# Methylxanthine treatment for apnea in preterm infants (Review)

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#### [Intervention Review]

# Methylxanthine treatment for apnea in preterm infants

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**Editorial group:** Cochrane Neonatal Group. **Publication status and date:** Edited (no change to conclusions), published in Issue 4, 2009. **Review content assessed as up-to-date:** 5 February 2008.

**Citation:** Henderson-Smart DJ, Steer PA. Methylxanthine treatment for apnea in preterm infants. *Cochrane Database of Systematic Reviews* 2001, Issue 3. Art. No.: CD000140. DOI: 10.1002/14651858.CD000140.

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# ABSTRACT

#### Background

Recurrent apnea is common in preterm infants, particularly at very early gestational ages. These episodes of loss of effective breathing can lead to hypoxemia and bradycardia that may be severe enough to require resuscitation including use of positive pressure ventilation. Methylxanthines (such as caffeine or theophylline) have been used to stimulate breathing and prevent apnea and its consequences.

#### Objectives

To determine the effects of methylxanthine treatment on the incidence of apnea and the use of intermittent positive pressure ventilation (IPPV), and other clinically important effects in preterm infants with recurrent apnea.

#### Search strategy

Searches were made of the Cochrane Central Register of Controlled Trials (CENTRAL, The Cochrane Library, Issue 4, 2007), the Oxford Database of Perinatal Trials, MEDLINE (1966 to January 2008), EMBASE (1982 - January 2008), previous reviews including cross references, abstracts, conferences and symposia proceedings, expert informants, journal hand searching mainly in the English language.

#### Selection criteria

All trials utilizing random or quasi-random patient allocation in which methylxanthine (theophylline or caffeine) was compared with placebo or no treatment for apnea in preterm infants were included.

#### Data collection and analysis

Methodological quality was assessed independently by the two review authors. Data were extracted independently by the two review authors. Treatment effects were expressed as relative risk (RR) and risk difference (RD) and their 95% confidence intervals, using a fixed effect model. For significant results, the inverse of the risk difference (1/RD) was used to calculate the number needed to treat (NNT).

#### Main results

The results of five trials that enrolled a total of 192 preterm infants with apnea indicate that methylxanthine therapy leads to a reduction in apnea and use of IPPV in the first two to seven days. There are insufficient data to adequately evaluate side effects and no data to examine effects within different gestational age groups. There are no data in the included studies that examine long-term effects.

#### Authors' conclusions

Methylxanthines are effective in reducing the number of apneic attacks and the use of mechanical ventilation in the two to seven days after starting treatment. In view of its lower toxicity, caffeine would be the preferred drug. The effects of methylxanthines on long-term outcomes will be addressed in data from the trial awaiting assessment (CAP Trial 2006).

#### PLAIN LANGUAGE SUMMARY

#### Methylxanthine treatment for apnea in preterm infants

There is some evidence that methylxanthines are effective in the short-term for reducing apnea in premature babies. Apnea is a pause in breathing of greater than 20 seconds. It may occur repeatedly in preterm babies (born before 34 weeks gestation). Methylxanthines (such as theophylline and caffeine) are drugs that are believed to stimulate breathing efforts and have been used to reduce apnea. Adverse effects of feeding intolerance and a rapid heart rate have been found with theophylline. The review of trials found methylxanthines help reduce the number of apnea attacks in the short term. The trials included in this review now have not published longer term outcomes, although the general use for a number of indications has been evaluated and outcomes are better in the methylxanthine group. This trial is awaiting assessment.

#### BACKGROUND

Infant apnea has been defined as a pause in breathing of greater than 20 seconds or one of less than 20 seconds and associated with cyanosis, marked pallor, hypotonia or bradycardia (AAP 2003). Recurrent episodes of apnea are common in preterm infants and the incidence and severity increases at lower gestational ages (reviewed by Henderson-Smart 2004). Although recurrent apnea can occur spontaneously and be attributed to prematurity alone, it can also be provoked or made more severe if there is some additional insult such as infection, hypoxemia or intracranial pathology.

If prolonged, apnea can lead to hypoxemia and reflex bradycardia which may require active resuscitative efforts to reverse. There are clinical concerns that these episodes might be harmful to the developing brain or cause dysfunction of the gut or other organs. Frequent episodes may be accompanied by respiratory failure of sufficient severity to lead to intubation and the use of intermittent positive pressure ventilation (IPPV).

Methylxanthines are thought to stimulate breathing efforts and have been used in clinical practice to reduce apnea since the 1970's (reviewed by Samuels 1992; Henderson-Smart 2004; Comer 2001). Theophylline and caffeine are two forms that have been used. The mechanism of their action is not certain. Possibilities include increased chemoreceptor responsiveness (based on a lower threshold for breathing responses to CO<sub>2</sub>), enhanced respiratory muscle performance and generalized central nervous system excitation.

Adverse effects such as feed intolerance and tachycardia have been reported in observational studies, particularly with theophylline therapy. There are potential adverse effects of increased central nervous system stimulation on long term development of the nervous system, although this has not been suggested from cohort studies. The increased metabolic rate induced by methylxanthines could increase the rate of blood oxygen desaturation during apnea, even if the rate of events were reduced. A metabolic load, if sustained, could affect growth. Issues of neonatal morbidity have been reviewed (Blanchard 1992; Martin 1998; Schmidt 1999).

This review updates the existing review of 'Methylxanthine for apnea in preterm infants' which was published in the Cochrane Library, Issue 4, 2004 (Henderson-Smart 2004a).

