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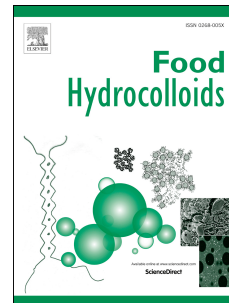
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# Accepted Manuscript

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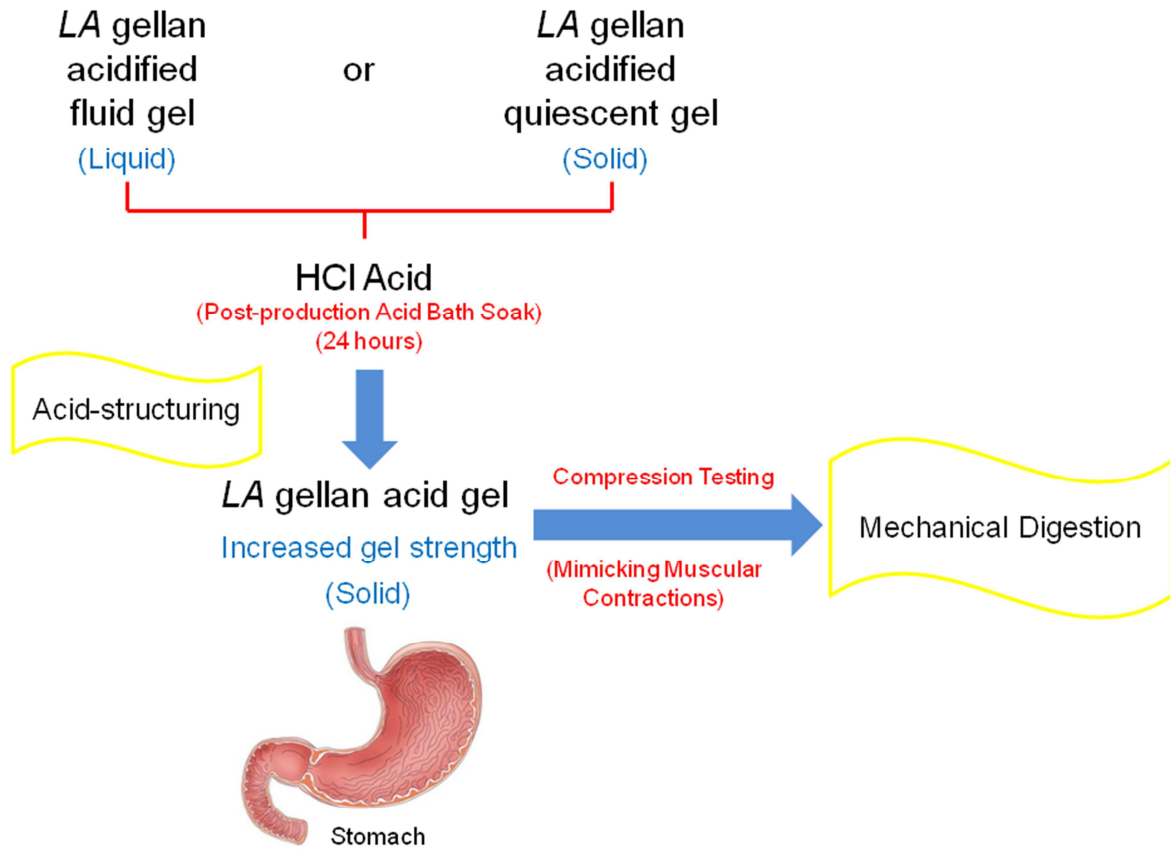
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1 Low acyl gellan gum fluid gel formation and their subsequent response with acid to impact on satiety

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7  
8 Abstract

9  
10 Fluid gels have shown potential for use in numerous applications including foods. One such  
11 application is in the production of self-structuring food formulations that take advantage of  
12 natural digestive processes to increase satiety, potentially helping to combat obesity. The  
13 formation and properties of low-acyl gellan gum fluid gels, produced by applying shear during  
14 the gelation process are discussed. The acid gelation process of the low-acyl gellan gum fluid  
15 gels was investigated through the direct addition of hydrochloric acid, inducing a range of pH  
16 environments and also their response to a prolonged exposure to an acidic environment, similar  
17 to the conditions found in the stomach. Quiescent LA gellan gum gels were also exposed in this  
18 way for comparison to the fluid gels.

19 Rheology was performed on the fluid gels after their formation to determine structure  
20 development. Using these methods, the influence of applied shear and acid concentration on  
21 the transition temperature, viscosity and molecular ordering in the fluid gel systems has been  
22 studied.

23  
24 Keywords

25  
26 Fluid gel

27 Low acyl gellan gum

28 Process conditions

29 Material properties

30 Acid gelation

31

32 1. Introduction

33 Polysaccharides are widely used as replacements for fats and sugars in low fat and reduced  
34 calorie foods or within enhanced satiety products (Tang et al., 1994; Brown et al., 1996; Garrec  
35 et al., 2012; Norton et al., 2006). The use of hydrocolloids only as simple gelling and thickening  
36 agents does not offer any specific benefit to health, convenience or quality in a formulated  
37 product (Gabriele et al., 2009). This has led to the development of hydrocolloid fluid gels, which  
38 can be designed to achieve a wide range of structural properties (Cassin et al., 2000; Norton et  
39 al., 2000) to impart specific advantages to formulated foods.

40 Fluid gels are formed when shear stress is applied to a solution undergoing gelation; as a  
41 result particles are formed within the solution rather than the entire solution forming a  
42 homogenous gel. These particles of gel exist as a highly concentrated (high volume fraction)  
43 suspension, giving rise to an entirely different set of material properties to the quiescently  
44 formed gel (Brown et al., 1996). The application of fluid gels in food formulations has been  
45 investigated, and many studies manipulate the ability of some polysaccharides to form solid,  
46 brittle gels under quiescent cooling. One such polysaccharide is Gellan. Gellan gum (Sworn et  
47 al., 1995; Sworn, 2000; Sworn, 2009; Valli & Miskiel, 2001; Caggioni et al., 2007) is a  
48 multifunctional hydrocolloid which is already available for use in a wide variety of food products  
49 as a gelling and stabilising agent (Kelco Division of Merck and Co., 1993; Sanderson, 1990;  
50 Gibson, 1992). The gellan polymer consists of monosaccharides  $\beta$ -D-glucose,  $\beta$ -D-glucuronic acid  
51 and  $\alpha$ -L-rhamnose in molar ratios of 2:1:1 (Sanderson, 1990) linked together to form a primary

52 linear structure. The biopolymer is produced with two acyl substituents present on the 3-linked  
53 glucose, L-glycerol positioned at O(2) and acetyl at O(6). Direct recovery of the polysaccharide  
54 from the fermentation broth yields the high acyl form whereas deacylation by alkali treatment  
55 results in a low acyl counterpart. Gellan gum is currently commercially available in both the high  
56 acyl and the low acyl form. When hot solutions of gellan gum are cooled in the presence of gel-  
57 promoting cations, gels ranging in texture from brittle to elastic are formed, principally through  
58 cation-mediated helix-helix aggregation (Gibson & Sanderson, 1997). This paper reports results  
59 obtained with low acyl gellan gum since it forms clear gels, while high acyl gellan yields opaque  
60 gels with less thermal stability. It is important to note however that clarity is not a natural  
61 attribute of low acyl gellan gum, it is the result of an additional clarification process that is not  
62 applied to the high acyl gellan gum commercial product. The gel phase transition temperatures  
63 of low acyl gellan (30 – 50 °C) are lower than those of high acyl gellan gum (70 – 80 °C), which  
64 also makes the formation of fluid gels less complex.

65 To form low acyl gellan gum fluid gels with deionised water, four steps must be followed: (a)  
66 dispersion, (b) hydration, (c) addition of either salt or acid and (d) the cooling process, which  
67 leads to a quick set as soon as the gelling temperature is reached (Valli et al., 2010). The  
68 traditional mechanism proposed for the sol-gel transition of gellan gum is based on a random  
69 coil to double helix transition followed by helix to helix aggregation, which involves weak  
70 interactions such as Van der Waals forces and Hydrogen bonds. Gel-promoting ions reduce the  
71 effect of electrostatic repulsions among helices, augmenting the development of a network. In  
72 addition, ionic bridges among carboxylic groups of neighbouring chains have been reported to  
73 occur when divalent cations are used (Tang et al., 1997; Nickerson et al., 2003). The properties  
74 of gellan gum gels, such as texture, setting temperature and melting temperature, are  
75 dependent upon the types of ions present and their concentrations (Sanderson et al., 1988).

76           The ordering of helices in the production of gellan gum fluid gels is restricted by the shear  
77           force applied to small volumes during the cooling step (Valli & Miskiel, 2001), the greater the  
78           shear, the smaller the volumes in which ordering can occur, the smaller the resultant fluid gel  
79           particles. Weak gels with a similar consistency to fluid gels have also been produced, by allowing  
80           gelation to occur in quiescent conditions, provided specific gellan and gel-promoting ion  
81           concentrations are used (Sworn et al., 1995; Rodríguez-Hernández et al., 2003). These are  
82           structurally different however, as Rodríguez-Hernández et al. (2003) visualised the  
83           heterogeneous microstructure of Na-low acyl gellan gum weak gels by confocal scanning laser  
84           microscopy (CSLM), showing a network structure rather than the particulate structure of a fluid  
85           gel.

