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1 Analogues for the Railway Network of Great Britain

2
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10
11
12 Abstract [up to 250 words]

13
14 In recent years, extreme weather events have caused substantial disruption to Great
15 Britain's (GB's) railway infrastructure. In coming decades this vulnerability is unlikely
16 to subside as the effects of climate change become more intense. Railway
17 stakeholders in GB are strongly engaged with understanding climate change impacts
18 on the railway system and how the industry could adapt to these impacts. Since
19 2010, Network Rail and RSSB have supported research into these topics under the
20 "Tomorrow's Railway and Climate Change Adaptation" (TRaCCA) programme.
21 Under TRaCCA, an analogue study was performed to determine whether lessons
22 could be learned from other countries' weather management. Two types of analogue
23 were used to identify suitable railway networks. First, climate data from twenty
24 models of the Coupled Model Intercomparison Project phase 5 (CMIP5) were used
25 to identify regions whose present-day climate is similar to the projected future GB
26 climate for the mid- and end of the twenty-first century. The analogue locations were
27 found to be largely insensitive to the climate indicators and the methods used to
28 compare climate at different locations. Next, railway networks in many countries
29 worldwide were studied to find those with similar physical and operational
30 characteristics to the GB network. Those regions with both climate and railway
31 analogues are France, Netherlands, Belgium, Germany and Denmark. These five
32 countries are therefore good choices for further study to provide guidance on how
33 the GB network could adapt to a changing climate. The methods described here
34 could be used to identify analogues for other railway networks.

35
36
37 Keywords: Climate change, analogues, railway, climate models, GB, CMIP5

38
39

41 1 Introduction

42

43 1.1 Background to study

44

45 In Great Britain (GB), the railway network has a variety of stakeholders including
46 infrastructure owners, rolling stock companies and train operating companies as well
47 as regulatory bodies such as the Office of Rail Regulation (ORR) who all have
48 interests and responsibilities in ensuring future service operation. A recent project
49 funded by the UK Department for Transport (DfT), through the Rail Industry Strategic
50 Research Programme (managed by RSSB under the Tomorrow's Railway and
51 Climate Change Adaptation (TRaCCA) programme), included studies of a variety of
52 possible temperature-related climatic impacts on the main line railway network of
53 Great Britain (Palin *et al.*, 2013). Subsequent research under TRaCCA (RSSB
54 reference T1009) aims to deliver step changes in climate science, knowledge of
55 climate change vulnerabilities, and the development of support tools, to increase the
56 weather and climate resilience of the GB railway.

57

58 One outcome of the initial TRaCCA-funded work was that the GB railway network
59 acknowledged that it could potentially learn from the management of railway systems
60 in other countries (Palin *et al.*, 2013). Specifically, some of the conditions which
61 could affect the GB railway network in future may already be managed appropriately
62 in other countries. However, in order to draw such lessons it is important to
63 acknowledge the need for a twofold similarity in both climate and the railway network
64 in these other locations. Therefore, a study to determine these locations was
65 undertaken as the first stage in assessing this potential for adaptation knowledge
66 transfer.

67

68 A variety of observations show that Great Britain's climate has warmed during the
69 twentieth century. For example, Parker and Horton (2005) detected an increase in
70 annual mean temperatures of 0.077°C per decade in the Central England
71 Temperature record between 1900 and 2004. Climate modelling studies indicate
72 that further increases in temperature are projected for Great Britain during the
73 twenty-first century (Murphy *et al.*, 2009; IPCC, 2013a). These changes could
74 increase the occurrence of conditions conducive to the imposition of speed
75 restrictions, the excessive sag of overhead power lines, the exposure of outdoor
76 workers to heat stress (Palin *et al.*, 2013) and track buckling events (Dobney *et al.*,
77 2009) – assuming that no action were taken to decrease the vulnerability of the
78 railway to these changes.

79

80 Projected changes in rainfall are less clear. Winter rainfall could increase, but
81 summer rainfall may decrease (Murphy *et al.*, 2009; IPCC, 2013a). However, the
82 proportion of summer rainfall falling in short duration downpours may increase
83 (Kendon *et al.*, 2014) which would raise the chance of flash flooding events and
84 failure of earthworks in cuttings and embankments.

85

86 1.2 Analogue methods

87

88 In this study, two types of analogue – climate and railway – are used to identify
89 suitable railway networks that could provide guidance on adaptation of the GB
90 network to climate change. First, climate analogues are identified (Veloz *et al.*,
91 2012). Indicators are calculated which describe aspects of the climate at two
92 locations. These indicators are based on temperature and rainfall. If the climate at
93 one location is similar to the climate at another, according to some pre-defined
94 criteria, then one location is said to be an analogue of the other. Analogues may be
95 used to identify where the present day climate at a location might exist in the future.
96 In the present study, regions where the projected climate for GB exists in the present
97 day are required.

98
99 Two key choices for any study using climate analogues are which indicators to use,
100 and how to compare indicators at two locations statistically. These choices may
101 affect which analogues are identified. It should be borne in mind that weather and
102 climate at two locations will never be identical, so the use of analogues will always
103 involve a degree of approximation. In some cases, even the closest analogues may
104 be a poor representation of the climate of a location of interest. Climate change
105 could generate new climates that do not presently exist, at least in the vicinity of the
106 area of interest (Williams *et al.*, 2007; Kopf *et al.*, 2008). Similarly, some types of
107 present-day climate may disappear altogether in the future (Williams *et al.*, 2007).

108
109 To date, climate analogues have been used to assess climate change impacts on
110 many sectors. Hallegatte *et al.* (2007) and Kopf *et al.* (2008) used analogues to
111 search for cities in Europe whose present climates could be considered as
112 reasonable representations of the simulated climate for the 2080s of selected
113 European cities. They calculated three different climate indicators to assess the
114 similarity of the projected climate of the selected cities and the current climate of the
115 potential analogues. The indicators were calculated from temperature and rainfall
116 simulated by regional climate models. For many cities, analogues were found at
117 more southerly latitudes within Europe.

