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Geographical barriers, environmental challenges, and complex migration events during the peopling of Eurasia

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1 Abstract

2 Previous human genetic studies, based on sampling small numbers of 3 populations, have supported a recent Out-of-Africa dispersal model with minor additional input from archaic humans. Here, we present a novel dataset of 379 4 5 high-coverage human genomes from 125 populations worldwide. The 6 combination of high spatial and genomic coverage enabled us to refine current 7 knowledge of continent-wide patterns of heterozygosity, long- and short-8 distance gene flow, archaic admixture, and changes in effective population size. 9 Compared to Eurasians, the examined Papuan genomes show an excess of highly 10 derived modern human haplotypes and deeper split times from Africans. This is compatible with an early and largely extinct expansion of modern humans Out-11 12 of-Africa. This is also indicated by the Western Asian fossil record and the recent 13 discovery of modern human and Neanderthal admixture 100,000 years ago, 14 which significantly predates the main Out-of-Africa expansion of modern 15 humans. Our tests of positive and balancing selection highlight a number of new metabolism- and immunity-related loci as candidates for local adaptation. 16

1 Introduction

2

3 Previous genome-wide sequencing efforts have aimed at characterizing 4 common variants in the human genome by targeting moderate numbers of 5 geographically distinct populations and combining genotyping, low-coverage 6 whole-genome and exome sequencing data^{1,2}. High-coverage whole-genome sequence studies have so far been limited to focusing on specific populations³ 7 8 and geographic regions⁴⁻⁷, or targeted at specific diseases, e.g. cancer⁸. 9 Nevertheless, the availability of high-resolution genomic data has led to the 10 development of new methodologies for inferring population history⁹⁻¹³ and refuelled the debate on the mutation rate in humans¹⁴. From these initial studies, 11 12 the unprecedented potential of high-coverage genomic data to reveal 13 geographically specific patterns of genetic diversity has become evident. Here, 14 we present a new dataset of high-coverage human genomes from nearly 150 15 populations distributed worldwide. This comprehensive population sample, 16 which, among others, includes new samples from Siberia, Island Southeast Asia 17 and Papua New Guinea, allows us to infer human demographic history in finer 18 detail and to investigate signatures of natural selection. We estimate split times 19 among populations, test how the different populations conform to the model of a 20 single expansion out of Africa with archaic admixture (OoA), and assess patterns 21 of neutral and adaptive variation associated with different environments.

22 **Data description.** Our worldwide panel of 483 high-coverage human 23 genomes from 148 populations includes 379 new genomes from 125 populations (Figure 1) (Table S1.7-I). All genomes were sequenced by Complete Genomics 24 25 Inc. and mapped, called and phased using the same bioinformatic pipeline, 26 thereby minimizing platform and processing bias conflicts (Supplementary 27 Section 1.1). We maximised the number of groups in this study by limiting the 28 number of individuals to three for most populations. Existing SNP-chip 29 information was used in most cases to choose unrelated individuals and to avoid 30 cases of recent admixture between geographically distant populations. For 31 demographic inferences, we combined previously published and new sequences 32 to generate a geographically balanced sample (Figure 1, Diversity Set, N=447). 33 For selection scan analysis, we focussed on well-covered geographic regions,

combining a subset of the Diversity Set with published sequences (Figure 1,
 Selection Set, N = 396, Supplementary Section 1.7).

3 The current view on the peopling of Eurasia. The timing and route of 4 human movements out of Africa, as well as the degree to which migrating 5 populations interbred with archaic humans during their expansion across 6 Eurasia, have been the subject of considerable debate over the past two decades¹⁵. Fossil evidence demonstrates that *Homo sapiens* was present in 7 8 Levant between ca. 120-70 kya¹⁶. This colonization has, however, been viewed as a failed expansion OoA¹⁷. Nevertheless craniometrical studies of African and 9 Asian populations¹⁸ and fossil data from eastern Asia¹⁵, including the very recent 10 reports of human remains in China from before 80 kya¹⁹, admit the possibility of 11 an early dispersal. Moreover, archaeological finds in Arabia and South Asia 12 13 indicate the presence of human populations in ameliorated environments 14 between 125 and 75 kya¹⁵. Previous genetic analyses of living populations have 15 revealed a steady decline in genetic diversity with distance from Africa, which is 16 consistent with a serial founder event model²⁰⁻²².

17 Ancient DNA (aDNA) sequencing has further contributed to our 18 understanding of the peopling of Eurasia and revealed admixture with at least 19 two archaic human lineages. Neanderthals have left a genetic signature in all non-Africans from around 55 kya²³, while admixture with Denisova was largely 20 21 restricted to the ancestors of modern Papuan and Australian populations²⁴. In addition aDNA from modern humans indicates population structuring and turnover, 22 23 but little additional archaic admixture, in Eurasia over the last 35-45 thousand vears²⁵⁻²⁷. Overall, these findings provide support for a model^{28,29} by which the 24 25 vast majority of human genetic diversity outside Africa derives from a single dispersal event that was followed by admixture with archaic humans^{23,29}. 26

27

28 Results

29

Population structure in Eurasia. We used ADMIXTURE ³⁰ to infer
 genetic structure and admixture patterns in our Diversity Set (Figure 1 for K=8
 and K=14, Supplementary Sections 2.1.1-2 for Ks=2-14). Western Eurasia is
 characterised by two predominant genetic clusters, whilst the much less

populous Siberia shows evidence of three differentiated clusters (Figure 1,
K=14), consistent with previous reports³¹. Island Southeast Asia also exhibits
high population structuring. Both these latter two regions have histories of small
effective population densities (Figure S2.2.3-I, as inferred by MSMC¹⁰), which
increase genetic drift and local differentiation.