#### OBJECTIVES

To determine the effects of methylxanthine treatment on the incidence of apnea and the use of intermittent positive pressure ventilation (IPPV) and other clinically important effects in preterm infants with recurrent apnea.

Prespecified subgroup analyses:

- 1. Effects of different methylxanthines (theophylline, caffeine)
- 2. Effects of different doses of methylxanthine
- 3. Effects at different gestational ages or birth weights

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Methylxanthine treatment for apnea in preterm infants (Review)

### METHODS

#### Criteria for considering studies for this review

#### **Types of studies**

All trials utilizing random or quasi-random patient allocation were included.

#### **Types of participants**

Preterm infants with recurrent apnea. There must have been an effort to exclude specific causes of apnea.

#### **Types of interventions**

Any methylxanthine (aminophylline, theophylline, caffeine) compared with placebo or no treatment for recurrent apnea.

#### Types of outcome measures

Measures of the severity of apnea as well as the response to treatment must have been consistent with an evaluation of 'clinical apnea', as defined by the American Academy of Pediatrics (AAP 2003, see Background).

#### Primary

1. Failed treatment (less than 50% reduction in apnea, or use of IPPV, or death during study)

2. Use of IPPV

3. Death before hospital discharge

Secondary

1. Acute drug side effects (tachycardia or feed intolerance leading to omission of treatment)

2. Neonatal morbidity such as - patent ductus arteriosus requiring treatment, intracranial hemorrhage, necrotizing enterocolitis

- 3. Duration of IPPV
- 4. Duration of oxygen therapy

5. Chronic lung disease indicated by respiratory support (oxygen &/or positive airway pressure) still given at 36 weeks postmenstrual age

6. Longer term outcomes, such as growth and neurodevelopmental outcome

#### Search methods for identification of studies

Searches were made of the Cochrane Central Register of Controlled Trials (CENTRAL, The Cochrane Library, Issue 4, 2007), the Oxford Database of Perinatal Trials, MEDLINE (1966 to January 2008), EMBASE (1982 to January 2008), previous reviews including cross references, abstracts, conferences and symposia proceedings, expert informants, journal hand searching mainly in the English language. Expert informant's search in the Japanese language was made by Pr of. Y. Ogawa in 1996. Searches used the text terms 'apnea or apnea', 'theophylline', 'aminophylline' or 'caffeine'; and Mesh term 'infant;premature'. All titles and abstracts were reviewed to select random or quasi randomised trials. The full papers were reviewed when only the title and the abstract did not make eligibility clear.

#### Data collection and analysis

Trials were assessed for method of randomizations, blinding of intervention, blinding of outcome assessment and completeness of follow up. The methodological quality of each trial was reviewed by the second author blinded to trial authors and institution(s).

Each author extracted data separately. Then data were compared and differences resolved. Additional information was provided by Gupta (Gupta 1981) on the use of IPPV.

Results were meta-analyzed using a fixed effect model and treatment effects were expressed as relative risk (RR) and risk difference (RD) and their 95% confidence intervals. For significant results, we used the inverse of the risk difference (1/RD) to calculate the number needed to treat (NNT). If there was significant heterogeneity based on  $I^2$  statistic that is unresolved by subgroup analyses, the random effects RR was also reported.

# RESULTS

#### **Description of studies**

See: Characteristics of included studies; Characteristics of excluded studies.

The five included trials (Sims 1985; Murat 1981; Peliowski 1990; Gupta 1981; Erenberg 2000) studied a total of 192 infants. Details of these studies are included in the table of included studies. No studies were excluded.

One trial reported on the use of oral theophylline (Gupta 1981) and two used the intravenous equivalent, aminophylline (Peliowski 1990) or theophylline (Sims 1985). Two trials examined the effects of caffeine (Murat 1981; Erenberg 2000).

All trials measured apnea/bradycardia consistent with clinical events as defined in Background (AAP 2003). These were recorded from clinical monitors in two trials (Gupta 1981; Erenberg 2000) and by chart records of apnea and heart rate in the remaining three. The timing of outcome assessments varied from 48 hrs to 10 days after initiation of treatment.

In the Erenberg 2000 trial, a large number of infants exited from double blind treatment during the 10 day study period and failure

was determined on the day of exit and "carried forward over the subsequent days" (the status on day seven when responses were stable was taken for the result presented here).

A new trial (CAP Trial 2006) comparing outcomes at discharge and infant follow-up of caffeine versus placebo is awaiting assessment. It cannot be included in this review yet, because despite one indication for inclusion of participants being appropriate (caffeine treatment of apnea of prematurity), two other indications for inclusion in the trial and published results were prophylactic methylxanthine for apnea of prematurity or prophylactic methylxanthines for extubation in preterm infants. The latter are potentially eligible for two other Cochrane reviews (Henderson-Smart 2006, Henderson-Smart 2006a).

#### **Risk of bias in included studies**

Details of each study appear in the table of included studies. There was variation in trial design. Peliowski 1990 clearly concealed randomization and used placebo controls; Erenberg 2000 used an unclear method of randomization and placebo controls; Gupta 1981 used a quasi-random method with placebo controls; Sims 1985 and Murat 1981 used an unspecified method of randomization without placebo blinding.

#### **Effects of interventions**

Compared with control (placebo or no drug therapy), methylxanthine administration to infants with recurrent apnea of prematurity is followed by less treatment failure [summary RR 0.43 (0.31, 0.60), RD -0.40 (-0.53, -0.28), NNT 3 (2, 4)] and less use of IPPV [RR 0.34 (0.12, 0.97), RD -0.08 (-0.16, -0.01), NNT 13 (6, 100)]. These effect sizes are large although the sample sizes are low.