86           Within the food industry, gellan gum fluid gels have numerous applications as suspension  
87           and emulsion stabilisers, working at lower concentrations than standard thickeners (García et  
88           al., 2011). They also find uses in paint formulations, ophthalmic drug delivery systems (Suri et  
89           al., 2006; Sultana et al., 2006), and in medicine for the preparation of artificial lacrimal fluids  
90           (García et al., 2011). Gellan gum fluid gels exhibit highly pseudoplastic flow, which provides  
91           stable suspension of large included particles combined with low viscosity at higher rates of  
92           shear. When used in foods, this results in low viscosity in the mouth, so they can be used to  
93           great effect in beverages because of the low viscosity under shear, whilst still allowing for  
94           suspension of fruit pulp or jelly pieces. This suspension can be achieved without adversely  
95           affecting mouthfeel.

96           In this work, we aim to investigate the influence of processing conditions during low acyl  
97           gellan gum fluid gel formation using two common production methods, and determine how an  
98           acid environment affects the structural properties of the resultant fluid gels. This will enable  
99           improved understanding of their structure and material responses for their potential application  
100          in self-structuring satiety improving food products.

101

102 2. Materials and methods

103 2.1. Sample preparation

104 Low acyl (*LA*) gellan gum (Kelcogel F, CPKelco, UK) was used to prepare the model acid-  
105 sensitive hydrocolloid system in this study, with no further purification or modification to its  
106 properties. The water used for the prepared hydrocolloid solutions was passed through a  
107 reverse osmosis unit and then a milli-Q water system. Hydrochloric acid was purchased from  
108 Fisher Scientific (Loughborough, UK) and was used for the acid bath soaks and direct  
109 acidification of the fluid gels.

110

111 2.2 Fluid gel production

112 Fluid gel production and rheological measurements (both during and after fluid gel  
113 production) were carried out in a Gemini HR nano stress-controlled rheometer using a 4°  
114 truncated (150  $\mu\text{m}$ ) cone (40 mm in diameter) and plate geometry. This approach allows for the  
115 precise control of the temperature, rate of cooling and the applied rate of shear, which  
116 determine the structure of the produced fluid gels. Solutions of 2 wt. % *LA* gellan gum were  
117 transferred via pipette to the rheometer plate at 90 °C. The sample temperature was allowed to  
118 reach equilibrium (2 minutes) after the geometry was lowered and excess material removed. All  
119 experiments were carried out using a thin film of silicon oil, around the outer edge of the  
120 geometry, to control evaporation. Cooling was then performed whilst a constant shear between  
121 50 - 1000  $\text{s}^{-1}$  was applied, with a cooling at a rate of 3 °C/min. By conducting these sheared  
122 cooling profiles with *LA* gellan gum solutions, fluid gels are formed and their viscosities during  
123 formation can be measured. Note that due to the cooling constraints of the rheometer  
124 equipment, the maximum cooling rate possible is 6 °C/min.



125

126 A pin-stirrer was used to produce the *LA* gellan gum fluid gels on a larger scale than the  
127 rheometer method. The pin-stirrer consists of a rotating shaft (13 mm diameter) with 8 pairs of  
128 pins evenly distributed along its length, which is inserted into a jacketed vessel with 8 pairs of  
129 stator pins along the length of the inside wall. The rotating pins pass between the stator pins as  
130 they rotate, increasing the anisotropy of the flow field. The average shear rate within the pin  
131 stirrer apparatus has been shown to be about  $50 \text{ s}^{-1}$  with these process conditions (Gabriele,  
132 2011). The hot *LA* gellan gum solution was fed into the pin-stirrer. The internal pin-stirrer  
133 volume (with shaft inserted) was approximately 140 ml. The temperature of the fluid entering  
134 ( $T_{\text{in}}$ ) and exiting ( $T_{\text{exit}}$ ) the pin-stirrer were recorded using thermocouples, and  $T_{\text{exit}}$  was  
135 controlled via a recirculating water bath that ran through the cooling jacket of the pin-stirrer.  
136 Silicon tubing was used to connect all of the units within the process, and material flow was  
137 induced via a peristaltic pump (Masterflex® L/S®). A shaft rotation speed of 1500 rpm was used,  
138 with the flow rate maintained at 100 ml/min. Samples were then collected, refrigerated (3 °C)  
139 and stored for at least 24 h, before testing, to allow post processing ripening effects to fully take  
140 place (Gabriele et al., 2009).

141

### 142 2.3 Direct and post-production exposure to acidic environment

143 Both *LA* gellan gum fluid and acid fluid gels were assessed post-production in terms of their  
144 response to a prolonged exposure to an acidic environment that simulates the conditions found  
145 in the stomach during digestion. Quiescent *LA* gellan gum gels were also exposed in this way for  
146 comparison. The fluid gels (1 wt. %) were produced using the jacketed pin-stirrer and were  
147 placed into visking dialysis tubing (23mm ID, 14 kDa pore size, Medicell International, Ltd.)  
148 immediately after production, which was then allowed to soak in a 0.5 % HCl acid solution

149 (corresponding to  $\sim$  pH 1) for 24 hours. The acid soaking time of 24 hours was hugely  
150 exaggerated to take into consideration exposure extremities and the possible effects of chemical  
151 breakdown. Texture analysis of these systems was then performed to assess their structural  
152 properties. Acid exposure (after approximately 1 hour of soaking) caused the gel strength of the  
153 samples to increase and for them to change state from a fluid to a solid. The strengths of the  
154 solid gel samples formed enabled compression testing to be performed. After 24 hours of  
155 soaking no obvious chemical breakdown of the systems during prolonged acid exposure was  
156 observed, with all samples remaining to fit the requirements for compression testing.  
157 Mechanical breakdown of the systems then proceeded.

158

## 159 2.4. Measurement techniques

### 160 2.4.1. Rheology

161 To investigate the post-production flow behaviour, rheological analysis was performed on  
162 the 2 wt. % *LA* gellan gum fluid gels produced by using either the rheometer or pin-stirrer and  
163 the 1 wt. % *LA* gellan gum acid fluid gels produced using the pin-stirrer, immediately after their  
164 production. The Malvern Gemini HR nano rheometer was used, and the tests were performed  
165 at 25 °C for a range of shear rates, frequencies and strains, using a 4°, 40 mm cone and plate  
166 geometry for the 2 wt. % *LA* gellan gum fluid gel and a 40 mm parallel plate geometry (1 mm gap  
167 size) for the 1 wt. % *LA* gellan gum fluid gel samples.

168

### 169 2.4.2. Texture Analysis

170 The structures of the prepared *LA* gellan gum fluid and acid fluid gels following post-  
171 production HCl acid exposure were assessed by performing a series of compression tests using a  
172 TA.XT.plus texture analyser (Stable Micro Systems Ltd., UK), fitted with a 40 mm diameter  
173 cylindrical aluminium probe. All samples had a diameter of 23 mm and length of 10 mm. All

174 measurements were carried out in triplicate with a compression rate of 1 mm/s, with all samples  
175 being compressed to fracture. Slippage of the gel samples did not pose a problem with the data  
176 obtained.

177 The force/distance (of compression) data, as obtained directly from the texture analyser,  
178 was converted into true strain ( $\epsilon_H$ ) and true stress ( $\sigma_T$ ) data to obtain true stress/true strain  
179 curves for all of the LA gellan gum fluid and acid fluid gel acid structures according to Bradbeer  
180 et al. (2014). From the obtained true stress/true strain curves, the slope of the initial linear  
181 region (up to strain values of 0.05 %) can be used to calculate the Young's modulus (Smidsrød et  
182 al., 1972) while the slope of the second linear region (for strain values over  $\sim 0.1$  %), leading to  
183 the subsequent structure failure can be used to calculate the bulk modulus (Nussinovitch, 2004).  
184 The calculated moduli provide information regarding the two deformation mechanisms  
185 associated with each of the two linear regions. When the samples are initially loaded the  
186 connections between the hydrocolloid molecules within the gel network are bent, as a result of  
187 the applied stress. During this compression stage the gel matrix exhibits an elastic behaviour, a  
188 measure of which is given by the calculated Young's modulus. When a critical stress is reached  
189 the connections between the hydrocolloid chains collapse and the process of deformation  
190 enters a second much steeper linear region, during which packing of the hydrocolloid chains  
191 takes place. During this compression stage the exhibited behaviour is non-elastic and the slope  
192 of the linear region in the true stress/true strain curve, thus the calculated bulk modulus, relates  
193 to the stiffness/deformability of the gel matrix, until structure failure occurs. Finally the total  
194 work of failure (Kaletunc et al., 1991) is the total work (given as work per unit area in this study)  
195 that is required in order for the structure to fail and is represented by the area, up to the point  
196 of failure, under the true stress/true strain curve.

197

### 198 3. Results and Discussion

#### 199 3.1. A comparison of the two methods of fluid gel production

## 200 3.1.1.1. Viscometric response

201 Viscosity profiles on cooling from 90 °C at constant shear rates between 50 - 1000 s<sup>-1</sup> and 3  
202 °C/min are shown in Fig. 1 in order to investigate the effect of the applied shear on the  
203 production of LA gellan gum fluid gels. The obtained data across all shear rates shows that as  
204 the temperature of the system is lowered, a sharp increase in viscosity occurs at ~ 42 °C. This  
205 viscosity increase has been ascribed (Norton et al., 1999) to the formation of small gel nuclei  
206 (initiation of ordering), which begins close to the gelation temperature. On continued cooling,  
207 the initially formed gel nuclei continue to grow until an equilibrium particle size, as determined  
208 by the shear regime, is reached. It is at this point that a fluid gel has been formed.

209 The initial formation of the small gel nuclei is thought to be the consequence of a demixing  
210 process that results in the formation of polymer-rich and polymer-poor regions in the system.  
211 Norton et al. (1998) suggested that demixing occurs either via spinodal decomposition or  
212 nucleation and growth. Either way, it is apparent that particles start to form in the early stages  
213 of the aggregation process, during which the nuclei are subjected to the applied shear forces  
214 and will appear to behave as water-in-water emulsion droplets; thus it is expected for both  
215 droplet coalescence and droplet break-up phenomena to take place within the system (Gabriele  
216 et al., 2009). In terms of the growth of the fluid gel particles, it has been suggested (Norton et  
217 al., 1999) that this occurs either via an “enrichment” process, of the initially small nuclei, from  
218 the surrounding non-gelled matrix or due to the coalescence/agglomeration of the particles  
219 being forced to come together under the applied shear flow. Thus, it is clear that the observed  
220 rapid increase in viscosity is a direct result of the continual increase in both the number and  
221 volume fraction of the formed particles, which occurs at a temperature range approaching the  
222 hydrocolloid’s gelation temperature.

