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35 Abstract

We estimated the risk and probability of progression to osteopenia/osteoporosis by studying our HIV-infected patients who had at least 2 DXA scans (3,726 DXA scans from 875 patients) (1999-2016). Time-nonhomogeneous bidirectional multistate models based on 3 states (normal BMD, osteopenia, osteoporosis) were used to model the progression of BMD as a function of age and to study the association between the risk of bone loss and antiretroviral use.

42 At the first DXA scan, 52.2% had osteopenia, and 16.7% osteoporosis. The hazard ratios 43 associated with age (>45 vs. <45 years) for men and women were: 1) from normal BMD to 44 osteopenia, 0.71 (95%-CI: 0.45-1.11) and 1.06 (95%-CI: 0.55-2.05), respectively; and 2) 45 from osteopenia to osteoporosis, 0.83 (0.51-1.35) and 0.99 (0.38-2.56), respectively. The 46 probability of transition from osteopenia to osteoporosis over 10 years among men aged 30, 47 40, and 50 years was 14.9% (95% CI: 10.5-20.4%), 17.2% (14-21.3%), and 19% 14.3-24.3%), respectively; for women, 6.9% (3.1-14.4%), 21.1% (14.8-29.5%), and 30.1% 48 49 (19.8-41.8%), respectively. An increased risk of osteoporosis was observed for PIs and PIs 50 plus TDF; darunavir was associated with a higher risk among men (HR: 3.9; 95% CI: 2-7.5) and women (4.5; 1.4-14.7); atazanavir for women (HR: 4.2; 95% CI: 1.3-14). 51 52 Our results highlight the importance of monitoring BMD owing to the high probability of 53 progression to osteopenia/osteoporosis, even at early ages, especially in women. In the 54 coming decade, changes in antiretrovirals other than tenofovir, such as PIs, should be

55 recommended to reduce the risk of fracture.

- 57 Keywords: Osteoporosis, DXA scan, Risk of progression, Protease inhibitors, HIV
- 58
- 59 60

61 **INTRODUCTION**

62 HIV-infected people have a high risk of osteoporosis owing to multiple factors related not 63 only to the host, but also to the virus, chronic inflammation, and antiretroviral treatment (1-8). Evidence from large cohort studies points to a higher prevalence of low-energy fractures 64 65 in HIV-infected persons than in the general population (5, 8-14). However, despite the many recently published recommendations on management of bone disease in HIV-infected 66 individuals (15-19), the current low frequency of fracture managed in our daily clinical 67 68 practice could make physicians less sensitive to evaluate bone health. Consequently, 69 osteoporosis seems to be underdiagnosed and, consequently, undertreated in HIV-infected 70 persons, thus leaving this population vulnerable to early fractures and disability (20). 71 However, in aging persons, the long-term nature of HIV infection, persistent systemic 72 inflammatory status, and prolonged exposure to antiretroviral drugs make the number of bone fractures among this population likely to increase. This is especially true in 73 74 individuals aged 50 years or older (10,11). Therefore, clinicians should be aware of 75 problems affecting bone and proactively manage bone health.

76

In this study, we estimate the magnitude of an emerging problem among chronically HIVinfected persons by studying progression to osteopenia/osteoporosis in a large cohort of

79 patients assessed using dual-energy X-ray absorptiometry (DXA) scan.

