

# Antireflective Transparent Oleophobic Surface by Non-Interacting Cavities

*Juan Rombaut<sup>1</sup>, Rinu Abraham Maniyara<sup>1</sup>, Robert A. Bellman<sup>2</sup>, Daniel F. Acquard<sup>2</sup>, Adra S. Baca<sup>2</sup>, Johann Osmond<sup>1</sup>, Wageesha Senaratne<sup>2</sup>, Mark Alejandro Quesada<sup>2</sup>, David Baker<sup>2</sup>, Prantik Mazumder<sup>\*2</sup>, Valerio Pruneri<sup>\*1,3</sup>*

<sup>1</sup> ICFO- Institut de Ciències Fotòniques, The Barcelona Institute of Science and Technology, 08860 Castelldefels (Barcelona), Spain.

<sup>2</sup> Corning Research and Development Corporation, Sullivan Park, Corning, New York 14831, United States.

<sup>3</sup> ICREA- Institució Catalana de Recerca i Estudis Avançats, 08010, Barcelona, Spain.

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## **ABSTRACT**

Oleophobic surfaces have been so far realized using complex micro-scale and nano-scale re-entrant geometries where primary and secondary structures or overhang geometries are typically required. Here we propose a new design to create them with non-interacting cavities. The suspension of liquid droplets relies on the mechanism of compression of air under the meniscus

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3 leading to stable composite oil-air-solid interfaces. To demonstrate the concept, we make  
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5 oleophobic surfaces, with contact angle for oleic acid of about 130° (and Hexadecane about 110°),  
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7 using both micro-holes in silicon and nano-holes in glass. Thanks to the subwavelength dimensions  
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9 and antireflection effect of the nano-holes, the glass substrate also shows a high degree of optical  
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11 transparency with optical transmission exceeding that of the initial bare substrate. Crockmeter tests  
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13 without any significant change of morphology, optical and wetting properties after more than 500  
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15 passes also confirm the high mechanical durability of the nano-hole surface. The results indicate  
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17 the possibility of using the proposed oleophobic surfaces for a wide range of applications,  
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19 including self-cleaning transparent windows, windshields for automobiles and aircrafts.  
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## 25 **Introduction**

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27 The contact angle of a liquid on a substrate is a function of the surface energy and surface  
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29 roughness of the substrate <sup>1</sup>. For a perfectly flat surface, it is only dependent on the surface energy  
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31 and is given by the Young contact angle,  $\theta_Y$  <sup>2</sup>. The maximum Young contact angles obtained on  
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33 low surface energy flat surfaces, such as fluoropolymer coated surfaces, are ~110-120 degrees for  
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35 water and ~70-80 degrees for oil <sup>3</sup>. Surface roughness is required to attain super-hydrophobicity,  
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37 oleophobicity and/or super-oleophobicity. One of the most cited naturally occurring super-  
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39 hydrophobic surfaces is the Lotus leaf on which water contact angle greater than 150° has been  
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41 observed <sup>4-7</sup>. This super-hydrophobicity has been ascribed to both surface chemistry as well as  
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43 surface roughness. Based on the fundamental understanding gleaned from such studies, many  
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45 artificial super-hydrophobic and super-oleophobic substrates consisting of surface asperities,  
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47 composed of regularly arranged vertical pillars of square, circular or other geometric cross-section  
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49 <sup>8-11</sup>, and triangular spikes <sup>12</sup>, have been fabricated with numerous practical and industrial  
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51 applications <sup>13-19</sup>. It has been experimentally observed and theoretically predicted that a liquid  
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3 droplet placed on such asperities could assume one of the two configurations; the Wenzel (W)  
4 state<sup>20</sup> or the Cassie-Baxter (CB) state<sup>21</sup>. In the W state, the liquid fully invades the space between  
5 the asperities and wets the entire solid surface underneath itself. The contact angle in this state is  
6 given by the W model:  
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$$\cos \theta_w = r_w \cos \theta_Y \quad (1)$$

