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Polymer interfaces and biopharmaceuticals: Chemistry, designs and challenges

David W. Grainger *University of Utah, USA, david.grainger@utah.edu*

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Polymer interfaces and biopharmaceuticals: chemistry, designs and challenges

David W. Grainger, Ph.D.

Departments of Biomedical Engineering, and Pharmaceutics University of Utah Salt Lake City, UT, USA david.grainger@utah.edu

> Single Use Technologies III Snowbird, 2018



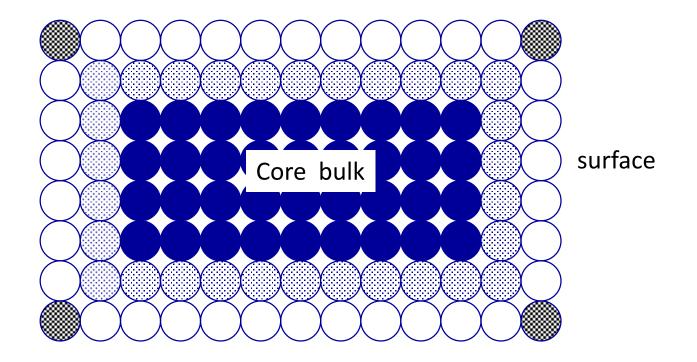


BIOMEDICAL ENGINEERING COLLEGE OF ENGINEERING | THE UNIVERSITY OF UTAH

Polymer surface and biological fluids



The surface (physics/chemistry) differs from the bulk



- Surface energies are different than bulk
- Surface reactivities are different than bulk
- Surface properties are different than bulk

Defining and Characterizing Interfaces

- general points
 - surfaces are uniquely reactive
 - surface vs. bulk
 - surfaces readily contaminate
 - surface can be mobile
- surface parameters
 - roughness/topography
 - chemical composition
 - surface energy/wettability
 - crystallinity

What's so special about a surface?

Surface phenomena are driven by a reduction in surface (free) energy.

Biomaterials surfaces are sites of:

- adsorption of a species from the environment
- surface segregation of a species from the bulk of biomaterial
- surface reconstructions/re-organization
- surface reactions

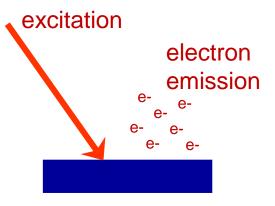


What information do you want?

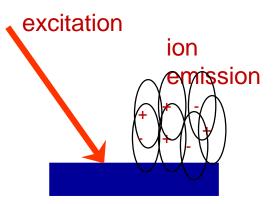
- In most cases, cells and proteins, microorganisms respond to outer atomic layers (~3nm) or first few monolayers) of surface
- Spatial resolution of method
- Topographical information
- Gradient between surface and bulk

Lots of expensive toys and tools for analysis

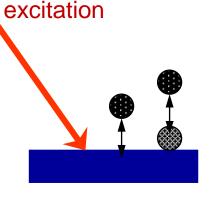
Electron Spectroscopies



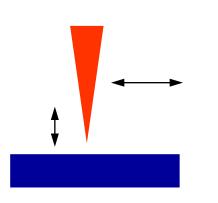
lon Spectroscopies



Vibrational Spectroscopies



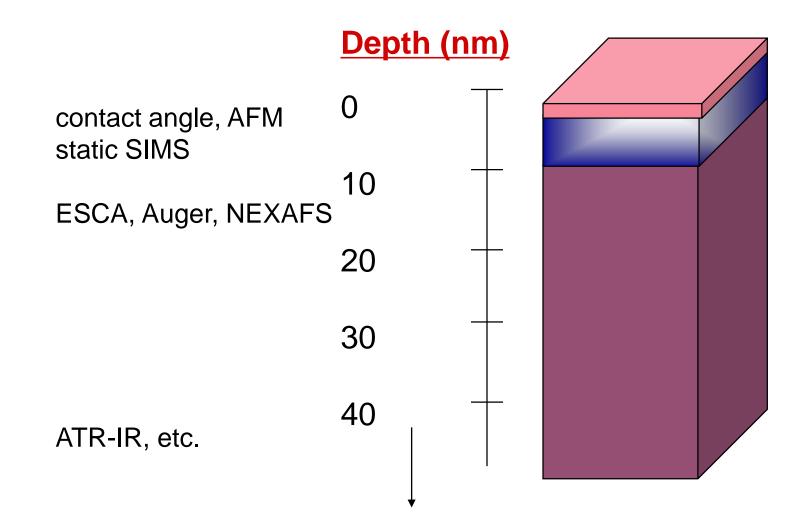
Scanning Probe Microscopies



Contact Angle Methods Diffraction Methods

excitation

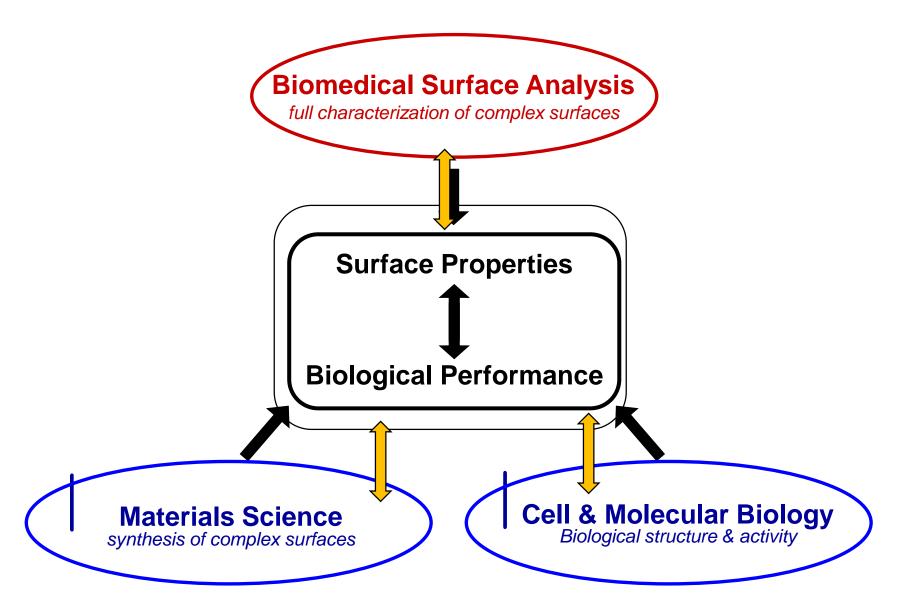
No one technique does it all!!



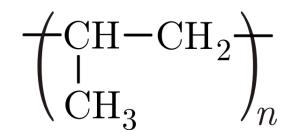
A single technique will provide an answer

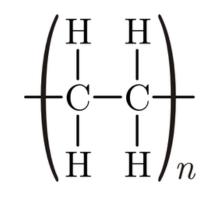
but it might not be the correct answer!

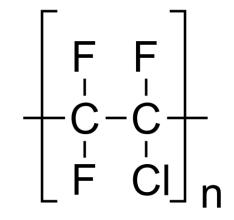
Heuristics and algorithms to provide ideas



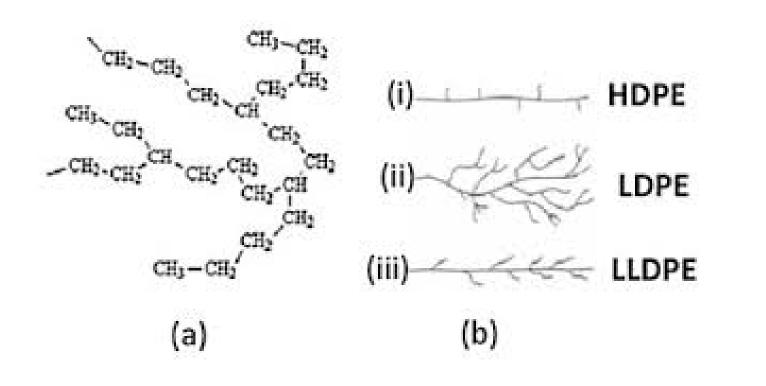
What is your surface?

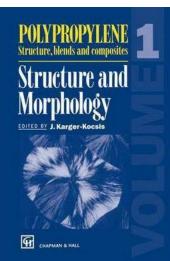




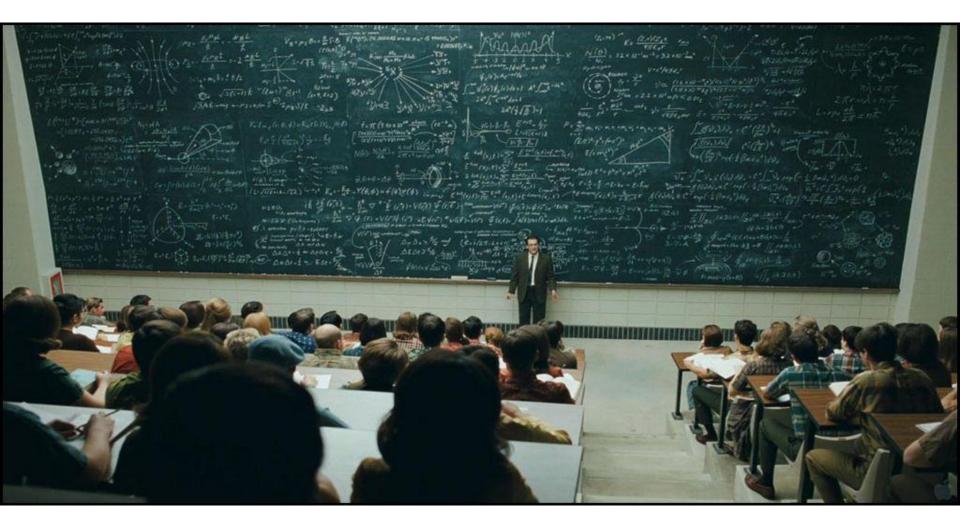


atactic? syndiotactic, isotactic?



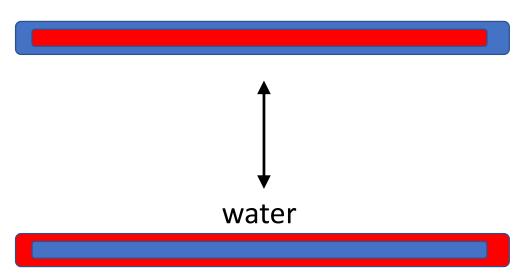


For biomedical applications, it's complicated.....