These effects were analysed in the short-term only, with two of the studies (Gupta 1981; Peliowski 1990) evaluating effects 48 hours after randomizations, another study at five days (Murat 1981), and the other two studies (Sims 1985; Erenberg 2000) at one week. Although Sims 1985 claimed that there were no benefits by seven days, the mean number of apneic events was analysed only in the subgroup that did not require mechanical ventilation.

The results were similar across trials. Analysis of the three trials in which theophylline was used also showed significantly less treatment failure [summary RR 0.41 (0.27, 0.62), RD -0.50 (-0.67, -0.33), NNT 2 (1, 3)] and a reduction in use of IPPV that nearly reaches statistical significance. The two trials (Murat 1981; Erenberg 2000) evaluating caffeine, found significantly less treatment failure [summary RR 0.46 (0.27, 0.78), RD -0.31 (-0.49, -0.12), NNT 3 (2, 8)].

The difference in the low rate of death before discharge (methylxanthine 3/81 versus control 6/73) reported in three trials (Gupta 1981, Sims 1985, Erenberg 2000) is not significant.

Side effects were reported in three trials. Two reported that there were none (Peliowski 1990; Sims 1985) and one trial (Gupta 1981) reported that two infants in the theophylline group developed tachycardia. Erenberg 2000 provided the additional information that no infants had side effects such as tachycardia or feed intolerance leading to omission of treatment.

Long-term effects on growth and neurodevelopment were not assessed in any included trials.

#### DISCUSSION

Although avoiding the use of IPPV seems an appropriate clinical goal, it is not clear whether merely reducing the number of apneic episodes alters the long term outcome. Older small cohort studies have not been able to detect any independent adverse effect of apnea on later neurological development (reviewed by Henderson-Smart 2004; Comer 2001). A recent large cohort study (Davis 2000) raises concerns that there could be increased rates of cerebral palsy associated with caffeine use even after adjustment for confounders. This study also suggests that infants treated with caffeine, again after adjusting for confounders, might have a higher full scale and verbal intelligence quotients as measured by the Wechsler Intelligence Scale (WISC III) for children.

Data here and in another systematic review comparing caffeine and theophylline (Steer 2004) suggest that the short-term benefits of caffeine are similar to those of theophylline. Side effects appear to be less common with caffeine (reviewed by Blanchard 1992; Steer 2004; Comer 2001).

Although methylxanthines lead to a reduction of apnea in preterm infants who have this clinical problem, they are not effective when given as prophylaxis to spontaneously breathing preterm infants at risk of developing apnea/bradycardia because of their low gestational age (Henderson-Smart 2006a). Another review indicates that methylxanthines may be effective in facilitating extubation from IPPV in some infants and that this is partly due to a reduction in postextubation apnea (Henderson-Smart 2006).

The incidence as well as the severity of the clinical apnea is greatest in infants born at earlier gestational ages. It might be expected that infants born at the lowest gestation would benefit most from treatment. No study evaluated this as part of the initial stated aim so this prespecified subgroup analysis could not be done. In one study (Sims 1985), post-hoc analysis showed that 8 of the 11 control infants who required mechanical ventilation were born at less than 31 weeks gestation.

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A major concern is the small numbers in each study which, while adequate to show the large effect on apnea, would not be able to detect less common adverse effects. Of particularly concern is the lack of trial data on long-term growth and development. The CAP Trial (CAP Trial 2006) has published outcomes at discharge and growth and development at 18 to 21 months. These results include a large number of very low birthweight infants (Caffeine group 1006, placebo group 1000) with any one of the three indications for trial entry (prophylaxis prevention of apnea in 22%, treatment of apnea in 40% or prophylaxis for extubation in 38%). At present the results cannot be specifically applied to this review on treatment for apnea, although they do provide a generalised effect of caffeine indicating that there is improved outcome at discharge and in neurodevelopment at follow-up. The CAP trial authors have been requested to evaluate outcomes for each indication which will make the trial eligible for inclusion in this review and also the other two Cochrane reviews dealing with the other two indications (Henderson-Smart 2006a; Henderson-Smart 2006) and allow for a more precise understanding of the effects in these related but different populations.

#### Implications for practice

Methylxanthines are effective in reducing the number of apneic attacks in the short-term and in reducing the use of mechanical ventilation. In view of its lower toxicity, caffeine would be the preferred drug. In included studies, the safety of methylxanthine therapy is uncertain, especially in terms of lack of long-term growth and neurodevelopment outcomes.

#### Implications for research

In order to indicate which infants are likely to benefit from treatment, there is a need for stratification by gestation and/or other risk factors in future studies. In any future studies the longer term effects of treatment on growth and development should be evaluated. Data on neonatal and longer term outcome might be available for infants given caffeine treatment for recurrent apnea in the trial of general caffeine use, awaiting assessment (CAP Trial 2006).

# ACKNOWLEDGEMENTS

Emeritus A/Pr of. Jugdish Gupta (Gupta 1981), and Richard Leff (Erenberg 2000) kindly provided addition data from their trials.

# AUTHORS' CONCLUSIONS

#### REFERENCES

#### References to studies included in this review

#### Erenberg 2000 {published data only}

Erenberg A, Leff R, Wynne B. Results of the first double blind placebo (PL) controlled study of caffeine citrate (CC) for the treatment of apnea of prematurity (AOP). *Pediatric Research* 1998;**43**:172A.

\* Erenberg A, Leff RD, Haack DG, Mosdell KW, Hicks GM, Wynne BA, Caffeine Study Group. Caffeine citrate for the treatment of apnea of prematurity: a doubleblind placebo-controlled study. *Pharmacotherapy* 2000;**20**: 644–52.

