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### The missing link in EBV immune evasion

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- 1 The missing link in EBV immune evasion: the BDLF3 gene induces ubiquitination
- 2

#### and downregulation of MHC class I and MHC class II

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#### 11 Abstract

The ability of Epstein-Barr virus (EBV) to spread and persist in human populations relies 12 on a balance between host immune responses and EBV immune-evasion. CD8<sup>+</sup> cells 13 specific for EBV late lytic cycle antigens show poor recognition of target cells compared 14 to immediate early and early antigen-specific CD8<sup>+</sup> cells. This phenomenon is in part 15 due to the early EBV protein, BILF1, whose immunosuppressive activity increases with 16 lytic cycle progression. However, published data suggest the existence of a hitherto 17 unidentified immune-evasion protein further enhancing protection against late EBV 18 antigen-specific CD8<sup>+</sup> cells. We have now identified the late lytic gene, BDLF3, as the 19 missing link accounting for the efficient evasion during late lytic cycle. Interestingly, 20 BDLF3 also contributes to evasion of CD4<sup>+</sup> cell responses to EBV. We report that 21 BDLF3 down-regulates expression of surface MHC class I and class II molecules in the 22 23 absence of any effect upon other surface molecules screened, including CD54 (ICAM-1) and CD71 (Transferrin receptor). BDLF3 both enhanced internalization of surface MHC 24 molecules and reduced the rate of their appearance at the cell surface. The reduced 25 expression of surface MHC molecules correlated with functional protection against 26 CD8<sup>+</sup> and CD4<sup>+</sup> T cell recognition. The molecular mechanism was identified as BDLF3-27 induced ubiquitination of MHC molecules and their subsequent downregulation in a 28 proteasomal dependent manner. 29

#### 31 **Importance**

32 Immune-evasion is a necessary feature of viruses that establish life-long persistent infections in the face of strong immune-responses. EBV is an important human 33 pathogen whose immune evasion mechanisms are only partly understood. Of the EBV 34 immune-evasion mechanisms identified to date, none could explain why CD8<sup>+</sup> T cell 35 responses to late lytic cycle genes are so infrequent and, when present, recognize 36 lytically-infected target cells so poorly relative to CD8<sup>+</sup> T cells specific for early lytic 37 cycle antigens. The present work identifies an additional immune-evasion protein, 38 BDLF3 that is expressed late in lytic cycle and impairs CD8<sup>+</sup> T cell recognition by 39 targeting cell surface MHC class I molecules for ubiquitination and proteasomal 40 dependent downregulation. Interestingly, BDLF3 also targets MHC class II molecules, to 41 impair CD4<sup>+</sup> T cell recognition. BDLF3 is therefore a rare example of a viral gene that 42 43 impairs both the class I and class II MHC antigen presenting pathways.

#### 45 Introduction

Epstein-Barr virus (EBV) is a v-herpesvirus found in more than 90% of the human 46 population. Primary infection with EBV is usually followed by establishment of lifelong 47 latent infection with occasional reactivation (1). The balance between host immune 48 responses, including CD4<sup>+</sup> and CD8<sup>+</sup> T cells, and viral immune evasion of these 49 responses is key to the spread and survival of EBV in human populations. Passive 50 evasion through the ability to establish antigenically silent latent infections is an 51 important characteristic of all herpesviruses, including EBV. In addition, active evasion 52 mechanisms are an important feature of herpesviruses. As these active evasion 53 54 mechanisms are predominantly observed during the lytic phase of the herpesvirus lifecycle, they are presumed to be particularly important for enabling virus spread. There 55 have been a number of EBV encoded immune evasion genes identified that are 56 57 expressed in lytic cycle and target the MHC class I or class II antigen presentation pathways (2, 3). Those genes responsible for interfering with MHC class I antigen 58 presentation include BGLF5, BNLF2a and BILF1 which each act upon different 59 elements of the MHC class I antigen presentation pathway (3-7). The EBV encoded 60 proteins BGLF5, BZLF1 and gp42 have been shown to interfere with MHC class II 61 antigen presentation (5, 8-10). 62

The above-mentioned MHC class I evasion genes encoded by EBV have been well studied and shown to act via different mechanisms upon different elements of the MHC class I antigen presentation pathway. Briefly, BGLF5 is a host shut off protein that has been shown to induce the degradation of MHC class I mRNA, thereby reducing cell

surface MHC class I peptide presentation (5, 11). BILF1 is known to target both cell surface MHC class I molecules and those on route to the surface for degradation thus reducing the presentation of peptides to CD8<sup>+</sup> T cells (7, 12, 13). Finally, BNLF2a inhibits the function of the transporter associated with antigen processing (TAP), which reduces the supply of peptides for loading on to MHC class I molecules, thus reducing the level of MHC class I:peptide presentation to CD8<sup>+</sup> T cells (4, 14, 15).

Our group recently investigated the relevance of BGLF5, BNLF2a and BILF1 immune 73 evasion genes in the context of lytic virus infection (16). It was concluded that BGLF5 in 74 fact plays a minimal role in protecting EBV infected cells against T cell recognition, and 75 76 that BNLF2a plays an important role of protecting cells during the immediate early and early stages of lytic cycle, contributing little protection at late stage lytic cycle (IE>E>>L) 77 (14, 16). BILF1 was shown to contribute minimal protection during immediate early 78 79 stage lytic cycle, a reasonable level of protection during early stage lytic cycle and a more dramatic level of protection was observed during late stage lytic cycle (IE<E<<L) 80 (16). This investigation revealed a level of co-operation between EBV encoded MHC 81 class I immune evasion genes in order to protect cells from CD8<sup>+</sup> T cell recognition. 82 However, CD8<sup>+</sup> T cell responses to late lytic cycle antigens still recognize lytically-83 infected target cells relatively poorly, even in the absence of BILF1 expression. (16, 17). 84 This implies that another as yet unidentified immune evasion gene or genes may be 85 functioning late in lytic cycle. 86