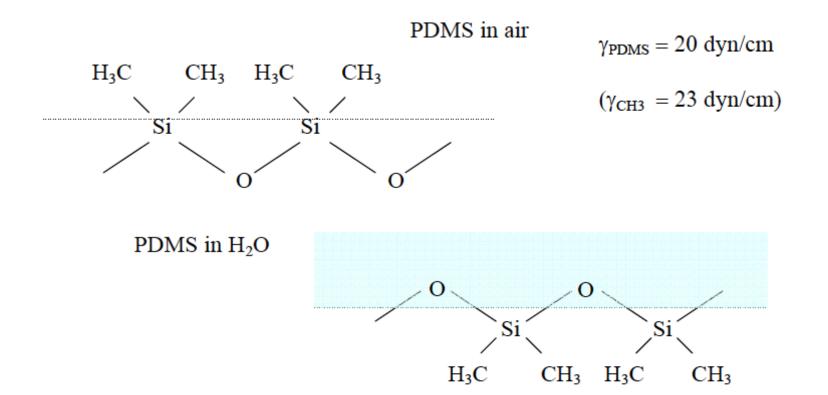
Surface mobility and re-arrangement

air



Reorientation of polymer chains: water versus air (PDMS)

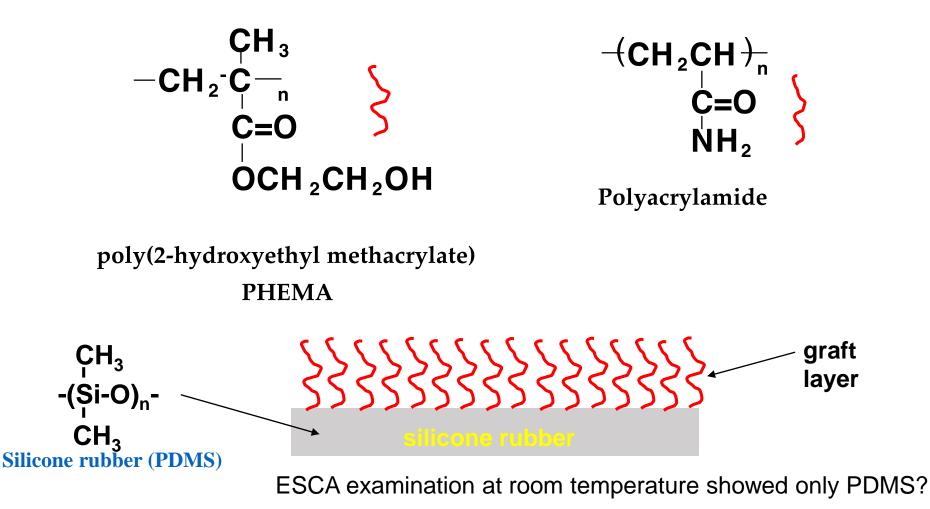
classical example: silicon rubber, PDMS



Demonstrating polymer mobility using XPS

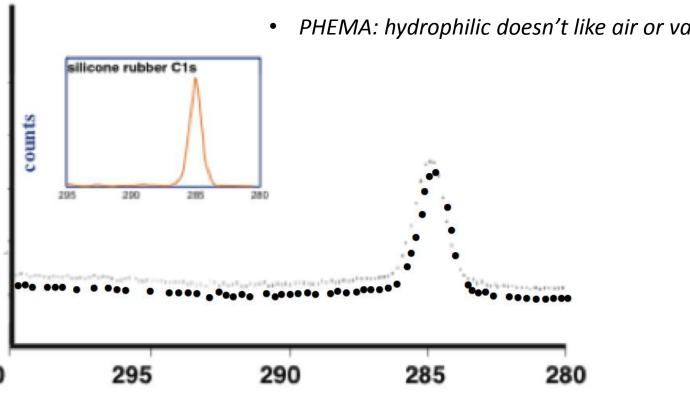
Ratner et. al. J. Appl. Polymer Sci. <u>22</u> 643 (1978)

Radiation grafted layers of poly(HEMA) and polyacrylamide (>1 µm thick) on silicone rubber core



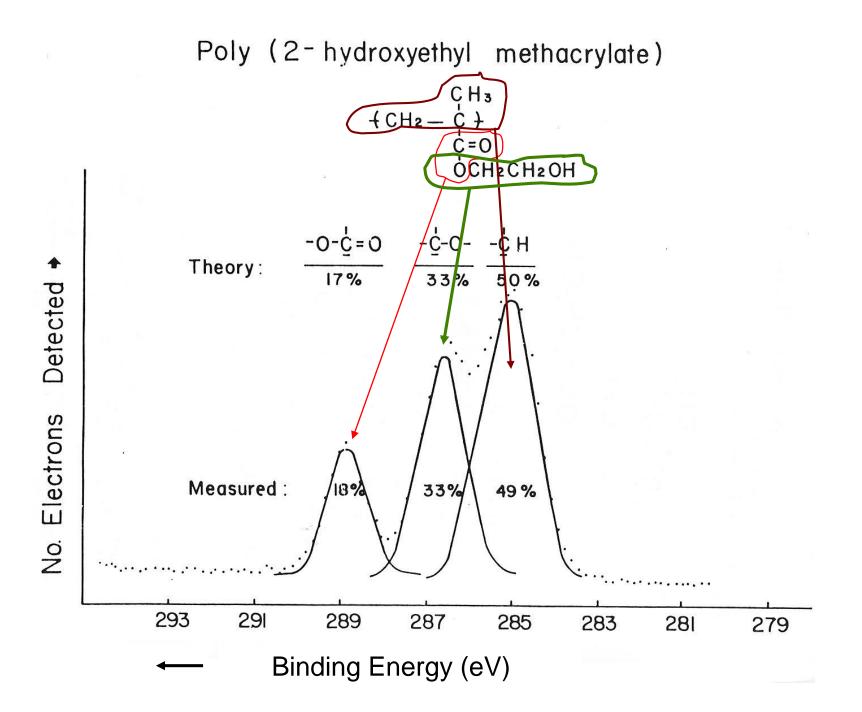
Initial XPS spectra under vacuum looked like pure silicone rubber

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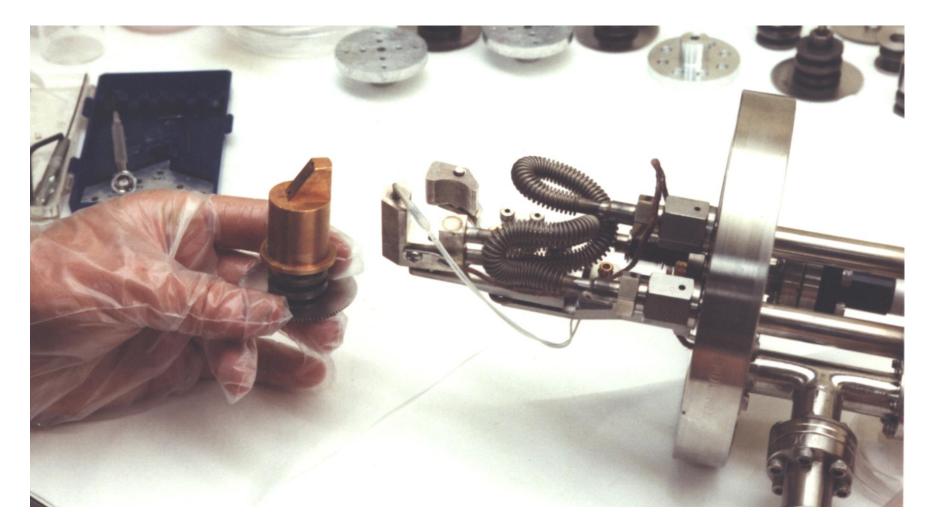


PHEMA: hydrophilic doesn't like air or vacuum

Silicone rubber: hydrophobic – likes air

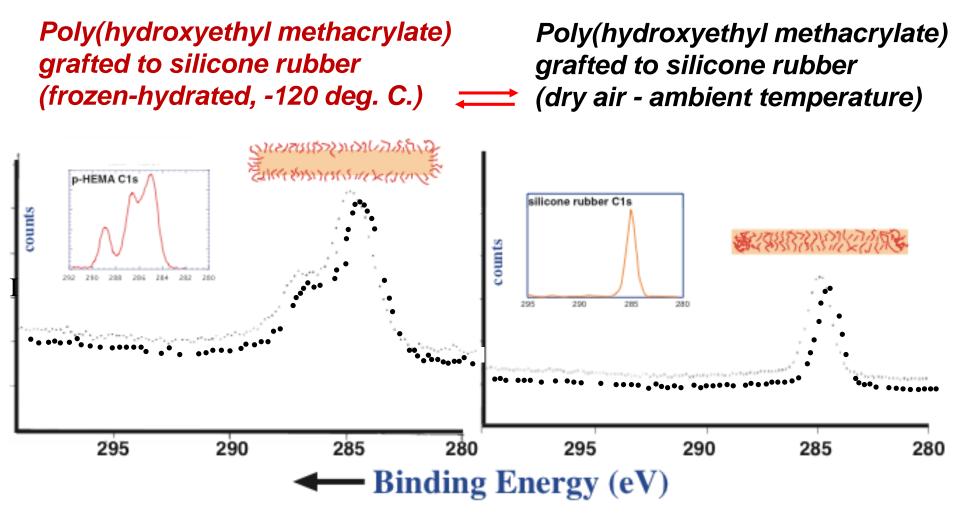


Install a cold stage on the ESCA/XPS instrument



Frozen hydrated samples can be studied

Polymer surface mobility: cold stage XPS/ESCA



same study done with an acrylamide graft (reversal seen); also grafted on polyethylene (no reversal)

Ratner, BD; et al., (1978): Radiation-grafted hydrogels for biomaterial applications as studied by the ESCA technique. *J. Appl. Polym. Sci.* 22, 643-664.

Polymer surface mobility by ESCA

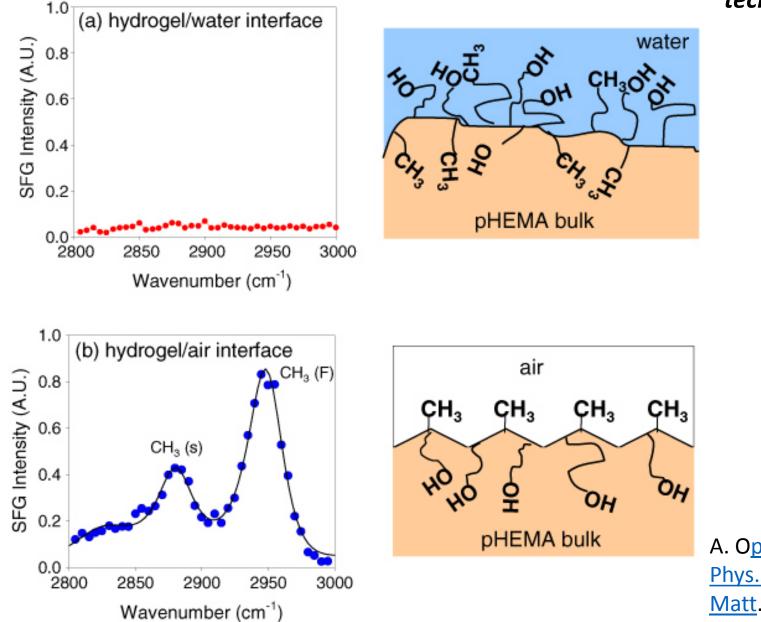
Ratner et al., J. Appl. Polym. Sci. 22, 643-664

