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## Beyond Mean Field: On the role of pair excitations in the evolution of condensates

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# BEYOND MEAN FIELD: ON THE ROLE OF PAIR EXCITATIONS IN THE EVOLUTION OF CONDENSATES. 

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## 1. Introduction


#### Abstract

This paper is in part a summary of our earlier work [17, 18, 19], and in part an announcement introducing a refinement of the equations for the pair excitation function used in our previous work with D. Margetis. The new equations are Euler-Lagrange equations, and the solutions conserve energy and the number of particles.


## 2. Introduction

The problem, which has received a lot of attention in recent years, is concerned with the evolution of the $N$-body linear Schrödinger equation

$$
\begin{aligned}
& \frac{1}{i} \frac{\partial}{\partial t} \psi_{N}(t, \cdot)=H_{N} \psi_{N}(t, \cdot) \text { with } \\
& \psi_{N}\left(0, x_{1}, \cdots, x_{N}\right)=\phi_{0}\left(x_{1}\right) \phi_{0}\left(x_{2}\right) \cdots \phi_{0}\left(x_{N}\right) \\
& \left\|\psi_{N}(t, \cdot)\right\|_{L^{2}\left(\mathbb{R}^{3 N}\right)}=1
\end{aligned}
$$

The Hamiltonian is an operator of the form

$$
H_{N}=\sum_{j=1}^{N} \Delta_{x_{j}}-\frac{1}{N} \sum_{i<j} v_{N}\left(x_{i}-x_{j}\right)
$$

where $v_{N}(x):=N^{3 \beta} v\left(N^{\beta} x\right)$ with $0 \leq \beta \leq 1$ models the strength of two body interactions. Notice that if $\beta>0$ then $v_{N}(x) \rightarrow \delta(x)$ as $N \rightarrow \infty$. For simplicity we assume that $v \in C_{0}$ and $v \geq 0$. The goal is to show, in a sense to be made precise,

$$
\begin{equation*}
\psi_{N}\left(t, x_{1}, \cdots, x_{N}\right) \simeq e^{i N \chi(t)} \phi\left(t, x_{1}\right) \phi\left(t, x_{2}\right) \cdots \phi\left(t, x_{N}\right) \tag{1}
\end{equation*}
$$

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where $\phi$ satisfies a suitable non-linear Schrödinger equation. In particular, this approximation is not true in $L^{2}\left(\mathbb{R}^{3 N}\right)$.

The motivation for this problem is that in the presence of a trap the ground state on $H_{N}$ looks like

$$
\Psi_{N}\left(x_{1}, x_{2}, \cdots, x_{N}\right) \simeq \phi_{0}\left(x_{1}\right) \phi_{0}\left(x_{2}\right) \cdots \phi_{0}\left(x_{N}\right)
$$

This is suggested by the result of Lieb and Seiringer who showed in [26] that

$$
\gamma_{1}^{N}\left(x, x^{\prime}\right) \rightarrow \phi_{0}(x) \overline{\phi_{0}}\left(x^{\prime}\right)
$$

where

$$
\gamma_{1}^{N}\left(x, x^{\prime}\right)=\int_{d x_{2} \cdots d x_{N}} \Psi_{N}\left(x, x_{2}, \cdots, x_{N}\right) \overline{\Psi_{N}}\left(x^{\prime}, x_{2}, \cdots, x_{N}\right)
$$

Here $\left\|\phi_{0}\right\|_{L^{2}}=1$ and $\phi_{0}$ minimizes the Gross-Pitaevskii functional. See [25] for extensive background.

The reason for the recent attention to this problem is two-fold. On the one hand experimental advances during the last twenty years made the creation and manipulation of condensates in the laboratory possible, on the other hand recent mathematical developments made possible the rigorous treatment of the equations when the number of particles, namely $N$, is large.

While this is a "classical PDE problem" (as opposed to a Fock space problem), the PDE approach to this problem has only been studied systematically during the last 10-15 years, in the series of papers of Erdös and Yau [8], and Erdös, Schlein and Yau [9] to [11]. See also [7]. These papers prove

$$
\begin{equation*}
\gamma_{1}^{N}\left(t, x, x^{\prime}\right) \rightarrow \phi(t, x) \bar{\phi}\left(t, x^{\prime}\right) \tag{2}
\end{equation*}
$$

in trace norm as $N \rightarrow \infty$, and similarly for the higher order marginal density matrices $\gamma_{k}^{N}$, where $k$ is fixed. The problem becomes more difficult and interesting as the parameter $\beta$ in the definition of $v_{N}$ approaches 1 . The strategy of these papers is based on the older work of Spohn [30]. Recent simplifications and generalizations, based on harmonic analysis techniques and a "boardgame argument" inspired by the Feynman diagram approach of Erdös, Schlein and Yau, were given in [21], [22], [6], [3], [4], [5]. See also [14], [27] for a different approach.

The symmetric Fock space approach to the problem is much older. It originated in physics, with the papers by Lee, Huang and Yang [23] in the static case, and $\mathrm{Wu}[31]$ in the time-dependent case. See also
[2]. It continued with the mathematically rigorous work of Hepp [20], and Ginibre and Velo [15].

Motivated by the goal of obtaining a convergence rate to solutions of NLS in (2), Rodnianski and Schlein resumed the rigorous Fock space approach in [28]. This paper, as well as the older work of Wu , served as an inspiration for our work. Our goal is to obtain a refinement to (1) which provides an $L^{2}\left(\mathbb{R}^{3 N}\right)$ and Fock space estimate. This leads to the introduction of the pair excitation function $k$.

We also mention the recent preprint [1] where a similar approach (but with an explicit choice of pair excitation function $k$ ) is used to prove convergence of the density matrices in the critical case $\beta=1$.

## 3. Fock space

In this section we briefly review symmetric Fock space, following the notation of [19]. See [28], for more details. The elements of $\mathbb{F}$ are vectors of the form

$$
|\psi\rangle=\left(\psi_{0}, \psi_{1}\left(x_{1}\right), \psi_{2}\left(x_{1}, x_{2}\right), \ldots\right)
$$

where $\psi_{0} \in \mathbb{C}$ and $\psi_{k}$ are symmetric $L^{2}$ functions. The norm of such a vector is,

$$
\||\psi\rangle\left\|_{\mathcal{F}}^{2}=\langle\psi \mid \psi\rangle=\left|\psi_{0}\right|^{2}+\sum_{n=1}^{\infty}\right\| \psi_{n} \|_{L^{2}}^{2} .
$$

The creation and anihilation distribution valued operators denoted by $a_{x}^{*}$ and $a_{x}$ respectively which act on vectors of the form $\left(0, \cdots, \psi_{n-1}, 0, \cdots\right)$ and $\left(0, \cdots, \psi_{n+1}, 0, \cdots\right)$ by

$$
\begin{aligned}
& a_{x}^{*}\left(\psi_{n-1}\right):=\frac{1}{\sqrt{n}} \sum_{j=1}^{n} \delta\left(x-x_{j}\right) \psi_{n-1}\left(x_{1}, \ldots, x_{j-1}, x_{j+1}, \ldots, x_{n}\right) \\
& a_{x}\left(\psi_{n+1}\right):=\sqrt{n+1} \psi_{n+1}\left([x], x_{1}, \ldots, x_{n}\right)
\end{aligned}
$$

with $[x]$ indicating that the variable $x$ is frozen. The vacuum state is defined as follows:

$$
|0\rangle:=(1,0,0 \ldots)
$$

and $a_{x}|0\rangle=0$. One can easily check that $\left[a_{x}, a_{y}^{*}\right]=\delta(x-y)$ and since the creation and anihilation operators are distribution valued we can form operators that act on $\mathbb{F}$ by introducing a field, say $\phi(x)$, and form

$$
a(\bar{\phi}):=\int d x\left\{\bar{\phi}(x) a_{x}\right\} \quad \text { and } \quad a^{*}(\phi):=\int d x\left\{\phi(x) a_{x}^{*}\right\}
$$

where by convention we associate $a$ with $\bar{\phi}$ and $a^{*}$ with $\phi$. These operators are well defined, unbounded, on $\mathbb{F}$ provided that $\phi$ is square
integrable. The creation and anihilation operators provide a way to introduce coherent states in $\mathbb{F}$ in the following manner, first define the skew-Hermitian operator

$$
\begin{equation*}
\mathcal{A}(\phi):=\int d x\left\{\bar{\phi}(x) a_{x}-\phi(x) a_{x}^{*}\right\} \tag{3}
\end{equation*}
$$

and then introduce $N$-particle coherent states as

$$
\begin{equation*}
|\psi(\phi)\rangle:=e^{-\sqrt{N} \mathcal{A}(\phi)}|0\rangle . \tag{4}
\end{equation*}
$$

This is the Weyl operator used by Rodnianski and Schlein in [28]. It is easy to check that

$$
e^{-\sqrt{N} \mathcal{A}(\phi)}|0\rangle=\left(\ldots c_{n} \prod_{j=1}^{n} \phi\left(x_{j}\right) \ldots\right) \quad \text { with } \quad c_{n}=\left(e^{-N} N^{n} / n!\right)^{1 / 2}
$$