In comparison to what is known about the immune evasion of MHC class I antigen presentation, the evasion of MHC class II antigen presentation by EBV is less well

understood. Over-expression of the host shut off protein, BGLF5 has been shown to 89 result in a reduced level of surface MHC class II (5). In addition, the immediate early 90 protein BZLF1 has been shown to interfere with MHC class II antigen presentation by 91 modulating the expression of cell surface invariant chains (8). A third EBV encoded 92 gene, BZLF2 (gp42), has been shown to interfere with MHC class II antigen 93 presentation to CD4<sup>+</sup> T cells by sterically hindering MHC class II interaction with the T 94 cell receptor, thus blocking CD4<sup>+</sup> T cell recognition (9, 10). To date, no other EBV 95 proteins have been identified as potential CD4<sup>+</sup> T cell immune evasion proteins. 96

The present study sought to identify novel candidate EBV genes responsible for 97 interfering with MHC class I antigen presentation during late phase lytic cycle, and thus 98 providing an explanation for the pronounced immune evasion observed at that stage in 99 the lytic cycle. Screening experiments revealed that the late lytic protein, BDLF3, whose 100 101 functions are unknown (18-20), was able to impair MHC class I antigen presentation. Unexpectedly, BDLF3 also impaired CD4<sup>+</sup> T cell recognition of MHC class II presented 102 peptides. The molecular mechanism for the effect of BDLF3 on antigen presentation 103 involved ubiguitination and proteasomal dependent downregulation of surface MHC 104 class I and class II molecules. 105

#### 107 Materials and methods

108

#### 109 Plasmids

The previously described (7) expression plasmid pCDNA3-IRES-GFP, a kind gift from 110 Professor Emmanuel Wiertz (Utrecht Medical Center, Netherlands), was used to 111 subclone and express a selection of EBV genes. The p509 expression plasmid for 112 BZLF1, a kind gift from Professor Paul Farrell (Imperial College London, UK), has also 113 been described (11) as has the cytoplasmic EBNA1 expression vector (21). The 114 retroviral plasmid PLZRS-NGFR, also a kind gift from Professor Emmanuel Wiertz, was 115 used to subclone PLZRS-BDLF3-NGFR and both were used in transient transfections to 116 allow for in house sorting of transfected cells, on the expression of surface truncated 117 nerve growth factor receptor (NGFR). 118

119

#### 120 Cells, transfections and electroporations

The MJS (Mel JuSol) melanoma-derived cell line (22), and the EBV negative Burkitt 121 lymphoma cell line DG75 (23) were maintained in RPMI 1640 supplemented with 10% 122 fetal calf serum (FCS). CIITA-293 cells are HEK-293 cells stably expressing CIITA (24) 123 and were a kind gift from Dr Andrew Hislop, University of Birmingham. These were 124 maintained in Dulbecco's modified Eagle's medium (DMEM) supplemented with 10% 125 FCS. The myelogenous leukemia cell line K562, transduced to express either HLA-A2, -126 B35, -Cw1, -DR or -DQ (a kind gift from Professor Emmanuel Wiertz, Utrecht) were 127 maintained in RPMI 1640 supplemented with 10% FCS plus 400µg/ml of geneticin 128 (Invitrogen). EBV specific CD4<sup>+</sup> and CD8<sup>+</sup> T cell clones were grown in RPMI 1640 129

supplemented with 10% FCS, 5% human serum, 30% supernatant from the interleukin2 producing MLA 144 cell line (25) and 50 U/ml recombinant interleukin-2, as described
previously (17).

Transient transfection of MJS and 293-CIITA cells with plasmid DNA was performed
using lipofectamine 2000 (Invitrogen) according to the manufacturer's instructions.

Transient expression of plasmid DNA using DG75 cells and K562 cells was performed by electroporating cells at 290V and 950µF in 4mm gap cuvettes. In some experiments, cells transiently transfected with NGFR expressing plasmids were positively selected for the surface expression of NGFR using MACSelect NGFR-Transfected Cell Selection kits, as per manufacturer's protocol (Miltenyi Biotec).

140

#### 141 Antibodies

For immunoprecipitation experiments and for internalization/appearance assays, 142 unconjugated W6/32 and L243 murine monoclonal antibodies (MAbs) to human MHC 143 molecules were obtained from Biolegend: W6/32 (26) recognizes native  $\beta_2$ 144 microglobulin-associated MHC class I complexes (HLA-A, -B and -C alleles); and L243 145 for HLA-DR. For flow cytometry experiments, APC- and PE- conjugated antibodies to 146 HLA class I (W6/32), HLA-DR (L243), ICAM1/CD54 (HCD54) and transferrin 147 receptor/CD71 (TfR, CY1G4) were purchased from Biolegend. For western blotting, 148 mouse anti-ubiguitin antibody (P4D1) MAb was purchased from Biolegend. Goat 149 antibodies to calregulin were purchased from Santa Cruz Biotechnology. The BZ.1 150 murine MAb specific for the EBV BZLF1-encoded protein was generated by our 151

152 laboratory (27). The rabbit anti-BDLF3 (V8) serum was a kind gift from Dr. L.Hutt153 Fletcher (19).

154

#### 155 Flow cytometry analysis of cell surface MHC class I and class II molecules

156 Cell surface expression of MHC class I and class II was determined by staining cells 157 with APC- or PE- conjugated anti-HLA class I or class II antibodies and detected on 158 BDbiosciences Accuri C6 Flow Cytometer. Data were analyzed using FlowJo software 159 (TreeStar).

The kinetics of internalization and appearance of cell surface MHC molecules were 160 determined essentially as described previously (12). To assay the kinetics of surface 161 MHC class I and class II internalization, MJS cells were incubated on ice with saturating 162 amounts of anti-MHC class I (W6/32) or anti-MHC class II (L243) MAbs. Cells were then 163 washed three times in phosphate-buffered normal saline (PBS) and placed in culture 164 medium at 37°C for 60 mins. Aliguots of cells were taken at those times shown in 165 results, and were rapidly cooled to 0°C to inhibit further membrane trafficking. The level 166 of W6/32 or L243 MAb remaining at the cell surface was then analyzed by staining cells 167 with APC-conjugated goat anti-mouse IgG2a antibody (Biolegend). Cells were analyzed 168 using flow cytometry. 169

To assay the kinetics of MHC class I and II appearance, MJS cells were again incubated with saturating amounts of W6/32 or L243 for 60 mins on ice. Cells were washed three times in PBS and then placed in warm culture medium at 37°C for 60min, cells were analyzed at times indicated in results. After cooling to 0°C, to prevent further appearance of molecules at the surface through membrane trafficking, cells were

stained with APC-conjugated W6/32 or APC-conjugated L243 and analyzed using flow cytometry. These directly conjugated anti-MHC detection antibodies will only bind to MHC molecules that have appeared since the excess unconjugated blocking antibody was washed away immediately prior to beginning the incubations in warmed medium. Note that the MHC molecules newly-arrived at the cell surface are likely to be a mixture of de-novo synthesized molecules arriving at the surface for the first time, and recycled molecules that had previously been endocytosed.