#### Gupta 1981 {published and unpublished data}

Gupta JM, Mercer HP, Koo WWK. Theophylline in treatment of apnea of prematurity. *Australian Paediatric Journal* 1981;17:290–1.

#### Murat 1981 {published data only}

Murat I, Moriette G, Blin MC, Couchard M, Flouvat B, De Gamarra E, Relier JP, Dreyfus-Brisac C. The efficacy of caffeine in the treatment of recurrent idiopathic apnea in premature infants. *Journal of Pediatrics* 1981;**99**:984–99.

#### Peliowski 1990 {published data only}

Peliowski A, Finer NN. A blinded, randomized, placebocontrolled trial to compare theophylline and doxapram for the treatment of apnea of prematurity. *Journal of Pediatrics* 1990;**116**:648–53.

#### Sims 1985 {published data only}

Sims ME, Yau G, Rambhatla S, Cabal L, Wu PYK. Limitations of theophylline in the treatment of apnea of prematurity. *American Journal of Diseases of Children* 1985; **139**:567–70.

#### References to studies excluded from this review

#### Hochwald 2002 {published data only}

Hochwald C, Kennedy K, Chang J, Moya F. A randomized, controlled, double-blind trial comparing two loading doses of aminophylline. *Journal of Perinatology* 2002;**22**:275–8.

#### References to studies awaiting assessment

#### CAP Trial 2006 {published data only}

Schmidt B, Roberts RS, Davis P, Doyle LW, Barrington KJ, Ohlsson A, Solimano A, Tin W, Caffeine for Apnea of Prematurity Trial Group. Caffeine therapy for apnea of prematurity. *New England Journal of Medicine* 2006;**354** (20):2112–21.

Schmidt B, Roberts RS, Davis P, Doyle LW, Barrington KJ, Ohlsson A, Solimano A, Tin W, Caffeine for Apnea of Prematurity Trial Group. Long-term effects of caffeine therapy for apnea of prematurity. *New England Journal of Medicine* 2007;**357**(19):1893–902.

#### Additional references

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#### AAP 2003

American Academy of Pediatrics. Policy statement. Apnea, sudden infant death syndrome, and home monitoring. *Pediatrics* 2003;**111**:914–22.

#### Blanchard 1992

Blanchard PW, Aranda JV. Pharmacotherapy of respiratory control disorders. In: Beckerman RC, Brouillette RT, Hunt CE editor(s). *Respiratory Control Disorders in Infants and Children.* Baltimore: Williams & Wilkins, 1992:352–370.

#### Comer 2001

Comer AM, Perry CM, Figgitt DP. Caffeine citrate. A review of its use in apnoea of prematurity. *Paediatric Drugs* 2001;**3**:61–79.

#### Davis 2000

Davis PG, Doyle LW, Rickards AL, Kelly EA, Ford GW, Davis NM, Callanan C. Methylxanthines and sensorineural outcome at 14 years in children < 1501 g birthweight. *Journal of Paediatrics and Child Health* 2000;**36**:47–50.

#### Henderson-Smart 2006

Henderson-Smart DJ, Davis PG. Prophylactic methylxanthine for extubation in preterm infants. *Cochrane Database of Systematic Reviews* 2006, Issue 1. [DOI: 10.1002/14651858.CD000139]

#### Henderson-Smart 2006a

Henderson-Smart DJ, Steer P. Prophylactic methylxanthine for the prevention of apnea in preterm infants (Cochrane Review). *Cochrane Database of Systematic Reviews* 2006, Issue 1. [DOI: 10.1002/14651858.CD000432]

#### Henderson-Smart 2004

Henderson-Smart DJ. Recurrent apnoea. *Evidence Based Pediatrics*. Oxford: Blackwell, 2004.

#### Martin 1998

Martin RJ, Fanaroff AA. Neonatal apnea, bradycardia, or desaturation: Does it Matter?. *J Pediatrics* 1998;**132**: 758–759.

#### Samuels 1992

Samuels MP, Southall DP. Recurrent apnea. In: Sinclair JC, Bracken MB editor(s). *Effective Care of the Newborn Infant*. Oxford: Oxford University Press, 1992:385–97.

#### Schmidt 1999

Schmidt B. Methylxanthine therapy in premature infants: sound practice, disaster or fruitless byway?. *Journal of Pediatrics* 1999;**135**:526–8.

### Steer 2004

Steer P, Henderson-Smart DJ. Caffeine vs theophylline treatment for apnea in preterm infants (Cochrane Review). *Cochrane Database of Systematic Reviews* 2004, Issue 4. [DOI: 10.1002/14651858.CD000273]

#### References to other published versions of this review

#### Henderson-Smart 2004a

Henderson-Smart DJ, Steer P. Methylxanthine for apnea in preterm infants. *Cochrane Database of Systematic Reviews* 2004, Issue 4. [DOI: 10.1002/14651858.CD000140]

#### Henderson-Smart 2001

Henderson-Smart DJ, Steer P. Methylxanthine treatment for apnea in preterm infants (Cochrane Review). *Cochrane Database of Systematic Reviews* 2001, Issue 4. [DOI: 10.1002/14651858.CD000140]