In particular, by Stirling's formula, the main term that we are interested in has the coefficient

$$
\begin{equation*}
c_{N} \approx(2 \pi N)^{-1 / 4} \tag{5}
\end{equation*}
$$

Thus a coherent state introduces a tensor product in each sector $\mathbb{F}$.
For the construction analogous to (3) involving quadratics, start with the Lie algebra of real or complex symplectic "matrices" of the form

$$
L:=\left(\begin{array}{cc}
d(x, y) & l(x, y) \\
k(x, y) & -d^{T}(x, y)
\end{array}\right)
$$

where $d, k$ and $l$ are kernels in $L^{2}$, and $k$ and $l$ are symmetric in $(x, y)$. We denote this Lie algebra $s p(\mathbb{C})$ or $s p(\mathbb{R})$ depending on whether the kernels $d, k$ and $l$ are complex or real. The natural setting for us (which will insure that the Fock space operator $e^{\mathcal{I}(L)}$ defined below, is unitary, see also the appendix of [18]) is the subalgebra $s p_{c}(\mathbb{R})=\mathcal{W} \operatorname{sp}(\mathbb{R}) \mathcal{W}^{-1}$ where

$$
\mathcal{W}=\frac{1}{\sqrt{2}}\left(\begin{array}{cc}
I & i I \\
I & -i I
\end{array}\right)
$$

The elements of $s p_{c}(\mathbb{R})$ look like

$$
L:=\left(\begin{array}{cc}
i d(x, y) & \bar{k}(x, y)  \tag{6}\\
k(x, y) & -i d^{T}(x, y)
\end{array}\right)
$$

with $L^{2}$ kernels $d$ complex and self-adjoint, $k$ complex and symmetric.
Remark 3.1. The corresponding group elements $E \in S p_{c}(\mathbb{R})$ (in particular $\left.E=e^{L}, L \in s p_{c}(\mathbb{R})\right)$ satisfy the following three properties:

- $E$ commutes with the real structure $\sigma$ defined by $\sigma(\phi, \psi)=$ $(\bar{\psi}, \bar{\phi})$, in other words $E$ is of the form

$$
E=\left(\begin{array}{cc}
P(x, y) & Q(x, y) \\
\bar{Q}(x, y) & \bar{P}(x, y)
\end{array}\right)
$$

- $E$ belongs to the infinite dimensional analogue of $U(n, n)$, in other words

$$
E^{*}\left(\begin{array}{cc}
I & 0 \\
0 & -I
\end{array}\right) E=\left(\begin{array}{cc}
I & 0 \\
0 & -I
\end{array}\right)
$$

- $E$ is in the symplectic group, meaning

$$
E^{T}\left(\begin{array}{cc}
0 & I \\
-I & 0
\end{array}\right) E=\left(\begin{array}{cc}
0 & I \\
-I & 0
\end{array}\right)
$$

In fact, any two of the above imply the third. The conceptual reason for this is that the symplectic inner product $\left(\left(\phi_{1}, \psi_{1}\right),\left(\phi_{2}, \psi_{2}\right)\right)=$ $\int \phi_{1} \psi_{2}-\int \psi_{1} \phi_{2}$ and the " $U(n, n) "$ inner product $\left\langle\left(\phi_{1}, \psi_{1}\right),\left(\phi_{2}, \psi_{2}\right)\right\rangle=$ $\int \phi_{1} \overline{\phi_{2}}-\int \psi_{1} \overline{\psi_{2}}$ are related by $\left\langle\left(\phi_{1}, \psi_{1}\right),\left(\phi_{2}, \psi_{2}\right)\right\rangle=\left(\left(\phi_{1}, \psi_{1}\right), \sigma\left(\phi_{2}, \psi_{2}\right)\right)$.
See Folland's book [13] for more along these lines in the finite dimensional case.These matrices are called Bogoliubov rotations in [1].

Our approach is based on the map from $L \in \operatorname{sp}(\mathbb{C})$ to quadratic polynomials is ( $a, a^{*}$ ) in the following manner,

$$
\begin{align*}
& \mathcal{I}(L)=\frac{1}{2} \int d x d y\left\{\left(a_{x}, a_{x}^{*}\right)\left(\begin{array}{cc}
d(x, y) & l(x, y) \\
k(x, y) & -d(y, x)
\end{array}\right)\binom{-a_{y}^{*}}{a_{y}}\right\}  \tag{7}\\
& =-\frac{1}{2} \int d x d y\left\{d(x, y) a_{x} a_{y}^{*}+d(y, x) a_{x}^{*} a_{y}+k(x, y) a_{x}^{*} a_{y}^{*}-l(x, y) a_{x} a_{y}\right\}
\end{align*}
$$

This is the infinite dimensional Segal-Shale-Weil infinitesimal representation. The group representation was studied in [29]. The crucial property of this map is the Lie algebra isomorphism

$$
\begin{equation*}
\left[\mathcal{I}\left(L_{1}\right), \mathcal{I}\left(L_{2}\right)\right]=\mathcal{I}\left(\left[L_{1}, L_{2}\right]\right) \tag{8}
\end{equation*}
$$

Notice that if $L \in s p_{c}(\mathbb{R})$, then $L$ has the form (6) and $\mathcal{I}(L)$ is skewHermitian, thus $e^{\mathcal{I}(L)}$ is a unitary operator on Fock space. For the applications that follow we will only use the self-adjoint elements of $s p_{c}(\mathbb{R})$

$$
K=\left(\begin{array}{cc}
0 & \bar{k}(t, x, y)  \tag{9}\\
k(t, x, y) & 0
\end{array}\right)
$$

and the corresponding

$$
\begin{gather*}
\mathcal{B}(k):=\mathcal{I}(K)=\frac{1}{2} \int d x d y\left\{\bar{k}(t, x, y) a_{x} a_{y}-k(t, x, y) a_{x}^{*} a_{y}^{*}\right\} .  \tag{10}\\
K=\left(\begin{array}{cc}
0 & \bar{k}(t, x, y) \\
k(t, x, y) & 0
\end{array}\right) \tag{11}
\end{gather*}
$$

We easily compute

$$
e^{K}=\left(\begin{array}{cc}
\operatorname{ch}(k) & \overline{\operatorname{sh}(k)} \\
\operatorname{sh}(k) & \overline{\operatorname{ch}(k)}
\end{array}\right)
$$

where

$$
\begin{align*}
\operatorname{sh}(k) & :=k+\frac{1}{3!} k \circ \bar{k} \circ k+\ldots  \tag{12a}\\
\operatorname{ch}(k) & :=\delta(x-y)+\frac{1}{2!} \bar{k} \circ k+\ldots, \tag{12b}
\end{align*}
$$

This particular construction and the corresponding unitary operator $e^{B}$ were introduced in [17].

The Fock Hamiltonian is

$$
\begin{align*}
\mathcal{H} & :=\mathcal{H}_{1}-N^{-1} \mathcal{V} \quad \text { where }  \tag{13a}\\
\mathcal{H}_{1} & :=\int d x d y\left\{\Delta_{x} \delta(x-y) a_{x}^{*} a_{y}\right\} \quad \text { and }  \tag{13b}\\
\mathcal{V} & :=\frac{1}{2} \int d x d y\left\{v_{N}(x-y) a_{x}^{*} a_{y}^{*} a_{x} a_{x}\right\} \tag{13c}
\end{align*}
$$

It is a diagonal operator on Fock space, and it acts as a regular PDE Hamiltonian in $n$ variable

$$
H_{n, P D E}=\sum_{j=1}^{n} \Delta_{x_{j}}-\frac{1}{2 N} \sum_{x_{j} \neq x_{k}} N^{3 \beta} v\left(N^{\beta}\left(x_{j}-x_{k}\right)\right)
$$

on the $n$th component of $\mathbb{F}$.