Considerations for this study

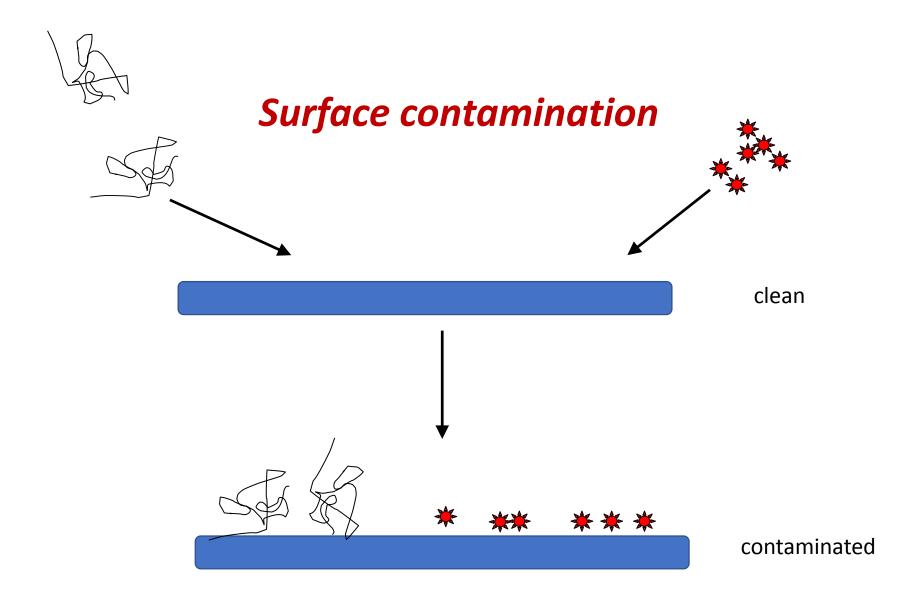
- 1. Vacuum pumping on frozen samples (particularly with ion pumps)
- 2. Molecular mobility and glass transition temperature of polymers (also, did the grafted layer migrate into water or did the silicone migrate into air?)
- 3. Penetration depth and graft layer thickness

More polymer mobility: hydrogels

Sum Frequency Generation (SFG) technique



A. Opdahl et al., <u>J.</u> <u>Phys. Condensed</u> <u>Matt., 16(21)</u> (2004).



Common lab surface-active agents = ubiquitous surface contaminants

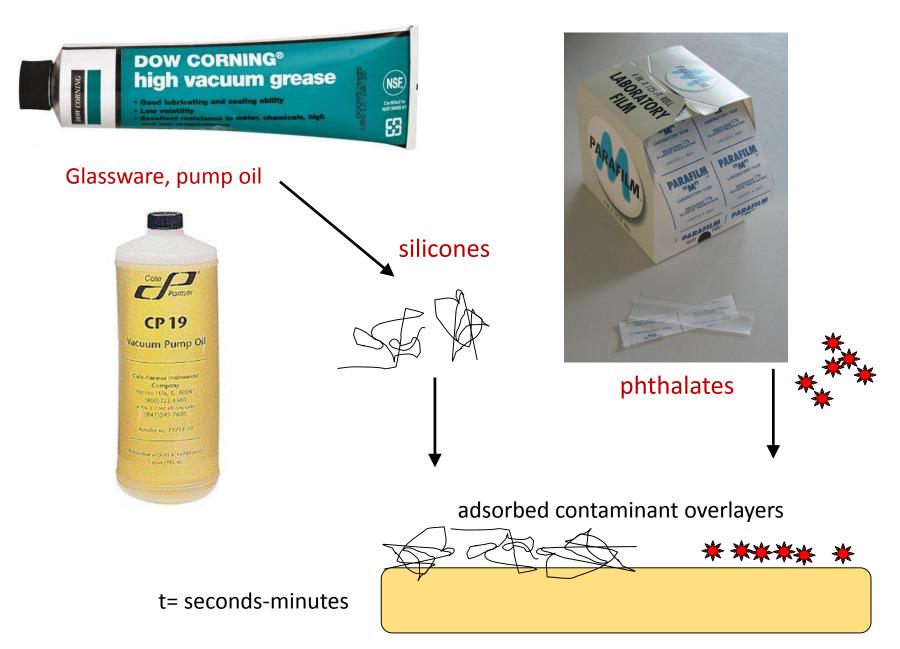
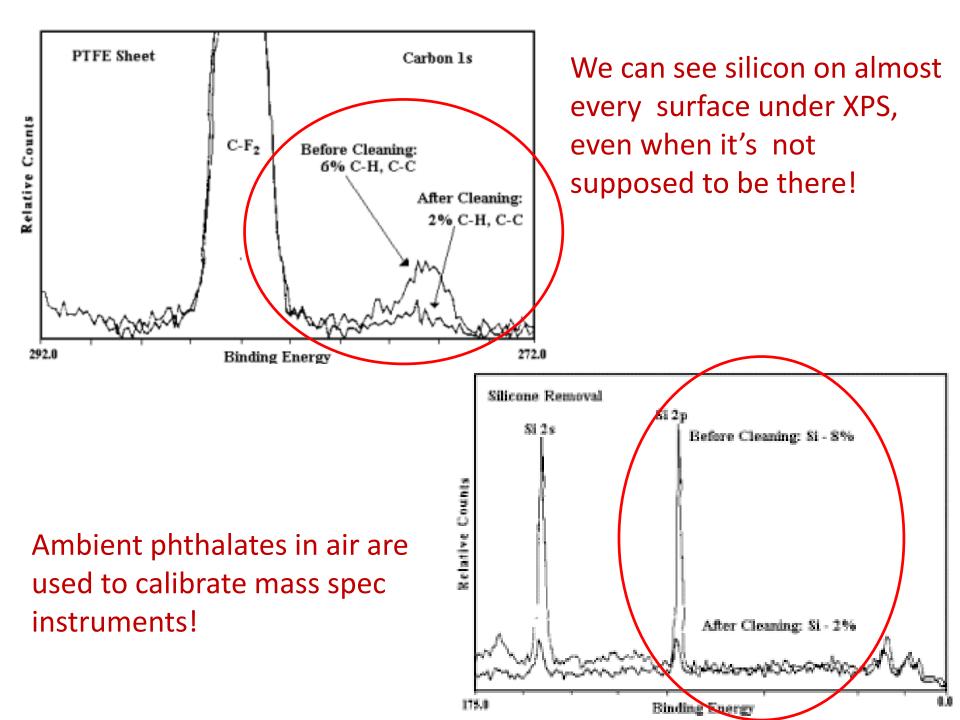


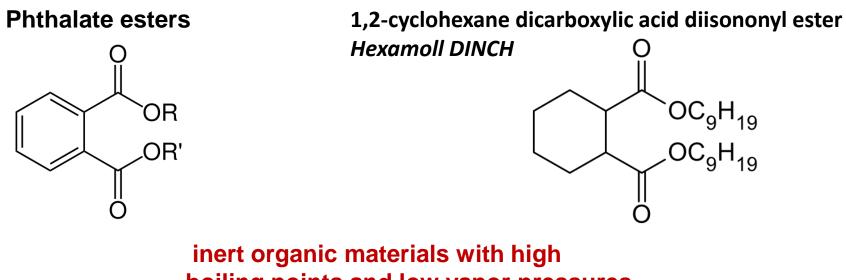
Table 1. Principal outgassed species from a post-cured silicone rubber compound.

Component	Probable Source
cyclohexasiloxane	LMW silicone fluid
phenyl benzoate	catalyst byproduct
linear hexasiloxane	LMW silicone fluid
linear pentasiloxane	LMW silicone fluid
propanoic acid ester	catalyst byproduct
diethylphthalate	pigment wetting agent
Cx hydrocarbons	Silane coupling agent
cyclopentasiloxane	LMW silicone fluid
biphenyl hydrocarbon	catalyst byproduct
linear heptasiloxane	LMW silicone fluid
Cx aldehydes	catalyst decomposition product
cyclotrisiloxane	LMW silicone fluid

https://imageserv5.team-logic.com/mediaLibrary/99/Outgassing_20of_20Silicone_20Elastomers.pdf



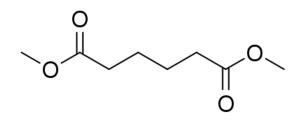
Common plasticizing agents

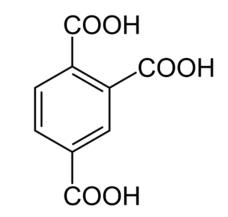


boiling points and low vapor pressures.

Trimellitic acids/esters

Adipate salts and esters





Mechanism of plasticization

<u>External:</u>

Small molecules that "get between' polymer chains in amorphous thermoplastics to disrupt polymer-polymer interactions, lower Tg, act as lubricants to allow chain motions

<u>Internal:</u>

changing polymerization chemistry (copolymerization) to introduce polymer chain structures that disrupt chain-chain interactions and lower Tg. More porous, less cohesive structure, more flexible. Formable.

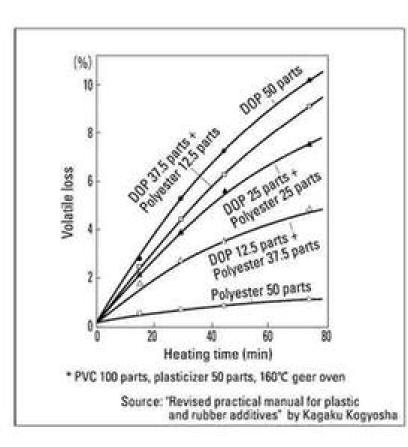
<u>Desired Result</u>: eliminate brittle, stiff character, deform at lower bending or tensile forces, imparting flexibility,

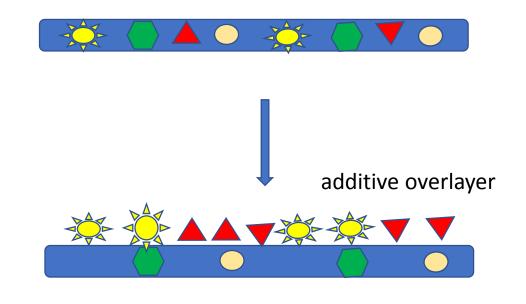
Additive bleed to surfaces:

Small molecule and oligomer additives bleed to polymer film surfaces

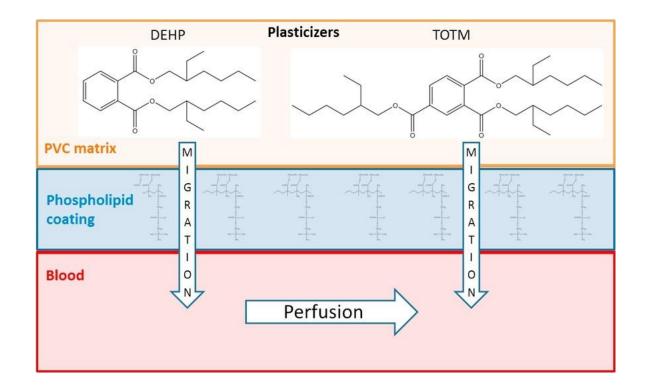
• Dyes, antioxidants, plasticizers, fillers, oligomers etc.

