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PiSZ alpha-1 antitrypsin deficiency (AATD): pulmonary phenotype and prognosis relative to Pi77 AATD and PiMM COPD

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ABSTRACT

Introduction The PiSZ genotype results in less severe deficiency of alpha-1 antitrypsin (AAT) than PiZZ. Less is known about phenotypic and prognostic features. Methods We studied 699 PiZZ, 126 PiSZ and 316 PiMM patients. All AAT deficiency (AATD) patients were augmentation naive. PiSZ were compared with PiZZ patients for clinical phenotype at baseline including CT findings, smoke exposure, progression of lung disease and survival. Similarly, PiSZ patients diagnosed as a result of investigation for possible lung disease (lung index cases) were compared with PiMM. Multivariable analytical techniques and matching (PiSZ to PiZZ) were employed to account for demographic differences. **Results** Pack-years smoked and FEV1 exhibited a negative correlation in PiSZ and ZZ patients (both r=-0.43), with emphysema and COPD occurring more commonly in PiZZ patients at <20 pack-year exposure. In multivariable analyses, PiSZ patients were less likely to have emphysema (p < 0.01) and had better survival than PiZZ (p=0.017), but lung function decline did not differ significantly. 42% of PiSZ patients had upper-zonedominant emphysema on CT scan. Analyses of AAT level confirmed a critical threshold at 11 μ M, particularly with regard to phenotypes classical of PiZZ AATD.

Significant baseline differences suggested that PiSZ had presented earlier to health services than PiMM. Once this was accounted for, risk of emphysema did not differ between PiSZ and PiMM although survival was lower in PiMM patients (p<0.01).

Conclusions PiSZ patients are less susceptible to cigarette smoke than PiZZ. The pattern of emphysema may be similar at diagnosis to usual COPD.

INTRODUCTION

Alpha-1 antitrypsin deficiency (AATD) results from several deficiency alleles, with severe deficiency occurring in Z allele homozygotes (PiZZ), or carriers of null alleles leading to absence of AAT¹ and is associated with early-onset emphysema.² The S allele leads to a milder deficiency, and there has been considerable debate about whether heterozygous PiSZ patients are at increased risk of lung disease, largely due to the confounding factor of acquisition bias in some prior work. A meta-analysis concluded that PiSZ patients exhibit a risk of COPD over three times that of a normal individual;³ however, this result was influenced by one study showing a large increase in risk.⁴

Key messages

What is the key question?

Are patients with the PiSZ genotype for alpha-1 ► antitrypsin deficiency (AATD) similar to classical PiZZ AATD or to usual COPD?

What is the bottom line?

Many PiSZ patients look phenotypically similar ► to usual COPD at their first assessment; the interaction between their AAT level and smoke exposure appears critical in determining subsequent decline.

Why read on?

 Detailed phenotypic data, decline of lung function and mortality analyses are presented between PiSZ, PiZZ and PiMM, together with an exploration of threshold levels of smoke exposure in AATD and current knowledge pertinent to diagnosing and managing AATD.

Previous studies of PiSZ patients are summarised in online supplementary table 1.

Epidemiological studies suggest that there are 104 >500 000 PiSZ individuals in Europe,⁵ who typic-105 ally exhibit an AAT level less than half that of a 106 normal (PiMM) person.⁶ An interaction between 107 AAT and cigarette smoke exposure occurs in 108 murine models, where emphysema develops more 109 rapidly.7 This, together with the available epidemi-110 ology, suggests that PiSZ patients should have a 111 lung disease risk lying between PiMM and PiZZ 112 patients, given the same level of smoke exposure. 113 Consistent with this, we have shown in a relatively 114 small cohort (n=63), matched for smoking status, 115 that PiSZ patients exhibit less severe lung disease 116 than PiZZ.⁸ Even low levels of smoke exposure 117 may be deleterious to lung function in PiZZ 118 patients; however, an important threshold may 119 occur at 20 pack-years, above which associations 120 between FEV1 and pack-years are less apparent.⁹ 121 Whether this differs in PiSZ patients is unknown, 122 and little is known about their prognosis. We 123 sought to confirm the pattern of lung disease exhib-124 ited by PiSZ patients, explore the relationship 125 between cumulative smoke exposure, AAT level 126 and severity of disease, and compare prognosis 127 between PiSZ, PiZZ and PiMM patients. 128