118
119 Grenier *et al.* (2013) used simulated climate for north-east Canada from a regional
120 climate model for two periods (1971 - 2000 and 2041 - 2070) to identify analogues
121 for the city of Montreal (Quebec, Canada). They used six different dissimilarity
122 metrics (see Section 4) in an attempt to identify the best metric for selecting
123 analogues. They found that the six metrics identified similar analogues at a relatively
124 large scale, but the locations of the best analogues differed substantially between
125 some of the indices.

126
127 The second set of analogues used was based on the characteristics of the various
128 railway networks. The International Union of Railways (UIC) publishes annual
129 summary data of the railway operations in many countries worldwide, together with
130 details of the structure of these railways (UIC, 2014). These data were used to
131 calculate several indicators of railway networks and operations which could be used
132 to identify those networks which are most similar to the GB network. Previous use of
133 such indicators has generally been in the field of economic ‘benchmarking’ of railway
134 undertakings and a variety of approaches have been developed (Oum *et al.*, 1999;
135 De Borger *et al.*, 2002; Pisu *et al.*, 2012). These approaches have been applied
136 both nationally and internationally (Hansen *et al.*, 2013) but do not appear to have
137 been used for climate studies.

138
139 Previous studies have largely identified specific locations as the analogue of a point
140 of interest, for example a city area. However, given the nature of railway networks,
141 the aim of present study was to identify regions whose present day climate is similar
142 to that projected for GB, and whose railway networks are similar to the GB network.
143 Results from an ensemble of climate models (Taylor *et al.*, 2012) were used so that
144 some of the uncertainty in the climate projections could be included (Section 2).
145 Indicators were calculated which describe aspects of the climate of each location,
146 and are described in Section 3. These indicators were compared using statistical
147 measures of climatic similarity (Section 4) to identify possible analogues. The
148 analogue locations identified are described in Section 5, where the sensitivity of the
149 results to the indicators and similarity measures is explored. In Section 6, the
150 physical and operational characteristics of railway networks around the world are
151 compared to identify networks that are similar to the GB railway network. The results
152 are summarised in Section 7, together with a discussion of the benefits and
153 limitations of the analogue approach.
154

155 **2 Climate Model Data**

156
157 The Coupled Model Intercomparison Project phase 5 (CMIP5; Taylor *et al.*, 2012)
158 provided a set of coordinated climate model experiments. Modelling centres around
159 the world participated, and results from over 30 climate models were submitted and
160 analysed to answer key scientific questions for the Fifth Assessment Report of the
161 Intergovernmental Panel on Climate Change (IPCC, 2013a). These experiments
162 included historical simulations, where the models were driven using observed levels
163 of greenhouse gases and aerosols, solar variability and emissions from volcanoes.
164 The results from the historical simulations have been evaluated against observations
165 on global and continental scales. The simulations reproduced important aspects of
166 global and regional climate (Flato *et al.*, 2013), and so are suitable for the present
167 study.
168

169 The same models were used to project the future climate under four plausible but
170 different greenhouse gas “representative concentration pathways” (RCPs; van
171 Vuuren *et al.*, 2011). These are similar to the emissions scenarios used in previous
172 climate model simulations, but with future greenhouse gas evolution described in
173 terms of the atmospheric concentrations of these gases, rather than the amounts
174 emitted from various sources. Measured greenhouse gas emissions have been
175 compared with emissions derived from the concentrations under each RCP (Sanford
176 *et al.*, 2014). Current emissions are just above those in the scenario with the highest
177 concentrations (called RCP8.5) and are increasing at a very similar rate. Hence
178 climate model simulations using this scenario were analysed. Using median values,
179 the climate of the UK is projected to warm by 1.0-1.5°C by the middle of the 21st
180 century, and by 3-4°C during late 21st century under the RCP8.5 scenario. Rainfall
181 is projected to increase by up to 10% and 10-20% between October and March
182 during the mid- and late 21st century respectively. Between April and September,
183 projected changes in rainfall range from very little change to a 20% reduction over
184 most of the UK (IPCC, 2013b: Annex I). All these changes were calculated relative
185 to 1986 – 2005.
186

187 A subset of 20 models was used for this study (Table 1) owing to restrictions on use
188 of results from some models and data availability. An evaluation of the relative
189 biases in the global and seasonal climatologies of surface temperature and rainfall
190 from the models showed that, of the models listed in Table 1, only one had a high
191 warm bias. The biases in rainfall from all the models were generally small or
192 moderate. Overall, none of the models used in this study would be considered
193 outliers. All model data were interpolated to a common resolution of $1.875^\circ \times 1.25^\circ$
194 before use. This resolution is used by the Met Office's HadGEM2 global climate
195 models, and lies roughly at the middle of the range of resolutions used by the other
196 CMIP5 models.
197

198 **3 Indicators**

199
200 Climate can be thought of as the average weather conditions at a given location. As
201 stated in Section 1, indicators are calculated which quantify the relevant climatic
202 attributes of locations of interest (Williams *et al.*, 2007). These indicators may then
203 be compared statistically to identify analogues. Many previous studies using
204 indicators have included at least one based on temperature and another based on
205 rainfall (Hallegatte *et al.*, 2007; Williams *et al.*, 2007; Kopf *et al.* 2008; Veloz *et al.*,
206 2012; Grenier *et al.*, 2013), and this approach will be followed here. There are many
207 impacts of hot and cold temperature on the railways (Dobney *et al.*, 2009; Palin *et al.*,
208 2013). Heavy or prolonged rainfall can also cause flooding. Temperature and
209 rainfall effects have also been identified as being of key interest in the TRaCCA
210 project.
211

212 Analogues were identified in many previous studies using three indicators, which is a
213 compromise between a sufficient number of indicators to characterise climate but not
214 too many as to make identifying analogues difficult. The indicators used in this study
215 are annual total precipitation (ATP), warm days per year (days with maximum
216 temperatures of 18°C or warmer) and cold days (days with minimum temperatures
217 less than or equal to 0°C). These thresholds are lower than those used in the
218 management of the GB rail network. This was necessary to allow for the relatively
219 coarse resolution of the global climate models ($\sim 100 - 200$ km) used to determine
220 analogues in this study, which averages out very high and low temperatures seen on
221 smaller spatial scales. Similar indicators have been used in other studies (Kopf *et al.*,
222 2008; Grenier *et al.*, 2013). The exact values of the thresholds for warm and cold
223 days may not be important, as days above or below similar thresholds will be closely
224 correlated (Kopf *et al.*, 2008). These three indicators are calculated using daily
225 maximum and minimum temperatures and daily rainfall data from the climate models.
226 Use of days above or below a given threshold means that any global variations in
227 seasonality will not affect the result. This situation would arise if locations in the
228 northern and southern hemispheres were compared. The sensitivity of the results to
229 the thresholds used to define warm and cold days, and to an alternative rainfall
230 indicator (number of wet days per year) will also be investigated (see Sections 5.2
231 and 5.3).
232