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12 where  $r_w$  is the roughness parameter defined as the ratio of the actual liquid-solid interfacial area  
13 to the projected planar area and is always greater than one<sup>22</sup>. In the CB configuration the droplet  
14 resides on the top of the asperities without invading the space in-between. Much of the liquid  
15 surface is suspended in air and a composite interface is made with solid-liquid and air-liquid  
16 interfaces. The contact angle in this configuration is given by the CB model:  
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$$\cos \theta_{CB} = -1 + f(1 + r_f \cos \theta_Y) \quad (2)$$

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26 where,  $f$  is the area fraction of solid-liquid interface and  $r_f$  is the roughness factor of the wetted  
27 area<sup>22</sup>. Between these two equilibrium configurations, the one with lower free energy is  
28 thermodynamically more stable<sup>23,24</sup>. It has been theoretically and experimentally demonstrated  
29 that for any liquid which has Young contact angle less than 90 degrees, such as oil, the W state is  
30 always energetically favorable. An oil droplet positioned over such asperities would be unstable  
31 and undergo a transition from the CB to W state<sup>22-26</sup>. This is the reason behind the difficulty of  
32 attaining an oleophobic condition on a simple rough surface. The only few cases when oleophobic  
33 and super-oleophobic surfaces have been fabricated correspond to complex surfaces consisting of  
34 re-entrant and overhang or undercut structures<sup>25-35</sup>. The purpose of the re-entrant or overhang  
35 geometry is to create an energy barrier to the wetting transition from CB to W state. It has been  
36 claimed that the only possible way of creating a robust oleophobic state is through the fabrication  
37 of re-entrant geometry<sup>25,26</sup>. However, such complex surfaces are difficult and expensive to  
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3 fabricate and may not be suitable for industrial applications. Therefore, there is great value in  
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5 developing geometries that are easy to fabricate, yet providing oleophobic and super-oleophobic  
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7 characteristics.  
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## 10 11 12 13 14 **Results and discussion**

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17 In this paper, we propose a new design where oleophobic surfaces can be made using simple  
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19 geometries that do not require re-entrant structures. This can be achieved through the creation of  
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21 *non-interacting cavities* on the substrate surface. An example of such non-interacting cavities is  
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23 shown in Figure 1a. Square cavities of side ‘a’, spacing ‘b’ and depth ‘H’ are fabricated either in  
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25 silicon substrate via standard photo-lithography and reactive ion etching. The argument behind the  
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27 non-interacting cavities is to physically trap air inside when a liquid meniscus is formed at the top  
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29 of the cavities. This is a distinctive feature compared to the asperities where the air can leave the  
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31 space under the advancing oil meniscus through interconnecting pathways. As the meniscus  
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33 invades the cavity, the air gets compressed which raises its pressure. The increased pressure of the  
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35 trapped air opposes the advancing meniscus and a mechanical equilibrium could be reached  
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37 between the downward capillary force and the upward pressure force exerted by the compressed  
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39 air as shown in Figure 1b. We differentiate this state from the CB and W states as Partially Wetting  
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41 (PW) state. A simplified mechanistic model of the PW state can be developed as follows. If the  
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43 equilibrium penetration depth is  $h^*$ , assuming ideal gas law and adiabatic compression, the pressure  
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45 of entrapped air is given by:  
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52 P_{air}(h^*) = P_{\infty} \left[ \frac{a^2 H}{V_{air}(h^*)} \right]^{\gamma} \quad (3)  
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Where  $P_\infty$  is atmospheric pressure,  $P_{air}(h^*)$  and  $V_{air}(h^*)$  are the pressure and the volume of the compressed air inside the cavity at the equilibrium condition and  $\gamma$  is the adiabatic index. The volume of air is given by:

$$V_{air}(h^*) = a^2(H - h^*) + \frac{a^3}{6\sqrt{\pi}} \left[ 3 \frac{1 - \sin \theta_Y}{\cos \theta_Y} + \left( \frac{1 - \sin \theta_Y}{\cos \theta_Y} \right)^3 \right] \quad (4)$$

