

1 Framing resilience for river geomorphology: reinventing the wheel?

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12 Abstract

13 Resilience is a well-used term in many disciplines, but inconsistently or little applied in
14 river geomorphology, and river science. Recent developments in ecosystem ecology
15 conceptualises resilience as comprising system resistance to, and recovery from,
16 disturbance. The objectives of this paper are to consider how the concept of resilience in
17 this bivariate form applies to river geomorphology, and provide a framework for bridging
18 the disciplines of ecology and geomorphology, within the setting of river management using
19 principles of resilience. River geomorphology sets the physical template upon which lotic
20 processes act, thus understanding the resilience of this template is critical. The importance
21 of consistency in defining principles of resilience thinking within the context of river science
22 and management is important especially when promoting ecosystem resilience as a river

23 management goal. The application of resilience thinking with respect to river habitat is
24 provided through a series of examples from Australian and New Zealand river systems.

25

26 **Key words:** disturbance, river science, river habitat, river ecosystems, process-response

27

28 **Aim:**

29 How is the concept of resilience applied to river geomorphology, and what does a
30 geomorphologically resilient river look like?

31

32

33 **Introduction**

34 *Resilience defined*

35 Resilience, like the terms sustainability, heterogeneity and complexity, has multiple uses
36 and interpretations across many a range of disciplines (see Downes et al., 2013; Piégay et al.
37 2018). Different conceptualisations of a term can help to advance a field of study (Hodges
38 2008). Holling (1973; 1996) summarises resilience in ‘ecological’ and ‘engineering’ terms.
39 Engineering resilience focuses on resistance to disturbance, describing a system near an
40 equilibrium steady state. By comparison, ecological resilience focuses on the magnitude of
41 disturbance that can be absorbed before system structure and function change, and a new
42 regime ensues. The Resilience Alliance defines resilience in terms of system change, where:
43 resilience is the amount of change a system can undergo (its capacity to absorb disturbance,
44 or perturbation) and essentially retain the same function, structure and set of feedbacks
45 (Walker and Salt 2006). The concept of resilience has been increasingly recognised in
46 ecosystem ecology over the past five decades (Hill 1987; Holling 1973; Parsons et al. 2016;
47 Pimm 1984; Walker and Salt 2012; Westman 1978; Wohl 2014; 2016a; Thoms et al., 2018),
48 and is now undergoing a renaissance in a range of fields. However, it has not been widely
49 applied to river systems at a large, or arguably at consistent scales.

50 At the outset it is necessary to define our conceptualisation of disturbance and
51 perturbation; as any process resulting in or having the potential to effectively change or
52 disrupt the structure and / or function of a system. Perturbation in ecology has traditionally
53 been conceived as something short-term (e.g. a flood event), while disturbance inferred as
54 an event that was more destructive, rare and to all intents and purposes, unrecoverable
55 (Rykiel, 1985). However, this distinction is artificial: perturbation and disturbance are

56 synonymous (Rykiel, 1985), although the language of disturbance (e.g. pulse, press and
57 ramp) has developed in ecology over the past three decades. When crossing disciplinary
58 boundaries, it is important to be clear about the terms employed, and as such we define a
59 disturbance as either a natural process (e.g. flood), or anthropogenic impact (e.g. pollution
60 or structural control) affecting a system. This is consistent with the use of the terms in
61 geomorphology, e.g. Gregory and Lewin (2014) argue that disturbance refers to any
62 externally-driven perturbation.

63 The capacity of a system to absorb disturbance can be assessed at a range of biophysical,
64 social and economic levels. For example, Parsons et al. (2016) identify fourteen attributes of
65 resilience associated with river ecosystems, including ecological variability, ecosystem
66 services, social capital, governance, feedbacks and thresholds. Thus, Parsons et al. (2016)
67 argue that assessing the resilience of river ecosystems as a whole requires attention to the
68 social, economic and biophysical attributes that confer resilience in river ecosystems.
69 Similarly, Nimmo et al. (2015) recognise that the term 'resilience' in a policy sense in
70 environmental management (e.g. Benson and Garmestani 2011). However Hodgson et al.
71 (2015) argue the measurement of resilience is hampered by taking a broad view that
72 embraces multiple processes, which are often conflicting. They suggest that resilience can
73 be represented by a simultaneous consideration of resistance and recovery, acknowledging
74 that a single metric is insufficient to capture the concept. This is analogous to the concept
75 defined by Nimmo et al. (2015), as resistance-resilience, in which resilience is defined in the
76 sense of capacity to recover from disturbance. Corenblit et al. (2015) also relate the concept
77 of resilience to recovery from, and absorption of disturbance rather than resistance to
78 disturbance (cf. Holling's definition of ecological and engineering resilience).

79 An important distinction between resistance and resilience is provided by Meyer (2016),
80 who recognises that resistance is related to whether, or the extent to which, system
81 disruption will occur in response to disturbance; while resilience addresses disturbance and
82 system recovery. When disturbed, systems both resist and recover from that disruption or
83 perturbation, and resilience provides a means by which to capture this bivariate idea (Figure
84 1). Thus Hodgson et al. (2015) define resistance as the immediate impact of externally-
85 driven disturbance on the state of a system, while recovery is the operation of intrinsic
86 processes to restore the system towards, or back to, an equilibrium state. This ‘bivariate’
87 approach, which can be used to measure resilience, has recently been welcomed by Yeung
88 and Richardson (2016) as providing an easily understandable representation of the concept,
89 which can be used for ecosystem management. Hodgson et al. (2016) suggest that the study
90 of resilience has suffered from a confusion of terms, metrics and definitions. In this paper,
91 we align with recent suggestions posed in the literature and follow the bivariate approach to
92 defining resilience as a single term proposed by Hodgson et al. (2015). It encompasses
93 system resistance *and* recovery as applied to river geomorphology and in particular channel
94 dynamics.

95 Figure 1

96 *Objective: reframing for river geomorphology*

97 The purpose of this paper is to consider how the concept of resilience in its bivariate
98 form applies to river geomorphology, and in doing so provide a framework bridging the
99 disciplines of ecology, geomorphology and engineering for use in the holistic management
100 of river systems. Conceptualisation of resilience in these terms is required in order for
101 resilience to be utilised as a way to manage, restore and rehabilitate rivers within the

102 context and challenges posed by global change. This is important because river
103 geomorphology sets the physical template for which lotic processes operate. To understand
104 river ecosystem resilience, the resilience of the physical template that structurally underpins
105 this ecosystem is critical. Loss of, or change in overall physical habitat may be as
106 detrimental to river ecosystem health as degraded water quality or quantity (Elosegi et al.
107 2010; Elosegi and Sabater 2013). The nature of river ecosystem structure and function, as
108 determined by river geomorphology, is a focal point of key frameworks in stream ecology.
109 These include the River Continuum Concept – RCC - (Vannote et al. 1980), Intermediate
110 Disturbance Hypothesis – IDH - (Connell 1978), Network Dynamics Hypothesis – NDH -
111 (Benda et al. 2004), Shifting Habitat Mosaic – SHM - (Stanford et al. 2005) and the Riverine
112 Ecosystem Synthesis – RES - (Thorp et al. 2006; Thorp et al. 2008). However, the extent to
113 which these frameworks provide an understanding of resilience is not necessarily explicit, or
114 even the focus of such schemes. To advance our knowledge of “healthy”, functioning river
115 ecosystems requires an understanding of the resilience of river geomorphology, but; what is
116 this, and how is it, or how should it be, defined? In the study of the resilience of river
117 geomorphology it is important also to acknowledge the role of biotic components within
118 fluvial ecosystems. River ecosystem resilience is a function of both geomorphology and the
119 collective of biota components. Biotic components respond to physical disturbances but
120 they also influence the magnitude of physical disturbances through various biotic
121 engineering processes. For example Trimble and Mendel (1995) identify the cow as a
122 geomorphic agent, responsible for widening stream channels under heavy grazing; while
123 Statzner et al. (2000) provide evidence for enhanced bed sediment erosion from crayfish
124 activity. Thus an understanding of geomorphic resilience is central to an understanding of
125 river ecosystem structure and functioning and vice-versa: if the physical habitat template is

126 not resilient, nor is the ecology. An example of some of the more frequently used terms in
127 resilience and geomorphology are provided in Table 1.

128

129 **Table 1**

130

131 *Resilience as a concept in geomorphology*

132 The concept of resilience thinking is implicit in the study of geomorphology (Thoms et al.,
133 2018). Principles of resistance and recovery underpin our understanding of the way
134 geomorphic systems function via inter alia, equilibrium theory (cf. Thorn and Welford,
135 1994), and the role of extrinsic and intrinsic thresholds (Schumm, 1979), in governing the
136 form and behaviour of landforms (cf. Coates and Vitek 1980). Many of these principles
137 reinforce the paradigm of steady-state equilibrium, which has been a normative concept in
138 geomorphology (Phillips, 2011); especially in stream restoration (e.g. Rosgen 1996).