80

81 METHODS

82 Study design, population, and objective

83 We performed a retrospective longitudinal observational study of all DXA scans from HIV-84 infected patients attended in our HIV Unit and who had had at least 2 DXA scans between 85 January 1999 and December 2016. 86 The analysis included 3,726 DXA scans from 875 patients. The scans were requested as 87 part of the patient's follow-up in clinical practice or in the context of clinical trials. In 88 recent years, DXA scans were requested according to current recommendations for HIV-89 infected persons as follows: men aged >50 years, menopausal women, persons with a 90 history of bone fractures, or patients using drugs or with diseases associated with a decrease 91 in bone mineral density (BMD) (18,19). 92 The main objective of the study was to evaluate the risk of progression of bone loss. 93 Patients were classified into 3 groups according to their BMD: normal BMD, osteopenia, 94 and osteoporosis. We estimated the following: 1) the percentage of patients in each group at 95 the first and last DXA scan; 2) the number of transitions from one group to another (normal 96 BMD to osteopenia, osteopenia to osteoporosis, or osteoporosis to osteopenia); 3) the risk 97 of progression of bone loss or bone gain; 4) the probability of progression over time; and 5) 98 the risk of low BMD according to the antiretroviral drugs used during the year before each 99 DXA scan (tenofovir disoproxil fumarate [TDF], protease inhibitors [PI], combination of 100 both [TDF and PI], and the use of lopinavir or atazanavir or darunavir). 101 102 The T score for the lumbar spine (L1-L4) and hip (femoral neck, trochanter, and total

femur) measured by DXA (Lunar Prodigy, GE Healthcare, Belgium) was collected, and the
 minimum of the four T scores was considered for patient's classification. Osteopenia and

105 osteoporosis were defined following the criteria of the World Health Organization (WHO),

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- 106 as follows: normal BMD, minimum T score ≥ -1.0 SD; osteopenia, minimum T score -1.0
- 107 SD to -2.5 SD; and osteoporosis, minimum T score < -2.5 SD (21).
- 108

109 Statistical analysis

- 110 Categorical variables of interest were described using absolute and relative frequencies;
- 111 numerical variables were described using the median and interquartile range (IQR).
- 112 The BMD history was studied following a multistate model. The model assumes 3 states —

113 normal BMD (State 1), osteopenia (State 2), and osteoporosis (State 3)— and the time scale 114 chosen is based on age at the DXA scan. The 4 possible transitions considered are 115 transitions from State 1 to State 2 and from 2 to 3, corresponding to bone loss, and 116 transitions from State 2 to State 1 and from 3 to 2, corresponding to bone recovery. Each of 117 these 4 transitions can be characterized based on the instantaneous transition intensities $(\alpha_{12}, \alpha_{21}, \alpha_{23}, \alpha_{32})$ or, equivalently, on the transition probabilities. The model was fitted 118 119 assuming that the future time course depends only on the present state and not on the 120 previous history (Markov property). In addition, constant transition intensities were 121 assumed before and after the age of 45 years; for example, in the case of the transition from State 1 to State 2, $\alpha_{12}(t) = \alpha_{12}^a$ if $t \le 45$ and $\alpha_{12}(t) = \alpha_{12}^b$ if $t \ge 45$. The choice of this 122 cutoff value was based on the data being 45 (years) the average midpoint of the age 123 124 intervals from the first to the last DXA scan. Based on the estimates of the transition 125 intensities, transition probabilities can be estimated as a function of age (22). This 126 estimation was made first by using separate models without covariates for both women and 127 men. Next, the use of antiretroviral drugs during the year prior to the DXA scan was 128 included as a covariate in the model, in 4 different ways: PI vs. no PI; TDF vs. no TDF; 129 combined use of PI and TDF; and specific PI (atazanavir, darunavir, lopinavir, or other).

130 The possible effects of these drugs were studied by transition-specific hazard regression

131 models, which provide the hazard ratio as the effect size measure of interest.

132 All statistical analyses were performed using R (R Foundation for Statistical Computing,

- 133 Vienna, Austria), version 3.3.2, in particular, the msm package, which enables a multistate
- 134 model to be fitted to panel data, that is, with observations of a continuous-time process at
- 135 arbitrary times (23).
- 136

137 **RESULTS**

138 The analysis included 3,726 DXA scans from 875 patients who had had at least 2 DXA

139 scans. During the 18 years of follow-up, the median number of scans per patient was 3

140 (range: 2-18), the median time (IQR) from the first to the last DXA scan was 5 years (2.2-

141 9.6), and the median (IQR) time between consecutive DXA scans was 1.1 years (0.6-2.2).

