

1 Simultaneous Measurements of Three-Dimensional Trajectories and Wingbeat 2 Frequencies of Birds in the Field

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12 13 **Abstract:**

14 Tracking the movements of birds in three dimensions is integral to a wide range of problems in
15 animal ecology, behavior and cognition. Multi-camera stereo-imaging has been used to track
16 the three-dimensional (3D) motion of birds in dense flocks, but precise localization of birds
17 remains a challenge due to imaging resolution in the depth direction and optical occlusion. This
18 paper introduces a portable stereo-imaging system with improved accuracy and a simple
19 stereo-matching algorithm that can resolve optical occlusion. This system allows us to decouple
20 body and wing motion, and thus measure not only velocities and accelerations but also
21 wingbeat frequencies along the 3D trajectories of birds. We demonstrate these new methods by
22 analyzing six flocking events consisting of 50 to 360 jackdaws (*Corvus monedula*) and rooks
23 (*Corvus frugilegus*) as well as 32 jackdaws and 6 rooks flying in isolated pairs or alone. Our
24 method allows us to (i) measure flight speed and wingbeat frequency in different flying modes;
25 (ii) characterize the U-shaped flight performance curve of birds in the wild, showing that
26 wingbeat frequency reaches its minimum at moderate flight speeds; (iii) examine group effects
27 on individual flight performance, showing that birds have a higher wingbeat frequency when
28 flying in a group than when flying alone and when flying in dense regions than when flying in
29 sparse regions; and (iv) provide a potential avenue for automated discrimination of bird species.
30 We argue that the experimental method developed in this paper opens new opportunities for
31 understanding flight kinematics and collective behavior in natural environments.

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33 **Key words:** animal movement; avian flight; collective behavior; corvids; stereo-imaging; three-
34 dimensional tracking

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1. Introduction

Measuring the three-dimensional (3D) flight of birds in nature has played an important role in understanding flight kinematics [1], collective motion [2], migration [3], animal ecology [4] and cognition [5]. Various 3D tracking techniques have been used in the field, including ‘ornithodolites’(essentially a rangefinder mounted on a telescope) [6], radar [7], high precision GPS [8] and others. Among them, multi-camera stereo-imaging systems [9], which have been widely used by physicists and engineers to study fluid flows in the laboratory [10], are increasingly attracting the attention of biologists [11–15]. Due to their high temporal and spatial resolution, stereo-imaging systems allow the simultaneous 3D tracking of multiple individuals even in dense flocks [16]. They thus hold great promise for developing our understanding of avian flight, from the energetics of movement at an individual level [17] to the mechanisms underlying the rapid spread of information and maintenance of cohesion within flocks [18]. However, important methodological constraints still limit the accuracy of stereo-imaging systems and their potential for deployment to capture natural phenomena such as bird flocks under field conditions.

One major challenge in the application of stereo-imaging in the field is camera calibration. Stereo-imaging relies on matching the two-dimensional (2D) coordinates of an object as recorded on multiple different cameras to reconstruct its three-dimensional world coordinates (x_1, x_2, x_3) through triangulation [9]. This stereo-matching procedure requires knowledge of various parameters for each camera such as their position and orientation (extrinsic parameters) and focal length and principal point (intrinsic parameters). The purpose of camera calibration is to determine these parameters. In early studies, calibration was done manually by measuring the relative position and orientation of each camera [19–21]. This method, however, places limitations on the arrangement of the cameras. More recently, however, the development of more advanced camera calibration techniques has relaxed these limitations. Camera parameters can be estimated based on a set of matched pixels between cameras, e.g., using the eight-point algorithm [9], and refined by bundle adjustment [22]. Here, we will adopt this calibration method and show that it allows us to focus on arranging the cameras so that the measurement accuracy is maximized rather than for ease of calibration.

67 This flexibility allows us to address the longstanding issue of the relatively low measurement
68 accuracy in the out-of-plane direction compared to that in the in-plane directions. The distance
69 between cameras, S , needs to be comparable to the distance to the object being imaged in
70 order to achieve similar imaging resolution in all three directions. For example, $S \approx 50$ m is
71 desired when imaging birds that are 50 m away. However, requiring a large S raises many
72 technical difficulties such as data transmission and synchronization between cameras.
73 Evangelista et al., (2017) [23] and Cavagna et al, (2008) [21] used $S \approx 9$ m and $S \approx 25$ m,
74 respectively, to record flocks at distances >80 m. Pomeroy and Heppner (1992) [20] used $S \approx 60$
75 m, but their system was only able to record a limited number of images. To the best of our
76 knowledge, no high-speed imaging system with $S > 50$ m or with S comparable to the distance to
77 the birds being imaged has been developed.

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79 Even with improved accuracy, there can be difficulties in reconstructing the world coordinates of
80 all objects in the field of view when optical occlusion occurs and the images of two objects
81 overlap on the image plane of a single camera. Typical stereo-matching is based on one-to-one
82 matching: each detected bird in any single view is associated with at most one bird in the other
83 views. Thus, this method will only reconstruct one object from bird images that overlap, and
84 some bird positions will be lost. When tracking flocks over long times, failures in reconstructing
85 the positions of all birds can compound and result in broken trajectories. By tracking before
86 stereo-matching, several researchers [24–26] relaxed the one-to-one matching constraint and
87 allowed a single measurement on each 2D image to be assigned to multiple objects. Zou et al.,
88 (2009) [27] and Attanasi et al., (2015) [28] solved this problem by introducing a global
89 optimization framework that allows all possible matches and then optimizes the coherence
90 between cameras across multiple temporal measurements. However, optimizing across multiple
91 views and multiple times incurs significant additional computational processing time, especially
92 when the number of birds is large. A method based on information only from a single current
93 time step that solves the optical occlusion problem robustly is not currently available.

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95 Additionally, when the number density of birds in the images increases and the number of
96 cameras is limited, so-called “ghost” particles may arise due to false matches across views. In
97 this case, the typical procedure of doing temporal tracking after stereo-matching [29] may fail to
98 reconstruct all trajectories. One can try to solve this problem by relying on temporal information
99 in addition to purely spatial information to predict the 2D locations of each bird on each image,

100 for example, and tracking before stereo-matching [24,30]. A simpler solution is to increase the
101 number of cameras. Stereo-imaging systems with four or more cameras have been used in
102 laboratory studies [29] and in a field study to track a single bird [11,17]. However, to our
103 knowledge, no system with four or more cameras has been used for measuring a large number
104 of animals in the field [16,19–21,23].

105
106 Finally, existing stereo-imaging measurements of birds in natural settings have access only to
107 bird position and associated kinematics; due to resolution limitations in both space and time,
108 empirical data on wing motion in natural environments is very limited [11]. Wing motion is
109 typically only documented for trained birds flying in laboratory wind tunnels [31] where high-
110 resolution bird images can be more easily recorded. When birds are flying at distances far away
111 (~50 m) and each bird covers only a few pixels on images, accurately calculating wing motion
112 becomes very challenging. Thus, most analyses of collective behavior only rely on positions [32],
113 velocities [33] and accelerations [18] of birds. The wing motion is not available along 3D
114 trajectories, even though it is what is directly controlled by birds in response to changing
115 environmental and social stimuli. Wing motion can be measured by fitting tags containing inertial
116 sensors (accelerometers and gyroscopes) on individual birds [1,34], but such systems are often
117 costly, have limited battery life, and may not be practical for smaller species or large flocks [35].

