not younger than the early PPNB probable. The find also bears some resemblance to a PPNB miniature mask discovered at Nevalı Cori – which was made from limestone however (Hauptmann 2011: Fig. 17).

Stone Miniature Masks at Göbekli Tepe

Stone miniatures of masks have recently been highlighted as an important feature of Göbekli Tepe and other PPN sites with special buildings (Dietrich et al. 2018). Four such depictions of masks are known from Göbekli Tepe. Before the start of excavations in 1995, a larger-than-life-sized and complete human mask was found during surface cleaning (Fig. 3a; Dietrich et al. 2018: 7, Fig. 4). The depiction of the face is minimalistic, almost abstract. The eyes are very faint, and the mouth is absent. The forehead and nose are carved in a geometrical manner, almost resembling a 'T' - not unlike the characteristic faces of contemporary human sculptures (Dietrich et al. in press). With a height of 42 cm it seems too large to be worn, it could have been intended to be fixed on a wall or another kind of support. The second mask is miniature (5.7 cm high), also made from limestone (Fig. 3b; Dietrich et al. 2018: 8, Fig. 5), and was, as already mentioned, found in the upper layers of the filling of Enclosure D in 2001. It has a concave backside; the features of the face are depicted minimalistic similar to the large mask. The third



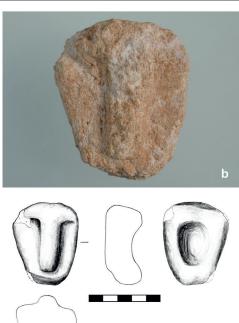


Fig. 3 Limestone mask depictions from Göbekli Tepe. (Photos: K. Schmidt, drawing Ç. Köksal-Schmidt, © DAI)





Fig. 4 Limestone mask depictions from Göbekli Tepe. (Photos: N. Becker, © DAI).

mask, another miniature (4.7 cm high) was made from a flint cortex (Fig. 4a; Dietrich *et al.* 2018: 8, Fig. 6). It shows curved chevrons engraved into its forehead and was found in 2010, rather high in the stratigraphy, during excavations in Enclosure H, next to (central) Pillar 51. Finally, the fourth miniature mask depiction (4.5 cm high) is again made from a flint cortex (Fig. 4b; Dietrich *et al.* 2018: 8, Fig. 7). Its form follows the reduced depiction of the face of the first two examples, with more pronounced eyes. It was found in 2008 next to the eastern central pillar of Enclosure C.

Discussion

The group of now five mask depictions leaves room for a wide range of interpretations. Are we dealing with personal, transportable mnemonic devices with a connection to possible ritual performances at the site? Are they insignia of participation in certain rites, of initiation? Other than different anthropomorphic representations, for example stone heads, which were regularly deposited deep in the filling next to the pillars (Dietrich *et al.* in press), they do not seem to be linked to the initial biographies of the monumental enclosures, but rather to the final stages of use and their final backfilling. Garfinkel (2017: 147-148) has proposed three principal uses of masks, based on a review of ethnographic literature: performance masks (often used

in dances: Garfinkel 1998, 2014, 2018), funerary masks, and protective masks. The last category comprises miniatures, not supposed to be seen by other people than the owner except for special ritual occasions and meant to ward off evil. Garfinkel places the miniature mask from Nevalı Çori in this category, and it is certainly tempting to interpret the miniature masks (not so much the larger than life mask discovered in 1995) from Göbekli Tepe along the same lines.

Coming back to the clay/stone dichotomy mentioned at the beginning of this short contribution, one² figurative clay item from Göbekli Tepe does not negate the observation that such objects are generally absent from the site. No such representations were found in the lower layers of the enclosures so far, which could be linked to the buildings' use life or the first stages in their abandonment. But the find raises the question to what degree the presence of clay depictions may be obscured by bad preservation of unburnt or only slightly burned/sundried clay objects. The sediments at Göbekli Tepe are very rich in limestone rubble and flint debitage, which may have had a negative impact on the 'survival rate' of such items. Although a massive presence of clay objects cannot be proven, such objects could have been in use in smaller numbers in the rectangular build-

ings surrounding the enclosures, in some cases ending up in the last strata of sediment in the lower lying monumental buildings due to erosion processes. To prove or falsify this hypothesis is a task for future research.

Acknowledgements: We are grateful to the Ministry of Culture and Tourism of Turkey for kind permission to excavate at Göbekli Tepe in cooperation with the Museum of Şanlıurfa. Research at Göbekli Tepe is funded by the German Archaeological Institute (DAI) and the German Research Foundation (DFG). We thank Yosef Garfinkel for his helpful comments on an earlier version of this text.

Endnotes

- ¹ Parker-Pearson and Ramilisonina (1998) have argued for a similar dichotomy of perishable wood for the living and stone for the ancestors regarding Stonehenge and contemporary timber monuments drawing on analogies from Madagascar.
- ² It should be mentioned here that another figurative clay item, fragmentary but resembling an aviform Nemrik scepter in shape (Dietrich *et al.* 2017: 121, fig. 5.24 for the stone counterparts) exists from Göbekli Tepe. It was however discovered in a disturbed rubble layer within Enclosure C and cannot be associated with the use life of the building. As this layer has also produced wheel-thrown pottery, the dating of this item remains unclear and will have to await a complete evaluation of the site's Nemrik scepters.