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129 **METHODS**

130 Subjects

131 The UK AATD registry (ADAPT) was established in 1996 and has been described in detail elsewhere.¹⁰ Briefly, patients 132 undergo annual lung physiology, biological sample collection 133 and clinical assessment. At enrolment most patients have a chest 134 CT scan; quantitative CT analysis was undertaken by density 135 136 mask analysis of 5 mm CT scan slices; voxel indices (VI) at -910 (the proportion of lung voxels below -910 Hounsfield 137 Units (HU)) and the ratio between the upper and lower zones 138 calculated.¹¹ Lung function decline was determined on all 139 patients with ≥ 3 years' follow-up; methods for calculation of 140 decline have been described in our previous work.¹² All patients 141 142 with PiSZ and PiZZ genotypes were selected. Those diagnosed 143 due to investigation for symptoms/signs of lung disease were 144 termed lung index cases.

145 PiMM patients with usual COPD comprised all patients in the West Midlands COPD cohort (WMCC; described in our 146 previous published work¹³) and all patients from the Chronic 147 148 Diseases Resource Centre (CDRC; a University of Birmingham 149 COPD patient registry, identified from local clinics). The CDRC has superceded the WMCC and has assessment procedures 150 similar to the UK AATD registry. Data on CT density and 151 decline in lung function were not available for PiMM patients, 152 as referring centres used a variety of CT protocols, and had 153 154 either not been followed up for sufficient time or had insuffi-155 cient lung function data to calculate decline. 156

157 Statistical analysis

All analyses were carried out in SPSS (SPSS V.20; IBM, USA). 158 159 Univariable analyses compared PiSZ and PiZZ patients for 160 demographics, smoking status, index status, occupational expos-161 ure (assessment methods described in our previous work¹²), 162 comorbidities, lung function, CT densitometry (where available) 163 survival and lung function decline. Analyses were performed using χ^2 tests for categorical variables and Mann–Whitney U 164 tests for continuous variables, substratifying by index status, 165 smoking status and presence of COPD for analyses pertaining to 166 167 decline. Co-variables for logistic, linear and Cox regression ana-168 lyses were selected if p < 0.1 in univariable analysis and no significant collinearity with another included variable. Prior 169 literature on influences on mortality¹⁴ and decline^{12 15 16} in 170 171 AATD were used to aid prioritisation of co-variables where necessary. All results from the multivariable analysis are reported 172 173 as two-tailed; significance was taken at p < 0.05. Since there 174 were demographic differences between PiSZ and PiZZ patients, for which subgroup analyses and statistical adjustment in regres-175 176 sion analysis might be imperfect, an attempt at a matched ana-177 lysis was also made (see online supplementary data). Within the 178 whole AATD group, the relationship of AAT level to clinical 179 phenotype and decline was conducted using similar techniques. 180 Finally, univariable analyses were undertaken comparing lung 181 index PiSZ and PiMM patients for demographics, smoking 182 status, clinical phenotype and survival. Results guided logistic 183 and linear regressions similar to the PiSZ versus PiZZ comparisons. 184

186 **RESULTS**

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187 Comparison of PiSZ and PiZZ patients

Table 1 shows patients' characteristics and univariable analyses.
PiZZ patients were more likely to be lung index cases and ex
smokers, had higher rates of emphysema, bronchiectasis and
chronic bronchitis, worse lung function (in all parameters), lower
AAT levels and worse survival. Almost all (99.8%) PiZZ patients

and 11.3% PiSZ patients had AAT<11 μ M. CT scans were available on 37 non-index and 64 index PiSZ patients (index vs nonindex characteristics; see online supplementary table 2). Smoking appeared to be a greater influence on clinical phenotype than PiSZ versus PiZZ genotype (smoke exposed vs never smokers' characteristics; see online supplementary table 3). 193 194 195 196 197