We compared the haplotype similarity of our samples using fineSTRUCTURE³². 6 This shows that our sampling strategy retains the power to identify population 7 8 structure at fine resolution. We inferred 106 genetically distinct populations 9 forming 12 major regional clusters, corresponding well to the 148 self-identified 10 population labels. This clustering is based on an individual level measure of haplotype similarity, which is sensitive to small and recent genetic 11 12 differentiation, and forms the basis for the groupings used in the scans of natural 13 selection.

14

15 The importance of geography. The dense geographic coverage of our samples allowed us to investigate the importance of geographic barriers in 16 17 shaping gene flow. We did so by interpolating genetic variation spatially, 18 focussing on measures of pairwise similarity between genomes in pairs of 19 populations (Supplementary Section 2.2.2). We considered several similarity measures (Supplementary Section 2.2.2) and report gradients of allele 20 21 frequencies in Figure 2. We validated the approach using isolation by distance 22 patterns across major gradients and migration surfaces reconstructed using 23 EEMS³³. The main features are the East-West Eurasian split near the Ural 24 Mountains, and the Tibetan plateau, as expected. To formally link these patterns 25 to geographic features, we quantified the effects of elevation, temperature, and 26 precipitation on genetic gradients while controlling for pairwise geographic 27 distances (Supplementary section 2.2.2). This analysis identifies precipitation 28 and elevation as environmental variables that correlate most strongly with the 29 genetic gradients estimated from allele frequencies (inset of Figure 2).

30 **Differentiation in Eurasia after the expansion out of Africa.** We 31 observe the well-documented decrease in the number of heterozygous sites per 32 genome as a function of distance from East Africa (Figure 1); a pattern consistent 33 with a model of serial founder events during the peopling of Eurasia^{20,21}.

1 While this pattern is relatively smooth, there are a number of discontinuities that 2 potentially highlight geographic regions that acted as barriers during the 3 expansion. Such discontinuities can be visualised by plotting the outgroup f_3 statistic^{13,34} in the form $f_3(X, Y;$ Yoruba), which here measures shared drift 4 5 between non-African populations *X* and *Y* from Yoruba as an African outgroup (Supplementary Section 2.2.6, Figures S2.2.6-I-II). We tested all possible 6 combinations of X and Y within our Diversity Set and 25 published aDNA 7 8 genomes. While recapitulating the main groupings inferred by ADMIXTURE and 9 fineSTRUCTURE, the outgroup f_3 statistic also flags populations that have experienced additional drift. For example, the f_3 values are similar for 10 comparisons within Caucasus populations and between populations from 11 12 Europe and Caucasus. The f_3 values for comparisons within Europe, however, are 13 significantly higher. These findings are consistent with a simple model of 14 population splits within the Caucasus dating to approximately the same time as 15 the split between European and Caucasus populations³⁵.

An excess of old haplotypes in Sahul. Our fineSTRUCTURE analysis highlights an excess of shorter African haplotypes in Papuans, as well as Philippine Negritos, compared to all other non-African populations. This pattern remains after correcting for potential confounders such as phasing errors and sampling bias (Figure S2.2.1-VII, Supplementary Section 2.2.1). A natural interpretation from population genetics theory is that these shorter shared haplotypes reflect an older population split³⁶.

23 We further investigated whether Sahul populations differ from other Eurasian 24 populations by estimating population splits using MSMC¹⁰. We focussed on 23 25 populations (Supplementary Figure 2.2.3-II), chosen to represent major genetic 26 groups (Supplementary Section 2.2.3) and used a novel method to predict all 27 pairwise split times (Methods, Supplementary Figure 2.2.3-III). The split of all 28 mainland Eurasian populations from Yorubans consistently appears as a gradual 29 process with a median time \sim 75 kya (Table S2.2.3-I, Figure 3A). Importantly, 30 Papuans are an exception to this broad picture, showing a deeper median split 31 time from Yoruba at around 90 kya; a conclusion robust to phasing artefacts (See 32 Methods). The Papuan-Eurasian MSMC split time of ~40 kya is slightly older 33 (Figure S2.2.3-III) than splits between West Eurasian and East Asian populations

(~30 kya). The Papuan split times from Yoruban and Eurasian are incompatible
with a simple bifurcating population tree model, implying that modern Papuan
individuals are admixed between different topologies. Some of their genome is
an outgroup to most modern Africans and Eurasians, while the rest of their
genome shares a history with Eurasia.

Ancient or modern introgression in Sahul? At least two main models
could account for Sahul populations having older split dates from Africa than
mainland Eurasians in our sample:

9 a) Admixture in Sahul with an archaic human population that split from modern
10 humans either before or at the same time as did Denisova and Neanderthal. This
11 introgressing population could potentially have diverged from the available
12 aDNA samples more than 350 kya.

b) Admixture in Sahul with a modern human population (xOoA) that left Africa
well after the split between modern humans and Neanderthals, but before the
main expansion of modern humans in Eurasia (main OoA).

We performed a large number of tests to distinguish these scenarios. Because the introgressing lineage has not been observed with aDNA, standard methods are limited in their ability to distinguish between these hypotheses. Our approach therefore relies on building multiple lines of evidence using haplotype-based MSMC and fineSTRUCTURE comparisons. The two hypotheses are not mutually exclusive and we can only hope to identify the source of the strongest contribution.

23 Single site statistics cannot identify the source of introgression. We first tried traditional statistical approaches, most notably Patterson's D 24 statistic^{13,23}, which we applied to all possible tree relationships between our 25 samples from Africa, Sahul and Eurasia (Figure S2.2.7-I). The best-supported 26 27 topology among those tested shows a contribution to the Sahul genome from a 28 population (xOoA) that diverged early from West Africans, Baka and Mbuti. This predates the separation of the ancestors of the modern Africans and Eurasians in 29 our dataset (topology 3 in Figure S2.2.7-I) as previously proposed³⁷. However, 30 when including the documented Denisova admixture into the analysis³⁸ and 31 32 allowing Denisova introgressed segments to have strongly (350 kya) diverged 33 from the observed Denisova genome, the *D*-based test could not discriminate

between a putative xOoA and the Denisova genomic components
 (Supplementary Section S2.2.7).