## 4. Outline of older results

Our goal is to study the evolution of coherent initial conditions of the form

$$
\begin{equation*}
\left|\psi_{\text {exact }}\right\rangle=e^{i t \mathcal{H}} e^{-\sqrt{N} \mathcal{A}\left(\phi_{0}\right)}|0\rangle \tag{14}
\end{equation*}
$$

The papers [17, 18, 19] propose an approximation of the form

$$
\begin{equation*}
\left|\psi_{\text {appr }}\right\rangle:=e^{-\sqrt{N} \mathcal{A}(\phi(t)} e^{-\mathcal{B}(k(t))}|0\rangle \tag{15}
\end{equation*}
$$

and derive Schrödinger type equations equations for $\phi(t, x), k(t, x, y)$ so that $\left|\psi_{\text {exact }}(t)\right\rangle \approx e^{i N \chi(t)}\left|\psi_{\text {appr }}(t)\right\rangle$, with $\chi(t)$ a real phase factor, and
find precise estimates in Fock space, see Theorem (4.1) below. Our strategy is to consider

$$
\left|\psi_{\text {red }}\right\rangle=e^{\mathcal{B}(t)} e^{\sqrt{N} \mathcal{A}(t)} e^{i t \mathcal{H}} e^{-\sqrt{N} \mathcal{A}(0)}|0\rangle
$$

and then find a "reduced Hamiltonian" $H_{r e d}$ so that

$$
\begin{equation*}
\frac{1}{i} \partial_{t}\left|\psi_{r e d}\right\rangle=\mathcal{H}_{\text {red }}\left|\psi_{\text {red }}\right\rangle \tag{16}
\end{equation*}
$$

The reduced Hamiltonian is

$$
\begin{aligned}
\mathcal{H}_{r e d} & :=\frac{1}{i}\left(\partial_{t} e^{\mathcal{B}}\right) e^{-\mathcal{B}} \\
& +e^{\mathcal{B}}\left(\frac{1}{i}\left(\partial_{t} e^{\sqrt{N} \mathcal{A}}\right) e^{-\sqrt{N} \mathcal{A}}+e^{\sqrt{N} \mathcal{A}} \mathcal{H} e^{-\sqrt{N} \mathcal{A}}\right) e^{-\mathcal{B}} .
\end{aligned}
$$

It can be written abstractly as a composition (in space only) of operators

$$
\mathcal{H}_{\text {red }}=\frac{1}{i} \frac{\partial}{\partial t}+e^{\mathcal{B}} e^{\sqrt{N} \mathcal{A}}\left(-\frac{1}{i} \frac{\partial}{\partial t}+\mathcal{H}\right) \circ e^{-\sqrt{N} \mathcal{A}} e^{-\mathcal{B}}
$$

Explicitly it is

$$
\begin{align*}
& \mathcal{H}_{\text {red }}=N \mathcal{P}_{0}+N^{1 / 2} e^{\mathcal{B}} \mathcal{P}_{1} e^{-\mathcal{B}} \\
& +\mathcal{H}_{G}+\mathcal{I}(R)-N^{-1 / 2} e^{\mathcal{B}} \mathcal{P}_{3} e^{-\mathcal{B}}-N^{-1} e^{\mathcal{B}} \mathcal{P}_{4} e^{-B} \tag{17}
\end{align*}
$$

where the various terms are defined below. $\mathcal{P}_{n}$ indicate polynomials of degree $n$ in $a, a^{*}$ to be given explicitly:

$$
\begin{align*}
\mathcal{P}_{0} & :=\int d x\left\{\frac{1}{2 i}\left(\phi \bar{\phi}_{t}-\bar{\phi} \phi_{t}\right)-|\nabla \phi|^{2}\right\} \\
& -\frac{1}{2} \int d x d y\left\{v_{N}(x-y)|\phi(x)|^{2}|\phi(y)|^{2}\right\}  \tag{18}\\
\mathcal{P}_{1} & :=\int d x\left\{h(t, x) a_{x}^{*}+\bar{h}(t, x) a_{x}\right\}  \tag{19}\\
= & a^{*}(h(t, \cdot)+a(\bar{h}(t, \cdot))
\end{align*}
$$

where $h:=-(1 / i) \partial_{t} \phi+\Delta \phi-\left(v_{N} *|\phi|^{2}\right) \phi$.

$$
\begin{equation*}
\mathcal{H}_{G}:=\frac{1}{2} \int d x d y\left\{-g_{N}(t, x, y) a_{y}^{*} a_{x}-g_{N}(t, y, x) a_{x}^{*} a_{y}\right\} \tag{20a}
\end{equation*}
$$

where

$$
\begin{align*}
g_{N}(t, x, y) & :=-\Delta_{x} \delta(x-y)+\left(v_{N} *|\phi|^{2}\right)(t, x) \delta(x-y)  \tag{20b}\\
& +v_{N}(x-y) \bar{\phi}(t, x) \phi(t, y) \tag{20c}
\end{align*}
$$

and

$$
\begin{align*}
& R=\frac{1}{i}\left(\frac{\partial}{\partial t} e^{K}\right) e^{-K}+\left[G, e^{K}\right] e^{-K}+e^{K} M e^{-K}= \\
& =\left(\begin{array}{cc}
-\overline{\mathbf{W}(\overline{\operatorname{ch}(k)})} & -\overline{\mathbf{S}(\operatorname{sh}(k))} \\
\mathbf{S}(\operatorname{sh}(k)) & \mathbf{W}(\overline{\operatorname{ch}(k)})
\end{array}\right) \circ\left(\begin{array}{cc}
\operatorname{ch}(k) & -\overline{\operatorname{sh}(k)} \\
-\operatorname{sh}(k) & \overline{\operatorname{ch}(k)}
\end{array}\right)  \tag{21}\\
& +e^{K} M e^{-K}
\end{align*}
$$

where $\mathbf{S}$ describes a Schrödinger type evolution, while $\mathbf{W}$ is a Wigner type operator by

$$
\begin{aligned}
& \mathbf{S}(s):=\frac{1}{i} s_{t}+g^{T} \circ s+s \circ g \quad \text { and } \quad \mathbf{W}(p):=\frac{1}{i} p_{t}+\left[g^{T}, p\right] \\
& \text { while } \quad M:=\left(\begin{array}{cc}
0 & \bar{m} \\
-m & 0
\end{array}\right) \quad \text { where } \\
& m(x, y):=-v_{N}(x-y) \phi(x) \phi(y), v_{N}(x)=N^{3 \beta} v\left(N^{\beta} x\right) \\
& \text { and } \quad G:=\left(\begin{array}{cc}
g & 0 \\
0 & -g^{T}
\end{array}\right)
\end{aligned}
$$

Finally,

$$
\begin{align*}
& \mathcal{P}_{3}:=[\mathcal{A}, \mathcal{V}]=\int d x d y\left\{v_{N}(x-y)\left(\phi(y) a_{x}^{*} a_{y}^{*} a_{x}+\bar{\phi}(y) a_{x}^{*} a_{x} a_{y}\right)\right\}  \tag{22a}\\
& \mathcal{P}_{4}:=\mathcal{V}=(1 / 2) \int d x d y\left\{v_{N}(x-y) a_{x}^{*} a_{y}^{*} a_{x} a_{y}\right\} \tag{22b}
\end{align*}
$$

The main result of [19], building on the previous papers of the authors and D. Margetis [17, 18], can be summarized as follows.

Theorem 4.1. Let $\phi$ and $k$ satisfy
$\frac{1}{i} \partial_{t} \phi-\Delta \phi+\left(v_{N} *|\phi|^{2}\right) \phi=0$
and either one of the following equivalent equations:

1) $(\mathbf{S}(\operatorname{sh}(k))-\overline{\operatorname{ch}(k)} \circ m) \circ \operatorname{ch}(k)=(\mathbf{W}(\overline{\operatorname{ch}(k)})+\operatorname{sh}(k) \circ \bar{m}) \circ \operatorname{sh}(k)$
or else the equivalent non-liner equation
2) $\mathbf{S}(\operatorname{th}(k))=m+\operatorname{th}(k) \circ \bar{m} \circ \operatorname{th}(k)$
where $\operatorname{th}(k):=\overline{\operatorname{ch}(k)}^{-1} \circ \operatorname{sh}(k)$
or else the equivalent system of liner equations
3a) $\mathbf{S}(\operatorname{sh}(2 k))=m_{N} \circ \operatorname{ch}(2 k)+\overline{\operatorname{ch}(2 k)} \circ m_{N}$
3b) $\mathbf{W}(\overline{\operatorname{ch}(2 k)})=m_{N} \circ \overline{\operatorname{sh}(2 k)}-\operatorname{sh}(2 k) \circ \bar{m}_{N}$.
with prescribed initial conditions $\phi(0, \cdot)=\phi_{0}, k(0, \cdot, \cdot)=0$. If $\phi, k$ satisfy the above equations, then there exists a real phase function $\chi$ such that

$$
\begin{equation*}
\|\left|\psi_{\text {exact }}(t)\right\rangle-e^{i N \chi(t)}\left|\psi_{\text {appr }}(t)\right\rangle \|_{\mathcal{F}} \leq \frac{C(1+t) \log ^{4}(1+t)}{N^{(1-3 \beta) / 2}} \tag{24}
\end{equation*}
$$

provided $0<\beta<\frac{1}{3}$.
The purpose of the present paper is to introduce and study a coupled refinement of the system (23a), (23h), (23i) which, we believe, is the correct system describing the case $\beta=1$. These equations occur as Euler-Lagrange equations, and are written down explicitly in Theorem (8.1).

## 5. Main new results

Since $\mathcal{H}_{\text {red }}$ is a fourth order polynomial in $a$ and $a^{*}$,

$$
\begin{equation*}
\mathcal{H}_{\text {red }}|0\rangle=\left(X_{0}, X_{1}, X_{2}, X_{3}, X_{4}, 0, \cdots\right) \tag{25}
\end{equation*}
$$

Definition 5.1. Define the Lagrangian

$$
\begin{equation*}
\mathcal{L}=-\int X_{0}(t) d t \tag{26}
\end{equation*}
$$

The new, coupled equations for $\phi$ and $k$ that we introduce in this paper are $X_{1}=0$ and $X_{2}=0$.