\* Indicates the major publication for the study

# CHARACTERISTICS OF STUDIES

# Characteristics of included studies [ordered by study ID]

# Erenberg 2000

Methods	Blinding of randomization - unclear; blinding of intervention - yes; complete follow up - 5 (6%) infants withdrawn after randomization (1 caffeine infant and 2 placebo infants did not meet apnea inclusion criteria during baseline measurement, 2 placebo infants never received drug); blinding of outcome assessment - yes					
Participants	Multicentre (9); 87 preterm infants 28 - 32 weeks postmenstrual age and less than 24 hrs of age with six or more apnea episodes (> 20 secs duration) in 24 hrs. Exclusions: secondary apnea (CNS, lung disease, anemia, infection, shock)					
Interventions	Caffeine citrate (10 mg/kg base) IV and 2.5 mg/kg	daily vs placebo (citric acid/sodium citrate)				
Outcomes	Failure = < 50% reduction in apnea (> 20 secs); use	of IPPV (provided by author); death by 30 days				
Notes	of staff (14 caffeine and 16 placebo), also 10 caffeine treatment (adverse event 2 vs 1, apnea recurrence 5 0. 21 caffeine and 12 placebo infants completed full	come. Use of open label caffeine allowed at discretion e and 9 placebo infants withdrawn from double blind vs 6, investigator discretion 2 vs 2, transferred 1 vs 10 days of double blind treatment. Author provided de effects such as tachycardia leading to withholding				
Risk of bias						
Item	Authors' judgement	Description				
Allocation concealment?	Unclear	B - Unclear				
Gupta 1981						
Methods		e up 4 mixtures labelled a,b,c,d,e,f; letter drawn from a low-up - no (3 subjects excluded after randomisation)				
Participants	apnea >15 sec with heart rate < 100 or cyanosis; infa	n who had clinical apnea; >3 events per 12 hours of ants in treatment and placebo groups were of similar n birth weight (1101 vs 1171 gms); commenced on seebo at median of 8.5 (range 1-29) days				
Interventions	Oral theophylline (4 mg/kg 6 hourly, increased to 6 mg/kg if no response to first dose) vs placebo					
	Apnea (no decrease in first 6-12 hours or need for nursing interventions for events in the next 48 hours) is use of mechanical ventilation (personal communication); death before hospital discharge; tachycardia leading to an adjustment of dose					

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Notes	Dose of theophylline high but no loading dose giv apnea/bradycardia. No power calculation given; tria	ren. Clinical observations of monitors used to detect al terminated early
Risk of bias		
Item	Authors' judgement	Description
Allocation concealment?	Unclear	B - Unclear
Murat 1981		
Methods	Blinding of randomization - unclear; blinding of in outcome measurement - no	tervention - no; complete followup - yes; blinding of
Participants	of similar mean gestational age (30.1 vs 29.8 weeks	t rate <100 per day); treatment and untreated controls ) , birth weight (1247 vs 1411 gms) , postnatal age at onea in the day before study entry (1.17 vs 0.65 /100
Interventions	Caffeine sodium citrate (20 mg/kg load im, then 5	mg/kg/day oral) vs no treatment
Outcomes	Failure on day 1 and day 5 (continued apnea or use of	mechanical ventilation); use of mechanical ventilation
Notes	Four infants in the untreated group crossed over due Chart recording of apnea/bradycardia used	ring the study and were classified as 'failed treatment'.
Risk of bias		
Item	Authors' judgement	Description
Allocation concealment?	No	C - Inadequate
Peliowski 1990		
Methods	•	ention - yes; complete followup - 3 withdrawals after possible seizures) , groups not specified; blinding of
Participants	10% fall in oxygen saturation or 5 torr or more fall i	ea ( apnea > 20 sec with > 25% fall in heart rate and n transcutaneous oxygen tension; 0.33 or more events ean gestational age (30.7 vs 31.3 weeks), birth weight vs 2.9) and baseline apnea rate (0.72 vs 0.70/hr)
Interventions	Theophylline (8 mg/kg load iv then continuous iv Cross over design (after 48 hrs) and comparison wi	

### Peliowski 1990 (Continued)

Outcomes	Failure [apnea rate not below 0.33/hr (baseline rate 0.70/hr in treatment group and 0.72/hr in controls) or use of mechanical ventilation by 48 hrs]; use of mechanical ventilation					
Notes	Three infants withdrawn after randomisation (parental request, suspected sepsis, possible seizures) and use of continuous positive airways pressure was permitted at the discretion of the clinician (no data given) - seeking author clarification. Chart recording of apnea/bradycardia used					
Risk of bias						
Item	Authors' judgement	Description				
Allocation concealment?	Yes	A - Adequate				
Sims 1985						
Methods	Blinding of randomisation unclear; blinding of inte outcome measurement - no	ervention - no; complete follow-up - yes; blinding of				
Participants		treatment and no treated groups were of similar mean eight (1345 vs 1306 gms) and postnatal age at study				
Interventions	Theophylline (6.8 mg/kg load iv, then 1.4 mg/kg 8	hourly) vs no treatment				
Outcomes	Failure (no 'resolution' of apnea or use of mechanical ventilation by 7 days); use of mechanical ventilation; death before hospital discharge					
Notes	Notes Used continuous print out on chart recorder to detect apnea and bradycardia					
Risk of bias						
Item	Authors' judgement Description					
Allocation concealment?	No	C - Inadequate				

# Characteristics of excluded studies [ordered by study ID]

Study	Reason for exclusion
Hochwald 2002	This trial compared two loading doses of aminophylline without a control group

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# DATA AND ANALYSES

# Comparison 1. Any methylxanthine vs control

Outcome or subgroup title	No. of studies	No. of participants	Statistical method	Effect size
1 Failed treatment after 2 - 7 days	5	192	Risk Ratio (M-H, Fixed, 95% CI)	0.44 [0.32, 0.60]
2 Use of mechanical ventilation	5	192	Risk Ratio (M-H, Fixed, 95% CI)	0.34 [0.12, 0.97]
3 Side effects	4	149	Risk Ratio (M-H, Fixed, 95% CI)	4.69 [0.24, 89.88]
4 Death before discharge	3	154	Risk Ratio (M-H, Fixed, 95% CI)	0.49 [0.14, 1.78]