where the second term is the cap volume under the hemispherical cap<sup>36</sup>. The condition for mechanical equilibrium is:

$$P_{air}(h^*) - P_\infty = \frac{4\sigma \cos \theta_Y}{a} \quad (5)$$

The solution of equilibrium penetration depth can be found from equations 3-5 as:

$$\frac{h^*}{H} = 1 - \left[ \frac{aP_\infty}{aP_\infty + 4\sigma \cos \theta_Y} \right]^{1/\gamma} + \frac{\pi a}{6H} \left[ 3 \frac{1 - \sin \theta_Y}{\cos \theta_Y} + \left( \frac{1 - \sin \theta_Y}{\cos \theta_Y} \right)^3 \right] \quad (6)$$

Using the equilibrium depth,  $h^*$  of equation (6), the overall contact angle of the partially wetted state could be calculated as:

$$\cos \theta_{PW} = -1 + f(1 + r_f \cos \theta_Y) \quad (7)$$

with

$$f = 1 - \frac{1}{(1+b/a)^2} \quad (8a)$$

$$r_f = 1 + \frac{4ah^*}{f(a+b)^2} \quad (8b)$$

Calculation of the contact angle corresponding to various cavity designs is presented in Table 1 for two low surface tension liquids – hexadecane and oleic acid. The Young contact angles,  $\theta_Y$  are equal to  $70^\circ$  and  $80^\circ$  for hexadecane and oleic acid and the surface tension values are 32.5

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3 dynes/cm and 27.5 dynes/cm, respectively. The CB calculation corresponds to the case where the  
4 liquid drops remain on the very top of the cavities. This state offers the highest contact angle. The  
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8 W calculation corresponds to the case when the liquids fully invades and fill up the cavities. This  
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11 state leads to the lowest contact angle. The PW calculation corresponds to the model developed  
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13 above where the liquid meniscus partially invades the cavities and is equilibrated by the pressure  
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15 of the compressed air. The contact angle values of the PW state is very close to the CB contact  
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17 angles. Liquid contact angles were measured on the fabricated cavities of Figure 1a after coating  
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19 with a fluoropolymer corresponding to the same dimensions as the calculations. The measured  
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21 contact angle values are compared with the three theoretical models in Table 1. They fall in  
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23 between PW and W models and closer to the PW model than the oleophilic W model. This  
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25 confirms that oleophobic state has been achieved on the substrate through the non-interacting  
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27 cavities.  
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31 The PW state micro-holes in silicon described above are not suitable for applications, which  
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33 need optical transparency, e.g. protecting windows, touch screens, car windshields and solar  
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35 panels. For such applications, an optically transparent substrate material must be used, that means  
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37 that the PW state structures must be smaller than the light wavelength. Their dimensions should  
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39 not exceed about 150 nm for the visible range, so that scattering effects are avoided. In order to  
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41 demonstrate this, we used a substrate of glass, fused silica composition, and created nano-holes in  
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43 it by scalable self-assembly lithography (see fig. 2 and methods section for a detailed description).  
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46 The resulting subwavelength structures show an increased optical transmission with respect to bare  
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48 (not surface structured) substrate thanks to the antireflection effect while still keeping oleophobic  
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50 properties due to air trapping. From fig. 3a one can see that the reflection of the structured nanohole  
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52 front surface is almost suppressed, considering that the residual reflection (about 3%) is basically  
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3 entirely due to the flat back surface. The reflection of a fused silica bare substrate with both surface  
4 contributions is about 6.5%. Correspondingly, the optical transmission reaches values in excess of  
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6 95%. The nanohole structures are oleophilic right after the making process and cleaning in SC1  
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8 (mixture of deionized water,  $\text{NH}_4\text{OH}$  and  $\text{H}_2\text{O}_2$  (5:1:1) used at 75-80°C for 10 minutes) and  
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10 become oleophobic (contact angle for oil of about 120°) after the application of a fluorosilane (fig.  
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12 3b). Note that without structuring the contact angle of a glass surface coated with fluosilane would  
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14 be much lower, about 75°, making evident the effect of the air trapping nano-hole cavities to  
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16 provide oleophobicity.  
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21 Focusing on the potential applications where the proposed structures can be applied, the  
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23 crockmeter test is a valuable tool to measure the mechanical resistance of the fabricated samples.  
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25 It can emulate the action of a human finger, which makes it relevant for display screen applications  
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27 by signaling any wear or damage. The standard crock-meter test, that provides reproducible and  
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29 comparable results, uses a constant force (9N) over a 2 cm<sup>2</sup> area applied through a standard rubbing  
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31 material (microfiber cloth). It is significant to see the response of the nano-structured glass  
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33 surfaces, especially the nano-holes resistance, after a high number of crock-meter passes. Before  
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35 and after the test, the samples were characterized by scanning electron microscopy (SEM) (fig 4.a)  
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37 and measuring the wetting properties (fig 4.b). The results prove that the nanocavities can  
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39 withstand more than 500 passes as there is no sign of any significant change in the SEM or wetting  
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41 response. This is crucial for many applications where the transparent omniphobic surfaces are  
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43 touched, either because of functional reasons (e.g. touch display screens) or cleaning purposes (e.g.  
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45 glass windows).  
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## Methods