Your polymer <u>may not</u> be presenting the surface chemistry you think due to bleed.





http://www.pvc.org/en/p/propertymodification-of-pvc-products



"Migration behavior of both DEHP and TOTM was slightly, even though not significantly, increased by the anti-coagulation coating"

PDMS elastomer bleeds oligo-PDMS continuously to its surface, unless extracted in solvent or oxidized using plasma.

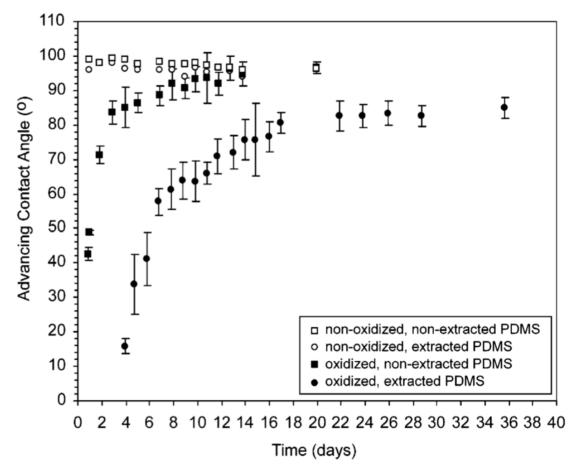
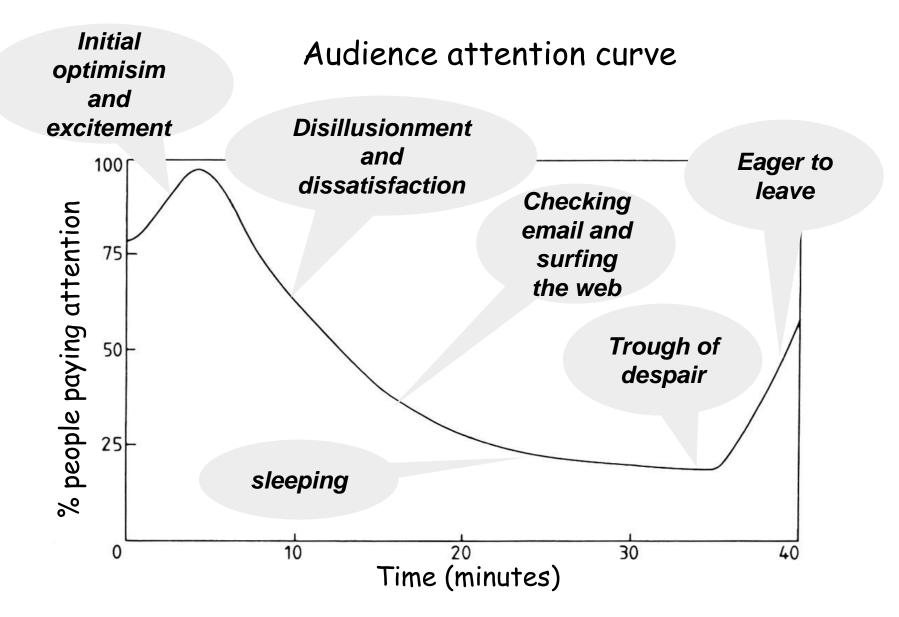


Figure 2. Advancing contact angle measurements of water on PDMS surfaces that were extracted or nonextracted and oxidized or nonoxidized. Surfaces that were extracted and oxidized remained hydrophilic in air for days; surfaces that were not extracted and oxidized regenerated the hydrophobic surface within hours. Error bars for the oxidized surfaces are shown and give an error of ± 1 standard deviation (sample size N = 30). Surfaces that were not oxidized (either extracted or not extracted) remained hydrophobic. An average error of $\pm 2^{\circ}$ (1 standard deviation) was measured for these surfaces; error bars were omitted on the graph for clarity.

Anal Chem 75, 2003 6548

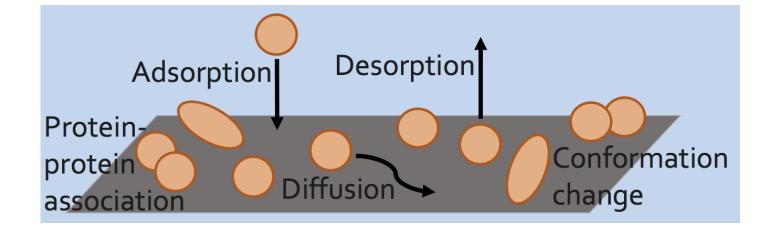
Audiences have limited attention spans





Surface

Biological milieu



Let's look at protein interfacial behavior:

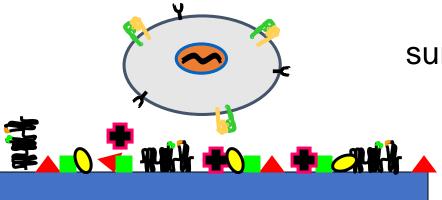
non-specific adsorption (all proteins, all surfaces)

• specific immobilization (desired proteins on certain surface locations)

Protein surface adsorption is a long story with a long history of study but few technical solutions for serious problems:

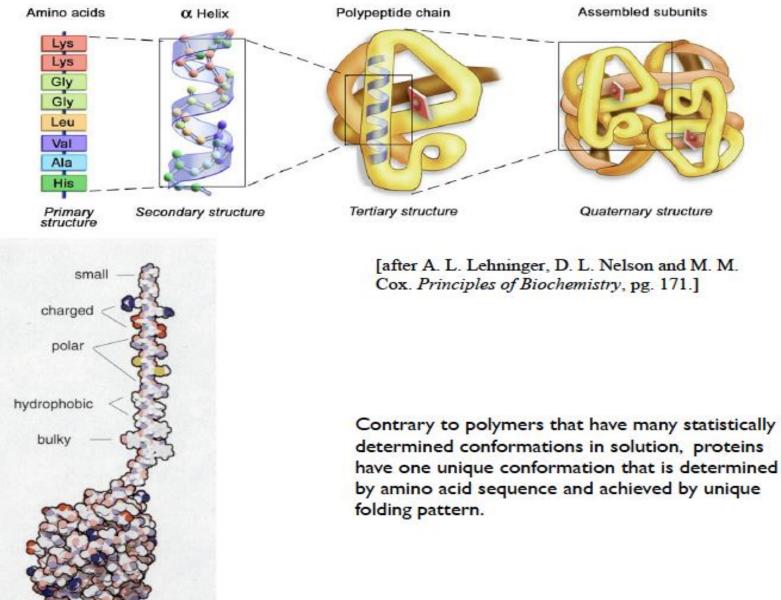
Protein Adsorption

- first "observable" event when a surface interacts with biology
- energy-driven dynamic process, dependent on proteins & surface
- mediates subsequent cascades/responses
 - clotting
 - cell adhesion
 - inflammation & wound healing
- adsorbed proteins as signal transduction elements



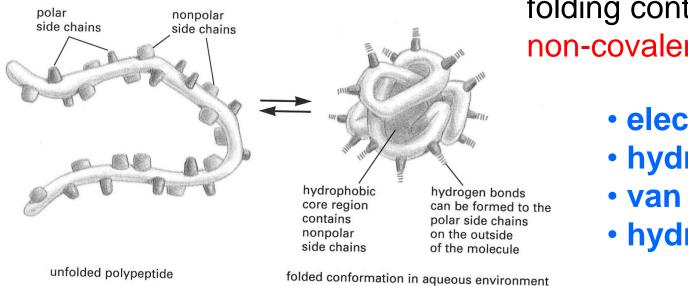
surface \rightarrow proteins \rightarrow cells

Proteins comprise discrete building blocks (amino acids) assembled into hierarchical structures.



Protein structure also produces interfacial reactivity

- high MW polymers of 20 different amino acids
- 1º structure controls protein 3D structure
- conformation 3D folded structure
- structure = function



folding controlled by non-covalent interactions

- electrostatic
- hydrogen bonding
- van der Waals
- hydrophobic

non-covalent interactions also control protein-surface interactions

Protein Structure Energetics: Stability

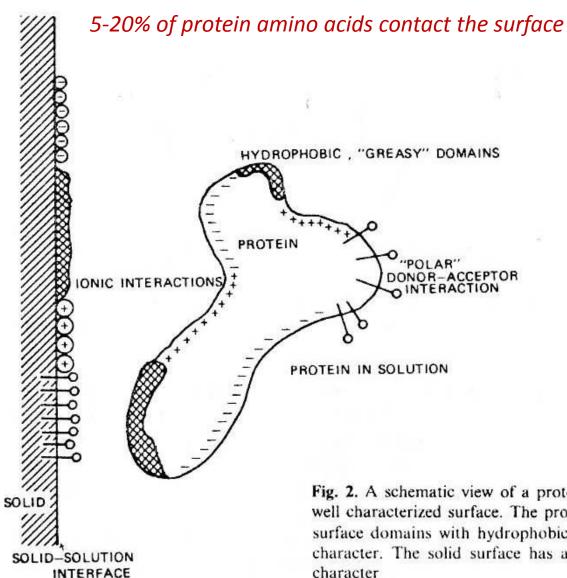
A close balance of competing energetics determine protein structure.

Type of interaction	$\Delta_{\text{compact-unfolded}}G$	Remarks
Coulomb	₹0	Depending on the pH relative to the isoelectric point of the protein/sorbent complex.
Hydrogen bond	≈ 0	Formation of protein-protein and water-water
Dipole	≈ 0	bonds compensated by loss of protein-water bonds.
Dispersion	≲ 0	Atomic packing densities in compact protein molecules higher than in water.
Hydrophobic dehydration	<< 0	Entropy increase in water released from con- tact with hydrophobic components.
Distortion of bond lengths and angles	> 0	Some bonds are under stress in the folded structure.
Rotational freedom along the poly- peptide chain	>> 0	Folding reduces the conformational entropy of the polypeptide chain and, possibly, the side groups.