233 Each set of indicators is calculated annually for thirty year periods, providing thirty
234 values for each indicator. It is assumed that the average over thirty years is
235 representative of the central decade. The use of a longer time period minimises the

236 risk that any change in climate was caused by natural variations rather than a
237 change resulting from external influences on the climate system. Two future time
238 periods will be analysed: mid twenty-first century (2035 – 2064) and late twenty-first
239 century (2070 – 2099). Analogues were searched for using modelled data for 1971
240 – 2000 to represent the present day climate.

241
242 Extremes in temperature and rainfall (i.e. hot and cold days and nights, periods of
243 heavy rainfall) are not addressed by the indicators used here. The CMIP5 models
244 reproduce some characteristics of observed temperature and rainfall extremes (Flato
245 et al., 2013), but there is disagreement between the observational extremes datasets,
246 especially for precipitation, making evaluation of modelled extremes difficult
247 (Hartmann *et al.*, 2013).

248
249

250 **4 Dissimilarity Metrics**

251
252 Dissimilarity metrics are used to evaluate differences in a set of variables between
253 two locations. They provide a statistical description of the similarity (or dissimilarity)
254 of the variables and may be used to quantify the difference, allowing the best
255 analogues to be identified.

256
257 There are a wide range of dissimilarity metrics. Grenier *et al.* (2013) compared six
258 different metrics and recommended use of the Zech-Aslan energy statistic (Zech and
259 Aslan, 2003; Aslan and Zech, 2005) for identification of analogues. The Zech-Aslan
260 metric favours analogues with balanced departures from the reference climate, in
261 terms of average and standard deviation. Analogues having medium departures for
262 all climate indicators would be favoured over those analogues having a high
263 departure in one climate indicator but low departures in the others. The calculation
264 of the Zech-Aslan energy statistic is described in Section S1.

265

266 **5 Method and Results**

267
268 Daily minimum and maximum temperatures and daily rainfall totals were obtained for
269 each global climate model listed in Table 1. The three climate indicators were
270 calculated for the mid- and late 21st century over GB land points (Figure 1). Next,
271 the same three indicators were calculated over all land points using daily data from
272 the historical simulation for the period 1971 – 2000 from the same model. Some
273 land areas where analogues would not occur (for example, Antarctica) were
274 excluded from the analysis. The Zech-Aslan dissimilarity metric was used to
275 compare the simulated future climate of each UK land point with the modelled
276 present-day climate of all land points, as represented by the three indicators. In a
277 similar approach to Grenier *et al.* (2013), the potential analogue locations were
278 ranked using values of the Zech-Aslan metric. Locations with low ranks would be
279 considered to be analogues, whereas those with high ranks would not. The time
280 series of the indicators at the two locations (i.e., a GB location and the closest
281 analogues) were compared by eye as a check on how close the analogues were.

282

283 The consistency of the analogue locations derived from the climate models was then
284 assessed. For each GB land point, the number of times each location appeared in
285 the top 50 analogues identified from each model was calculated. Locations with a
286 score close to the total number of models may be considered as robust analogues of
287 the future GB climate. Locations with low scores are identified by only a small
288 number of models and would be less robust.

289

290 5.1 Analogue locations

291

292 Analogue locations for south-east England and north-east Scotland in the mid-21st
293 century are shown in Figure 2. The figures show the number of times each location
294 appears in the top 50 ranks. For south-east England, the closest analogues are
295 northern and western France. The north coast of the Iberian Peninsula is also
296 identified by about 10 models. A smaller number of models suggest southern
297 Argentina and Chile, New Zealand and northern coastal regions of the
298 Mediterranean as potential analogues.

299

300 Some of the same locations were identified as analogues for north-east Scotland,
301 but there were also some important differences. Ireland represents the closest
302 analogue to the projected climate for the mid-21st century. Approximately half of the
303 models indicate much of Great Britain and the south island of New Zealand as
304 potential analogues. A smaller number of models suggest southern Argentina and
305 Chile as analogues, but the Mediterranean is not an analogue.

306

307 Closer examination of the analogues shows there are important differences between
308 western and eastern coasts of Scotland. Rainfall on western coasts of the UK is
309 higher than on eastern coasts (Murphy *et al.*, 2009, Figure 5.1), which impacts on
310 the analogues identified. In Scotland, the difference in rainfall totals between the
311 east and west coasts is the greatest. Wales and south-western England are
312 potential analogues for north-west Scotland, but not for north-east Scotland.

313

314 In some cases, the target location was identified as its own analogue. An analysis of
315 the indicators for the present and future climate for the 2050s showed that the
316 ranges of annual rainfall totals and numbers of cold days overlapped in a number of
317 models. The numbers of cold days were smaller in the future, as expected, but the
318 high variability in their numbers mean the numbers of cold days in the present day
319 and future were similar in some years. Future rainfall was generally slightly larger in
320 the future, but the change was small compared with the year-to-year variability. The
321 numbers of warm days were almost always much larger in the future than present,
322 although they did overlap slightly in a few models. The overlap in rainfall totals and
323 numbers of cold days in the modelled present day and future climate explains why
324 some locations are their own analogue.

325

326 The climate analogue locations for the four GB regions shown in Figure 1 for the
327 mid- and end of 21st century climate of Great Britain are summarised in Tables 2
328 and 3, using ranks derived from the Zech-Aslan statistic.