We first prove that $\mathcal{L}$ is indeed the Lagrangian for these equations. We start by showing "abstractly" that

$$
\begin{align*}
& \frac{\delta X_{0}}{\delta \bar{\phi}}=\int\left(X_{1}(t, x) \operatorname{ch}(k)(t, x, \cdot)-\bar{X}_{1}(t, x) \operatorname{sh}(k)(t, x, y)\right) d x  \tag{27}\\
& \frac{\delta X_{0}}{\delta \bar{\zeta}}=\frac{1}{\sqrt{2}} \overline{\operatorname{ch}(k)} \circ X_{2} \circ \operatorname{ch}(k) \tag{28}
\end{align*}
$$

where $\zeta=\operatorname{th}(k)=\overline{\operatorname{ch}(k)}^{-1} \circ \operatorname{sh}(k)$. We then compute explicitly the zeroth order term $X_{0}(t)$ in $\mathcal{H}_{r e d}|0\rangle$ (which provides the Lagrangian density for our coupled equations):

$$
\begin{aligned}
& -X_{0}(t)=N \int d x_{1}\left\{-\Im\left(\phi_{1} \overline{\partial_{t} \phi_{1}}\right)+\left|\nabla \phi_{1}\right|^{2}\right\} \\
& +\frac{N}{2} \int d x_{1} d x_{2} v_{1-2}^{N}\left|\phi_{1} \phi_{2}+\frac{1}{N}(\operatorname{sh} \circ \mathrm{ch})_{1,2}\right|^{2} \\
& +\frac{1}{2} \int d x_{1} d x_{2} d x_{3} v_{1-2}^{N}\left|\phi_{1} \operatorname{sh}_{2,3}+\phi_{2} \operatorname{sh}_{1,3}\right|^{2} \\
& +\frac{1}{2}\left(\int d x_{1} d x_{2}\left\{-\Im\left(\operatorname{sh}_{1,2} \overline{\partial_{t} \mathrm{sh}_{1,2}}\right)+\left|\nabla_{1,2} \operatorname{sh}_{1,2}\right|^{2}\right\}\right. \\
& \left.+\frac{1}{2 N} \int d t d x_{1} d x_{2} v_{1-2}^{N}\left\{\left|(\operatorname{sh} \circ \overline{\operatorname{sh}})_{1,2}\right|^{2}+(\operatorname{sh} \circ \overline{\mathrm{sh}})_{1,1}(\overline{\mathrm{sh}} \circ \mathrm{sh})_{2,2}\right\}\right) .
\end{aligned}
$$

where $\operatorname{sh}_{1,2}$ is an abbreviation for $\operatorname{sh}(k)\left(t, x_{1}, x_{2}\right)$, etc, and the products are pointwise products, while compositions are denoted by $\circ$. Then we proceed to compute explicitly the coupled equations $X_{1}=0$ and $X_{2}=0$, derive conserved quantities, and formulate a conjecture. The resulting equations are similar to those of Theorem (4.1), except that $m=-v_{N}\left(x_{1}-x_{2}\right) \phi\left(t, x_{1}\right) \phi\left(t, x_{2}\right)$ is replaced by

$$
\Theta=-v_{N}\left(x_{1}-x_{2}\right)\left(\phi\left(t, x_{1}\right) \phi\left(t, x_{2}\right)+\frac{1}{2 N} \operatorname{sh}(2 k)\left(t, x_{1}, x_{2}\right)\right),
$$

and similar $O\left(\frac{1}{N}\right)$ coupling corrections apply to the Hartree operator as well as $\mathbf{S}$ and $\mathbf{W}$.

Remark 5.2. The static terms of $X_{0}(t)$ (not involving time derivatives) also appear in the recent preprint [1], but do not serve as a Lagrangian there.
6. The Lagrangian and the equations, abstract

## FORMULATION

Proposition 6.1. Let $k$ and $\phi$ be fixed.

$$
\begin{align*}
& \left.\frac{d}{d \epsilon}\right|_{\epsilon=0} X_{0}(\phi+\epsilon h, k)  \tag{29}\\
& =2 \Re \int X_{1}(t, x)(\operatorname{ch}(k)(t, x, y) \bar{h}(t, y)-\overline{\operatorname{sh}(k)}(t, x, y) h(t, y)) d x d y
\end{align*}
$$

In particular, if this vanishes for all $h$, then $X_{1}(t, x)=0$.
Proof. $\mathcal{H}_{\text {red }}$ can be written as

$$
\mathcal{H}_{\text {red }}=\frac{1}{i} \frac{\partial}{\partial t}+e^{\mathcal{B}} e^{\sqrt{N} \mathcal{A}}\left(-\frac{1}{i} \frac{\partial}{\partial t}+\mathcal{H}\right) \circ e^{-\sqrt{N} \mathcal{A}} e^{-\mathcal{B}}
$$

in the sense of compositions (in space only) of operators. During this proof, denote $\mathcal{H}_{t}=-\frac{1}{i} \frac{\partial}{\partial t}+\mathcal{H}$.

Let $h$ be an $L^{2}$ function and let
$\mathcal{A}_{\epsilon}=\sqrt{N}\left(a(\bar{\phi}+\epsilon \bar{h})-a^{*}(\phi+\epsilon h)\right)$. Thus we have

$$
\left.X_{0}(\phi+\epsilon h, k)=\left\langle e^{\mathcal{B}} e^{\mathcal{A}_{\epsilon}} H_{t} e^{-\mathcal{A}_{\epsilon}} e^{-\mathcal{B}} \mid 0\right\rangle,|0\rangle\right\rangle
$$

We compute

$$
\begin{aligned}
& \left(\left.\frac{d}{d \epsilon}\right|_{\epsilon=0} e^{\mathcal{A}_{\epsilon}}\right) e^{-\mathcal{A}_{0}}=\dot{\mathcal{A}}_{0}+\frac{1}{2}\left[\mathcal{A}_{0}, \dot{\mathcal{A}}_{0}\right] \\
& =\sqrt{N}\left(a(\bar{h})-a^{*}(h)\right)+\frac{N}{2}\left[a(\bar{\phi})-a^{*}(\phi), a(\bar{h})-a^{*}(h)\right] \\
& =\sqrt{N}\left(a(\bar{h})-a^{*}(h)\right)+i N \Im \int \phi \bar{h}
\end{aligned}
$$

and

$$
e^{\mathcal{A}_{0}}\left(\left.\frac{d}{d \epsilon}\right|_{\epsilon=0} e^{-\mathcal{A}_{\epsilon}}\right)=-\left(\left.\frac{d}{d \epsilon}\right|_{\epsilon=0} e^{\mathcal{A}_{\epsilon}}\right) e^{-\mathcal{A}_{0}}
$$

thus

$$
\begin{aligned}
& \left.\left.\frac{d}{d \epsilon}\right|_{\epsilon=0}\left\langle e^{\mathcal{B}} e^{\mathcal{A}_{\epsilon}} \mathcal{H}_{t} e^{-\mathcal{A}_{\epsilon}} e^{-\mathcal{B}} \mid 0\right\rangle,|0\rangle\right\rangle \\
& \left.=\left\langle e^{\mathcal{B}}\left[\sqrt{N} a(\bar{h})-\sqrt{N} a^{*}(h), e^{\mathcal{A}_{0}} \mathcal{H}_{t} e^{-\mathcal{A}_{0}}\right] e^{-\mathcal{B}} \mid 0\right\rangle,|0\rangle\right\rangle \\
& \left.=\left\langle\left[e^{\mathcal{B}}\left(\sqrt{N} a(\bar{h})-\sqrt{N} a^{*}(h)\right) e^{-\mathcal{B}}, e^{\mathcal{B}} e^{\mathcal{A}_{0}} \mathcal{H}_{t} e^{-\mathcal{A}_{0}} e^{-\mathcal{B}}\right] \mid 0\right\rangle,|0\rangle\right\rangle \\
& \left.=\left\langle\left[a(\bar{l})-a^{*}(l), e^{\mathcal{B}} e^{\mathcal{A}_{0}} \mathcal{H}_{t} e^{-\mathcal{A}_{0}} e^{-\mathcal{B}}\right] \mid 0\right\rangle,|0\rangle\right\rangle \\
& \left.=2 \Re\left\langle\mathcal{H}_{r e d} \mid 0\right\rangle, a^{*}(l)|0\rangle\right\rangle:=I
\end{aligned}
$$

where we denoted

$$
e^{\mathcal{B}}\left(\sqrt{N} a(\bar{h})-\sqrt{N} a^{*}(h)\right) e^{-\mathcal{B}}=a(\bar{l})-a^{*}(l)
$$

Explicitly,

$$
\begin{aligned}
& e^{\mathcal{B}}\left(a(\bar{h})-a^{*}(h)\right) e^{-\mathcal{B}} \\
& =a(\operatorname{ch}(k) \circ \bar{h})+a^{*}(\operatorname{sh}(k) \circ \bar{h}) \\
& -a(\overline{\operatorname{sh}(k)} \circ h)-a^{*}(\overline{\operatorname{ch}(k)} \circ h)
\end{aligned}
$$

so

$$
l=\overline{\operatorname{ch}(k)} \circ h-\operatorname{sh}(k) \circ \bar{h}
$$

Thus,

$$
\begin{aligned}
I & =2 \Re \int X_{1}(t, x)(\operatorname{ch}(k)(t, x, y) \bar{h}(y)-\overline{\operatorname{sh}(k)}(t, x, y) h(y)) d x d y \\
& =2 \Re \int\left(\overline{\operatorname{ch}(k)} \circ X_{1}-\operatorname{sh}(k) \circ \overline{X_{1}}\right)(y) \bar{h}(y) d y
\end{aligned}
$$

In order to state the corresponding result for $X_{2}$, we have to introduce a new set of coordinates for our basic matrices

$$
e^{K}=\left(\begin{array}{cc}
\operatorname{ch}(k) & \overline{\operatorname{sh}(k)} \\
\operatorname{sh}(k) & \overline{\operatorname{ch}(k)}
\end{array}\right)
$$

where

$$
K=\left(\begin{array}{cc}
0 & \bar{k}(t, x, y)  \tag{30}\\
k(t, x, y) & 0
\end{array}\right)
$$

The most obvious coordinate system is, of course, provided by $k$. We recall the following proposition, proved in [18].