### Fabrication of micro-holes on silicon wafer.

The fabrication process to create the silicon micro-holes was the following. Silicon wafer surface was firstly cleaned in acetone followed by ethanol in ultrasonic bath, each process lasting 10 min. The substrates were then rinsed in deionized water and dried with nitrogen gas. On dehydrated silicon substrate, photoresist AZ5214 (from Microchemicals) was spin coated and soft baked at 100 °C. The holes structures were then patterned by laser lithography at 405 nm wavelength (Microtech Laserwriter LW405B). After development, the cavities were etched by a reactive ion etching (RIE) system (Plasmalab System 100, Oxford Instruments) using C<sub>4</sub>F<sub>8</sub> and SF<sub>6</sub> chemistry. After etching, remaining photoresist was removed by acetone.

### Fabrication of nano-hole subwavelength structure on glass surface.

The fabrication process to create the nano-hole subwavelength structure on the glass surface is shown in figure 2. Double-side, optically polished, ultraviolet-fused silica glass substrates, with a thickness of 1mm and an area of 1 inch square, were used. The surfaces were cleaned in acetone followed by ethanol in ultrasonic bath for 10 min each. The substrates were then rinsed in deionized water and dried with nitrogen gas. A uniform monolayer of polystyrene (PS) nanobeads of diameter 500nm (from Sigma Aldrich) was deposited on the glass substrate by using the Langmuir Blodgett method (KSV NIMA LB from Biolin Scientific) - fig2. (a). The size of the chosen nanobeads comes from the previous optimization of the process. The diameter of the nanobeads is a key factor that determines the period between the holes. With larger beads, the period is increased. The deposited PS nanobeads were then shrunk with oxygen plasma by using a RIE system (Plasmalab System 100, Oxford Instruments) - fig2. (b). With this step, the size of the beads is reduced while the position is maintained. Changing the etching time, the top diameter



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3 of the final nano-holes is directly modified. The first two steps are key points to customize the  
4 final structure. A thin layer of copper 50 nm was then deposited with a magnetron sputtering  
5 system (ATC Orion 8, AJA International, INC) - fig2. (c). The nano-beads were subsequently  
6 removed from the structure using Kapton tape, leaving a copper mask with an array of nano-holes  
7 - fig2. (d). The copper mask was used during a RIE process to etch the surface of the glass.  
8 Different etching times allowed making nano-hole structures of different depths. After RIE, the  
9 samples were immersed in ammonium persulfate for 10 minutes to remove the residual metal mask  
10 - fig2. (e). Finally, the surface of the structure was activated using oxygen plasma (PVA TePla 300  
11 Semi-Auto Plasma Asher), dip coated with a low surface energy fluorosilane for 3 minutes and  
12 dried at 50°C for one hour in a regular oven leading to an oleophobic surface.  
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27 Special optimization can be performed modifying the size and period of the PS nanobeads and  
28 the depth of the nano-holes. By adjusting these parameters, it is possible to obtain different optical  
29 and wetting properties depending on the application.  
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#### 35 Optical, wetting and mechanical characterization.