329

330 There are many similarities in the locations of the analogues for the two time periods,
331 but also some important differences. For example, north-west France is an
332 analogue for southern England and Wales during both the mid- and end of 21st

333 century, although locations in Spain and Portugal are also identified for the end of
334 the 21st century. Similarly, New Zealand and southern South America are identified
335 for most of the UK in both time periods. For the end of the twenty-first century, there
336 were important differences in the analogue locations between the east and west
337 parts of Scotland and northern England. Some differences were also noted for the
338 mid-21st century, but they were smaller and less pronounced.

339

340 5.2 Sensitivity to Dissimilarity Metric

341

342 The identification and ranking of analogues was repeated for the mid- and end of
343 21st century using two alternative dissimilarity metrics, the Euclidean distance (ED)
344 and the CCAFS similarity measure (see Section S1 for a description of their
345 calculations).

346

347 Similar analogue locations were identified by all three dissimilarity metrics although
348 the number of models which identified each location was sometimes different. For
349 example, when considering analogues for southern England in the mid-21st century,
350 the ED metric favoured the northern coasts of Spain and Portugal as well as north
351 and western regions of France. The number of models which identified the south-
352 eastern coast of Australia was also larger. The CCAFS similarity measure tended to
353 produce “noisier” results; a few scattered locations in South Africa and India were
354 identified as analogues by a small number of models for southern England during the
355 late 21st century as well as those listed in Tables 2 and 3. Overall, the choice of
356 dissimilarity metric has made little difference to the analogue locations in either time
357 period.

358

359 5.3 Sensitivity to Climate Indicators

360

361 The sensitivity of the analogue locations to the climate indicators was also explored.
362 First, an alternative rainfall metric, the number of wet days per year, was used. All
363 days with a total rainfall of 0.1 mm or larger were identified. Next, the 90th percentile
364 of the daily rainfall totals from those days was calculated for each UK land point
365 (Figure 1) and climate model simulation, using daily rainfall data for the mid-21st
366 century. Any day whose total rainfall was equal to or exceeded this threshold would
367 be classed as a wet day in that model.

368

369 The same threshold was then applied to daily rainfall data from the same model for
370 the present-day period (1971 – 2000) and used to calculate the number of wet days
371 per year over all land points. The numbers of wet days, together with the days
372 above and below the appropriate temperature thresholds (Section 3), were then
373 compared using the Zech-Aslan dissimilarity metric and used to identify analogue
374 locations.

375

376 The use of wet days per year instead of annual total precipitation had little effect on
377 the analogue locations identified. There was a minor impact on analogues identified
378 for locations in southern England. Fewer models indicated New Zealand as an
379 analogue, and the analogues in Chile and Argentina were located slightly further
380 north. Otherwise, the analogue locations and model consensus were very similar to
381 those obtained using the standard set of indicators (Figure 2; Tables 2 and 3).

382

383 The calculation of the climate indicators and analogue locations was repeated using
384 a range of alternative thresholds for hot and cold days. The temperature thresholds
385 used to calculate the climate indicators are lower than the thresholds used by
386 Network Rail for relevant decision-making processes such as deploying heat
387 watchmen and imposing speed restrictions. Climate models simulate an average
388 temperature over a large area (approximately 150 km × 150 km), and so would not
389 reproduce very high temperatures observed during the summer months. Hence, the
390 thresholds used here are lower. For this series of tests, the original rainfall metric
391 (annual total precipitation) was used. The maximum temperature threshold was
392 increased from 18°C to 26°C, and the minimum temperature threshold was changed
393 from -4°C to +4°C. Both thresholds were increased in steps of 2°C. These changes
394 were made incrementally, meaning analogues were identified using 24 new
395 threshold pairs.

396
397 There were some small differences in the ranking of the analogues but the same
398 broad locations were identified for each region of the UK for all the different
399 temperature thresholds. This result applies to both the mid-21st and end of 21st
400 century. Hence, the locations that are given in Tables 2 and 3 (based on analyses
401 with daily maximum temperatures greater than 18°C and daily minimum
402 temperatures below 0°C) are also appropriate across the other temperature
403 thresholds tested.

404
405 Most of the differences seen when performing this set of sensitivity tests lie with the
406 degree of model consensus for the given locations. The consensus seemed to
407 reduce as the daily maximum temperature threshold was increased to 22°C and
408 higher, and the daily minimum temperature reduced (particularly those below 0°C).
409 However, some of the change in consensus was caused by the change in number of
410 events across the different models. For the highest maximum temperature
411 thresholds, the number of events above the threshold was very low or zero for a
412 number of the models. This result suggests that the highest thresholds are not
413 appropriate for the UK climate at the resolution of the global climate models. The
414 original choice of daily maximum and minimum temperature thresholds of 18°C and
415 0°C (Table 4, sensitivity test 1) appear suitable for the present study.

416
417 The spatial coverage and extent of analogues found in South America, South-East
418 Australia and New Zealand also varied with the temperature thresholds used. The
419 clearest case of this was for the analogues found in South-East Australia. The
420 coastal areas around Melbourne only appeared for some of the thresholds as the
421 daily maximum temperature threshold was increased.

422
423 A few analogues were found in south-eastern parts of South Africa as the daily
424 maximum temperature threshold was increased. These locations were not present
425 when lower temperature thresholds were used. Analogues in South Africa generally
426 only appeared for the end of the 21st century.

427
428 In summary, very similar analogue locations were identified regardless of the
429 temperature thresholds and rainfall metric used. The top ranked locations are
430 located in Northern Europe and secondary locations further afield include Chile, New
431 Zealand, South Australia and the north-western coast of the USA (see Tables 2 and
432 3 for more detail).

434 **6 Railway analogues**

435

436 In this section, an analysis of railway networks across the world is presented that
437 highlights systems which have similarities in type, operation and efficiency to the GB
438 main line network. Although in theory all railway undertakings are similar, in practice
439 there are many distinctions in technology, operations and efficiency which limit the
440 value of drawing direct comparisons. Examples include traffic patterns, which
441 effectively define who the railway is primarily serving, and staffing levels, which
442 highlight differing approaches to disruption recovery operations. Thus comparing the
443 operation of a primarily freight-oriented rail network to a primarily passenger-
444 orientated railway is likely to yield unhelpful results as they may well have very
445 different goals, for example in terms of acceptable track alignment and ride comfort.
446 Similarly, a high-staff railway approach to maintenance is different to that of a high-
447 technology, low-staff rail network even though the endpoint may be similar. It is
448 therefore helpful to identify broadly where similarities exist in railway undertakings to
449 assist in focusing the search for overseas practices which may be of direct relevance
450 to the GB railway in a future climate.