139 However, Phillips (2011) shows that 'steady-state' conditions are a point along an
140 adjustment continuum, defined by the response of systems to disturbance. The concept of
141 equilibrium in geomorphic systems is based on the notion of balance between process
142 (input variables) and form. Thus when a geomorphic system is disturbed, there is a period of
143 time - relaxation time - during which the system returns to a relative state of balance
144 (Phillips 2014). In river systems, which are prone to disturbance from a range of variable
145 drivers (e.g. storms generating floods and sediment), a truly steady state is unlikely because
146 disturbance intervals tend to be shorter than relaxation time. Thus systems may not trend
147 toward a steady state but rather a state of pseudo-equilibrium, which is normative in most
148 river systems (Phillips 2011). River systems are characterised by constant, or at least

149 repeated, adjustment, tending towards, but never attaining a stable equilibrium. As such,
150 they could be better viewed from an ecological resilience perspective (ie., a high capacity for
151 reorganisation in response to changes in biophysical fluxes), than engineering resilience (cf.
152 Holling 1996). More recently, Knight and Harrison (2014) suggested that Earth surface
153 systems as a whole cannot be considered to exist at a steady state with regard to forcing
154 variables driving their behaviour. This means that change, rather than stability, is the norm
155 in geomorphology (Graf 1979) and specifically in river geomorphology (Gilvear et al., 2016).
156 Change in river systems occurs as either a smooth transition, or an abrupt step-change; the
157 timescale of analysis often determines how these changes appear (Schumm and Lichty
158 1965). Resilience can be construed as a measure of geomorphological behaviour over a
159 range of spatial and temporal scales. Applying catastrophe theory as a model for describing
160 space-time changes and Graf (1979) illustrated the potential for different behaviours of
161 change in river systems. Graf (1979) hypothesised that a geomorphic system can be
162 described by measures of force and resistance and response, and catastrophe theory
163 indicates that changes taking place in the system can be described as a “cusp catastrophe”
164 (p.20), occurring abruptly or gradually. Essentially this is another way of defining resilience.
165 Here we define resilience as resistance and recovery of systems at a range of spatial and
166 temporal scales in response to disturbance (Figure 1).

167 Resilience is therefore implicit to fluvial geomorphology, but often with little qualification
168 of its precise meaning (although see Wohl 2016a and Thoms et al., 2018). It has been used
169 to imply the degree of resistance to disturbance or perturbation from flood events, the
170 maintenance of a stable channel form, and stabilisation of riparian structure, at a range of
171 timescales (e.g. Gilvear 1999, Brooks and Brierley 2002, Kasai et al. 2004, Oldmeadow and
172 Church 2006, Collins et al. 2012, , Jackson et al. 2015). Hydrological resilience was defined

173 by Botter et al. (2013) as buffering changes in external forcing. In contrast, Yuill et al. (2016)
174 and Hohensinner et al. (2014) relate resilience to recovery following disturbance, while
175 Buraas et al. (2014) set resilience alongside (in contradistinction to) resistance in the context
176 of channel response to floods. Newson and Large (2006) refer to resilience as a
177 characteristic of natural channels, but do not define the term as such, setting it alongside
178 river function and sensitivity.

179 From a geomorphological perspective, resilience, as a concept comprising both resistance
180 and recovery, is perhaps best understood in the geomorphological literature in terms of
181 sensitivity, as discussed recently by Wohl (2016a). Frequently used, geomorphic sensitivity
182 has been defined by Brunnsden and Thornes (1979) as the relationship between the
183 frequency of disturbance (threshold exceeding) events and the recovery time, which is the
184 time it takes for a system to return to its pre-disturbance condition, in other words its
185 resilience (Phillips 2009). Downs and Gregory (1993) similarly connect sensitivity with the
186 ability of a system to recover from disturbance. Resilience has since been used in
187 conjunction with sensitivity by several authors, either implicitly or overtly (Harvey 2002;
188 Wittenberg and Newson 2005; Thompson et al. 2008; Fryirs et al. 2012; Bruschi et al. 2013;
189 Fryirs et al. 2015; Fryirs 2017). Rice et al. (2012) overtly recognise the relationship between
190 resilience as an ecological concept and geomorphological ideas of reaction, relaxation and
191 response time, which are all used to define system sensitivity. While Phillips and Van Dyke
192 (2016) argue that 'geomorphic resilience' relates to dynamical stability and is contingent on
193 how recovery is conceived or defined. This definition refers to the capacity to recover to or
194 towards a pre-disturbance state, with systems better able to recover being more resilient.
195 This definition of a resilient system was also recognised by Wohl (2014), who tracked the
196 adoption of ecological concepts of resilience, sustainability and ecological integrity by fluvial

197 geomorphologists since 2000, in attempts to characterise river health. Resilience is
198 becoming recognised by many as a desirable working concept in river management, e.g.
199 Wohl (2016a), but exactly what is being desired when fluvial geomorphologists speak of the
200 need to improve resilience? Does this mean to improve sensitivity and propensity for
201 change; or enhance recovery following disturbance; or enhance resistance to minimise
202 disturbance in the first place? Downs et al. (2013) argued that the most natural (least
203 modified) reaches of the Santa Clara River, California, were the most morphodynamically
204 resilient, since these stretches, while responding to floods by channel widening, lacked
205 sufficient sensitivity to generate a persistent and recognisable response. This is in contrast
206 to more modified reaches, which suppress morphodynamic sensitivity, but which enhance
207 process sensitivity due to greater sediment transport capacity (Downs et al. 2013). A similar
208 situation has been observed in New Zealand by Fuller and Basher (2013), where the largest
209 recorded flood in the upper Motueka River (Good Friday, April, 2005) resulted in minimal
210 channel planform change due to rock-lined banks, but enhanced sediment transfer, and in
211 fact bed degradation, in the narrowed river corridor. As Downs et al. (2013) point out, the
212 potential for morphodynamic sensitivity in such cases is very high should embankments or
213 (in the case of the Santa Clara River) grade control structures fail during a flood event that
214 exceeds design capacity. Resilience in these engineered rivers is thus forced, rather than
215 inherent as a system property. In this paper we discuss the application of a reframed view of
216 resilience to river geomorphology. We consider how geomorphic resilience, together with
217 thresholds and trajectories can be conceptualised as part of this application. This leads the
218 way to discussing what a geomorphologically resilient river may look like and how rivers
219 should be managed for resilience, particularly in an era of global change. Our discussion is
220 amplified by the use of discrete case studies for illustration.

221

222 **Resilience applied to river geomorphology**

223 River morphology is influenced by a range of variables, operating at multiple scales
224 (Schumm, 1998). These include the flow regime (the magnitude and variability of
225 discharges, which relate to the prevailing climate regime, and the history of flows), slope,
226 sediment supply and the textural character of the sediment (related to catchment geology),
227 riparian vegetation and bank composition (e.g. alluvium or bedrock). These variables
228 provide boundary conditions that determine how river channels respond to disturbance,
229 such as a large flood or tectonic activity (e.g. the 2010-2011 Christchurch earthquake
230 sequence in New Zealand resulted in base level change and lateral spreading impacting the
231 Avon River –see Fuller et al. 2016). The combination of variables determining river
232 morphology vary continuously, both spatially and temporally, producing a continuum of
233 channel forms in a catchment (Schumm 1977; Fryirs and Brierley 2013). Within a particular
234 river reach, changes to the assemblage and composition of morphological units, e.g. bars,
235 riffles, pools and runs, and changes in the textural character of the river bed substratum in
236 response to floods are determined by the initial sediment texture and channel morphology
237 (Thorp et al. 2006; Poole 2010; Elosegi and Sabater 2013). These scales – the morphological
238 unit and substrate scale – represent critical physical habitat for in channel biota, and the
239 health of river ecosystems. The concept of resilience is best applied to river geomorphology
240 at these scales, recognising that river character / type is characterised by a particular
241 assemblage of these units (Fryirs and Brierley, 2013). At the reach scale or morphological
242 unit scale, resilience is thus the propensity of a river to retain its characteristic assemblage
243 of channel features / units following disturbance. This notion is central to the Shifting
244 Habitat Mosaic Concept (SHMC) of Stanford et al., (2005), which recognises that different

245 fluvial units may have different geomorphic resiliences. In effect, this is the capacity of the
246 river geomorphology to both resist and recover from disturbance, or 'absorb' disturbance
247 without substantial change to overall form (Figure 2) at this scale. In (pseudo-) equilibrium
248 terms, this has been recognised as dynamic equilibrium (Hack 1975).