36 The total transmittance and reflectance were measured using a UV-vis-NIR spectrophotometer  
37 (PerkingElmer Lambda 950) in the wavelength range of 380-1500nm. Haze measurements were  
38 performed using Haze-meter (BYK-Gardner 4601 haze-gloss). The nano-holes were examined  
39 with a field-emission scanning electron microscope (FEG-SEM, Inspect F, FEI Systems). Oleic  
40 acid and hexadecane contact angles were measured and averaged at multiple positions on the  
41 surface of samples by using a drop shape analysis system (DSA-100, Krüss GmbH).  
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51 The mechanical resistance tests were performed by a homologate electronic crockmeter  
52 (M238BB, SDLATLAS). Standard weight of 9N was used with a stroke length of 50mm and the  
53 abrillant surface was a crockmeter microfiber cloth.  
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### Alternate method to produce nano-hole surface structures.

An alternate method to produce the nano-hole (waffle) pattern is presented in the supporting information. Here, in brief, after the shrinkage of the PS beads, a transparent SiO film is deposited. Once the PS beads are removed, transparent nano-hole structures are formed which show both antireflection and oleophobic properties, similar to the aforementioned structures directly engraved in the glass surface.

### **Conclusions**

We have proposed and demonstrated a new antireflective, transparent and oleophobic surface based on non-interacting cavities without the need of re-entrant or overhang structures. The approach is applicable to transparent substrates, such as glass, provided that subwavelength, nanometric scale, holes (cavities) are created. The antireflective effect comes from a smooth refractive index gradient at the interface associated to the conical shape of the nanoholes. When the nanostructure has a subwavelength dimension, light scattering becomes negligible, thus preserving the directionality of the transmitted beams. The phobic behavior is attributed to the pressure generated by the air trapped in the holes (cavities) underneath the liquid. We name the effect partial wetting state. As shown in the paper, the partial wetting nano-holes can be made by using scalable techniques for large areas that can have an impact in antismudge touch screens, automobile windshields, solar panels and other applications that needs high transmission and self-cleaning properties.

## FIGURES

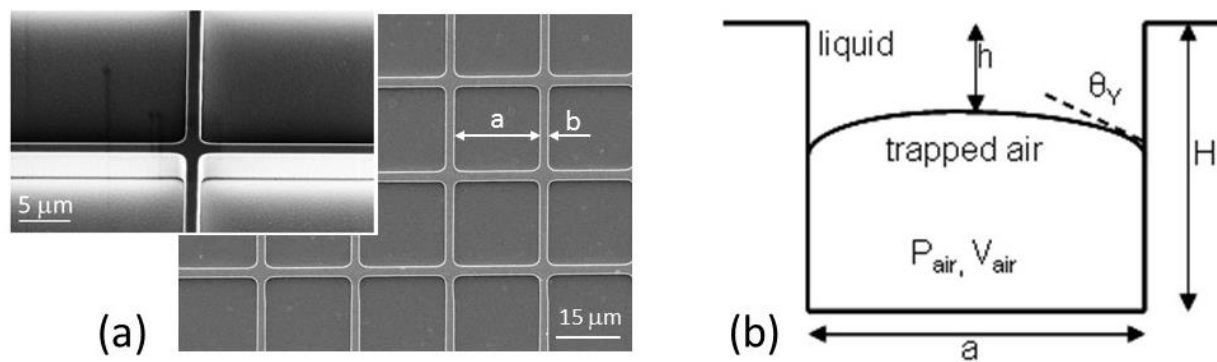


Figure 1. (a) SEM pictures of square non-interacting cavity structures fabricated on the surface of a silicon substrate (b) Schematic of the mechanism of oleophobicity in non-interacting cavities