Proposition 6.2. The exponential map is one-to-one and onto from matrices of the form (30) ( $k \in L^{2}$, symmetric) to positive definite matrices $E$ satisfying the three properties of Remark (3.1) for which $\|I-E\|_{L^{2}}$ is finite.

For our purposes, a better coordinate system is provided by $\zeta=$ $\operatorname{th}(k)=\overline{\operatorname{ch}(k)}^{-1} \circ \operatorname{sh}(k)$.

Proposition 6.3. There is a bijection between $k \in L^{2}$, symmetric, and $\zeta \in L^{2}$, symmetric, $\|\zeta\|_{o p}<1$ (op stands for the operator norm) such that

$$
\begin{align*}
e^{K} & :=\left(\begin{array}{cc}
\operatorname{ch}(k) & \overline{\operatorname{sh}(k)} \\
\operatorname{sh}(k) & \overline{\operatorname{ch}(k)}
\end{array}\right) \\
=E_{\zeta} & :=\left(\begin{array}{cc}
I & \bar{\zeta} \\
0 & I
\end{array}\right)\left(\begin{array}{cc}
(I-\bar{\zeta} \circ \zeta)^{1 / 2} & 0 \\
0 & (I-\zeta \circ \bar{\zeta})^{-1 / 2}
\end{array}\right)\left(\begin{array}{ll}
I & 0 \\
\zeta & I
\end{array}\right)  \tag{31}\\
& =\left(\begin{array}{cc}
(I-\bar{\zeta} \circ \zeta)^{-1 / 2} & \zeta \circ(I-\bar{\zeta} \circ \zeta)^{-1 / 2} \\
\bar{\zeta} \circ(I-\zeta \circ \bar{\zeta})^{-1 / 2} & (I-\zeta \circ \bar{\zeta})^{-1 / 2}
\end{array}\right)
\end{align*}
$$

where the square root is taken in the operator sense.
Proof. Given $k$, define $\zeta=\overline{\operatorname{ch}(k)}^{-1} \circ \operatorname{sh}(k)$. The decomposition (31) is an algebraic identity, and it is clear that $\zeta$ is symmetric and $L^{2}$. Since $I-\operatorname{ch}(k)^{-2}=\bar{\zeta} \circ \zeta$, we see that $\|\zeta\|_{o p}<1$. In fact, $\|\zeta v\|_{L^{2}}^{2}=$ $\|v\|_{L^{2}}^{2}-\left\|\operatorname{ch}(k)^{-1} v\right\|_{L^{2}}^{2}$. Conversely, given $\zeta$ a symmetric Hilbert-Schmidt kernel with $\|\zeta\|_{o p}<1$ define $E_{\zeta}$ by (31). It is easy to check that $E_{\zeta}$ is positive definite, satisfies the symmetries of remark (3.1) and $\left\|I-E_{\zeta}\right\|_{H S}<\infty$. (HS stands for the Hilbert-Schmidt norm), thus we can apply Proposition (6.2) and find the corresponding $K$.

We also record the following consequence:
Proposition 6.4. Let $\zeta_{\epsilon}=\zeta+\epsilon h\left(h \in L^{2}\right.$, symmetric, $\left.\left\|\zeta_{\epsilon}\right\|_{o p}<1\right)$, and $K_{\epsilon}$ corresponding to $\zeta$ according to the previous proposition. Then

$$
\left.\frac{d}{d \epsilon}\right|_{\epsilon=0} e^{K_{\epsilon}} e^{-K}=\left(\begin{array}{cc}
i a & \bar{b} \\
b & -i a^{T}
\end{array}\right)
$$

with

$$
b=\overline{\operatorname{ch}(k)} \circ h \circ \operatorname{ch}(k)
$$

Proof. We compute

$$
\begin{aligned}
& \left.\frac{d}{d \epsilon}\right|_{\epsilon=0} e^{K_{\epsilon}} e^{-K} \\
& =\left(\begin{array}{ll}
\operatorname{ch}(k)^{\prime} \circ \operatorname{ch}(k)-\overline{\operatorname{sh}(k)}^{\prime} \circ \operatorname{sh}(k) & -\operatorname{ch}(k)^{\prime} \circ \overline{\operatorname{sh}(k)}+\overline{\operatorname{sh}(k)}^{\prime} \circ \overline{\operatorname{ch}(k)} \\
\operatorname{sh}(k)^{\prime} \circ \operatorname{ch}(k)-\overline{\operatorname{ch}(k)}^{\prime} \circ \operatorname{sh}(k) & -\operatorname{sh}(k)^{\prime} \circ \overline{\operatorname{sh}(k)}+\overline{\operatorname{ch}(k)}^{\prime} \circ \overline{\operatorname{ch}(k)}
\end{array}\right)
\end{aligned}
$$

An easy calculation shows that $b=-\overline{\operatorname{ch}}(k)^{\prime} \circ \operatorname{sh}(k)+\operatorname{sh}(k)^{\prime} \circ \operatorname{ch}(k)=$ $\overline{\operatorname{ch}(k)} \circ \zeta^{\prime} \circ \operatorname{ch}(k)$.

We are ready to prove

$$
\frac{\delta X_{0}}{\delta \bar{\zeta}}=\frac{1}{\sqrt{2}} \overline{\operatorname{ch}(k)} \circ X_{2} \circ \operatorname{ch}(k)
$$

Proposition 6.5. Let $k_{\epsilon}$ correspond to $\zeta+\epsilon h$ as in the previous proposition. Then

$$
\left.\frac{d}{d \epsilon}\right|_{\epsilon=0} X_{0}\left(\phi, k_{\epsilon}\right)=\sqrt{2} \Re \int \overline{\operatorname{ch}(k)} \circ X_{2} \circ \operatorname{ch}(k)(t, z, w) \bar{h}(t, z, w) d z d w
$$

In particular, if the above vanishes for all $h$, then $X_{2}=0$.
Proof. Let $B_{\epsilon}=B\left(k_{\epsilon}\right)$.

$$
\left.X_{0}\left(\phi, k_{\epsilon}\right)=\left\langle e^{\mathcal{B}_{\epsilon}} e^{\mathcal{A}} H_{t} e^{-\mathcal{A}} e^{-\mathcal{B}_{\epsilon}} \mid 0\right\rangle,|0\rangle\right\rangle
$$

and

$$
\begin{equation*}
\left.\left.\frac{d}{d \epsilon}\right|_{\epsilon=0} X_{0}\left(\phi, k_{\epsilon}\right)=-2 \Re\left\langle H_{\text {red }} \mid 0\right\rangle, \psi|0\rangle\right\rangle \tag{32}
\end{equation*}
$$

where

$$
\psi=\left.\frac{d}{d \epsilon}\right|_{\epsilon=0} e^{\mathcal{B}_{\epsilon}} e^{-B}=\mathcal{I}\left(\left.\frac{d}{d \epsilon}\right|_{\epsilon=0} e^{K_{\epsilon}} e^{-K}\right)
$$

Using the isomorphism (7) and proposition (6.4) we see that

$$
\psi|0\rangle=\left(i \theta, 0,-\frac{1}{\sqrt{2}} \overline{\operatorname{ch}(k)} \circ h \circ \operatorname{ch}(k)\left(t, x_{1}, x_{2}\right), 0, \cdots\right)
$$

where $\theta$ is a real number coming from the trace of the self-adjoint $a$. Since $X_{0}$ is real, $i \theta$ does not contribute the (32), and the result follows.