249

250 **Figure 2.**

251

252 *Geomorphic resilience, thresholds & trajectories*

253 The capacity of a river to absorb (resist and recover from) disturbance is connected to
254 geomorphic thresholds in discrete river reaches. River channel changes occur when
255 thresholds relating to stream power, or flow regime and sediment regime are exceeded
256 (Schumm 1979). Where a river reach lies close to a geomorphic threshold it is primed for
257 change (i.e. it is sensitive to change), which is triggered by disturbance (Brewer and Lewin
258 1998, and see Schumm 1969; 1979). In such a situation, resistance to change is low, and
259 channel adjustment occurs. Recovery to a disturbance may be rapid (Figure 2), with
260 characteristic morphological units quickly re-established – here vegetation colonisation and
261 development may also play a role (e.g. Dollar et al. 2007; Caruso et al. 2013) and provide a
262 link with riverine plant ecology. The potential relationship between resistance and recovery
263 in generating system resilience is shown in Figure 3, where various resilience trajectories are
264 described. The resilience trajectory of a reach is dependent upon its sensitivity to
265 disturbance, and in turn conditioned by its proximity to a threshold (Brunsden and Thornes
266 1979; Brunsden 2001). A disturbance that fails to exceed a threshold will result in no change
267 in unit structure, river morphology or physical habitat. In this situation resilience is 'static'

268 (cf. Figure 3c) and may describe the behaviour of river geomorphology to small, frequent
269 floods. These smaller (within-channel) floods, which can occur c.14-30 times a year in humid
270 temperate environments (Harvey et al. 1979) are critical for maintaining suitable habitat
271 and ecological integrity. These flow events prevent substratum armouring, fine sediment
272 accumulation and excessive periphyton proliferation that can cause cascading trophic
273 changes and reduce ecological condition (Clausen and Biggs 1997; Poff et al. 1997; Death
274 2008; Lessard et al. 2013), despite having little effect on reach-scale geomorphology. By
275 comparison, larger floods represent potentially greater disturbance, which can be
276 catastrophic in nature (Fuller 2008; Death et al. 2015). Where recovery is rapid, resilience
277 can be considered as 'steady state' (Figure 3a), because the system has absorbed the
278 disturbance and returned to its pre-flood condition (i.e. channel form and assemblage of
279 morphological units). In this case, resistance and recovery are balanced, and since the
280 system absorbs the disturbance, this could be considered as resilience in its classic sense.
281 Based on an assessment of gauged reaches, Phillips and Jerolmack (2016) argue that
282 channels adjust their shape so that floods only slightly exceed sediment transport
283 thresholds, which they suggest is a mechanism of self-organisation. As such, steady-state
284 resilience could be considered as an endemic trait in river geomorphology. In contrast, a
285 catastrophic response to flooding can also occur, resulting in complete transformation of
286 reaches (e.g. Schumm and Lichty 1963, Hauer and Habersack 2009, Thompson and Croke
287 2013). The notion of steady-state or static resilience does not apply in such circumstances.
288 Although this is timescale-dependent (cf. Schumm and Lichty, 1965), and raises the
289 possibility that resilience in geomorphology must be viewed across multiple timescales
290 (Thoms et al., 2018), albeit spatially at the reach / morphological unit scale. However, this
291 need not necessarily imply that such rivers are not resilient. Phillips (2009) argues that if the

292 pre-disturbance state of a system is not restored, a system can be construed as non-
293 resilient, or having low resilience. Nevertheless, resilience is itself dynamic (Figure 3b),
294 where progressive change occurs in a system adjusting to new boundary conditions, as has
295 been discussed in Schumm's (1969) model of channel metamorphosis, e.g. a progressive
296 increase in discharge and bed load may increase channel width, width:depth ratio, meander
297 wavelength and channel gradient, while reducing sinuosity. In the East Coast Region of
298 New Zealand, where land-use change has rendered catchments prone to erosion, rivers
299 have been more dramatically transformed from narrow, single-thread systems to rapidly
300 aggrading multi-thread rivers (Page et al. 2007). While in many cases such a change
301 proceeds over several decades, centuries, or even millennia, in one particular East Coast
302 river, the Raparapaririki, the system was transformed within a decade (Tunncliffe et al.
303 2018). The transformation of this channel was associated with a major storm event in 1988,
304 and the shift in channel type provides a contemporary example of meta-stable resilience
305 (Figure 3d), which Werritty (1997) referred to as responsive behaviour. Here, steady-state
306 resilience would be categorised as robust. In resilience thinking, robustness would be
307 expected to equate to resilient channel behaviour. However, meta-stable resilience is,
308 arguably, not resilience in the conventional sense because the disturbance has not been
309 absorbed, the system has not recovered, nor resisted, but responded to the disturbance,
310 crossed critical geomorphic thresholds and been transformed to a new channel type, with
311 the prospect of recovery unlikely at a centennial scale (Tunncliffe et al. 2018). Resilient
312 rivers are thus robust rivers using Werritty's (1997) definitions. Transformative (responsive)
313 change occurs in rivers sensitised to disturbance, sitting close to thresholds (Brewer and
314 Lewin 1998), or in rivers that are subject to wholesale regime change (e.g. Page et al., 2007,

315 Tunnicliffe et al., 2018). Case study 1 provides an example of different trajectories (i.e.
316 directions) of river channel change in the Lower River Murray, South Australia.

317

318 **Figure 3.**

319 **Case Study 1.**

320

321 The relationship between disturbance frequency, rate of recovery and amplitude of
322 response is important, as it contributes to understanding resilience in the context of river
323 geomorphology (Figure 4). It is important to note that the resulting system dynamics can be
324 considered resilient regardless of how dynamic they are. Highly dynamic rivers, sensitive to
325 small floods, which absorb disturbance and do not experience changes in the assemblage of
326 unit morphologies exhibit robust behaviour (Werritty, 1997), are resilient as moderately
327 dynamic or steady state rivers. In this case, each adjusts to the frequency of disturbance,
328 amplitude of response and rate of recovery that are inherited from the catchment boundary
329 conditions.

330

331 **Figure 4.**

332

333 **Geomorphologically resilient rivers**

334 What do geomorphologically resilient rivers look like? How should rivers be managed for
335 resilience? A range of river types and dynamics can be considered resilient, especially where
336 disturbance is absorbed and river form retained or recovered. However, not all resilient

337 rivers are necessarily healthy rivers, particularly where river management has sought to
338 maintain a stable channel form with naturally occurring change and propensity for that
339 change being seen as undesirable (Raven et al. 2010; Fuller and Basher 2013). Healthy rivers
340 are those that manifest diversity and complexity of expected form (Wohl, 2016b). These
341 ‘messy rivers’ have a natural capacity to adjust in response to disturbance, which makes
342 them resilient. The range of natural capacity for adjustment will be dependent upon the
343 character of each river system (cf. Fryirs and Brierley, 2013). As such, both dynamic and
344 non-dynamic river types in their natural state are resilient to the natural range of
345 disturbance (i.e. floods) in their catchment. In its unaltered condition, a river responds with
346 resilience to even the largest floods, because its natural form and character will adjust and
347 recover over time. The problem for river management is that many rivers are now no longer
348 in a natural catchment setting. The following discusses application of the theoretical
349 understanding of resilience as a concept in fluvial geomorphology to inform and improve
350 river management.

351

352 *Resilience and river management*

353 Traditional river management deliberately homogenises reaches, reducing form
354 complexity and habitat diversity (Wohl 2016a). The end product is robust and insensitive
355 rivers with a largely fixed form (Fuller et al. 2012; Fuller and Basher 2013), at least over
356 short and medium timescales until a “catastrophic flood” occurs. These rivers have
357 suppressed morphodynamic sensitivity (Downs et al. 2013) and could be argued to be highly
358 resilient, because there is no morphological response to most disturbances. But resilience in
359 these systems is largely a product of resistance, since change, and therefore recovery, is

360 often minimal. In fact such reaches lack the capacity to adjust naturally to disturbance,
361 require large-scale investment to maintain their modified form and are vulnerable to
362 wholesale change in the event of infrastructure failure (Downs et al. 2013, Fuller and Basher
363 2013) and are then very expensive to reinstate. Such forced resistance is not conducive to
364 river health, since habitat diversity is severely curtailed and the shifting habitat mosaic
365 effectively stabilised. Furthermore, there is a significant risk of major geomorphic change in
366 these forced resilient systems, should engineering fail (Downs et al. 2013). A critical debate
367 here is the respective resilience, especially in a period of environmental change, of heavily
368 managed rivers and more natural counterparts. An example of resilience and managing
369 rivers is provided in Case Study 2.