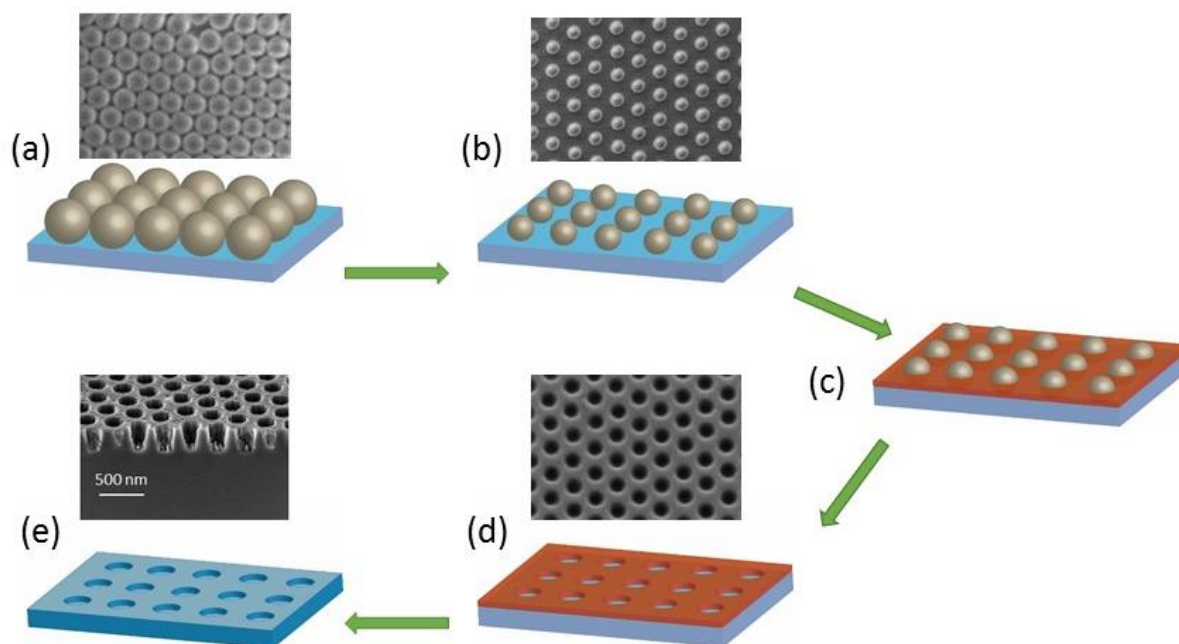
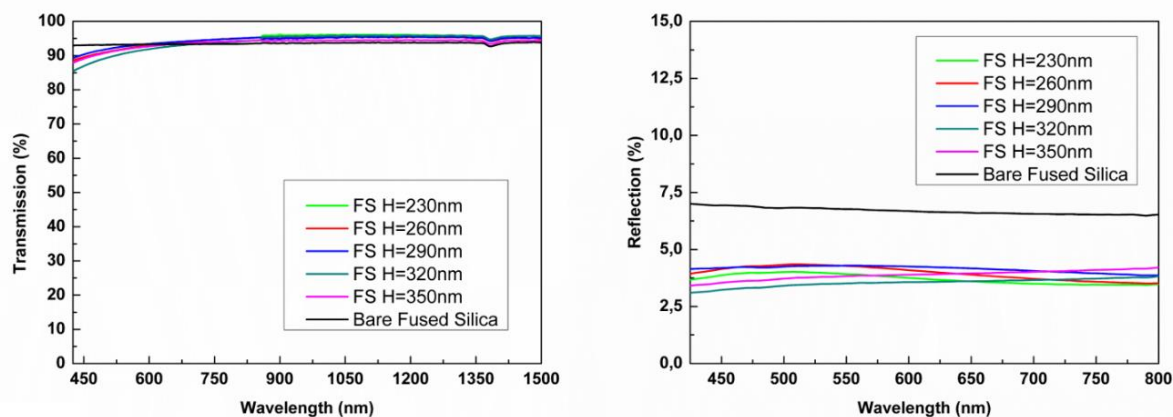


Figure 2. Process flow to realize subwavelength oleophobic nano-hole structures which are also transparent in the visible and near infrared range: deposition of polystyrene nano-beads (a); shrinking using oxygen plasma (b); deposition of copper film (c); reactive ion etching (d) and removal of copper mask (e). The SEM pictures taken at different steps are on the same scale. For a detailed description of the fabrication steps please refer to method section.