Direct correlation between FEV1% predicted and pack-years 199 occurred up to a break point, which appeared similar to the 200 published 20 pack-year threshold in PiZZ patients, though pos-201 sibly higher in PiSZ (figure 1A, B). The proportion of emphy-2.02 sema and COPD cases occurring below 20 pack-years was 203 greater in PiZZ patients (figure 1C, D). There was no difference 204 in prevalence of common comorbidities (IHD, osteoporosis, 2.05 anxiety, depression), age, pack-years smoked or occupational 206 exposure risks (all p>0.2). Multivariable logistic regressions, 207 stratified for method of ascertainment of AATD, including 2.08 smoke exposure and age as covariates, showed that emphysema 209 was less common in lung index and family screened PiSZ 210 patients (OR 0.15 and 0.03; p=0.003 and <0.001, respect-211 ively), while rates of chronic bronchitis and bronchiectasis were 212 similar between groups (all p>0.2). In linear regressions strati-213 fied for method of ascertainment of AATD and adjusting for 214 smoking status and age, lung index PiSZ patients had signifi-215 cantly better lung function compared with PiZZ (all parameters 216 shown in table 1, p<0.05), while non-index PiSZ patients were 217 better in most parameters (residual volume and total lung cap-218 acity no difference, other parameters p<0.05). Matched ana-219 lyses were no different (see online supplementary results). 220 Differences in emphysema between PiSZ and PiZZ patients 221 were more marked in the lower zones (figure 2); 42.9% of PiSZ 222 patients scanned had upper-zone-dominant emphysema com-223 pared with 14.1% of PiZZ (both p < 0.01). 224

Sufficient physiological data to calculate decline were available 225 in 68 PiSZ and 514 PiZZ patients, with a mean of five measures 226 per PiZZ and four per PiSZ patient. 22.5% of PiZZ patients 227 had COPD with FEV1 <30% predicted (n=116), 33.5% had 228 FEV1 30-50% (n=172), 25% (n=129) had COPD with FEV1 229 >50% predicted and the remainder did not have COPD at the 230 start of the decline calculation period (n=97). In the PiSZ 231 patients, these figures were 11.3%, 8.1%, 17.7%, 29% and 232 33.9% (n=8, 5, 12, 20 and 23), respectively. Decline in FEV1 233 in some subgroups of interest is shown in table 2. Median 234 decline was greatest when baseline FEV1 was 50-80% predicted 235 (PiZZ -56.3 mL/year, PiSZ -65.3 mL/year, p=0.707). There 236 was no difference in annual decline of FEV1 or gas transfer 237 (DLCO and KCO) %predicted when analysed as categories Q8 (figure 3) or continuous variables. Regression analyses stratified 239 for smoking status, presence of COPD and index status, adjust-240 ing for baseline lung function and age did not influence this 241 similarity (all p>0.18 for smoking status, p>0.33 for COPD, 242 p>0.77 for index status), nor did matching (see online supple-243 mentary results). Cox regression analyses, including age, 244 smoking status, index status and baseline FEV1 as co-variables, 245 showed significantly better survival in PiSZ patients (figure 4A). 246

We then explored the importance of AAT level with regard to 247 susceptibility to smoke, subsequent development of lung disease 248 and decline. When considered as a continuous variable, AAT 249 level had no significant impact on logistic regressions seeking 250 associations of emphysema, chronic bronchitis and bronchiec-251 tasis. However, a level of $\leq 11 \,\mu\text{M}$ associated with development O9 of emphysema (p<0.001), an UZ/LZVI suggestive of lower-Q5\$0 zone-dominant disease (p<0.001) and chronic bronchitis 254 (p < 0.001). There was a strong trend toward interaction between 255 AAT $\leq 11 \,\mu$ M and pack-years smoked with regard to risk of 256