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119 Here, we describe an improved field-deployable stereo-imaging system for bird flight
120 measurements in the field that addresses all these difficulties. We test our system on flocks of
121 wild corvids (jackdaws, *Corvus monedula* and rooks, *Corvus frugilegus*). To improve the image
122 resolution, we developed a portable system using laptop-controlled USB cameras with $S \approx 50$ m
123 to record birds at distances of 20 to 80 m. To handle optical occlusion in a faster way, we
124 introduce a new, simple stereo-matching procedure based on associating every detected bird
125 *on each camera* with a 3D position. Thanks to the portability of USB cameras, we use four
126 cameras so that the stereo-imaging system can resolve individual birds even in flocks with high
127 densities. With these improvements in measurement accuracy, we are able to measure wing
128 motions and wingbeat frequency along individual 3D trajectories of birds in the field. We argue
129 that information on wingbeat frequency in addition to velocity and acceleration allows us to
130 better understand the flight kinematics and collective behavior of birds in their natural
131 environment.

132

133 2. Materials and Methods

134 2.1 Camera arrangement and calibration

135 When developing a high-speed stereo-imaging system for field applications, it is important to
136 maintain portability. To fulfil this requirement, we used four monochrome USB3-Vision CMOS
137 cameras (Basler ace acA2040-90um). Each has physical dimensions of $4\times 3\times 3$ cm³, a sensor
138 resolution of 2048×2048 pixels, a pixel size of 5.5 μ m, and is connected to a laptop (Thinkpad
139 P51 Mobile Workstation) through a USB 3.0 port. The laptop serves as both power supply and
140 data storage device for the camera, making the system very portable. Given that the bandwidth
141 of a USB 3.0 port is ≈ 400 MB/s, the maximal frame rate is 90 frames per second (fps). The
142 laptop has a 512 GB Solid-State Drive (PCIe NVMe) supporting a writing speed of >1000 Mb/s.
143 We use one laptop for each pair of two cameras, which allows us to continuously record at 80
144 fps for >20 s. Higher frame rates can also be reached by reducing the image size; e.g., when
145 using 1024×1024 pixels, 300 fps could be achieved. The four cameras are hardware-
146 synchronized by connecting with a function generator (Agilent 33210A) using BNC cables. We
147 fit each camera with a lens with a focal length of 8 mm and an angle of view of 71° (Tamron,
148 M111FM08). In field tests, we found that the performance of the laptops was reduced when
149 running on their own internal batteries. We thus used external batteries to power the laptops as
150 well as the function generator. In deployments with less stringent performance requirements,
151 however, external batteries may not be necessary.

152
153 A typical arrangement of the four cameras is shown in Figures 1(a) and (b). Two pairs of
154 cameras are separated by $S\approx 50$ m, which can easily be extended to 100 m by increasing the
155 BNC cable length (given that BNC cables support long-distance signal transmission). This
156 distance is similar to the distance from the cameras to the birds being imaged in this study. The
157 distance between cameras in each pair is ≈ 8 m, since the high data rates supported by the USB
158 3.0 protocol limit cable length. However, it would be possible to extend this distance as well by
159 using an active data transfer cable. All cameras point to the sky with an angle to the horizontal
160 plane of $\approx 60^\circ$. Cameras 1 and 3 are located in the same vertical plane, and cameras 2 and 4
161 are located in another vertical plane. At a height of 50 m, the fields of view of the four cameras
162 have an overlap area of 60×60 m², with a spatial resolution of 4.0 cm/pixel at the center of
163 images. The coordinate system is also shown in Figure 1, where $-x_3$ is aligned with the gravity
164 direction. Note that the actual arrangement varies slightly for every deployment. On different
165 days, we moved the camera system to different locations to ensure we captured images of

166 different individuals. Note too that since the cameras are free-standing, they can easily be
167 placed on irregular or steep terrain.

168
169 To calibrate the cameras, we followed a procedure based on that described in [36]. We attached
170 two balls of different sizes (10 and 12 cm) to either end of a stick mounted on an unmanned
171 aerial vehicle, which was flown through the 3D tracking volume. Figure 1(c) shows sample
172 images of the two balls. The distance between the balls is fixed at 1.0 m, which provides a
173 physical scale for the camera calibration. The locations of the balls in the images are
174 automatically extracted to generate matched pixels between cameras. About 200 to 300 sets of
175 matched points are detected in a typical calibration run and are used to estimate the
176 fundamental matrix of each camera as well as the 3D locations of the matched points. Sparse
177 bundle adjustment is then used to refine the camera parameters. The x_3 direction is found by
178 fitting a 2D plane to the 3D points that are located at a constant height. Figure 1(d) shows the
179 reconstructed camera and ball locations in 3D space. The re-projection error, defined as the
180 root-mean-square distance between the original 2D points and those generated by re-projecting
181 the 3D points on the 2D images, is less than 0.5 pixels. This entire calibration process takes 10
182 to 20 minutes: 5 to 10 minutes for recording the calibration points, 4 to 8 minutes for extracting
183 the matched points from the images, and 2 minutes for calculation of the camera parameters.

184

185 **2.2 Capturing images of flocking birds in the field**

186 We recorded flocks of corvids flying towards winter roosts in Mabe and Stithians, Cornwall, UK
187 from December 2017 to February 2018. We focused predominantly on jackdaws flying in flocks,
188 but also recorded cases where either jackdaws or rooks flew in isolated pairs, allowing us to
189 extract comparable measures of wingbeat frequency in the two species. Both jackdaws and
190 rooks are highly social members of the corvid family and form large winter flocks, often including
191 birds of both species. Whereas research on collective movement typically assumes individuals
192 are identical and interchangeable, 2D photographic studies suggest that birds within corvid
193 flocks typically fly especially close to a single single-species neighbour, likely reflecting the life-
194 long, monogamous pair bonds that form the core of corvid societies [37]. How individuals
195 respond to the movements of others within these dyads and across the flock as a whole is not
196 yet understood.

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198 The birds typically leave their foraging grounds in the late afternoon. Different flocks often
199 merge as they fly towards pre-roosting assembly points (often at established sites such as
200 rookeries) before flying to their final roosting location where they spend the night. As flight
201 trajectories towards roosts or pre-roosts are fairly consistent each evening, we were able to
202 position the camera system so that flocks flew overhead. Nevertheless flocks did not always fly
203 perfectly through the measurement volume; for example, they may fly out of the field of view of
204 cameras 1 and 2, and thus only be captured by cameras 3 and 4. We only use data where the
205 birds were seen on all four cameras. In our measurements, the distance from the birds to the
206 image plane is about 20 to 60 m, given that the cameras are placed on tripods on the ground.
207 Jackdaws have body lengths in the range 34~39 cm, translating to a size of 5 to 20 pixels on
208 the camera sensors. Though higher frame rates can be reached, the data presented in this
209 paper are recorded at 40 or 60 fps, which is still much larger than the jackdaw wingbeat
210 frequency (which is typically in the 3 to 6 Hz range [38]). The time-varying bird shape is
211 therefore resolved (Figure 1(e)) and can be used for the calculation of wingbeat frequency.

