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Nazmul Karim *Texas A&M University,* nazkarim@mail.che.tamu.edu

Jonathan Raftery Texas A&M University

Xinghua Pan Texas A&M University

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# Optimal control of a continuous bioreactor for maximized carotene production

Jonathan P. Raftery and Dr. M. Nazmul Karim

Artie McFerrin Dept. of Chemical Engineering, Texas A&M University, College Station, Texas 77843



# β-carotene Market

- An orange pigment produced by diverse organisms such as plants, fungi, and bacteria
- Used in many industries:
  - Food
  - Animal nutrition
  - Pharmaceuticals
  - Cosmetics
  - Colorant



http://www.bellybytes.com/nourish/images/betacarotene.jpg

- Projected worldwide market value is \$1.4 billion in 2019<sup>1</sup>
- Higher antioxidant activity found in naturally produced  $\beta$ -carotene when compared to the synthetic version



### β-Carotene Production via Recombinant Saccharomyces cerevisiae





# Continuous Production of $\beta$ -Carotene







Motivation

- Batch Operation of a Carotene Bioreactor
- Extension of Batch Operation to Continuous Systems
- Model Predictive Control of a Continuous Bioreactor to Maximize  $\beta$ -Carotene Production
- Results and Conclusions



### **Batch Process Overview**

The aim of this study is to:

- Develop a suitable and reliable kinetic model for the carotene production in batch cultures of an engineered Saccharomyces cerevisiae strain using glucose as the main substrate
- Apply this model to predict cell growth, substrate consumption, ethanol and acetic acid formation and later assimilation.
- Determine the carotene productivity of the batch system



# Batch Operation of a $\beta$ -carotene Bioreactor

L

Saccharomyces cerevisiae SM 14 <sup>1</sup>	
Beta-carotene	
3 Liters	
20 g/L	XGPE
6 L/min	A, 0, 1, 2,
72 hours	Volume
30°C (controlled)	0
4 (controlled) Air	
160 $140$ $120$ $100$ $120$ $100$	, L.H., Gomez, J.M., and Kao, K.C. ing carotenoids production in yeast via re laboratory evolution. <i>Metabololic</i>
	Saccharomyces cerevisiae SM 14 <sup>1</sup> Beta-carotene 3 Liters 20 g/L 6 L/min 72 hours $30^{\circ}C$ (controlled) 4 (controlled) 4 (controlled) 4 (controlled) 4 (controlled) 60 $100$



## Unstructured Batch Models

### Growth Rate Models:

$$\mu = \mu_G + \mu_E + \mu_A$$
$$\mu_G = \left(\frac{\mu_{max,G} \cdot \xi_E \cdot \xi_A \cdot G}{K_{SG} + G + a_{ge} E + a_{ga} A}\right)$$
$$\mu_E = \left(\frac{\mu_{max,E} E}{K_{SE} + E + a_{eg} G + a_{ea} A}\right)$$
$$\mu_A = \left(\frac{\mu_{max,A} A}{K_{SA} + A + a_{ag} G + a_{ae} E}\right)$$

### Inhibition Models:

 $\xi_E = f(E) \qquad \xi_A = f(A)$ 

### Batch Models:

$$\frac{\mathrm{dX}}{\mathrm{dt}} = \mathrm{r}_{\mathrm{X}} = (\mu_{\mathrm{G}} + \mu_{\mathrm{E}} + \mu_{\mathrm{A}}) \,\mathrm{X}$$

$$\frac{dG}{dt} = r_G = -\frac{\mu_G X}{Y_{X/G}}$$

$$\frac{dE}{dt} = r_E = k_1 \ \mu_G X \ - \ \frac{\mu_E X}{Y_{X/E}} \label{eq:generalized_eq}$$

$$\frac{\mathrm{dA}}{\mathrm{dt}} = \mathrm{r}_{\mathrm{A}} = (\mathrm{k}_{2}\mu_{\mathrm{G}} + \mathrm{k}_{3}\mu_{\mathrm{E}})\mathrm{X} - \frac{\mu_{\mathrm{A}}\mathrm{X}}{\mathrm{Y}_{\mathrm{X/A}}}$$

$$\frac{\mathrm{dP}}{\mathrm{dt}} = r_{\mathrm{P}} = (\alpha_{1}\mu_{\mathrm{G}} + \alpha_{2}\mu_{\mathrm{E}} + \alpha_{3}\mu_{\mathrm{A}}) \cdot \mathbf{X} + \beta \mathbf{X}$$