Feature	PiZZ n=699	PiSZ n=126	PiSZ lung index cases N=64	PiMM N=316	p Value	
					PiSZ vs PiZZ	PiSZ index vs PiMM
Male	404 (57.8)	71 (56.3)	38 (59.4)	182 (57.7)	0.717	0.810
Age	50.8 ((16.4)	53.5 ((20.0)	55.4 ((1.5)	68.4 ((13.6)	0.021	<0.001
Smoking status					<0.001	0.004
Ex smoker	510 (73.0)	56 (44.4)	37 (57.8)	192 (60.0)		
Never smoked	161 (23.0)	43 (34.1)	15 (23.4)	3 (0.9)		
Current smoker	28 (4.0)	27 (21.4)	12 (18.8)	121 (39.0)		
Pack-years smoked	13.5 ((23.5)	13.0 ((35.4)	26.2 ((3.0)	44.2 ((32.5)	0.402	<0.001
Reason for diagnosis			-	-	0.007	-
Lung disease	532 (76.2)	77 (61.0)				
Family screening	118 (16.9)	38 (30.5)				
Liver disease	11 (1.6)	1 (0.8)				
Other reason	38 (5.4)	10 (7.9)				
COPD	587 (84.0)	66 (52.4)	43 (67.2)	316 (100)	<0.001	<0.001
Emphysema	528 (75.5)	46 (36.5)	35 (54.7)	257 (81.3)	<0.001	<0.001
Chronic bronchitis	249 (35.6)	31 (24.6)	18 (28.1)	198 (62.8)	0.034	0.037
Bronchiectasis	189 (27.0)	22 (17.4)	11 (17.2)	96 (30.3)	0.041	<0.001
AAT level	4.0 ((2.1)	14.4 (4.4)	14.9 (3.7)	-	<0.001	-
FEV1pp	47.9(45.6)	94.5 (51.3)	76.5 (2.6)	46.4 (26.0)	<0.001	<0.001
FEV1/FVC (%)	38.2 (26.4)	67.5 (37.5)	55.0 (2.7)	43.3 (20.0)	<0.001	0.004
TLCpp	115.3 (21.9)	107.4 (18.3)	109.2 (1.9)	103.0 (20.2)	<0.001	<0.001
RVpp	119.3 (54.9)	96.9 (46.3)	107.9 (4.6)	119.8 (53.8)	<0.001	<0.001
DLCOpp	69.74(36.0)	86.3 (34.2)	76.5 (3.1)	48.6 (23.9)	<0.001	<0.001
КСОрр	64.3 (28.8)	89.0 (29.5)	78.1 (3.0)	59.0 (29.6)	<0.001	<0.001
Follow-up time (years)	10.4 (5.3)	7.0 (8.5)	7.8 (0.6)	3.2 (3.0)	0.021	<0.001

AAT, alpha-1 antitrypsin; DLCO, carbon monoxide diffusing capacity; KCO, carbon monoxide transfer coefficient; RV, residual volume; TLC, total lung capacity.

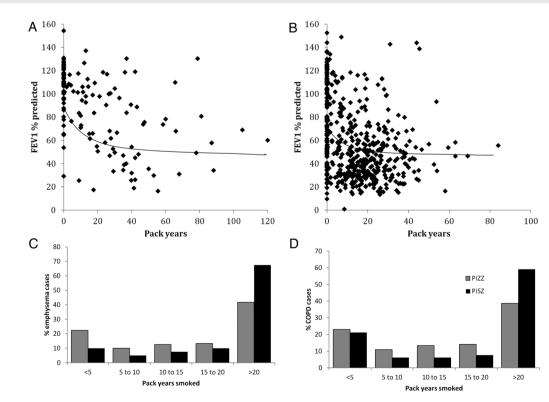


Figure 1 Relationship between pack-years smoked and lung function in alpha-1 antitrypsin deficiency. There was a linear relationship between baseline FEV1 and pack-years up to a threshold of 30 pack-years in PiSZ patients, which then disappeared (A; r=−0.43 at <30, r=−0.02 at ≥30 pack-years). The relationship was similar in PiZZ patients, but with a lower pack-year threshold (B; r=-0.43 at <20, r=0.04 at \geq 20 pack-years). Illustrative smoothed regression lines are shown on the graphs. Both emphysema (C) and COPD (D) were more common at exposures <20 pack-years in PiZZ patients.

Chronic obstructive pulmonary disease

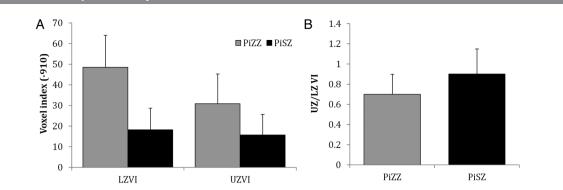


Figure 2 Characteristics of emphysema in alpha-1 antitrypsin deficiency patients. (A) CT density (VI-910) in upper and lower zones was worse in PiZZ with differences being marked in lower zone (p<0.01) and marginal in upper zone. Voxel index (VI) at -910 is the proportion of lung voxels below -910 Hounsfield Units (HU). (B) UZ/LZVI: Lower values demonstrate predominance of basal emphysema in PiZZ (p=0.001).