142 Among the 875 patients, 294 (33.6%) had 2 DXA scans, 188 (21.5%) had 3, 118 (13.5%)

143 had 4, and 275 (31.4%) had 5 or more DXA scans.

The median age (IQR) of the cohort at the first DXA scan was 41.7 (36.5-47.8) years, and 75.3% were men. Epidemiological and clinical data at the time of the first DXA scan are summarized in Table 1.

147

148 *Prevalence and transitions*

149 The overall percentages of patients in the 3 groups at the first DXA scan were as follows:

150 31.1% for normal BMD, 52.2% for osteopenia, and 16.7% for osteoporosis. At the last

151 DXA these values were 28.1% for normal BMD, 54.5% for osteopenia, and 17.4% for

152 osteoporosis. Figure 1A shows the joint distribution of the states at the first and the last

153 DXA scan.

154 The total numbers of DXA scans among the 659 men and 216 women were 2828 and 898, 155 respectively. Hence, there were 2169 transitions in subsequent DXA scans (2828-659) in 156 men and 682 transitions (898-216) in women; the corresponding distributions are shown in 157 Figures 1B and 1C. A deterioration in BMD from one DXA scan to the next was observed 158 in 174 transitions (8%) among men (94 from normal BMD to osteopenia and 80 from 159 osteopenia to osteoporosis) and in 75 transitions (11%) among women (46 from normal 160 BMD to osteopenia and 29 from osteopenia to osteoporosis). An improvement was 161 observed in 178 transitions (8.2%) and 37 transitions (5.4%), respectively.

162

163 **Risk of progression**

164 The risk of progression of bone loss or bone gain was studied as a function of age (>45 vs. 165 \leq 45 years). The hazard ratios associated with age for HIV-infected patients were as 166 follows: 1) transition from normal BMD to osteopenia, 0.71 (95% CI: 0.45-1.11) for men 167 and 1.06 (95%-CI: 0.55-2.05) for women; 2) transition from osteopenia to osteoporosis, 168 0.83 (0.51-1.35) for men and 0.99 (0.38-2.56) for women; 3) transition from osteopenia to 169 normal BMD, 0.41 (0.26-0.65) for men and 0.42 (0.18-0.99) for women; and 4) transition 170 from osteoporosis to osteopenia, 0.67 (0.42-1.05) for men and 0.12 (0.04-0.36) for women. 171 Figure 2 shows the estimated hazard ratios associated with age for both genders.

172

173 Probability of progression

Figure 3A shows the estimated probabilities of progression from normal BMD to osteopenia/osteoporosis over 10 years among HIV-infected men and women aged 30, 40, and 50 years. Given a normal BMD at baseline, the probability that a 30-year-old HIVinfected man progresses to either osteopenia or osteoporosis at age 40 is 60.6% (95% CI:

178 53.9-69.7%) and 51.1% (39-64.5%) for a 30-year-old woman. The corresponding

probabilities of progression for men and women aged 40 to 50 years, respectively, are

180 62.6% (55.8-70.5%) and 59.5% (50.1-70.1%), and for those aged 50 to 60 years, 59.7%

181 (49.2-71.1%) and 62.4% (47.2-77.3%).

With respect to the transition from osteopenia to osteoporosis, the estimated transition probabilities over 10 years are shown in Figure 3B. In HIV-infected men aged 30, 40, and 50 years, the probabilities were 14.9% (95% CI: 10.5-20.4%), 17.2% (14-21.3%), and 19% (14.3-24.3%), respectively. A different probability pattern was obtained for women, with values of 6.9% (3.1-14.4%), 21.1% (14.8-29.5%), and 30.1% (19.8-41.8%), respectively.