223 On further cooling past 30 °C (Fig. 1) the rapid increase in viscosity slows, and further  
224 increases are much more gradual for all of the applied shear rates. This observed change in

225 viscosity could be a result of further ordering of a small number of remaining disordered  
226 polymer chains within the particles and/or at their surface; conformational ordering persists  
227 even at temperatures much lower than the gelling temperature. This would be expected to  
228 slightly increase the size of the particles and thus the viscosity during their production.  
229 Additionally, the observed behaviour could be a consequence of the inter-particle interactions  
230 that take place as a result of the presence of disordered charged polymer chains at their surface  
231 (Norton et al., 1998) which likely bind to free ions in the surrounding particles, forming inter-  
232 particle bridges. As the applied shear rate is increased (for example from  $200 \text{ s}^{-1}$  to  $300 \text{ s}^{-1}$ )  
233 these chains are drawn towards the fluid gel particles as a consequence of the greater shear-  
234 induced fluid flow, forming a much smoother surface and thus limiting the likelihood of any  
235 inter-particle interactions. This in turn results in the observed decrease in the final viscosity  
236 (the viscosity measured at the end of the fluid gel production process at  $5 \text{ }^\circ\text{C}$ ) as a function of  
237 the applied shear. Consideration should also be made to the contribution to the increase in  
238 viscosity with decreasing temperature, typically known to obey the Arrhenius model (Gabriele  
239 et al., 2009). Alternatively, gel shrinkage as each gel particle becomes more ordered  
240 structurally could also be responsible for the reduced rapid viscosity increase rate on cooling  
241 past  $30 \text{ }^\circ\text{C}$ .

242 The viscosity of fluid gel samples (all 2 wt. %), produced using a range of applied shear rates  
243 ( $50 - 1000 \text{ s}^{-1}$ ) and at a constant cooling rate of  $3 \text{ }^\circ\text{C}/\text{min}$ , was measured immediately after the  
244 end of their formation process; data for  $50 \text{ s}^{-1}$  is shown on a log-log scale in Fig. 2. After  
245 production, all samples (shear rates  $50 - 1000 \text{ s}^{-1}$ ) exhibited comparable shear thinning (power  
246 law) behaviour, despite the assumed differences in particle size between them. Very small  
247 differences in the viscosities were observed with increasing shear rate; with a higher shear rate  
248 giving rise to smaller values ( $\sim 80 \text{ Pas}$  smaller for a shear rate of  $1000 \text{ s}^{-1}$ ). The reason behind  
249 this behaviour is that in highly concentrated systems of particles, the flow is characterised on  
250 the micro scale by the relative flow of particles "squeezing" past each other. The bulk viscosity

251 would thus be a direct function of the deformability (intrinsic elasticity) and packing of the  
252 particles, similarly to the behaviour of highly “packed” emulsions (Mason et al., 1996).

253 For the fluid gels produced using the pin-stirrer, the applied shear (1500 rpm rotating shaft  
254 speed) and cooling rate (30 °C/min; 20 °C at water bath) were kept constant throughout. This  
255 high cooling rate that the particles were exposed to within the pin-stirrer makes it very difficult  
256 to make a direct comparison of the fluid gel samples formulated using the rheometer production  
257 method, due to the cooling constraints of the rheometer equipment (maximum cooling rate = 6  
258 °C/min). Thus, comparisons of the respective fluid gel samples were based solely on their shear  
259 rates during production.

260 The post-production flow behaviour was measured, by recording the viscosity of the fluid  
261 gel sample (2 wt. %) 24 hours after production. The data is shown on a log-log scale in Fig. 2,  
262 where an almost identical shear-thinning behaviour is observed to that of the fluid gel sample  
263 produced using the rheometer at the calculated equivalent shear rate ( $50 \text{ s}^{-1}$ ). The conclusion  
264 therefore is that the particle sizes are similar independent of the method of production, so long  
265 as the shear rate during gelation is comparable.

266

### 267 3.1.2. Material response over time

268 Fluid gel systems involve inter-particle interactions that persist post-processing, and further  
269 develop or ripen for a significant time after processing completion. To understand the time  
270 scale over which this strengthening process occurs kinetic studies were implemented. For both  
271 the 2 wt. % LA gellan gum fluid gels produced using the rheometer and the 2 wt. % LA gellan  
272 gum fluid gels produced using the pin-stirrer, an oscillatory frequency of 1 Hz and a 1 % strain  
273 were applied to the fluid gel samples after production following a 1 hour resting period, and the  
274 elastic and viscous modulus responses were measured as a function of time. The results are  
275 shown in Fig. 3.

276 Fig.3 shows that for the fluid gel sample produced using the rheometer the storage modulus  
277 remains at a constant level over time. The viscous modulus also remained constant over time,  
278 except for a 15 Pa decrease at the start. This could be due to the low shear rate allowing more  
279 inter-particle interactions to occur during formation, but it is likely to be a mere measurement  
280 error during the time period where the oscillation experiment is first being established.  
281 Particularly, since a similar decrease was not observed with the viscous modulus recorded for  
282 the fluid gel sample produced using the pin-stirrer (Fig. 3).

283 In contrast, the data shown in Fig. 3 for the fluid gel sample produced using the pin-stirrer  
284 exhibits much higher viscous and elastic moduli, although both remain constant over time. This  
285 is accounted for by the high cooling rate (30 °C/min) used with the pin-stirrer method where the  
286 gelation process dominates, resulting in the production of fluid gels that have high storage  
287 modulus characteristics, and thus more solid-like behaviour.

288 The results indicate that after a 1 h resting period, both fluid gel sample networks have  
289 reached their maximum inter-particle interaction levels, with no further strengthening or  
290 ripening occurring thereafter.

291 Overall, each of the fluid gel samples produced by the two methods display weak gel  
292 behaviour. This can be explained structurally; closely packed particle interactions allow an  
293 elastic network to form at rest, whilst under shear, they are disrupted allowing material flow.

294

### 295 3.1.3. The coil-helix transition

296 Low-amplitude oscillation measurements were performed on the fluid gel samples produced  
297 using each production method after their formation, during heating and cooling. These enabled  
298 the coil-helix transition temperatures to be identified, allowing an improved understanding into  
299 the molecular ordering.

300 Figure 4 shows the elastic and viscous moduli at 1.585 Hz for 2 wt. % low acyl gellan gum  
301 fluid gels, produced within a rheometer (50 s<sup>-1</sup> applied shear rate, 3 °C/min cooling rate)  
302 following frequency tables from 0.1 – 10 Hz performed every 10 °C during temperature heating  
303 (a) and cooling (b) steps between 20 – 90 °C. On heating, the aggregated regions must be  
304 disassembled before the helix-coil transition can occur. This is represented by the steep  
305 decrease in the elastic modulus between 20 – 30 °C, with the helix-coil transition occurring  
306 thereafter between 30 – 70 °C. Above 70 °C, the solution exists in the disordered coil state.  
307 However, a steep increase in the elastic modulus is evident between 80 – 90 °C. The elastic  
308 modulus data point at 90 °C is most likely to be a result of sample evaporation, which is possible  
309 when performing tests of this type at high temperatures that are close to the boiling point of  
310 water, even with the appropriate evaporation prevention methods in place. This leads to an  
311 increased concentration of the gellan aqueous solution, forcing the disordered coils closer  
312 together causing them to have restricted mobility, and hence increased solid-like character.

313 The midpoint temperature ( $T_m$ ) is the midpoint between the two linear regions  
314 corresponding to disordered and ordered polymer conformations. For the helix-coil transition in  
315 Fig. 4a,  $T_m = \sim 50$  °C.  $T_m$  represents the temperature at which the number of moles of saccharide  
316 residues in the disordered and ordered states are equal, and where the events of helix growth  
317 (zipping-up) and decay (un-zipping) are occurring at equal rates (Goodall & Norton, 1987).

318 During the frequency test on cooling (Fig. 4b), we essentially monitored the formation of a  
319 quiescently cooled gel, since the test was performed immediately after melting the fluid gel, and  
320 with no external shear force applied, from 90 – 20 °. As with heating, three distinct  
321 conformational regions exist in the elastic modulus data: 90 – 60 °C, the solution exists in the  
322 disordered state; 60 – 40 °C, the coil-helix transition occurs; 40 – 20 °C, formation of an ordered  
323 structure via aggregation. For the coil-helix transition, the  $T_m = \sim 50$  °C. The coil-helix transition  
324 has been described as a dynamic equilibrium where the rate constant at  $T_m$  is zero, and on



325 decreasing temperature the likelihood of growth events is increased relative to those of decay  
326 (Norton et al., 1983). Thus molecular rearrangements to both quiescent and fluid gels, via decay  
327 and growth mechanisms are reduced on decreasing temperature below  $T_m$ . The growth  
328 mechanism that is most likely to be responsible for the formation of the quiescent *LA* gellan gel  
329 in Fig. 4b, involves intermolecular association of the polymer molecules in the solution during  
330 the coil-helix transition, which result in the formation of small, soluble clusters of chains. As the  
331 extent of association increases (as the temperature is reduced) these clusters grow, until  
332 ultimately they interlink to span the entire volume of the solution and form a continuous  
333 crosslinked network (Morris et al., 2012).