## 7. Explicit form of the Lagrangian

The goal of this sections is the following proposition.
Proposition 7.1. The zeroth order term in $\mathcal{H}_{\text {red }}|0\rangle$ (which provides the Lagrangian density for our coupled equations) is $X_{0}(t)$ where

$$
\begin{aligned}
& -X_{0}(t)=N \int d x_{1}\left\{-\Im\left(\phi_{1} \overline{\partial_{t} \phi_{1}}\right)+\left|\nabla \phi_{1}\right|^{2}\right\} \\
& +\frac{N}{2} \int d x_{1} d x_{2} v_{1-2}^{N}\left|\phi_{1} \phi_{2}+\frac{1}{N}(\operatorname{sh} \circ \mathrm{ch})_{1,2}\right|^{2} \\
& +\frac{1}{2} \int d x_{1} d x_{2} d x_{3} v_{1-2}^{N}\left|\phi_{1} \operatorname{sh}_{2,3}+\phi_{2} \operatorname{sh}_{1,3}\right|^{2} \\
& + \\
& \frac{1}{2}\left(\int d x_{1} d x_{2}\left\{-\Im\left(\operatorname{sh}_{1,2} \overline{\partial_{t} \operatorname{sh}_{1,2}}\right)+\left|\nabla_{1,2} \operatorname{sh}_{1,2}\right|^{2}\right\}\right. \\
& + \\
& \left.\frac{1}{2 N} \int d t d x_{1} d x_{2} v_{1-2}^{N}\left\{\left|(\operatorname{sh} \circ \overline{\operatorname{sh}})_{1,2}\right|^{2}+(\operatorname{sh} \circ \overline{\mathrm{sh}})_{1,1}(\overline{\operatorname{sh}} \circ \mathrm{sh})_{2,2}\right\}\right)
\end{aligned}
$$

where $\operatorname{sh}_{1,2}$ is an abbreviation for $\operatorname{sh}(k)\left(t, x_{1}, x_{2}\right)$, etc, and the products are pointwise products, while compositions are denoted by o .

The proof follows from several lemmas, which can be proved by explicit calculations. We proceed to compute $X_{0}$ in (25). The only terms in (17) which contribute to $X_{0}$ are $N \mathcal{P}_{0}$ which is already explicit, the zeroth order terms in $\mathcal{I}(R)|0\rangle$, as well as the zeroth order terms in $N^{-1} e^{\mathcal{B}} \mathcal{P}_{4} e^{-B}|0\rangle$.

Lemma 7.2. The term $N \mathcal{P}_{0}$ is given by

$$
\begin{aligned}
N \mathcal{P}_{0} & =N \int d x\left\{\frac{1}{2 i}\left(\phi \bar{\phi}_{t}-\bar{\phi} \phi_{t}\right)-|\nabla \phi|^{2}\right\} \\
& -\frac{N}{2} \int d x_{1} d x_{2}\left\{v_{1-2}^{N}\left|\phi_{1} \phi_{2}\right|^{2}\right\}
\end{aligned}
$$

We used abbreviations $v_{1-2}^{N}=v_{N}\left(x_{1}-x_{2}\right), \phi_{1}=\phi\left(x_{1}\right)$, etc., and for the following two lemmas we will denote $u_{1,2}=\operatorname{sh}(k)\left(t, x_{1}, x_{2}\right)$ and $c_{1,2}=\operatorname{ch}(k)\left(t, x_{1}, x_{2}\right)$.

Lemma 7.3. The zeroth order term in $\mathcal{I}(R)|0\rangle$ is

$$
\begin{aligned}
& -\frac{1}{2}\left(\int d x_{1} d x_{2}\left\{\frac{1}{2 i}\left(\bar{u}_{1,2} \partial_{t} u_{1,2}-\partial_{t} \bar{u}_{1,2} u_{1,2}\right)+\left|\nabla_{1,2} u_{1,2}\right|^{2}\right\}\right. \\
& +\int d x_{1} d x_{2} d x_{3}\left\{v_{1-2}^{N}\left|\phi_{1} u_{2,3}\right|^{2}+\left|\phi_{2} u_{1,3}\right|^{2}\right\} \\
& +2 \Re \int d x_{1} d x_{2} d x_{3}\left\{v_{1-2}^{N} \phi_{2} u_{1,3} \overline{\phi_{1} u_{2,3}}\right\} \\
& \left.+2 \Re \int d x_{1} d x_{2}\left\{v_{1-2}^{N}(u \circ c)_{1,2} \bar{\phi}_{1} \bar{\phi}_{2}\right\}\right)
\end{aligned}
$$

Lemma 7.4. The zeroth order term in $-\frac{1}{N} e^{\mathcal{B}} \mathcal{V} e^{-\mathcal{B}}|0\rangle$ is

$$
\begin{aligned}
& -\frac{1}{2} \int d x_{1} d x_{2} v_{1-2}^{N}\left\{(u \circ c)_{1,2} \overline{(u \circ c)_{1,2}}\right. \\
& \left.+\left|(u \circ \bar{u})_{1,2}\right|^{2}+(u \circ \bar{u})_{1,1}(\bar{u} \circ u)_{2,2}\right\} .
\end{aligned}
$$

## 8. Explicit form of the equations

In this section we derive the following theorem, thus introducing our new equations. First, some notation. Consider the kernels

$$
\begin{aligned}
\omega_{c}(t, x, y) & =\bar{\phi}(t, x) \phi(t, y) \\
\omega_{p}(t, x, y) & =\overline{\operatorname{sh}(k)} \circ \operatorname{sh}(k)(t, x, y)
\end{aligned}
$$

and their traces

$$
\begin{aligned}
& \rho_{c}=|\phi|^{2}(t, x) \\
& \rho_{p}(t, x)=\operatorname{sh}(k) \circ \overline{\operatorname{sh}(k)}(t, x, x)
\end{aligned}
$$

Here $c$ stands for condensate, and $p$ for pair. In this notation, the old operator kernel $g_{N}$ defined in (20c) is

$$
\begin{aligned}
g_{N}(t, x, y) & :=-\Delta_{x} \delta(x-y)+\left(v_{N} * \rho_{c}\right)(t, x) \delta(x-y) \\
& +v_{N}(x-y) \omega_{c}(t, x, y)
\end{aligned}
$$

Define the new operator kernel

$$
\begin{align*}
& h_{N}(t, x, y):=-\Delta_{x} \delta(x-y) \\
& +\left(v_{N} * \rho_{c}\right)(t, x) \delta(x-y)+v_{N}(x-y) \omega_{c}(t, x, y)  \tag{33}\\
& +\frac{1}{N}\left(\left(v_{N} * \rho_{p}\right)(t, x) \delta(x-y)+v_{N}(x-y) \omega_{p}(t, x, y)\right) \tag{34}
\end{align*}
$$

Also denote $\alpha_{c}=(33), \frac{1}{N} \alpha_{p}=(34)$ and $\alpha=\alpha_{c}+\frac{1}{N} \alpha_{p}$. Define

$$
\tilde{\mathbf{S}}(s):=\frac{1}{i} s_{t}+h^{T} \circ s+s \circ h \quad \text { and } \quad \tilde{\mathbf{W}}(p):=\frac{1}{i} p_{t}+\left[h^{T}, p\right]
$$

Finally, define $\Theta\left(t, x_{1}, x_{2}\right)=-v_{N}\left(t, x_{1}, x_{2}\right)\left(\phi\left(t, x_{1}\right) \phi\left(t, x_{2}\right)+\frac{1}{2 N} \operatorname{sh}(2 k)\left(t, x_{1}, x_{2}\right)\right)$.
Theorem 8.1. The equation $X_{1}=0$ is equivalent to

$$
\begin{aligned}
& \frac{1}{i} \partial_{t} \phi\left(t, x_{1}\right)-\Delta \phi-\int \Theta\left(t, x_{1}, x_{2}\right) \bar{\phi}\left(t, x_{2}\right) d x_{2} \\
& +\int \frac{1}{N} \alpha_{p}^{T}\left(t, x_{1}, x_{2}\right) \phi\left(t, x_{2}\right) d x_{2}=0
\end{aligned}
$$

The equation $X_{2}=0$ is equivalent to either of:

1) the equation

$$
\tilde{\mathbf{S}}(\operatorname{th}(k))=\Theta+\operatorname{th}(k) \circ \bar{\Theta} \circ \operatorname{th}(k)
$$

2) the pair of equations (in fact, 2a) implies 2b))

$$
\begin{align*}
& \text { 2a) } \tilde{\mathbf{S}}(\operatorname{sh}(2 k))=\Theta \circ \operatorname{ch}(2 k)+\overline{\operatorname{ch}(2 k)} \circ \Theta  \tag{35}\\
& \text { 2b) } \tilde{\mathbf{W}}(\overline{\operatorname{ch}(2 k)})=\Theta \circ \overline{\operatorname{sh}(2 k)}-\operatorname{sh}(2 k) \circ \bar{\Theta}=0
\end{align*}
$$

Remark 8.2. One can go back and fourth between $\zeta$ and $\operatorname{ch}(2 k), \operatorname{sh}(2 k)$ using

$$
\begin{aligned}
& \overline{\operatorname{sh}(k)} \circ \operatorname{sh}(k)=(1-\bar{\zeta} \circ \zeta)^{-1}-1=\frac{1}{2}(\operatorname{ch}(2 k)-1) \\
& \zeta=\operatorname{sh}(2 k)(1+\operatorname{ch}(2 k))^{-1}
\end{aligned}
$$