(a)

Sample	H (nm)	a (nm)	b (nm)
<i>FS H=230nm</i>	230	235±6	40±6
<i>FS H=260nm</i>	260	230±5	48±5
<i>FS H=290nm</i>	290	232±8	68±9
<i>FS H=320nm</i>	320	235±9	46±6
<i>FS H=350nm</i>	350	225±7	45±4

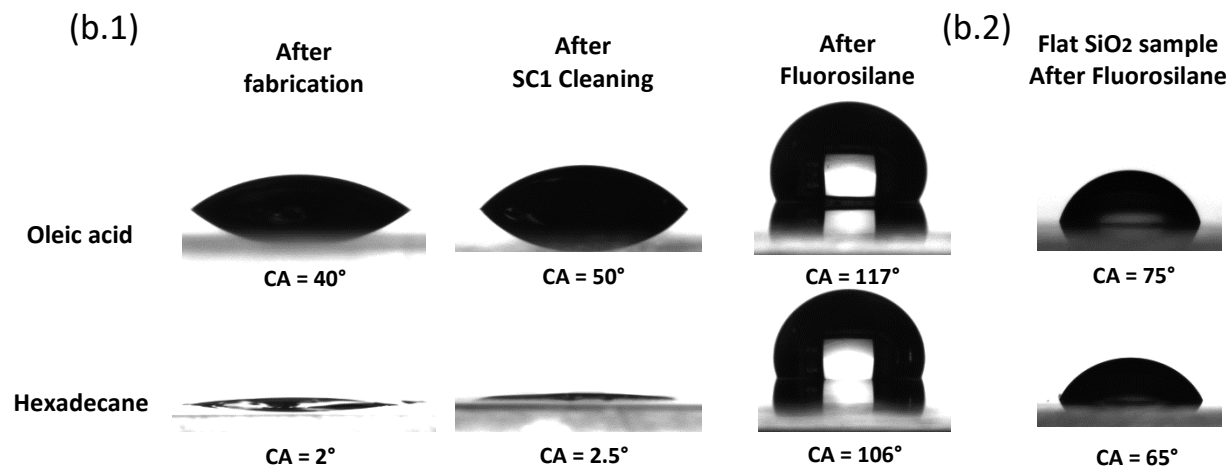


Figure 3. Optical and wetting properties of subwavelength nanohole structures: optical transmission and reflection together with sample description (a); Contact angle (CA) for oleic acid and hexadecane for nanostructured sample H=230nm (b.1) and comparison with flat SiO<sub>2</sub> sample after fluorosilane (b.2).