334 For both Figs. 4a and b, the elastic modulus values across the temperature range are greater  
335 than those recorded for the viscous modulus from the point of gel ordering completion to the  
336 region where the solution is first in the disordered coil state. During this latter region, the values  
337 follow identical pathways. Interestingly, the elastic modulus values reported for the formation  
338 of the quiescent gel are of a higher magnitude ( $\sim 5$  times), than those for the melting of the fluid  
339 gel. In the absence of shear, the re-ordering during cooling can take place between particles (as  
340 well as within particles) i.e. interparticulate helices form, thus the products require a greater  
341 force to allow the particles to move past one another and store more energy (greater elastic  
342 modulus) (Garrec & Norton, 2012).

343 It has been suggested that the *LA* gellan gum fluid gel particles consist of an internal  
344 polymeric network, and therefore the elasticity of individual particles is equivalent to that of the  
345 quiescently cooled gel (Caggioni et al., 2007). The fact that very similar viscoelastic responses  
346 with temperature were observed for the fluid gel produced under shear using the rheometer,  
347 and with the quiescent gel formed on cooling in the absence of shear (Figs. 4a and b) suggests  
348 that this is correct.

349 The fluid gel sample produced using the jacketed pin-stirrer exhibited similar rheology with  
350 temperature on heating and cooling to that formed using the rheometer. On heating and  
351 cooling, the three distinct conformational regions were also observed in the elastic modulus  
352 data, and as expected the temperature ranges for each were identical for both experiments.  
353 These were: 20 – 30 °C, disassembling of the aggregated stable structures (heating) and formation  
354 of an ordered structure (cooling); 30 – 50 °C, helix-coil transition (heating) and coil-helix  
355 transition (cooling); 50 – 90 °C, the solution exists in the disordered coil state. For each system  
356 (fluid and quiescent respectively) the mid-point temperature was  $T_m = \sim 40$  °C. The 10 °C  
357 difference in this mid-point temperature value compared with that observed for the gels  
358 produced using the rheometer method, is accounted for by the thermal lag in the oscillatory  
359 measurements due to the mass of the geometry used. However, overall these values together  
360 with the helix-coil and coil-helix transition ranges recorded for each fluid gel production method  
361 agree well with the gel phase transition temperatures reported in the literature for *LA* gellan  
362 gum (García et al., 2011). They also reinforce the ordering initiation temperature of  $\sim 42$  °C  
363 from the viscosity measurements during *LA* gellan gum fluid gel formation within the rheometer  
364 (Figure 1).

365 The fluid gels formed using the jacketed pin-stirrer also had elastic modulus values across  
366 the temperature range that were greater than those recorded for viscous modulus. As  
367 described for the former production method, the elastic modulus values reported for the  
368 quiescent gel using this method were also of a higher magnitude than those for the melting of  
369 the fluid gel. Additionally, the viscoelastic measurements obtained using this method were  
370 found to be approximately 3 times larger than those obtained when using the rheometer to  
371 produce a fluid gel. This can be explained through the fact that the fluid gel sample formed  
372 using the jacketed pin-stirrer was allowed to rest for 24 hours after production, prior to  
373 rheological testing where this is not possible when the fluid gel is made directly on the  
374 rheometer itself.

375 For each production method, the fluid gel samples displayed similar viscous modulus  
376 measurements. The fluid gels formulated using the jacketed pin-stirrer displayed on melting  
377 viscous modulus values almost identical to those of the elastic moduli up until the end of the  
378 helix-coil transition at 50 °C. Whilst, the fluid gels formulated using the rheometer displayed on  
379 cooling viscous modulus values almost identical to those of the elastic moduli up until the start  
380 of the coil-helix transition at 60 °C. Thereafter, a steady increase was observed, which is  
381 reflective of the samples' elastic distortion of the disentangled/entangled network during  
382 structural rearrangement, in response to the low-amplitude oscillatory deformation  
383 perturbation (Morris et al., 2012).

384

### 385 3.2. Texture analysis and post-production exposure to acidic environment

386 The previous section compared the two methods of fluid gel production via the use of a  
387 rheometer and a jacketed pin-stirrer. The rheometer is advantageous in that it provides a  
388 constant and near uniform shear field, with a high degree of control. However, the technique is  
389 not particularly typical of industrial processes. The jacketed pin-stirrer method on the other  
390 hand, is more representative for commercial processes, thus this was the method chosen for the  
391 direct and post-production acid exposure experiments.

392 Post-production exposure of the *LA* gellan gum fluid gels to an acidic environment can be  
393 used to assess their response to prolonged acid conditions that are similar to those found in the  
394 stomach during digestion. It is widely accepted (Yamamoto & Cunha, 2007; Norton et al., 2011)  
395 that *LA* gellan is acid-sensitive. Research (Norton et al., 2011; Bradbeer et al., 2014) has shown  
396 that *LA* gellan gum gel strength increases on exposure to an HCl acid soak. This is a result of the  
397 existing gel networks already partially formed within the gels prior to soaking being reinforced  
398 on acid exposure. Ordering and aggregation between individual hydrocolloid chains occurs  
399 immediately upon acidification (Moritaka et al., 1995). An increase in gel strength was observed

400 qualitatively with the 1 wt. % LA gellan gum fluid gels (1500 rpm shaft speed; 100 ml/min pump  
401 rate; 20 °C water bath) post HCl acid bath soak, by the change in gel state from a fluid to a solid.  
402 Fig. 5 shows the resulting true stress/true strain curves following compression tests performed  
403 on these solid gels, as a function of the number of runs that the gel was processed through the  
404 pin-stirrer unit during fluid gel formation. Each of the samples displayed purely brittle fracture  
405 behaviour, with a rapid decrease in the applied stress once the gels fail at strains between 40 –  
406 42.5 %, where a clear fracture point is observed.

407 In terms of gel stiffness and their resistance to fracture after soaking in acid, minimal  
408 differences (0.0260 MPa and 0.0250 J/m<sup>2</sup> respectively) were found between the fluid gel  
409 samples collected after the first two cycles through the pin-stirrer. However, for the sample  
410 collected after three runs, a reduction in gel strength and stiffness (0.5907 MPa) was observed; a  
411 smaller force (0.2347 J/m<sup>2</sup>) was needed to induce fracture (Fig. 5). This sample was exposed to  
412 the shear force and cooling environment within the pin stirrer for a greater time period than the  
413 other samples. It has been reported that interparticle interactions diminish with continued  
414 cooling and shearing during processing, although some interactions will still persist (Cox et al.,  
415 2009). Therefore, it would be expected for the fluid gel structure to be weaker and less  
416 responsive to the acid exposure, resulting in a weaker acid gel. In addition, the cooling rate  
417 implemented (32 ml/min) during the fluid gel production was fairly high. Generally, during high  
418 cooling rates the gelation process dominates and fluid gels are produced that have high storage  
419 modulus characteristics, and thus more solid-like behaviour indicating larger and more  
420 irregularly shaped particles (Cox et al., 2009), essentially resulting in a chopped quiescent gel  
421 rather than a fluid gel. As a result, a heterogeneous fluid gel sample is produced, consisting of  
422 fluid gel particles along with broken-up fragments, which ultimately leads to a weaker gel with a  
423 reduced packing fraction. This together with the diminished interparticle interactions within the  
424 gel structures reduces the subsequent response to the acid exposure, explaining why the weak  
425 gel behaviour is observed.

426

## 427 3.3. Direct exposure to acidic environment

428 A variety of acid fluid gel structures can be generated through the direct addition (dropwise)  
429 of 0.5 % HCl acid to the aqueous *LA* gellan solution at natural pH, during the production process  
430 prior to shearing inducing a range of pH environments. However, the rate of aggregation using  
431 this direct HCl addition method is expected to be much higher than the rate achieved by  
432 thermally, or ionically induced gelation. This suggests that the extent of cross-linking between  
433 the polymer chains (and therefore the elasticity and strength of the overall *LA* gellan acid fluid  
434 gel structure) during direct addition of HCl becomes less efficient than when cross-linking occurs  
435 in thermally or ionically set gels.

436 Figure 6 shows flow curve data as a function of pH, for 1 wt. % *LA* gellan gum fluid gels  
437 produced within the jacketed pin-stirrer (1500 rpm shaft speed, 100 ml/min pump rate, 15 °C  
438 water bath), measured 24 hours after production. In terms of viscosity response on pH  
439 reduction the acid gels with acidity from natural pH – pH 3 displayed much higher resistances to  
440 flow than those at pH 2. Initial acidification from neutral pH to pH 3.5 causes a large increase in  
441 ordering and aggregation to occur between the individual hydrocolloid chains immediately upon  
442 acidification (Moritaka et al., 1995), resulting in marked increases in viscosity. However, on  
443 further decrease in pH below the  $pK_a$  of the glucuronate residues of gellan, at  $\sim$  pH 3.4 (Haug,  
444 1964), over-structuring occurs, causing the gels at pH 2 to be extremely weak and turbid, and  
445 showing precipitation of the polymer (Moritaka et al., 1995). As a result an almost sponge-like,  
446 weak structure is created rather than a stronger homogeneous one. It is very likely that gel  
447 structuring at pH 2 is disrupted by the shear applied during the acidification process, and that  
448 much lower levels of shear-induced disruption would occur for the mixing conditions found in  
449 the stomach. Singh (2007) reported that the shear force on the surface of a food particle ( $\sim$

450 0.00043 N) is insignificant in comparison to the crushing or grinding force due to the walls of the  
451 stomach (0.2 N).

452 At pH 2, the 1 wt. % LA gellan gum acid fluid gel displayed non-Newtonian shear-thinning  
453 flow behaviour i.e. the fluids decrease in viscosity with increasing shear stress (Fig. 6). However,  
454 for the respective gels with acidities from natural pH – pH3, a range of individual viscosity yield  
455 stresses appear to exist between the approximate shear stress range of 0.07 – 1 Pa. For each  
456 pH, a minimum force exertion (shear stress) was required to induce flow behaviour. As soon as  
457 the yield stresses had been exceeded, the liquid samples then proceeded to display shear-  
458 thinning behaviour (Cui, 2004). This pseudoplastic flow behaviour is characteristic of the stiff  
459 polysaccharide chains present in gels of this type under such reduced pH conditions (Cui, 2004).