### Estimation Procedure and Results

Read original data and smooth it via cubic spline

Generate  $\mu$  data, calculate the growth rate parameters minimizing the SEE and set those values

$$\int_{t_1}^{t_2} \mu \, dt = \int_{x_1}^{x_2} \frac{1}{x} \, dx \to \mu = \frac{\ln\left(\frac{x_2}{x_1}\right)}{t_2 - t_1}$$

With the initial values and parameter guesses solve the differential equations

Compare the model predictions with the smooth data

Calculate the  $R^2$  for each curve, where the best value for each is one (1). The objective function for minimization is:

min  $Z = 5 - (R_p^2 + R_x^2 + R_s^2 + R_e^2 + R_a^2)$ 

Use *fmincon* algorithm to determine the minimum value of the objective function

Plot and analyze the parameters from the optimal solution



#### **Carotene Productivity**

$$\frac{120 \frac{\text{mg}}{\text{L}}}{(72 + \text{x}) \text{ hrs}} = 1.46 \frac{\text{mg}}{\text{L} \cdot \text{hr}}$$

(assuming x = 10 hours for filling, cooling, and cleaning)



### Continuous Process Overview

The aim of this study is to:

- Propose a novel continuous carotene production process utilizing a two tank system to allow for the independent manipulation of the inlet flow rate and inlet glucose composition
- Develop continuous models describing the dynamic nature of this novel fermentation system
- Utilize dynamic optimization techniques to develop a model predictive controller capable of maximizing carotene production to rival that of batch production processes





### Continuous Operation of a β-carotene Bioreactor

Process Models:

$$\frac{dG}{dt} = \frac{F_2}{V} \cdot G_f - \frac{F_{out}}{V} \cdot G - r_G$$

$$\frac{dX}{dt} = -\frac{F_{out}}{V} \cdot X + \mathbf{r}_X$$

$$\frac{dP}{dt} = -\frac{F_{out}}{V} \cdot P + r_P$$

$$\frac{dE}{dt} = -\frac{F_{out}}{V} \cdot E + r_E$$

$$\frac{dA}{dt} = -\frac{F_{out}}{V} \cdot A + r_A$$

$$\frac{dV}{dt} = F_{G+M} + F_M - F_{out}$$

 $F_{out} = f(V)$ 





# **Optimization Algorithm**

Starting at the beginning of continuous operation characterized by the time  $t = t_{switch} = 20$  hours:

- 1. Discretize the process models for a given  $\Delta t = \frac{1}{n}$  hours with  $n \ge 10$
- 2. Solve the following optimization problem for the optimum hourly flowrate from each tank,  $F_1$  and  $F_2$ :

$$\min_{F_1 \ F_2} -P_t + \alpha \sum_t (V_t - V_r)^2$$
  
s.t. Discretized ODEs  
 $0 \le F_{1,2} \le F_{max}$ 

3. Repeat Step 2 for each hour after  $t_{switch}$ , using the previous hour's end state as the new initial condition, to determine the optimum flowrate as the system moves forward in time



# **Steady State Analysis**

### **Concentration Profiles**



### **Optimal Control Actions**



### Batch vs Continuous Comparison

**Batch Operation** 

#### $\beta$ -carotene Concentration

$$P = 120 \ \frac{mg}{L}$$

**Fermentation Time** 

$$t = 72$$
 hours

 $\beta$ -carotene Concentration

$$P = 28.73 \ \frac{mg}{L}$$

**Continuous Operation** 

Inlet Flowrate (F<sub>total</sub>) and Volume

$$F_{total} = 0.169 \frac{L}{hr}$$
  $V = 3 L$ 

Productivity

#### Productivity

 $\frac{P}{t} = 1.46 \frac{mg}{L \cdot hr} \qquad \qquad \frac{P \cdot F}{V} = 1.62 \frac{mg}{L \cdot hr}$ 

Continuous operation **increases** process productivity by **10.5%** when compared to traditional batch processing.



# Conclusions

- Continuous operation has the potential to increase productivity of bioreactor systems
- A novel two-feed continuous reactor system capable of independently varying the dilution rate and inlet glucose concentration was implemented
- A bi-level dynamic optimization methodology was to determine the **maximum productivity** of steady-state continuous  $\beta$ -carotene production
- Continuous production shows a 10.5% increase in βcarotene productivity compared to a tradition batch system



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