Table 1 Interactions that Determine the Structure of a Protein Molecule in an Aqueous

 Environment

Surface and Protein Domains



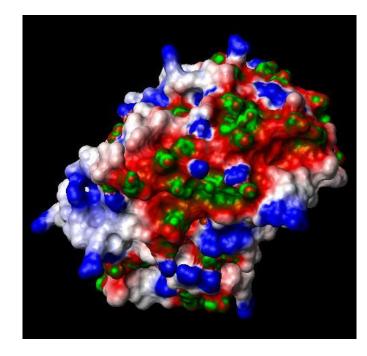
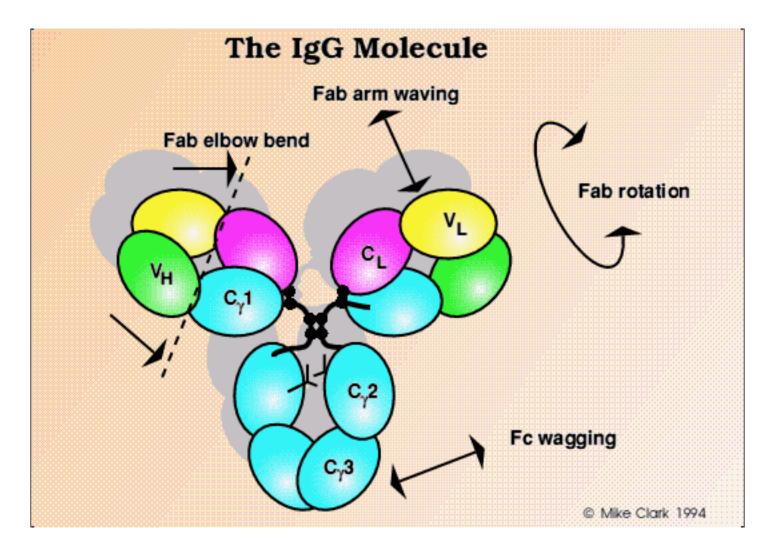


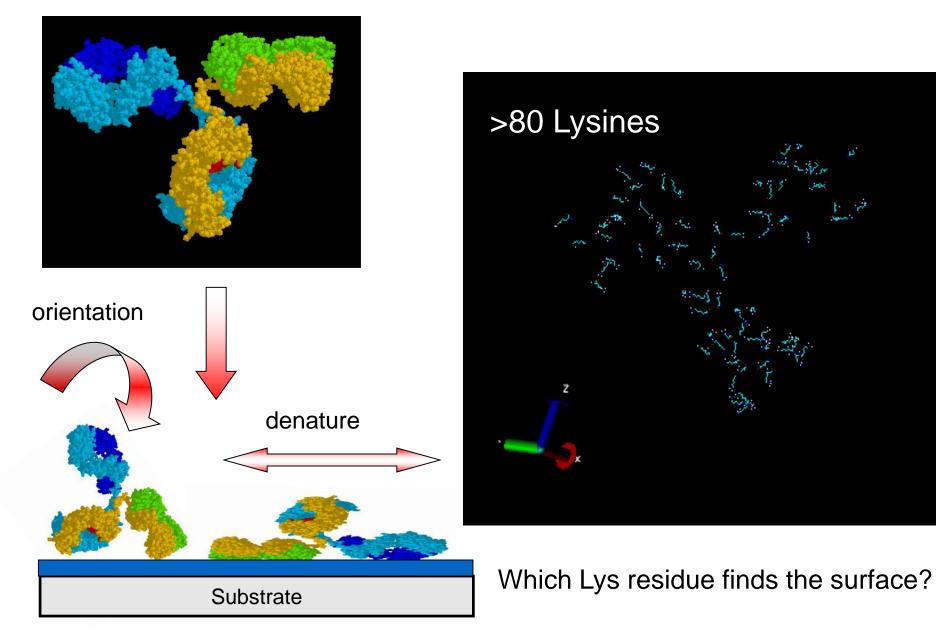
Fig. 2. A schematic view of a protein interacting with a well characterized surface. The protein has a number of surface domains with hydrophobic, charged, and polar character. The solid surface has a similar domain-like character

The globular protein model: dynamic, flexible, hydrated and meta-stable



Proteins are dynamic, moving and elastic structures: respond to local environments

Antibody Immobilization: (lysine-surface reaction)



aDescription, Modes

Adsorption is the process of association of solutes (or the solvent) ONTO a material interface

Absorption is when the solvent is taken up by the material (inside)

physisorption (physical adsorption): long range and weak van der Waals attraction between adsorbate and substrate ($\Delta H_{physisorption} \sim 20 \text{ kJ mol}^{-1}$)

 no activation barrier, fast, reversible, surface symmetry insensitive, multilayer formation possible, T_{surface} < T_{condensation}

chemisorption: short range and strong bonding between adsorbate and substrate ($\Delta H_{chemisorption}$

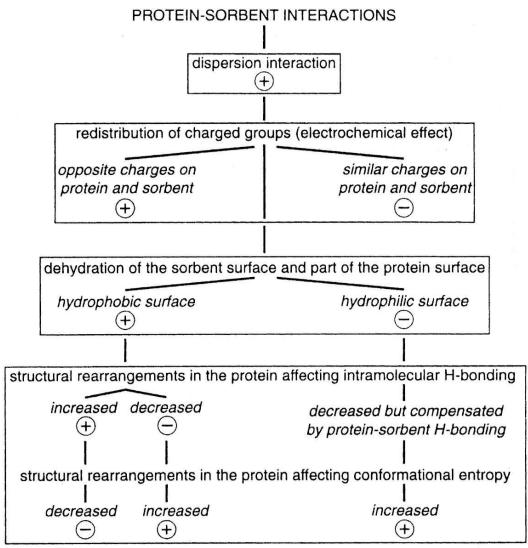
- ~ 200 kJ mol⁻¹)
- activation barrier possible (b), variable uptake kinetics, covalent / ionic / metallic bonding, often irreversible, surface symmetry specific, limited to monolayer, wide range of T_{surface}

Properties of typical soluble proteins

- Proteins > 8kDa begin to fold and exhibit higher order structure: domains
- Peptides (small chains) vs. proteins (folded larger chains)
- Proteins bury hydrophobic amino acids away into interior of domains avoid water
- Proteins expose hydrophilic amino acids in their hydration shells facing solutions
- Both energy demands compel proteins to fold and find a local energy minimum
- Membrane spanning proteins (cell channels, receptors) are largely insoluble and highly hydrophobic: only active in membranes
- Domains are held together by weak forces (H-bonds, acid-base, van der Waals)
 - small energy input can disrupt domain structures (shaking, heating, ultrasonic, electrochemical, surfaces): denaturation = loss of protein bioactivity
 - Domains can 'breathe' reversible excursions due to flexible conformations
- Glycosylation (attachment of sugars) renders proteins "sticky" to surfaces
- Balance of unfolding tendency vs. exterior hydration stability plays off on surfaces

All proteins have some interfacial activity, stability and affinity on surfaces

Overview of Protein Adsorption



Scheme 1 Interdependency of the major subprocesses that are involved in the overall protein adsorption process. Adsorption-promotion is denoted by + and adsorption-opposition by -.

Favorable and Irreversible

Protein adsorption is energetically favorable: the slight increase in enthalpy is more than compensated for by a large decrease in free energy. Increases in the system's entropy contribute to adsorption irreversibility.

	Lysozyme at pH 10 $(Z_H = +5)$		
_	∆G (kJ/	ΔH mol)	∆S (kJ/kmol)
Overall protein adsorption process	<< 0	-90	> 0
Dissociation of H+	-20	0	0.07
Overlap of electric fields	-10	-20	-0.03
Change in the chemical medium of the incorporated ions	30	-80	-0.37
Dehydration of the sorbent surface	-220	-40	0.60
Rearrangements in the protein structure	< 0	50	> 0

Table 3 Thermodynamic Analysis of the Adsorption of Lysozyme on Negatively Charged Polystyrene Surfaces

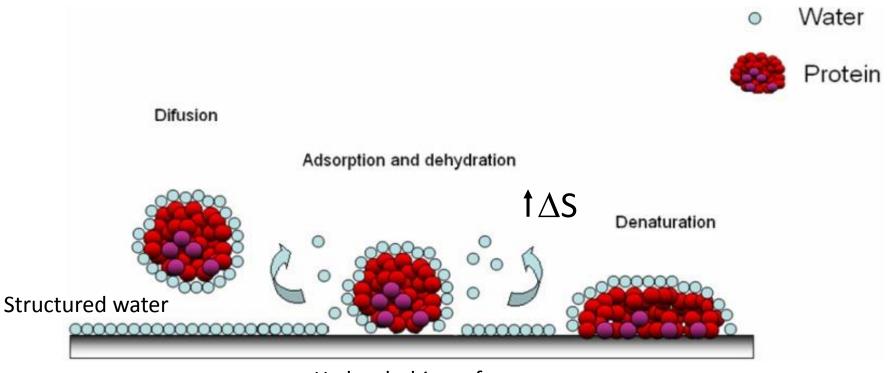
 $\Delta_{ads}G = \Delta_{ads}H - T\Delta_{ads}S$

largest contribution: dehydration of polystyrene (which means that protein binding is driven by surface desire to shed its neighboring water molecules)

negative enthalpy favors adsorption

these numbers look small but they have to be multiplied by 300 K (*T*); negative entropy opposes adsorption

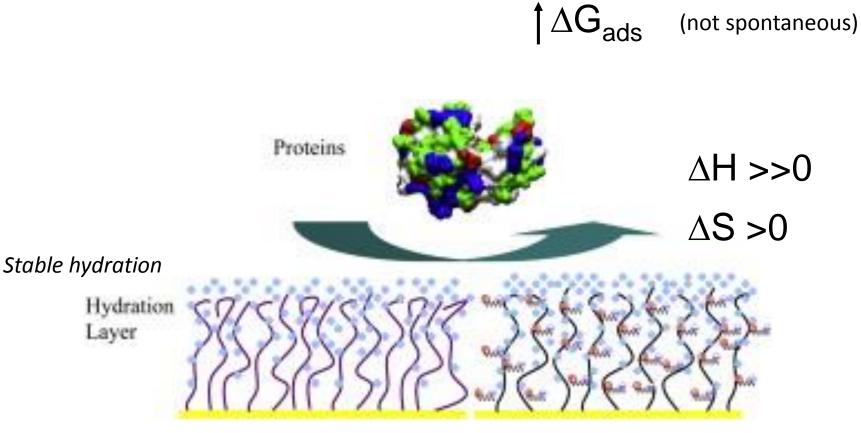
Entropy of adsorption: protein and surface dehydration drive adsorption



Hydrophobic surface

 ΔG_{ads} (spontaneous)