212

213 **2.3 Stereo-matching and three-dimensional tracking**

214 To construct 3D trajectories from images, we perform stereo-matching frame by frame and then
215 tracking in time. First, we locate the birds on each 2D image. For each image, we first subtract a
216 background image calculated by averaging 50 temporally consecutive images where the
217 background exhibits only minor changes. A global intensity threshold is then applied to segment
218 the image into distinct blobs of pixels corresponding to one or more birds. The threshold is
219 manually set and is low enough so that all the birds are detected. There are only a few false
220 detections, which we reject later during the stereo-matching phase if no matched blobs in other
221 views are found. In our data sets, the images typically have low sensor noise levels (that is,
222 nearly uniform backgrounds) and the number of false detections is less than 2% of the total
223 number of birds. For each segmented blob, we calculate the intensity-weighted centroid and
224 treat it as the bird center. This location does not necessarily yet represent the bird body center
225 due to time-varying wing morphologies (Figure 1(e)), but will be revised later to obtain both body
226 and wing motions.

227

228 Then, stereo-correspondences are established between all the 2D measurements. To solve the
229 optical occlusion problem, we introduce a new stereo-matching method based on associating
230 every detected bird *on each camera* with a 3D position. For convenience, we illustrate our

231 proposed method with a setup of two cameras, though in our actual field system we use four
232 cameras. As shown in Figures 2(a) and (b), the images of two birds may overlap on camera 1,
233 but appear to be separated on camera 2. If we follow a typical one-to-one matching procedure,
234 not all birds in camera 2 will be used to calculate 3D locations. However, by including the
235 additional step of searching the un-used birds in view 2 and calculating their corresponding 3D
236 positions we can recover the missing birds. The detailed procedure is as follows: for every
237 detected bird on camera 1 (Figure 2(c)), we search for candidate matches on other views that
238 are located within a tolerance ε of the epipolar lines [25,39]. All the candidates are combined
239 into a list and used to compute multiple 3D locations using a least-squares solution of the line of
240 sight equations [40]. Each of these potential 3D locations is scored by a ray intersection
241 distance (that is, the residual from the least-squares solution). The smaller the score is, the
242 more likely this potential location is a true 3D location. Thus, only the potential location with the
243 smallest score is selected as a candidate. Ideally, a true 3D location would have a score of 0
244 given perfect camera calibration and no error in the 2D centroid detection. In reality, however,
245 the score is never 0. Thus, we set a threshold (with a typical value of 0.3 m, roughly the size of
246 one bird) below which this 3D location is treated as a real bird location. Otherwise, if the score is
247 larger than the threshold, we treat the 2D location as a false detection at the initial segmentation
248 process. As shown in Figure 2(d), the 3D locations corresponding to all detected birds in
249 camera 1 are reconstructed via this procedure. During this step, we mark the birds on view 2
250 that have been used for the calculation of the true 3D locations. Then, we consider the
251 remaining unmarked birds on camera 2 (Figure 2(e)), and reconstruct their corresponding 3D
252 positions using the same method as was used for camera 1. The 3D locations of the missing
253 birds are calculated as shown in Figure 2(f). Finally, the reconstructed results in Figures 2(d)
254 and (f) are combined to generate the 3D locations of all birds. For reference, we provide
255 corresponding Matlab codes to perform these 3D reconstruction (see Data Accessibility).

256

257 Once the 3D positions have been determined at every time step, they are linked in time to
258 generate trajectories (Figure 2(g)). We use a three-frame predictive particle tracking algorithm
259 that uses estimates of both velocity and acceleration. This method has been shown to perform
260 well in the biological context for tracking individuals in swarms of midges [41]. It is also able to
261 handle the appearance and transient disappearance of particles from the field of view by
262 extrapolation using a predictive motion model. Details of this procedure are described in [10].
263 Finally, the velocities and accelerations are calculated by convolving the trajectories with a

264 Gaussian smoothing and differentiating kernel [42]. In the following sections, we will use v_i and
 265 a_i to denote the velocity and acceleration in one of the three Cartesian directions (x_1, x_2, x_3)
 266 denoted by index i . The same bold symbols are used to denote vectors, e.g. \mathbf{x} , \mathbf{v} , \mathbf{a} . The flight
 267 speed U is calculated as $U=(v_1^2+v_2^2+v_3^2)^{0.5}$.

268

269 **2.4 Body and wing motions**

270 As mentioned above, the 2D locations of the birds are determined based on intensity-weighted
 271 centroids of segmented pixel blobs, and may not accurately capture the true body center. As a
 272 result, the reconstructed 3D trajectory couples both the body and wing motions. However, since
 273 the wing motion has much higher frequency than the body motion, one can decouple the two
 274 effects in the frequency domain. To do so, we first calculate the body acceleration a^{body} by
 275 filtering the measured acceleration $a^{measured}$ in the frequency domain:

276

$$277 \quad a^{body}=F^{-1}(F(a^{measured})(f<f_{cut})) \quad (1)$$

278

279 where F and F^{-1} denote the Fourier and inverse Fourier transform, f is the frequency, and f_{cut} is
 280 the filter cutoff frequency. Typically, there is a peak in the power spectrum of $F(a_j^{measured})$ that
 281 corresponds to the time-averaged f_{wb} of each trajectory. In our dataset, the time-averaged f_{wb} for
 282 different birds varied from 2.5 to 7 Hz, and we used $f_{cut}=1$ Hz for all birds. The body velocity v^{body}
 283 and position x^{body} are then obtained by integrating the body acceleration. Then, the wing motion
 284 x^{wing} is obtained by subtracting the body motion from the measured motion:

285

$$286 \quad x_i^{wing}= x_i^{measured} -x_i^{body} \quad (2)$$

287

288 Following a procedure similar to [43], the time variation of f_{wb} is calculated by applying a
 289 continuous wavelet transform (CWT) to x_3^{wing} . Here, the CWT is applied to x_3^{wing} since the wing
 290 motion is usually dominant in x_3 direction given the primarily horizontal flight of the birds. Two
 291 factors may affect the accuracy of this estimate of f_{wb} . First, as the distance from bird to the
 292 image plane increases, the imaging resolution, and thus the accuracy of x_3^{wing} , decreases.
 293 Given that the wing motion has an amplitude on the order of a wing length (≈ 0.3 m for
 294 jackdaws), we are able to measure the wing motion for birds flying up to 80 m away given our
 295 current imaging system. For more distant birds, one would need a lens with a longer focal length
 296 to capture the wing motion. Second, when birds make turns, the wing motion has components in

297 the x_1 or x_2 directions. The magnitude of x_3^{wing} reduces, and a higher image resolution is
298 required to resolve x_3^{wing} . We calculated f_{wb} for birds whose maximal $|x_3^{wing}|$ is larger than 0.04 m,
299 the image resolution at a height of 50 m. For the data presented here, fewer than 3% of the
300 birds have a maximal $|x_3^{wing}|$ smaller than 0.04 m.