3 We also counted non-African Alleles (nAAs), i.e. derived alleles present outside 4 Africa, but absent in Africans and also archaic humans (Altai Neanderthal and 5 Denisova genomes) (Figure S2.2.7-II). When compared to Eurasians, both Sahul, 6 including two admixed Australian Aborigine genomes, and Philippine Negrito samples do show an excess of nAAs. This is independent of potential 7 8 demographic confounders, such as inbreeding or drift (Figure S2.2.7-III). Again, the excess of nAAs could be explained by admixture with xOoA, which had more 9 10 time to accumulate such alleles. However, simulations show that, when allowing 11 sufficient within-Denisova divergence time, archaic introgression could generate 12 the same pattern. In this case, we fail to fully mask the derived alleles in Papuans 13 originating from the introgressing Denisova by relying only on a single Denisova sample (Figure S2.2.7-IV). Our D-based and nAAs results and related simulations 14 15 show empirically that these kind of single site statistics lack the power to 16 discriminate between the hypothesised scenarios: either Denisova introgression 17 or a xOoA scenario would result in an increase of non-African derived alleles in 18 Papuans. The extent of such increase, at the genome-wide level, is a function of 19 the admixture proportion and divergence time of the introgressing population 20 from the main human lineage. Therefore, two admixture events with unknown 21 proportions and time depth are equally able to explain the data and cannot be 22 disentangled by single site statistics alone.

23 Haplotype-based analyses indicate an early modern human 24 **expansion signature in Sahul.** Using a previously published method³⁹, we 25 located and masked putatively introgressed Denisova haplotypes from the 26 genomes of Papuans. We also tried symmetrically phasing Papuans and 27 Eurasians (see Methods) to evaluate the contribution of phasing errors to the 28 observed shift in MSMC split dates. Neither modification (Figure 3A, 29 Supplementary Section 2.2.9, Table S2.2.9-1) changed the estimated split time 30 (based on MSMC) between Africans and Papuans, suggesting that Denisova 31 admixture or phasing artefacts are not the main driver of this pattern (See 32 Methods, Supplementary Section 2.2.8, Figure S.2.2.8-I, Table 2.2.8-I). We further 33 tested the possible role of Denisova admixture by extensive coalescent

1 simulations (Figures S2.2.8-I-II). Without assuming an implausibly large 2 contribution from a Denisova-like population, we could not simulate the large 3 Papuan-African and Papuan-Eurasian split times inferred from the data. 4 Assuming that MSMC dates behave linearly under admixture, the results also 5 indicate that the hypothesised xOoA lineage may have split from most Africans 6 as early as 120 kya. This assumption is validated in Supplementary Section 2.2.4 by checking that split dates behave as a mixture in known admixture events. 7 8 However, for very old divergences the linearity does not hold true as we 9 demonstrate in Supplementary Section 2.2.8. Here we show with additional 10 simulations that the observed shift in the African-Papuan MSMC split curve can be qualitatively reproduced when including a 4% genomic component that 11 12 diverged 120 kya from the main human lineage within Papuans, but that a 13 similar quantity of Denisova admixture does not produce any significant effect (Figure S2.2.8-III). Together with the previous simulations, this favours a small 14 15 presence of xOoA lineages rather than Denisova admixture alone as the likely 16 cause of the observed deep African-Papuan split.

17 We further tested our hypothesised xOoA model by focussing on genomic 18 regions in Papuans that have African ancestry not found in other Eurasian populations. We reran fineSTRUCTURE on an "ancient diversity panel", a subset 19 20 of the Diversity Set with the addition of the Denisova, Altai Neanderthal and the Human Ancestral Genome sequences², with sites that are heterozygous in 21 22 archaic humans removed. FineSTRUCTURE infers chunks of the genome that 23 have a single inferred most recent common ancestor (MRCA). An MRCA between 24 different populations occurs either because the lineage first coalesces before two 25 populations split, or because of a more recent introgression event. Papuan 26 genomic chunks that have an African MRCA assignment in the sample, like the 27 genome-wide nAAs results above, had an elevated level of non-African derived 28 alleles compared to such chunks in Eurasians. They therefore have an older 29 mean coalescence time with our African samples, as would be expected if 30 Papuans contained genetic contributions from a xOoA lineage.

On the other hand there may also be a deep divergence between the sampled Denisova and the one introgressing into modern humans. We were hence concerned that some introgressed archaic haplotypes have an MRCA with 1 Africans due to coalescence in the ancestral population, and hence are assigned 2 to be African. However, we can resolve the age and hence origin of these chunks 3 by their sequence similarity with modern Africans. To account for the archaic 4 introgression we modelled these genomic portions as a mixture of chunks 5 assigned African or Denisova in Eurasians, as well as chunks assigned Denisova 6 in Papuans. Chunks are modelled (see Methods) in terms of the distribution of length and mutation rate, which is characterised in terms of the density of non-7 8 African derived alleles, which are nAAs that are fixed ancestral in our Africans.