Energy of interaction: stable protein and surface hydration hinder adsorption

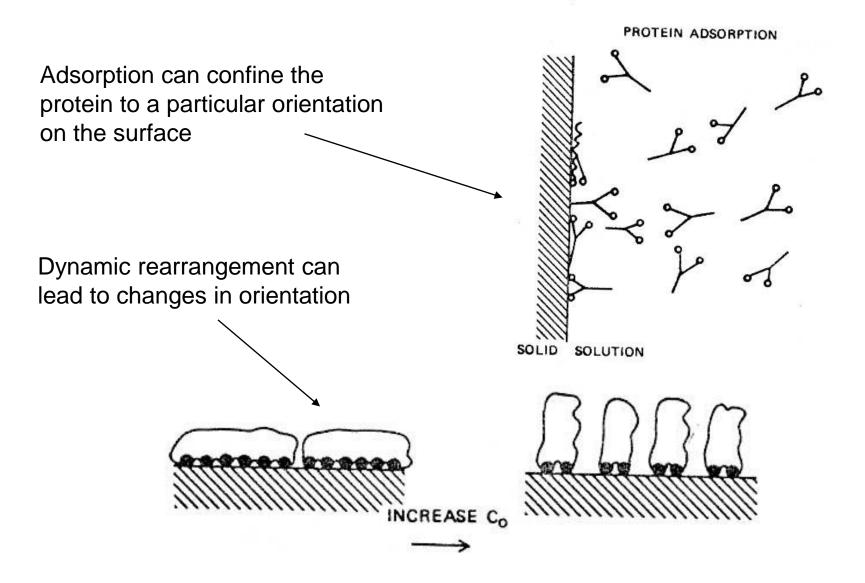


Hydrophilic Polymers

Zwitterionic Polymers

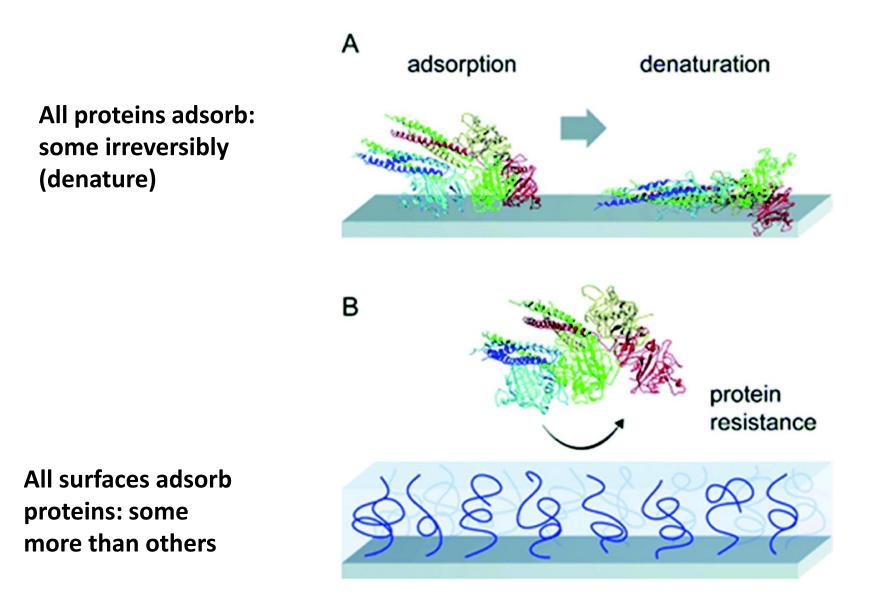
https://doi.org/10.1016/j.polymer.2010.08.022

Protein Surface Orientation



Orientation can affect protein activity!

Protein adsorption principles: take-home summary



Faraday Discuss., 2016,191, 435-464

Biomaterials-relevance: proteins at surfaces

- > 500 serum proteins, but only a few crystal structures known, more protein sequences known, and more identified simply as 'present' without info on function
- Relatively few studied in competitive adsorption experiments on surfaces
 - more is known about single protein adsorption in buffer: relevance to in vivo?
 - empirical correlations between surface chemistries, amounts of proteins and in vivo responses
- Adhesion vs. non-adhesion protein ratios important for cell attachment to surfaces
- High albumin adsorption correlated with low platelet and low macrophage activation
- Hydrophobic surfaces generally adsorb more protein because of favorable gain from both enthalpy (<0) and entropy (>0 for both protein and surface) [$\Delta G = \Delta H T\Delta S < 0$]
- Hydrophilic surfaces adsorb less proteins because of opposing energy cost for dehydrating both surfaces to impart adsorption contact -> stable hydration, low protein
- Many hypotheses correlating short-term cause-effect for protein adsorption and response in vivo, but few long-term correlations are observed --> always inflammation

• To date, no surface chemistry can control types and amounts of proteins

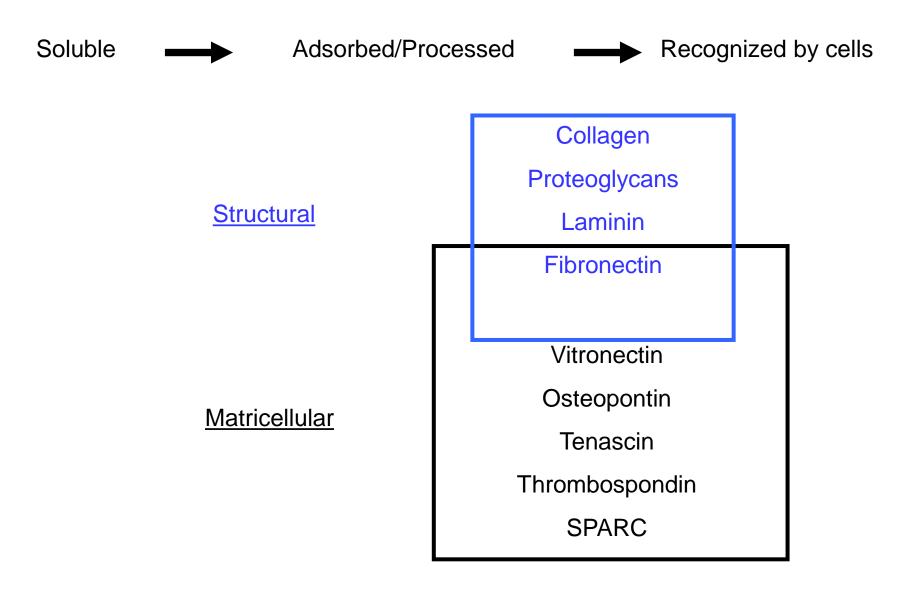
Properties of some major plasma proteins

> 500 soluble serum proteins \rightarrow all compete for the surface!

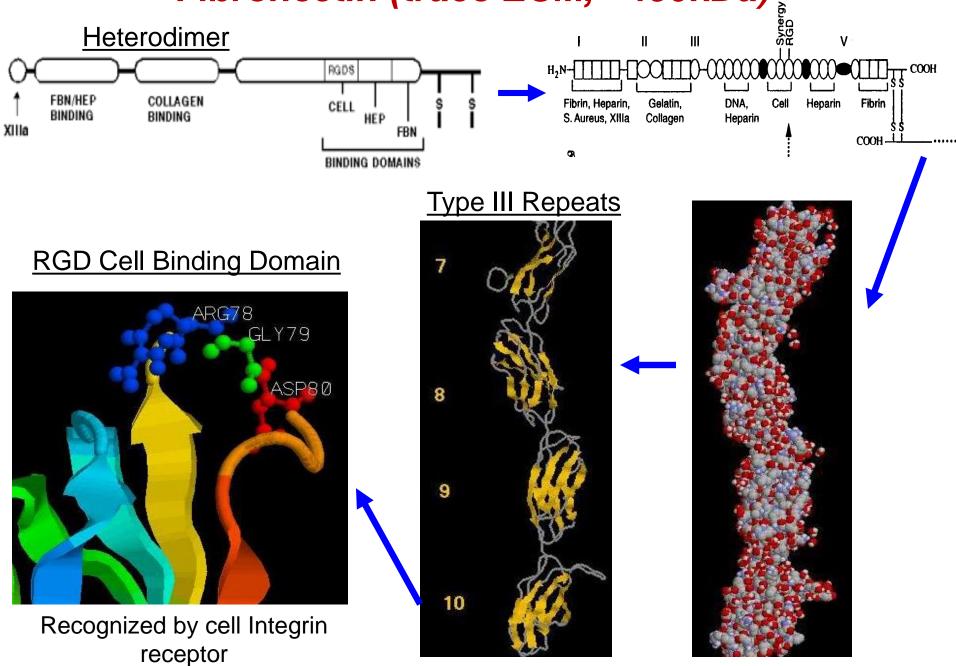
Protein type	Plasma concentration (mg/ml)	Monomer Molecular weight (daltons)
Prealbumin	10 - 40	54,900
Albumin	35 - 45 ← highest abundance	66,500 Low M.W.
lgG	6 - 17	150,000
Fibrinogen*	2.0 - 4.0	340,000
Fibronectin*	0.26-0.38	250,000 (but dimeric)

- Mass transfer flux favors high albumin loading on surfaces
- Adhesion proteins have integrin binding sites; **albumin non-adhesive**

Trace Cell-Adhesive Extracellular Matrix (ECM) Proteins

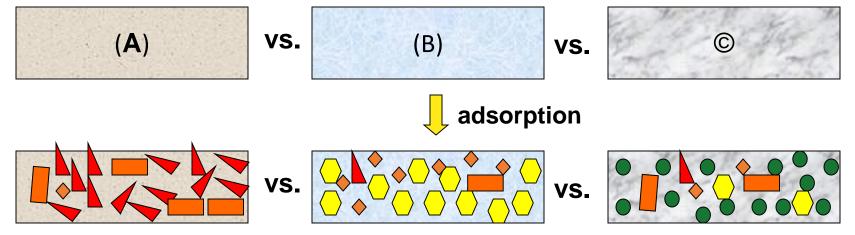


Fibronectin (trace ECM, ~450kDa)

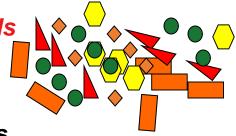


Surface 'Selection' of Proteins from Complex Milieu

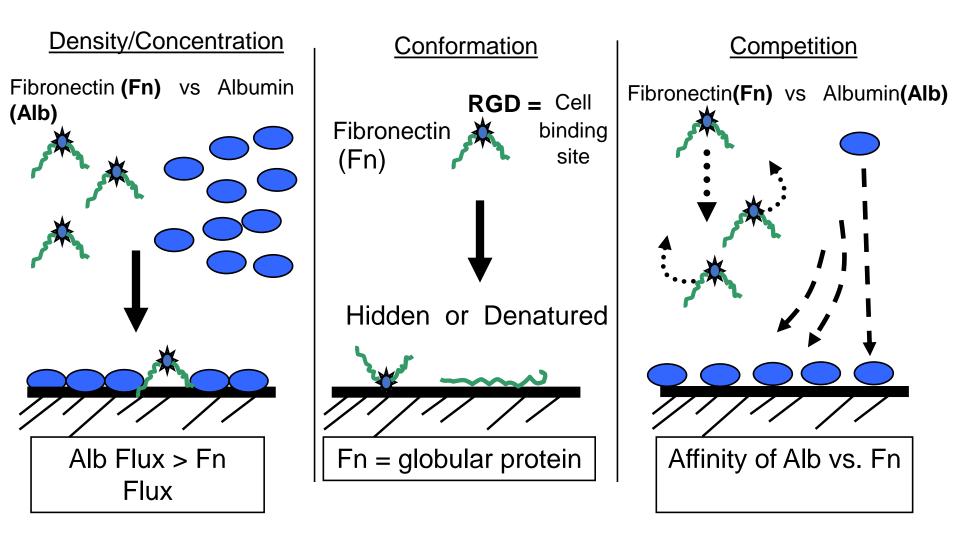
- Many clinical and non-clinical samples contain *hundreds* of soluble proteins: serum, cells, tissue, ocean water
- All of them will bind to surfaces, some more than others
- Surfaces can select certain proteins more than others:



- Hydrophobic surfaces often select albumin from serum
- Albumin has no recognition features used as a 'blocking agent' on surfaces
- Challenge to create selective adsorption surfaces