451

452 The analysis presented here used data from the International Union of Railways
453 (UIC, 2014; further details are provided in Section S2). Data from railway companies
454 within a single country were aggregated to calculate total network length, single/
455 multiple track lengths, electrified track length, total staff numbers, passenger-
456 kilometres and freight tonne-kilometres travelled. From these raw data a number of
457 indicative parameters were calculated as shown in Table 4. These parameters give a
458 broad overview perspective of railway operations in each country. Network utilisation,
459 for passenger (NU-P) and freight (NU-F) operations, shows the level of revenue
460 traffic per kilometre of the network and the balance between these two revenue
461 activities on the network overall. Staffing level shows the number of staff required to
462 operate this level of activity across the network on a basis that can be compared
463 across countries. Finally, passenger operation efficiency (POE) combines network
464 utilisation and staffing in an overall parameter which effectively shows number of
465 passenger-kilometres achieved per staff-kilometre of the network. From the UIC data,
466 parameters for 84 countries were calculated.

467

468 The balance between passenger and freight network utilisation shows that the
469 GB network is passenger orientated. Rank ordering the 84 countries shows that GB
470 is 10th in NU-P and joint 40th in NU-F which supports this conclusion. The top 20
471 countries, ranked by NU-P together with their associated NU-F values are listed in
472 Table S2. These countries therefore provide the most likely analogues for GB from a
473 railway systems perspective. A review of the parameters calculated for the remaining
474 countries showed decreasing similarity, and therefore a cut-off of the top 20 was
475 considered reasonable.

476

477 To evaluate these potential analogues further, other railway network parameters
478 were also considered, which were the lengths of single and multiple track railways
479 and the percentage of electrified track. It was not required that an exact numerical
480 value be achieved to be a reasonable analogue but rather that the parameters be

481 generally aligned with those of the UK. Parameter values for the top 20 countries are
482 listed in Table S1.

483

484 The UK is a mixed railway both in having single and multiple track lines and partial
485 electrification. These characteristics are likely to continue into the future, although
486 the proportion of electrified track is likely to increase. Overseas railways with a mixed
487 nature should be considered as better analogues than those with extremes of these
488 parameters.

489

490 Railway systems with high NU-F compared to NU-P may have a different overall
491 focus to the UK and thus be poor analogues. Staffing is also very important and
492 countries with a staff per km value of over twice that of the value for GB appear to be
493 different in character and therefore poor analogues. The POE parameter highlights
494 railways with very high (or low) density passenger traffic patterns. Again, the UK
495 appears to have a mixed pattern and moderate value. Countries with POE values
496 that are much higher or lower than the GB value would not be considered as suitable
497 analogues.

498

499 This analysis suggests that the following nine countries be considered as potential
500 overseas analogues for the GB rail system. In Europe: Austria, Belgium, Denmark,
501 France, Germany, Italy and the Netherlands; and outside Europe, Morocco and
502 Japan. The Republic of Korea and Switzerland are not considered to be suitable
503 analogues, as they differ from GB in one important criterion (staff per km in Korea,
504 and percentage of network electrified in Switzerland).

505

506 In making these recommendations the limitations of this analysis should be
507 considered. This analysis was made at the country level because of the available
508 UIC data, and could overlook regions of similarity. For example, the northeast
509 corridor in USA and Canada has a potentially more European character than the rest
510 of North America. It is also possible that overseas railway networks with very
511 different characters to the GB network could nonetheless have particular elements of
512 strategies which are useful in a GB context for adaptation.

513

514 The analyses in Sections 4, 5 and 6 have used complementary perspectives to
515 identify analogues. The simplest way to combine these complementary perspectives
516 is to assess which countries appear on the lists of both climate analogues and
517 railway analogues. The countries thus identified are France, Netherlands, Belgium,
518 Germany and Denmark. There are therefore no countries outside Europe which are
519 both climate and railway analogues according to the above methodologies.

520

521 **7 Summary**

522

523 In this study, climate and railway analogues for Great Britain have been identified.

524 The climate analogues were identified using results from twenty global climate
525 models under a high emissions scenario (RCP8.5) for the mid- and end of the
526 twenty-first century. The use of results from multiple models allows some of the
527 uncertainty in the analogue locations to be included. The most robust analogues
528 (those locations identified by the majority of the models) are located in France, Spain,
529 Portugal, New Zealand and southern parts of Chile and Argentina. Projections from

530 a few models also identified coastal regions in south-east Australia and the west
531 coasts of the USA and Canada, around Seattle and Vancouver. Analogues for a
532 given region of the UK are generally located in more southerly latitudes, owing to the
533 warmer temperatures.

534
535 It is important to note that the underlying atmospheric circulation in some of the
536 analogue locations could be different to that of Great Britain. Some aspects of the
537 analogues' climate may be very different, such as maximum summer temperatures
538 or seasonal variation in rainfall. Even the best analogues may not be an accurate
539 representation of all aspects of the future climate of Great Britain.

540
541 In the present study, similar analogue regions have been identified for the mid- and
542 late 21st century. Temperatures projected for these two time periods are different,
543 but the changes in precipitation are (on average) more similar owing to the large
544 spread in projections amongst the models. Hence, the precipitation indicators
545 appear to exert the strongest control on the analogue locations, which agrees with
546 the findings of Veloz *et al.* (2012).

547
548 The use of three different dissimilarity metrics, used to compare the climate at
549 different locations and identify analogues, did not have a large effect on the
550 analogues identified. However, the degree of consensus amongst the models was
551 different in some locations. Similarly, the use of an alternative rainfall indicator and
552 different temperature thresholds had very little effect on the locations of the
553 analogues. Hence, the analogue locations appear to be fairly robust.