9 This approach captures lineages that coalesce before the human/Denisova split since the properties of these chunks should not depend on 10 11 the population they were found in, and since Eurasians (specifically Europeans) 12 have not experienced Denisova admixture. By this way we could disentangle the 13 various introgressing lineages by looking at their mutation density. From the discrepancy between the distribution of Papuan chunks assigned to Africans and 14 15 the fitted distribution (Figure 3B-D) we can identify the characteristics of xOoA 16 chunks (Supplementary Section 2.2.10). Including a xOoA component was necessary to account for the number of short chunks with "moderate" mutation 17 18 density, i.e. higher than Eurasian chunks assigned African but significantly lower 19 than those assigned Denisova in either Eurasians or Papuans. Inferred xOoA 20 chunks have 1.5 times more nAAs than that observed in chunks assigned to be 21 Eurasian, compared to 4 times for chunks assigned to be Denisova. These 22 proportions can be interpreted as a relative mean time to the most recent 23 common ancestor, implying a xOoA-Africa split 1.5 times older than the main OoA, consistent with our MSMC findings (Supplementary Section 2.2.4). 24

25 We went on to estimate the proportion of xOoA in Papuan chunks 26 assigned as both Eurasian (0.1%, 95% CI 0-2.6) and Papuan (4%, 95% CI 2.9-4.5) 27 (Supplementary Section 2.2.10), by using the estimated mutation density in 28 xOoA. To do this we used the same mixture model as above (additionally 29 considering Eurasian chunks assigned to be Eurasian) to obtain a xOoA-free 30 prediction. When this predicted too few mutations, we assumed that the 31 difference is due to the xOoA admixture. Adding up the contributions from all 32 assignments of chunks leads to a genome-wide estimate of 1.9% xOoA (95% CI 33 1.5-3.3) in Papuans.

Our results consistently point towards a predominantly modern human source for the abundance of alleles found in Papuans that are absent in Africans and are derived according to the ancestral human sequence. It follows that the genome of modern Papuans is best described as consisting of two human components. The predominant component is an early split from the major migration out of Africa that colonized Eurasia while the lesser component is derived from an earlier, otherwise extinct, dispersal.

8

9 Adaptation outside Africa

10

Humans faced a number of ecological challenges as they encountered new 11 environments outside Africa. To study the nature and extent of any resultant 12 13 adaptation, we explored the distribution of functional variants among populations, performed tests of purifying, balancing and positive selection and, 14 15 finally, identified loci that showed the highest allelic differentiation among 16 groups (Supplementary Section 3). It is important to emphasise that our 17 sampling strategy may be underpowered to detect certain types of selection. 18 Despite this, strong signals are present in the data.

19 Relationship to other findings. The results of our positive selection 20 tests corroborated the identification of a number of selective sweeps that are 21 well supported by functional evidence (Table S3.3.4-I), suggesting that, 22 regardless of our sample pooling strategy, our dataset is able to detect region-23 specific signals of haplotype homozygosity and allelic differentiation. Our tests 24 for purifying selection are also consistent with previous studies^{2,40,41}, in terms of 25 both the lack of differential purifying selection between Africans and non-26 Africans, as well as the distribution of alleles across frequency classes and 27 populations (Supplementary Section 3.1, Figure S3.1-I,II; Table S3.1-IV,VI).

Novel findings. Our results show novel signals of purifying, balancing and positive selection. With regard to purifying selection, we report evidence for significant differences in the strength of selection in systematically defined phenotype-related sets of genes. We infer more purifying selection in Africans in genes involved in pigmentation (bootstrapping p value for $R_{X/Y}$ -scores < 0.05) (Figure S3.5-II) and immune response against viruses (p < 0.05), whilst more

1 purifying selection was indicated on olfactory receptor genes in Asians (p < 0.012 in the Southeast Asia Island population, p < 0.05 in the Southeast Asia Mainland, 3 South American and Northeast Siberia populations) (Table S3.1.1-II). A genome-4 wide scan for ancient balancing selection in populations grouped into 12 5 geographical regions according to their genetic clustering (Supplementary 6 Section 3.2) revealed a significant enrichment (false discovery rate q-value < 0.01) for antigen processing/presentation, antigen binding, and MHC and 7 8 membrane component genes (Tables S3.3.2-I-III). The HLA (HLA-C)-associated 9 gene (BTNL2) was the top candidate in eight of 12 geographic regions (Table 10 S3.3.1-I).

11 Our positive selection scans and variant-based analyses (Supplementary 12 Sections 3.2 and 3.2) revealed many novel signals, especially in the less-studied 13 populations, a subset of which is highlighted in Table 1. Benefiting from the 14 availability of high resolution sequencing information, we were also able to 15 identify new potentially causal variants in both novel and previously-detected 16 positive selection signals.

Given the geographic distribution of our samples, we were particularly 17 18 interested in assessing whether genes associated with phenotypes highly-19 correlated to local environmental features, such as temperature, UV exposure, 20 diet, and pathogen load, are systematically overrepresented in the signals of 21 positive selection in the sampled populations (Supplementary Section 3.4; Tables 22 S3.5-I-VI). All categories reported as enriched have chi-square p-values less than 23 0.01. We observed that genomic regions containing pigmentation-related genes 24 were overrepresented in some of our positive selection tests in West Eurasian 25 populations (Table S3.5-I), while those containing genes relating to 26 thermoregulation were enriched, albeit for different genes, in Africans and 27 Central Siberians (Table S3.5-II). Unlike Khrameeva and colleagues⁴², we do not 28 observe an enrichment of fatty acid metabolism (or specifically lipid catabolism) 29 genes in the positive selection tests for our European samples. We do, however, 30 observe enrichment of such genes in Island Southeast Asian and Central Siberian 31 populations (Table S3.5-IV, Figure S3.5-IV).

With regard to immunity, we found enrichment of bacterial immunity genomicwindows in Island Southeast Asians (Table S3.5-V), which was lost after the

1 exclusion of Philippine Negritos from the tests, suggesting that the observation 2 partially reflects elevated selection in these hunter-gatherer groups. 3 Furthermore, both western Asian and the South Asian groups showed significant 4 enrichment in innate immune response annotations based on Tajima's *D* statistic 5 (Table S3.5-VI, Figure S3.5-V), which was the only category that showed any 6 enrichment by that test. This is consistent with selection represented by these signatures being older than those detected by the haplotype homozygosity tests. 7 8 The fact that most innate immunity signals are shared between at least two 9 populations supports this interpretation.