182

#### 183 Flow cytometric analysis of whole cell (intracellular) proteins

Intracellular staining for HLA class I and class II was performed to quantify the total cellular levels of these proteins. Washed pellets of 0.5x10<sup>6</sup> cells were first fixed using 100µl Ebiosciences intracellular (IC) fixative for 1h on ice, followed by permeabilization using 100µl (0.2%) Triton X-100 and further 30 min incubation on ice. After washing in PBS, cells were incubated with appropriate conjugated antibody for 1h at 37°C. Cells were then washed in PBS and analyzed using flow cytometry.

190

#### 191 **T cell function assays**

<sup>192</sup> 'RAK' CD8<sup>+</sup> T cell clones specific for the **RAK**FKQLL peptide originating from BZLF1 <sup>193</sup> protein, and 'SNP' CD4<sup>+</sup> clones specific for the **SNP**KFENIAEGLRVLLARSH epitope <sup>194</sup> from ENBA1 protein, were generated as previously described (16). Targets for RAK-<sup>195</sup> specific CD8<sup>+</sup> T cells were generated by co-transfection of MJS cells with BZLF1 and <sup>196</sup> control-GFP or BDLF3-GFP expression plasmids. At 24h post transfection, cells were <sup>197</sup> used as targets for RAK specific CD8<sup>+</sup> T cell clones. T cell recognition was determined

by interferon gamma (IFN-y) enzyme-linked immunosorbant assay (ELISA) using a 198 previously described protocol (16). Targets for SNP-specific CD4<sup>+</sup> T cell clones were 199 generated by transfection of MJS cells with the cytoplasmic EBNA1 expression plasmid, 200 EBNA1ΔNLS, which generates a target protein that is efficiently processed via the MHC 201 antigen presentation pathway (21). At 24h post-transfection cells were re-seeded and 202 24h later these cells were transfected with control-NGFR or BDLF3-NGFR expression 203 plasmids. After a further 24h, cells were harvested and sorted as described above and 204 the recognition of these target cells by SNP-specific CD4<sup>+</sup> T cell clones was determined 205 by IFN-y ELISA. 206

207

#### 208 Immunoprecipitation

Positively selected control-NGFR and BDLF3-NGFR expressing MJS cells (2x10<sup>6</sup>) were 209 used for surface MHC class I and class II immunoprecipitation. Cells were incubated for 210 two hours on ice with anti-HLA class I Mab (W6/32) or anti-HLA class II Mab (L243), 211 then washed and lysed using 400µl of NP-40 buffer (0.5% Nonidet P-40, 5mM MgCl<sub>2</sub> 212 and 50mM Tris-HCl, pH7.5) with protease inhibitor cocktail (Sigma P8340) at 4°C for 45 213 min. Nuclei and insoluble debris were removed by centrifugation, and the supernatants 214 were incubated with 20µl Dynabeads Protein A and 20µl Dynabeads Protein G 215 (Invitrogen) at 4°C overnight. Beads were then washed four times with NET buffer (0.5% 216 NP-40, 150mM NaCl<sub>2</sub> 5mM EDTA and 50mM Tris-HCl, pH 7.5) and the precipitated 217 proteins were eluted by boiling in reducing sample buffer for 5 min. Finally, samples 218 were separated by SDS-PAGE on 4-12 % Bis-Tris NuPage mini-gels with 219 220 morpholinepropanesulfonic acid (MOPS) electrolysis buffer (Invitrogen).

#### 221 Western blotting

Total cell lysates were denatured in reducing sample buffer and then sonicated and heated to 100°C for 5 min. Solubilized proteins equivalent to 2x10<sup>5</sup> cells/20µl sample were separated by SDS-polyacrylamide gel electrophoresis (SDS-PAGE) on to 4-12% acrylamide gradient bis-Tris NuPage minigels with MOPS running buffer (Invitrogen).

#### 227 **Results**

#### 228 The late lytic gene BDLF3 is identified as an immune evasion protein

229 As previously demonstrated, EBV encodes a number of immune evasion genes that cooperate to afford the protection of EBV infected cells against recognition by CD8<sup>+</sup> T cells 230 during lytic cycle. However, it has been hypothesized that an as yet unidentified EBV 231 lytic gene may be responsible for the ultimate protection of EBV infected cells during 232 late lytic cycle (16). In order to identify other potential EBV immune evasion proteins 233 involved in protecting infected cells against CD8<sup>+</sup> T cell recognition, more than 25 EBV 234 genes expressed during lytic cycle were transiently expressed in MJS cells using 235 bicistronic plasmid vectors that co-expressed GFP with the test gene (Supplementary 236 237 Fig. 1A). The expression of GFP protein allowed for the identification of transfected cells using flow cytometry. At 24h post-transfection, flow cytometric analysis was used to 238 analyse surface expression levels of MHC class I on GFP positive cells. Of all EBV lytic 239 240 genes included in this screen the only protein that reproducibly affected surface levels of MHC class I was BDLF3 (Fig. 1A). Fig. 1A shows a representative selection of these 241 screens. As a control, the level of surface MHC class II was also analyzed in this screen 242 (Supplementary Fig. 1B). Interestingly, BDLF3 also affected the surface expression of 243 MHC class II (Fig. 1B). 244