554
555 The climate analogue analysis has limitations and procedural issues. The most
556 critical are the choice of climate indicators and metrics used to compare indicators at
557 different locations and time periods. In the present study a simple set of indicators
558 based on temperature and precipitation have been used. Other indicators based on
559 extremes of climate could have been used. However, the ability of the CMIP5
560 models to reproduce known extremes and their trends varies considerably, and
561 disagreement between databases of extremes means model evaluation is difficult
562 (Hartmann *et al.*, 2013). The choice of indicators could be further tuned for the
563 railway industry. For example, accumulated rainfall over several days could be used
564 to identify conditions likely to cause flooding. The indicators could be weighted
565 based on their perceived importance. Nevertheless, the analogue locations
566 identified have been shown to be fairly insensitive to the temperature thresholds or
567 the rainfall metric used, and the judgement of dissimilarity. The results from this
568 study are therefore useful for identifying suitable analogues.

569
570 Key physical and operational characteristics of railways in many countries all over
571 the world were compared with those for the GB network. Railways in nine countries
572 were identified as possible railway analogues, of which five had also been identified
573 as possible climate analogues. These five countries were France, Netherlands,
574 Belgium, Germany and Denmark. It is recommended that railway operations and
575 management in these countries should be studied in further detail to provide useful
576 guidance on how the GB railway network could adapt to climate change over the
577 coming decades.

578

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580

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585

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590 Intercomparison provides coordinating support and led development of software
591 infrastructure in partnership with the Global Organization for Earth System Science
592 Portals.

593

594 **Supporting information**

595

596 The following material is available as part of the online article:

597

598 **Section S1.** Calculation of dissimilarity metrics

599

600 **Section S2.** International Union of Railways

601

602 **Table S1.** Railway network and other parameters derived from the UIC data

603

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Table 1. CMIP5 models used in this study. Further details of these models are given by Flato *et al.* (2013). The horizontal resolutions for some models are approximate.

Model Name	Institution	Horizontal resolution
BCC-CSM1-1	Beijing Climate Centre	2.81° × 2.81°
BNU-ESM	Beijing Normal University	2.81° × 2.81°
CanESM2	Canadian Center for Climate Modelling and Analysis	1.875° × 1.875°
CCSM4	US National Center for Atmospheric Research	1.25° × 0.94°
CMCC-CM	Centro Euro-Mediterraneo per i Cambiamenti Climatici	0.75° × 0.75°
CMCC-CMS	Centro Euro-Mediterraneo per i Cambiamenti Climatici	1.875° × 1.875°
CMCC-CESM	Centro Euro-Mediterraneo per i Cambiamenti Climatici	3.75° × 3.75°
FGOALS-g2	Institute of Atmospheric Physics, Tsinghua University	2.81° × 1.66°
GFDL-CM3	NOAA Geophysical Fluid Dynamics Laboratory	approx. 2° × 2°
GFDL-ESM2G	NOAA Geophysical Fluid Dynamics Laboratory	2.5° × 2°
GFDL-ESM2M	NOAA Geophysical Fluid Dynamics Laboratory	2.5° × 2°
HadGEM2-CC	UK Met Office Hadley Centre	1.875° × 1.25°
HadGEM2-ES	UK Met Office Hadley Centre	1.875° × 1.25°
INM-CM4	Russian Institute for Numerical Mathematics	2.0° × 1.5°
IPSL-CM5A-LR	Institut Pierre Simon Laplace	3.75° × 1.90°
IPSL-CM5A-MR	Institut Pierre Simon Laplace	1.25° × 2.5°
IPSL-CM5B-LR	Institut Pierre Simon Laplace	3.75° × 1.90°
MPI-ESM-LR	Max Planck Institute for Meteorology	1.875° × 1.875°
MPI-ESM-MR	Max Planck Institute for Meteorology	1.875° × 1.875°
NorESM1-M	Norwegian Climate Centre	2.5° × 1.9°

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729 Table 2. Locations of analogues for the mid-21st century GB climate. The Best
 730 Locations are those regions ranked in the top 50 analogues by the majority of the
 731 models. The other regions (“Also Consider”) were identified by fewer models.

Region	Best Locations	Also Consider
Southern England	Northern and Western France	Northern Spain South of France Southern Argentina and Chile Coastal strip of Australia around Melbourne North Island of New Zealand Western USA (between San Francisco and Portland)
Central England	Southern England Northern France, Netherlands, and Belgium	South Island of New Zealand Southern Argentina Southern Chile
Scotland and Northern England	Central and Southern England Wales Ireland Coastal strip of Germany Denmark	South Island of New Zealand Southernmost parts of Argentina and Chile Western USA (between San Francisco and Portland)
Wales	Southern England and Northern France	Netherlands, Belgium Northern Spain South Island of New Zealand Southern Argentina and Chile Western USA (between San Francisco and Portland)

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Table 3. Locations of analogues for the end of the 21st century GB climate. The Best Locations are those regions ranked in the top 50 analogues by the majority of the models. The other regions (“Also Consider”) were identified by fewer models but could still be worth considering.

Region	Best Locations	Also Consider
Southern England	France (excluding south-east quadrant) Portugal; Northern and Western Spain Coasts of Croatia and Bosnia South-East Australia North Island of New Zealand	North Mediterranean coasts Chile (between Santiago and Puerto Montt) Western USA (between San Francisco and Portland)
Central England	South-West England North and Western France North coast of Spain and Portugal	New Zealand Coasts of Croatia and Bosnia Southern Argentina and Chile Western USA (between San Francisco and Portland)
Scotland and Northern England (Western parts)	Wales, South-West England Ireland Western coast of France South Island of New Zealand	Northern Spain Chile (between Santiago and Puerto Montt) Coastal parts of Japan Western USA (between San Francisco and Portland)
Scotland and Northern England (Eastern and central parts)	Ireland Western coast of France Southern England and Wales	Northern Spain and Northern Portugal Chile (between Santiago and Puerto Montt) New Zealand Western USA (between San Francisco and Portland)
Wales	Western France Northern Portugal Northern Spain Southern England	New Zealand Southern Argentina and Chile Western USA (between San Francisco and Portland)

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Table 4. Indicative parameters used in the analysis. These parameters were derived from UIC data for 2012. The GB value excludes Northern Ireland (NI); including NI made very little difference.