301
302 We also attempted to separate body and wing motions by setting a cutoff frequency in
303 $F(x_i^{measured})$ or $F(v_i^{measured})$. We tested the three methods on a numerically generated trajectory
304 $x^{measured} = t + 1 + \sin(2\pi \times 5t)$, where first two terms represent the body motion and the last term the
305 wing motion with $f_{wb} = 5$ Hz. We found that x^{body} obtained by setting a cutoff frequency for
306 $F(a^{measured})$ or $F(v^{measured})$ had a mean error of less than 0.1%, while for $F(x^{measured})$ had a mean
307 error of 2%. We also compared the three methods on a real trajectory and found a similar trend:
308 x^{body} obtained by setting a cutoff frequency for $F(a^{measured})$ and $F(v^{measured})$ is more accurate than
309 that obtained by setting a cutoff frequency for $F(x^{measured})$. Since velocity and acceleration are
310 time derivatives of position, $F(v_i^{measured})$ and $F(a_i^{measured})$ have stronger peaks at f_{wb} compared to
311 that of $F(x_i^{measured})$. Thus, setting a cutoff frequency in $F(v_i^{measured})$ or $F(a_i^{measured})$ removes the
312 wingbeat motion more reliably. Here, we opt to calculate body motion by setting a cutoff
313 frequency in $F(a^{measured})$. One can obtain similar results by setting a cutoff frequency in
314 $F(v^{measured})$. Attanasi et al. (2014) [18] used a low-pass filter on the $v_i^{measured}$ (similar to setting a
315 cutoff frequency in $F(v_i^{measured})$) and then differentiated it to obtain a^{body} . We compared a^{body}
316 calculated from both methods and the results are very similar.

317
318 To illustrate our method, Figure 3(a) shows a sample time trace of $x_3^{measured}$, x_3^{body} and x_3^{wing} . It
319 clearly shows that $x_3^{measured}$ contains both a low-frequency body motion and a high-frequency
320 wing motion. The value of x_3^{wing} varies from 0.15 to -0.15 m, which is comparable to the wing
321 length of a jackdaw. Figure 3(b) shows $a_3^{measured}$, a_3^{body} and a_3^{wing} corresponding to the position
322 traces shown in Figure 3(a). All the values are normalized by the gravitational acceleration g
323 ($g = 9.78$ m/s²). $a_3^{measured}$ is clearly dominated by a_3^{wing} , and has a magnitude up to $4g$. The
324 magnitude of a_3^{body} is much smaller. Figure 3(c) shows the power spectrum obtained by
325 applying a CWT to x_3^{wing} . The time variation of f_{wb} , the frequency at which the power spectrum
326 peaks at each time step, is shown by the dashed line. Figure 3(d) plots the same 3D trajectory
327 colored by v_3^{body} , a_3^{body} , and f_{wb} , showing that we can measure not only velocity and acceleration
328 but also wingbeat frequency along the 3D trajectory of each bird. Clearly, f_{wb} is not always

329 constant, but rather depends on speed and flight behavior. Indeed, as we argue below, the
330 variation of f_{wb} can provide additional information to characterize bird behavior.

331
332 To demonstrate that the proposed method indeed captures the bird body center, we can re-
333 project x^{body} onto one of the 2D images, as shown in Figure 3(e). The top image shows the 2D
334 positions based on the intensity weighted centroid, while the bottom image shows the 2D
335 positions obtained from re-projecting x^{body} onto the camera. Even with the uncertainties in the
336 camera calibration, the re-projected 2D positions still detect the body centers very accurately.
337 The average value of $|x_3^{wing}|$ over all the trajectories is 0.03 m and the maximal value of $|x_3^{wing}|$ is
338 0.17 m. Therefore, the improvement of the estimate of the body center location after removing
339 the wing motion can be as high as 0.17 m, and has a mean value of 0.03 m. In the following
340 sections, we report only these body positions, and omit the 'body' indication for simplicity.

341

342 2.5 Statistical Analyses

343 Analyses were conducted in R version 3.1.2. Comparisons of wingbeat frequency of birds flying
344 alone or in groups were conducted using Linear Mixed Models (lme package) with a random
345 term to account for group membership. Wingbeat frequency was fitted as the response term,
346 with flight speed and grouping (in a flock or in isolation) as explanatory terms.

347

348 3. Results

349 We recorded six flocking events (flocks #1-6) consisting of 50 to 360 individuals. Flock #1
350 includes jackdaws only, and flocks #2-6 include both jackdaws and rooks. It was known
351 beforehand via visual and vocal cues obtained during the data recording process whether the
352 flocks contained single or mixed species. We also recorded 32 jackdaws and 6 rooks flying in
353 isolated pairs or alone, which we defined as birds flying at least 10 m away from a large group.
354 The species of these non-flocking birds were also identified and known beforehand through
355 visual and vocal cues. Sample trajectories are provided in Figures 4 (a) and (b). Details of all
356 the trajectories are provided in Table 1.

357

358 We classified the trajectories into six flight modes based on the magnitudes of f_{wb} , v_3 and $|a|$:
359 three flapping modes where $f_{wb} > 2$ Hz and $|a| < 8$ m/s², cruising ($|v_3| < 1$ m/s), climbing ($v_3 > 1$ m/s),
360 and diving ($v_3 < -1$ m/s); two non-flapping modes where $f_{wb} < 1$ Hz and $|a| < 8$ m/s², gliding ($v_3 < -1$
361 m/s) and soaring ($v_3 > 1$ m/s); and one mode where $|a| > 8$ m/s² indicating turning or accelerating.

362 The sample times for the non-flapping modes and the turning or accelerating mode were
363 relatively short compared to the flapping modes (Figure 5(a)). We therefore only report the
364 statistics of U and f_{wb} in the three flapping modes (Figures 5(b)-(c)). For most cases, f_{wb} is
365 highest in climbing mode and lowest in diving mode, and U is lowest in climbing mode and
366 highest in diving mode. We note that we varied the threshold of v_3 from 0.5 to 2 m/s in
367 separating the different flapping modes and found that the general trends observed in Figures
368 5(b)-(c) do not change.

369
370 Table 1 shows that jackdaws flying as isolated pairs or as single birds have a lower wingbeat
371 frequency than jackdaws flying in the single-species flock #1. Linear Mixed Model analysis
372 confirms this result: controlling for the effect of flight speed (Est (SE) = 0.045 (0.019), $t = 2.33$, p
373 = 0.02), birds flying in isolation have a lower wing beat frequency than those in a flock (Est (SE)
374 -0.663 (0.129), $t = -5.14$, $p < 0.001$). This means that flocking jackdaws flapped their wings, on
375 average, 42 (± 10) times more per minute than when flying in isolation (282 ± 2 wingbeats/minute
376 vs 240 ± 8 wingbeats/minute). We thus investigated the effect of local density on the flight
377 performance of individuals. To estimate the local density, we counted the number of birds N_{3m}
378 within a sphere of fixed radius of 3 m. As shown in Figure 6 (a), f_{wb} increases with N_{3m} (Pearson
379 correlation coefficient=0.20, $p < 0.01$). We also plotted the flight performance curves, i.e., the
380 relation between f_{wb} and U , for jackdaws in flock #1 and for jackdaws flying alone (Figure 6(b)).
381 All curves had their minimum wingbeat frequency at moderate flight speed. Moreover, for birds
382 flying in a group, increasing N_{3m} moves the curves upward. In all other five mixed-species flocks,
383 birds in the denser region had higher wingbeat frequencies (Figure 6(c)). One may argue that
384 this trend may be due to a preference for bird species with lower f_{wb} (here, rooks) to fly in less
385 dense regions. Given that rooks have $f_{wb} = 2.9 \pm 0.1$ Hz (Table 1), we can exclude most rooks
386 from our analysis by ignoring birds whose mean f_{wb} is smaller than 4 Hz; when doing so, we
387 found that the same trend exists (electronic supplementary material, figure S1).