# Comparison 2. Theophylline vs control

Outcome or subgroup title	No. of studies	No. of participants	Statistical method	Effect size
1 Failed treatment after 2 - 7 days	3	92	Risk Ratio (M-H, Fixed, 95% CI)	0.42 [0.28, 0.63]
2 Use of mechanical ventilation	3	92	Risk Ratio (M-H, Fixed, 95% CI)	0.38 [0.13, 1.16]
3 Side effects	2	49	Risk Ratio (M-H, Fixed, 95% CI)	4.69 [0.24, 89.88]
4 Death before discharge	2	72	Risk Ratio (M-H, Fixed, 95% CI)	0.27 [0.05, 1.52]

# Comparison 3. Caffeine vs control

Outcome or subgroup title	No. of studies	No. of participants	Statistical method	Effect size
1 Failed treatment after 5 - 7 days	2	100	Risk Ratio (M-H, Fixed, 95% CI)	0.46 [0.27, 0.78]
2 Use of mechanical ventilation	2	100	Risk Ratio (M-H, Fixed, 95% CI)	0.2 [0.01, 3.66]
3 Side effects	2	100	Risk Ratio (M-H, Fixed, 95% CI)	Not estimable
4 Death before discharge	1	82	Risk Ratio (M-H, Fixed, 95% CI)	1.64 [0.16, 17.43]

Methylxanthine treatment for apnea in preterm infants (Review)

# Analysis I.I. Comparison I Any methylxanthine vs control, Outcome I Failed treatment after 2 - 7 days.

Review: Methylxanthine treatment for apnea in preterm infants

Comparison: I Any methylxanthine vs control

Outcome: I Failed treatment after 2 - 7 days

Study or subgroup	Methylxanthine	Control		Risk Ratio	Weight	Risk Ratio
	n/N	n/N	M-H,F	ixed,95% Cl		M-H,Fixed,95% CI
Erenberg 2000	14/45	20/37	4	•	32.3 %	0.58 [ 0.34, 0.97 ]
Gupta 1981	5/15	4/ 4	-	-	22.0 %	0.36 [ 0.18, 0.70 ]
Murat 1981	0/9	6/9	←∎	_	9.6 %	0.08 [ 0.00, 1.19 ]
Peliowski 1990	2/10	8/10		_	11.8 %	0.25 [ 0.07, 0.90 ]
Sims 1985	9/21	17/22	-	-	24.4 %	0.55 [ 0.32, 0.95 ]
Total (95% CI)	100	92	•	•	100.0 %	0.44 [ 0.32, 0.60 ]
Total events: 30 (Methylx	anthine), 65 (Control)					
Heterogeneity: $Chi^2 = 4.4$	43, df = 4 (P = 0.35); l <sup>2</sup> = l	0%				
Test for overall effect: Z =	= 5.04 (P < 0.00001)					
			0.005 0.1	1 10 200		
			Favors methylxan.	Favors control		

# Analysis I.2. Comparison I Any methylxanthine vs control, Outcome 2 Use of mechanical ventilation.

Review: Methylxanthine treatment for apnea in preterm infants

Comparison: I Any methylxanthine vs control

Outcome: 2 Use of mechanical ventilation

Study or subgroup	Methylxanthine	Control	F	Risk Ratio	Weight	Risk Ratio
	n/N	n/N	M-H,Fi>	ed,95% Cl		M-H,Fixed,95% CI
Erenberg 2000	0/45	0/37				Not estimable
Gupta 1981	0/15	0/14				Not estimable
Murat 1981	0/9	2/9			21.2 %	0.20 [ 0.01, 3.66 ]
Peliowski 1990	0/10	1/10			12.7 %	0.33 [ 0.02, 7.32 ]
Sims 1985	3/21	8/22		_	66.1 %	0.39 [ 0.12, 1.28 ]
Total (95% CI)	100	92	•		100.0 %	0.34 [ 0.12, 0.97 ]
Total events: 3 (Methylxa	nthine), II (Control)					
Heterogeneity: $Chi^2 = 0$ .	$  8, df = 2 (P = 0.9  );  ^2 = 0.9$	0%				
Test for overall effect: Z =	= 2.02 (P = 0.043)					
			0.01 0.1	1 10 100		
			Favors methylxan.	Favors control		

# Analysis I.3. Comparison I Any methylxanthine vs control, Outcome 3 Side effects.

Review: Methylxanthine treatment for apnea in preterm infants

Comparison: I Any methylxanthine vs control

Outcome: 3 Side effects

Study or subgroup	Methylxanthine	Control	F	Risk Ratio	Weight	Risk Ratio
	n/N	n/N	M-H,Fi	ked,95% Cl		M-H,Fixed,95% CI
Erenberg 2000	0/45	0/37				Not estimable
Gupta 1981	2/15	0/14			100.0 %	4.69 [ 0.24, 89.88 ]
Murat 1981	0/9	0/9				Not estimable
Peliowski 1990	0/10	0/10				Not estimable
Total (95% CI)	79	70			100.0 %	4.69 [ 0.24, 89.88 ]
Total events: 2 (Methylxa	anthine), 0 (Control)					
Heterogeneity: not appli	cable					
Test for overall effect: Z	= 1.03 (P = 0.31)					
			<b>I</b> I			
			0.02 0.1	1 10 50		
			Favors methylxan.	Favours control		