187

188 *Risk of low BMD according to antiretroviral therapy*

189 Information on antiretroviral treatment regimens 1 year before the DXA scans was 190 available for 862 of the 875 patients (98.5%), ie, 3516 of the 3726 DXA scans (94.4%) 191 (Table 1). The estimated hazard ratios (HRs) associated with treatment combinations 192 containing PI/TDF for both deterioration transitions are shown in Figures 4A (men) and 4B 193 (women), respectively. In the cases of HIV-infected women, increased risks for 194 osteoporosis were observed both for use of PIs versus no PI+no TDF (HR: 5.9; 95% CI: 195 1.2-27.6) and for combined use of PIs plus TDF versus no PI+no TDF (HR: 6.9; 95% CI: 196 1.4-34.4). The corresponding values among HIV-infected men were 1.8 (95% CI: 0.9-3.4) 197 and 1.2 (95% CI: 0.6-2.6), respectively.

198 Darunavir was associated with a higher risk of osteoporosis among men (HR: 3.9; 95% CI:

199 2-7.5) and women (HR: 4.5; 95% CI: 1.4-14.7), as well as with a higher risk of osteopenia

among women (HR: 2.8; 95% CI: 1.1-7.1) (Figures 5A and 5B). In addition, atazanavir

201 increased the risk of osteoporosis among women (HR: 4.2; 95% CI: 1.3-14), although this

varied little among men (HR: 1.2; 95% CI: 0.4-3.2). The always larger confidence intervals
among HIV-infected women result from the smaller sample size and the small number of
transitions.

A sensitivity analysis considering cutoff values other than 45 years (>40 vs. \leq 40 years and >50 vs. \leq 50 years) was carried out to ensure that the conclusions on the effects of the antiretroviral therapies did not depend on that choice. The models using different cutoffs provided nearly exactly the same estimates of the hazard ratios and confidence intervals of the hazard ratios associated with antiretroviral therapy (data not shown).

210

211

212 **Discussion**

The prevalence of osteopenia and osteoporosis was high in our cohort. The risk and the probability of progression from osteopenia to osteoporosis over 10 years were higher among women, especially those aged over 40 years; for men, the risk increased progressively, although the increment was more attenuated. Therapy with darunavir and atazanavir was associated with an increased risk of progression to bone loss.

5.15

218

Osteoporosis is a major public health problem owing to the impact of osteoporotic bone fracture, whose health consequences include not only chronic pain, respiratory compromise, reduced mobility, disability, and mortality, but also increased social cost because of lost workdays, increased health and nursing care, and long-term rehabilitation. Consequently, assessment of the risk of fracture should be a high priority among health measures. Since the mid-1990s, the WHO operational definition of osteoporosis has been based on measurement of BMD using DXA in order to identify persons at higher risk of

bone fracture. It is well known that decreases in vertebral and hip BMD predict vertebral
fractures (relative risk [RR]: 2.3; 95% CI. 1.9-2.8]) and hip fractures (RR: 2.6; 95% CI:
2.0-3.5), respectively (24).

The rate of osteoporosis appears to be greater in HIV-infected individuals than in the general population and is progressively increasing (6, 25, 26). In our cohort of almost 900 persons, of whom 25% were women, only a third of the population had a normal BMD; half had osteopenia and 17% osteoporosis. These rates are similar to those observed in other cohorts (8,27) and support the relevance of this condition in HIV-infected persons.

Nevertheless, osteoporotic fractures still remain very infrequent in daily clinical practice, and physicians rarely evaluate the risk of fractures. We wanted to assess the magnitude of this problem in the near future by determining the risk and likelihood of progression to osteoporosis in 10 years in a large cohort of chronically HIV-infected persons assessed using DXA scan, with a median of 3 scans per patient (more than 60% had 3 or more scans) and a median of 5 years from the first to the last DXA scan.

First, in order to obtain an overview of progression of bone loss in the DXA scans, we analyzed transitions from one DXA scan to the next. Deterioration of BMD —defined as a change in status from normal BMD to osteopenia or from osteopenia to osteoporosis between 2 consecutive DXA scans— was observed in around 10% of transitions; this rate was considerably high, considering that the median time between consecutive DXA scans was only 1 year. Improvement was observed in 5-8% of transitions, and the difference was similar in men and women.