BDLF3 has previously been classified as a late expressed lytic protein (19). We confirmed the late expression kinetics using the EBV positive cell line, AKBM (9) that can be induced in to lytic cycle by cross linking of the B cell receptor. Following induction, aliquots of induced AKBM cells were taken at 0h, 1h, 6h, 12h, 24h, and 48h

time points and immunoblotting was performed in order to detect the expression of 249 BDLF3 and the immediate early protein BZLF1. As shown in Fig. 1C, BDLF3 protein 250 expression is detected weakly at 12hr post induction but with stronger expression seen 251 at 24hr. These expression kinetics are consistent with previous findings (19) and 252 suggests that BDLF3 could potentially be the 'missing link' immune evasion protein 253 responsible for interfering with MHC class I antigen presentation during late stage lytic 254 cycle to protect these cells against CD8<sup>+</sup> T cell recognition. In addition, the effect on 255 expression of MHC class II molecules raised the possibility that BDLF3 also plays a role 256 in CD4<sup>+</sup> T cell immune evasion. To confirm that the levels of BDLF3 protein expression 257 in our transfected cells were physiologically relevant, BDLF3 protein expression levels 258 in the transfected MJS cells was compared with BDLF3 expression level in induced 259 AKBM cells after adjusting for the percentage of GFP<sup>+</sup> cells in MJS cells and 260 percentage of VCA<sup>+</sup> cells in induced AKBM cells. Quantification of western blots 261 (Supplementary Fig. 2) showed that the expression of BDLF3 in MJS was around 70% 262 of that expressed in induced AKBM cells. 263

In order to confirm that BDLF3 acts specifically on MHC class I and MHC class II, MJS 264 cells transiently expressing BDLF3 were harvested at 24hr post-transfection and were 265 analyzed in more detail using flow cytometry to detect surface levels of MHC class I, 266 MHC class II, and other cell surface proteins. The results in Fig. 2A indicated that 267 BDLF3 expressing cells (dashed line) exhibit a 60% decrease in surface MHC class I 268 mean fluorescence intensity (MFI) compared to control cells (solid black line). A similar 269 result was observed for surface levels of MHC class II on BDLF3 positive cells (Fig. 2B), 270 where there was a reduction of 50% in MHC class II MFI. Importantly, the levels two 271 14

other surface proteins tested, Transferrin receptor (TfR; Fig. 2C) and ICAM1, (Fig. 2D)
were not affected by the expression of BDLF3.

Since B cells are the natural reservoir for EBV, we next investigated the phenotype of 274 BDLF3 in B cells. To this end, the effect of transient BDLF3 expression on surface MHC 275 molecules on the EBV negative B cell line, DG75 was investigated using flow cytometry. 276 In this instance, the control of ICAM1 could not be included as its expression on DG75 277 cells is negligible; therefore the effect of BDLF3 on expression of CD19 was analyzed 278 along with TfR expression. As shown in Fig. 2E-H, results similar to those seen in MJS 279 cells were obtained. BDLF3 expressing DG75 cells showed a 43% reduction in the MFI 280 of MHC class I (Fig. 2E) and a 30% reduction in MHC class II (Fig. 2F) compared to 281 control cells not expressing BDLF3. There was no observed effect of BDLF3 on the 282 expression of surface TfR (Fig. 2G) or CD19 (Fig. 2H). 283

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### 285 BDLF3 induces downregulation of all screened MHC class I and MHC class II 286 alleles

Since some viral immune evasion genes, including BILF1, have been shown to preferentially target specific HLA class I alleles (13), we next sought to investigate the HLA-specificity of BDLF3. To do so, MHC class I negative K562 cells engineered to stably express HLA-A2, -B35 or –Cw1 were transiently transfected to express either BDLF3 or a control vector. At 24h post-transfection the surface level HLA-A2, -B35 and –Cw1 on positively transfected cells was detected using flow cytometry. As shown in Fig. 3A, cells expressing BDLF3 showed a decrease in the cell surface level of HLA-A2 15

(upper histograms) (27% reduction in MFI), HLA-B35 (middle histograms) (34% 294 reduction in MFI) and HLA-Cw1 (lower histograms) (26% reduction in MFI) compared to 295 control cells. A similar approach was then used to test the specificity of BDLF3 for HLA 296 class II alleles. Here, HLA class II negative HEK-293 cells engineered to stably 297 expressing CIITA, thus driving the surface expression of HLA-DR and -DQ, were 298 transiently transfected to express BDLF3 or control vector. Similar to that seen for HLA 299 class I alleles, BDLF3 induced a reduction in both HLA-DR (47% reduction in MFI) (Fig. 300 3B, upper histograms) and HLA DQ (32% reduction in MFI) (Fig. 3B, lower histograms) 301 compared to control transfected cells. In all examples the level of surface TfR remained 302 similar between BDLF3 and control transfected cells (data not shown). These results 303 indicate that BDLF3 is not selective in down-regulating HLA molecules but instead acts 304 more broadly to down regulate all HLA class I and HLA class II molecules. 305

306

## BDLF3 mediated reductions in surface MHC class I and class II confers protection against both CD8<sup>+</sup> and CD4<sup>+</sup> T cell recognition

Since BDLF3 induces a reduction in the level of surface MHC class I and class II molecules, we next investigated whether BDLF3 expression provided protection against recognition by EBV-specific CD8<sup>+</sup> and CD4<sup>+</sup> T cells. In order to address this, the HLA-B8 positive cell line MJS cells, were co-transfected with BZLF1 and either BDLF3-GFP or control-GFP vector plasmids (Fig. 4A). At 24h post-transfection these cells were used as targets in a T cell assay with CD8<sup>+</sup> T cell clones restricted through HLA-B8 and specific for the peptide RAKFKQLL, contained within the BZLF1 antigen. T cell

recognition was measured as IFN- $\gamma$  release using IFN- $\gamma$  ELISA. As shown in one representative experiment (n=3) in Fig. 4A, the expression of BDLF3 resulted in a significant decrease in IFN- $\gamma$  release by RAK-specific T cell clones, from ~1100pg/ml to ~500pg/ml. The BDLF3-mediated reduction in BZLF1-specific CD8<sup>+</sup> T cell recognition was not due to any change in the expression of BZLF1 target protein expression (Fig. 4B).