### Analysis I.4. Comparison I Any methylxanthine vs control, Outcome 4 Death before discharge.

Review: Methylxanthine treatment for apnea in preterm infants

Comparison: I Any methylxanthine vs control

Outcome: 4 Death before discharge

Study or subgroup	Methylxanine	Control	F	Risk Ratio	Weight	Risk Ratio
, 51	n/N	n/N	M-H,Fi>	ed,95% Cl	5	M-H,Fixed,95% Cl
Erenberg 2000	2/45	1/37			16.5 %	1.64 [ 0.16, 17.43 ]
Gupta 1981	1/15	3/14			46.7 %	0.31 [ 0.04, 2.65 ]
Sims 1985	0/21	2/22	← ∎		36.8 %	0.21 [ 0.01, 4.11 ]
Total (95% CI)	81	73	-		100.0 %	0.49 [ 0.14, 1.78 ]
Total events: 3 (Methylxa	nine), 6 (Control)					
Heterogeneity: Chi <sup>2</sup> = 1.	50, df = 2 (P = 0.47); l <sup>2</sup> =0	0.0%				
Test for overall effect: Z =	= 1.08 (P = 0.28)					
			0.02 0.1	1 10 50		
		Fa	vors methylxanth.	Favors control		

Methylxanthine treatment for apnea in preterm infants (Review)

# Analysis 2.1. Comparison 2 Theophylline vs control, Outcome I Failed treatment after 2 - 7 days.

Review: Methylxanthine treatment for apnea in preterm infants

Comparison: 2 Theophylline vs control

Outcome: I Failed treatment after 2 - 7 days

Study or subgroup	Theophylline	Control		Risk Ratio	Weight	Risk Ratio
	n/N	n/N	M-H,Fi	xed,95% Cl		M-H,Fixed,95% CI
Gupta 1981	5/15	4/ 4			37.8 %	0.36 [ 0.18, 0.70 ]
Peliowski 1990	2/10	8/10	←∎		20.2 %	0.25 [ 0.07, 0.90 ]
Sims 1985	9/21	17/22		-	42.0 %	0.55 [ 0.32, 0.95 ]
Total (95% CI)	46	46	*		100.0 %	0.42 [ 0.28, 0.63 ]
Total events: 16 (Theophy	ylline), 39 (Control)					
Heterogeneity: $Chi^2 = 1.8$	38, df = 2 (P = 0.39); $I^2 =$	0.0%				
Test for overall effect: Z =	= 4.20 (P = 0.000027)					
			0.1 0.2 0.5	1 2 5 10		
			Favors theophylline	Favors control		

# Analysis 2.2. Comparison 2 Theophylline vs control, Outcome 2 Use of mechanical ventilation.

Review: Methylxanthine treatment for apnea in preterm infants

Comparison: 2 Theophylline vs control

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-

Outcome: 2 Use of mechanical ventilation

Study or subgroup	Theophylline	Control	F	Risk Ratio	Weight	Risk Ratio
	n/N	n/N	M-H,Fix	ed,95% Cl		M-H,Fixed,95% CI
Gupta 1981	0/15	0/14				Not estimable
Peliowski 1990	0/10	1/10			16.1 %	0.33 [ 0.02, 7.32 ]
Sims 1985	3/21	8/22		_	83.9 %	0.39 [ 0.12, 1.28 ]
Total (95% CI)	46	46		-	100.0 %	0.38 [ 0.13, 1.16 ]
Total events: 3 (Theophyll	line), 9 (Control)					
Heterogeneity: $Chi^2 = 0.0$	01, df = 1 (P = 0.92); l <sup>2</sup> =	0.0%				
Test for overall effect: Z =	= 1.70 (P = 0.090)					
			0.02 0.1	10 50		
			Favors theopylline	Favors control		

### Analysis 2.3. Comparison 2 Theophylline vs control, Outcome 3 Side effects.

Review: Methylxanthine treatment for apnea in preterm infants

Comparison: 2 Theophylline vs control

Outcome: 3 Side effects

Study or subgroup	Theophylline n/N	Control n/N	Risk M-H,Fixed,	. Ratio ,95% Cl	Weight	Risk Ratio M-H,Fixed,95% Cl
Gupta 1981	2/15	0/14			100.0 %	4.69 [ 0.24, 89.88 ]
Peliowski 1990	0/10	0/10				Not estimable
<b>Total (95% CI)</b> Total events: 2 (Theophyl Heterogeneity: not applic Test for overall effect: Z =	able	24			100.0 %	4.69 [ 0.24, 89.88 ]
			0.02 0.1 I Favors theophylline	10 50 Favors control		

Methylxanthine treatment for apnea in preterm infants (Review)

# Analysis 2.4. Comparison 2 Theophylline vs control, Outcome 4 Death before discharge.

Review: Methylxanthine treatment for apnea in preterm infants

Comparison: 2 Theophylline vs control

Outcome: 4 Death before discharge

Study or subgroup	Theophylline n/N	Control n/N			Risk Ratio red,95% Cl	Weight	Risk Ratio M-H,Fixed,95% Cl
Gupta 1981	1/15	3/14				55.9 %	0.31 [ 0.04, 2.65 ]
Sims 1985	0/21	2/22				44.1 %	0.21 [ 0.01, 4.11 ]
Total (95% CI)	36	36		-		100.0 %	0.27 [ 0.05, 1.52 ]
Total events: I (Theophyl Heterogeneity: $Chi^2 = 0.0$ Test for overall effect: Z =	05, df = 1 (P = 0.83); $l^2 =$	0.0%					
			0.01 Favors the	0.1 ophylline	10 Favors cor	100 ntrol	