Parameter	Calculation	GB Value
Network Utilisation (passenger)	Total passenger-kilometres divided by total network length	3.98×10^6
Network Utilisation (freight)	Total freight tonne-kilometres divided by total network length	1.33×10^6
Staffing level (number per km)	Total railway staff divided by total network Length	5
Passenger operation efficiency (dimensionless)	Total passenger-kilometres divided by (total network length multiplied by total railway staff)	47

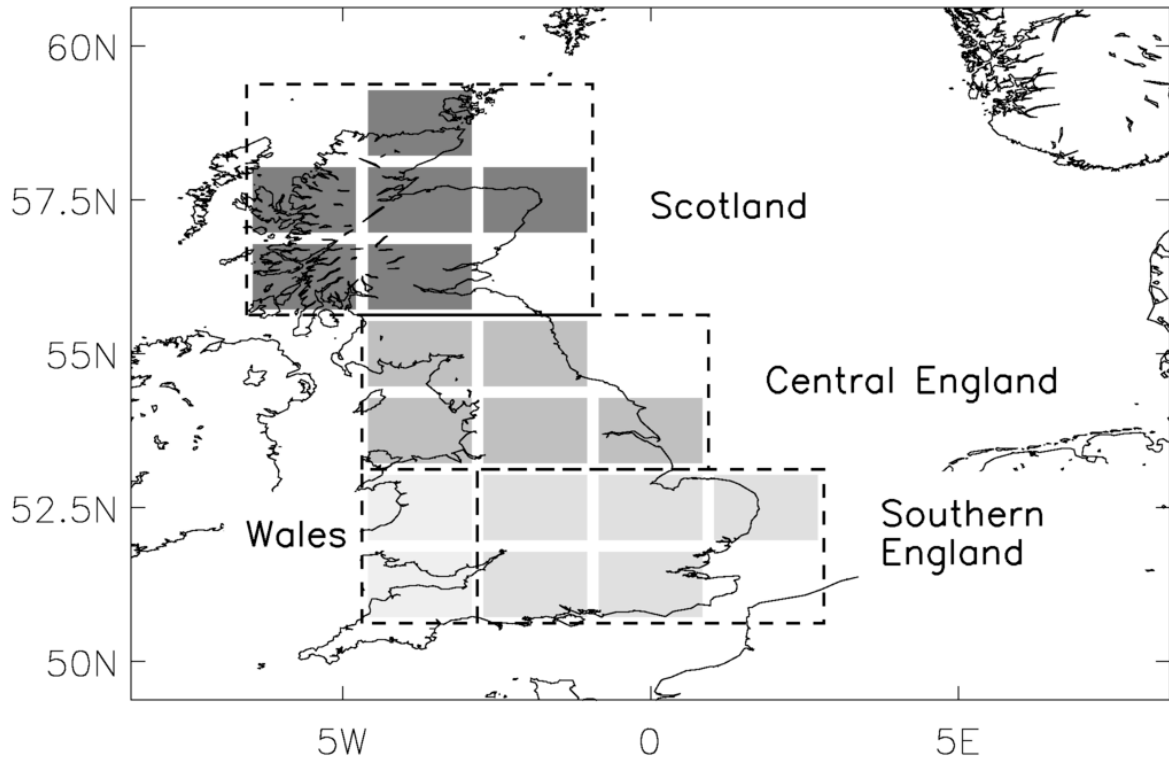
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Figure 1. GB land grid boxes at the resolution of the HadGEM2 global climate model. Analogues were found separately for each box, and then the analogues for Scotland (dark grey), Central England (medium grey), southern England (light grey) and Wales (pale grey) were amalgamated.

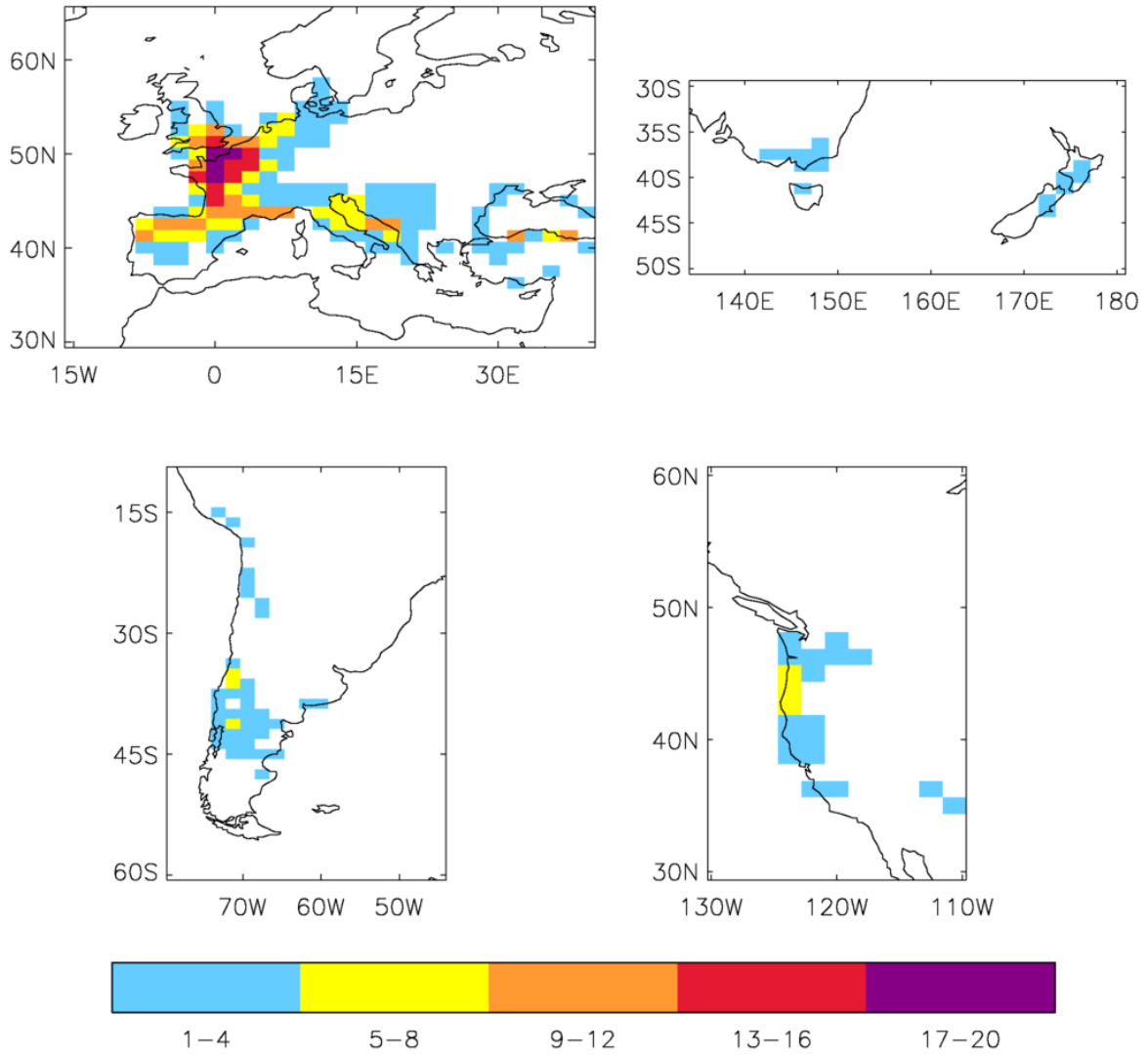
Figure 2. Level of agreement for analogue locations for south-east England. Locations with scores close to the total number of models (13-20), shown in red and purple, are identified as analogues by most models. Locations in blue and yellow are identified by fewer models.