248 When the risk of progression was calculated according to age (>45 vs. \leq 45 years, >40 vs. 249 \leq 40 years and \geq 50 ys, \leq 50 years) and gender, the risk of bone loss changed little with age. 250 The low number of patients aged 60 or over included in the study could make it difficult to 251 detect differences in deterioration between age groups. On the other hand, as expected, the 252 risk of recovery (bone gain) was more likely for patients younger than 45 years. This 253 finding supports the assessment of bone health at early ages and suggests that intensive 254 interventions should be implemented, if necessary, at early stages of bone loss to achieve 255 better recovery.

256 However, when we evaluated the probability of progression over time, it is noteworthy that 257 the probability of progression from normal BMD to osteopenia/osteoporosis over 10 years 258 was very high, around 50-60%, and similar between men and women and for persons aged 259 30, 40, or 50 years. In other words, at the age of 30 years, the probability of progression to 260 osteopenia was as high as 60.6% for men and 51.1% for women at 10 years. In contrast, 261 when we evaluated the probability of progression from osteopenia to osteoporosis, larger 262 differences between age and gender were obtained; the estimated risks of progression were 263 14.9%, 17.2%, and 19% for men at age 30, 40, and 50 years, respectively, and 6.9%, 264 21.1%, and 30.1% for women. These data indicate that with respect to a 30-year-old 265 woman, the risk of progressing to osteoporosis is 3-fold higher in a 40-year-old woman, 266 and almost 5-fold higher in a 50-year-old woman, whereas in a man, the risk of progression 267 is much lower. Data assessing the BMD decline over time according to the HIV status have 268 been recently published with controversial results (25,26). Unfortunately, our study cannot 269 elucidate this question because HIV-uninfected controls were not included.

271 As for antiretroviral therapy, besides evidence for TDF, there is solid evidence about the 272 potential negative effect of PIs on BMD (5,6,27,28). In Japanese HIV-infected patients, the 273 duration of treatment with a PI correlated significantly with bone loss, while 274 discontinuation of the PI enabled bone recovery, especially in the lumbar spine (27). 275 However, data from other studies did not found association between BMD decline and the 276 use of PIs (25,26). Our results confirm the impact of PIs on bone loss, which was notable 277 among women taking PIs and PIs+TDF. In men, a trend towards the risk of osteoporosis 278 was seen with the use of PIs; however, it is unknown whether this is a class effect of all PIs 279 or whether it is an adverse effect of a specific PI. To our knowledge, there are no firm data 280 about the specific role of each individual PI in bone loss. Our large sample size made it 281 possible to evaluate the individual impact of the most commonly used PIs (atazanavir, 282 lopinavir, and darunavir). Having a closer look at the specific PIs, darunavir was associated 283 with risk of bone loss among men and women, whereas atazanavir was only associated with 284 this risk in women. These results, together with data from studies in which the PI is 285 replaced or interrupted (27,29), support the recommendation to avoid or change PIs, if 286 possible, in the case of osteoporosis (18). However, this finding should be interpreted with 287 caution because only the antiretroviral combination received during the year before the 288 DXA scan was analyzed. The retrospective nature of the study prevents us from evaluating 289 the cumulative and continuous effect of each antiretroviral agent. In addition, the effect of 290 other secondary risk factors on bone loss, such as menopausia, or the use of treatment for 291 osteoporosis on bone gain was not assessed.

292

In conclusion, given the increased prevalence of osteoporosis and risk of bone fractures in
HIV-infected individuals, osteoporosis and other factors leading to fracture, such as

295 sarcopenia, should be regularly assessed in clinical practice. DXA scan or fracture prediction algorithms such as the FRAX^{\mathbb{R}} equation (30) help to identify individuals at risk. 296 297 Our results highlight the need for monitoring of BMD owing to the high probability of 298 progression to osteopenia, even at early ages, in both genders, and to osteoporosis, 299 especially in women aged \geq 40 years. In addition, changes in antiretroviral drugs other than 300 tenofovir (eg, PIs), changes in lifestyle, and non-pharmacological and pharmacological 301 interventions should be recommended to reduce the risk of recurrence of fracture in the 302 coming decade among individuals at high risk.