370

371 **Case Study 2**

372

373 *Rehabilitating for resilience*

374 River rehabilitation focused on resilience is to increase the capacity for recovery. This
375 concerns both the improvement of the recovery in time and space, and minimising the
376 likelihood of large-scale system change to a new state or costly periodic management
377 interventions such as dredging. A resilient river geomorphology is not characterised by zero
378 change or static geomorphology, but by disturbance, response and recovery, and, inevitably,
379 a degree of complexity (Wohl 2016a). To allow for this, most engineered rivers require
380 'room to move'. This concept has been advocated in terms of an 'erodible river corridor'
381 (Piégay et al. 2005); 'freedom corridor' (Biron et al. 2014, Buffin-Bélanger et al. 2015); and
382 'protected mobility corridor' (Choné and Biron 2016). It entails working with nature and

383 respecting geomorphic diversity (Brierley and Fryirs 2009). Importantly, permitting
384 movement means allowing for lateral mobility or channel migration, which are important
385 for maintaining and redistributing sediment (Rinaldi et al. 2013) and sustaining resilience
386 within the system. This in turn connects with physical habitat, because redistribution of
387 sediment means the riverbed is being turned over and pool-riffle units and bars, which
388 develop or are maintained as sediment is redistributed, provide important biotopes and
389 habitat, enhancing biodiversity (Milan et al. 2010; Michalková et al. 2011; Garcia et al.
390 2012). At a finer scale, mobilisation of riffle sediments is particularly important as this
391 prevents clogging by fine sediment, which is detrimental to ecology – indeed here low
392 resistance to disturbance provides habitat resilience to elevated suspended sediment
393 loading from disturbed catchments. Bank erosion itself is also of benefit to the functioning
394 of river ecosystems (Florsheim et al. 2008) and is a key channel adjustment mechanism
395 during flood disturbance events (Fuller 2008, Phillips and Jerolmack 2016). Indeed, bank
396 erosion allows rivers to increase their capacity as floods become larger and more frequent.
397 Bank erosion linked to lateral migration of meanders also leads to the development of point
398 bars that provide niche habitats for some plants and animal species, and is thus a
399 component of river resilience that should be biologically valued. This process relates to the
400 shifting habitat mosaic, which is an established concept in the functioning of natural
401 ecosystems (Stanford et al. 2005)

402 Revegetation is important for the rapid recovery of fluvial surfaces to the pre-disturbance
403 state following perturbation (Gurnell 2014, Gurnell et al. 2016). In this sense healthy,
404 resilient riverine landscapes are those which supply pioneer species via hydrochory (e.g.
405 Tererai et al. 2015). Without such a process, change from meandering to wandering and
406 wandering to braided river morphologies is more likely, given the stabilising influence of

407 revegetation. In turn these planform changes pose challenges for river management, as the
408 erodible river corridor width increases without the stabilising and / or limiting effect of
409 revegetation. However, in New Zealand, recent invasion of river corridors by exotic weed
410 species including willow, lupin, gorse and broom has inhibited natural river dynamics in
411 historically active gravelly rivers (native vegetation grows much more slowly than exotic
412 weeds). For example, the Waitaki River, a naturally active braided river in North Otago, has
413 been stabilised significantly by invasive riparian vegetation (Caruso et al. 2013). This
414 vegetation has altered channel and bar dynamics, and associated river habitat and in this
415 case choking of the active channel by invasive vegetation has arguably reduced resilience.
416 To illustrate this point, the Kiwitea Stream in the North Island of New Zealand responded
417 catastrophically to a 100 year annual recurrence interval (ARI) flood in 2004 (Fuller 2008).
418 The reason for this catastrophic response lay in the over-narrowed channel, lined by
419 extensive exotic vegetation (Fuller and Heerdegen 2005). In much the same way as the River
420 Tay, Scotland, responded to a shift in flood regime, the river in this narrowed form was
421 unable to accommodate the 100 year ARI event. The river morphology and attendant river
422 habitat was transformed (Figure 5). Subsequent river engineering has reduced active
423 channel width, but not to the same degree as prior to 2004. The outcome is a wider river
424 corridor, with a diversity of habitat that is more resilient, since both disturbance and
425 recovery are now allowed for. In this example, the resilience capacity has been improved
426 relative to the 1995 channel and the likelihood of subsequent catastrophic transformation
427 been reduced.

428

429 **Figure 5.**

430

431 *Prospect*

432 Rivers will be exposed to greater frequencies and magnitudes of disturbance with future
433 climate change and predicted increases in frequency and magnitude of extreme events (e.g.
434 Donat et al., 2016). This change can be considered as pulse, ramp or press in nature,
435 following Lake (2000). Changing climate is likely to increase flood magnitude and
436 storminess, which equates to increasing pulse disturbance (Phillips and Van Dyke 2016); as
437 recently seen in Haiti and North Carolina with Hurricane Matthew in October 2016 (Figure
438 6). However, increased frequency and magnitude of floods constitutes a ramp disturbance,
439 as the strength of the disturbance increases over time (Lake 2000) (Figure 7). Ultimately,
440 these changes may result in a press disturbance, where disturbance regime changes. In
441 sensitive systems, press disturbance results in permanent change in boundary conditions,
442 responsive change takes place, and resilience changes (cf. meta-stable resilience, Figure 3).
443 Since geomorphic sensitivity and resilience relate to the magnitude and frequency of
444 disturbance (Brunsden and Thornes 1979, Brunsden 2001, Phillips and Van Dyke 2016), the
445 relationship between disturbance and response will potentially change as frequency and
446 magnitude change. Schumm (1998) recognised that sensitivity adjusts over space and time,
447 and Fryirs (2013) noted that systems can become more or less sensitive to future
448 disturbances. In turn, a change in sensitivity may effect a change in resistance to
449 disturbance, and thus resilience (Figure 7). In each of the scenarios depicted in Figure 7,
450 resilience is likely to change. It is difficult to predict whether, as Fryirs (2013) suggests, some
451 systems may become more resilient, while others more sensitive. The outcome will be
452 dependent on the magnitude-frequency of disturbances and the inherent characteristics
453 and sensitivity of the system. Where reaction and relaxation time exceed the frequency of

454 disturbances, the system is unable to recover and a ramped response is likely (Figure 7). In
455 such a scenario, resilience, i.e. the ability to absorb disturbance, may be compromised and
456 change in river geomorphology (unit assemblage and channel form) is likely.

457

458 **Figure 6.**

459 **Figure 7.**

460

461 There is a need to allow for rivers to adjust to changing sediment flux and flow conditions
462 to ensure properly functioning, suitably complex, resilient systems are maintained.

463 Resilience or river sensitivity is not static. Trying to keep river channels as they are today,

464 while the driving forces and boundary conditions that are responsible for these channels

465 and their assemblage of morphological units change within the catchment is not tenable

466 and does not foster resilience in river geomorphology. Instead, change must be anticipated,

467 erosion permitted, adjustment allowed, and complexity in river form, which engenders

468 diversity of river habitat and healthy river ecosystems (Wohl 2016a) must be recognised in

469 framing resilience for river geomorphology. The *rate* of change can be mitigated by strategic

470 and targeted catchment management, taking into account catchment connectivity. For

471 example, reforestation can help reduce some flood peaks and certainly help reduce

472 sediment flux by reducing slope erosion. These measures may slow down the rate of change

473 in river geomorphology, but cannot, ultimately prevent change altogether. Managing for

474 complexity and resilience at a reach-scale, so that adjustments can take place and

475 disturbance absorbed, can be facilitated or enhanced by an holistic approach to catchment

476 management. This requires an understanding of resilience at a larger spatial scale, a

477 'network resilience', which recognises that connectivity within the contributing catchment is
478 fundamental to maintaining the natural scope of river adjustments and response to
479 perturbation (e.g. Fryirs 2013). Where connectivity is disrupted at a catchment scale,
480 resilience at a reach-scale (and ultimately patch-scale) may be compromised, because the
481 flow of water and sediment which enables reaches to absorb, resist or recover from
482 disturbance is compromised. Resilient river geomorphology is responsive to change and
483 connected with the larger catchment. Catchment connectivity is thus a fundamental
484 component underpinning resilience in river geomorphology.