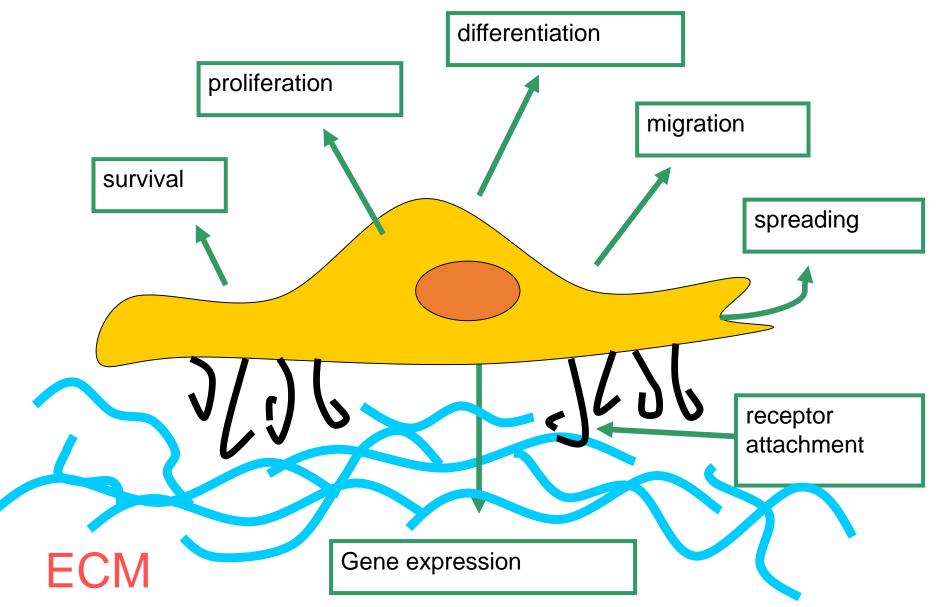


Serum Proteins Surfaces Determine Cell Engagement



- These events determine cell adhesion to surfaces
- Surface chemistry-dependent protein carpet

Many Cell are Attachment Dependent



Cell Substrate Adhesive Interactions

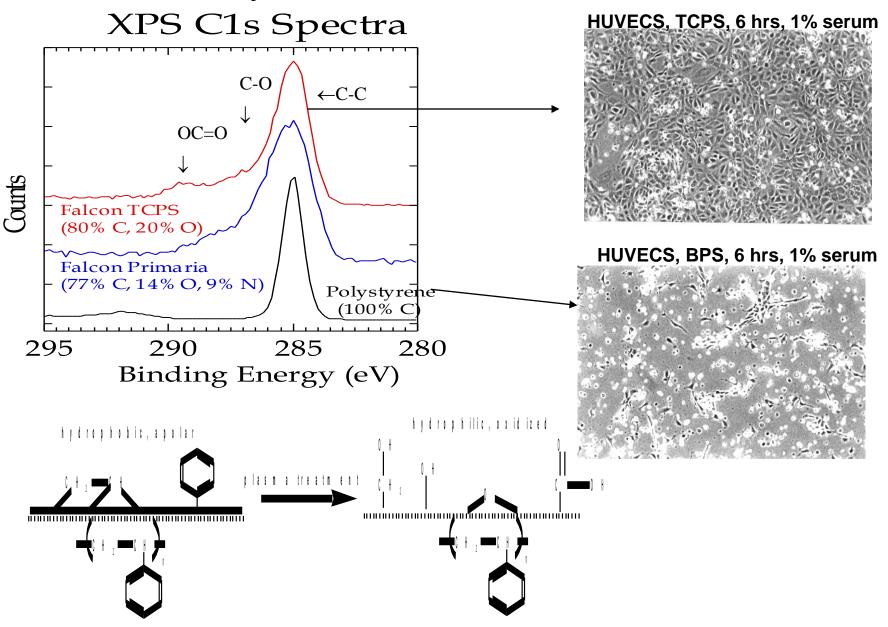
For attachment-dependent cells, essential for:

- •Cell Adhesion
- •Cell Migration
- •Cell Shape
- •Cell Differentiation
- •Cell Proliferation

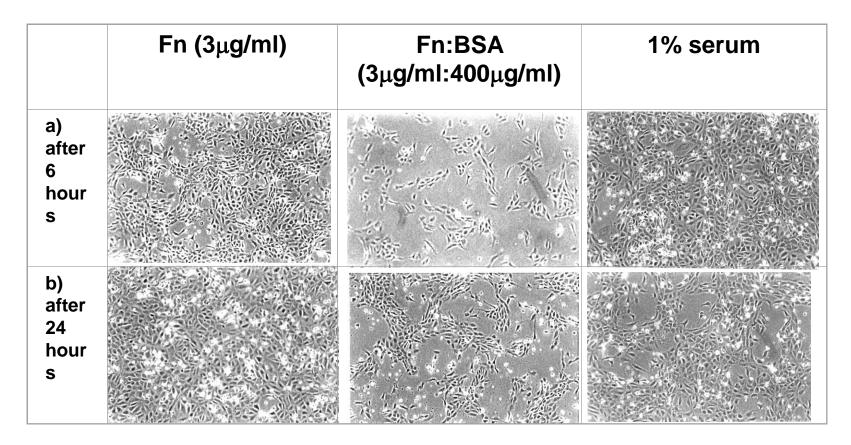
- Cell Survival
 Cell Matrix Assembly
 Gene Expression
- Mechanosensors

- Cells never "see" a bare surface
- Cells always encounter a protein carpet

XPS surface analysis of TCPS and BPS substrates vs. cell culture



HUVEC culture on (oxidized) TCPS after various protein pre-adsorption conditions



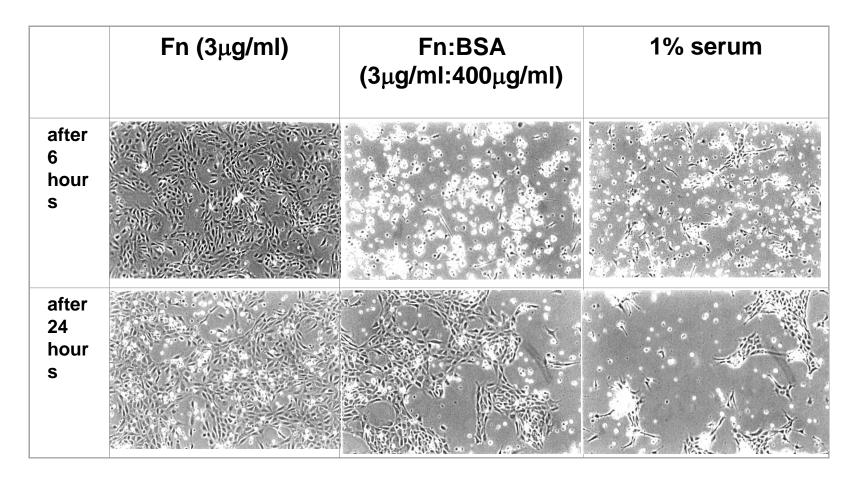
- TCPS promotes cell attachment and spreading with various proteins
- "Gold standard" material for cell-surface interactions

HUVEC culture on hydrophobic PLLA after various protein pre-adsorption conditions

	Fn (3µg/ml)	Fn:BSA (3μg/ml:400μg/ml)	1% serum
after 6 hour s			
after 24 hour s			

- Cells fail to attach and spread in presence of competing proteins
- Fibronectin alone restores attachment and spreading

HUVEC culture on hydrophobic BPS after various protein pre-adsorption conditions



 hydrophobic polystyrene fails to promote cell attachment in competitive protein conditions

HUVEC culture on hydrophobic TeflonAF® following various protein pre-adsorption conditions

	Fn (3μg/ml)	Fn:BSA (3μg/ml:400μg/ml)	1% serum
6 hrs after plat- ing			
24 hrs after plat- ing			

- Cells fail to attach and spread in presence of competing proteins
- Fibronectin alone restores cell attachment and spreading



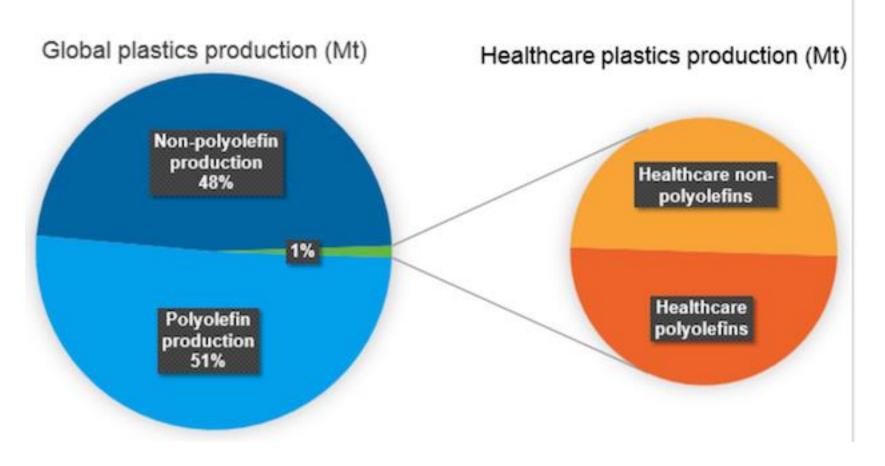






The University of Utah

Department of Pharmaceutics and Pharmaceutical Chemistry



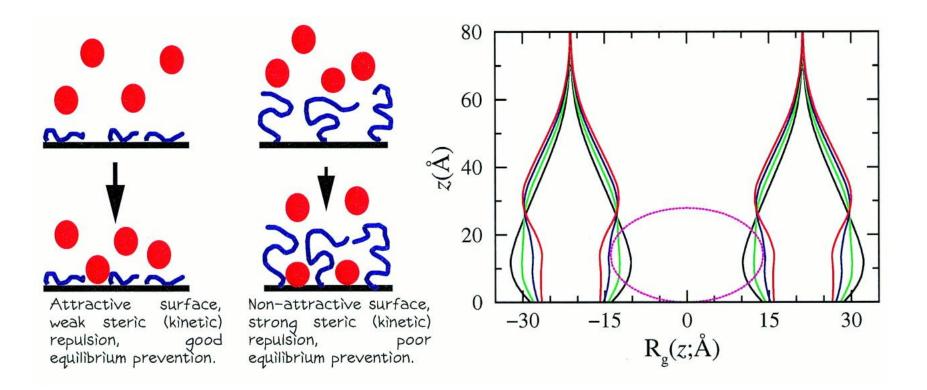
Only about 1% of the 311 million tons of plastics, or about 3.1 million tons, go into healthcare," said Petzold. And yet, medical plastics get an awful lot of attention. They punch above their weight because they are subject to "the highest quality requirements and most stringent regulations. Yes, it's a tiny market," said Petzold, "but it has been a large focus for Borealis."