In order to investigate the ability of BDLF3 to protect cells against recognition by CD4<sup>+</sup> T 322 cells, a similar method was employed. Here, MJS cells stably expressing the HLA class 323 II allele DR51 were transfected to express cytoplasmic EBNA1 for 48h and either 324 BDLF3-NGFR or control-NGFR vector for a further 24hr (Fig. 4C). Cells were then 325 sorted on expression of NGFR and subsequently used as targets for a CD4<sup>+</sup> T cell 326 clones specific for the HLA-DR51 restricted epitope SNPKFENIAEGLRVLLARSH, 327 328 contained within EBNA1. As shown in Fig. 4C, the expression of BDLF3 resulted in a decrease in T cell recognition (IFN-y release) by SNP-specific CD4<sup>+</sup> T cell clones from 329 ~1300pg/ml to ~900pg/ml, compared to control cells. The BDLF3-mediated reduction in 330 EBNA1-specific CD4<sup>+</sup> T cell recognition was not due to any change in the expression of 331 EBNA1 target protein expression (Fig. 4D). Thus, in a similar pattern to those results 332 seen for BDLF3 protection against CD8<sup>+</sup> T cell recognition, BDLF3 induced reduction in 333 surface MHC class II molecules also correlated with protection against CD4<sup>+</sup> T cell 334 recognition. 335

These data show that BDLF3 induced reduction in cell surface MHC class I and class II is functional in protecting BDLF3 expressing cells against recognition by both  $CD8^+$  and  $CD4^+$  T cells.

# BDLF3 downregulates surface MHC molecules more dramatically than total MHC molecules

To explore the mechanism of MHC class I and class II downregulation by BDLF3, we 341 first asked whether the total cellular pool of MHC molecules was affected, or whether 342 surface MHC molecules were selectively targeted. To this end, flow cytometry of 343 intracellular staining of fixed and permeabilized cells was used to detect the level of 344 whole cell MHC molecules compared to surface MHC molecules detected on 345 346 impermeable viable cells. As expected, surface level MFI of MHC class I and class II were both reduced by approximately 50% on cells expressing BDLF3 compared to 347 control cells (Fig. 5A. left-hand column). This difference was found to be significant (Fig. 348 5B, white bars). Interestingly, there was only a slight decrease of 10% in the MFI of 349 whole cell MHC class I and MHC class II compared to control cells (Fig. 5A, right 350 column) and this small reduction was not statistically significant when the results from 351 three independent experiments were pooled and analyzed (Fig. 5B, grey bars). To 352 confirm these findings by an independent method, control GFP expressing MJS and 353 BDLF3-GFP expressing MJS cells were purified using Mo-flow cell sorter and the 354 expression of total MHC-I and MHC-II was examined by western-blot. The result 355 showed no significant difference (supplementary Fig.3), confirming the result from 356

intracellular flow cytometry data. Importantly, BDLF3 had no effect on surface or whole
 cell levels of ICAM1 expression (Figs. 5A and 5B, bottom panel).

These data show that BDLF3 affects the levels of surface MHC molecules more dramatically than it affects whole cell MHC molecules, suggesting that BDLF3 exerts its function predominantly on surface MHC class I and II rather than the intracellular fraction of these molecules.

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#### 364 BDLF3 induces rapid internalization and delayed appearance of MHC molecules

Since BDLF3 predominantly targets surface MHC molecules, we next examined 365 366 whether it targets those MHC molecules already at the cell surface or those trafficking to 367 the cell surface. We therefore compared the kinetics of MHC class I and class II 368 internalization and appearance at the cell surface of BDLF3 expressing cells using flow 369 cytometry. Representative examples are shown of MHC class I (Fig. 6A, upper) and class II (Fig. 6A, lower) internalization assays, where the percentage of MHC class I and 370 class II remaining on the surface of BDLF3 expressing and control cells was measured 371 over 60 minutes. Cells expressing BDLF3 showed lower levels of surface MHC 372 remaining at the cell surface at each time point indicated, such that by 60min there were 373 respectively 20% and 13% less surface MHC class I and MHC class II on BDLF3 374 expressing cells compared to control cells. These data indicate that BDLF3 induces a 375 more rapid rate of both MHC class I and MHC class II internalization. 376

When a similar assay was used to measure the rate of MHC class I and class II surface 377 appearance, BDLF3 expressing cells conversely showed a decreased rate of both MHC 378 class I and class II surface appearance at each time point compared to control cells 379 (Fig. 6B). By 60 min, the appearance of MHC class I and class II on BDLF3 expressing 380 cells at time point 60min was reduced by 50% and 47% respectively in comparison to 381 control cells. This BDLF3-mediated reduction in the rate of appearance of MHC at the 382 surface (Fig. 6B) was noticeably greater than the accelerated rate of endocytosis 383 (Fig.6A). 384

It should be noted that in all experiments rate of TfR internalization or appearance remained similar between control and BDLF3 expressing cells (data not shown). These data indicate that BDLF3 is able to both enhance endocytosis of MHC molecules at the cell surface and interfere with the trafficking of intracellular MHC molecules to the cell surface.

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## BDLF3 downregulation of surface MHC molecules involves ubiquitination and the proteasomal pathway

We next sought to identify the mechanism by which BDLF3 is able to enhance internalization and delay the appearance of surface MHC class I and MHC class II molecules. Our initial experiments were designed to identify which pathway BDLF3 might utilize in order to reduce the expression of surface MHC molecules. To this end, we incubated BDLF3 expressing cells with proteasomal and lysosomal inhibitors. In the absence of drug treatment, cells expressing BDLF3 showed lower levels of surface 20

MHC class I and II expression compared to control cells (Fig. 7A) as expected. 399 However, when incubated with the proteasomal inhibitor, MG132, BDLF3 expressing 400 cells showed no such reduction in surface MHC class I and MHC class II levels 401 compared to control cells (Fig. 7B). Part abrogation of BDLF3 phenotype by MG132 402 was observed after 4hr treatment, but the effect of MG132 treatment was maximal after 403 16h (Supplementary Fig. 4). Similar results were seen when cells were treated with a 404 second proteasomal inhibitor, bortezomib (Supplementary Fig. 5), whereas treatment 405 with a lysosomal inhibitor, bafilomycin (Supplementary Fig. 6), did not prevent BDLF3 406 induced downregulation of surface MHC molecules. These data indicate that BDLF3 407 induced downregulation of surface MHC molecules is dependent upon the proteasomal 408 pathway. 409

As BDLF3 downregulates surface MHC molecules through increased internalization and delayed appearance (Fig. 6), we next examined what effect proteasomal inhibition might have on the kinetics of MHC class I and class II internalization and appearance at the cell surface of BDLF3 expressing cells. The results showed that MG132 completely abrogated the effect of BDLF3 on both the rate of internalization (Fig 7C) and the rate of appearance (Fig.7D), demonstrating an essential role of the proteasome in BDLF3 induced MHC molecule downregulation.