460  
461 Phase separation is observed as a decrease in the elastic moduli values at 1.00 Hz in Fig. 7  
462 for the 1 wt. % LA gellan gum fluid gels produced within the jacketed pin-stirrer (1500 rpm shaft  
463 speed, 100 ml/min pump rate, 15 °C water bath) at pH 2, confirmed by the observation of  
464 turbidity at the pH level where their strength began to decrease.

465 The elastic modulus data, which was measured during a temperature ramp (10 – 90 °C),  
466 shows (Fig. 7) that, for the remaining pH values, the elasticity and strength of the gels increases  
467 systematically with pH reduction. This demonstrates that the gels become stronger, as a result  
468 of greater numbers of cross-links between the polymer chains promoted at the lower pH  
469 conditions, and is in good agreement with the flow curve data reported in Fig. 6. Albeit in Fig. 6,  
470 the pH 4 acid fluid gel displays the greatest strength amongst the gels, compared to the pH 3  
471 acid fluid gels in Fig. 7.

472 The elastic modulus data (Fig. 7) shows that with decreasing pH gelation occurs sooner. This  
473 is observed as the onset of gelation at higher temperatures for the acid fluid gels at lower pH.  
474 The elastic moduli recorded for the acid fluid gel samples at acidities of natural pH and pH4 are

475 similar with increasing temperature. Disassembling of the aggregated stable structures occurs,  
476 breaking inter-helical bonds etc. (pH 5: 10 – 40 °C; pH 4: 10 – 50 °C), before moving into the  
477 conformational helix-coil transition phase (pH 5: 40 – 70 °C; pH 4: 50 – 80 °C), and finally the  
478 region where the solution exists in the disordered coil state (pH 5: 70 – 90 °C; pH 4: 80 – 90 °C).  
479 The elastic moduli recorded at pH 3 exhibit extended fluid gel deformation events, as a  
480 consequence of the speed of the gelation process. Between 10 – 40 °C, a constant elasticity  
481 level is observed, which represents a minimum particle size unaffected by cooling or heating  
482 below the final gelation set point at 40 °C. During heating between 40 and 70 °C de-fixing of  
483 the gelled particle structures proceeds, disordering the hydrocolloid chains within the particles,  
484 creating disordered chain segments at the surface of the particle giving them a ‘hairy’ nature  
485 (Cox et al., 2009). The completion of the melting occurs after 90 °C. Between 70 and 90 °C, full  
486 conformational disordering and aggregate break up takes place. The absence of a region,  
487 where the solution exists in the disordered coil state is explained by the fact that during  
488 formation on heating under such low pH conditions, ordering and aggregation between the  
489 individual gellan polymer chains occurs immediately upon acidification (Moritaka et al., 1995).

490 The effects of pH on gellan gelation have been associated with the lower charge density of  
491 chains at lower pH values (Horinaka et al., 2004). They suggested that, since the carboxyl group  
492 included in the gellan chain is a weak acid group, and that the degree of dissociation of carboxyl  
493 groups in aqueous systems is dominated by the dissociation constant, the lower the pH value,  
494 the smaller the fraction of dissociated carboxyl groups, making the gellan a less anionic  
495 polyelectrolyte. It is then expected that the less anionic chains aggregate with one another  
496 more easily, due to the lower electrostatic repulsion. In addition, the decrease in electrostatic  
497 repulsion between the intramolecular segments may result in the suppression of gellan chain  
498 expansion (Yamamoto & Cunha, 2007), making association even easier. This overall ease of  
499 aggregation caused by decreasing the pH via the direct addition of HCl acid explains the

500 decrease in time to reach the gel point, the more densely linked structure at equilibrium and  
501 consequent changes in gel strength, deformability and turbidity.

502

503 The *LA* gellan gum acidified fluid gels were assessed post-production in terms of their  
504 response to a prolonged exposure to an HCl acid environment as described in Section 3.2.  
505 Quiescent *LA* gellan gum gels were also exposed in this way for fluid gel comparison. Fig. 8  
506 shows the resulting true stress/true strain curves following compression tests performed on the  
507 gel samples, as a function of pH. Each of the samples displayed purely brittle fracture  
508 behaviour, with a rapid decrease in the applied stress once the gels fail at strains between 20 -  
509 35 %, where a clear fracture point is observed.

510 The gelation of gellan can be induced by a reduction in pH, with Grasdalen and Smidsrød  
511 (1987) describing HCl acid as “the most potent gel-former”. However, the variation in gel  
512 strength with increasing concentration of acid is not monotonic. The addition of acid results in  
513 a large increase in break stress (Picone & Cunha, 2011) down to pH 3.5, where it then proceeds  
514 to decrease with further pH decline (Norton et al., 2011), until the gels become extremely weak  
515 and exhibit precipitation of the polymer by pH 2 (Moritaka et al., 1995). This behaviour is  
516 particularly evident in Fig. 8, where the *LA* gellan acid fluid gels at acidities of natural pH were  
517 stiffer and more resistant to fracture than those at lower pH levels. In fact, for the samples with  
518 acidities of pH 3 and pH 2, the compression tests were unable to be performed, since the gels  
519 were too weak to be tested, following their collapse on removal from the visking tubing.

520 For both the 1 wt. % *LA* gellan gum quiescent and acid fluid gels at acidities of pH natural  
521 and pH 4, we observe a reduction in the failure stress and a shift in strain to lower values with  
522 decreasing pH. The shift in strain values is generally indicative of an increase in gel brittleness,  
523 whilst the reduction in break stress can be attributed to the combination of the acid  
524 concentration and low gellan concentration within the sample, giving rise to a weak network.



525 Minimal differences (0.0565 MPa and 0.0092 J/m<sup>2</sup> respectively) are observed between the  
526 total work of failure and bulk modulus data for the *LA* gellan gum quiescent and acid fluid gels  
527 at pH 4. However, slightly larger differences (0.0615 MPa and 0.2317 J/m<sup>2</sup> respectively) were  
528 observed for the samples at their natural pH, with the quiescent gel sample having both the  
529 larger break stress and strain. This observation further reinforces the outcomes of the elasticity  
530 data collected for that of the 2 wt. % *LA* gellan gum quiescent and acid fluid gels at their natural  
531 pH, in which higher values were reported for the quiescent gel. This can be explained by the  
532 theory that in the absence of shear (i.e. for quiescent gels), the re-ordering during cooling can  
533 take place between particles (as well as within particles) i.e. interparticulate helices form, thus  
534 the products require a greater force to allow the particles to move past one another and store  
535 more energy (greater elastic modulus) (Garrec & Norton, 2012). In turn, stronger gels are  
536 formed that exhibit greater resistance to deformation past the elastic limit.

537

#### 538 4. Conclusions

539 With the potential for use of fluid gels in low fat and reduced calorie foods, as well as within  
540 self-structuring satiety based food formulations that take advantage of the natural digestive  
541 processes, their formation and properties were explored.

542 We have shown that by controlling the material (*LA* gellan gum and HCl acid  
543 concentrations) and process (shear and cooling rates during fluid gel production) parameters it  
544 is possible to manipulate the properties and size of individual particles as well as the  
545 interactions/bridging between them. Both the fluid and acidified fluid gels produced using  
546 these production methods displayed non-Newtonian shear-thinning flow behaviour. Very close  
547 similarities were observed between the fluid gels produced by both methods, suggesting that  
548 the two distinctive processes are capable of producing particle sizes on similar scales.

549 It was shown through the rheological and texture analysis data that compared with the fluid  
550 gels, the quiescent gels were characteristically stronger, due to the formation of interparticulate  
551 helices in the absence of shear on cooling. Fluid gels are said to have fewer and shorter helices  
552 than quiescently cooled gels due to the disruption of molecular ordering caused by the applied  
553 shear during their production (Garrec & Norton, 2012). Despite this, the coil-helix transition and  
554 mid-point temperature data recorded for both gel types, fell within the same range (30 – 70 °C),  
555 which was agreeable with the literature (García et al., 2011).

556 Post-production and direct exposure of the fluid gels to an acidic environment resulted in an  
557 increase in gel strength. However, the variation in gel strength with increasing concentration of  
558 acid (via direct-addition) was not monotonic, with phase separation of the polymer and solvent  
559 proceeding for the fluid gel samples with acidities below pH 3. The elastic modulus data for the  
560 acidified fluid gels showed that decreasing the pH, leads to a subsequent reduction in the time  
561 taken for gelation to occur.

562 These findings are promising as they clearly demonstrate that the structuring of *LA* gellan  
563 gum fluid gels can be controlled by both the process used for their production and by exposure  
564 to an acidic environment. The fluid gel remains liquid and therefore has potential for inclusion  
565 in the structure of many different types of foods, and yet still structures when exposed to acidic  
566 conditions mimicking the stomach environment in the same way as the solid quiescent gel,  
567 potentially providing an increase in satiety level.

568

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572

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**Figure Captions**

Figure 1. Fluid gel production: viscosity profiles during the sheared cooling of 2 wt. % low acyl gellan gum solutions at 3 °C/min with varying applied shear rates (50 – 1000 s<sup>-1</sup>).

Figure 2. Shear thinning behaviour of 2 wt. % low acyl gellan gum fluid gels, produced within a rheometer (50 s<sup>-1</sup> applied shear rate, 3 °C/min cooling rate) and a jacketed pin-stirrer (1500 rpm shaft speed, 100 ml/min pump rate, 20 °C water bath, 30 °C/min cooling rate).