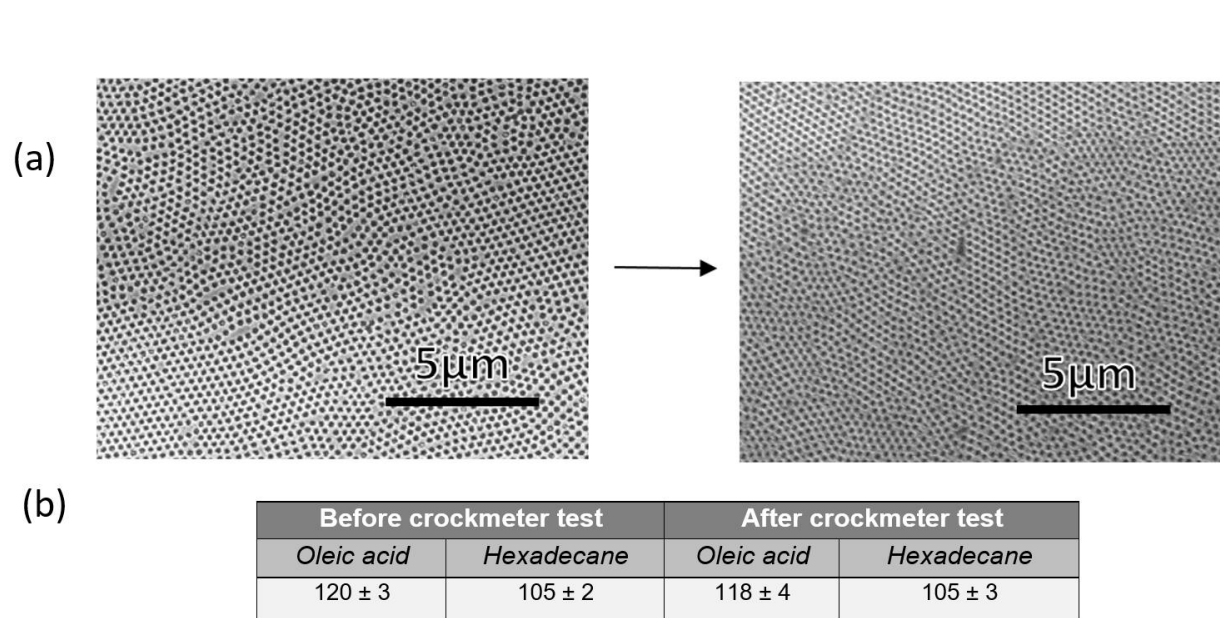


Figure 4. Mechanical resistance test results after 500 passes of crockmeter with 9N force over 2cm<sup>2</sup> contact area. SEM Images of the nano-holes before and after the crockmeter test (a) and wetting properties (oleic acid and hexadecane contact angles in degree) before and after the crockmeter test (b).

## TABLES

Sample ID	Dimensions	Experiment: Fluorosilane		Calculated: Fluorosilane, PW		Calculated: Fluorosilane, CB		Calculated: Fluorosilane, W	
		Oleic acid	Hexadecane	Oleic acid	Hexadecane	Oleic acid	Hexadecane	Oleic acid	Hexadecane
A	$a = 18.6, b = 1.4$ $H = 1.07$	$127 \pm 5$	$115 \pm 3$	145.7	139.28	147.3	144.95	78.0	65.79
B	$a = 20.5, b = 1.5$ $H = 2.4$	$128 \pm 2$	$109 \pm 2$	146.1	139.56	147.7	145.41	75.9	61.24
C	$a = 20, b = 4$ $H = 2$	$126 \pm 2$	$111 \pm 3$	129	122.71	129.9	126.15	77.2	64.09
D	$a = 20, b = 2$ $H = 2$	$125 \pm 1$	$106 \pm 2$	141.4	135.09	142.8	140.09	76.6	62.93

Table 1. Compilation of calculated and measured oleic acid and hexadecane contact angle data (in degree) of microstructure silicon samples after coating with fluorosilane. The dimensions of a, b and H are in  $\mu\text{m}$ . PW=partial wetting, CB=Cassie-Baxter and W=Wenzel

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3 ASSOCIATED CONTENT  
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6 The supporting Information is available free of charge.

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8 An alternate method to produce the nano-hole (waffle) pattern. (file type, PDF)  
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13 AUTHOR INFORMATION  
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16 **Corresponding Author**  
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18 \*(V.P) E-mail: [valerio.pruneri@icfo.es](mailto:valerio.pruneri@icfo.es)  
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21 \*(P.M) E-mail: [mazumderp@corning.com](mailto:mazumderp@corning.com).  
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25 **Author Contributions**  
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27 The manuscript was written through contributions of all authors. All authors have given approval  
28 to the final version of the manuscript.  
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33 **Notes**  
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35 The authors declare no competing financial interest.  
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