# Analysis 3.1. Comparison 3 Caffeine vs control, Outcome I Failed treatment after 5 - 7 days.

Review: Methylxanthine	treatment for apnea	in preterm infants			
Comparison: 3 Caffeine	vs control				
Outcome: I Failed treat	tment after 5 - 7 days				
Study or subgroup	Caffeine n/N	Control n/N	Risk Ratio M-H,Fixed,95% CI	Weight	Risk Ratio M-H,Fixed,95% Cl
Erenberg 2000	14/45	20/37		77.2 %	0.58 [ 0.34, 0.97 ]
Murat 1981	0/9	6/9	• <b>•</b>	22.8 %	0.08 [ 0.00, 1.19 ]
<b>Total (95% CI)</b> Total events: 14 (Caffeine) Heterogeneity: $Chi^2 = 2.3$ Test for overall effect: Z =	2, df = 1 (P = 0.13); 1	<b>46</b> <sup>2</sup> =57%	•	100.0 %	0.46 [ 0.27, 0.78 ]
			0.005 0.1 I IO 200 Favors caffeine Favors control		

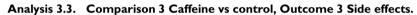
# Analysis 3.2. Comparison 3 Caffeine vs control, Outcome 2 Use of mechanical ventilation.

Review: Methylxanthine treatment for apnea in preterm infants

Comparison: 3 Caffeine vs control

Outcome: 2 Use of mechanical ventilation

Study or subgroup	Caffeine n/N	Control n/N	Risk Ratio M-H,Fixed,95% CI	Weight	Risk Ratio M-H,Fixed,95% Cl
Erenberg 2000	0/45	0/37			Not estimable
Murat 1981	0/9	2/9	← <b>_</b>	100.0 %	0.20 [ 0.01, 3.66 ]
Total (95% CI)	54	46		100.0 %	0.20 [ 0.01, 3.66 ]
Total events: 0 (Caffeine),	2 (Control)				
Heterogeneity: not applica	able				
Test for overall effect: Z =	= 1.09 (P = 0.28)				
			0.02 0.1 1 10 50	1	
			Favors caffeine Favors contro	bl	



Review: Methylxanthine	treatment for apnea in	preterm infants				
Comparison: 3 Caffeine vs control						
Outcome: 3 Side effects	i					
Study or subgroup	Caffeine n/N	Control n/N	Risk Ratio M-H,Fixed,95% Cl	Weight	Risk Ratio M-H,Fixed,95% Cl	
Erenberg 2000	0/45	0/37			Not estimable	
Murat 1981	0/9	0/9			Not estimable	
Total (95% CI)	54	46			Not estimable	
Total events: 0 (Caffeine), ( Heterogeneity: not applical Test for overall effect: not a	ble					
				J		
			0.1 0.2 0.5 2 5 Favors caffeine Favors contro	10 1		

Methylxanthine treatment for apnea in preterm infants (Review)

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# Analysis 3.4. Comparison 3 Caffeine vs control, Outcome 4 Death before discharge.

Review: Methylxanthine treatment for apnea in preterm infants

Comparison: 3 Caffeine vs control

Outcome: 4 Death before discharge

Study or subgroup	Caffeine n/N	Control n/N		Risk Ratio «ed,95% Cl	Weight	Risk Ratio M-H,Fixed,95% Cl
Erenberg 2000	2/45	1/37			100.0 %	1.64 [ 0.16, 17.43 ]
Total (95% CI)	45	37			100.0 %	1.64 [ 0.16, 17.43 ]
Total events: 2 (Caffeine), Heterogeneity: not applica Test for overall effect: Z =	able					
			0.1 0.2 0.5 Favors caffeine	I 2 5 IO Favors control		

# WHAT'S NEW

Last assessed as up-to-date: 5 February 2008.

Date	Event	Description
12 August 2009	Amended	Corrections made to citations in 'Studies awaiting classification'

# HISTORY

Protocol first published: Issue 4, 1999 Review first published: Issue 4, 1999

Event	Description
Amended	Converted to new review format.
New search has been performed	This review updates the existing review of 'Methylxanthine treatment for apnea in preterm infants' which was published in The Cochrane Library, Issue 4, 2004 (Henderson-Smart 2004). One new trial has been published, but requires further analysis of the data. This trial is referenced in 'Studies awaiting classification''. The conclusions of
	Amended

Methylxanthine treatment for apnea in preterm infants (Review)

(Continued)

this review are unchanged

# CONTRIBUTIONS OF AUTHORS

Both review authors developed the protocol, evaluated trials and extracted data.

Henderson-Smart wrote the review and entered the data into RevMan.

Henderson-Smart has been responsible for searching for trials and updating the review with the approval of Steer.

# DECLARATIONS OF INTEREST

None

# SOURCES OF SUPPORT

#### **Internal sources**

- NSW Centre for Perinatal Health Services Research, University of Sydney, Australia.
- Pediatrics, McMaster Childrens Hospital, Ontario, Canada.

#### **External sources**

• No sources of support supplied

### INDEX TERMS

#### Medical Subject Headings (MeSH)

Apnea [\*prevention & control]; Caffeine [therapeutic use]; Central Nervous System Stimulants [\*therapeutic use]; Infant, Newborn; Infant, Premature; Infant, Premature, Diseases [\*prevention & control]; Theophylline [therapeutic use]; Vasodilator Agents [\*therapeutic use]; Xanthines [\*therapeutic use]

#### MeSH check words

Humans