Bormed SB815IVIO was developed for blow-fill-seal applications, such as IV bottles and ampoules in the medical space. "The material of choice has been LDPE," said Petzold, which has all the requisite properties for this application—softness, transparency and processability—save one: "The material must be sterilized at low temperatures and, thus, requires longer sterilization cycles." Random PP copolymer has also been used, and while it withstands high sterilization temperatures, it also exhibits high stiffness. "So you will have the problem of not being able to empty all of the IV liquid from the bottle or ampoule," said Petzold. The solution developed by Borealis combines the properties of both materials: Bormed SB815MO is as soft as LDPE and can be sterilized at 121° C, thus allowing short sterilization cycles, and its transparency matches random PP co-polymers. Bormed SC876CF was developed for complexly structured primary and secondary IV packaging, where each layer has its own functionality. Petzold illustrated the level of complexity in a three-layer film:

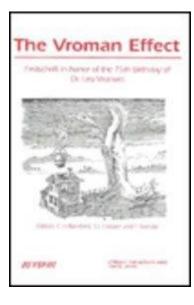
•The 20-micron outer layer, made of homo or random PP, must be heat resistant; •the 130- to 160-micron core layer of soft or random PP must be soft *and* tough; and •the 30- to 50-micron sealing layer, random PP or terpolymer, must be transparent and sealable.

All of the layers must withstand sterilization and retain transparency. All of them also may contain impact modifiers to a lesser or greater extent, which pouch producers often require to deliver toughness and softness, especially in the core layer. Impact modifiers are pricey, and "one way that pouch producers can reduce cost is by reducing the quantity of impact modifiers," explained Petzold.

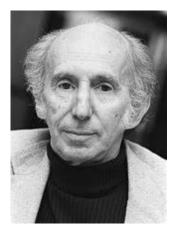
By using Bormed SC876CF for this application, the outer layers do not change, but the amount of impact modifier used in the core layer can be reduced significantly

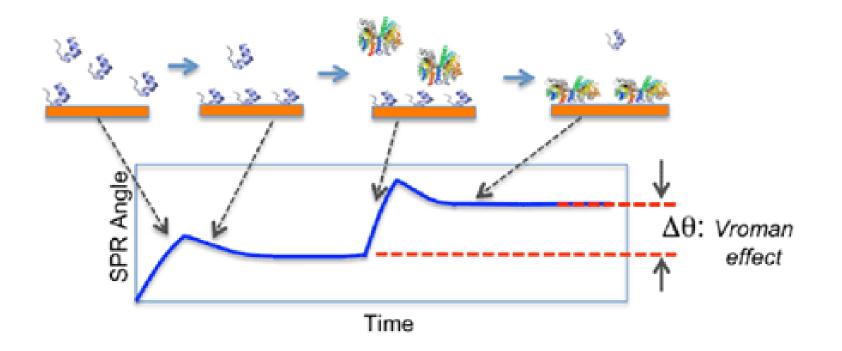


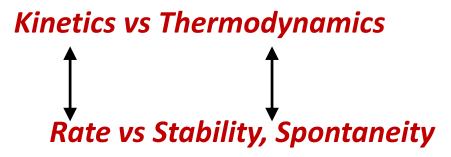
J. Satulovsky, M. A. Carignano, I. Szleifer *PNAS* 2000 97 (16) 9037-9041; <u>https://doi.org/10.1073/pnas.150236197</u>

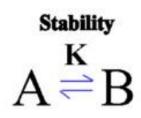


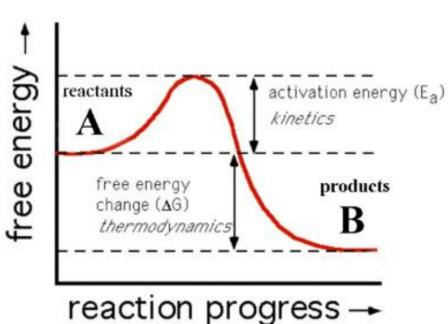
Vroman effect











Biomaterials-relevance: proteins at surfaces

Serum proteins studied most at interfaces:

- The Big Three: Albumin, Immunoglobulin G, Fibrinogen
 - (Andrade, Hlady)
- The Big Ten: add trace serum proteins with certain physiological relevance or abundance:
 - α-macroglobulin, fibronectin, apolipoproteins A and E, von
 Willebrandt Factor, complement C3b, collagen
- Still, very limited set studied on limited set of materials surfaces
- Let's look at the Big Three

Biomaterials-relevance: proteins at surfaces

• Protein adsorption from single-component solutions is different from multicomponent solutions

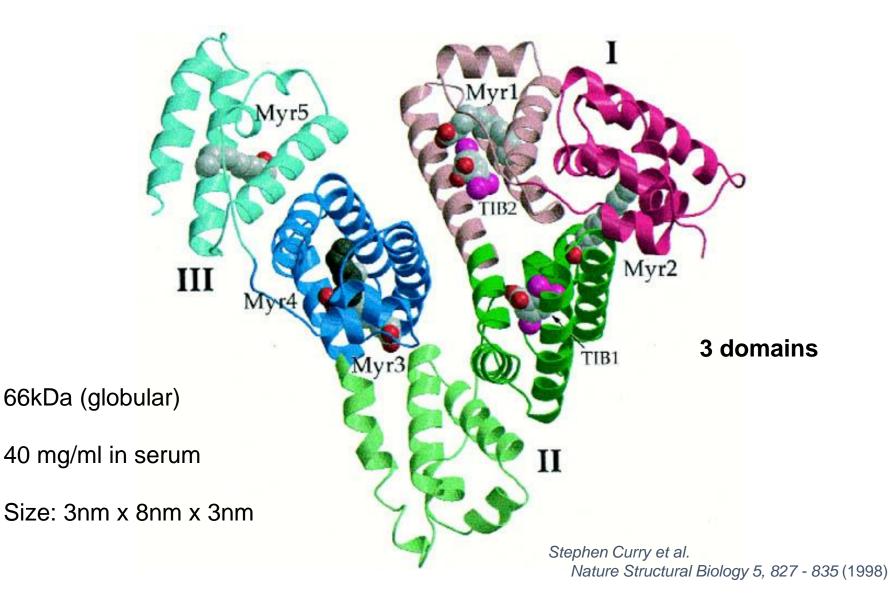
•Isotherms show surface loading behavior for one protein as a function of conc.

- Equilibrium can be attained in minutes --> hours --> days, depending.....
- A typical protein monolayer is disorganized, denatured and about 350 ng/cm²
- Multi-layers can form on top of an initial denatured layer (conc/species dependent)
- In competition, high affinity proteins win equilibrium (high k_{on}, low k_{off})
 - faster (high diffusivity) proteins find surface first (rapid diffusion)
 - are displaced later by proteins with higher "sticking coefficients" (affinity)
 - this is also concentration and protein dependent (Vroman effect)
- Competition between adsorbed proteins that cells and platelets recognize (adhesion proteins) and non-adhesion proteins (most) determines tissue/cell response

 Adsorbed proteins 'signals' combine with soluble cytokine 'signals' in vivo to produce a ubiquitous acute inflammatory response (might resolve)

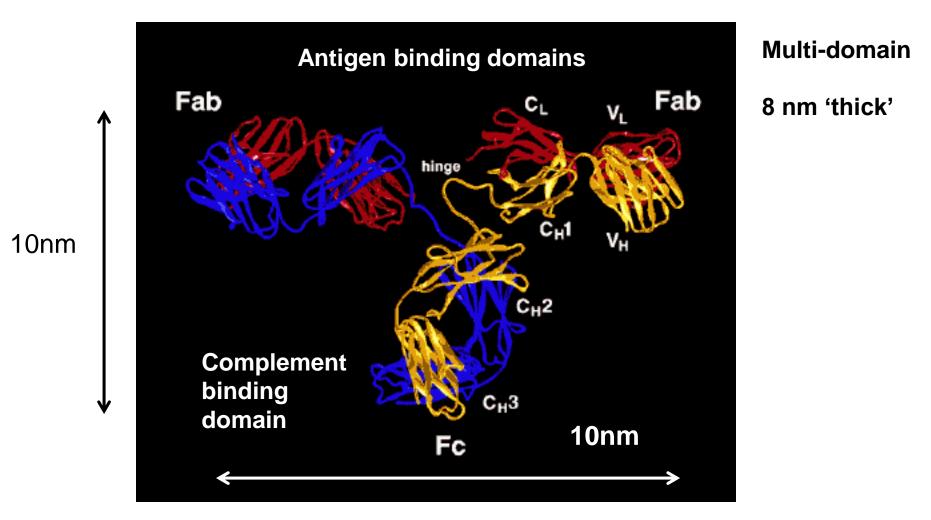
• All surfaces adsorb some protein (detection limit ~ 1 ng/cm² or about 0.3%)

Serum's most abundant: Human serum albumin



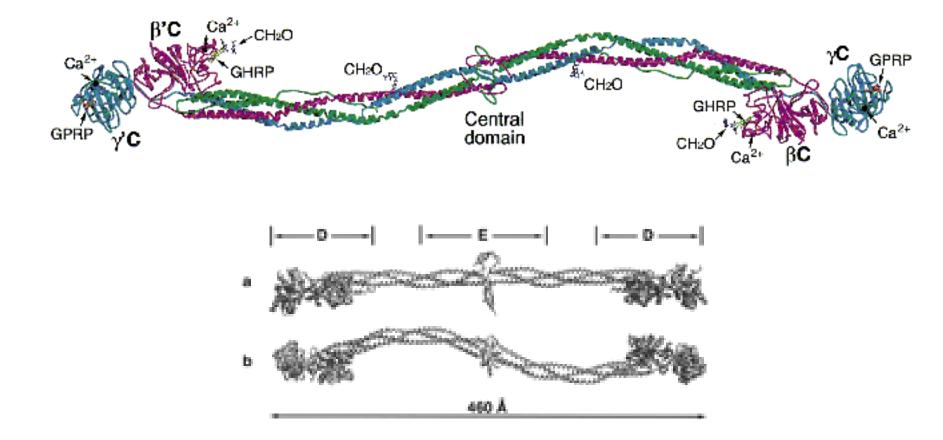
The Antibody: Immunoglobulin G (IgG)

Second-most abundant protein in serum, 160kDa, glycosylated, 10mg/ml in serum



IgG binds on one end to targets, interacts on the other with complement

Fibrinogen: #3 in serum

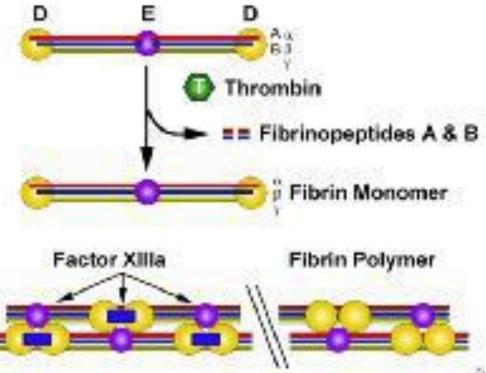


Globular multi-domain glycoprotein, 440kDa, 2 mg/ml in serum

Fibrinogen: responsible for blood clotting

- fibrinogen cleaves to fibrin monomers
- fibrin is crosslinked by FXIIIa:
 --> insoluble gel clot
- fibrin gel entraps platelets and activates platelets' integrin (gll_blll_a) receptor
- cycle enhanced by platelet degranulation

Fibrinogen - Fibrin



- Fibrin gel is FDA-approved, used as surgical sealant and gel scaffold for tissue engineering (Baxter, UVA)
- Fibrinogen deposition on biomaterials is linked to undesired blood coagulation and macrophage activation (inflammatory response)