388
389 We also compared the flight performance curves for jackdaws and rooks flying alone or in
390 isolated pairs (Figure 7). Clearly, the two species have different flight performance curves, with
391 the larger rooks having lower wingbeat frequencies than jackdaws at the same flight speed. Due
392 to our limited sample size for rooks, we were not able to compare f_{wb} at higher speeds. To
393 determine whether species differences in wingbeat frequency persist when the two species flock
394 together, we manually identified 8 rooks and 12 jackdaws in mixed-species flocks on the basis

395 of visible morphological characteristics. Extracted f_{wb} values for these individuals show that
396 rooks still have lower wingbeat frequency than jackdaws (rook= 3.4 ± 0.4 Hz, jackdaw= 4.2 ± 0.3 Hz)
397 (electronic supplementary material, Table S1).

398

399 **4. Discussion**

400 In this paper, we have described a new stereo-imaging system for tracking the 3D motion of
401 birds in the field. The new system overcomes the technical difficulty of extending the distance
402 between cameras and improves the accuracy of 3D stereo-reconstruction. It allows the
403 measurement of not only velocity and acceleration but also wingbeat motion and frequency
404 along the 3D trajectory. In addition, we have developed a new stereo-matching algorithm to
405 solve the optical occlusion problem. This is based solely on information in instantaneous
406 frames, and thus is much faster than global optimization [27,28] when solving for data
407 associations across multiple views and time steps. We have demonstrated the new
408 reconstruction algorithm on dense flocks ranging in size to over 300 birds. A detailed
409 comparison of the reconstruction accuracy between our method and global optimization is,
410 however, beyond the scope of this paper.

411

412 When applying our method to birds flying alone, we showed that measurements of wingbeat
413 frequency along 3D trajectories allow us to better understand the flight kinematics of birds. First,
414 the system allows us to characterize the flight performance of birds in the wild without the need
415 to fit bio-logging tags. Our results confirm the typically reported U-shaped flight performance
416 curve (with wingbeat frequency reaching a minimum at moderate flight speed) measured in wind
417 tunnel experiments [44]. Moreover, the system allows us to compare flight speeds and wingbeat
418 frequencies in different flight modes. The reason that birds vary flight modes may be due to a
419 balance between flight speed and energy expenditure [45,46]. We observed that the birds' total
420 energy (that is, the sum of the kinetic and gravitational potential energy) increases with the flight
421 height. We thus suggest that birds may increase their total energy by increasing wingbeat
422 frequency during climbing, and lower their total energy by decreasing wingbeat frequency during
423 diving. Finally, the birds have a mean diving angle of -6 degrees to the horizontal plane, and a
424 mean climbing angle of 6 degrees. These values may provide valuable guidance for designing
425 wind tunnel experiments that are as faithful as possible to real flying conditions [47].

426

427 When applying the system to study group flight, we argue that measurements of wingbeat
428 frequency within flocks provide new opportunities to understand collective motion. Using
429 wingbeat frequency as a proxy for energy consumption [1] allows us to study whether birds
430 flying in groups save energy. Although flying in a group offers many benefits, such as reduced
431 risk from predation [48,49], our data suggest that flying in a group also comes at a cost, as f_{wb}
432 was higher for birds flying in a group than flying in isolated pairs or alone (an average difference
433 of 42 wingbeats per minute), and increased with local density. The same trend was reported for
434 observations of groups of pigeons by Usherwood et al., (2011) [1]. The explanation proposed by
435 those authors was that flying in a dense group requires more maneuvers and coordinated
436 motion to avoid collisions. Our data support this explanation since birds flying in groups make
437 more turning and accelerating maneuvers than birds flying alone (Figure 5(a)).

438

439 Finally, the fact that many birds form mixed species flocks offers important opportunities to
440 examine the impacts of individual heterogeneity on collective motion [50]. However, addressing
441 this issue requires techniques to accurately classify birds within mixed-species flocks. Here, we
442 show that our system allows us to quantify the different wingbeat frequencies of two closely
443 related species—jackdaws and rooks—when they fly alone or in mixed-species groups. An
444 appropriate generic thresholding of wingbeat frequency to separate jackdaws and rooks in
445 mixed-species flocks, however, remains to be determined.

446

447 The proposed method can be applied to other birds or even other flying animals (e.g., insects) if
448 the following requirements are met: (a) their flight routes, feeding grounds, or roosts are known;
449 (b) the imaging spatial resolution is high enough that the body and wings are distinguishable;
450 and (c) the recording temporal resolution is high enough to sample the wing movements. For
451 example, to study birds of different sizes, one could bring the cameras closer to or further from
452 the objects being imaged and select lenses with suitable focal lengths. To study insects with
453 higher wingbeat frequency (e.g., >50 Hz), one could use cameras that record data at higher
454 frame rates. In addition, our method is very easy to reproduce under other experimental
455 conditions. We provide Matlab codes (see Data accessibility) so others can compute 3D motion
456 and wingbeat frequency from raw images. Therefore, our method provides important
457 opportunities for studies of both the flight kinematics of individuals and the collective behavior of
458 groups under natural conditions.

459

460 **Ethical note**

461 All field protocols were approved by the Biosciences Ethics Panel of the University of Exeter (ref
462 2017/2080) and adhered to the Association for the Study of Animal Behaviour Guidelines for the
463 Treatment of Animals in Behavioural Research and Teaching.

464

465 **Data accessibility**

466 We provide data including images recorded by four cameras, camera parameters, videos
467 showing the time variation of the bird 3D positions, and plain text files that includes bird id
468 number, positions, times, velocities, accelerations, and wingbeat frequencies at every time step.
469 We also provide the Matlab codes that were used to: (a) detect birds on images; (b) reconstruct
470 birds' 3D locations using the new stereo-matching algorithm; (c) track individual's 3D motions;
471 and (d) calculate wing motion and wingbeat frequency from tracking results. The code and data
472 are available at: <https://github.com/linghj/3DTracking.git> and
473 <https://figshare.com/s/3c572f91b07b06ed30aa>.

474

475 **Competing interests**

476 We declare we have no competing interests.

477

478 **Authors' contributions**

479 H.L., N.T.O and A.T. conceived the ideas; H.L. and N.T.O. designed the methodology; G.E.M.
480 and A.T. collected the data; H.L. and N.T.O analyzed the data; G.E.M. and A.T. performed the
481 statistical analysis; All led the writing of the manuscript. All authors contributed critically to the
482 drafts and gave final approval for publication.