Figure 3. Storage and loss moduli for 2 wt. % low acyl gellan gum fluid gels, produced within a rheometer (50 s<sup>-1</sup> applied shear rate, 3 °C/min cooling rate) and a jacketed pin-stirrer (1500 rpm shaft speed, 100 ml/min pump rate, 20 °C water bath, 30 °C/min cooling rate).

Figure 4. Storage and loss moduli at 1.585 Hz for 2 wt. % low acyl gellan gum fluid gels, produced within a rheometer (50 s<sup>-1</sup> applied shear rate, 3 °C/min cooling rate) following frequency sweeps (0.1 – 10 Hz) every 10 °C during temperature heating (a) and cooling (b) ramps.

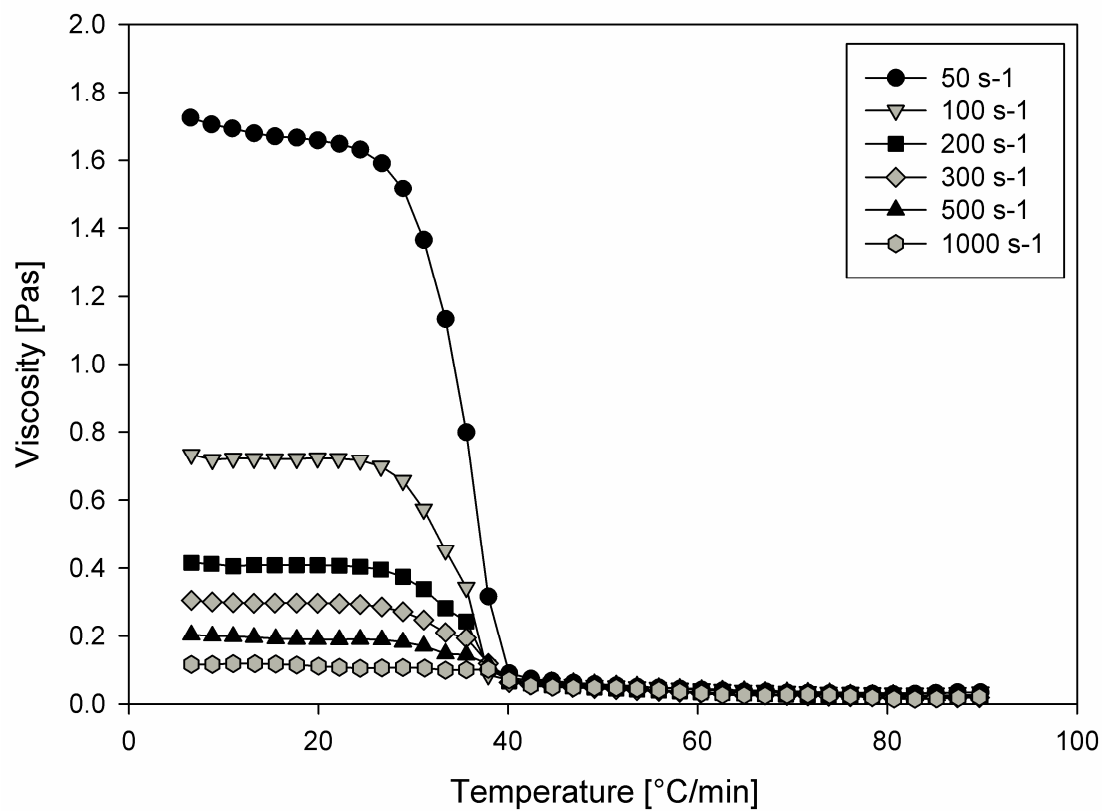
Figure 5. True stress/true strain curves for 1 wt. % low acyl gellan gum fluid gels, produced within a jacketed pin-stirrer (1500 rpm shaft speed, 100 ml/min pump rate, 20 °C water bath, 32 °C/min cooling rate) following exposure to a 0.5 % HCl acid bath soak overnight. All measurements were carried out in triplicate with a compression rate of 1 mm/s. Where error bars cannot be observed, they are smaller than the data points.

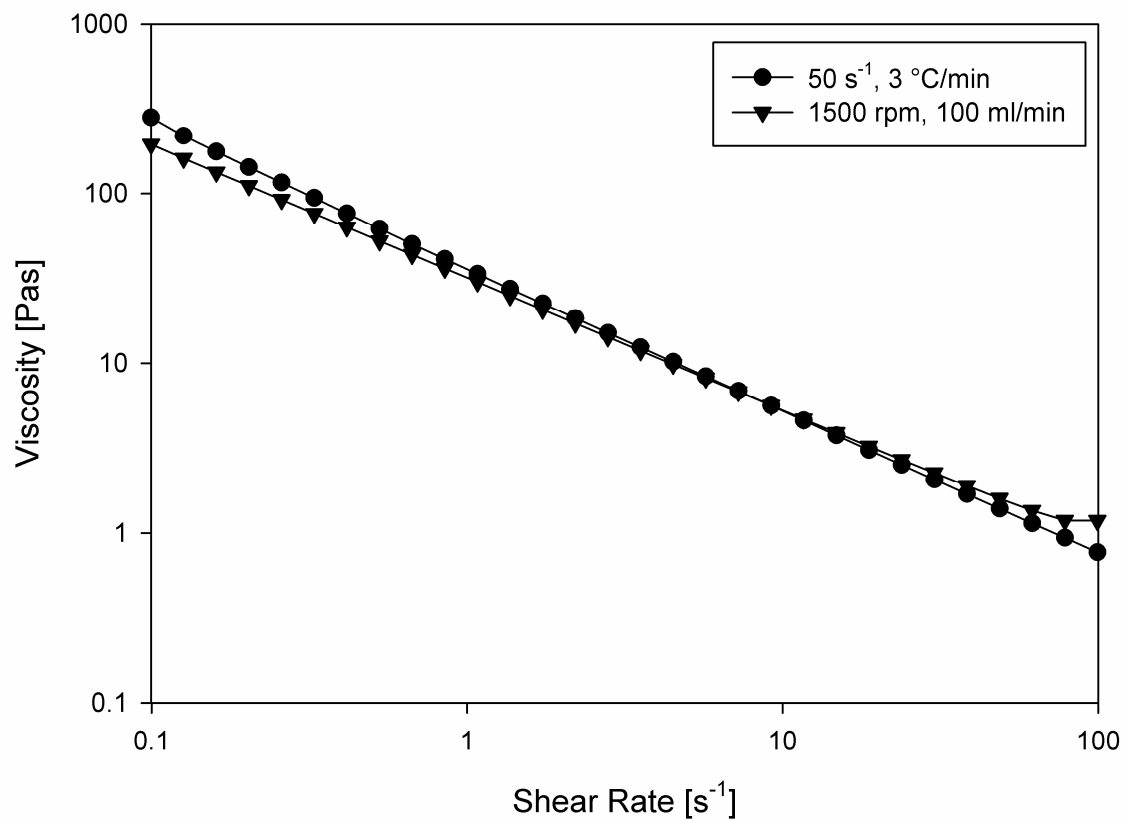
Figure 6. Flow curve data as a function of pH, for 1 wt. % acidified low acyl gellan gum fluid gels, produced within a jacketed pin-stirrer (1500 rpm shaft speed, 100 ml/min pump rate, 15 °C water bath, 32 °C/min cooling rate).

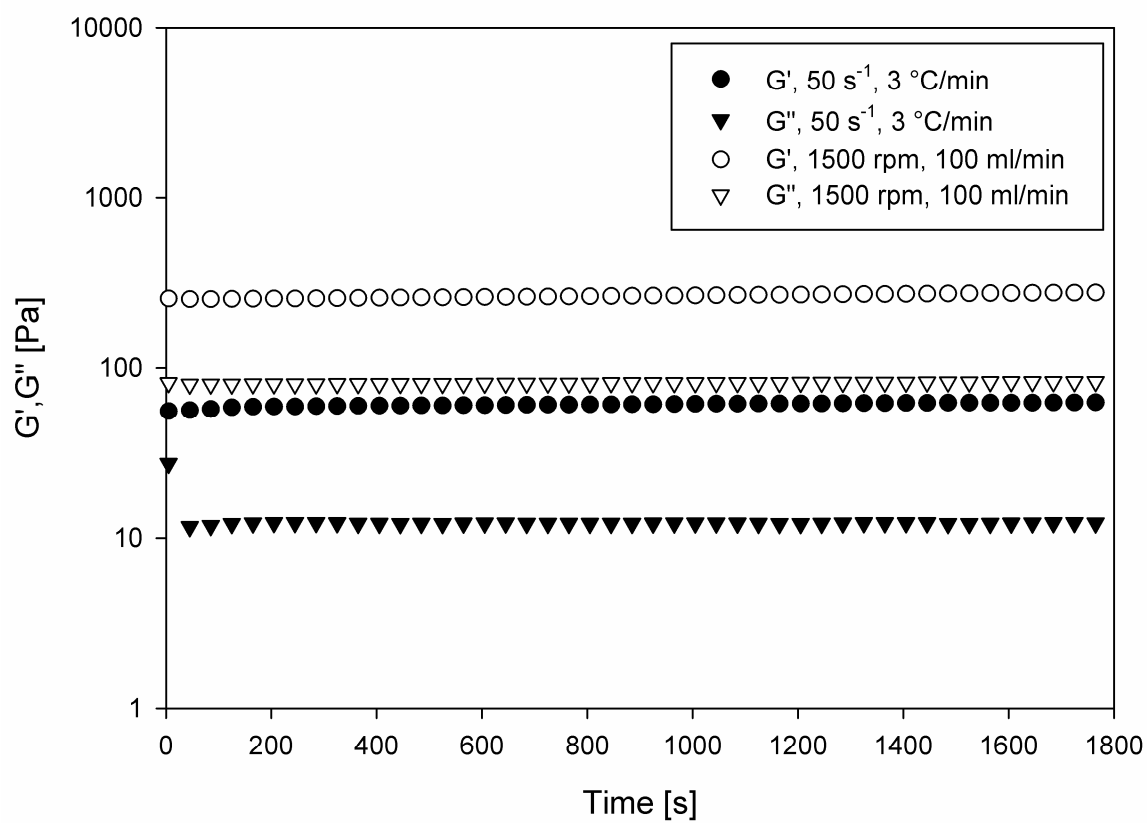
Figure 7. Storage moduli at 1.00 Hz as a function of pH, for 1 wt. % acidified low acyl gellan gum fluid gels, produced within a jacketed pin-stirrer (1500 rpm shaft speed, 100 ml/min pump rate, 15 °C water bath, 32 °C/min cooling rate) following frequency sweeps (0.1 – 10 Hz) every 10 °C during a temperature heating ramp.