Proof. A direct calculation for $X_{1}$ shows that

$$
X_{1}=N\left(\overline{\operatorname{ch}(k)} \circ \widetilde{\operatorname{Har}}_{k}(\phi)+\operatorname{sh}(k) \circ{\widetilde{\operatorname{Har}_{k}(\phi)}}\right)
$$

where

$$
\begin{aligned}
& \widetilde{\operatorname{Har}}_{k}(\phi)\left(t, x_{1}\right) \\
& =\frac{1}{i} \partial_{t} \phi-\Delta \phi-\int \Theta\left(t, x_{1}, x_{2}\right) \bar{\phi}\left(t, x_{2}\right) d x_{2} \\
& +\frac{1}{N} \int v_{N}\left(x_{1}-x_{2}\right)(\operatorname{sh} \circ \overline{\operatorname{sh}})\left(x_{1}, x_{2}\right) \phi\left(x_{2}\right) d x_{2} \\
& +\frac{1}{N} \phi\left(x_{1}\right) \int v_{N}\left(x_{1}-x_{2}\right)(\operatorname{sh} \circ \overline{\operatorname{sh}})\left(x_{2}, x_{2}\right) d x_{2}
\end{aligned}
$$

In conjunction with Proposition (6.1) this shows that

$$
\frac{\delta \mathcal{L}}{\delta \bar{\phi}}=N \widetilde{H a r}_{k}(\phi)
$$

which can also be easily verified directly from Proposition (7.1).
A direct calculation also shows that, if $X_{2}$ denotes the second component of $\mathcal{H}_{\text {red }}|0\rangle$, then

$$
\begin{align*}
& -\sqrt{2} X_{2}\left(t, y_{1}, y_{2}\right)=  \tag{36}\\
& ((\mathbf{S}(\operatorname{sh}(k))-\overline{\operatorname{ch}(k)} \circ m) \circ \operatorname{ch}(k)-(\mathbf{W}(\overline{\operatorname{ch}(k)})+\operatorname{sh}(k) \circ \bar{m}) \circ \operatorname{sh}(k)) \\
& +(1 / N) \int d x_{1} d x_{2} \quad\{ \\
& \left(\overline{\operatorname{ch}}\left(y_{1}, x_{2}\right) \operatorname{sh}\left(x_{2}, y_{2}\right)(\overline{\operatorname{sh}} \circ \operatorname{sh})\left(x_{1}, x_{1}\right) v_{N}\left(x_{1}-x_{2}\right)+\right. \\
& \overline{\operatorname{ch}}\left(y_{1}, x_{2}\right) \operatorname{sh}\left(x_{1}, y_{2}\right)(\overline{\operatorname{sh}} \circ \operatorname{sh})\left(x_{1}, x_{2}\right) v_{N}\left(x_{1}-x_{2}\right)+ \\
& \overline{\operatorname{ch}}\left(y_{1}, x_{1}\right) \operatorname{sh}\left(x_{2}, y_{2}\right)(\operatorname{sh} \circ \overline{\operatorname{sh}})\left(x_{1}, x_{2}\right) v_{N}\left(x_{1}-x_{2}\right)+ \\
& \left.\overline{\operatorname{ch}}\left(y_{1}, x_{1}\right) \operatorname{sh}\left(x_{1}, y_{2}\right)(\operatorname{sh} \circ \overline{\operatorname{sh}})\left(x_{2}, x_{2}\right) v_{N}\left(x_{1}-x_{2}\right)\right)_{s y m m}+ \\
& \operatorname{sh}\left(y_{1}, x_{1}\right) \operatorname{sh}\left(x_{2}, y_{2}\right)(\overline{\operatorname{sh}} \circ \overline{\operatorname{ch}})\left(x_{1}, x_{2}\right) v_{N}\left(x_{1}-x_{2}\right)+ \\
& \left.\overline{\operatorname{ch}}\left(y_{1}, x_{1}\right) \operatorname{ch}\left(x_{2}, y_{2}\right)(\overline{\operatorname{ch}} \circ \operatorname{sh})\left(x_{1}, x_{2}\right) v_{N}\left(x_{1}-x_{2}\right)\right\} .
\end{align*}
$$

where symm stands for "symmetrized". The time dependance in the last six lines has been omitted. Recalling $\zeta=\overline{\operatorname{ch}(k)}^{-1} \circ \operatorname{sh}(k)=\operatorname{sh}(k) \circ$ $\overline{\operatorname{ch}(k)}^{-1}$, compose on the left with $\overline{\operatorname{ch}(k)}^{-1}$ and on the right with $\operatorname{ch}(k)^{-1}$ to get

$$
\begin{equation*}
\overline{\operatorname{ch}(k)}^{-1} \circ X_{2} \circ \operatorname{ch}(k)^{-1}=\mathbf{S}(\zeta)-\Theta-\zeta \circ \bar{\Theta} \circ \zeta+\frac{1}{N} \mathbf{N} \tag{37}
\end{equation*}
$$

where $N$ is given by

$$
\begin{aligned}
\mathbf{N}\left(t, y_{1}, y_{2}\right)= & \zeta\left(t, y_{1}, y_{2}\right)\left(\int d x\left((\overline{\mathrm{sh}} \circ \mathrm{sh}+\operatorname{sh} \circ \overline{\mathrm{sh}})(t, x, x) v_{N}\left(x-y_{1}\right)\right)_{s y m m}+\right. \\
& \left(\int d x \zeta\left(t, x, y_{2}\right)(\overline{\mathrm{sh}} \circ \mathrm{sh}+\mathrm{sh} \circ \overline{\mathrm{sh}})\left(t, x, y_{1}\right) v_{N}\left(x-y_{1}\right)\right)_{\text {symm }}
\end{aligned}
$$

where symm stands for symmetrizing in $y_{1}, y_{2}$. In other words,

$$
\mathbf{N}=\zeta \circ \alpha_{p}+\alpha_{p} \circ \zeta
$$

Thus, in $\zeta$ coordinates, the equation $X_{2}=0$ becomes

$$
\begin{equation*}
\tilde{\mathbf{S}}(\zeta)-\Theta-\zeta \circ \bar{\Theta} \circ \zeta=0 \tag{38}
\end{equation*}
$$

Now we can get an equation for $\tilde{\mathbf{W}}(p)$ and $\tilde{\mathbf{S}}(q)$. We will use the general formulas

$$
\begin{aligned}
& \tilde{\mathbf{W}}\left(f^{-1}\right)=-f^{-1} \circ \tilde{\mathbf{W}}(f) \circ f^{-1} \\
& \tilde{\mathbf{W}}(f \circ \bar{g})=\tilde{\mathbf{S}}(f) \circ \bar{g}-f \circ \overline{\tilde{\mathbf{S}}(g)} \\
& \tilde{\mathbf{S}}(f \circ g)=\tilde{\mathbf{S}}(f) \circ g-f \circ \circ \tilde{\mathbf{W}(\bar{g})}
\end{aligned}
$$

Thus

$$
\begin{aligned}
& \tilde{\mathbf{W}}\left((1-\zeta \circ \bar{\zeta})^{-1}\right)=(1-\zeta \circ \bar{\zeta})^{-1} \circ(\tilde{\mathbf{S}}(\zeta) \circ \bar{\zeta}-\zeta \circ \overline{\tilde{\mathbf{S}}(\zeta)}) \circ(1-\zeta \circ \bar{\zeta})^{-1} \\
& =(1-\zeta \circ \bar{\zeta})^{-1} \circ((\Theta+\zeta \circ \bar{\Theta} \circ \zeta) \bar{\zeta} \\
& +\zeta \circ \overline{(\Theta+\zeta \circ \bar{\Theta} \circ \zeta)})(1-\zeta \circ \bar{\zeta})^{-1}
\end{aligned}
$$

Similarly we get a formula for $\tilde{\mathbf{S}}(\operatorname{sh}(2 k))$, using

$$
\begin{aligned}
& \tilde{\mathbf{S}}\left(\zeta \circ(1-\bar{\zeta} \circ \zeta)^{-1}\right) \\
& =(1-\zeta \circ \bar{\zeta})^{-1} \circ(\tilde{\mathbf{S}}(\zeta)-\zeta \circ \overline{\mathbf{S}}(\zeta) \circ \zeta) \circ(1-\bar{\zeta} \circ \zeta)^{-1} \\
& \tilde{\mathbf{S}}\left(\zeta \circ(1-\bar{\zeta} \circ \zeta)^{-1}\right) \\
& =(1-\zeta \circ \bar{\zeta})^{-1} \circ(\Theta+\zeta \circ \bar{\Theta} \circ \zeta \\
& +\zeta \circ \overline{(\Theta+\zeta \circ \bar{\Theta} \circ \zeta)} \circ \zeta) \circ(1-\bar{\zeta} \circ \zeta)^{-1} \\
& =\left((1-\zeta \circ \bar{\zeta})^{-1}-\frac{1}{2}\right) \circ \Theta+\Theta \circ\left((1-\bar{\zeta} \circ \zeta)^{-1}-\frac{1}{2}\right)
\end{aligned}
$$