485 Some river geomorphologies are naturally adjusted to high magnitude and frequency
486 flood events. Monsoonal river systems have always experienced large floods and their
487 resilience is unlikely to change in response to increased disturbance, in fact high magnitude
488 floods have increased in recent decades (Kale et al. 1997) but without undue effects on river
489 morphology (Macklin et al. 2012, Muhammad et al. 2013). Large lowland river systems have
490 similarly been structured by large floods and are unlikely to show major geomorphological
491 response to big floods in future (e.g. Croke et al. 2013). As such, these rivers can be
492 construed as being resilient, even in an era of global change. However, Fryirs et al. (2015)
493 suggest that while one such river (Lockyer Creek, which is typical of many southeast
494 Queensland (Australia) systems in having a high flash flood index) appears to have been
495 geomorphically resilient to large floods since European settlement (ca. 250 years), there
496 remains a need for work to assess whether the resilience of such a system will continue in
497 the same form, with increasing frequency of extreme floods projected with forecast climate
498 change. Resilience in the past, does not necessarily ensure resilience in the future. The exact
499 nature of changes in magnitude or frequency or both are likely to be critical in controlling
500 future geomorphic trajectories. Fryirs (2013) calls for a better understanding of river

501 sensitivity (aka resilience) by generating empirical data that can measure it, such as
502 understanding the character and behaviour of a reach to assess the frequency and nature of
503 adjustment, which is an approach to assessing geomorphic sensitivity outlined by Reid and
504 Brierley (2015). The greatest challenge to understanding and forecasting resilience is the
505 non-stationarity of river systems, and the nested hierarchy of sensitivity and resilience
506 forces acting in a system in both space and time (Fryirs 2017) along with the length of
507 record of change needed. Accordingly sensitivity / resilience of flood regimes to climate
508 change is strongly contingent on specific environmental and historical context (Knox 2000,
509 Phillips and Van Dyke 2016). Fundamentally, the concept of river geomorphology resilience
510 and effective prediction of future resilience of river geomorphology must recognise the
511 history of a river system. This contextualises both the present and future morphological
512 structures and processes. Examination of river system response and recovery to past
513 disturbance is a direct way of assessing resilience (Phillips 2009), and should be a priority to
514 advance the understanding of the physical template of river habitat. Framing resilience of
515 river geomorphology begins to meet these challenges, by advocating a consistency in
516 defining principles of resilience thinking within the context of river science and
517 management, and understanding how a geomorphologically resilient river behaves.

518

519 **Conclusions**

520 Resilience in river science recognises that geomorphologically resilient rivers may be
521 highly dynamic, or exhibit classic stability (Figure 4). Resilience may be manifest in several
522 ways, dependent upon the nature and frequency of disturbance and the sensitivity of the
523 river system (Figure 1). Enhancing resilience may require an improvement of geomorphic

524 sensitivity and propensity for change in the case of over-engineered rivers; or facilitating
525 recovery following disturbance; or resistance to minimise disturbance in the first place. This
526 depends on the nature of the system and its trajectory. River channel change is the norm
527 (Raven et al. 2010) and this should be incorporated into understanding resilience.
528 Ultimately, the least impacted by people and more connected the channel is with its
529 floodplain and catchment, the more resilient it can be expected to be. Changing boundary
530 conditions, like ensuring connectivity, will allow for changes to be worked through into a
531 river which is both sensitive to and in equilibrium with the flux of water and sediment
532 supplied by its catchment.

533

534 **Acknowledgements**

535 We thank the reviewers tasked with reviewing this manuscript for their helpful and
536 constructive reviews and state that the authors declare no conflict of interest. This
537 manuscript arises from a resilience workshop co-hosted in December 2015 by Massey
538 University and the Leibniz Institute of Freshwater Ecology and Inland Fisheries, Berlin,
539 whose support in kind is acknowledged, as are the efforts of Dr Kris van Looy.

540

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823 **Table 1:** Key terms of resilience and geomorphology. Note: the terms are listed alphabetically and do not equate with one another. Key
 824 references in text.

Resilience	Definition	Geomorphology	Definition
Adaptability	The capacity of actors in a system to influence resilience (Walker et al. 2004)	Catastrophe theory	A mathematical theory that models the mechanisms of sudden and discontinuous change of state in very different types of phenomenon like river ecosystems. (Graf 1979)
Basin of attraction	The set of points defining the space of system. A state has been described in resilience thinking as the ball and cup model. The cup part of the model is envisaged as a 'state space' or 'basin' while the ball part of the model is defined by the variables that constitute the system for the problem of interest. (Thoms et al. 2017)	Equilibrium	There are many different types of equilibrium referred to in geomorphic systems and these are: <i>Static</i> equilibrium: where a balance of tendencies results in a static condition – a state of no change; <i>Stable</i> equilibrium: the tendency for a system to move back towards a previous equilibrium condition ie., to recover after being disturbed by external forces;

Unstable equilibrium: where small displacement leads to a greater change and usually achievement of a new stable equilibrium;

Metastable equilibrium: when stable equilibrium obtains only in the absence of a suitable trigger which carries the system state over a threshold into a new equilibrium regime.

Steady state equilibrium: where system properties are invariant to a given time scale but may oscillate around a mean state because of the presence of interacting variables;

Dynamic equilibrium: balanced fluctuations about a constantly changing system condition may have a trajectory of unrepeated states which overtime.

(e.g. Thorn and Welford 1994)

Connectedness	The internal controllability of a system, or the degree of connectedness between internal controlling variables and processes; connectedness reflects the degree of flexibility and rigidity of controls and the sensitivity of the system to perturbation (Holling 2001)	Relaxation time	The time taken by a system to adjust to a change in energy input (e.g. Howard 1982; Thoms et al. 2018)
Latitude	Changes in the character of the cup (Thoms et al. 2017)	System	A set of interrelated parts and are defined as having three basic components; elements, states and relations between elements and states (Thoms et al. 2018)
Resilience	The capacity of a system to absorb disturbance and reorganize while undergoing change so as to still retain essentially the same function, structure, identity, and feedbacks (e.g. Walker et al. 2004)	Threshold	A threshold of landform stability can be exceeded either by intrinsic change of the landform itself or by change of an external variable. <i>An intrinsic</i> threshold implies changes can take place within a system without a change in an external variable. <i>An extrinsic</i> threshold describes change triggered by an external variable.

(Schumm 1979)

Resistance The difficulty to change within a basin of attraction or
how difficult it is to move the ball around the cup

(Thoms et al. 2018)

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827

828 **Case Study 1:** River trajectories of change and resilience: River Murray, Australia

829 A range of trajectories can be illustrated in the response of reaches along part of an 830
830 km section of the River Murray below the Darling junction (the lower Murray), in SE
831 Australia. This reach of the River Murray (Figure Case Study 1 a) receives no major tributary
832 flow. Flows are controlled mainly by large upland reservoirs (Jacobs, 1990), but along the
833 lower Murray there are 10 low-level weirs constructed in 1922-35. The presence of these
834 weirs has initiated a series of river channel adjustments (Thoms and Walker 1992) showing
835 three basic responses:

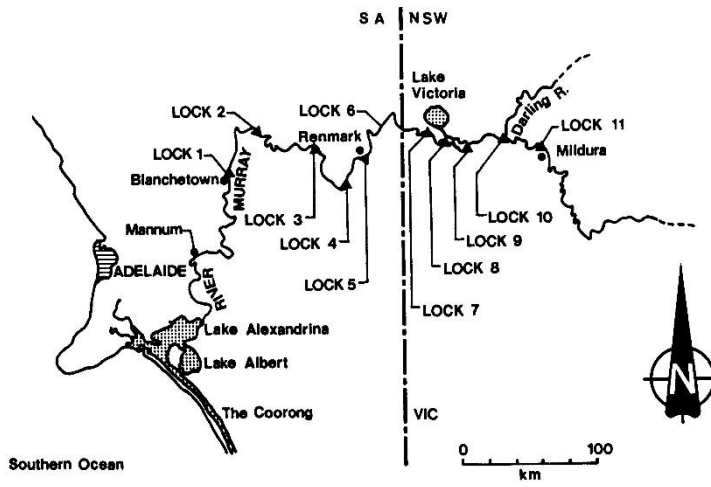
836 1) Stabilizing river morphology (Locks 3-4, 8-10). After an initial period of fluctuation the
837 cross section attained a new dynamic equilibrium, 30-40 years after closure of the weir,
838 where it is 100-200 percent larger than the pre-regulation value. As such the alteration
839 amplitude has exceeded resilience and this provides an illustration of meta-stable
840 resilience (Figure Case Study 1 b). It is interesting to note the response of these weirs to
841 a major flood in 1976 (peak $1078 \text{ m}^3 \text{ s}^{-1}$). Cross sections below Locks 3 and 4 increased
842 by 106 and 313 m^2 after the flood, but returned to pre-1976 values two years later. If
843 these cross sections had not been in equilibrium with the regulated regime the pre-flood
844 values may not have been returned to pre-flood values (as happened after a much larger
845 flood in 1956). It is likely that the present cross-sectional areas will be maintained while
846 the regulated regime persists.