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Given the essential role that the proteasome plays in BDLF3 induced reduction of surface MHC molecules, and that ubiquitination is an important component of the proteasomal pathway, we next assessed whether BDLF3 induces ubiquitination of

surface MHC molecules. To this end, MJS cells were transfected with various 421 expression vectors including BDLF3, control vector and ubiquitin (Fig. 7E, F). These 422 cells were then incubated with or without the proteasomal inhibitor, MG132. At 24h post-423 424 transfection, surface MHC class I or MHC class II molecules were immunoprecipitated from BDLF3 expressing or control cells and resulting immunoblots were probed with 425 ubiquitin-specific antibodies. As shown in Fig. 7, poly-ubiquitinated high molecular 426 weight bands appeared in immunoblots for immunoprecipitated MHC class I (Fig. 7E) 427 and MHC class II (Fig. 7F) in BDLF3 expressing cells treated with MG132. These 428 ubiquitin-reactive bands were less pronounced both in control cells treated with MG132 429 and in BDLF3-expressing cells not treated with MG132. 430

#### 431 **Discussion**

This study reveals the identity and mechanism of novel immune evasion gene, BDLF3, which induces downregulation of not only cell surface MHC class I but also MHC class II, to the extent that antigen recognition by both CD8<sup>+</sup> and CD4<sup>+</sup> virus-specific T cells is functionally impaired. The BDLF3 protein was first identified a number of years ago as the glycoprotein gp150, which is located at the cell membrane and in the virion, is not essential for EBV replication, and hitherto had no known function (18-20). Our study now allows a function to be assigned to the BDLF3 protein.

The identification of BDLF3 as an immune evasion protein has an important impact on 439 our knowledge of the T cell response to lytic EBV antigens and the protection of EBV 440 infected cells from recognition by these T cells. EBV lytic cycle involves the 441 synchronous expression of more than 60 viral proteins, many of which elicit strong CD4<sup>+</sup> 442 and CD8<sup>+</sup> T cell responses, but various immune-evasion mechanisms enable EBV to 443 444 persist as a lifelong infection. For CD8<sup>+</sup> T cell responses to lytic cycle antigens, there is a pattern of immunodominance that correlates with the efficiency of antigen 445 presentation during lytic cycle. An earlier study by our group revealed that the known 446 immune evasion genes, BGLF5, BNLF2a and BILF1 act in co-operation to afford 447 protection to EBV infected cells against lytic specific CD8 T<sup>+</sup> cell recognition. However, 448 the ultimate protection that is seen in late phase lytic cycle could not be fully explained 449 by the action of these known evasion genes (16). The identification of BDLF3, which is 450 expressed during late stage lytic cycle, as a potent inhibitor of the MHC class I antigen 451 452 presentation pathway makes it a prime candidate for the 'missing link' immune evasion

453 protein responsible for protecting EBV infected cells from CD8<sup>+</sup> T cell responses during
454 late stage lytic cycle.

Another important feature of BDLF3 is its ability to induce MHC class II downregulation 455 and evade CD4<sup>+</sup> T cell recognition. Evidence is accumulating in the literature showing 456 that viruses target multiple points on the MHC class II antigen presentation pathway, 457 including: suppression of CIITA (28, 29), diversion or degradation of DR molecules 458 during membrane transport (30) and direct targeting of the CD74 (invariant chain) 459 chaperone of DR (8). Two viral genes expressed during EBV lytic cycle have been 460 reported to manipulate MHC class II antigen presentation pathway. BZLF1 induces a 461 marked downregulation of surface CD74 to impair antigen presentation and CD4<sup>+</sup> T cell 462 recognition (8), and Gp42 sterically inhibits interactions between TCR on the CD4<sup>+</sup> T 463 cell with MHC-II peptide complexes (10). The identification of BDLF3 as a novel MHC 464 465 class II evasion gene from EBV indicates that, similarly to interference with MHC class I antigen presentation, interference with MHC class II antigen presentation very likely 466 involves the cooperative action of multiple evasion genes. 467

The fact that BDLF3 is an EBV late lytic cycle protein, suggests that it would help to provide enhanced protection of the virus-producing cells prior to release of mature virions. In addition, as BDLF3 can be detected in the EBV virion (31), this raises the possibility that BDLF3 can act immediately after new infections of B cells to modulate recognition by existing EBV specific CD4<sup>+</sup> T cells. Considering the important role of MHC class II molecules and gp42 in EBV infection, and also the observation that BDLF3 knock-out EBV virus particles can infect epithelial cells better than B cells (18),

we can propose a potential role of BDLF3 in the EBV infection. BDLF3 expression results in decreased MHC class II expression at the surface of lytically replicating cells, reducing the amount of MHC class II available to bind gp42. We would therefore predict that BDLF3 knockout virions may contain less envelope gp42 than wild type EBV, with a consequent enhanced ability to infect epithelial cells.

Our data indicate that the mechanism of BDLF3 interference with the appearance of 480 MHC molecules at, and internalization from, the cell surface, involves ubiguitination of 481 MHC molecules and proteasome-dependent pathways. The targeting of MHC 482 molecules for ubiquitination has been described for other viral immune evasion proteins, 483 but the mechanism of action of BDLF3 is clearly distinct. An example is the K3 and K5 484 proteins encoded by Kaposi's sarcoma-associated herpesvirus (KSHV), which function 485 as two membrane-bound E3 ubiquitin ligases and have been shown to facilitate the 486 487 rapid endocytosis and subsequent degradation of MHC class I by inducing ubiquitination (32-37). Unlike K3 and K5, there is no evidence to show that BDLF3 itself 488 is an E3 ubiquitin ligase, therefore it is very likely that BDLF3 functions via a different 489 mechanism. Indeed in terms of the MHC class I downregulation, BDLF3 can affect all 490 MHC class I alleles we studied, whereas K5 affects HLA-A and -B but has a weak 491 effect on HLA-C, while K3 downregulates all HLA class I alleles (33). More importantly, 492 KSHV K3 and K5 can downregulate a range of other surface proteins including B7-2, 493 CD54 (ICAM-1), CD1d, CD31 (PECAM-1), IFN-yR1, MICA/B, BST-2, ALCAM, Syntaxin-494 4 (38-42). In contrast we found that BDLF3 targets MHC-I and MHC-II, but not CD54 495 (Fig. 2) or MICA/B (data not shown). Another distinguishing feature of BDLF3 is that 496 whilst the surface levels of MHC class I and class II were reduced by around 50%, there 497 25