483

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488

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493 **References**

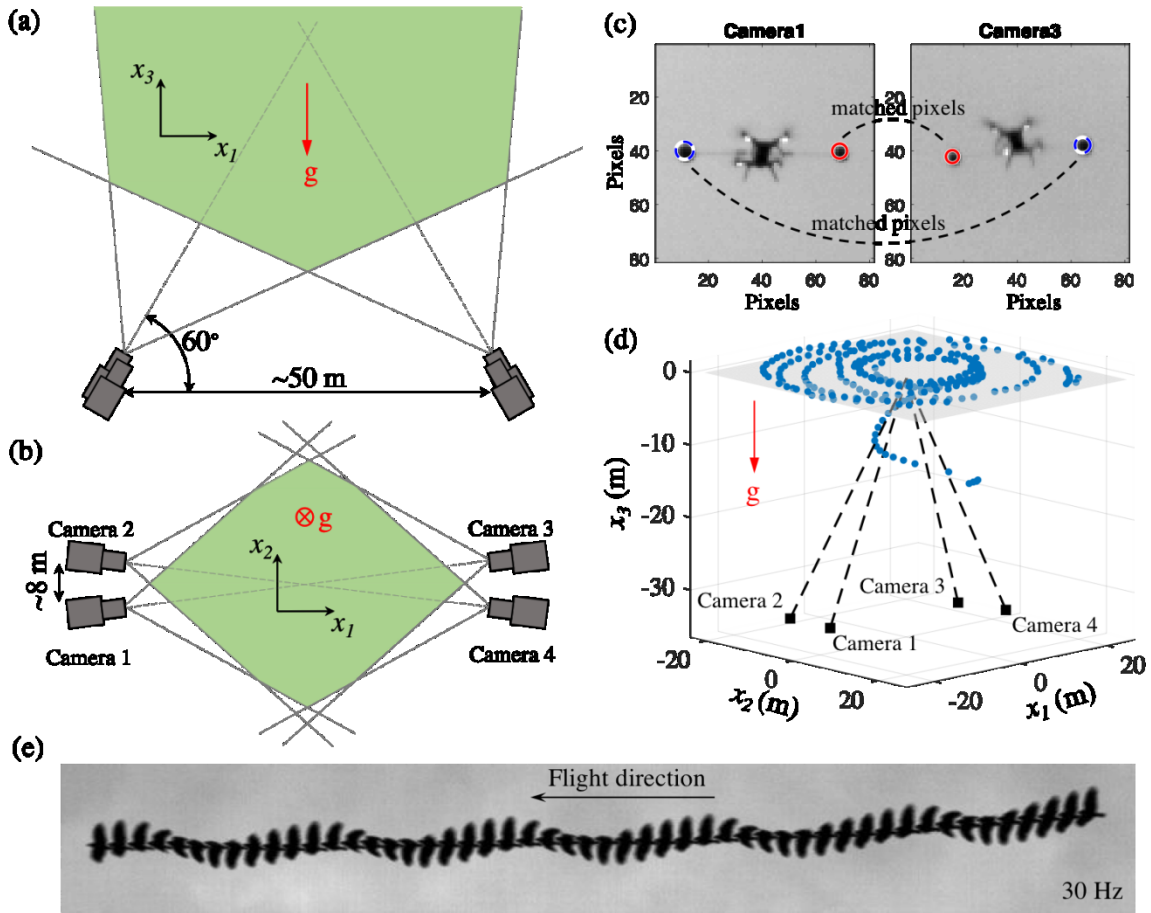
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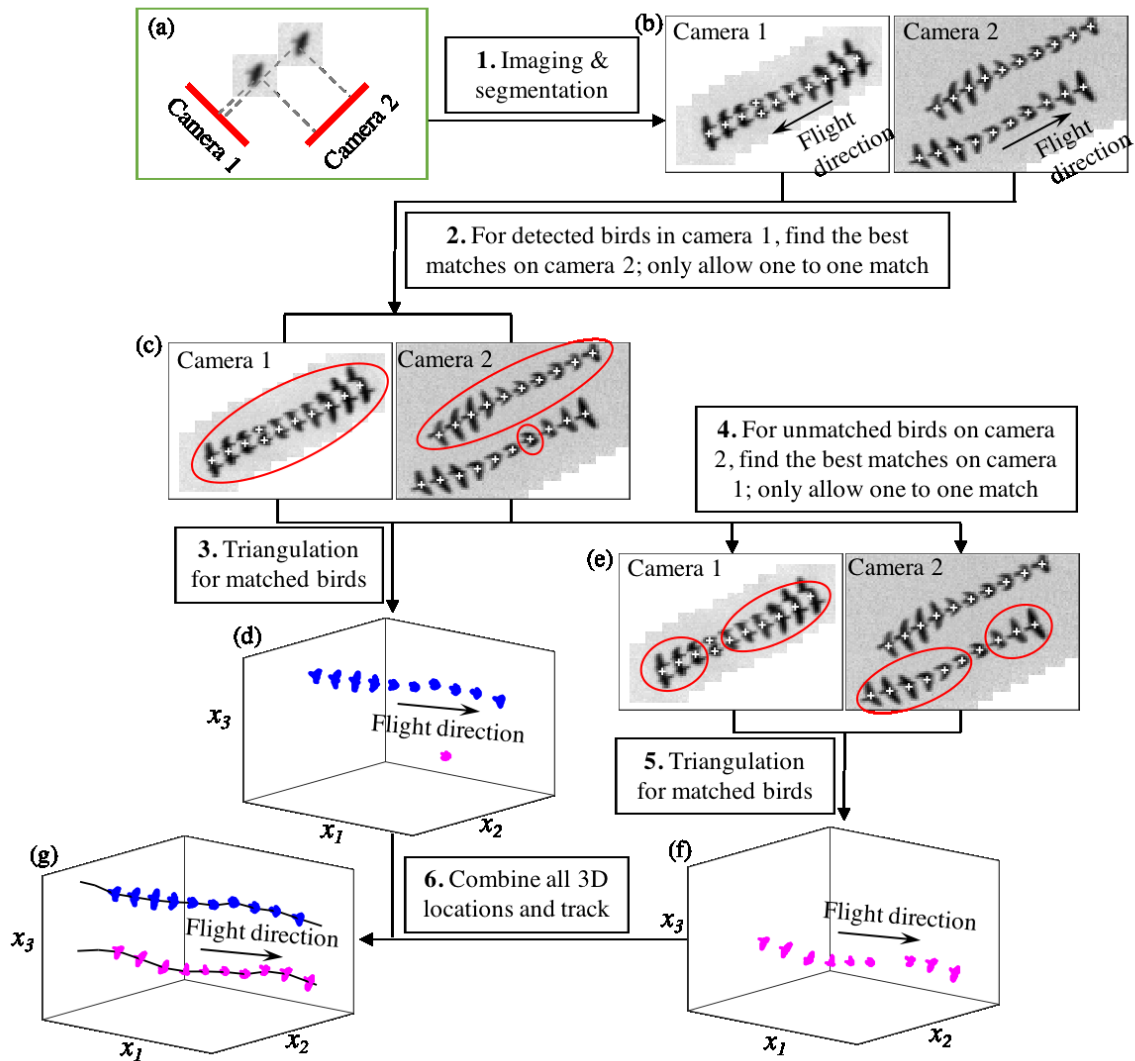
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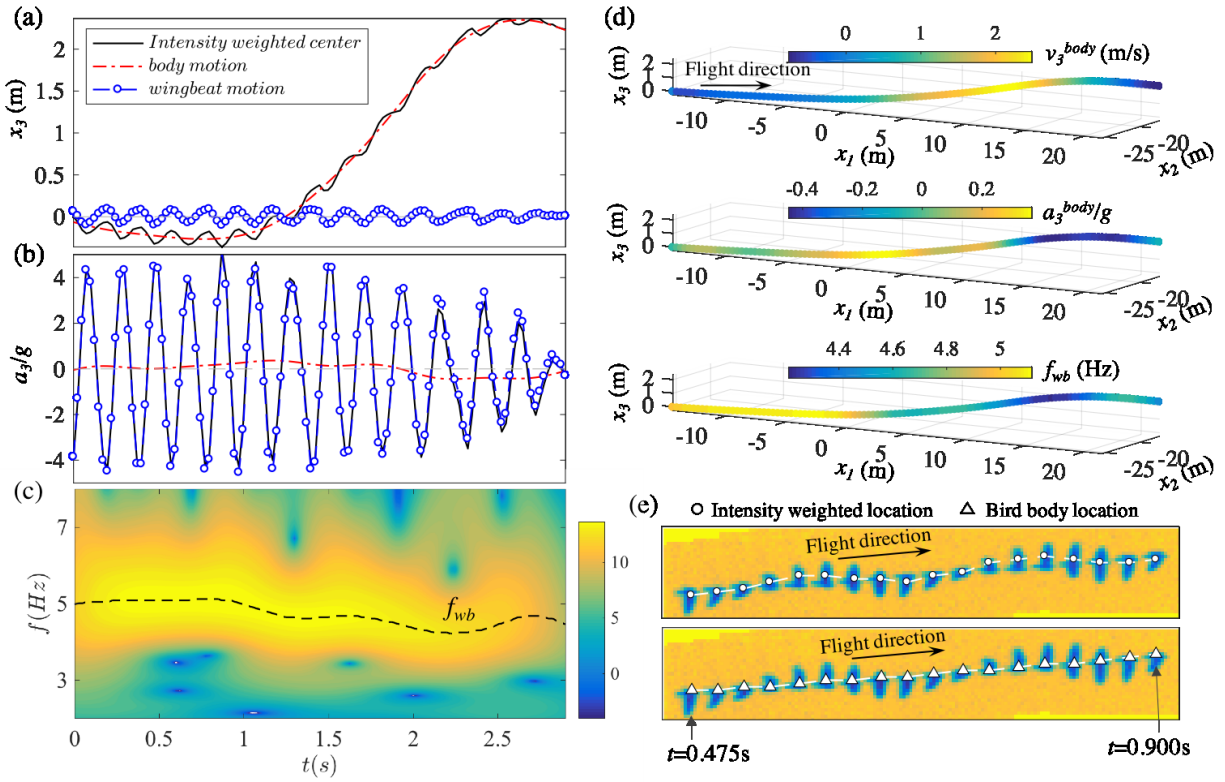
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Figure 1: (a), (b) Camera arrangement in the vertical and horizontal planes, respectively. (c) Sample images of balls on camera 1 and 3 showing the matched pixels across cameras. (d) Reconstructed camera positions and points used for calibration in 3D space. (e) A sample time series of jackdaw images captured by one camera recording at 30 Hz.