847 2) Eroding river morphology (Locks 5-7). The first stage is similar to the stabilising
848 response described above in that there is an initial period of fluctuation. Subsequently,
849 erosion and enlargement of the channel have continued since the 1950s. As such the

850 reach is continuing to adjust, resistance exceeds recovery and resilience is dynamic
851 (Figure Case Study 1 b).

852 3) Fluctuating or instability of river morphology (Locks 1-2). This response is distinctive
853 because no clear pattern of adjustment is evident and the fluctuations appear to be
854 independent of variations in discharge. There is some synchrony in changes in the
855 cross-sectional area below Locks 1 and 2, and the magnitude of the changes is greatest
856 below Lock 1, the furthest downstream weir. Here resistance could be construed as
857 being equivalent to recovery, and resilience is in a steady-state (Figure Case Study 1 b,
858 NB x-axis shows years).

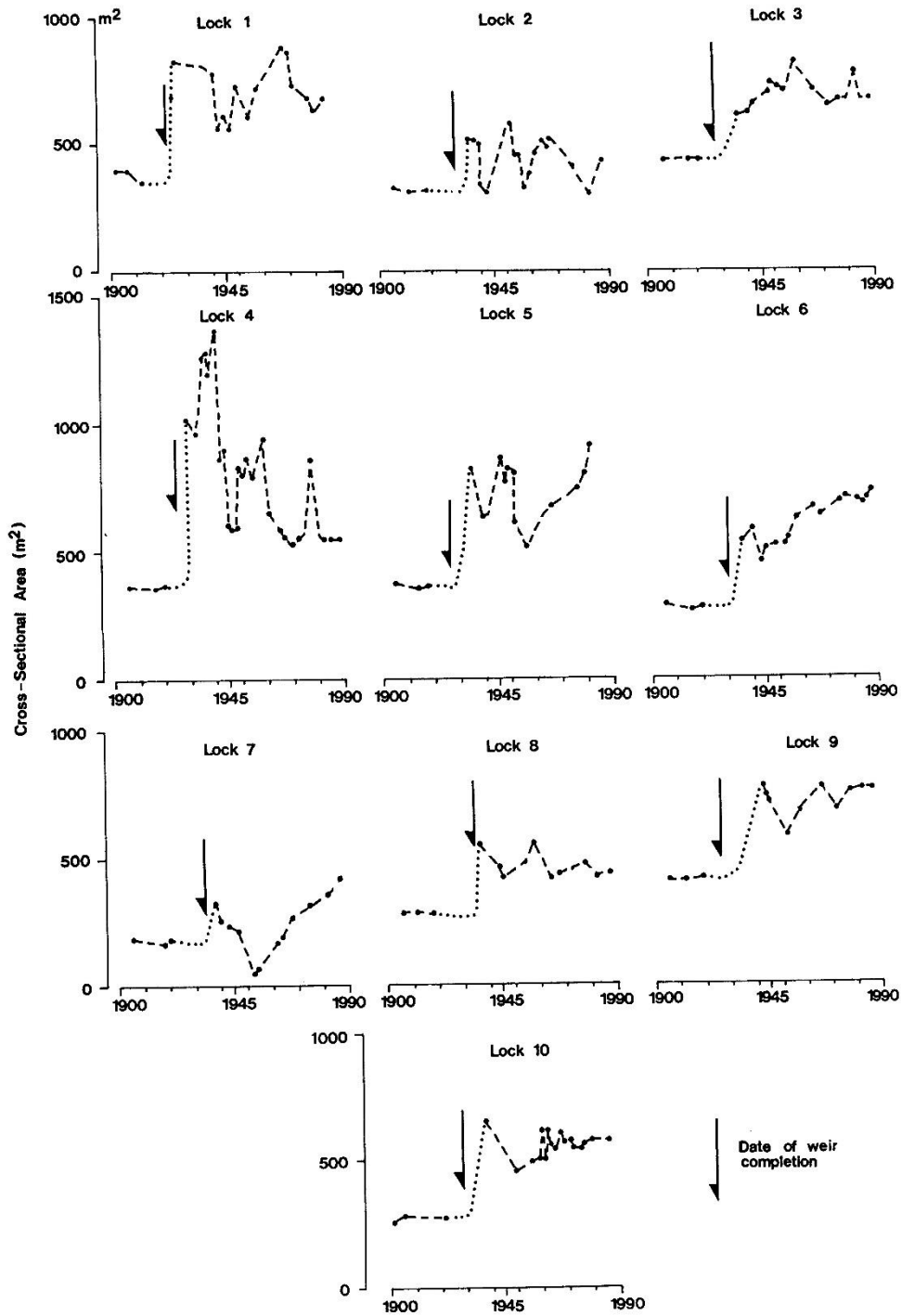
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861 Figure Case Study 1 a. The Lower River Murray, South Australia. Flows in the River
862 Murray, below its confluence with the Darling River, is regulated a series of 10 lock and weir
863 systems.

864



865

866 Figure Case Study 1 b. Trajectories of river channel behaviour downstream of 10 weirs
 867 (Locks 1-10) along the lower River Murray. Bankfull cross section areas are given (see Thoms
 868 and Walker, 1993)

869

870 **Case Study 2:** River management and resilience on the River Tay, Scotland

871 Research on the River Tay in Scotland (Gilvear and Winterbottom 1992) using old maps has
872 shown how reach morphologies have been altered from moderately sinuous and active and
873 wandering gravel bed ones to less sinuous and active channels with agricultural
874 embankments on each side. During time periods lacking large floods the channel prevents
875 inundation of the floodplain and allows farming. Thus during the 1970s and 1980s, flood
876 events causing failures were in the order of one per decade. However, during large floods
877 causing overtopping, such as ones in 1990 and 1993, multiple embankment failures
878 occurred causing large scour holes and stripping of soil along the lines of relic channels
879 (Gilvear et al. 1994). Gilvear and Black (1999) demonstrated that an upward shift in flood
880 peaks of 5%, over the historical record dating back to the 1950s, could create an increase in
881 embankment failures of up to 25%. Since 2000 a “flood-rich” period consistent with climate
882 change predictions of flood magnitude and frequency have led to frequent flood
883 embankment failures (in the order of every 3 years). Subsequently, costly human
884 intervention is required to make the floodplain suitable for agriculture. The channel and
885 floodplain morphology, under the embanked conditions, had very low resilience to the
886 recent heightened flood peak regime and the current river management approach is
887 effectively unsustainable. In reaches lacking embankments adjacent to the channel, it is
888 noticeable how floods cause some minor channel morphological adjustment and inundate
889 the floodplain, but with very little geomorphic consequence, such reaches are far more
890 resilient to natural shifts in flood regime, and healthy river habitat is maintained. Since
891 instability in some form is the norm in naturally adjusting, absorbing, resisting, recovering
892 river systems, river management ought to take this into account (Newson and Large 2006).
893 Failure to do so alters sediment dynamics and results in loss of habitat heterogeneity

894 (Downs et al. 2013, Edwards et al. 1999). There is thus a need in many engineered rivers to
895 rehabilitate resilience, to allow for disturbance and recovery to disturbance, which is part of
896 natural reach behaviour particularly in this flood-rich era that seems to be apparent globally
897 (Thoms et al. 2018).

898

899 **List of Figures**

900 **Figure 1.** Bivariate composition of resilience defined. Resilience comprises both resistance to and
901 recovery from disturbance. For example, a bedrock river will have a high resistance to change usually
902 retaining its form regardless of the magnitude of a flood event. Highly engineered channels will also
903 be resistant to flows for which they have been designed. In contrast a braided system is readily
904 'disturbed' by small events that reshape channels and bars. However, braided rivers have a high
905 propensity for recovery from floods, retaining their form while not resisting change. When
906 engineered channels are altered by floods that exceed their design capacity, they have a low
907 recovery potential, similarly, a meandering channel that has been naturally straightened by cutoffs
908 during a large flood will have a lower recovery potential (i.e. take longer) to recover its original
909 sinuous form. What constitutes a resilient river is discussed later in this paper.

910 **Figure 2.** Resilience defined in a process-response system, which characterises geomorphic
911 processes in river geomorphology. Resilience comprises resistance and recovery. Disturbance may
912 produce no response (a), or a lagged response (b), or an immediate response (c), depending on the
913 geomorphic sensitivity of the system (Phillips and Van Dyke 2016). An example of a lower system
914 resilience threshold in the channel continuum concept might be straight (below the line) to
915 meandering (above the line).