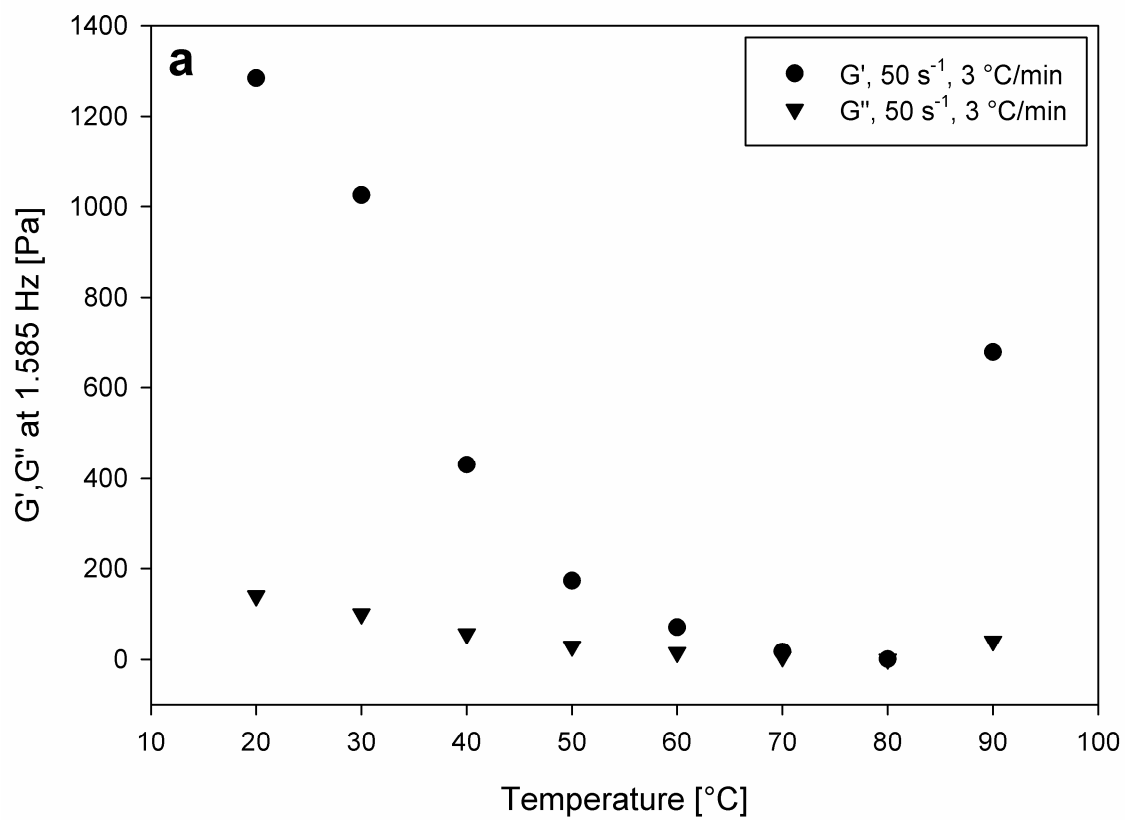
Figure 8. True stress/true strain curves as a function of pH, for 1 wt. % acidified low acyl gellan gum fluid gels, produced within a jacketed pin-stirrer (1500 rpm shaft speed, 100 ml/min pump rate, 15 °C water bath, 32 °C/min cooling rate) and 1 wt. % acidified quiescent gels, following exposure to a 0.5 % HCl acid bath soak overnight. All measurements were carried out in triplicate with a compression rate of 1 mm/s. Where error bars cannot be observed, they are smaller than the data points.