498 was a minimal decrease of whole cell MHC class I and MHC class II (Fig. 5A, and 499 Supplementary Fig. 3). That BDLF3 can induce a 50% reduction in surface MHC 500 molecules against a reduction of less than 10% of the whole cell MHC molecules 501 reflects the fact that there is relatively large reservoir of MHC class I and MHC class II 502 inside the cells. Therefore, the 10% reduction in whole cell MHC class I and II could 503 represent the complete degradation of the 50% of MHC class I and II that is lost from 504 the surface in the presence of BDLF3.

Whilst BDLF3 does not function as ubiquitin E3 ligase, it does nevertheless 505 downregulate surface MHC class I and MHC class II through inducing ubiquitination. 506 507 How might this be? One possible explanation is that it may recruit other cellular E3 ubiquitin ligase proteins such as members of the membrane-associated RING-CH 508 (MARCH) proteins, the cellular orthologues of K3 and K5. These proteins have been 509 510 implicated in the regulation of cell surface molecules including MHC class I, MHC class II, ICAM and transferrin receptor (36, 43-46). Indeed, the overexpression of MARCH-IV 511 and MARCH-IX proteins induces ubiguitination and rapid internalization of MHC class I 512 (43). Numerous MARCH proteins have been identified that target MHC class I or MHC 513 class II although, at the time of writing, no single known MARCH protein induces the 514 ubiquitination of both MHC class I and class II without affecting other surface markers 515 that are left unaffected by BDLF3 (47). Our preliminary experiments have not been able 516 to demonstrate co-immunepreciptation of MHC molecules with BDLF3 (data not shown). 517 Thus BDLF3 may recruit an as of yet unidentified MARCH family protein or perhaps 518 several of these proteins. If so, then the specificity of BDLF3 would be due to the target 519

520 molecules of these recruited proteins. Future work will be aimed at resolving these 521 possibilities.

Considering the data that we have obtained for BDLF3, and the features that distinguish 522 the effects of BDLF3 from previously characterized immune evasion proteins, we 523 postulate that its mechanism of action is broadly as follows. As BDLF3 reduces the rate 524 of appearance of MHC molecules at the cell surface to a greater extent than it increases 525 the rate of endocytosis (Fig.6) we suppose that the reduced rate of appearance must be 526 due at least in part to an effect on de novo synthesized MHC molecules trafficking to the 527 surface. Therefore, BDLF3 targets for ubiquitination both de novo synthesized and 528 529 recycling endocytosed MHC molecules. These ubiquitinated MHC molecules are directed for proteasomal degradation or, in the presence of proteasomal inhibitors, 530 accumulate at the cell surface. The finer details of the biochemical mechanisms, and 531 532 the identity of the ubiquitin ligases involved, remain to be resolved.

The acquisition of immune evasion proteins has played a critical role in the evolution of 533 viruses. It is interesting to note that an EBV homolog, the marmoset lymphocryptovirus 534 (maLCV), which naturally infects new world nonhuman primates, lacks BDLF3. This 535 may be relevant to the fact that serological studies reveal maLCV infection in 536 marmosets to be much less ubiquitous than EBV in humans (48, 49). It might therefore 537 be speculated that the acquisition of BDLF3 immune evasion functions is a later 538 evolutionary event that contributes to the success of EBV in successfully colonizing the 539 vast majority of the human population. 540

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695 Figure legends

Figure 1. Screening of EBV lytic genes to identify potential MHC class I immune 696 evasion genes. MJS cells were transiently transfected with pCDNA3.1-IRES-GFP 697 plasmids encoding for a selection of EBV lytic genes. At 24h post transfection surface 698 levels of MHC class I (A) and MHC class II (B) on GFP positive cells were analysed 699 700 using two color flow cytometry. (C) AKBM cells were induced into lytic cycle by crosslinking of B cell receptors for 1hr at 37°C and analyzed at time points post-induction, as 701 indicated, using western blot. Levels of BZLF1 protein (upper blot), BDLF3 protein 702 (middle blot; stars indicate monomeric and trimeric BDLF3 protein) and, as a loading 703 704 control, calregulin (lower blot) are shown.

**Figure 2. BDLF3 expression induces the down regulation of surface MHC class I and MHC class II.** MJS cells (A-D) and DG75 cells (E-H) were transiently transfected with control-GFP or BDLF3-GFP plasmids. At 24h post transfection, two color flow cytometry was used to measure surface levels of MHC class I (A,E), MHC class II (B,F), TfR (C,G) and ICAM1 (D) or CD19 (H) in the GFP<sup>+</sup> populations of control-GFP (solid line histogram), and BDLF3-GFP transfected cells (dashed line histogram). The grey histogram denotes background staining obtained with an isotype control antibody.

Figure 3. BDLF3 induces downregulation of all HLA class I and class II alleles. (A)
The MHC class I negative cell line K562 transduced to stably express either HLA-A2, B35 or -Cw1 was electroporated with control-GFP or BDLF3-GFP plasmids. At 24h
post-transfection, two color flow cytometry was used to measure surface MHC class I
levels in the GFP<sup>+</sup> populations in the control-GFP transfected (solid line histogram) and

the BDLF3-GFP transfected cells (dashed line). (B) HEK-293 cells stably expressing
CIITA were transiently transfected with control-GFP or BDLF3-GFP plasmids. At 24h
post-transfection, two color flow cytometry was used to measure surface HLA-DR and
HLA-DQ levels in GFP<sup>+</sup> populations in the control-GFP transfected (solid line histogram)
and the BDLF3-GFP transfected cells (dashed line). The grey histogram denotes
background staining obtained with an isotype control antibody.