10

Oliver Dietrich

German Archaeological Institute, Orient Department oliver.dietrich@dainst.de

Laura Dietrich

German Archaeological Institute, Orient Department laura.dietrich@dainst.de

Jens Notroff

German Archaeological Institute, Orient Department jens.notroff@dainst.de

References

Dietrich O.

2011 Radiocarbon dating the first temples of mankind. Comments on ¹⁴C-dates from Göbekli Tepe. *Zeitschrift für Orient-Archäologie* 4: 12-25.

Dietrich O., Notroff J. and Dietrich L.

2018 Masks and masquerade in the early Neolithic: a view from Upper Mesopotamia. *Time and Mind* 11(1): 3-21.

in press Anthropomorphic imagery at Göbekli Tepe. In: J. Becker, C. Beuger and B. Müller-Neuhof (eds.), Iconography and symbolic meaning of the human in Near Eastern prehistory. Workshop proceedings 10th ICAANE in Vienna. Wiesbaden: Harrassowitz.

Dietrich O., Notroff J. and Schmidt K.

2017 Feasting, social complexity and the emergence of the early Neolithic of Upper Mesopotamia: a view from Göbekli Tepe. In: R.J. Chacon and R. Mendoza (eds.), Feast, famine or fighting? Multiple pathways to social complexity: 91-132. New York: Springer.

Garfinkel Y.

1998 Dancing and the beginning of art scenes in the early village communities of the Near East and Southeast Europe. *Cambridge Archaeological Journal* 8: 207-237.

2014 Archaeology of dance. In: K. Soar and C. Aamodt (eds.), *Archaeological approaches to dance performance*: 5-14. Oxford: Archaeopress.

Dancing with masks in the proto-historic Near East. In:
C. Renfrew, I. Morley and M. Boyd (eds.), *Ritual, play, and belief, in evolution and early human societies*: 143-169. Cambridge: Cambridge University Press.

2018 The evolution of human dance: courtship, rites of passage, trance, calendrical ceremonies and the professional dancer. *Cambridge Archaeological Journal* 28: 283-298.

Hansen S.

2007 Bilder vom Menschen der Steinzeit. Untersuchungen zur anthropomorphen Plastik der Jungsteinzeit und Kupferzeit in Südosteuropa. Mainz: Zabern.

Neolithic figurines in Anatolia. In: M. Özdoğan, N. Başgelen and P. Kuniholm (eds.), 10500-5200 BC: Environment, settlement, flora, fauna, dating, symbols of belief, with views from North, South, East and West. The Neolithic in Turkey 6: 265-292. Istanbul: Archaeology and Art Publications.

Hauptmann H.

1993 Ein Kultgebäude in Nevalı Çori. In: M. Frangipane, H. Hauptmann, M. Liverani, P. Matthiae and M. Mellink (eds.), Between the rivers and over the mountains. Archaeologica Anatolica et Mesopotamica Alba Palmieri dedicate: 37-69. Rome: Dipartimento di Scienze Storiche Archaeologiche e Anthropologiche dell'Antichità, Università di Roma 'La Sapienza'.

2011 The Urfa region. In: M. Özdoğan, N. Başgelen and P. Kuniholm (eds.), *The Neolithic in Turkey 2. The Euphrates Basin*: 85-138. Istanbul: Archaeology and Art Publications.

Insoll T. (ed.)

2017 The Oxford handbook of prehistoric figurines. New York: Oxford University Press.

Kuijt I. 2017

Clay ideas: Levantine Neolithic figurine trajectories and intellectual threads. In: T. Insoll (ed.), *The Oxford handbook of prehistoric figurines*: 545-566. New York: Oxford University Press.

Meskell L.M.

2007 Refiguring the corpus at Çatalhöyük. In: C. Renfrew and I. Morley (eds.), *Material beginnings: a global perspective of figurative representation*: 143-156. Oxford: Oxbow Books.

Morsch M.

2002 Magic figurines? Some remarks about the clay objects of Neval Çori. In: H.G.K. Gebel, B. Dahl Hermansen and C. Hoffmann Jensen (eds.), Magic practices and ritual in the Near Eastern Neolithic. Proceedings of a workshop held at the 2nd International Congress on the Archaeology of the Ancient Near East (ICAANE) in Copenhagen 2000. Studies of Early Near Eastern Production, Subsistence, and Environment 8: 145-162. Berlin: ex oriente.

2017 Dress code, hairstyles and body art. Markers of corporate identities in T-shaped-pillar sites of Upper Mesopotamia? In: M. Benz, H.G.K. Gebel and T. Watkins (eds.), Neolithic corporate identities. Studies of Early Near Eastern Production, Subsistence, and Environment 20: 187-199. Berlin: ex oriente.

Parker-Pearson M. and Ramilisonina

1998 Stonehenge for the ancestors: the stones pass on the message. *Antiquity* 72: 308-326.

Pöllath N., Dietrich O., Notroff J., Clare L., Dietrich L., Köksal-Schmidt C., Schmidt K. and Peters J.

Almost a chest hit: An aurochs humerus with hunting lesion from Göbekli Tepe, south-eastern Tukey, and its implications. *Ouaternary International* 495: 30-48.

Schmidt K.

2016 Sie bauten die ersten Tempel. Das rätselhafte Heiligtum am Göbekli Tepe. München: Beck.

Valla F.

La sédentarisation au Proche Orient: la culture Natufien.
In: J. Guilaine (ed.), *Premiers paysans du monde*: 13-30.
Paris: Errance.