916 **Figure 3.** Resilience trajectories (a) steady state, (b) dynamic, (c) static, (d) meta-stable

917 **Figure 4.** Resilience in amplitude, frequency and recovery space.

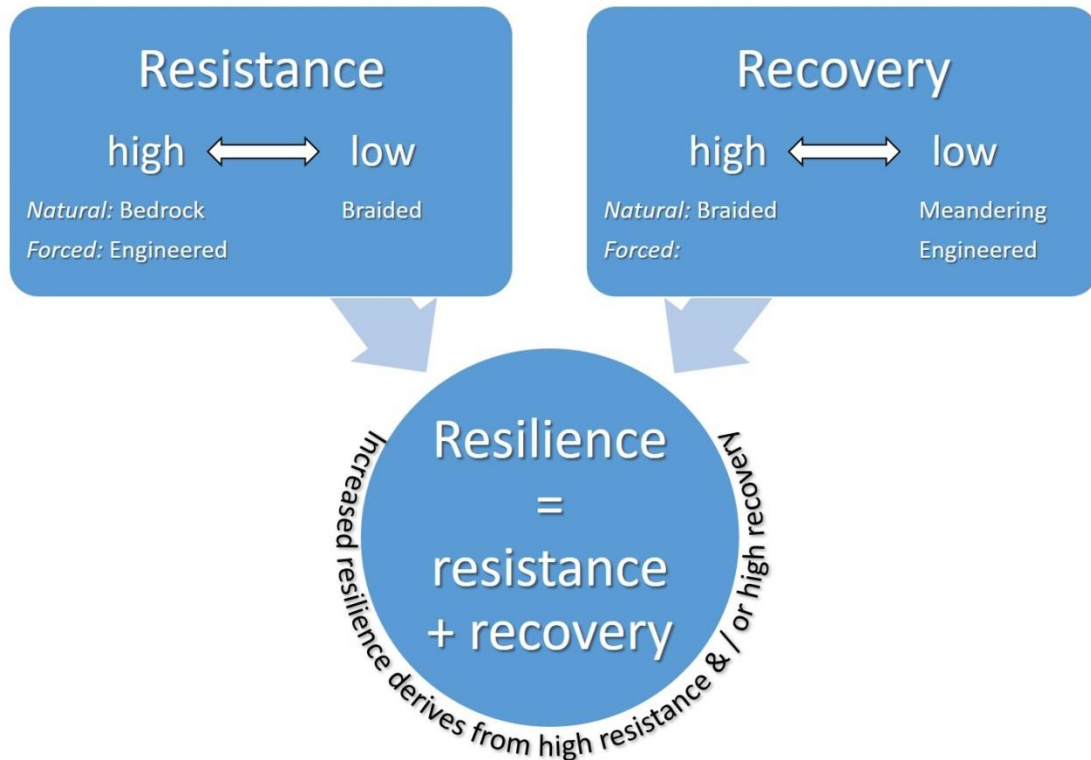
918 **Figure 5.** Aerial photo sequence of the lower Kiwitea Stream, near Feilding, New Zealand. A 100
919 yr flood in 2004 resulted in catastrophic widening of the river corridor, visible in the 2005
920 photography. Engineering has since modified the river corridor, but maintains sufficient width to
921 accommodate large floods without resulting in the same large-scale changes of 2004. The red
922 dashed line indicates the margin of the managed channel fairway. Image supplied courtesy of Peter
923 Blackwood, Horizons Regional Council. Insert shows an oblique view of the channel transformation

924 and destruction of the approach to a State Highway bridge, located just to the far right
925 (downstream) of the photo sequence. Note: the bridge remained intact and the pre-flood channel is
926 clearly visible underneath it.

927 **Figure 6.** Transformation of a river in Haiti in response to Hurricane Matthew, October 2016. Flow
928 is from top to bottom of the image.

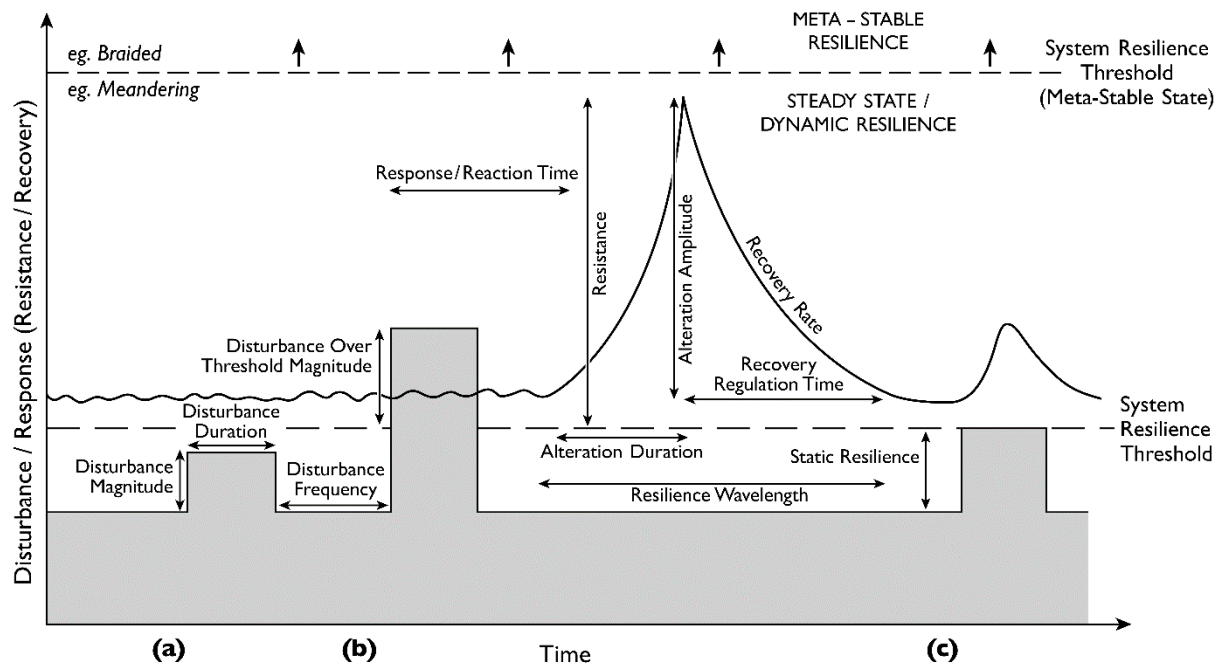
929 **Figure 7.** Change in resilience with changes to disturbance events. Scenarios represented on the
930 left of the diagram are typical of pulse disturbance, while a ramp disturbance is evident in scenarios
931 on the right. The scenario of change in resistance to disturbance shows reducing resistance with
932 each event and associated increase in response to ramp disturbance.

933



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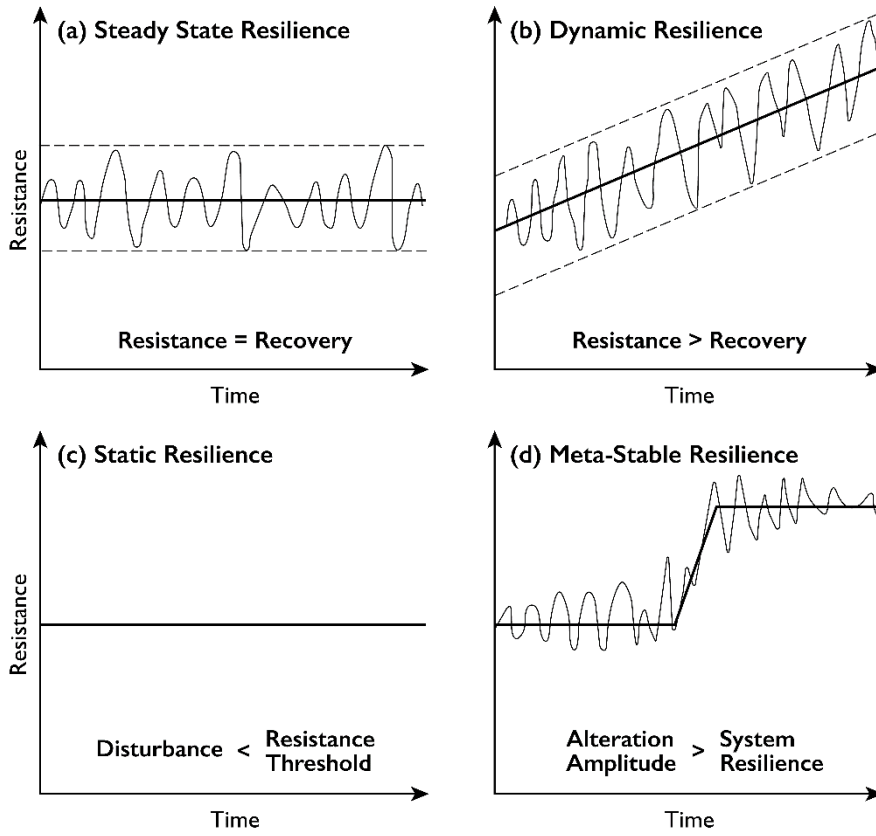