10

11 Discussion

12

13 A valuable resource. The collection of worldwide high-coverage genomes presented here has allowed us to: (i) provide a finer resolution 14 15 description of human genetic diversity; (ii) identify the genetic trace of a so-far 16 unidentified component in Sahul populations; and (iii) increase the number of 17 candidate genome regions that have been subjected to distinct selective 18 pressures on physiological processes. The latter is key to unravelling our 19 adaptation history. The data and inferences presented here provide the 20 groundwork to refine hypotheses about human evolution that are essential to 21 the understanding of modern patterns of genetic diversity, disease vulnerability 22 and distribution.

23 Methodological difficulties. Existing methods based on single-site 24 analyses seemed unable to resolve our hypotheses about Sahul and could not be 25 used to distinguish between a small fraction of ancient admixture and a larger 26 fraction of more recent admixture. The power of these approaches in practice 27 depends on appropriate ancient samples being available. The behaviour of 28 haplotype-based inference approaches are relatively poorly characterised and 29 there is no formal inferential framework available to address our hypotheses. 30 However, haplotypes preserve more information on our evolution as they can 31 persist for long periods in finite populations⁴³ at lengths that are detectable with 32 sequence variation data (Supplementary Section 2.2.13). They allow us to 33 calibrate drift by considering the rate of non-African alleles accumulated in

segments of known length, providing us with a way to estimate the age of splits
 from Africa.

3 A further confounder is that detecting Denisova and Neanderthal introgression 4 mostly relies on matching to the aDNA data available, which may be a poor proxy 5 for the actual introgressed DNA. Other possible confounders could involve a shorter generation time in Papuan and Philippine Negrito populations⁴⁴, 6 different recombination processes, or alternative demographic histories that 7 8 have not been investigated here. We therefore strongly encourage the 9 development of new model-based approaches that can explain the haplotype 10 patterns described here.

11 Evidence for an earlier exit out of Africa? Our estimate of the split between African and Eurasians is in broad agreement with previous reports 12 based on mtDNA and Y chromosome⁴⁵⁻⁴⁷ and full genome sequencing data^{5,10}, 13 14 and is consistent with a major OoA expansion (likely through the Levant⁵ and/or Arabia¹⁵) after that date. Other methods rescaled to the lower mutation rate used 15 16 here¹⁴ suggest slightly older dates for that split^{28,48}. A recent IBS tract sharingbased method¹¹, when similarly rescaled, yields a remarkably similar split time 17 18 of ~ 80 kya.

Our analyses, however, provide clear evidence that the Sahul populations 19 20 sampled here, and possibly other populations from the region that were not 21 included in our study design, possess an additional genetic signal of 22 introgression from an uncharacterised hominin. We used a series of tests to try 23 to identify whether this hominin came from a) an archaic lineage or b) an earlier 24 out-of-Africa, modern human branch. Current single-site approaches could not 25 distinguish these hypotheses, but our haplotype-based approaches all point towards a small amount of admixture (at least 2%) from an earlier modern 26 27 human dispersal out-of-Africa around 120 kya (Figure 4) whose genetic 28 signature has not been identified in any other extant population. We also show (see Methods) that this is not at odds with evidence that show that Sahul shares 29 Y chromosome and mtDNA lineages with Eurasians, as there is a high probability 30 31 that older Y and mtDNA lineages would be lost as a result of random genetic drift, as was also argued by Groucutt and others colleagues^{15,49}. 32

1 The inferred xOoA split time (\sim 120 kya) corresponds with fossil and 2 archaeological evidence for an early expansion of *Homo sapiens* from Africa^{15,19}. Furthermore, Kuhlwilm and colleagues⁵⁰ recently identified modern human 3 admixture into the Altai Neanderthal before 100 kya. This is consistent with 4 5 modern human presence outside of Africa well before the main OoA expansion after 75 kya. Further studies will confirm if the xOoA we propose here and the 6 early modern humans that admixed with ancestors of Altai Neanderthals were 7 8 part of the same early expansion out of Africa. Similarly, we are agnostic to the 9 geographic extent of such an early event. Indeed, archaeological evidence for 10 modern human colonization of Sahul is no earlier than ca. 60-50 kya⁵¹, and perhaps as late as ca. 47 kya⁵². The preponderance of genomic evidence, in fact, 11 12 indicates that early human expansions did not leave detectable genetic traces in 13 most contemporary Eurasian populations, perhaps as a consequence of substantial population replacements, as indicated by aDNA from Oase, 14 15 Romania⁵³. Climatic changes over the last 120 thousand years, including glacial 16 advances and significant fluctuations of wet and dry environmental cycles, likely 17 influenced population structure across Eurasia⁵⁴, perhaps leading to lineage 18 extinctions and regional extirpations. The unexpected genetic traces of xOoA in 19 Papuans, shown here for the first time, suggest that unravelling the evolutionary 20 history of our own species will require the recovery of aDNA from additional 21 fossils, and further archaeological investigations in under-explored regions of 22 Eurasia.

23

24 Data availability

The newly sequenced genomes were deposited in the ENA archive under
accession number ENAXXXX and are also freely available through the Estonian
Biocentre website (www.ebc.ee/free data).

- 28
- 29

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1 Figure and Table Legends

2

Table 1 Subset of novel positive selection Findings in our 12 macro-regional
groups defined using fineSTRUCTURE.