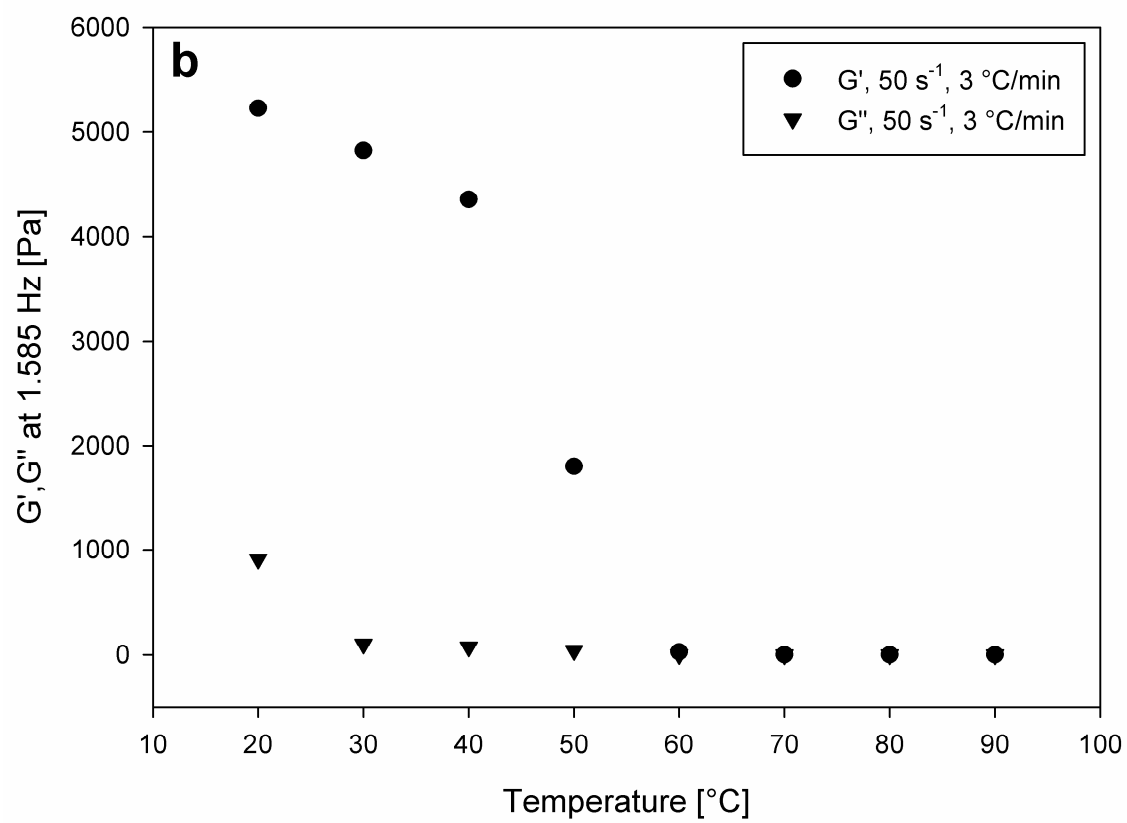


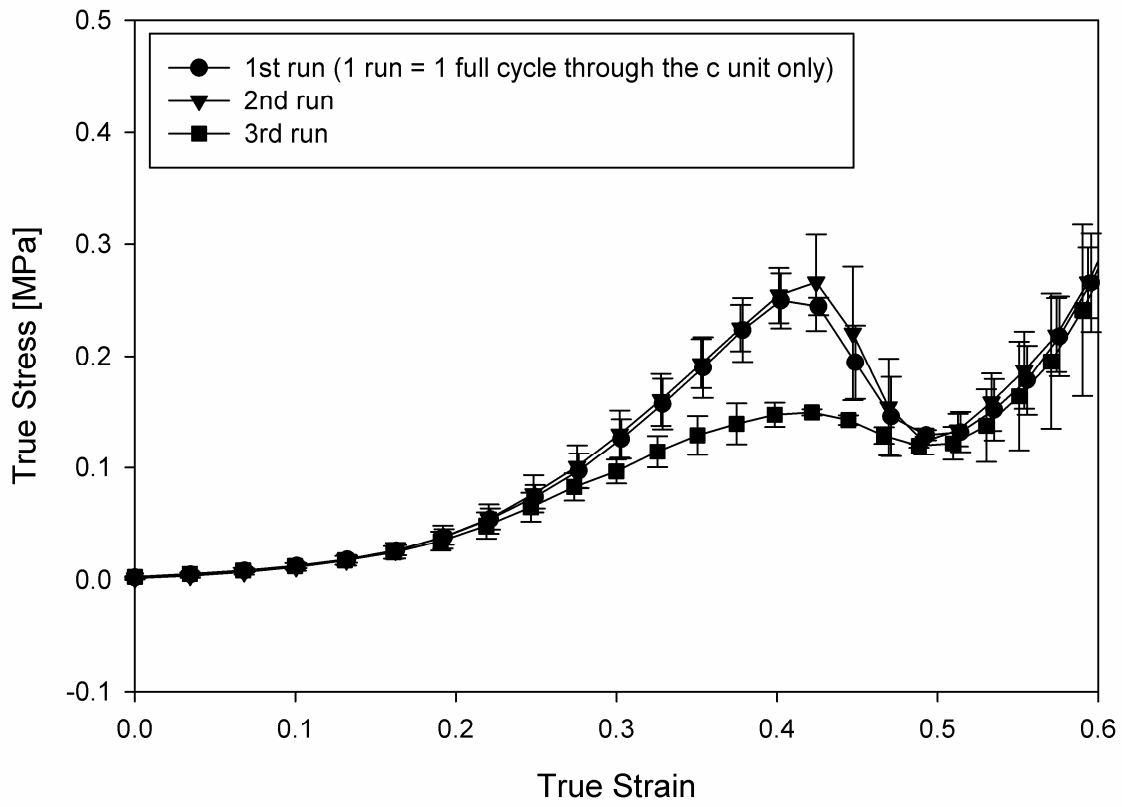


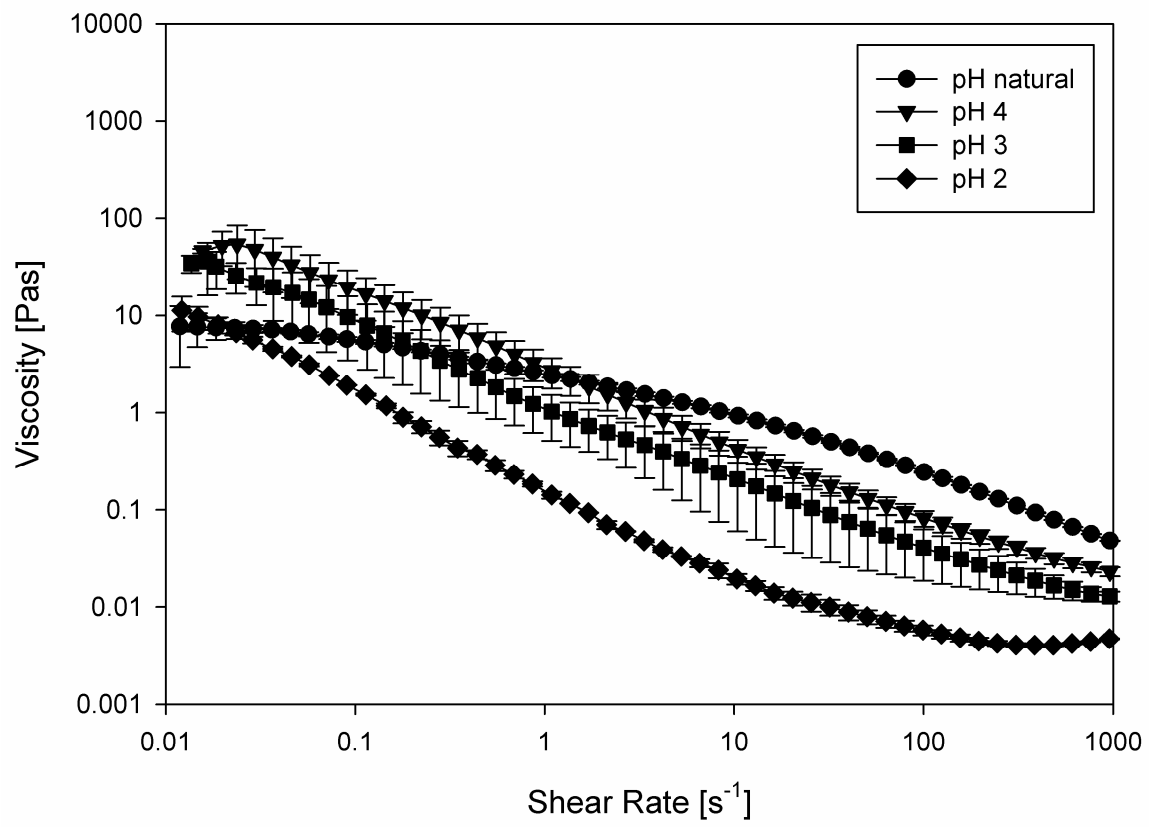


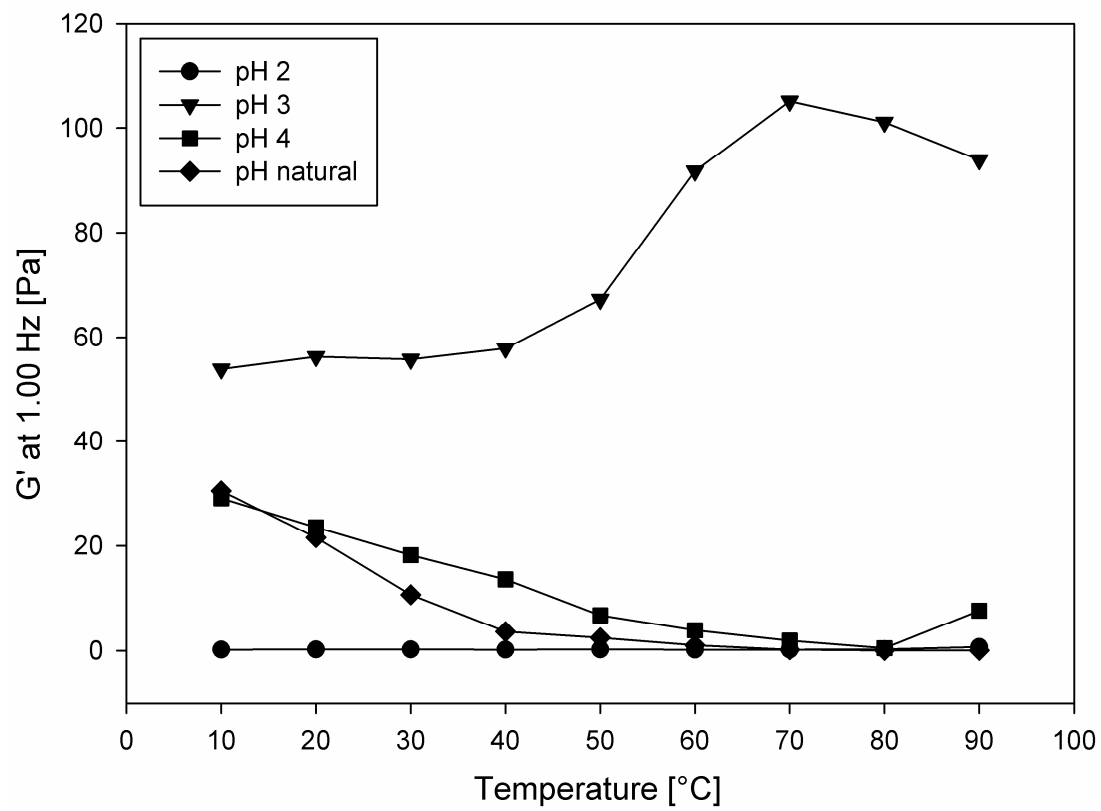




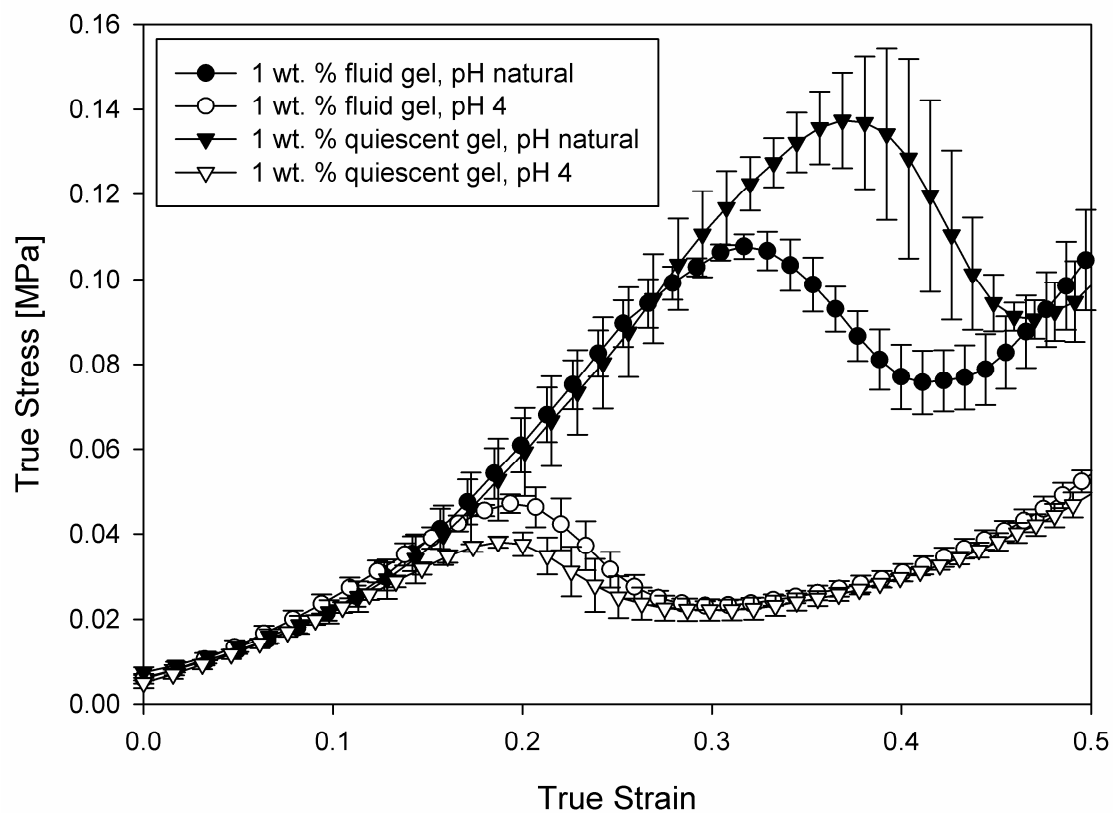












**Highlights**

- Low acyl gellan gum fluid gels were prepared.
- *in vitro* acid-induced gelation of the fluid gel systems was investigated.
- Fluid gel properties are dependent on pH, concentration and processing.
- Post-production exposure to acid increased fluid gel strength markedly.