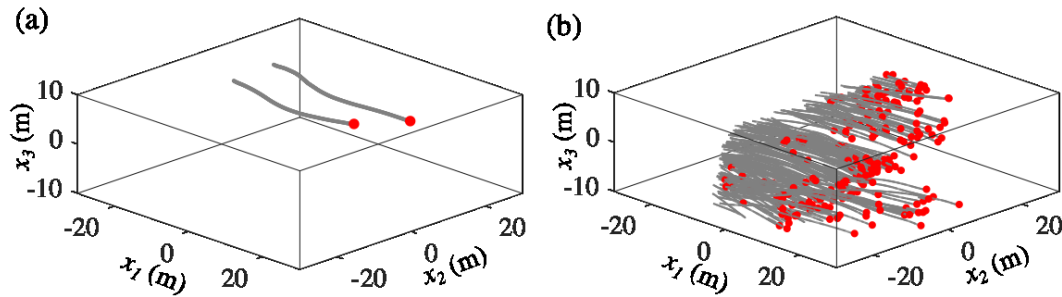
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Figure 2: Schematic of the stereo-matching and tracking procedures to solve the optical occlusion. (a) The camera setup for imaging two birds, where the images of two birds overlap on camera 1 and separate on camera 2. (b) Time series of bird images on the two cameras, with the detected bird 2D locations marked as crosses. (c) Stereo-matching for all detected birds on camera 1, with the matched birds shown in the circles. (d) Reconstructed 3D positions for the matched birds in (c). (e) Stereo-matching for all unmatched birds on camera 2, with the matched birds shown in the circles. (f) Reconstructed 3D positions for the matched birds in (e). (g) The 3D trajectories of the two birds.