5

6 **Figure 1** Panel A: Map of samples location highlighting Diversity/Selection Set;

7 Panel B: ADMIXTURE plot (K=8 and 14) which relates general visual inspection

8 of genetic structure to studied populations and their region of origin; Panel C:

9 Sample level heterozygosity is plotted against distance from Addis Ababa. The

10 trend line represents only non-African samples. The inset shows the waypoints

11 used to arrive at the distance in kilometres for each sample.

12

13 Figure 2 Spatial visualisation of genetic barriers inferred from genome-wide genetic distances, quantified as the magnitude of the gradient of spatially 14 interpolated allele frequencies (value denoted by colour bar; grey areas have 15 been land during the last glacial maximum but are currently under water). Here 16 we used a novel spatial kernel smoothing method based on the matrix of 17 18 pairwise average heterozygosity. Inset: partial correlation between magnitude of genetic gradients and combinations of different geographic factors, elevation 19 (E), temperature (T) and precipitation (P), for genetic gradients from 20 fineSTRUCTURE (red) and allele frequencies (blue). This analysis (see 21 Supplementary Section 2.2.2 for details) shows that despite the large number of 22 23 prehistoric movements across Eurasia, genetic differences within this region have been strongly shaped by physical barriers such as mountain ranges, 24 25 deserts, and open water (such as the Wallace line).

26

27 Figure 3 Panel A: MSMC split times plot. The Yoruba-Eurasia split curve shows 28 the mean of all Eurasian genomes against one Yoruba genome. The grey area 29 represents top and bottom 5% of runs. We chose a Koinanbe genome as representative of the Sahul populations. Panels B-D: Decomposition of the 30 ChromoPainter inferred African chunks in Papuans. Panel **B**: Semi-parametric 31 decomposition of the joint distribution of chunk lengths and non-African derived 32 33 allele rate per SNP, showing the relative proportion of chunks in K=20 components of the distribution, ordered by non-African derived allele rate, 34 relative to the overall proportion of chunks in each component. The four datasets 35 produced by considering (African/Denisova) chunks in (Europeans/Papuans) 36 are shown with our inferred "extra Out-of-Africa xOoA" component. Panel C: The 37 reconstruction of African chunks in Papuans using a mixture of the other data 38 (red) and adding the xOoA component (black). Panel **D**: The properties of the 39 40 components in terms of non-African derived allele rate, on which the components are ordered, and length. 41

42

Figure 4 A subway map figure illustrating, as suggested by the novel results presented here, the model of an early, extinct Out-of-Africa (xOoA) entering the genome of Sahul populations at their arrival in the region. Given the overall small genomic contribution of this event to the genome of modern Sahul, we could not determine whether the documented Denisova admixture (question marks) and putative multiple Neanderthal admixtures took place along this extinct OoA.

1 Methods

2 Data Preparation: In the final dataset, we retained only one second 3 (Australians, to make use of all the available samples)- and five third-degree 4 relatives pairs (Table S1.7-I). All genomes were annotated against the Ensembl 5 GRCh37 database and compared to dbSNP Human Build 141 and Phase 1 of the 1000 Genomes Project dataset² (Supplementary Sections 1.1-6). We found 6 10,212,117 new SNPs, 401,911 of which were exonic. As expected from our 7 8 sampling scheme, existing lists of variable sites have been extended mostly by 9 the Siberian, South-East Asian and South Asian genomes, which contribute 89,836 (22.4%), 63,964 (15.9%) and 40,758 (10.1%) of the new exonic variants 10 11 detected in this study.

12 Compared to the genome-wide average, we see fewer heterozygous sites on 13 chromosomes 1 and 2, and an excess on chromosomes 16, 19 and 21. This pattern is independent of simple potential confounders, such as rough estimates 14 15 of recombination activity and gene density (Supplementary Section 1.8), and mirrors the inter-chromosomal differences in divergence from chimpanzee⁵⁵, 16 17 suggesting large-scale differences in mutation rates among chromosomes. We 18 confirmed this general pattern using 1000Genomes Project data (Supplementary 19 Section 1.8).

20

21 **Geographic gradient analyses.** We used a Gaussian kernel smoothing 22 (based on the shortest distance on land to each sample) to interpolate genetic 23 patterns across space. Averaging over all markers, we obtained an expression for 24 the mean square gradient of allele frequencies in terms of the matrix of genetic 25 distance between pairs of samples (Supplementary Section 2.2.2). This provides 26 a simple way to identify spatial regions that contribute strongly to genetic 27 differences between samples, and can be used, in principle, for any measure of 28 genetic difference (for fineSTRUCTURE data, we used negative shared haplotype 29 length as a measure of differentiation).

30

To quantify the link between the magnitude of genetic gradients (from fineSTRUCTURE and allele frequency data) and geographic factors, we fitted a generalised linear model to the sum of genetic magnitude gradients on the shortest paths between samples to elevation, minimum quarterly temperature,
 and annual precipitation summed in the same way, controlling for path length
 and spatial random effects (Supplementary Section 2.2.2), and calculated partial
 correlations between genetic gradient magnitudes and geographic factors.

5

Finestructure Analysis. FineSTRUCTURE³² was run as described in
Supplementary Section S2.2.1. Within the 106 genetically distinct genetic groups,
labels were typically genetically homogeneous - 113 of the 148 population labels
(76%) were assigned to only one 'genetic cluster'. Similarly, genetic clusters
were typically specific to a label, with 66 of the 106 'genetic clusters' (62%)
containing only one population label.

12 <u>Correction for phasing errors:</u> To check whether phasing errors could produce 13 the shorter Papuan chunks, we focussed on regions of the genome that had an 14 extended (>500Kb) run of homozygosity. We ran ChromoPainter for each 15 individual on only these regions, meaning each individual was only painted 16 where it had been perfectly phased. This did not change the qualitative features 17 (Supplementary Section 2.2.1).