Figure 3. Level of agreement for analogue locations for north-east Scotland. Locations with scores close to the total number of models (13-20), shown in red and purple, are identified as analogues by most models. Locations in blue and yellow are identified by fewer models.



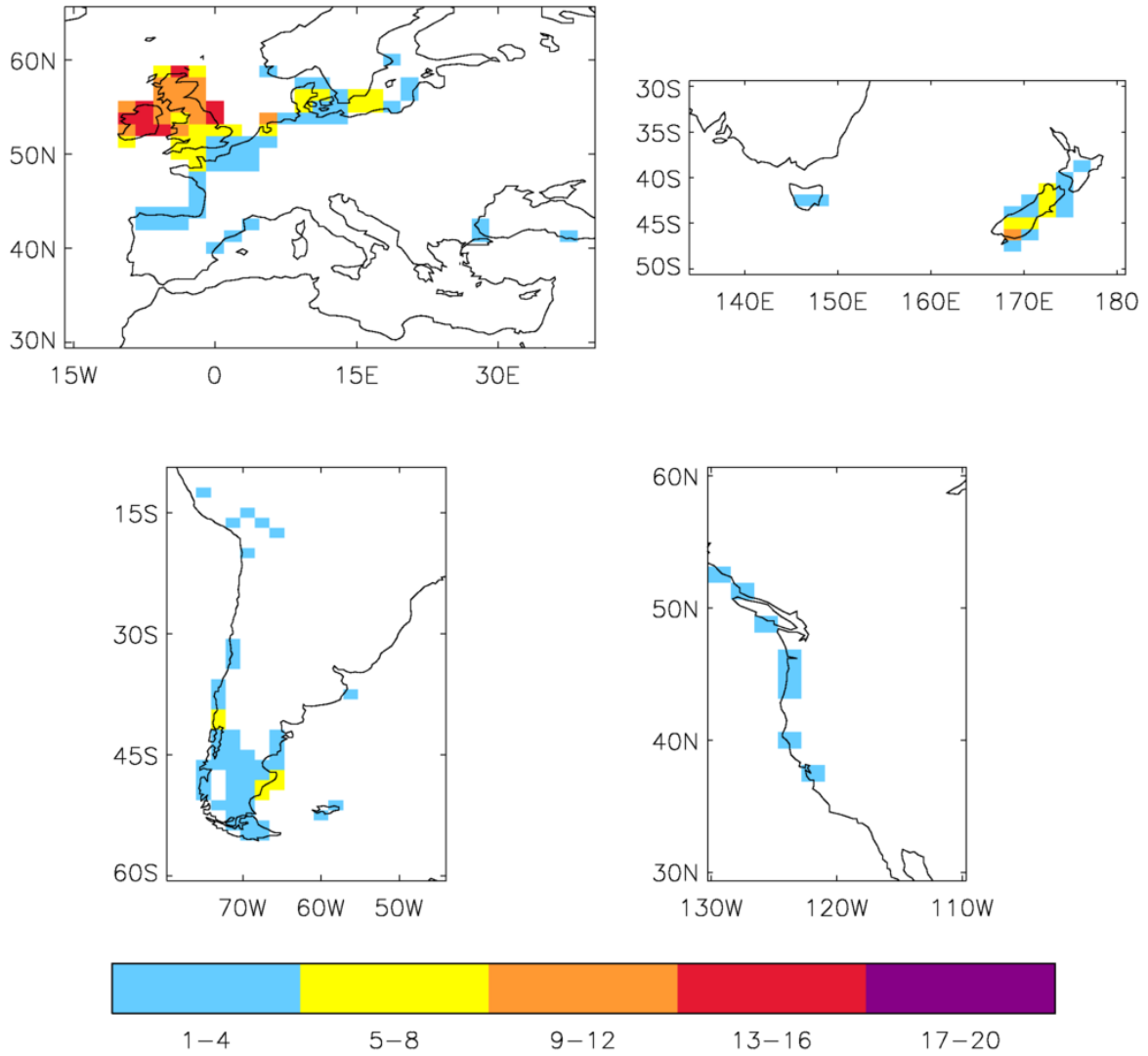
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Figure 2. GB land grid boxes at the resolution of the HadGEM2 global climate model. Analogues were found separately for each box, and then the analogues for Scotland (dark grey), Central England (medium grey), southern England (light grey) and Wales (pale grey) were amalgamated.



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Figure 2. Level of agreement for analogue locations for south-east England. Locations with scores close to the total number of models (13-20), shown in red and purple, are identified as analogues by most models. Locations in blue and yellow are identified by fewer models.



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Figure 3. Level of agreement for analogue locations for north-east Scotland. Locations with scores close to the total number of models (13-20), shown in red and purple, are identified as analogues by most models. Locations in blue and yellow are identified by fewer models.