## 9. Conserved quantities

We start by motivating the introduction of some conserved quantities. Recall the Lagrangian

$$
\begin{aligned}
& \mathcal{L}(\phi, \operatorname{sh}(k))=N \int d t d x_{1}\left\{-\Im\left(\phi_{1} \overline{\partial_{t} \phi_{1}}\right)+\left|\nabla \phi_{1}\right|^{2}\right\} \\
& +\frac{N}{2} \int d t d x_{1} d x_{2} v_{1-2}^{N}\left|\phi_{1} \phi_{2}+\frac{1}{N}(\operatorname{sh} \circ \mathrm{ch})_{1,2}\right|^{2} \\
& +\frac{1}{2} \int d t d x_{1} d x_{2} d x_{3} v_{1-2}^{N}\left|\phi_{1} \operatorname{sh}_{2,3}+\phi_{2} \operatorname{sh}_{1,3}\right|^{2} \\
& +\frac{1}{2}\left(\int d t d x_{1} d x_{2}\left\{-\Im\left(\operatorname{sh}_{1,2} \overline{\partial_{t} \mathrm{sh}_{1,2}}\right)+\left|\nabla_{1,2} \operatorname{sh}_{1,2}\right|^{2}\right\}\right. \\
& \left.+\frac{1}{2 N} \int d t d x_{1} d x_{2} v_{1-2}^{N}\left\{\left|(\operatorname{sh} \circ \overline{\mathrm{sh}})_{1,2}\right|^{2}+(\operatorname{sh} \circ \overline{\mathrm{sh}})_{1,1}(\overline{\operatorname{sh}} \circ \mathrm{sh})_{2,2}\right\}\right)
\end{aligned}
$$

where $\operatorname{sh}_{1,2}$ is an abbreviation for $\operatorname{sh}(k)\left(t, x_{1}, x_{2}\right)$, etc, and the products are pointwise products, while compositions are denoted by o. Introduce the energy $\mathcal{E}$

$$
\begin{aligned}
& \mathcal{E}(\phi, \operatorname{sh}(k))(t)=N \int d x_{1}\left\{\left|\nabla \phi_{1}\right|^{2}\right\} \\
& \quad+\frac{N}{2} \int d x_{1} d x_{2} v_{1-2}^{N}\left|\phi_{1} \phi_{2}+\frac{1}{N}(\operatorname{sh} \circ \mathrm{ch})_{1,2}\right|^{2} \\
& +\frac{1}{2} \int d x_{1} d x_{2} d x_{3} v_{1-2}^{N}\left|\phi_{1} \operatorname{sh}_{2,3}+\phi_{2} \operatorname{sh}_{1,3}\right|^{2} \\
& + \\
& \frac{1}{2}\left(\int d x_{1} d x_{2}\left\{\left|\nabla_{1,2} \operatorname{sh}_{1,2}\right|^{2}\right\}\right. \\
& + \\
& \left.\frac{1}{2 N} \int d x_{1} d x_{2} v_{1-2}^{N}\left\{\left|(\operatorname{sh} \circ \overline{\operatorname{sh}})_{1,2}\right|^{2}+(\operatorname{sh} \circ \overline{\mathrm{sh}})_{1,1}(\overline{\operatorname{sh}} \circ \mathrm{sh})_{2,2}\right\}\right)
\end{aligned}
$$

Our equations for $\phi$ and $\operatorname{sh}(k)$ are equivalent to

$$
\begin{align*}
& \frac{1}{i} \frac{\partial \phi}{\partial t}=-\frac{\delta \mathcal{E}}{\delta \bar{\phi}}  \tag{39}\\
& \frac{1}{i} \frac{\partial \operatorname{sh}(k)}{\partial t}=-\frac{\delta \mathcal{E}}{\delta \operatorname{sh}(k)} \tag{40}
\end{align*}
$$

The relation

$$
\begin{aligned}
0 & =\left.\frac{d}{d \theta}\right|_{\theta=0} \mathcal{E}\left(e^{i \theta} \phi, e^{2 i \theta} \operatorname{sh}(k)\right) \\
& =2 \Re\left(\int \frac{\delta \mathcal{E}}{\delta \bar{\phi}}(-i \bar{\phi}) d x_{1}+\int \frac{\delta \mathcal{E}}{\delta \overline{\operatorname{sh}(k)}}(-i \overline{\operatorname{sh}(k)}) d x_{1} d x_{2}\right)
\end{aligned}
$$

together with (39), (40), leads to the conservation

$$
\frac{d}{d t}\left(\int\left|\phi\left(t, x_{1}\right)\right|^{2} d x_{1}+\frac{1}{N} \int\left|\operatorname{sh}(k)\left(t, x_{1}, x_{2}\right)\right|^{2} d x_{1} d x_{2}\right)=0
$$

thus we define the density

$$
\rho\left(t, x_{1}\right)=\left|\phi\left(t, x_{1}\right)\right|^{2}+\frac{1}{N} \int\left|\operatorname{sh}(k)\left(t, x_{1}, x_{2}\right)\right|^{2} d x_{2}
$$

Also, the explicit form or $\frac{\delta \mathcal{E}}{\delta \bar{\phi}}$ and $\frac{\delta \mathcal{E}}{\delta \operatorname{sh}(k)}$ shows that

$$
\frac{\delta \mathcal{E}}{\delta \bar{\phi}}(-i \bar{\phi}) d x_{1}+\int \frac{\delta \mathcal{E}}{\delta \operatorname{sh}(k)}(-i \overline{\operatorname{sh}(k)})
$$

Similarly, let $\phi_{\epsilon}(t, x)=\phi\left(t, x+\epsilon e_{j}\right), \operatorname{sh}(k)_{\epsilon}(t, x, y)=\operatorname{sh}(k)(t, x+$ $\left.\epsilon e_{j}, y+\epsilon e_{j}\right)\left(e_{j}=\right.$ unit vector, $\left.1 \leq j \leq 3\right)$. The relation

$$
\begin{aligned}
0 & =\left.\frac{d}{d \epsilon}\right|_{\epsilon=0} \mathcal{E}\left(\phi_{\epsilon}, \operatorname{sh}(k)_{\epsilon}\right) \\
& =2 \Re\left(\int \frac{\delta \mathcal{E}}{\delta \bar{\phi}} \partial_{j} \bar{\phi} d x_{1}+\int \frac{\delta \mathcal{E}}{\delta \overline{\operatorname{sh}(k)}}\left(\partial_{j} \overline{\operatorname{sh}(k)}\right) d x_{1} d x_{2}\right)
\end{aligned}
$$

together with (39), (40) leads to the conservation

$$
\frac{d}{d t}\left(N \int \Im\left(\phi \overline{\partial_{j} \phi}\right) d x_{1}+\int \Im\left(\operatorname{sh}(k) \overline{\partial_{j} \operatorname{sh}(k)}\right) d x_{1} d x_{2}\right)=0
$$

thus we define the momentum density

$$
p_{j}\left(t, x_{1}\right)=-\Im\left(\phi \overline{\partial_{j} \phi}\right)-\frac{1}{N} \int \Im\left(\operatorname{sh}(k) \overline{\partial_{j} \operatorname{sh}(k)}\right) d x_{2}
$$

Finally, using (39), (40) we see that

$$
\frac{\partial}{\partial t} \mathcal{E}(t)=0
$$

so we define the energy density

$$
\begin{aligned}
& e\left(t, x_{1}\right)=N\left|\nabla \phi_{1}\right|^{2} \\
& +\frac{N}{2} \int d x_{2} v_{1-2}^{N}\left|\phi_{1} \phi_{2}+\frac{1}{N}(\operatorname{sh} \circ \mathrm{ch})_{1,2}\right|^{2} \\
& +\frac{1}{2} \int d x_{2} d x_{3} v_{1-2}^{N}\left|\phi_{1} \operatorname{sh}_{2,3}+\phi_{2} \operatorname{sh}_{1,3}\right|^{2} \\
& +\frac{1}{2}\left(\int d x_{2}\left\{\left|\nabla_{1,2} \operatorname{sh}_{1,2}\right|^{2}\right\}\right. \\
& \left.+\frac{1}{2 N} \int d x_{2} v_{1-2}^{N}\left\{\left|(\operatorname{sh} \circ \overline{\mathrm{sh}})_{1,2}\right|^{2}+(\operatorname{sh} \circ \overline{\mathrm{sh}})_{1,1}(\overline{\mathrm{sh}} \circ \mathrm{sh})_{2,2}\right\}\right) .
\end{aligned}
$$

## 10. A conjecture

We conjecture that, if $\phi, k$ satisfy the equations of Theorem (8.1) and $\left|\psi_{\text {exact }}\right\rangle,\left|\psi_{\text {appr }}\right\rangle$, are defined by (14), (15), then, in the critical case $\beta=1$,

$$
\|\left|\psi_{\text {exact }}\right\rangle-\left|\psi_{\text {appr }}\right\rangle \|_{\mathcal{F}} \rightarrow 0
$$

as $N \rightarrow \infty$, at an explicit rate.

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