18 <u>Removal of similar samples:</u> Papuans are genetically distinct from other 19 populations due to tens of thousands of years of isolation. We wanted to check 20 whether African chunk lengths were biased by the inclusion of a large number of 21 relatively homogeneous Eurasians with few Papuans. To do this we repeated the 22 N=447 painting allowing only donors from dissimilar populations, including only 23 individuals who donated <2% of a genome in the main painting. This did not 24 change the qualitative chunk length features (Supplementary Section 2.2.1).

Inclusion of ancient samples: We ran our smaller individual panel with (N=109)
and without (N=106) ancient samples (Denisova, Neanderthal and ancestral
human). This did not change the qualitative chunk length features
(Supplementary Section 2.2.1).

29

MSMC, Denisova masking, simulations of alternative scenarios and
 assessment of phasing robustness. Genetic split times were initially calculated
 following the standard MSMC procedure¹⁰, and subsequently modified as
 follows. To estimate the effect of archaic admixture, putative Denisova

haplotypes were identified in Papuans using a previously published method³⁹
and masked from all the analysed genomes. Particularly, whether a putative
archaic haplotype was found in heterozygous or homozygous state within the
chosen Papuan genome, the "affected" locus was inserted into the MSMC mask
files and, hence, removed from the analysis.

6 We note that a fraction of the Denisova and Neanderthal contributions to the Papuan genomes may be indistinguishable, due to the shared evolutionary 7 8 history of these two archaic populations. As a result, some of the removed 9 "Denisova" haplotypes may have actually entered the genome of Papuans through Neanderthal. Regardless of this, our exercise successfully shows that 10 the MSMC split time estimates are not affected by the documented presence of 11 12 archaic genomic component (whether coming entirely from Denisova or partially 13 shared with Neanderthal).

We further excluded the role of Denisova admixture in explaining the deeper African-Papuan MSMC split times through coalescent simulations (using ms to generate 30 chromosomes of 5 Mbp each, and simulating each scenario 30 times). These showed that the addition of 4% Denisova lineages to the Papuan genomes does not change the MSMC results, while the addition of 4% xOoA lineages recreates the qualitative shift observed in the empirical data.

Phasing artefacts were also taken into account as putative confounders of the MSMC split time estimates. We re-run MSMC after re-phasing one Estonian, one Papuan and 20 West African and Pygmies genomes in a single experiment. By this way we ruled out potential artefacts stemming from the excess of Eurasian over Sahul samples during the phasing process. Both the archaic and phasing corrections yielded the same split time as of the standard MSMC runs.

26

Emulation of all pairwise MSMC split times. We confirmed that none of the other populations behaved as an outlier from those identified in the N=22 full pairwise analysis by estimating the MSMC split times between all pairs. We chose 9 representative populations (including Papuan, Yoruba and Baka) from the 22, and compared each of the 447 diversity panel genomes to them. We learn a model for each individual *l* not in our panel,

33 $\hat{t}_{li} = \sum_{k=1}^{9} \alpha_{lk} t_{li}$ for $j \in (1..9)$,

1 where the positive mixture weights α_k sum to 1 and are otherwise learned from 2 the $j \in (1..9)$ observations which we have data under quadratic loss. We can 3 then predict the unobserved values

$$\hat{t}_{li} = \sum_{k=1}^{9} \alpha_k t_{ki}.$$

Examination of this matrix (Supplementary Section S2.2.3, Table S2.2.3-III)
implies no other populations are expected to have unusual MSMC split times
from Africa.

7

8 Mixture model for African haplotypes in Papuans. Obtaining haplotypes from painting: We define as African or Archaic chunk in Eurasians or 9 10 Papuans a genomic locus spanning at least 1000bp, and showing SNPs that were assigned by chromopainter a 50% chance of copying from either an African or 11 12 Archaic genome, respectively. For each chunk we then calculated the number of 13 non-African mutations, defined as sites found in derived state in a given chunk 14 and in ancestral state in all of the African genomes included in the present study. 15 *<u>Modelling</u>*: We used a non-parametric model for the joint distribution of length 16 and non-African derived allele mutation rate of chunks. We fit K (=20)17 components to the joint distribution. Each component has a characteristic length 18 l_k , variability σ_k and mutation rate μ_k . A chunk of length l_i with X_i such 19 mutations from component $I_i = k$ has the following distribution:

$$l_i | \{l_k, \sigma_k^2, I_i = k\} \sim \text{log-Normal}(l_k, \sigma_k^2)$$
$$X_i | \{l_k, \mu_k, I_i = k\} \sim \text{Binomial}(l_k, \mu_k)$$

20 This model for chunk lengths is motivated by the extreme age of the split times 21 we seek to model. Recent splits would lead to an exponential distribution of 22 haplotype lengths. However, due to haplotype fixation caused by finite 23 population size, very old splits have finite (non-zero) haplotype lengths. 24 Additionally, the data are left-censored since we cannot reliably detect chunks 25 that are very short. We note that whilst this makes a single component a 26 reasonable fit to the data, as K increases the specific choice becomes less 27 important.