Figure 4. BDLF3 can inhibit EBV specific CD8<sup>+</sup> and CD4<sup>+</sup> T cell recognition. MJS 723 cells were co-transfected with p509 plasmid (BZLF1 expression vector) together with 724 control-GFP or BDLF3-GFP. At 24h post transfection, the MJS cells were co-cultured 725 with effector T cells, BZLF1 (RAK)-specific  $CD8^{\dagger}$  T cell clone, for a further 18hr and the 726 727 supernatants were tested for the release of IFN-y as a measure of T cell recognition. All results are expressed as IFN-y release in pg/ml and error bars indicate standard 728 deviation of triplicate cultures. (B) Total cell lysates were generated from the above 729 transfections, and analyzed by western blotting using antibodies specific for BDLF3, 730 BZLF1 or calregulin as a loading control. The asterisks adjacent to the BDLF3 blot 731 indicate monomeric and trimeric BDLF3 protein. (C) MJS-DR51 cells were first 732 transfected with EBNA1ΔNLS, allowed to recover in culture overnight, then were divided 733 to two groups and transfected with either BDLF3-NGFR or Control-NGFR. After a 734 further 24h, NGFR<sup>+</sup>/BDLF3<sup>+</sup> or control NGFR<sup>+</sup> cells were sorted with magnetic beads 735 and used as targets for HLA-DR51 restricted EBNA1 (SNP) specific CD4<sup>+</sup> T cell clones. 736 Recognition was measured as pg/ml of IFN-y release by T cell clones. Error bars 737 738 represent standard deviation of the mean for triplicate assay replicates. Results are

representative of three independent experiments. (D) Total cell lysates were generated
from the above transfections, and analyzed by western blotting using antibodies specific
for BDLF3, EBNA1 or calregulin as a loading control.

Figure 5. BDLF3 induces a more dramatic reduction in surface MHC class I and II 742 compared to whole cell levels. (A) MJS cells were transiently transfected with control-743 GFP or BDLF3-GFP plasmids. At 24h post transfection, two color flow cytometry was 744 used to measure the level of surface MHC class I (upper left), MHC class II (middle left) 745 and ICAM1 (lower left) in the viable  $GFP^{+}$  populations of control-GFP transfected (solid 746 747 line histogram), and BDLF3-GFP transfected cells (dashed line histogram). The grey histogram denotes background staining obtained with an isotype control antibody. In 748 parallel, these GFP<sup>+</sup> transfected MJS cells were analysed for whole cell levels of MHC 749 class I (upper right), MHC class II (middle right) and ICAM1 (lower right) using 750 intracellular staining of fixed and permealized cells. The results are representative of 751 repeated experiments. (B) Relative mean fluorescence intensity (MFI) of MHC class I, 752 MHC class II and ICAM1 in BDLF3-GFP<sup>+</sup> cells compared to control-GFP<sup>+</sup> cells were 753 calculated. Results are the combined data from three independent experiments. White 754 bars represent surface staining, grey bars represent whole cell staining. Differences that 755 reached significance (p<0.05) in a Student's Paired T test are denoted by an asterisk. 756

Figure 6. BDLF3 induces more rapid internalization and delayed appearance of both MHC class I and class II at the cell surface. Internalization and appearance assays were performed on MJS cells transiently expressing control-GFP or BDLF3-GFP. The GFP<sup>+</sup> population was used to gate on BDLF3 expressing cells. Internalization

and appearance assays were performed on cells pre-treated on ice with saturating 761 amounts of anti-MHC class I antibody or anti-MHC class II antibody. Cells were then 762 washed and incubated at 37°C for up to 60 min. (A) For the internalization assay, viable 763 cells harvested at each time point were stained with APC-conjugated goat anti-mouse 764 IgG antibody, and analyzed using flow cytometry at the indicated times; this identifies 765 766 the pre-labeled antibody-bound MHC molecules that remain at the surface while endocytosed labeled MHC molecules are not detected on the viable cells. The mean 767 fluorescence intensities of staining were averaged for triplicate samples, and then 768 769 normalized to the time 0 samples. (B) For the appearance assays, newly-arrived MHC-I and MHC-II molecules, which were not prelabeled with unconjugated antibodies, were 770 detected by staining with APC-conjugated anti-MHC class I antibody or anti-MHC class 771 772 Il antibody. The mean fluorescence intensities of staining were averaged for triplicate samples, and then normalized to the time 0 samples. Results are representative of 773 three independent experiments. 774

Figure 7. Treatment of BDLF3 expressing cells with a proteasome inhibitor 775 prevents down regulation of MHC class I and class II. MJS cells were transiently 776 transfected with BDLF3-GFP or control-GFP plasmids, and then incubated in normal 777 medium (A) or with MG132 (5µM) supplemented medium (B). At 24h post-transfection, 778 two color flow cytometry was used to measure surface MHC class I (upper histograms), 779 surface MHC class II (middle histograms) and surface ICAM1 (lower histograms) in 780 GFP<sup>+</sup> populations of control-GFP (solid line histogram), and BDLF3-GFP (dashed line 781 782 histogram) transfected cells. The grey histogram denotes background staining obtained

783 with an isotype control antibody. Results are representative of repeated experiments (n>4). (C,D) MJS cells were transiently transfected with BDLF3-GFP or control-GFP 784 plasmids, and then were incubated with MG132 (5µM). Following drug treatment, the 785 rate of internalization (C) of MHC-I (top panel) and MHC-II (bottom panel), and the rate 786 of appearance (D) of MHC-I (top panel) and MHC-II (bottom panel) were measured 787 using the same method as in Fig. 6. (E, F) MJS cells were transfected with a ubiquitin 788 expression plasmid plus either Control-NGFR or BDLF3-NGFR plasmids; these 789 transfected cells were then divided in to two and incubated in normal medium or in 790 medium supplemented with MG132. At 24h post transfection, NGFR<sup>+</sup>/BDLF3<sup>+</sup> or control 791 NGFR<sup>+</sup> cells were sorted with magnetic beads, and surface MHC class I (C) or MHC 792 class II (D) were immunoprecipitated, eluted, and then immunoblotted using anti-793 794 ubiquitin antibody (P4D1).









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