⁴⁰¹ chronic bronchitis (p=0.09), but not other phenotypes. ⁴⁰³ Furthermore, interaction between AAT level and pack-years ⁴⁰⁴ smoked was significant in a multivariable general linear model ⁴⁰⁵ for FEV1 decline (one-tailed test p=0.026), whereas AAT level ⁴⁰⁶ alone and PiSZ*pack-years interaction were not (both one-tailed ⁴⁰⁷ p=0.150).

408 409 **Comparison with usual COPD**

The primary reason for inclusion of PiMM patients was to see 410 whether their phenotype was similar to PiSZ, hence univariable 411 analyses were restricted to PiSZ index cases, as this eliminated 412 ascertainment differences. Logistic regressions adjusting for age, 413 smoke exposure and baseline FEV1 (different in univariable 414 analyses; table 1) demonstrated that PiSZ patients had a similar 415 risk of emphysema (p=0.274) and bronchiectasis (p=0.344), 416 but lower risk of chronic bronchitis (OR 0.36 (0.18-0.72); 417 p=0.016). Cox regression confirmed better survival in PiSZ 418 patients (figure 4B). 419

420 421 DISCUSSION

We have confirmed that PiSZ patients have a lower risk of lung disease and are less susceptible to effects of cigarette smoke than PiZZ individuals, exhibiting a clinical phenotype, which is in many cases similar to usual PiMM COPD. Interpreting the prognostic data is more difficult due to issues of power and baseline differences between groups.

429 Pulmonary phenotype

This study is the largest to date on PiSZ AATD. In our earlier study, lung function and CT scan appearances concurred with those reported here; many PiSZ patients exhibited no emphysema, and many who did had upper-zone-dominant disease.⁸

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Table 2 FEV1 decline (mL/year) in PiSZ and PiZZ alpha-1 antitrypsin deficiency

Variable	PiZZ	PiSZ	p Value				
Current smoker	-16.0 (-8.1 to -56.6)	-59.6 (-16.8 to -79.4)	0.287				
Ex smoker	-37.0 (-10.0 to -76.1)	-41.8 (-26.6 to -88.5)	0.155				
Never smoker	-41.6 (-3.4 to -77.7)	-33.6 (-11.9 to -66.9)	0.542				
COPD	-38.2 (-9.2 to -76.1)	-52.3 (-24.4 to -94.3)	0.818				
No COPD	-34.7 (-7.5 to -71.8)	-35.1 (-13.6 to -67.8).	0.120				
Lung index case	-38.1 (-8.6 to -85.2)	-41.8 (-19.7 to -85.4)	0.278				
Non-lung index	-38.1 (-7.9 to -82.4)	-36.5 (-14.2 to -67.7)	0.879				
case							

This agrees with data from an earlier, small, British PiSZ cohort¹⁷ and with much of the past PiSZ literature. The expected number of UK PiSZ patients exceeds PiZZ,⁵ yet there are far fewer PiSZ known to the registry. This may reflect ascertainment bias since AAT testing possibly occurs more frequently in patients with COPD exhibiting classical AATD lung disease (ie, lower-zonedominant emphysema). A missed diagnosis could have consequences since there is specific treatment for AATD lung disease in the form of AAT augmentation (available in the USA and many European countries, albeit not yet in the UK), which undiagnosed patients would not receive. Most international AATD guidelines specify that their scope is PiZZ patients or those with a circulating AAT level typical of PiZZ, and recommend the use of augmentation in the presence of emphysema and a specified level of FEV1.¹⁸ ¹⁹ This would exclude almost 90% of our PiSZ patients on the basis of AAT level.⁶ The main effect of augmentation is on progression of emphysema, best measured by CT densitometry,²⁰ although effects on FEV1 decline have been reported in nonrandomised studies.²¹ Progression of emphysema on augmentation varies according to lung zone,¹¹ hence augmentation might benefit PiSZ patients who exhibit lower-zone-dominant disease and low AAT levels (equivalent to PiZZ). Our data examining the threshold AAT level of 11 uM support this, although specific studies in PiSZ patients would be required to determine this with confidence.