303

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310 **Transparency Declarations**

311 All authors have not conflicts of interests in this work

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Table 1. Epidemiological and clinical data at the first DXA scan.

Gender, men, n (%) 659 (75.3%) Age, years 41.7 (36.1-47.8 DXA scans per patient, number 3 (2-18) Patients and DXA scans, n (%) 294 (33.6%) Two DXA scans 188 (21.5%) Four DXA scans 118 (13.5%)	
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Three DXA scans 188 (21.5%) Four DXA scans 118 (13.5%)	
Four DXA scans 118 (13.5%)	
Five or more DXA scans275 (31.4%)	2
Time from the first to the last DXA scan, years5 (2.2-9.6)	2
Time between consecutive DXA scans, years1.1 (0.6-2.2)	
Antiretroviral therapy during the year before DXA, number	

of DXA scans (%) *	
PI + TDF	567 (16.1)
Only PI	1290 (36.7)
Only TDF	734 (20.9)
Neither PI nor TDF	925 (26.3)
DXA scans in patients receiving a PI, n (%)	
Darunavir	519 (27.9)
Lopinavir	616 (33.2)
Atazanavir	253 (13.6)
Other PIs	469 (25.3)

387 Values are expressed as median (IQR) or number (%).

PI, protease inhibitor; TDF, tenofovir disoproxil fumarate. 388

389 *Information on the antiretroviral treatment regimens 1 year before the DXA scans was

available for 862 of the 875 patients (98.5%), ie, 3516 of the 3726 DXA scans (94.4%). .6 σ. 390

392393 Figure 1A.







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402 **Figure 2**.



406 **Figure 3A**.



415 **Figure 4A**.

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425 **Figure 5A**.



- 434 Figure Legends
- 435 **Figure 1A**: Joint distribution of the BMD status at the first and last DXA scans.
- 436 **Figure 1B**: Total number of transitions among men.
- 437 **Figure 1C**: Total number of transitions among women.
- 438 Figure 2: Estimated hazard ratios and 95% confidence intervals associated with age (>45
- 439 years vs. ≤45 years) for model transitions. Lines in black indicate a greater likelihood of
- 440 recovery from bone loss among younger HIV-infected patients.
- 441 Figure 3A: Estimated probabilities of transition from normal bone mineral density to
- 442 osteopenia/osteoporosis over 10 years for HIV-infected patients aged 30, 40, and 50 years.
- 443 Figure 3B: Estimated probabilities of transition from osteopenia to osteoporosis over 10
- 444 years for HIV-infected patients aged 30, 40, and 50 years.
- 445 **Figure 4A**: Estimated hazard ratios and 95% confidence intervals associated with PIs and
- 446 TDF in monotherapy and combined among HIV-infected men. Left panel: Transition from
- 447 normal bone mineral density to osteopenia; right panel: transition from osteopenia to448 osteoporosis.
- 449 **Figure 4B**: Estimated hazard ratios and 95% confidence intervals associated with PIs and
- 450 TDF in monotherapy and combined among HIV-infected women. Left panel: transition
- 451 from normal bone mineral density to osteopenia; right panel: transition from osteopenia to452 osteoporosis.
- 453 Figure 5A: Estimated hazard ratios and 95% confidence intervals associated with specific
- 454 PIs among HIV-infected men. Left panel: transition from normal bone mineral density to
- 455 osteopenia; right panel: transition from osteopenia to osteoporosis.

- 456 Figure 5B: Estimated hazard ratios and 95% confidence intervals associated with specific
- .ii. .gopenia; right pane. 457 PIs among HIV-infected women. Left panel: Transition from normal bone mineral density
- 458

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