We then impose the prior $p(I_i = k) = 1/K$ and use the Expectation-Maximization algorithm to estimate the mixture proportions $\pi_{ik} = \mathbb{E}(I_{ik}|l_i, X_i)$ along with the maximum likelihood parameter estimates $\{l_k, \sigma_k^2, \mu_k\}$. We do this for the four combinations of African (AFR) and Denisova (DEN) chunks found in Papuans (PNG) or Europeans (EUR), in order to learn the parameters. Supplementary Section S2.2.10 describes this in more detail. We then describe the distribution of chunks for each class *c* of chunk in terms of the expected proportion of chunks found in each component,

7
$$\pi_{ck} = \frac{\pi_{ck}}{\sum_{k=1}^{K} \pi_{ck}}$$
 where $\pi_{ck}^{'} = \sum_{i=1}^{N_c} \pi_{cik}$,

- 8 where N_c is the number of chunks of class *c*. π_c is a vector of the proportions 9 from each of the *K* components.
- 10
- 11 *Single-out-of-Africa model:* We fit African chunks in Papuans as a mixture of the
- 12 others in a second layer of mixture modelling:

$$\pi_{PNG.AFR} = \sum_{c \in \{PNG.DEN, EUR.AFR, EUR.DEN\}} \alpha_c \pi_c,$$

- 13 where α_c sum to 1. This is straightforward to fit.
- 14
- 15 <u>*xOoA model:*</u> We jointly estimate an additional component π_{xOoA} and the
- 16 mixture contributions β_c under the mixture

$$\pi_{PNG.AFR} = \sum_{c \in \{PNG.DEN, EUR.AFR, EUR.DEN, xOoA\}} \beta_c \pi_c.$$

17 This is non-trivial to fit. We use a penalisation scheme to simultaneously ensure 18 we a) obtain a valid mixture for β_c , b) give a prediction x_k that is also a valid 19 mixture, c) leave little signal in the residuals, and d) obtain a good fit. Cross-20 validation is used to obtain the optimal penalisation parameters (*A* and *B*) with 21 the loss function:

$$loss = \sum_{k=1}^{K} e_k^2 + AP_A + BP_B.$$

where e_k are the residuals in each component, $P_A = |(\sum_c \beta_c) - 1| + |(\sum_k x_k) - 1|$ (for a valid mixture) and $P_B = s. d(e_k)$ (for requirement c, good solutions will have similar residuals across components). The loss is minimised via standard optimization techniques. Supplementary Section S2.2.10 details how initial values are found and explores the robustness of the solution to

changes in A and B - the results do not change qualitatively for reasonable
 choices of these parameters, and the mixtures are valid to within numerical
 error.

4 <u>Genome-wide xOoA estimation</u>: We used the estimated xOoA derived allele 5 mutation rate estimate θ_{xOoA} to estimate the xOoA contribution in haplotypes 6 classed as Eurasian or Papuan by ChromoPainter. First we obtained estimates of 7 $\pi_{PNG.EUR}$ and $\pi_{PNG.PNG}$ using the single out-of-Africa model above, additionally 8 allowing a EUR.EUR contribution. We then estimate α_{xOoA} using the observed 9 mutation rate θ_{obs} and that predicted under the mixture model θ_{mix} by rearranging 10 the mixture:

$$\theta_{obs} = \alpha_{x0oA} \theta_{x0oA} + (1 - \alpha_{x0oA}) \theta_{mix}$$

Estimates less than zero are set to 0. The genome wide estimate is obtained by
weighting each θ by the proportion of the genome that was painted with that donor.
Neanderthal and Denisova chunks were assumed to be proxied by PNG.DEN (0% xOoA
by assumption); African chunks by PNG.AFR; Papuan and Australian by PNG.PNG and all
other chunks by PNG.EUR. We obtain confidence intervals by bootstrap resampling of
haplotypes for each donor/recipient pair.

17

18 Y chromosome and mtDNA haplopgroup analysis. The presence of an 19 extinct xOoA trace in the genome of modern Papuans may seem at odds with 20 analyses of mtDNA and Y chromosome phylogenies, which point to a single, 21 recent origin for all non-African lineages (mtDNA L3, which gives rise to all 22 mtDNA lineages outside Africa has been dated at ~70 kya,45,46). However, 23 uniparental markers inform on a small fraction of our genetic history, and a 24 single origin for all non-African lineages does not exclude multiple waves OoA 25 from a shared common ancestor. We show analytically (Supplementary Section 26 2.2.12) that, if the xOoA entered the Papuan genome >40 kya, their mtDNA and Y 27 lineages could have been lost by genetic drift even assuming an initial xOoA 28 mixing component of up to 35%. Similar findings have been reported recently¹⁵. 29

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Variant Gene SNP Туре Test Population Phenotype Fatty acid desaturation FADS2 rs2524296 intronic di Wsi dDAF,DIND CSi Lipid metabolism, bile synthesis ZNF646 rs749670 missense Lipid metabolism PPARA rs6008197 missense iHS,nSL,TD,DIND SoA GANC rs8024732 iHS,DIND SoA Carbohydrate metabolism missense Sperm-Receptor, kidney disease PKDREJ rs6519993 missense iHS,nSL,TD,DIND SoA CSMD1 rs7816731 non-coding di Wsi **Blood** pressure di Wound healing LYPD3 rs117823872 non-coding Wsi Wound healing POU2F3 rs882856 missense dDAF WEu B9D1 rs4924987 missense dDAF EEu Ciliogenesis PCDH15 rs4935502 dDAF Ciliogenesis missense CSi **TMEM216** dDAF Ciliogenesis rs10897158 missense Wsi missense PLCB2 rs936212 dDAF Ciliogenesis NSi MYO18B rs2236005 Motor activity missense dDAF Sel FLNB rs12632456 missense dDAF Sel Motor activity TTN rs10497520 missense dDAF MiE Motor activity

Table 1 Eurasian subset of variants highlighted by positive selection tests

Note the abbreviations of the population group names are according to Table S2.2

iHS,nSL, or TD, indicates that the variant is a from a top 1% window by that test for the indicated population. DIND indicates that the variant is significantly (>5SD) above the neutral background by the DIND test (See Supplementary Section 3)

di indicates that the variant was in the top 12 of the most highly divergent SNVs by the di score in each of the twelve population groups (See Supplementary Section 3)

dDAF indicates that the variant was in the top 20 most highly differentiated SNPs in its class in a given comparison (See Supplementary Section 3)