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490 We also confirmed that PiSZ patients are less susceptible to 491 smoke. A direct relationship between FEV1 and smoke exposure 492 occurred below 30 pack-years in PiSZ and 20 pack-years in 493 PiZZ patients. The reasons for this are discussed elsewhere.⁵ 494 one of which is a 'floor effect', in which lung function has 495 dropped sufficiently by the threshold that in many patients 496 either no further decline occurs or they die. A smaller amount 497 of 'resistant' smokers is also seen, adding to the lack of correl-498 ation at higher smoke exposures. While the data for the specific 499 threshold were weak, the proportion of PiSZ patients develop-500 ing emphysema or COPD at exposures <20 pack-years was also 501 lower, supporting its existence. Moreover, the American NHLBI 502 cohort reported the importance of smoking in disease develop-503 ment in PiSZ patients with AAT $<11 \,\mu$ M, alongside less lung 504 function impairment than in PiZZ, thus supporting our data.² 505 A difference in the threshold at which smoke susceptibility 506 occurs between PiSZ and ZZ patients is consistent with current 507 understanding of AAT-neutrophil elastase (NE) interactions, 508 whereby the area of obligate damage is exponentially related to 509 AAT level, rising markedly at $<11 \,\mu$ M,²³ and therefore leading 510 to a critical area of lung damage more quickly in PiZZ. 511 Interestingly, among the PiSZ never smokers clinically significant 512

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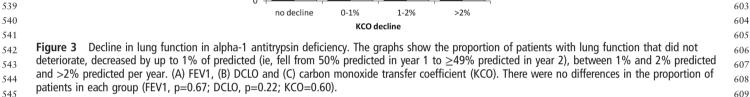
Chronic obstructive pulmonary disease

1-2%

>2%

62.0

62.6



В

B 70

no decline

0-1%

DCLO decline

% patients

PiSZ

🔲 PiZZ

emphysema was uncommon, implying that in the absence of smoke it is not a risk factor-similar to PiMZ.²⁴

We then compared PiSZ and MM patients to test our hypothesis that there would be a degree of phenotypic overlap,

A

no decline

0-1%

1-2%

FEV1 decline

С

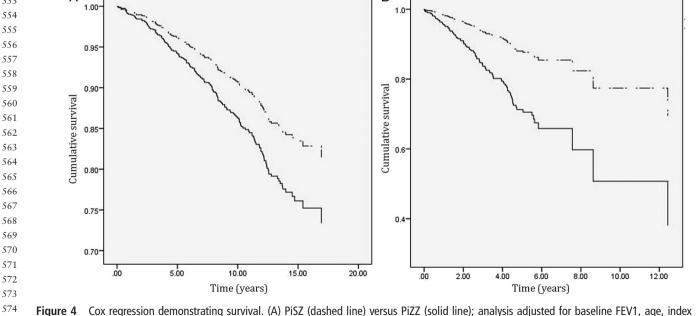
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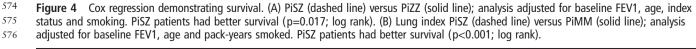
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perhaps sufficient to make the two groups indistinguishable, thus accounting for missed diagnoses (relative to PiZZ). The potential impact of individuals with AATD diagnosed through family screening, who were not present in the usual COPD





group, meant that statistical analyses compared lung index cases
—risks of emphysema were similar, after adjustment for smoke
exposure and age. This is consistent with PiSZ patients outside
our cohorts being diagnosed less readily.

Prognosis

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Our data implied that disease progression in PiSZ patients 647 might be similar to PiZZ. This result was unexpected; PiSZ 648 patients were generally better at baseline, which we have shown 649 to relate to more rapid FEV1 decline, 10 16 while PiZZ patients 650 651 were worse, which we have shown to relate to more rapid gas transfer decline.¹⁰ ¹⁶ We therefore expected differences to occur 652 in raw decline values, which would be less apparent after adjust-653 654 ment for baseline lung function and smoke exposure. However, 655 raw values were similar and remained so regardless of the method by which we attempted to control for baseline differ-656 ences. This may be explicable if AAT level is more critical than 657 PiSZ genotype; consistent with this our data implied that AAT 658 level, rather than PiSZ genotype, interacted with smoke expos-659 660 ure in the lung function decline models. AAT level does vary 661 among PiSZ patients, presumably due to modifying effects of other genes or environmental factors, which is one reason why 662 current guidelines for treatment take this feature into account. 663