944 *Process-Response System* *Disturbance Regime (Magnitude/Frequency)*

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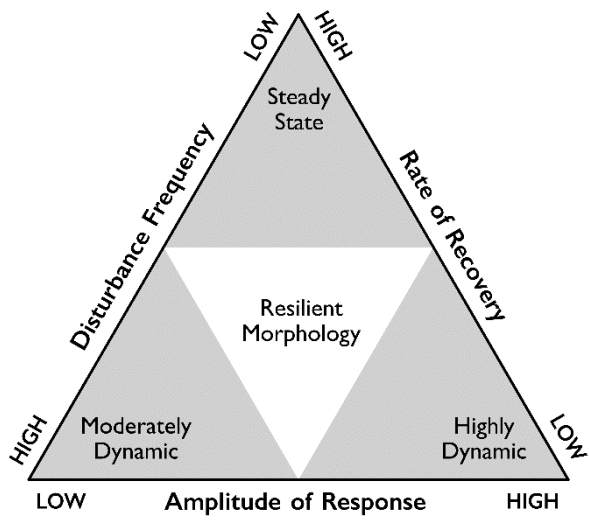
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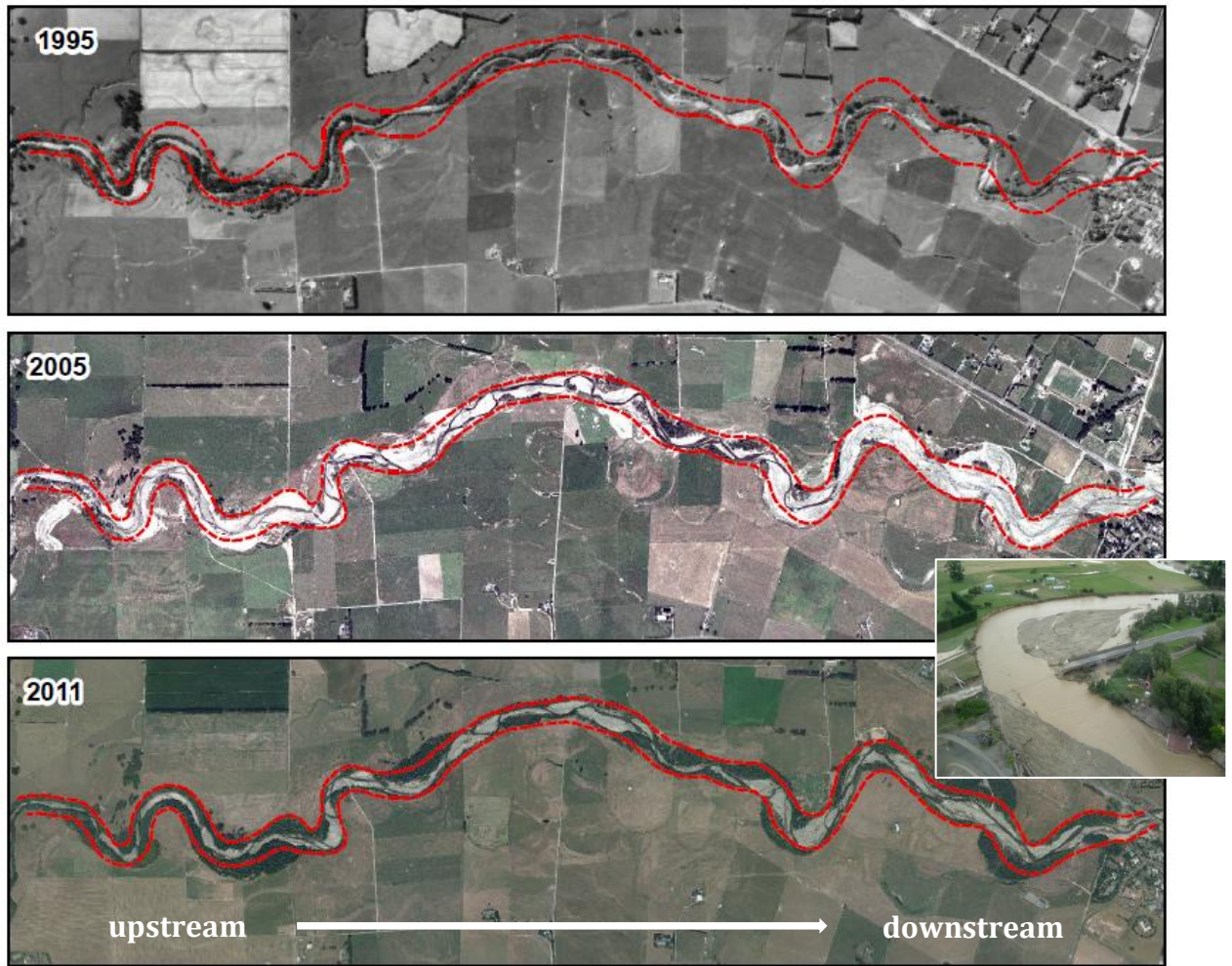


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Figure 4. Resilience in amplitude, frequency and recovery space.

960



Kiwitea Stream - Historical Aerials



0 0.375 0.75 Kilometres



Created for Waterscape
 Drawn: P Hodge August 2016
 CI Ref: #CH12021
 Imagery Copyright © 2012 MW LASS Ltd
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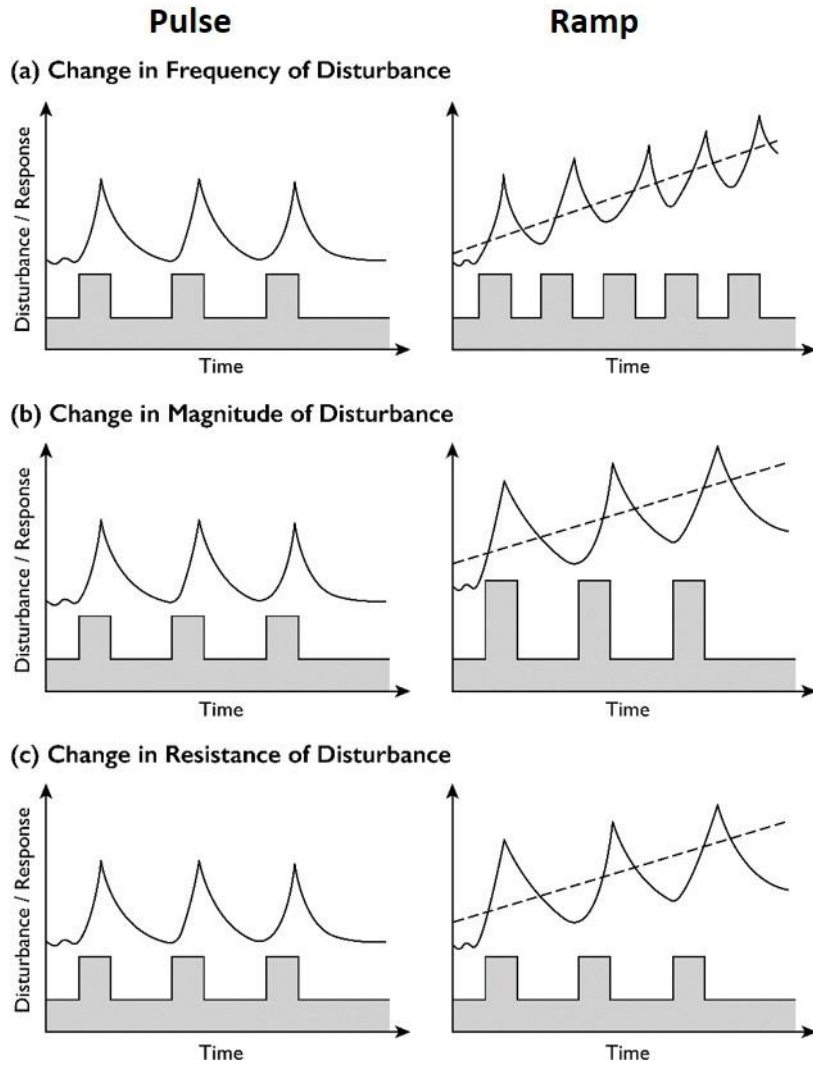
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a & b = Disturbance Controlled Resilience
 c = Resistance/Recovery Controlled Disturbance

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