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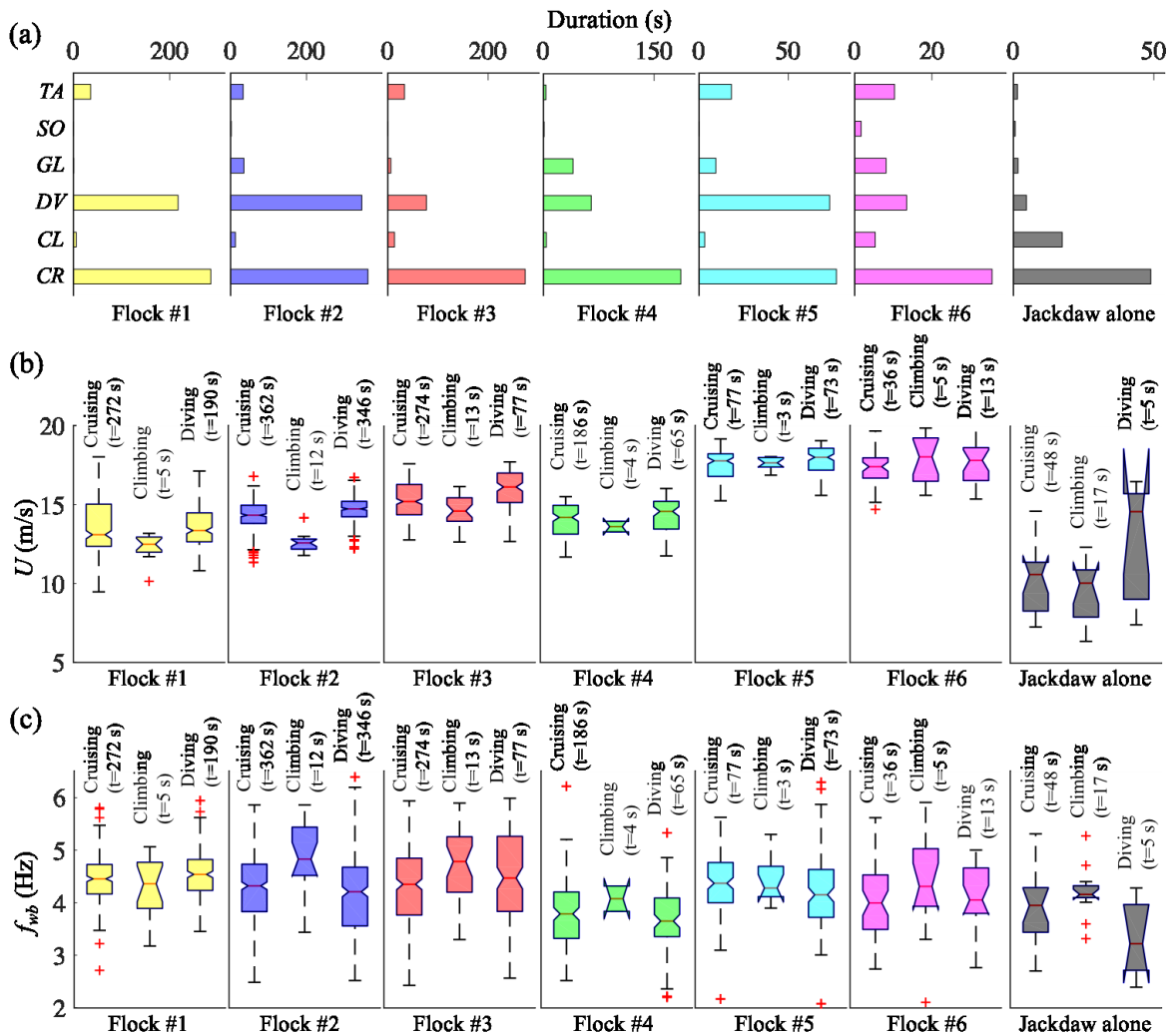
Figure 3: (a) Time evolutions of $x_3^{measured}$, x_3^{body} , and x_3^{wing} . (b) Time evolutions of $a_3^{measured}$, a_3^{body} , and a_3^{wing} . (c) Power spectrum (on a log scale) obtained from a continuous wavelet transform of x_3^{wing} and time evolution of f_{wb} (dashed line). (d) The same 3D trajectory colored by v_3^{body} , a_3^{body} and f_{wb} . (e) Time series of bird images on one camera, along with their intensity weighted centers (top row) and 2D locations obtained by re-projecting x_3^{body} onto images (bottom row).



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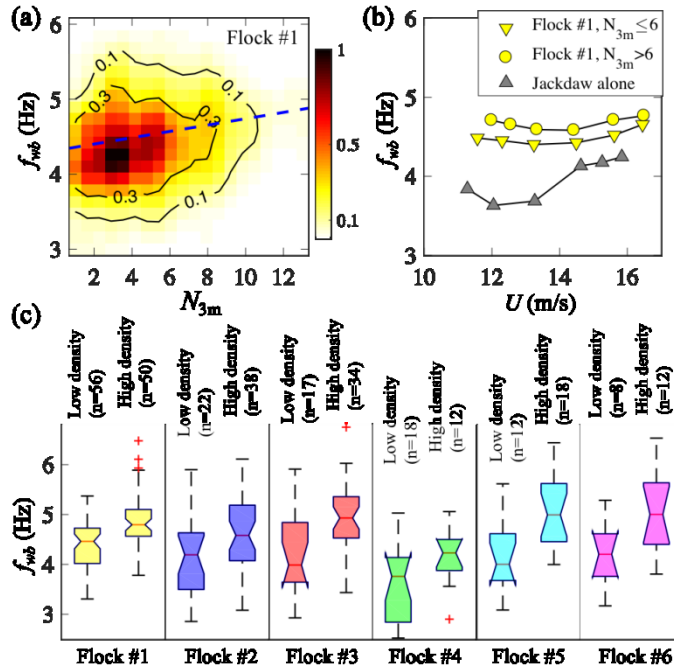
Figure 4: Sample trajectories of jackdaws flying in an isolated pair (a) and in flock #1 (b).

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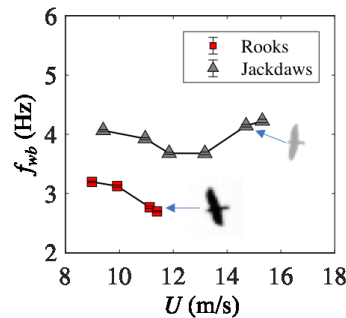
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Figure 5: (a) Time durations, (b) flight speed, and (c) wingbeat frequency of different flight modes for flocks #1-6 and for jackdaws flying in insulated pairs or alone. For (a), TA=turning or accelerating; SO=soaring; GL=gliding; DV=diving; CL=climbing; CR=cruising.



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Figure 6: (a) Joint PDFs of f_{wb} and N_{3m} (number of neighbours within 3 m of the focal bird) for jackdaws in cruising flight in flock #1. The dashed line is a linear fit to the data. (b) Flight performance curves for jackdaws in the cruising flight mode. Each point is calculated by averaging more than 800 measurements, and error bars are smaller than symbol size. (c) Box plots of wingbeat frequency averaged over flapping modes. For each flock, we selected birds that are flying in low density regions defined by $N_{3m} < \text{mean}(N_{3m}) - \text{std}(N_{3m})$, and that are flying in high density regions defined as $N_{3m} > \text{mean}(N_{3m}) + \text{std}(N_{3m})$.



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Figure 7: Flight performance curves of jackdaws and rooks flying alone or in isolated pairs. All data are calculated in the cruising flight mode. Error bars show the standard error of f_{wb} and are smaller than the symbol size. Inserted bird images are taken from one of the cameras (jackdaw wing is broader closer to the body than the outer parts, while the rook wing is more even size along its length).

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Date	Flock #	Total number of birds	Bird species	Trajectory length (s)	U (m/s)	f_{wb} in flapping modes (Hz)	D_2 (m)
Flying in a group							
2018-01-29	1	354	Jackdaw	2.7±0.1	13.7±0.1	4.70±0.04	2.5±0.1
2018-02-04	2	224	Jackdaw, rook	2.8±0.0	14.3±0.1	4.37±0.05	2.9±0.1
2018-02-04	3	186	Jackdaw, rook	2.3±0.1	15.4±0.1	4.58±0.06	3.2±0.1
2018-02-04	4	75	Jackdaw, rook	3.1±0.1	14.1±0.1	4.01±0.12	5.4±0.5
2018-02-09	5	110	Jackdaw, rook	1.7±0.1	17.6±0.1	4.69±0.10	4.6±0.3
2018-02-09	6	67	Jackdaw, rook	1.8±0.1	17.6±0.2	4.68±0.13	3.5±0.3
Flying in isolated pairs or alone							
-	-	32	Jackdaw	2.5±0.2	12.2±0.4	4.00±0.13	>10
-	-	6	Rook	2.8±0.6	12.8±1.9	2.91±0.11	>10

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Table 1. Summary of the data sets included in this paper. The reported numbers in last four columns are the mean values and standard errors. D_2 is the distance to the second nearest neighbor.