No prior PiSZ studies have reported FEV1 decline (see online 664 supplementary table 1); however, there are data available on 665 decline in PiZZ, where loss of FEV1 was generally 60-80 mL/ 666 vear.¹⁵ ²⁵⁻²⁸ This is somewhat higher than the median in our 667 PiZZ patients. Notably, the largest prior PiZZ study²⁵ (see 668 online supplementary table 4) exhibited both starting FEV1 669 670 (49% predicted) and FEV1 decline similar to many of our PiZZ patients (54 mL/year vs 56.3 mL/year in our FEV1 50-80% sub-671 group). Our lower median is explicable by cohort character-672 673 istics. There are two groups in whom FEV1 decline is known to 674 be lower—those with FEV1 <30% predicted¹⁰ or with no 675 emphysema on their CT, who comprise 22.5% and 26.3% of our AATD cohort, respectively. High rates of patients with 676 FEV1 <30% account for the low decline seen in our PiZZ 677 current smokers, whose minimum FEV1 was just 12%, thus had 678 no lung function to lose. Furthermore, our method of determin-679 ing decline may be more accurate compared with previous 680 studies, which calculated decline from just two data points,²⁵ or 681 over only 2 years in some patients.²⁸ Thus we feel our decline 682 data are accurate and comparable to other published data, once 683 our cohorts' characteristics are taken into account. However, we 684 acknowledge that a survivor bias, and relatively small numbers, 685 in the decline analyses may have limited power to detect true 686 differences between PiSZ and ZZ patients. While we tried to 687 688 adjust for baseline differences in lung function (eg,11.3% of 689 patients had FEV1<30% predicted in the PiSZ decline group compared with 22.5% of the PiZZ), statistical adjustment could 690 691 have been incapable of fully accounting for differences. To over-692 come this, we attempted matching (see online supplementary data), which again showed no difference in decline. However, 693 high smoke exposures in some PiSZ made matching imperfect 694 695 also. Further studies of decline in PiSZ patients are therefore indicated. 696

Despite apparently similar lung function decline, subsequent 697 698 survival was better in PiSZ compared with PiZZ. There are several 699 possible reasons for these apparently inconsistent results. First, declining lung function may not be a good predictor of survival; 700 we have recently shown that declining CT densitometry may be a 701 better measure.²⁹ Alternatively, it may be that neither statistical 702 703 adjustments nor matching were capable of fully compensating for 704 baseline differences, such that better survival reflects their higher

starting point. One factor unlikely to have influenced the result is 705 comorbidity, which did not differ between PiSZ and ZZ patients. 706 Survival was worse in usual COPD than PiSZ patients, probably 707 due to higher comorbidity burden attendant on greater smoke 708 exposure, although we had insufficient data to test this hypothesis. 709 The proportion of patients surviving 5 years was about 70% in 710 usual COPD and between 90% and 95% in both PiSZ and ZZ 711 individuals (figure 4). Since our AATD cohort is a national centre, 712 and many patients travel some distance to be seen, there may be a 713 survivor bias, which could have inflated the difference seen 714 between PiSZ and MM. If present, it would have affected PiSZ 715 and ZZ patients equally, hence their comparative survival result is 716 more robust. 717 718

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Strengths and limitations

72.0 The main strengths of our study are the large number of AATD 721 patients, depth of clinical phenotyping and length of follow-up. 722 Ideally we would have compared emphysema zone and lung 723 function decline between PiSZ and MM, as we did for PiSZ 724 versus ZZ, but were limited by lack of data. However, its utility 725 for clinical management would be small since optimal manage-726 ment of COPD should occur in both usual COPD and COPD 727 due to PiSZ AATD. The main difference would emerge if aug-728 mentation were available, for which the more informative longi-729 tudinal PiSZ comparison is with PiZZ patients. Further work to 730 clarify whether differences in neutrophil function occur 731 between PiSZ and MM patients, as they do between PiZZ and MM,³⁰ whether NE activity differs^{31 32} between groups and the 732 733 utility of augmentation in PiSZ patients may be valuable 734 follow-up studies. 735

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Patient consent Obtained.

Ethics approval South Bham (AATD and WMCC), North West (CDRC).

Provenance and peer review Not commissioned; externally peer reviewed.

Data sharing statement There is a manuscript under submission ining to AATD survival data; we have referenced the published abstract of the full manuscript is accepted we would like to be able to add the full paper so that readers may more easily access the results.

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